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Jacobi weights, fractional integration, and sharp Ulyanov inequalities

Polina Glazyrina and Sergey Tikhonov

ABSTRACT. We consider functions L^p -integrable with Jacobi weights on $[-1, 1]$ and prove Hardy–Littlewood type inequalities for fractional integrals. As applications, we obtain the sharp (L_p, L_q) Ulyanov-type inequalities for the Ditzian–Totik moduli of smoothness and the K -functionals of fractional order.

1. Introduction

The following (L_p, L_q) inequalities of Ulyanov-type between moduli of smoothness of functions on \mathbb{T} play an important role in approximation theory and functional analysis (see, e.g., [7, 13, 15]):

$$\omega^r(f, t)_q \leq C \left(\int_0^t (u^{-\sigma} \omega^r(f, u)_p)^{q_1} \frac{du}{u} \right)^{1/q_1}, \quad (1.1)$$

where $r \in \mathbb{N}$, $0 < p \leq q \leq \infty$, $\sigma = \frac{1}{p} - \frac{1}{q}$, and $q_1 = \begin{cases} q, & q < \infty \\ 1, & q = \infty \end{cases}$. Here the r -th moduli of smoothness of a function $f \in L_p(\mathbb{T})$ is given by

$$\omega^r(f, \delta)_p = \sup_{|h| \leq \delta} \|\Delta_h^r f(x)\|_{L_p(\mathbb{T})}, \quad 1 \leq p \leq \infty,$$

where

$$\Delta_h^r f(x) = \Delta_h^{r-1}(\Delta_h f(x)) \quad \text{and} \quad \Delta_h f(x) = f(x+h) - f(x).$$

Recently ([20, 23]) the sharp version of (1.1) was proved in the case $1 < p < q < \infty$:

$$\omega^r(f, t)_q \leq C \left(\int_0^t (u^{-\sigma} \omega^{r+\sigma}(f, u)_p)^{q_1} \frac{du}{u} \right)^{1/q}, \quad (1.2)$$

where $\omega^r(f, u)_p$ is the moduli of smoothness of the (fractional) order $r > 0$. Moreover, it turned out that (1.2) also holds if $(p, q) = (1, \infty)$; see [21]. In this case $\sigma = 1$ and one can work with the classical (not necessary fractional) moduli of smoothness. On the other hand, (1.2) is not true ([21]) for $1 = p < q < \infty$ or $1 < p < q = \infty$.

In the present paper, we consider a nonperiodic case, namely L_p spaces with Jacobi weights on an interval, and obtain inequalities similar to (1.2) for the fractional K -functionals and Ditzian–Totik moduli of smoothness. We start with notation.

Key words and phrases. Jacobi weights, Landau type inequalities, Hardy–Littlewood type inequalities, K -functionals, Ditzian–Totik moduli of smoothness, sharp Ulyanov inequality.

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Denote by $w^{(a,b)}(x) = (1-x)^a(1+x)^b$, $a, b > -1$, the Jacobi weight on $[-1, 1]$. For $1 \leq p < \infty$, let $L_p^{(a,b)}$ be the space of all functions f measurable on $[-1, 1]$ with the finite norm

$$\|f\|_{p,(a,b)} = \left(\int_{-1}^1 |f(x)|^p w^{(a,b)}(x) dx \right)^{1/p}.$$

If $a = b = 0$, we write $L_p = L_p^{(a,b)}$, $\|\cdot\|_p = \|\cdot\|_{p,(0,0)}$. In the case $p = \infty$, we set $L_p^{(a,b)} := C[-1, 1]$ and

$$\|f\|_{\infty,(a,b)} = \|f\|_{\infty} = \max_{x \in [-1, 1]} |f(x)|.$$

For an arbitrary interval $[x_1, x_2]$, we set

$$\|f\|_{L_p[x_1, x_2]} = \left(\int_{x_1}^{x_2} |f(x)|^p dx \right)^{1/p}, \quad 1 \leq p < \infty, \quad \|f\|_{L_{\infty}[x_1, x_2]} = \max_{x \in [x_1, x_2]} |f(x)|.$$

For $\alpha, \beta > -1$, denote by $\psi_k^{(\alpha, \beta)}(x)$, $k = 0, 1, \dots$, the system of Jacobi polynomials orthogonal on $[-1, 1]$ with the weight $w^{(\alpha, \beta)}$ and normalized by the condition

$$\int_{-1}^1 \left| \psi_k^{(\alpha, \beta)}(x) \right|^2 w^{(\alpha, \beta)}(x) dx = 1.$$

The Jacobi polynomials are the eigenfunctions of the differential operator

$$\mathcal{D} = \mathcal{D}_2^{(\alpha, \beta)} = \frac{-1}{w^{(\alpha, \beta)}(x)} \frac{d}{dx} w^{(\alpha, \beta)}(x) (1-x^2) \frac{d}{dx},$$

$$\mathcal{D} \psi_k^{(\alpha, \beta)} = \left(\lambda_k^{(\alpha, \beta)} \right)^2 \psi_k^{(\alpha, \beta)}, \quad \lambda_k^{(\alpha, \beta)} = (k(k + \alpha + \beta + 1))^{1/2}.$$

For a function $f \in L_p^{(\alpha, \beta)}$, $1 \leq p \leq \infty$, the Fourier–Jacobi expansion is defined as follows:

$$f(x) \sim \sum_{k=0}^{\infty} \hat{f}_k^{(\alpha, \beta)} \psi_k^{(\alpha, \beta)}(x), \quad (1.3)$$

where

$$\hat{f}_k^{(\alpha, \beta)} = \int_{-1}^1 f(x) \psi_k^{(\alpha, \beta)}(x) w^{(\alpha, \beta)}(x) dx, \quad k = 0, 1, 2, \dots$$

Let $\sigma > 0$. If there exists a function $g \in L_1^{(\alpha, \beta)}$ such that its Fourier–Jacobi expansion has the form

$$g \sim \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha, \beta)} \right)^{\sigma} \hat{f}_k^{(\alpha, \beta)} \psi_k^{(\alpha, \beta)},$$

then we use the notation

$$g = \mathcal{D}_{\sigma}^{(\alpha, \beta)} f$$

and we call $\mathcal{D}_{\sigma}^{(\alpha, \beta)} f$ the fractional derivative of order σ of the function f . If there exists a function $h \in L_1^{(\alpha, \beta)}$ such that its Fourier–Jacobi expansion has the form

$$h \sim \hat{f}_0^{(\alpha, \beta)} + \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha, \beta)} \right)^{-\sigma} \hat{f}_k^{(\alpha, \beta)} \psi_k^{(\alpha, \beta)},$$

then we use the notation

$$h = \mathcal{I}_{\sigma}^{(\alpha, \beta)} f$$

and we call $\mathcal{I}_{\sigma}^{(\alpha, \beta)} f$ the fractional integral of order σ of the function f . Notice that $\mathcal{I}_{\sigma}^{(\alpha, \beta)}$, $\sigma > 0$, is a bounded linear operator on $L_1^{(\alpha, \beta)}$ (see, e.g., [3, Sec. 5, pp. 789–790]).

The K -functional corresponding to the differential operator $\mathcal{D}^{(\alpha,\beta)}$ and a real positive number r is defined by

$$K^r(f, \mathcal{D}_r^{(\alpha,\beta)}, t)_{p,(\alpha,\beta)} = \inf \left\{ \|f - g\|_{p,(\alpha,\beta)} + t^r \|\mathcal{D}_r^{(\alpha,\beta)} g\|_{p,(\alpha,\beta)} : g \in W_{p,(\alpha,\beta)}^{r,(\alpha,\beta)} \right\} \quad (1.4)$$

(see [10, (1.9)]), where $W_{p,(\alpha,\beta)}^{r,(\alpha,\beta)} = \left\{ g : g, \mathcal{D}_r^{(\alpha,\beta)} g \in L_p^{(\alpha,\beta)} \right\}$.

