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# Rational plane curves parameterizable by conics <sup>☆</sup>

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## ABSTRACT

We introduce the class of rational plane curves parameterizable by conics as an extension of the family of curves parameterizable by lines (also known as monoid curves). We show that they are the image of monoid curves via suitable quadratic transformations in projective plane. We also describe all the possible proper parameterizations of them, and a set of minimal generators of the Rees Algebra associated to these parameterizations, extending well-known results for curves parameterizable by lines.

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## 1. Curves parameterizable by forms of low degree

This article deals with algebraic and geometric features of a special family of rational plane curves. Let  $\mathbb{K}$  be an algebraically closed field. For a positive integer  $k$ , we will denote with  $\mathbb{P}^k$  the  $k$ -dimensional projective space over  $\mathbb{K}$ . Let  $C \subset \mathbb{P}^2$  be an algebraic plane curve of degree  $d$ , that is the zero locus of an irreducible homogeneous polynomial  $E(X_1, X_2, X_3) \in \mathbb{K}[X_1, X_2, X_3]$  of degree  $d$ .

A curve is *rational* if it is birationally equivalent to  $\mathbb{P}^1$ , i.e. there exist dominant rational maps  $\phi: \mathbb{P}^1 \rightarrow C$  and  $\psi: C \dashrightarrow \mathbb{P}^1$  such that  $\psi \circ \phi = id_{\mathbb{P}^1}$  and  $\phi \circ \psi = id_C$ ; equivalently there is an open subset of  $C$  isomorphic to an open subset of  $\mathbb{P}^1$  (or  $\mathbb{A}^1$ ). If this is the case, the cardinality of the general fiber of  $\phi$  and  $\psi$  is equal to one. So,  $\phi$  actually defines a *proper* (i.e. generically injective)

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parameterization of  $C$ . Note that  $\psi$ 's *domain* (the largest set where the map is defined) coincides with the set of nonsingular points of  $C$ .

A pair  $(F_1(X_1, X_2, X_3), F_2(X_1, X_2, X_3))$  of polynomials in  $\mathbb{K}[X_1, X_2, X_3]$ , homogeneous of the same degree  $d'$  without common factors defines a birational map

$$\begin{aligned} \psi : \quad C &\dashrightarrow \mathbb{P}^1 \\ (x_1 : x_2 : x_3) &\mapsto (F_1(x_1, x_2, x_3) : F_2(x_1, x_2, x_3)) \end{aligned} \quad (1)$$

if there exist a triple  $(u_1(T_1, T_2), u_2(T_1, T_2), u_3(T_1, T_2))$  of homogeneous polynomials without common factors in  $\mathbb{K}[T_1, T_2]$  defining a parameterization of  $C$  of the form

$$\begin{aligned} \phi : \quad \mathbb{P}^1 &\rightarrow C \\ (t_1 : t_2) &\mapsto (u_1(t_1, t_2) : u_2(t_1, t_2) : u_3(t_1, t_2)), \end{aligned} \quad (2)$$

with  $\phi = \psi^{-1}$  as rational maps. Note that  $\phi$  is globally defined but  $\psi$  not necessarily. In fact, it is well known (see Lemma 3.10) that the set of singular points of  $C$  is contained in the algebraic variety defined by  $F_1(\underline{X})$  and  $F_2(\underline{X})$  in  $\mathbb{P}^2$ . Note that the inclusion may be strict, see for instance Example 2.6. Set  $\underline{t} := (t_1, t_2)$  and  $\underline{x} := (x_1, x_2, x_3)$ . The birationality of  $\psi$  is equivalent to the following two claims:

$$(u_1(F_1(\underline{x}), F_2(\underline{x})) : u_2(F_1(\underline{x}), F_2(\underline{x})) : u_3(F_1(\underline{x}), F_2(\underline{x}))) = (x_1 : x_2 : x_3) \quad (3)$$

for almost all  $(x_1 : x_2 : x_3) \in C$ , and

$$(F_1(u_1(\underline{t}), u_2(\underline{t}), u_3(\underline{t})) : F_2(u_1(\underline{t}), u_2(\underline{t}), u_3(\underline{t}))) = (t_1 : t_2) \quad (4)$$

for almost all  $(t_1 : t_2) \in \mathbb{P}^1$ . Note that the expressions in the left-hand side of (3) and (4) are well defined as the families of polynomials are homogeneous.

