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Free algebras in division rings with an involution

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Abstract

Some general criteria to produce explicit free algebras inside the division ring of fractions of skew polynomial rings are presented. These criteria are applied to some special cases of division rings with natural involutions, yielding, for instance, free subalgebras generated by symmetric elements both in the division ring of fractions of the group algebra of a torsion free nilpotent group and in the division ring of fractions of the first Weyl algebra.

Keywords: Free associative algebras, field of fractions of group algebras, involutions, symmetric elements

2010 MSC: Primary 16K40, 16S36, 16W10, Secondary 16S10, 16S34

1. Introduction

It has been conjectured by Makar-Limanov in [1] that a division ring which is infinite dimensional over its center k and finitely generated (as a division algebra over k) must contain a noncommutative free k -subalgebra. Makar-Limanov himself provided evidence for this in [2], where it is proved that the division ring of fractions of the first Weyl algebra over the rational numbers contains a free subalgebra of rank 2, and in [3], where the case of the division ring of

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fractions of a group algebra of a torsion free nonabelian nilpotent groups is tackled. Various authors have dealt with this problem and Makar-Limanov's
10 conjecture has been verified in many families of division rings (see, e.g., [4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18]).

Division rings often come equipped with an involution. That is the case, for instance, of division rings of fractions of group algebras which are Ore domains. These have natural involutions induced by involutions on the group.

15 After the work in [12], it has become apparent that an involutorial version of Makar-Limanov's conjecture should be investigated. To be more precise, given a field k and a division k -algebra D , a k -linear map $*$: $D \rightarrow D$ satisfying $(ab)^* = b^*a^*$ and $a^{**} = a$ for all $a, b \in D$ is called a k -involution. An element $a \in D$ is said to be *symmetric* with respect to the involution $*$ if $a^* = a$. Our
20 aim in this paper is to present explicit constructions of pairs of elements in the division ring of fractions of some Ore domains which both generate a free subalgebra and are symmetric with respect to an involution which extends an involution on the ring.

In [14], this was achieved for the division ring of fractions, inside the division
25 ring of Malcev-Neumann series, of the group algebra of a nonabelian orderable group G with respect to an involution induced by the canonical (inverting) involution on G .

Here, we present proofs to the following two further instances of the same situation, which can be regarded as involutorial versions of Makar-Limanov's
30 early results.

Theorem 1.1. *Let D be the division ring of fractions of the group algebra $k\Gamma$ of the Heisenberg group Γ over the field k and let $*$ be a k -involution of D which is induced from an involution on Γ . Then D contains a free k -algebra of rank 2 freely generated by symmetric elements.*

By the Heisenberg group, one understands the free nilpotent group of class 2 generated by 2 elements. It can be presented by

$$\Gamma = \langle x, y : [[x, y], x] = [[x, y], y] = 1 \rangle,$$

35 where $[g, h]$ denotes the commutator $g^{-1}h^{-1}gh$ of elements g, h in a group.

Theorem 1.2. *Let $A_1 = \mathbb{Q}\langle s, t : st - ts = 1 \rangle$ denote the first Weyl algebra over the field \mathbb{Q} of rational numbers and let $*$ denote the \mathbb{Q} -involution of A_1 such that $s^* = -s$ and $t^* = t$. Then the division ring of fractions D_1 of A_1 contains a free \mathbb{Q} -subalgebra of rank 2 freely generated by symmetric elements with respect to the extension of $*$ to D_1 .*

Theorems 1.1 and 1.2 will follow from criteria that generalize the method developed by Bell and Rogalski in [11]. These will also provide simpler proofs of [8, Theorem A] and [10, Theorem 1]. As a special case, we obtain the following result.

45 **Theorem 1.3.** *Let F be a field, let $K = F(X_1, \dots, X_n)$ be the rational function field in n indeterminates over F , and let σ be an F -automorphism of K of infinite order that extends one from the polynomial algebra $F[X_1, \dots, X_n]$. Then, the division algebra $K(X; \sigma)$ contains a noncommutative free F -subalgebra.*

2. Free subalgebras of fields of fractions of skew polynomial rings

50 In this section we offer generalizations of the method of [11] to construct free algebras inside division ring of fractions of skew polynomial rings.

Let k be a field and let D be a division k -algebra. Let $\sigma: D \rightarrow D$ be a k -automorphism and let $\delta: D \rightarrow D$ be a σ -derivation (that is, a k -linear map satisfying $\delta(\alpha\beta) = \sigma(\alpha)\delta(\beta) + \delta(\alpha)\beta$, for all $\alpha, \beta \in D$). Denote by $D[X; \sigma, \delta]$ the skew polynomial ring in the indeterminate X such that $X\alpha = \sigma(\alpha)X + \delta(\alpha)$, for all $\alpha \in D$, and let $D(X; \sigma, \delta)$ denote its division ring of fractions. Given $a_0, a_1, b_0, b_1 \in k$, consider the polynomials $f = a_0 + a_1X, g = b_0 + b_1X \in k[X] \subseteq D[X; \sigma, \delta]$. Also, let $\psi: D \rightarrow D$ be the map defined by $\psi = a_1\delta + a_0(\text{Id} - \sigma)$, where Id stands for the identity map from D to D . (Note that ψ is again a σ -derivation.) Finally, let $E = \ker \psi$.

In what follows, we will further assume that $a_1 \neq 0$ and that $\Xi = gf^{-1} \in D(X; \sigma, \delta) \setminus k$.

Under these hypotheses, we shall prove the following two theorems.

Theorem 2.1. *Let $\alpha \in D$ be such that*

- $\{1, \alpha, \alpha^2\}$ is left linearly independent over $\sigma(E)$ and
- $\psi(D) \cap (\sigma(E) + \sigma(E)\alpha + \sigma(E)\alpha^2) = \{0\}$.