The main result of this paper is the following

THEOREM 1. *Let $1 < p < q < \infty$, $r > 0$, $\alpha \geq \beta > -1$, $\alpha \geq -1/2$. Suppose also that*

$$\sigma = (2\alpha + 2) \left(\frac{1}{p} - \frac{1}{q} \right).$$

If $f \in L_p^{(\alpha,\beta)}$ and

$$\int_0^1 \left(u^{-\sigma} K^{r+\sigma}(f, \mathcal{D}_{r+\sigma}^{(\alpha,\beta)}, u)_{p,(\alpha,\beta)} \right)^q \frac{du}{u} < \infty,$$

then $f \in L_q^{(\alpha,\beta)}$ and

$$K^r(f, \mathcal{D}_r^{(\alpha,\beta)}, t)_{q,(\alpha,\beta)} \leq C \left(\int_0^t \left(u^{-\sigma} K^{r+\sigma}(f, \mathcal{D}_{r+\sigma}^{(\alpha,\beta)}, u)_{p,(\alpha,\beta)} \right)^q \frac{du}{u} \right)^{1/q}.$$

The rest of the paper is organized as follows. In Section 2 we obtain the key result to get sharp Ulyanov inequalities – the weighted inequalities of Hardy–Littlewood and Landau type for functions defined on the interval $[-1, 1]$. Section 3 contains the definition of fractional K -functionals with Jacobi weights and sharp Ulyanov inequalities for K -functionals (Theorem 3). In Section 4 analogous results for the Ditzian–Totik moduli of smoothness are obtained. Namely, we study a relationship between these moduli and the corresponding K -functionals and prove sharp Ulyanov inequalities for the Ditzian–Totik moduli in the case of $1 \leq p \leq q \leq \infty$ (Theorem 5).

2. Inequalities for fractional integrals with Jacobi weights

2.1. Landau-type inequalities. We will need the following Hardy-type inequality (see, e.g., [5] and [19, Theorem 6.2, Example 6.8]). We set $\frac{1}{q} := 0$ for $q = \infty$.

THEOREM A. *Let $1 \leq p \leq q \leq \infty$, $(p, q) \neq (\infty, \infty)$, $a > -\frac{1}{q}$, $\bar{x} \in (0, \infty)$. Then the inequality*

$$\|f(x)x^a\|_{L_q[0,\bar{x}]} \leq C(p, q, a, \bar{x}) \|f'(x)x^{a+h}\|_{L_p[0,\bar{x}]}$$

holds for any locally absolutely continuous function f on $(0, \bar{x}]$ with the property $f(\bar{x}) = 0$ if and only if $h \leq 1 - \left(\frac{1}{p} - \frac{1}{q} \right)$.

Let us mention that the quantity $C(p, q, a, \bar{x})$ is nondecreasing with respect to \bar{x} .

The following Landau–type inequality can be found in, e.g., [6, Ch. 2, Th. 5.6, p. 38].

THEOREM B. *For $1 \leq p \leq \infty$, $\ell \geq 2$, there is a constant $C(\ell)$ such that for all $r = 0, \dots, \ell$ and any function f with $f^{(\ell-1)}$ absolutely continuous on $[-\frac{1}{2}, \frac{1}{2}]$ and $f^{(\ell)} \in L_p[-\frac{1}{2}, \frac{1}{2}]$ we have*

$$\|f^{(r)}\|_{L_p[-\frac{1}{2}, \frac{1}{2}]} \leq C(\ell) \left(\|f\|_{L_p[-\frac{1}{2}, \frac{1}{2}]} + \|f^{(\ell)}\|_{L_p[-\frac{1}{2}, \frac{1}{2}]} \right).$$

As a corollary of Theorem A and Theorem B we get

LEMMA 1. Suppose that $1 \leq p \leq q \leq \infty$, $(p, q) \neq (\infty, \infty)$, $a, b > -\frac{1}{q}$, $c, d > -\frac{1}{p}$, r is a nonnegative integer, k is a positive integer, and

$$h = k - \left(\frac{1}{p} - \frac{1}{q} \right).$$

Then, there exists a constant $C = C(p, q, a, b, c, d, r, k)$ such that for any function f with $f^{(r+k-1)}$ absolutely continuous on $(-1, 1)$ and $f^{(r+k)} w^{(a+h, b+h)} \in L_p$ we have

$$\left\| f^{(r)} w^{(a, b)} \right\|_q \leq C \left(\left\| f w^{(c, d)} \right\|_p + \left\| f^{(r+k)} w^{(a+h, b+h)} \right\|_p \right). \quad (2.1)$$

Inequality (2.1) is sharp in the following sense. If $a - c < r + \left(\frac{1}{p} - \frac{1}{q} \right)$, then for any $\varepsilon > 0$ there exists $\{f_n\} \subset C^{k+r}[-1, 1]$ such that

$$\left\| f_n^{(r)} w^{(a, b)} \right\|_q \cdot \left(\left\| f_n w^{(c, d)} \right\|_1 + \left\| f_n^{(r+k)} w^{(a+h+\varepsilon, b+h)} \right\|_p \right)^{-1} \rightarrow \infty \quad \text{as } n \rightarrow \infty. \quad (2.2)$$

The analogous statement also holds with respect to the parameter b .

PROOF OF LEMMA 1. It is enough to verify inequality (2.1) for $k = 1$. The proof in the general case is by induction on k . Note that $f^{(r)}$ is continuous on $[-\frac{1}{2}, \frac{1}{2}]$ by our assumption. We take $\bar{x} \in [-\frac{1}{2}, \frac{1}{2}]$ such that

$$\left| f^{(r)}(\bar{x}) \right| = \min \left\{ \left| f^{(r)}(x) \right| : x \in [-\frac{1}{2}, \frac{1}{2}] \right\}.$$

Let $g(x) = f^{(r)}(x) - f^{(r)}(\bar{x})$, then

$$\begin{aligned} \left\| f^{(r)} w^{(a, b)} \right\|_q &\leq \left\| g w^{(a, b)} \right\|_q + \left| f^{(r)}(\bar{x}) \right| \left\| w^{(a, b)} \right\|_q \\ &\leq \left\| g w^{(a, b)} \right\|_{L_q[-1, \bar{x}]} + \left\| g w^{(a, b)} \right\|_{L_q[\bar{x}, 1]} + \left| f^{(r)}(\bar{x}) \right| \left\| w^{(a, b)} \right\|_{L_q[-1, 1]}. \end{aligned}$$

To estimate the first term, we apply Theorem A (for the interval $[-1, \bar{x}]$ instead of $[0, \bar{x}]$) with $h = 1 - \left(\frac{1}{p} - \frac{1}{q} \right)$:

$$\begin{aligned} \left\| g w^{(a, b)} \right\|_{L_q[-1, \bar{x}]} &\leq 2^{|a|} \left\| g(x)(1+x)^b \right\|_{L_q[-1, \bar{x}]} \leq 2^{|a|} C \left\| g'(x)(1+x)^{b+h} \right\|_{L_q[-1, \bar{x}]} \\ &\leq 2^{|a|+|a+h|} C \left\| g'(x)(1-x)^{a+h}(1+x)^{b+h} \right\|_{L_p[-1, \bar{x}]} \\ &\leq 2^{|a|+|a+h|} C \left\| g' w^{(a+h, b+h)} \right\|_{L_p[-1, 1]} = 2^{|a|+|a+h|} C \left\| f^{(r+1)} w^{(a+h, b+h)} \right\|_{L_p[-1, 1]}. \end{aligned}$$

A similar estimate holds for $\left\| g w^{(a, b)} \right\|_{L_q[\bar{x}, 1]}$ as well.

To estimate $\left| f^{(r)}(\bar{x}) \right|$, we apply Theorem B:

$$\begin{aligned} \left| f^{(r)}(\bar{x}) \right| &\leq \left\| f^{(r)} \right\|_{L_1[-\frac{1}{2}, \frac{1}{2}]} \leq C \left(\left\| f \right\|_{L_1[-\frac{1}{2}, \frac{1}{2}]} + \left\| f^{(r+1)} \right\|_{L_1[-\frac{1}{2}, \frac{1}{2}]} \right) \\ &\leq 2^{|c|+|d|+|a+h|+|b+h|} C \left(\left\| f w^{(c, d)} \right\|_{L_p[-1, 1]} + \left\| f^{(r+1)} w^{(a+h, b+h)} \right\|_{L_p[-1, 1]} \right), \end{aligned}$$

where C depends only on $r + 1$. Thus, (2.1) follows.