If either

- (i) $b_1 = 0$ or
- (ii) $b_0 = 0$ and $\delta = 0$,

then the set $\{\alpha\Xi, \Xi\alpha\}$ freely generates a free k -subalgebra in $D(X; \sigma, \delta)$.

PROOF. Consider the set

$$S = \{(i_1, \dots, i_t) : t \geq 1, i_j \in \{0, 1, 2\}, \text{ for all } j \in \{1, \dots, t\}\}$$

and the following subset of S :

$$\hat{S} = \{(i_1, \dots, i_t) \in S : i_t \in \{0, 1\}\}.$$

For each $I = (i_1, \dots, i_t) \in S$, define the following element in $D(X; \sigma, \delta)$:

$$R_I = \alpha^{i_1} \Xi \alpha^{i_2} \Xi \dots \alpha^{i_{t-1}} \Xi \alpha^{i_t} \Xi \alpha,$$

and, for each $I = (i_1, \dots, i_t) \in \hat{S}$, let

$$L_I = \alpha^{i_1} \Xi \alpha^{i_2} \Xi \dots \alpha^{i_{t-1}} \Xi \alpha^{i_t} \alpha \Xi.$$

The set $\mathcal{B} = \{1\} \cup \{R_I : I \in S\} \cup \{L_I : I \in \hat{S}\}$ (properly) contains all the words in the letters $\alpha\Xi$ and $\Xi\alpha$. Therefore, if we prove that \mathcal{B} is linearly independent over k , we will have proved that $\alpha\Xi$ and $\Xi\alpha$ freely generate a free k -algebra.

In order to show that \mathcal{B} is indeed linearly independent over k , we shall introduce new auxiliary elements. Given $I = (i_1, \dots, i_t) \in S$, let

$$V_I = \Xi \alpha^{i_1} \Xi \alpha^{i_2} \Xi \dots \alpha^{i_{t-1}} \Xi \alpha^{i_t} \Xi \alpha,$$

that is, $V_I = \Xi R_I$. We shall also define $V_\emptyset = \Xi$.

Given $I = (i_1, \dots, i_t) \in S$, define the *truncation* of I to be $I' = (i_2, \dots, i_t)$ if $t \geq 2$, and $I' = \emptyset$ if $t = 1$. So, in $D(X; \sigma, \delta)$, the following relations hold:

$$\Xi^{-1}V_{\emptyset} = 1 \quad \text{and} \quad \Xi^{-1}V_I = R_I = \alpha^{i_1}V_{I'}, \quad (1)$$

75 for all $I \in S$.

For $I = (i_1, \dots, i_t) \in S$, we define the *length* of I to be $\mu(I) = t$. Also, we set $\mu(\emptyset) = 0$.

We claim that if $\{V_I : I \in S \cup \{\emptyset\}\}$ is left linearly independent over D , then \mathcal{B} is linearly independent over k . Indeed, suppose $\{V_I : I \in S \cup \{\emptyset\}\}$ is left linearly independent over D and that

$$b + \sum_{I \in S} c_I R_I + \sum_{I \in \hat{S}} d_I L_I = 0 \quad (2)$$

is a linear combination of elements of \mathcal{B} with coefficients b, c_I, d_I from k resulting in 0. Multiplying (2) by $\Xi\alpha$ on the right, one obtains a relation of the form

$$\sum_{I \in S} e_I R_I = 0, \quad (3)$$

with $e_I \in k$. Note that, by doing that, all of the elements R_I in (3) are distinct. Hence, in view of (1), we get

$$0 = \sum_{I \in S} e_I R_I = \sum_{I \in S} e_I \alpha^{i_1} V_{I'}.$$

For each $I = (i_1, \dots, i_t) \in S$, there are exactly 3 elements in S which have truncation I' , they are

$$I_0 = (0, i_1, \dots, i_t), \quad I_1 = (1, i_1, \dots, i_t) \quad \text{and} \quad I_2 = (2, i_1, \dots, i_t).$$

Thus, since $\{V_I : I \in S \cup \{\emptyset\}\}$ is left linearly independent over D , it follows that, for each $I \in S$, one has

$$e_{I_0} + e_{I_1}\alpha + e_{I_2}\alpha^2 = 0.$$

But, by hypothesis, $\{1, \alpha, \alpha^2\}$ is linearly independent over k (for $\sigma(E) \supseteq k$); therefore, $e_{I_0} = e_{I_1} = e_{I_2} = 0$. This proves that all the coefficients in (3), which

80 are the same as the ones in (2), are zero. So, \mathcal{B} is linearly independent over k .

Our next task is to show that $\{V_I : I \in S \cup \{\emptyset\}\}$ is left linearly independent over D . We shall split the proof in two parts, depending on the conditions (i) or (ii) in the statement of the theorem.

First suppose that condition (i) holds, that is, that $b_1 = 0$. In this case, we must have $b_0 \neq 0$. We shall show the stronger statement that $\{V_I : I \in S \cup \{\emptyset\}\}$ is left linearly independent over D modulo the subspace $D[X; \sigma, \delta]$. By contradiction, suppose there exists a relation

$$\sum_{I \in S \cup \{\emptyset\}} \beta_I V_I = h \in D[X; \sigma, \delta], \quad (4)$$

with $\beta_I \in D$ not all zero. Among all those relations, choose one with $r = \max\{\mu(I) : \beta_I \neq 0\}$ minimal. Moreover, among those, choose one with the smallest number of nonzero coefficients β_I for I with $\mu(I) = r$. Note that $r \geq 1$, otherwise we would have $\Xi \in D[X; \sigma, \delta]$, which is impossible. Clearly, we can further assume that our relation (4), beyond being minimal in the sense described above, has $\beta_T = 1$ for some $T \in S$ with $\mu(T) = r$, by multiplying it
90 by a nonzero element of D on the left if necessary.

Recall that $\Xi = gf^{-1} = b_0(a_0 + a_1X)^{-1}$. Hence, $\Xi^{-1} = (a_0 + a_1X)b_0^{-1}$. It, then, follows from (1) that

$$XV_\emptyset = -a_1^{-1}a_0V_\emptyset + a_1^{-1}b_0 \quad \text{and} \quad XV_I = -a_1^{-1}a_0V_I + a_1^{-1}b_0\alpha^{i_1}V_{I'}, \quad (5)$$

for all $I \in S$. Multiplying (4) by X on the left, and using (5), yields

$$\begin{aligned} Xh &= \sum_{I \in S \cup \{\emptyset\}} X\beta_I V_I = \sum_{I \in S \cup \{\emptyset\}} (\sigma(\beta_I)X + \delta(\beta_I))V_I \\ &= \sigma(\beta_\emptyset)XV_\emptyset + \delta(\beta_\emptyset)V_\emptyset + \sum_{I \in S} (\sigma(\beta_I)X + \delta(\beta_I))V_I \\ &= \sigma(\beta_\emptyset)(-a_1^{-1}a_0V_\emptyset + a_1^{-1}b_0) + \delta(\beta_\emptyset)V_\emptyset \\ &\quad + \sum_{I \in S} \sigma(\beta_I)(-a_1^{-1}a_0V_I + a_1^{-1}b_0\alpha^{i_1}V_{I'}) + \sum_{I \in S} \delta(\beta_I)V_I \\ &= \sum_{I \in S \cup \{\emptyset\}} (\delta(\beta_I) - a_1^{-1}a_0\sigma(\beta_I))V_I + \sum_{I \in S} a_1^{-1}b_0\sigma(\beta_I)\alpha^{i_1}V_{I'} + a_1^{-1}b_0\sigma(\beta_\emptyset). \end{aligned}$$

Multiplying this by a_1 and summing with a_0h , one gets

$$\begin{aligned} fh &= (a_0 + a_1X)h = a_0h + a_1Xh = \sum_{I \in S \cup \{\emptyset\}} a_0\beta_I V_I + \\ &+ \sum_{I \in S \cup \{\emptyset\}} (a_1\delta(\beta_I) - a_0\sigma(\beta_I))V_I + \sum_{I \in S} b_0\sigma(\beta_I)\alpha^{i_1}V_{I'} + b_0\sigma(\beta_\emptyset) \\ &= \sum_{I \in S \cup \{\emptyset\}} \psi(\beta_I)V_I + \sum_{I \in S} b_0\sigma(\beta_I)\alpha^{i_1}V_{I'} + b_0\sigma(\beta_\emptyset). \end{aligned}$$

Therefore, one has

$$\sum_{I \in S \cup \{\emptyset\}} \psi(\beta_I)V_I + \sum_{I \in S} b_0\sigma(\beta_I)\alpha^{i_1}V_{I'} = fh - b_0\sigma(\beta_\emptyset) \in D[X; \sigma, \delta]. \quad (6)$$

The coefficient of V_T in (6) is $\psi(\beta_T) = \psi(1) = 0$. Moreover, no new nonzero coefficient of a V_I with $\mu(I) = r$ appears in (6). By the minimality of (4), all the coefficients of the V_I in (6) are zero. If $\mu(I) = r$, the coefficient of V_I in (6) is $\psi(\beta_I)$, so, in particular, it follows that $\beta_I \in E = \ker \psi$ for all $I \in S$ with $\mu(I) = r$. Now, there are exactly 3 elements I_0, I_1, I_2 in S whose truncations equal T' . Since all three have length r , it follows that $\beta_{I_0}, \beta_{I_1}, \beta_{I_2} \in E$. But the coefficient of $V_{T'}$ in (6) is $\psi(\beta_{T'}) + b_0\sigma(\beta_{I_0}) + b_0\sigma(\beta_{I_1})\alpha + b_0\sigma(\beta_{I_2})\alpha^2$. So,

$$\psi(\beta_{T'}) = \sigma(-b_0\beta_{I_0}) + \sigma(-b_0\beta_{I_1})\alpha + \sigma(-b_0\beta_{I_2})\alpha^2,$$

which is an element of $\psi(D) \cap (\sigma(E) + \sigma(E)\alpha + \sigma(E)\alpha^2) = \{0\}$. Since $\{1, \alpha, \alpha^2\}$ is left linearly independent over $\sigma(E)$, it follows that $\beta_{I_0} = \beta_{I_1} = \beta_{I_2} = 0$. But $T \in \{I_0, I_1, I_2\}$. This contradicts the fact that $\beta_T = 1$.

Now suppose that condition (ii) holds, that is, that $b_0 = 0$ and $\delta = 0$. In this case, we must have $b_1 \neq 0$ and $a_0 \neq 0$. We shall show the stronger statement that $\{V_I : I \in S \cup \{\emptyset\}\}$ is left linearly independent over D modulo the subspace $D[X, X^{-1}; \sigma]$. By contradiction, suppose there exists a relation

$$\sum_{I \in S \cup \{\emptyset\}} \beta_I V_I = h \in D[X, X^{-1}; \sigma], \quad (7)$$

with $\beta_I \in D$ not all zero. Among all those relations, choose one with $r = \max\{\mu(I) : \beta_I \neq 0\}$ minimal. Moreover, among those, choose one with the

smallest number of nonzero coefficients β_I for I with $\mu(I) = r$. Note that $r \geq 1$, otherwise we would have $\Xi \in D[X, X^{-1}; \sigma]$, which is impossible (for $a_0 \neq 0$). Clearly, we can further assume that our relation (4), beyond being minimal in the sense described above, has $\beta_T = 1$ for some $T \in S$ with $\mu(T) = r$, by
 100 multiplying it by a nonzero element of D on the left if necessary.