Let us now show (2.2). Since for any $0 \leq \varepsilon_1 \leq \varepsilon_2$ the estimate

$$w^{(a+h+\varepsilon_2, b+h)}(x) \leq 2^{\varepsilon_2-\varepsilon_1} w^{(a+h+\varepsilon_1, b+h)}(x), \quad x \in [-1, 1],$$

holds, we can assume

$$0 < \varepsilon \leq c - a + r + 1/p - 1/q. \quad (2.3)$$

For $m > r + k$, consider the sequence of functions

$$f_n(x) = ((x + 1/n - 1)_+)^m, \quad x \in [-1, 1], \quad y_+ = \max\{y, 0\}.$$

It is easy to verify that if $\mu \geq 0$ and $\nu > -1/q$, then

$$\|((1/n - 1 + x)_+)^{\mu}(1 - x)^{\nu}\|_q \asymp \frac{1}{n^{\mu+\nu+1/q}} \quad \text{as } n \rightarrow \infty.$$

Here $A_n \asymp B_n$ as $n \rightarrow \infty$ means that $B_n/C \leq A_n \leq CB_n$ for some positive constant C and all n . Using this, we get

$$\begin{aligned} \|f_n w^{(c,d)}\|_p &\asymp \frac{1}{n^{m+c+1/p}}, & \|f_n^{(r)} w^{(a,b)}\|_q &\asymp \frac{1}{n^{m-r+a+1/q}}, \\ \|f_n^{(r+k)} w^{(a+h+\varepsilon, b+h)}\|_p &\asymp \frac{1}{n^{m-r-k+a+h+\varepsilon+1/p}} = \frac{1}{n^{m-r+a+\varepsilon+1/q}}. \end{aligned}$$

Under assumption (2.3) we have

$$\|f_n w^{(c,d)}\|_p + \|f_n^{(r+k)} w^{(a+h+\varepsilon, b+h)}\|_p \asymp \frac{1}{n^{m-r+a+\varepsilon+1/q}},$$

and therefore,

$$\frac{\|f_n^{(r)} w^{(a,b)}\|_q}{\|f_n w^{(c,d)}\|_p + \|f_n^{(r+k)} w^{(a+h+\varepsilon, b+h)}\|_p} \asymp n^{\varepsilon} \quad \text{as } n \rightarrow \infty,$$

concluding the proof. \square

2.2. Hardy–Littlewood type inequalities. To prove Hardy–Littlewood type inequalities for the fractional integral $\mathcal{I}_{\sigma}^{(\alpha, \beta)}$, we will use the Muckenhoupt transplantation theorem [18, Corollary 17.11], which is written in our notation as follows.

THEOREM C. *If $1 < \bar{p} \leq \bar{q} < \infty$, $\bar{\alpha}, \bar{\beta}, \bar{\gamma}, \bar{\delta} > -1$, $\bar{a}, \bar{b}, \bar{c}, \bar{d} > -1$,*

$$s = \frac{1}{\bar{p}} - \frac{1}{\bar{q}},$$

$$\frac{\bar{a}}{\bar{q}} = \frac{\bar{c}}{\bar{p}} + \frac{\bar{\alpha} - \bar{\gamma}}{2} + \frac{1}{2} \left(\frac{1}{\bar{p}} - \frac{1}{\bar{q}} \right), \quad \frac{\bar{b}}{\bar{q}} = \frac{\bar{d}}{\bar{p}} + \frac{\bar{\beta} - \bar{\delta}}{2} + \frac{1}{2} \left(\frac{1}{\bar{p}} - \frac{1}{\bar{q}} \right),$$

the quantities $\bar{A} = (\bar{c} + 1)/\bar{p} - \bar{\gamma}$ and $\bar{B} = (\bar{d} + 1)/\bar{p} - \bar{\delta}$ are not positive integers, $M = \max\{0, [\bar{A}]\}$, $N = \max\{0, [\bar{B}]\}$, $f \in L_{\bar{p}}^{(\bar{c}, \bar{d})}$,

$$\hat{f}_k^{(\bar{\gamma}, \bar{\delta})} = 0, \quad 0 \leq k \leq M + N - 1,$$

h is an integer, ν_k has the form

$$\nu_k = \sum_{j=0}^{J-1} c_j (k+1)^{-s-j} + O((k+1)^{-s-J})$$

with $J \geq \bar{\alpha} + \bar{\beta} + \bar{\gamma} + \bar{\delta} + 6 + 2M + 2N$ and $0 \leq \rho < 1$, then

$$T_{\rho} f(x) = \sum_{k=0}^{\infty} \rho^k \nu_k \hat{f}_k^{(\bar{\gamma}, \bar{\delta})} \psi_{k+h}^{(\bar{\alpha}, \bar{\beta})}(x)$$

converges for every $x \in (-1, 1)$,

$$\|T_{\rho} f\|_{\bar{q}, (\bar{a}, \bar{b})} \leq C \|f\|_{\bar{p}, (\bar{c}, \bar{d})},$$

where C is independent of ρ and f . Moreover, there is a function Tf in $L_{\bar{q}}^{(\bar{a}, \bar{b})}$ such that $T_\rho f$ converges to Tf in $L_{\bar{q}}^{(\bar{a}, \bar{b})}$ as $\rho \rightarrow 1-$. If it is also assumed that $\bar{a} + 1 < (\bar{\alpha} + 1)\bar{q}$ and $\bar{b} + 1 < (\bar{\beta} + 1)\bar{q}$, then

$$\widehat{Tf}_k^{(\bar{\alpha}, \bar{\beta})} = \begin{cases} 0, & 0 \leq k \leq h-1 \\ \nu_{k-h} \widehat{f}_{k-h}^{(\bar{\gamma}, \bar{\delta})}, & \max(0, h) \leq k. \end{cases}$$

The next Hardy–Littlewood inequality is a simple corollary of Theorem C.

COROLLARY 1. *Let $1 < p < q < \infty$, $-1/2 \geq a \geq b > -1$, $\alpha \geq \beta > -1$, $(a+1) < (\alpha+1)p$, $(b+1) < (\beta+1)p$, and*

$$\sigma \geq \frac{1}{p} - \frac{1}{q}.$$

Let also $f \in L_p^{(a,b)}$. Then there exists C independent of f such that

$$\left\| \mathcal{I}_\sigma^{(\alpha, \beta)} f \right\|_{q, (a, b)} \leq C \|f\|_{p, (a, b)}. \quad (2.4)$$

In the special case $(\alpha, \beta) = (a, b)$, the Hardy–Littlewood inequality (2.4) was studied by Askey and Wainger [2, Sec. J] (see also [1]) and later by Bavinck and Trebels [3, Theorem 5.4], [4, Theorems 1 and 1’].

THEOREM D ([2, 4]). *Let $1 < p < q < \infty$, $a \geq b > -1$, $a + b \geq -1$, and*

$$\sigma \geq (2a + 2) \left(\frac{1}{p} - \frac{1}{q} \right).$$

If $f \in L_p^{(a,b)}$, then $\mathcal{I}_\sigma^{(a,b)} f \in L_q^{(a,b)}$ and

$$\left\| \mathcal{I}_\sigma^{(a,b)} f \right\|_{q, (a, b)} \leq C(p, q, a, b) \|f\|_{p, (a, b)}.$$

For $(\alpha, \beta) \neq (a, b)$ we have the following result.