It follows from (1) that

$$X^{-1}V_\emptyset = -a_1a_0^{-1}V_\emptyset + b_1a_0^{-1} \quad \text{and} \quad X^{-1}V_I = -a_1a_0^{-1}V_I + b_1a_0^{-1}\alpha^{i_1}V_{I'}, \quad (8)$$

for all $I \in S$. If one multiplies (7) by X^{-1} on the left, relations (8) allow us to conclude that

$$X^{-1}h = \sum_{I \in S \cup \{\emptyset\}} -a_1a_0^{-1}\sigma^{-1}(\beta_I)V_I + \sum_{I \in S} b_1a_0^{-1}\sigma^{-1}(\beta_I)\alpha^{i_1}V_{I'} + b_1a_0^{-1}\sigma^{-1}(\beta_\emptyset).$$

This multiplied by $a_1^{-1}a_0^2$ and, then, summed with $-a_0h$ yields

$$\begin{aligned} & \sum_{I \in S \cup \{\emptyset\}} \psi(\sigma^{-1}(\beta_I))V_I - \sum_{I \in S} b_1a_1^{-1}a_0\sigma^{-1}(\beta_I)\alpha^{i_1}V_{I'} \\ &= -(a_1^{-1}a_0^2X^{-1} + a_0)h + b_1a_1^{-1}a_0\sigma^{-1}(\beta_\emptyset) \in D[X, X^{-1}; \sigma]. \end{aligned} \quad (9)$$

The coefficient of V_T in (9) is $\psi(\sigma^{-1}(\beta_T)) = \psi(1) = 0$. By minimality, all the coefficients on the left-hand side of (9) are zero. In particular, if $\mu(I) = r$, the coefficient of V_I is $0 = \psi(\sigma^{-1}(\beta_I))$. So, for I with $\mu(I) = r$, one has $\beta_I \in \sigma(E) = E$. (This last equality follows from the fact that, in this case,
 105 $E = \ker(\text{Id} - \sigma)$; so $\sigma(E) = E$.) If I_0, I_1, I_2 denote the three elements in S with truncation T' , then, by what we have just seen, $\beta_{I_0}, \beta_{I_1}, \beta_{I_2} \in E$.

The coefficient of $V_{T'}$ on the left-hand side of (9) is

$$\psi(\sigma^{-1}(\beta_{T'})) - b_1a_1^{-1}a_0(\sigma^{-1}(\beta_{I_0}) + \sigma^{-1}(\beta_{I_1})\alpha + \sigma^{-1}(\beta_{I_2})\alpha^2);$$

because this must be zero, it follows that

$$\psi(\sigma^{-1}(\beta_{T'})) = b_1a_1^{-1}a_0(\sigma^{-1}(\beta_{I_0}) + \sigma^{-1}(\beta_{I_1})\alpha + \sigma^{-1}(\beta_{I_2})\alpha^2),$$

which belongs to $\psi(D) \cap (E + E\alpha + E\alpha^2) = \{0\}$. Hence, since $\{1, \alpha, \alpha^2\}$ is a left E -linearly independent set, we have that $\sigma^{-1}(\beta_{I_j}) = 0$, for all $j = 0, 1, 2$. In particular, $\beta_T = 0$, for $T \in \{I_0, I_1, I_2\}$. But this contradicts $\beta_T = 1$.

110 **Theorem 2.2.** *Let n be an integer with $n \geq 2$. Let $\alpha_1, \dots, \alpha_n \in D$ be such that*

- $\{\alpha_1, \dots, \alpha_n\}$ *is left linearly independent over $\sigma(E)$ and*
- $\psi(D) \cap (\sigma(E)\alpha_1 + \dots + \sigma(E)\alpha_n) = \{0\}$.

If either

- (i) $b_1 = 0$ *or*
- 115 (ii) $b_0 = 0$ *and $\delta = 0$,*

then the set $\{\alpha_1\Xi, \dots, \alpha_n\Xi\}$ freely generates a free k -subalgebra in $D(X; \sigma, \delta)$.

PROOF. We consider the set

$$S = \left\{ ((i_1), \dots, (i_t)) : t \geq 1, (i_j) = (i_{j1}, \dots, i_{jn}), i_{jl} \in \{0, 1\}, \right. \\ \left. \sum_{l=1}^n i_{jl} = 1, \text{ for all } j = 1, \dots, t \right\}.$$

Given $I = ((i_1), \dots, (i_t)) \in S$, one defines

$$W_I = \alpha_1^{i_{11}} \dots \alpha_n^{i_{1n}} \Xi \alpha_1^{i_{21}} \dots \alpha_n^{i_{2n}} \Xi \dots \alpha_1^{i_{t1}} \dots \alpha_n^{i_{tn}} \Xi.$$

The set of all nonempty words in the letters $\alpha_1\Xi, \dots, \alpha_n\Xi$ coincides with $\{W_I : I \in S\}$. Our task is, thus, to show that $\mathcal{B} = \{1\} \cup \{W_I : I \in S\}$ is linearly independent over k .

120 Here, for $I = ((i_1), \dots, (i_t)) \in S$, its length is defined to be t and its truncation $I' = ((i_2), \dots, (i_t)) \in S$, if $t \geq 2$. If I has length 1, its truncation is defined to be $I' = \emptyset$. It follows from the definition of S that given $I \in S$, there exist exactly n elements of S , all of them with the same length as I , having truncation I' (clearly, one of them is I itself).

Defining $V_I = \Xi W_I$, for $I \in S$, and $V_\emptyset = \Xi$, one gets

$$\Xi^{-1}V_\emptyset = 1 \quad \text{and} \quad \Xi^{-1}V_I = W_I = \alpha_1^{i_{11}} \dots \alpha_n^{i_{1n}} V_{I'}, \quad (10)$$

125 for all $I \in S$.

Again, we shall show first that left linear independence over D of $\{V_I : I \in S \cup \{\emptyset\}\}$ implies linear independence of \mathcal{B} over k , and, then, show that $\{V_I : I \in S \cup \{\emptyset\}\}$ is, indeed, left linearly independent over D .

So, suppose that $\{V_I : I \in S \cup \{\emptyset\}\}$ is left linearly independent over D and let $c + \sum_{I \in S} d_I W_I = 0$ be a linear dependence relation of elements of \mathcal{B} with coefficients c, d_I from k . By multiplying this relation by $\alpha_1 \Xi$ on the right, we can assume that $c = 0$. So,

$$0 = \sum_{I \in S} d_I W_I = \sum_{I \in S} d_I \alpha_1^{i_{11}} \dots \alpha_n^{i_{1n}} V_{I'}.$$

Since $\{V_I : I \in S \cup \{\emptyset\}\}$ is left linearly independent over D , for each $I \in S$, if H_1, \dots, H_n denote the elements of S with truncation I' , one gets

$$d_{H_1} \alpha_1^{h_{1,11}} \dots \alpha_n^{h_{1,1n}} + \dots + d_{H_n} \alpha_1^{h_{n,11}} \dots \alpha_n^{h_{n,1n}} = 0,$$

where $H_j = ((h_{j,11}, \dots, h_{j,1n}), (h_{j,21}, \dots, h_{j,2n}), \dots, (h_{j,r1}, \dots, h_{j,rn}))$ and r stands
 130 for the length of I . Now, the set $\{\alpha_1, \dots, \alpha_n\}$ is linearly independent over k
 (because, by hypothesis, it is left linearly independent over $\sigma(E)$). So, $d_{H_1} = \dots = d_{H_n} = 0$, which implies that $d_I = 0$, for I must be one of the H_j 's. This proves the first assertion in the previous paragraph.

Our final step is to prove that $\{V_I : I \in S \cup \{\emptyset\}\}$ is left linearly independent
 135 over D . We shall consider cases (i) and (ii) separately.

Suppose that (i) $b_1 = 0$. We shall show, in this case, that $\{V_I : I \in S \cup \{\emptyset\}\}$ is, in fact, linearly independent in the left D -vector space $D(X; \sigma, \delta)/D[X; \sigma, \delta]$. Suppose not and pick a minimal (in the sense used in the proof of Theorem 2.1) relation $\sum_{I \in \{\emptyset\}} \beta_I V_I = h \in D[X; \sigma, \delta]$, with $\beta_I \in D$. That is, the maximal length r of the I 's occurring with nonzero coefficient β_I is minimal and, moreover, the number of nonzero β_I 's with I having length r is also minimal. We can assume that $\beta_J = 1$, for some $J \in S$ of length r , by multiplying the relation by a nonzero element of D , if necessary. Now, multiply the relation by $f = a_0 + a_1 X$

on the left to get

$$\begin{aligned}
 fh &= (a_0 + a_1X)h = a_0h + a_1Xh = \sum_{I \in S \cup \{\emptyset\}} a_0\beta_I V_I + \sum_{I \in S \cup \{\emptyset\}} a_1X\beta_I V_I \\
 &= \sum_{I \in S \cup \{\emptyset\}} a_0\beta_I V_I + \sum_{I \in S \cup \{\emptyset\}} a_1(\delta(\beta_I) + \sigma(\beta_I)X)V_I \\
 &= \sum_{I \in S \cup \{\emptyset\}} (a_1\delta(\beta_I) + a_0(\beta_I - \sigma(\beta_I)))V_I + b_0\sigma(\beta_\emptyset) \\
 &\quad + \sum_{I \in S} b_0\sigma(\beta_I)\alpha_1^{i_{11}} \dots \alpha_n^{i_{1n}} V_I,
 \end{aligned}$$

since it follows from (10) that $a_1XV_\emptyset = -a_0V_\emptyset + b_0$ and

$$a_1XV_I = -a_0V_I + b_0W_I = -a_0V_I + b_0\alpha_1^{i_{11}} \dots \alpha_n^{i_{1n}} V_I.$$

Therefore,

$$\sum_{I \in S \cup \{\emptyset\}} \psi(\beta_I)V_I + \sum_{I \in S} b_0\sigma(\beta_I)\alpha_1^{i_{11}} \dots \alpha_n^{i_{1n}} V_I = fh - b_0\sigma(\beta_\emptyset) \in D[X; \sigma, \delta]. \quad (11)$$

The coefficient of V_J on the left-hand side of (11) is $\psi(\beta_J) = \psi(1) = 0$. By minimality, all V_I on the left-hand side of (11) have coefficient zero. In particular, if I has length r , since its coefficient is $\psi(\beta_I)$, it follows that $\beta_I \in \ker \psi = E$.