THEOREM 2. *Let $1 < p < q < \infty$, $a \geq b > -1$, $a \geq -1/2$, $\alpha \geq \beta > -1$,*

$$p(\alpha - \beta) \leq 2(a - b) \leq q(\alpha - \beta), \quad (2.5)$$

the quantities $A = (a+1)/p - \alpha$ and $B = (b+1)/p - \beta$ be not positive integers, and either $\alpha = a$, or $\alpha > a$ and $q > 2$, or $\alpha < a$ and $p < 2$. Let

$$\sigma \geq (2a + 2) \left(\frac{1}{p} - \frac{1}{q} \right), \quad (2.6)$$

$f \in L_p^{(a,b)} \cap L_1^{(\alpha, \beta)}$ and

$$\widehat{f}_k^{(\alpha, \beta)} = 0, \quad 0 \leq k \leq \max\{0, [A]\} + \max\{0, [B]\} - 1. \quad (2.7)$$

Then there exists C independent of f such that

$$\left\| \mathcal{I}_\sigma^{(\alpha, \beta)} f \right\|_{q, (a, b)} \leq C \|f\|_{p, (a, b)}. \quad (2.8)$$

PROOF. It is sufficient to prove this theorem for polynomials. Indeed, suppose that (2.8) holds for polynomials. Consider a sequence of polynomials $\{Q_m\}$ convergent to f in $L_p^{(a,b)}$ and $L_1^{(\alpha, \beta)}$. Then $\{\mathcal{I}_\sigma^{(\alpha, \beta)} Q_m\}$ is a Cauchy sequence in $L_q^{(a,b)}$ and it converges to some function g in $L_q^{(a,b)}$. Without loss of generality we can assume that $\{\mathcal{I}_\sigma^{(\alpha, \beta)} Q_m\}$ converges to g a.e. on $[-1, 1]$. Since the operator $\mathcal{I}_\sigma^{(\alpha, \beta)}$ is continuous in $L_1^{(\alpha, \beta)}$, the sequence $\{\mathcal{I}_\sigma^{(\alpha, \beta)} Q_m\}$ converges

to $\mathcal{I}_\sigma^{(\alpha,\beta)} f$ in $L_1^{(\alpha,\beta)}$. There is a subsequence $\{\mathcal{I}_\sigma^{(\alpha,\beta)} Q_{m_j}\}$ convergent to $\mathcal{I}_\sigma^{(\alpha,\beta)} f$ a.e. on $[-1, 1]$. Therefore, $g = \mathcal{I}_\sigma^{(\alpha,\beta)} f$.

Let f be a polynomial, i.e.,

$$f = \sum_{k=0}^{\infty} c_k \psi_k^{(\alpha,\beta)},$$

where $c_k = \widehat{f}_k^{(\alpha,\beta)}$ and $c_k = 0$ for $k > \deg(f)$.

Case 1. Consider $\alpha \geq a$, $q \geq 2$. More precisely, under assumption of the theorem, the following relations are possible: $\alpha > a$ and $q > 2$ or $\alpha = a$ and $q \geq 2$.

Now, we define α_1 and p_1 . If $\alpha > a$, then we set

$$\begin{aligned} \alpha_1 &= \frac{q\alpha - 2a}{q - 2}, \\ \frac{\alpha_1}{p_1} &= \frac{a}{p} + \frac{\alpha_1 - \alpha}{2} + \frac{1}{2} \left(\frac{1}{p} - \frac{1}{p_1} \right). \end{aligned}$$

In this case, we have

$$\frac{2\alpha_1 + 1}{p_1} = \frac{2a + 1}{p} + \frac{2(\alpha - a)}{q - 2}$$

and

$$(2\alpha_1 + 2) \left(\frac{1}{p_1} - \frac{1}{q} \right) + \frac{1}{p} - \frac{1}{p_1} = (2a + 2) \left(\frac{1}{p} - \frac{1}{q} \right). \quad (2.9)$$

Notice that condition $\alpha > a$ implies that $\alpha_1 > \max\{a, \alpha, 0\}$ and $p < p_1 < q$.

If $\alpha = a$, then we set $\alpha_1 = \alpha$, $p_1 = p$.

We divide the rest of the proof in Case 1 into three steps.

Step 1.1. We apply Theorem C with $(\bar{q}, \bar{p}) = (p_1, p)$, $(\bar{\alpha}, \bar{\beta}) = (\alpha_1, \alpha_1)$, $(\bar{\gamma}, \bar{\delta}) = (\alpha, \beta)$, $(\bar{c}, \bar{d}) = (a, b)$, $h = 0$, $s = \sigma_1 = \frac{1}{p} - \frac{1}{p_1}$, and

$$\nu_k = \left(\lambda_k^{(\alpha_1, \alpha_1)} \right)^{-\sigma_1}.$$

Then we have $\bar{a} = \alpha_1$,

$$\frac{\bar{b}}{p_1} = \frac{b}{p} + \frac{\alpha_1 - \beta}{2} + \frac{1}{2} \left(\frac{1}{p} - \frac{1}{p_1} \right) = \frac{\alpha_1}{p_1} - \frac{2(a - b) - p(\alpha - \beta)}{2p}, \quad (2.10)$$

$$A = \frac{a + 1}{p} - \alpha, \quad B = \frac{b + 1}{p} - \beta.$$

Therefore, under condition (2.7) for any $\rho \in (0, 1)$, we obtain the inequality

$$\left\| c_0 + \sum_{k=1}^{\infty} \rho^k \left(\lambda_k^{(\alpha_1, \alpha_1)} \right)^{-\sigma_1} c_k \psi_k^{(\alpha_1, \alpha_1)} \right\|_{p_1, (\alpha_1, \bar{b})} \leq C \|f\|_{p, (a, b)}, \quad (2.11)$$

where C is independent of f and ρ . Since f is a polynomial, the sum is finite, and we can rewrite (2.11) as

$$\left\| c_0 + \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha_1, \alpha_1)} \right)^{-\sigma_1} c_k \psi_k^{(\alpha_1, \alpha_1)} \right\|_{p_1, (\alpha_1, \bar{b})} \leq C \|f\|_{p, (a, b)}.$$

Relations (2.5) and (2.10) show that $\alpha_1 \geq \bar{b}$, and hence,

$$\left\| c_0 + \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha_1, \alpha_1)} \right)^{-\sigma_1} c_k \psi_k^{(\alpha_1, \alpha_1)} \right\|_{p_1, (\alpha_1, \alpha_1)} \leq C \|f\|_{p, (a, b)}. \quad (2.12)$$

Step 1.2. In view of (2.6) and (2.9), we have

$$\sigma - \sigma_1 \geq (2\alpha_1 + 2) \left(\frac{1}{p_1} - \frac{1}{q} \right),$$

we can apply Theorem D for the pair of spaces $L_q^{(\alpha_1, \alpha_1)}$ and $L_{p_1}^{(\alpha_1, \alpha_1)}$ to get

$$\left\| c_0 + \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha_1, \alpha_1)} \right)^{-\sigma} c_k \psi_k^{(\alpha_1, \alpha_1)} \right\|_{q, (\alpha_1, \alpha_1)} \leq C \left\| c_0 + \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha_1, \alpha_1)} \right)^{-\sigma_1} c_k \psi_k^{(\alpha_1, \alpha_1)} \right\|_{p_1, (\alpha_1, \alpha_1)}. \quad (2.13)$$

Step 1.3. We use Theorem C once again with $(\bar{q}, \bar{p}) = (q, q)$, $(\bar{\alpha}, \bar{\beta}) = (\alpha, \beta)$, $(\bar{\gamma}, \bar{\delta}) = (\alpha_1, \alpha_1)$, $(\bar{c}, \bar{d}) = (\alpha_1, \alpha_1)$, and

$$\nu_k = \left(\lambda_k^{(\alpha, \beta)} / \lambda_k^{(\alpha_1, \alpha_1)} \right)^{-\sigma}.$$

Then $s = 0$, $\bar{a} = a$,

$$\frac{\bar{b}}{q} = \frac{\alpha_1}{q} + \frac{\beta - \alpha_1}{2} = \frac{b}{q} - \frac{q(\alpha - \beta) - 2(a - b)}{2q}, \quad (2.14)$$

and

$$A = B = \frac{\alpha_1 + 1}{q} - \alpha_1 = \alpha_1 \left(\frac{1}{q} - 1 \right) + \frac{1}{q} \leq -\frac{1}{2} \left(\frac{1}{q} - 1 \right) + \frac{1}{q} < 1, \quad [A] = [B] = 0.$$

We have

$$\left\| c_0 + \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha, \beta)} \right)^{-\sigma} c_k \psi_k^{(\alpha, \beta)} \right\|_{q, (a, \bar{b})} \leq C \left\| c_0 + \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha_1, \alpha_1)} \right)^{-\sigma} c_k \psi_k^{(\alpha_1, \alpha_1)} \right\|_{q, (\alpha_1, \alpha_1)}.$$

Relations (2.5) and (2.14) show that $\bar{b} \leq b$, and hence,

$$\left\| c_0 + \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha, \beta)} \right)^{-\sigma} c_k \psi_k^{(\alpha, \beta)} \right\|_{q, (a, b)} \leq 2^{b-\bar{b}} \left\| c_0 + \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha, \beta)} \right)^{-\sigma} c_k \psi_k^{(\alpha, \beta)} \right\|_{q, (a, \bar{b})}. \quad (2.15)$$

Finally, combining (2.12), (2.13), and (2.15), we obtain inequality (2.8).