If H_1, \dots, H_n denote the elements of S with truncation J' , the coefficient of $V_{J'}$ on the left-hand side of (11) is

$$\psi(\beta_{J'}) + b_0\sigma(\beta_{H_1})\alpha_1^{h_{1,11}} \dots \alpha_n^{h_{1,1n}} + \dots + b_0\sigma(\beta_{H_n})\alpha_1^{h_{n,11}} \dots \alpha_n^{h_{n,1n}}$$

Since all H_1, \dots, H_n have length r , it follows that $\beta_{H_1}, \dots, \beta_{H_n} \in E$ and, because this coefficient is zero, we have

$$\psi(\beta_{J'}) = -b_0\sigma(\beta_{H_1})\alpha_1^{h_{1,11}} \dots \alpha_n^{h_{1,1n}} - \dots - b_0\sigma(\beta_{H_n})\alpha_1^{h_{n,11}} \dots \alpha_n^{h_{n,1n}},$$

an element of $\psi(D) \cap (\sigma(E)\alpha_1 + \dots + \sigma(E)\alpha_n) = \{0\}$. Left linear independence of $\{\alpha_1, \dots, \alpha_n\}$ over $\sigma(E)$ implies $\sigma(\beta_{H_1}) = \dots = \sigma(\beta_{H_n})$. In particular, $\beta_J = 0$. This is impossible, because we had $\beta_J = 1$.

Finally, let us deal with the case (ii) $b_0 = 0$ and $\delta = 0$. Here we shall show that $\{V_I : I \in S \cup \{\emptyset\}\}$ is D -left linearly independent in $D(X; \sigma)$ mod

$D[X, X^{-1}; \sigma]$. Again, pick a minimal relation of the form $\sum_{I \in S \cup \{\emptyset\}} \beta_I V_I =$
 145 $h \in D[X, X^{-1}; \delta]$ with $\beta_J = 1$ for some $J \in S$ of maximal length r .

Relations (10) imply $X^{-1}V_\emptyset = -a_1a_0^{-1}V_\emptyset + b_1a_0^{-1}$ and

$$X^{-1}V_I = -a_1a_0^{-1}V_I + b_1a_0^{-1}\alpha_1^{i_{11}} \dots \alpha_n^{i_{1n}}V_{I'},$$

for all $I \in S$.

Similarly to what has been done in the proof of Theorem 2.1, we may get

$$\begin{aligned} \sum_{I \in S \cup \{\emptyset\}} \psi(\sigma^{-1}(\beta_I))V_I - \sum_{I \in S} b_1a_1^{-1}a_0\sigma^{-1}(\beta_I)\alpha_1^{i_{11}} \dots \alpha_n^{i_{1n}}V_{I'} \\ = -(a_1^{-1}a_0^2X^{-1} + a_0)h + b_1a_1^{-1}a_0\sigma^{-1}(\beta_\emptyset) \in D[X, X^{-1}; \sigma]. \end{aligned} \quad (12)$$

Again, from minimality of the given relation, it will follow that $\beta_I \in E$ for
 all I with length r . For the last step, consider the elements H_1, \dots, H_n in S
 with truncation J' . Then $\beta_{H_1}, \dots, \beta_{H_n} \in E$. Looking at the coefficient of J' on
 150 the left-hand side of (12) and considering the hypothesis that $\psi(D) \cap (\sigma(E)\alpha_1 +$
 $\dots + \sigma(E)\alpha_n) = \{0\}$, one will eventually get that $\beta_{H_1} = \dots = \beta_{H_n} = 0$, a
 contradiction.

Remark 2.3. By setting σ to be the identity automorphism of D , Theorem 2.2
 can be used to recover both [8, Theorem A] and Makar-Limanov's result of
 155 [2], producing free subalgebras inside the division ring of fractions of the first
 Weyl algebra over the rationals. Indeed, if D_1 denotes the division ring of
 fractions of the first Weyl algebra $A_1 = \mathbb{Q}\langle s, t : st - ts = 1 \rangle$, then, via the
 identification $s \mapsto X$, D_1 coincides with the division ring of fractions $\mathbb{Q}(t)(X; \delta)$
 of the skew polynomial ring $\mathbb{Q}(t)[X; \delta]$, where δ is the usual derivation on the
 160 rational function field $\mathbb{Q}(t)$, that is, the one satisfying $\delta(t) = 1$. Here, the
 rational functions $\alpha_1 = \frac{1}{t}$ and $\alpha_2 = \frac{1}{t(1-t)}$ satisfy the hypotheses of Theorem 2.2;
 hence, taking $a_0 = b_0 = 0$ and $a_1 = b_1 = 1$, it follows that α_1X^{-1} and α_2X^{-1}
 generate a free \mathbb{Q} -subalgebra in $\mathbb{Q}(t)(X; \delta)$, or, in other words, $(st)^{-1}$ and $(1 -$
 $t)^{-1}(st)^{-1}$ generate a free \mathbb{Q} -subalgebra of D_1 .

165 Observe that Theorem 2.2 recovers Makar-Limanov's result, which does not
 occur with [11, Theorem 2.2], as pointed out by the authors.

In Section 4, we shall see that Theorem 2.2 can also provide a pair of *symmetric* elements of D_1 generating a free algebra, with respect to a natural involution on D_1 .

170 3. Free symmetric subalgebras and the Heisenberg group

Let k be a field, let $\Gamma = \langle x, y : [[x, y], x] = [[x, y], y] = 1 \rangle$ be the Heisenberg group and let $*$ be an involution on Γ . Then $*$ can be linearly extended to a k -involution $*$ on the group algebra $k\Gamma$, which, in turn, has a unique extension to a k -involution on the Ore division ring of fractions D of the noetherian domain $k\Gamma$.

In this section, we shall present a proof of Theorem 1.1, exhibiting two elements in D which freely generate a free k -subalgebra and which are symmetric with respect to $*$. For that purpose, we shall make use of Theorem 2.1 and of the classification of involutions on Γ given in [19].

180 Recall that the center of Γ is infinite cyclic, generated by $\lambda = [x, y]$. The attribution $\lambda \mapsto t, y \mapsto Y, x \mapsto X$ establishes a k -isomorphism between D and the division ring $k(t, Y)(X; \sigma)$, where $k(t, Y)$ stands for the field of rational functions in the indeterminates t and Y over k and σ is the $k(t)$ -automorphism of $k(t, Y)$ satisfying $\sigma(Y) = tY$.

185 Theorem 1.1 will follow from Theorem 2.1, after a judicious choice of elements α and Ξ . But, in order to verify the hypotheses of Theorem 2.1 in this setting, we shall need the following fact on automorphisms of rational function fields, whose proof is similar to the proof of [14, Lemma 1.4].

Lemma 3.1. *Let F be a field, let $t \in F \setminus \{0\}$ be an element which is not a root of unity, and let σ be the F -automorphism of the rational function field $F(Y)$ such that $\sigma(Y) = tY$. Let $\alpha \in F(Y) \setminus F[Y]$ be a rational function which has a unique pole and this pole is nonzero, and let m be a positive integer. If $\beta \in F(Y)$ satisfies*

$$\sigma(\beta) - \beta \in F + F\alpha + \cdots + F\alpha^m,$$

then $\beta \in F$.

190 3.1. Proof of Theorem 1.1

As we have seen above, we can identify D with $k(t, Y)(X; \sigma)$. Taking $F = k(t)$ in Lemma 3.1, one sees that any rational function $\alpha \in F(Y)$ which has a unique pole and this pole is nonzero will satisfy the hypotheses of Theorem 2.1, therefore providing a pair $\{\alpha X(1 - X)^{-1}, X(1 - X)^{-1}\alpha\}$ inside D which freely
 195 generates a free k -subalgebra. Now, according to [19, Theorem 3.4], up to equivalence, a k -involution $*$ on D which is induced by an involution on Γ must satisfy one of the following conditions:

- (I) $X^* = \zeta X, Y^* = \eta Y$;
- (II) $X^* = X^{-1}, Y^* = Y^{-1}$;
- 200 (III) $X^* = X, Y^* = \zeta Y^{-1}$;
- (IV) $X^* = \zeta Y, Y^* = \zeta^{-1} X$;

the elements ζ and η being powers of t (and, therefore, central). In the first two cases, one has $t^* = t^{-1}$, and in the last two, t is symmetric.

We shall treat each of the four types (I)-(IV) separately.

205 (I) In this case, taking $\alpha = (1 - Y)^{-1}$, we obtain elements $A = (1 - Y)^{-1} X(1 - X)^{-1}$ and $B = X(1 - X)^{-1}(1 - Y)^{-1}$ freely generating a free subalgebra of D . Now consider the $k(t)$ -automorphism ψ of D such that $\psi(Y) = (1 + \eta)Y$ and $\psi(X) = (1 + \zeta)X$. Since $(1 + \eta)Y = Y + Y^*$ and $(1 + \zeta)X = X + X^*$, it follows that $\psi(Y)$ and $\psi(X)$ are symmetric with respect to $*$.
 210 Thus, $\psi(A)^* = \psi(B)$. This implies that $\psi(AB)$ and $\psi(BA)$ are symmetric and, because AB and BA freely generate a free subalgebra of D , so do they.

(II) This is contained in Theorem 1.1 of [14].

(III) The rational function $\gamma = Z(\zeta - Z)^{-2}$ in the indeterminate Z over the
 215 field $F = k(t)$ satisfies the conditions of Lemma 3.1 with respect to the automorphism τ such that $\tau(Z) = t^2 Z$. Therefore, by Theorem 2.1, $\gamma X(1 - X)^{-1}$ and $X(1 - X)^{-1}\gamma$ freely generate a free k -subalgebra in $k(t, Z)(X; \tau)$. Since the map $Z \mapsto Y^2$ establishes an isomorphism between

220 $k(t, Z)(X; \tau)$ and the subalgebra $k(t, Y^2)(X; \sigma)$ of D , it follows that, setting $\alpha = Y^2(\zeta - Y^2)^{-2}$, the elements $A = Y^2(\zeta - Y^2)^{-2}X(1 - X)^{-1}$ and $B = X(1 - X)^{-1}Y^2(\zeta - Y^2)^{-2}$ freely generate a free k -subalgebra of D . Since $A^* = B$, it follows that AB and BA form a pair of symmetric elements which freely generate a free subalgebra of D .

225 (IV) Here, taking $\alpha = Y(1 - Y)^{-1}$, one gets the free pair $A = Y(1 - Y)^{-1}X(1 - X)^{-1}$ and $B = X(1 - X)^{-1}Y(1 - Y)^{-1}$. If ψ denotes the $k(t)$ -automorphism of D such that $\psi(X) = X$ and $\psi(Y) = \zeta Y$, it follows that $\{\psi(A), \psi(B)\}$ is a pair of symmetric elements which freely generates a free algebra in D .

4. Free symmetric subalgebras and the first Weyl algebra

230 As we have seen in Remark 2.3, we can regard the division ring of fractions D_1 of the first Weyl algebra over \mathbb{Q} as $\mathbb{Q}(t)(X; \delta)$, where δ stands for the usual derivation on the rational function field $\mathbb{Q}(t)$.

In the proof of Theorem 1.2, we shall need the following consequence of Theorem 2.2.

235 **Corollary 4.1.** *Let $a, b \in \mathbb{Q}(t)$ be rational functions satisfying the following conditions:*

- $\{a^2, ab\}$ is a \mathbb{Q} -linearly independent subset of $\mathbb{Q}(t)$, and
- $\delta(\mathbb{Q}(t)) \cap (\mathbb{Q}a^2 + \mathbb{Q}ab) = \{0\}$.