Case 2. Consider $\alpha \leq a$, $p \leq 2$. More precisely, under assumption of the theorem, the following relations are possible: $\alpha < a$ and $p < 2$ or $\alpha = a$ and $p \leq 2$.

Now, we define α_1 and q_1 . If $\alpha < a$, then we set

$$\alpha_1 = \frac{2a - p\alpha}{2 - p},$$

$$\frac{a}{q} = \frac{\alpha_1}{q_1} + \frac{\alpha - \alpha_1}{2} + \frac{1}{2} \left(\frac{1}{q_1} - \frac{1}{q} \right).$$

In this case, we have

$$\frac{2\alpha_1 + 1}{q_1} = \frac{2a + 1}{q} + \frac{2(a - \alpha)}{2 - p}$$

and

$$(2\alpha_1 + 2) \left(\frac{1}{p} - \frac{1}{q_1} \right) + \frac{1}{q_1} - \frac{1}{q} = (2a + 2) \left(\frac{1}{p} - \frac{1}{q} \right). \quad (2.16)$$

Notice that condition $\alpha < a$ implies that $\alpha_1 > \max\{a, \alpha, 0\}$ and $p < q_1 < q$.

If $\alpha = a$, then we set $\alpha_1 = \alpha$, $q_1 = q$.

We can argue similarly to the proof in Case 1 dividing the rest of the proof into three steps.

Step 2.1. We are going to use Theorem C with $(\bar{q}, \bar{p}) = (p, p)$, $(\bar{\alpha}, \bar{\beta}) = (\alpha_1, \alpha_1)$, $(\bar{\gamma}, \bar{\delta}) = (\alpha, \beta)$, $(\bar{c}, \bar{d}) = (a, b)$, $h = 0$, $s = 0$, and $\nu_k = 1$. Then $\bar{a} = \alpha_1$,

$$\begin{aligned} \frac{\bar{b}}{p} &= \frac{b}{p} + \frac{\alpha_1 - \beta}{2} = \frac{\alpha_1}{p} - \frac{2(a - b) - p(\alpha - \beta)}{2p}, \\ A &= \frac{a + 1}{p} - \alpha, \quad B = \frac{b + 1}{p} - \beta. \end{aligned} \quad (2.17)$$

Therefore, under condition (2.7) for any $\rho \in (0, 1)$, we obtain the inequality

$$\left\| c_0 + \sum_{k=1}^{\infty} \rho^k c_k \psi_k^{(\alpha_1, \alpha_1)} \right\|_{p, (\alpha_1, \bar{b})} \leq C \|f\|_{p, (a, b)}, \quad (2.18)$$

where C does not depend on f and ρ . Since f is a polynomial, the sum is finite. Taking into account (2.5) and (2.17), we conclude that $\alpha_1 \geq \bar{b}$, and hence, and we can rewrite (2.18) as

$$\left\| c_0 + \sum_{k=1}^{\infty} c_k \psi_k^{(\alpha_1, \alpha_1)} \right\|_{p, (\alpha_1, \alpha_1)} \leq C \|f\|_{p, (a, b)}. \quad (2.19)$$

Step 2.2. Set $\sigma_1 = \sigma - \left(\frac{1}{q_1} - \frac{1}{q}\right)$. In view of (2.6) and (2.16), we have

$$\sigma_1 \geq (2\alpha_1 + 1) \left(\frac{1}{p} - \frac{1}{q_1} \right).$$

We can apply Theorem D for the pair of spaces $L_{q_1}^{(\alpha_1, \alpha_1)}$ and $L_p^{(\alpha_1, \alpha_1)}$ to get

$$\left\| c_0 + \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha_1, \alpha_1)} \right)^{-\sigma_1} c_k \psi_k^{(\alpha_1, \alpha_1)} \right\|_{q_1, (\alpha_1, \alpha_1)} \leq C \left\| c_0 + \sum_{k=1}^{\infty} c_k \psi_k^{(\alpha_1, \alpha_1)} \right\|_{p, (\alpha_1, \alpha_1)}. \quad (2.20)$$

Step 2.3. We use Theorem C once again with $(\bar{q}, \bar{p}) = (q, q_1)$, $(\bar{\alpha}, \bar{\beta}) = (\alpha, \beta)$, $(\bar{\gamma}, \bar{\delta}) = (\alpha_1, \alpha_1)$, $(\bar{c}, \bar{d}) = (\alpha_1, \alpha_1)$, and

$$\nu_k = \left(\lambda_k^{(\alpha, \beta)} \right)^{-(\sigma - \sigma_1)} \left(\lambda_k^{(\alpha_1, \alpha_1)} / \lambda_k^{(\alpha, \beta)} \right)^{\sigma_1}.$$

Hence, $s = \sigma - \sigma_1 = \frac{1}{q_1} - \frac{1}{q}$, $\bar{a} = a$,

$$\frac{\bar{b}}{q} = \frac{\alpha_1}{q_1} + \frac{\beta - \alpha_1}{2} + \frac{1}{2} \left(\frac{1}{q_1} - \frac{1}{q} \right) = \frac{b}{q} - \frac{q(\alpha - \beta) - 2(a - b)}{2q}, \quad (2.21)$$

and

$$A = B = \frac{\alpha_1 + 1}{q_1} - \alpha_1 = \alpha_1 \left(\frac{1}{q_1} - 1 \right) + \frac{1}{q_1} \leq -\frac{1}{2} \left(\frac{1}{q_1} - 1 \right) + \frac{1}{q_1} < 1, \quad [A] = [B] = 0.$$

We have

$$\left\| c_0 + \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha, \beta)} \right)^{-\sigma} c_k \psi_k^{(\alpha, \beta)} \right\|_{q, (a, \bar{b})} \leq C \left\| c_0 + \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha_1, \alpha_1)} \right)^{-\sigma_1} c_k \psi_k^{(\alpha_1, \alpha_1)} \right\|_{q_1, (\alpha_1, \alpha_1)}.$$

Taking into account (2.5) and (2.21), we see that $\bar{b} \leq b$, and hence,

$$\left\| c_0 + \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha, \beta)} \right)^{-\sigma} c_k \psi_k^{(\alpha, \beta)} \right\|_{q, (a, b)} \leq 2^{b - \bar{b}} \left\| c_0 + \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha, \beta)} \right)^{-\sigma} c_k \psi_k^{(\alpha, \beta)} \right\|_{q, (a, \bar{b})}. \quad (2.22)$$

Finally, combining (2.19), (2.20), and (2.22), we obtain inequality (2.8). \square

3. Ulyanov-type inequalities for K -functionals

Definitions and facts, given in this section and in the next one, are based on the books [14, 16]; see also [8, 10] and the recent survey [11].

In this section, we assume that $1 \leq p \leq \infty$, $a, b > -1$, $\alpha, \beta > -1$ and

$$\frac{a+1}{p} - \alpha < 1, \quad \frac{b+1}{p} - \beta < 1. \quad (3.1)$$

Then, since $L_p^{(a,b)} \subset L_1^{(\alpha,\beta)}$, the Fourier–Jacobi expansion (1.3) is well-defined for any $f \in L_p^{(a,b)}$.