Then, $aX^{-1}a$ and $bX^{-1}a$ freely generate a free \mathbb{Q} -subalgebra of $\mathbb{Q}(t)(X; \delta)$.

240 **PROOF.** By Theorem 2.2, the elements a^2X^{-1} and abX^{-1} freely generate a free \mathbb{Q} -subalgebra of $\mathbb{Q}(t)(X; \delta)$. The automorphism of $\mathbb{Q}(t)(X; \delta)$ given by $f \mapsto a^{-1}fa$ sends the set $\{a^2X^{-1}, abX^{-1}\}$ to $\{aX^{-1}a, bX^{-1}a\}$.

4.1. Proof of Theorem 1.2

Consider the rational functions

$$a = \frac{t}{1 + t^2} \quad \text{and} \quad b = \frac{1}{1 + t}$$

in $\mathbb{Q}(t)$. Considering them as real functions in the variable t , we have

$$\int \left(\frac{t}{1+t^2} \right)^2 dt = \frac{1}{2} \left(\arctan t - \frac{t}{1+t^2} \right) + \text{constant}$$

and

$$\int \left(\frac{t}{1+t^2} \right) \left(\frac{1}{1+t} \right) dt = \frac{1}{4} (\ln(1+t^2) + 2 \arctan t - 2 \ln(1+t)) + \text{constant}.$$

Developing $\arctan t$, $\ln(1+t^2)$ and $\ln(1+t)$ as power series in the interval $(0, 1)$,
 245 we can easily check that a and b satisfy the conditions in Corollary 4.1. It
 follows that $\alpha = as^{-1}a$ and $\beta = bs^{-1}a$ freely generate a free \mathbb{Q} -subalgebra of
 D_1 . Hence, the symmetric elements α^2 and $\alpha\beta$ also generate a free \mathbb{Q} -subalgebra
 of D_1 .

5. Free subalgebras in $F(X_1, \dots, X_n)(X; \sigma)$

250 In this section we follow closely the arguments in [10, Section 4] and show
 that part of the proof of [10, Theorem 1] can be greatly simplified using Theo-
 rem 2.2.

We start with a more general setting. Let k be a field and let R be a
 commutative k -algebra which is a factorial domain with group of units $k^\dagger =$
 255 $k \setminus \{0\}$. Let σ be a nonidentity k -automorphism of R and assume the the fixed
 ring of R under σ coincides with k . Extend σ to the field of fractions K of
 R . Theorem 1.3 will follow from the next result, in the statement of which, for
 $a \in k^\dagger$, we use the notation $R_a = \{r \in R : \sigma(r) = ar\}$.

Proposition 5.1. *Under the above hypotheses, the division algebra $K(X; \sigma)$*
 260 *contains a noncommutative free k -subalgebra. More precisely, one of the follow-*
ing alternative possibilities must hold.

- (i) *Either $R_a = \{0\}$, for all $a \in k^\dagger \setminus \{1\}$. In this case, given any $\alpha \in K \setminus R$
 whose denominator is a prime power, for any positive integer m , the set*

$$\{\alpha X(1-X)^{-1}, \alpha^2 X(1-X)^{-1}, \dots, \alpha^m X(1-X)^{-1}\}$$

freely generates a free k -subalgebra in $K(X; \sigma)$.

(ii) Or $R \supseteq k[t]$, where t is algebraically independent over k and σ satisfies $\sigma(t) = \lambda t$, for some $\lambda \in k$ which is not a root of unity. In this case, given any $b \in k$, for any positive integer m , the set

$$\{(t-b)^{-1}X(1-X)^{-1}, (t-b)^{-2}X(1-X)^{-1}, \dots, (t-b)^{-m}X(1-X)^{-1}\}$$

freely generates a free k -subalgebra in $K(X; \sigma)$.

PROOF. In case (i), take $\alpha \in K \setminus R$. By [10, Lemma 5], the set $\{1\} \cup \{\sigma^j(\alpha^i) : i \geq 1, j \geq 0\}$ is k -linearly independent. Moreover, if the denominator of α is a prime power, then, by [10, Lemma 7], the equation

$$\sigma(\beta) - \beta = \sum_{i \geq 1} b_i \alpha^i$$

has no solution with $b_i \in k$ and $\beta \in K \setminus k$. It follows from Theorem 2.2 that $\alpha X(1-X)^{-1}, \dots, \alpha^m X(1-X)^{-1}$ freely generate a free k -algebra in $K(X; \sigma)$ for any positive m .

Now suppose that (i) does not hold, that is, there exists $\lambda \in k^\dagger \setminus \{1\}$ such that $R_\lambda \neq \{0\}$. By [10, Lemma 2], λ is not a root of unity. Choose $t \in R_\lambda \setminus \{0\}$. Then, $\sigma(t) = \lambda t$ and we have an embedding $k(t)(X; \sigma) \subseteq K(X; \sigma)$. It follows from Lemma 3.1 and Theorem 2.2 that, for any $b \in k$ and any positive integer m , $(t-b)^{-1}X(1-X)^{-1}, (t-b)^{-2}X(1-X)^{-1}, \dots, (t-b)^{-m}X(1-X)^{-1}$ freely generate a free k -subalgebra in $k(t)(X; \sigma)$ and, hence, in $K(X; \sigma)$.

5.1. Proof of Theorem 1.3

The same argument used in the proof of [10, Corollary 2] holds. Let M be the fixed subring of $S = k[X_1, \dots, X_n]$ under the action of σ , let $R = S(M \setminus \{0\})^{-1}$, and let $k = M(M \setminus \{0\})^{-1}$. By Proposition 5.1, $K(X; \sigma)$ contain a free k -subalgebra and, thus, by [5, Lemma 1], contains a free F -subalgebra.

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