Denote by Π_n the set of all algebraic polynomials of degree at most n , $\Pi = \cup_{n \geq 0} \Pi_n$. Let $P_{n,f} = P_n(f)_{p,(a,b)}$, $P_{n,f} \in \Pi_n$, be a near best polynomial approximant of a function $f \in L_p^{(a,b)}$, that is,

$$\|f - P_{n,f}\|_{p,(a,b)} \leq C E_n(f)_{p,(a,b)}, \quad E_n(f)_{p,(a,b)} = \inf \{ \|f - P\|_{p,(a,b)} : P \in \Pi_n \}. \quad (3.2)$$

The K -functional corresponding to the differential operator $\mathcal{D}^{(\alpha,\beta)}$ and a real positive number r is defined by

$$K^r(f, \mathcal{D}_r^{(\alpha,\beta)}, t)_{p,(a,b)} = \inf \left\{ \|f - g\|_{p,(a,b)} + t^r \|\mathcal{D}_r^{(\alpha,\beta)} g\|_{p,(a,b)} : g \in W_{p,(a,b)}^{r,(\alpha,\beta)} \right\} \quad (3.3)$$

(see [10, (1.9)]), where $W_{p,(a,b)}^{r,(\alpha,\beta)} = \left\{ g : g, \mathcal{D}_r^{(\alpha,\beta)} g \in L_p^{(a,b)} \right\}$. The following realization result holds:

$$K^r \left(f, \mathcal{D}_r^{(\alpha,\beta)}, 1/n \right)_{p,(a,b)} \asymp \|f - P_{n,f}\|_{p,(a,b)} + n^{-r} \|\mathcal{D}_r^{(\alpha,\beta)} P_{n,f}\|_{p,(a,b)}, \quad 1 < p < \infty. \quad (3.4)$$

It is a corollary of Theorem 6.2 in [10]. To apply this theorem, we have to show that the Cesàro operator C_n^ℓ given by

$$C_n^\ell(f) = \sum_{k=0}^n \left(1 - \frac{k}{n+1}\right) \left(1 - \frac{k}{n+2}\right) \cdots \left(1 - \frac{k}{n+\ell}\right) \widehat{f}_k \psi_k^{(\alpha,\beta)}$$

is bounded in $L_p^{(a,b)}$ for some ℓ . This fact is mentioned in [8, Sec. 3]. Moreover, from [18, Theorem 1.10, p. 4] (see also [8, Theorem M]) it easily follows that the operator C_n^ℓ is bounded in $L_p^{(a,b)}$ for any

$$\ell > \max \left\{ \left| \frac{2(a+1)}{p} - \alpha - 1 \right|, \left| \frac{2(b+1)}{p} - \beta - 1 \right|, \left| \frac{2(a+1)}{p} - \alpha - \frac{1}{2} - \frac{1}{p} \right|, \left| \frac{2(b+1)}{p} - \beta - \frac{1}{2} - \frac{1}{p} \right|, \left| \frac{2}{p}(a-b) - (\alpha - \beta) \right| \right\}.$$

Note that one can equivalently consider the boundedness of the Riesz means, see [22, Theorem 3.19].

Now we formulate and prove the main result – Ulyanov type inequality for K -functionals with Jacobi weights. Theorem 3 contains Theorem 1, stated in Introduction, as a particular case.

THEOREM 3. *Let $1 < p < q < \infty$ and $r > 0$. Suppose that $\alpha, \beta > -1$, $a \geq b > -1$, $a \geq -1/2$, inequalities (3.1) hold, and either $(\alpha, \beta) = (a, b)$, or*

$$p(\alpha - \beta) \leq 2(a - b) \leq q(\alpha - \beta),$$

and $\alpha = a$, or $\alpha > a$, $q > 2$, or $\alpha < a$, $p < 2$.

Suppose also that

$$\sigma = (2a + 2) \left(\frac{1}{p} - \frac{1}{q} \right).$$

If $f \in L_p^{(a,b)}$ and

$$\int_0^1 \left(u^{-\sigma} K^{r+\sigma}(f, \mathcal{D}_{r+\sigma}^{(\alpha,\beta)}, u)_{p,(a,b)} \right)^q \frac{du}{u} < \infty,$$

then $f \in L_q^{(a,b)}$ and

$$K^r(f, \mathcal{D}_r^{(\alpha,\beta)}, t)_{q,(a,b)} \leq C \left(\int_0^t \left(u^{-\sigma} K^{r+\sigma}(f, \mathcal{D}_{r+\sigma}^{(\alpha,\beta)}, u)_{p,(a,b)} \right)^q \frac{du}{u} \right)^{1/q}. \quad (3.5)$$

Theorem 3 extends the results of [13, Theorem 11.2] and [24, Section 3.3.1] in two directions. First, our estimate involves the K -functional of order $r + \sigma$, i.e., we get the sharp estimate. Second, we consider the case when $(\alpha, \beta) \neq (a, b)$. We also remark that the sharp Ulyanov inequality for functions on \mathbb{S}^{d-1} was recently proved in [25].

PROOF. Using monotonicity properties of the K -functional, it is enough to verify inequality (3.5) for $t = 1/n$, $n \in \mathbb{N}$. We have

$$K^r(f, \mathcal{D}_r^{(\alpha,\beta)}, 1/n)_{q,(a,b)} \leq C \left(\|f - P_{n,f}\|_{q,(a,b)} + n^{-r} \|\mathcal{D}_r^{(\alpha,\beta)} P_{n,f}\|_{q,(a,b)} \right), \quad (3.6)$$

where $P_{n,f}$ is given by (3.2). To estimate the first term, we apply [13, Theorem 4.1, (4.6)'] to get

$$\|f - P_{n,f}\|_{q,(a,b)} \leq C \left(\sum_{k=n}^{\infty} k^{q\sigma-1} \|f - P_{k,f}\|_{p,(a,b)}^q \right)^{1/q}.$$

In view of the realization result (3.4), we obtain

$$\begin{aligned} \|f - P_{n,f}\|_{q,(a,b)} &\leq C \left(\sum_{k=n}^{\infty} k^{q\sigma-1} \|f - P_{k,f}\|_{p,(a,b)}^q \right)^{1/q} \\ &\leq C \left(\sum_{k=n}^{\infty} k^{q\sigma-1} K^{r+\sigma}(f, \mathcal{D}_{r+\sigma}^{(\alpha,\beta)}, 1/k)_{p,(a,b)}^q \right)^{1/q} \\ &\leq C \left(\int_0^{1/n} \left(u^{-\sigma} K^{r+\sigma}(f, \mathcal{D}_{r+\sigma}^{(\alpha,\beta)}, u)_{p,(a,b)} \right)^q \frac{du}{u} \right)^{1/q}. \end{aligned}$$

To estimate the second term in (3.6), we use Theorem D or Theorem 2 depending on whether $(\alpha, \beta) = (a, b)$ or $(\alpha, \beta) \neq (a, b)$:

$$n^{-r} \left\| \mathcal{D}_r^{(\alpha,\beta)} P_{n,f} \right\|_{q,(a,b)} \leq C n^\sigma n^{-(r+\sigma)} \left\| \mathcal{D}_{r+\sigma}^{(\alpha,\beta)} P_{n,f} \right\|_{p,(a,b)} \leq C n^\sigma K^{r+\sigma}(f, \mathcal{D}_{r+\sigma}^{(\alpha,\beta)}, 1/n)_{p,(a,b)}.$$

To complete the proof of (3.5), we have

$$n^\sigma K^{r+\sigma}(f, \mathcal{D}_{r+\sigma}^{(\alpha,\beta)}, 1/n)_{p,(a,b)} \leq C \left(\int_{1/2n}^{1/n} \left(u^{-\sigma} K^{r+\sigma}(f, \mathcal{D}_{r+\sigma}^{(\alpha,\beta)}, u)_{p,(a,b)} \right)^q \frac{du}{u} \right)^{1/q}.$$

□

4. Ulyanov-type inequalities for Ditzian–Totik moduli of smoothness

The (global) weighted modulus of smoothness of order $r \geq 1$ is given by

$$\begin{aligned} \omega_\varphi^r(f, t)_{p,(a,b)} &= \Omega_\varphi^r(f, t)_{p,(a,b)} + \inf_{P \in \Pi_{r-1}} \|(f - P)w\|_{L_p[-1, -1+4k^2t^2]} \\ &\quad + \inf_{P \in \Pi_{r-1}} \|(f - P)w\|_{L_p[1-4k^2t^2, 1]}, \end{aligned}$$

where $w = (w^{(a,b)})^{1/p}$,

$$\Omega_{\varphi}^r(f, t)_{p,(a,b)} = \sup_{0 < h \leq t} \|\Delta_{h\varphi}^r f w\|_{L_p[-1+4k^2t^2, 1-4k^2t^2]}$$

and

$$\Delta_{h\varphi}^r f(x) = \sum_{i=0}^r (-1)^i \binom{r}{i} f\left(x + \frac{r-2i}{2} h\varphi(x)\right).$$

Note that (see [16, (2.5.7)]) this definition is equivalent to the one given in [14, Chapter 6, Appendix B].

Let $K_{\varphi}^r(f, t)_{p,(a,b)}$, $r \in \mathbb{N}$, be the K -functional for the pair of spaces $(L_p^{(a,b)}, W_{p,(a,b)}^r)$, where $W_{p,(a,b)}^r$ consists of functions $g \in L_p^{(a,b)}$ such that $g^{(r-1)} \in \text{AC}_{\text{loc}}$ and $\varphi^r g^{(r)} \in L_p^{(a,b)}$ (see [14, (6.1.1)]):

$$K_{\varphi}^r(f, t)_{p,(a,b)} = \inf \left\{ \|f - g\|_{p,(a,b)} + t^r \|\varphi^r g^{(r)}\|_{p,(a,b)} : g \in W_{p,(a,b)}^r \right\}. \quad (4.1)$$

It is known that $K_{\varphi}^r(f, t)_{p,(a,b)} \asymp \omega_{\varphi}^r(f, t)_{p,(a,b)}$ for $a, b \geq 0$; see [14, Theorem 6.1.1]. Moreover, we have the following realization result:

$$\omega_{\varphi}^r(f, t)_{p,(a,b)} \asymp \|f - P_{n,f}\|_{p,(a,b)} + t^r \|\varphi^r P_{n,f}^{(r)}\|_{p,(a,b)}, \quad [1/t] = n. \quad (4.2)$$

The proof of this equivalence (cf. [12]) is based on the Jackson-type inequality and the estimate of $t^r \|\varphi^r \psi^{(r)}\|_{p,(a,b)}$ via $\omega_{\varphi}^r(f, t)_{p,(a,b)}$ (the Nikolskii–Stechkin type inequality). The Jackson-type inequality was obtained in [14, Theorem 7.2.1] for the unweighted case and in [16, Sec. 2.5.2, (2.5.17)] for the weighted case. The unweighted version of the Nikolskii–Stechkin type inequality was proved in [14, Theorem 7.3.1]. This argument can be used to show the weighted version.

The relation between K -functionals (4.1) and (3.3) in the case when r is positive integer follows from Corollary 2 below. Note that the case $(\alpha, \beta) = (a, b)$ is due to Dai and Ditzian [8, Theorem 7.1] and is based on the Muckenhoupt transplantation theorem. We follow the idea of their proof and first obtain the following result.

THEOREM 4. *Let $1 < p < \infty$, r be a positive integer, and $a, b, \alpha, \beta > -1$ be such that (3.1) holds. Then there exists a constant C such that for any $Q \in \Pi$, we have*

$$\|\varphi^r Q^{(r)}\|_{p,(a,b)} \leq C \|\mathcal{D}_r^{(\alpha,\beta)} Q\|_{p,(a,b)}, \quad (4.3)$$

$$\|\mathcal{D}_r^{(\alpha,\beta)} (Q - S_{r-1}^{(\alpha,\beta)} Q)\|_{p,(a,b)} \leq C \|\varphi^r Q^{(r)}\|_{p,(a,b)}, \quad (4.4)$$

where $S_{r-1}^{(\alpha,\beta)} Q$ is the $(r-1)$ -th partial sum of the Fourier–Jacobi expansion of Q , i.e.,

$$S_{r-1}^{(\alpha,\beta)} Q = \sum_{k=0}^{r-1} \widehat{Q}_k^{(\alpha,\beta)} \psi_k^{(\alpha,\beta)}.$$

PROOF. The proof of (4.3) and (4.4) is based on Theorem C. Since $\widehat{Q}_k^{(\alpha,\beta)} = 0$ starting from certain k , we obtain

$$\begin{aligned} \mathcal{D}_r^{(\alpha,\beta)} Q &= \sum_{k=1}^{\infty} \left(\lambda_k^{(\alpha,\beta)} \right)^r \widehat{Q}_k^{(\alpha,\beta)} \psi_k^{(\alpha,\beta)} = \sum_{k=1-r}^{\infty} \left(\lambda_{k+r}^{(\alpha,\beta)} \right)^r \widehat{Q}_{k+r}^{(\alpha,\beta)} \psi_{k+r}^{(\alpha,\beta)}, \\ Q^{(r)} &= \sum_{k=r}^{\infty} \lambda_k \widehat{Q}_k^{(\alpha,\beta)} \psi_{k-r}^{(\alpha+r,\beta+r)} = \sum_{k=0}^{\infty} \lambda_{k+r} \widehat{Q}_{k+r}^{(\alpha,\beta)} \psi_k^{(\alpha+r,\beta+r)}, \end{aligned}$$

where

$$\lambda_k = \lambda_k(\alpha, \beta, r) = \lambda_k^{(\alpha, \beta)} \cdots \lambda_{k-r+1}^{(\alpha+r-1, \beta+r-1)}.$$

To prove inequality (4.3), we apply Theorem C with $(\bar{p}, \bar{q}) = (p, p)$, $(\bar{\alpha}, \bar{\beta}) = (\alpha + r, \beta + r)$, $(\bar{\gamma}, \bar{\delta}) = (\alpha, \beta)$, $(\bar{c}, \bar{d}) = (a, b)$, $h = -r$, and

$$\nu_k = \lambda_k / \left(\lambda_k^{(\alpha, \beta)} \right)^r.$$

Then $s = 0$, $(\bar{a}, \bar{b}) = (a + pr/2, b + pr/2)$, $A = (a + 1)/p - \alpha$, and $B = (b + 1)/p - \beta$. On account of (3.1), we conclude that $A < 1$, $B < 1$, and therefore, all conditions of Theorem C are satisfied. Hence, we get

$$\left\| \varphi^r Q^{(r)} \right\|_{p, (a, b)} = \left\| Q^{(r)} \right\|_{p, (a+pr/2, b+pr/2)} \leq C \left\| \mathcal{D}_r^{(\alpha, \beta)} Q \right\|_{p, (a, b)}.$$

Let us now obtain (4.4). We remark that $g = \mathcal{D}_r^{(\alpha, \beta)} (Q - S_{r-1}^{(\alpha, \beta)} Q)$ is a polynomial and its Fourier–Jacobi coefficients satisfy $\hat{g}_k^{(\alpha, \beta)} = 0$ for $0 \leq k \leq r-1$. We apply Theorem C with $(\bar{p}, \bar{q}) = (p, p)$, $(\bar{\alpha}, \bar{\beta}) = (\alpha, \beta)$, $(\bar{\gamma}, \bar{\delta}) = (\alpha + r, \beta + r)$, $(\bar{c}, \bar{d}) = (a + pr/2, b + pr/2)$, $h = r$, and

$$\nu_k = \left(\lambda_k^{(\alpha, \beta)} \right)^r / \lambda_k.$$

Then $s = 0$, $(\bar{a}, \bar{b}) = (a, b)$, $A = (a + 1)/p - \alpha - r/2 < 1$, and $B = (b + 1)/p - \beta - r/2 < 1$. Therefore, all conditions of Theorem C are satisfied, and we arrive at

$$\left\| \mathcal{D}_r^{(\alpha, \beta)} (Q - S_{r-1}^{(\alpha, \beta)} Q) \right\|_{p, (a, b)} \leq C \left\| Q^{(r)} \right\|_{p, (a+pr/2, b+pr/2)} = C \left\| \varphi^r Q^{(r)} \right\|_{p, (a, b)}.$$

□

COROLLARY 2. *Under assumptions of Theorem 4, there exists a constant C such that for any $f \in L_p^{(a, b)}$ and $t \in (0, t_0)$ we have*

$$K_\varphi^r(f, t)_{p, (a, b)} \leq CK^r(f, \mathcal{D}_r^{(\alpha, \beta)}, t)_{p, (a, b)} \quad (4.5)$$

and

$$K^r(f, \mathcal{D}_r^{(\alpha, \beta)}, t)_{p, (a, b)} \leq C (K_\varphi^r(f, t)_{p, (a, b)} + t^r \|f\|_{p, (a, b)}).$$

PROOF. First, (4.3) and the realization result (4.2) yield that

$$\begin{aligned} K_\varphi^r(f, t)_{p, (a, b)} &\leq \|f - P_{n, f}\|_{p, (a, b)} + t^r \|\varphi^r P_{n, f}^{(r)}\|_{p, (a, b)} \\ &\leq C \left(\|f - P_{n, f}\|_{p, (a, b)} + t^r \|\mathcal{D}_r^{(\alpha, \beta)} P_{n, f}\|_{p, (a, b)} \right) \leq CK^r(f, \mathcal{D}_r^{(\alpha, \beta)}, t)_{p, (a, b)}, \end{aligned}$$

which is (4.5).

Second, under condition (3.1), the operator $A : \Pi \rightarrow \Pi_{r-1}$ given by

$$A(Q) = \mathcal{D}_r^{(\alpha, \beta)} S_{r-1}^{(\alpha, \beta)} Q$$

is bounded in $L_p^{(a, b)}$, i.e.,

$$\left\| \mathcal{D}_r^{(\alpha, \beta)} S_{r-1}^{(\alpha, \beta)} Q \right\|_{p, (a, b)} \leq C(p, a, b, \alpha, \beta, r) \|Q\|_{p, (a, b)}. \quad (4.6)$$

Using this, we obtain

$$\begin{aligned} K^r(f, \mathcal{D}_r^{(\alpha, \beta)}, t)_{p, (a, b)} &\leq \|f - P_{n, f}\|_{p, (a, b)} + t^r \|\mathcal{D}_r^{(\alpha, \beta)} P_{n, f}\|_{p, (a, b)} \\ &\leq \|f - P_{n, f}\|_{p, (a, b)} + t^r \|\mathcal{D}_r^{(\alpha, \beta)} (P_{n, f} - S_{r-1}^{(\alpha, \beta)} P_{n, f})\|_{p, (a, b)} + t^r \|\mathcal{D}_r^{(\alpha, \beta)} S_{r-1}^{(\alpha, \beta)} P_{n, f}\|_{p, (a, b)}. \end{aligned}$$

Finally, (4.4) and (4.6) imply

$$\begin{aligned} K^r(f, \mathcal{D}_r^{(\alpha, \beta)}, t)_{p, (a, b)} &\leq C \left(\|f - P_{n, f}\|_{p, (a, b)} + t^{-r} \|\varphi^r P_{n, f}^{(r)}\|_{p, (a, b)} + t^r \|P_{n, f}\|_{p, (a, b)} \right) \\ &\leq C \left(K_\varphi^r(f, t)_{p, (a, b)} + t^r \|f\|_{p, (a, b)} \right). \end{aligned}$$

□

It is proved in [13, Theorem 11.2] that for $f \in L_p$, $0 < p < q \leq \infty$, and integer $r \geq 1$ the following Ulyanov-type inequality holds:

$$\omega_\varphi^r(f, t)_q \leq C \left[\int_0^t (u^{-\sigma} \omega_\varphi^r(f, u)_p)^{q_1} \frac{du}{u} \right]^{1/q_1},$$

where $q_1 = \begin{cases} q, & q < \infty, \\ 1, & q = \infty \end{cases}$, $\sigma = 2 \left(\frac{1}{p} - \frac{1}{q} \right)$. The next theorem refines this result.

THEOREM 5. *Let $1 \leq p < q \leq \infty$, $a \geq b \geq 0$, r be a positive integer, and*

$$\sigma = (2a + 2) \left(\frac{1}{p} - \frac{1}{q} \right).$$

Suppose that $f \in L_p^{(a, b)}$ and

$$\int_0^1 \left(u^{-\sigma} \omega_\varphi^{r+[\sigma]}(f, u)_{p, (a, b)} \right)^{q_1} \frac{du}{u} < \infty.$$

Then $f \in L_q^{(a, b)}$ and

$$\omega_\varphi^r(f, t)_{q, (a, b)} \leq C \left[\int_0^t \left(u^{-\sigma} \omega_\varphi^{r+[\sigma]}(f, u)_{p, (a, b)} \right)^{q_1} \frac{du}{u} \right]^{1/q_1} + Ct^r E_{r-1}(f)_{p, (a, b)}, \quad (4.7)$$

where

$$q_1 = \begin{cases} q, & q < \infty, \\ 1, & q = \infty. \end{cases}$$

REMARK. (A). In particular, (4.7) implies

$$\omega_\varphi^r(f, t)_q \leq C \left[\int_0^t (u^{-1} \omega_\varphi^{r+1}(f, u)_p)^{q_1} \frac{du}{u} \right]^{1/q_1} + Ct^r E_{r-1}(f)_p,$$

when $\frac{1}{p} - \frac{1}{q} \geq \frac{1}{2}$, $1 \leq p < q \leq \infty$, and

$$\omega_\varphi^r(f, t)_\infty \leq C \int_0^t u^{-2} \omega_\varphi^{r+2}(f, u)_1 \frac{du}{u} + Ct^r E_{r-1}(f)_1.$$

(B). Corollary 2 shows that for $1 < p < q < \infty$ and positive integer σ Theorem 5 follows from Theorem 3.

PROOF. The proof is similar to the proof of Theorem 3. The only substantial difference is that we use Lemma 1 instead of Theorem D and Theorem 2.

Using monotonicity properties of the moduli of smoothness, it is enough to verify inequality (4.7) for $t = 1/n$, where n is a positive integer. Let $P_{n, f}$ be defined by (3.2). Taking into account that $\omega_\varphi^r(f, t)_{q, (a, b)} \asymp K_\varphi^r(f, t)_{q, (a, b)}$, we obtain

$$\omega_\varphi^r(f, t)_{q, (a, b)} \leq C \left(\|f - P_{n, f}\|_{q, (a, b)} + n^{-r} \|\varphi^r P_{n, f}^{(r)}\|_{q, (a, b)} \right). \quad (4.8)$$

To estimate the first term, we apply Theorem 4.1 from [13]. Assumption (4.3) of this theorem is exactly the Nikol'skii inequality

$$\|P_n\|_{q,(a,b)} \leq C n^{(2a+2)(\frac{1}{p}-\frac{1}{q})} \|P_n\|_{p,(a,b)}, \quad P_n \in \Pi_n,$$

where $C = C(p, q, a, b)$, proved in [9, Theorem 4] (see also [17, Ch. 6, Theorem 1.8.4, 1.8.5]). Therefore, we have

$$\|f - P_{n,f}\|_{q,(a,b)} \leq C \left(\sum_{k=n}^{\infty} k^{q_1 \sigma - 1} \|f - P_{k,f}\|_{p,(a,b)}^{q_1} \right)^{1/q_1}.$$

Applying (4.2) and replacing the sum by the integral, we get

$$\begin{aligned} \|f - P_{n,f}\|_{q,(a,b)} &\leq C \left(\sum_{k=n}^{\infty} k^{q_1 \sigma - 1} \|f - P_{k,f}\|_{p,(a,b)}^{q_1} \right)^{1/q_1} \\ &\leq C \left(\sum_{k=n}^{\infty} k^{q_1 \sigma - 1} \omega_{\varphi}^{r+[\sigma]}(f, 1/k)_{p,(a,b)}^{q_1} \right)^{1/q_1} \\ &\leq C \left(\int_0^t \left(u^{-\sigma} \omega_{\varphi}^{r+[\sigma]}(f, u)_{p,(a,b)} \right)^{q_1} \frac{du}{u} \right)^{1/q_1}. \end{aligned}$$

To estimate the second term in (4.8), we use Lemma 1:

$$\left\| \varphi^r P_n^{(r)} \right\|_{q,(a,b)} = \left\| \varphi^r (P_n - P_{r-1})^{(r)} \right\|_{q,(a,b)} \leq \|P_n - P_{r-1}\|_{p,(a,b)} + \left\| \varphi^{r+2[\sigma]-\sigma} P_n^{(r+[\sigma])} \right\|_{p,(a,b)}.$$

Further we need the following two-weight inequality proved in [9, Theorem 4]:

$$\left\| \varphi^{r+2[\sigma]-\sigma} P_n^{(r+[\sigma])} \right\|_{p,(a,b)} \leq C n^{\sigma-[\sigma]} \left\| \varphi^{r+[\sigma]} P_n^{(r+[\sigma])} \right\|_{p,(a,b)}.$$

Therefore, using monotonicity properties of moduli of smoothness, we get

$$\begin{aligned} n^{-r} \left\| \varphi^{r+2[\sigma]-\sigma} P_n^{(r+[\sigma])} \right\|_{p,(a,b)} &\leq C n^{\sigma} \omega_{\varphi}^{r+[\sigma]}(f, 1/n)_{p,(a,b)} \\ &\leq C \left[\int_{1/2n}^{1/n} \left(u^{-\sigma} \omega_{\varphi}^{r+[\sigma]}(f, u)_{p,(a,b)} \right)^{q_1} \frac{du}{u} \right]^{1/q_1}. \end{aligned}$$

To complete the proof we note that $\|P_n - P_{r-1}\|_{p,(a,b)} \leq 2E_{r-1}(f)_{p,(a,b)}$. \square

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