Set  $\underline{T} := (T_1, T_2)$ ,  $\underline{X} := (X_1, X_2, X_3)$ ,  $\underline{u}(\underline{T}) = (u_1(\underline{T}), u_2(\underline{T}), u_3(\underline{T}))$  and  $\underline{F}(\underline{X}) = (F_1(\underline{X}), F_2(\underline{X}))$ . If (1) holds, we say that  $C$  is *parameterizable* by  $\underline{F}(\underline{X})$  (or by  $\psi$ ) and that  $\underline{u}(\underline{T})$  (or  $\phi$ ) is the proper parameterization induced by  $\underline{F}(\underline{X})$ .

Note that (4) is equivalent to

$$T_1 F_2(\underline{u}(\underline{T})) - T_2 F_1(\underline{u}(\underline{T})) = 0, \quad (5)$$

and it turns out that (5) implies (3). This is clear if the characteristic of  $\mathbb{K}$  is zero, and reasoning as in Proposition 2.1 [CD10] for  $\psi$  birational, one gets the general case.

In order to find  $\underline{u}(\underline{T})$  starting from the data  $\psi$  given in (1), some geometry is needed. For a set of homogeneous elements  $S \subset \mathbb{K}[\underline{X}]$ , we denote with  $V(S) \subset \mathbb{P}^2$  the variety defined by it. The fact that  $C = V(E(\underline{X}))$  is parameterizable by  $\underline{F}(\underline{X})$  means that the system

$$\begin{cases} E(\underline{X}) = 0 \\ T_1 F_2(\underline{X}) - T_2 F_1(\underline{X}) = 0 \end{cases} \quad (6)$$

has only one solution in  $\mathbb{P}^2_{\mathbb{K}(\underline{T})} \setminus \mathbb{P}^2$  counted with multiplicities, or equivalently has  $dd' - 1$  zeroes in  $\mathbb{P}^2$  counted with multiplicities. Here,  $\mathbb{P}^2_{\mathbb{K}(\underline{T})}$  is the projective plane over  $\overline{\mathbb{K}(\underline{T})}$ , the algebraic closure of  $\mathbb{K}(\underline{T})$ . Note that our definition is not the same as the one given in [SWP08, Definition 4.51] but a more restrictive one as shown in [SWP08, Theorem 4.54].

From a computational point of view, a curve in the plane is typically given by either its implicit equation  $E(\underline{X})$  or – if it is rational – a parameterization like (2). Whether there exists a proper

parameterization of  $C$  and, if this is the case, the computation of  $\psi$  having as input  $\phi$  or vice versa, are typical problems of Computational Algebraic Geometry, see [SWP08] and the references therein for more on the subject.

Let us consider the situation from a more algebraic perspective. Set  $R := \mathbb{K}[\underline{T}]$ , and let  $I$  be the ideal  $\langle u_1(\underline{T}), u_2(\underline{T}), u_3(\underline{T}) \rangle \subset R$ . The Rees Algebra associated to  $I$  is defined as  $\text{Rees}(I) := \mathbb{K}[\underline{T}][IZ]$ , where  $Z$  is a new variable. There is a graded epimorphism of  $\mathbb{K}[\underline{T}]$ -algebras defined by

$$\begin{aligned} \mathfrak{h}: \mathbb{K}[\underline{T}][Z] &\rightarrow \text{Rees}(I) \\ X_i &\mapsto u_i(\underline{T})Z. \end{aligned} \quad (7)$$

Set  $\mathcal{K} := \ker(\mathfrak{h})$ . Note that a description of  $\mathcal{K}$  allows also a full characterization of  $\text{Rees}(I)$  via (7). This is why we call it *the defining ideal of the Rees Algebra* associated to  $\underline{u}(\underline{T})$ . Condition (5) is equivalent to the fact that  $T_1 F_2(\underline{X}) - T_2 F_1(\underline{X}) \in \mathcal{K}$ .

Observe that  $\mathcal{K}$  is a bihomogeneous ideal, and that one has an identification of  $\mathcal{K}_{*,1}$  with  $\text{Syz}(I)$ , the first module of syzygies of  $I$ . It turns out that  $\text{Syz}(I)$  is a free  $R$ -module of rank 2 generated by two elements, one of  $\underline{T}$ -degree  $\mu$  for an integer  $\mu$  such that  $0 \leq \mu \leq \frac{d}{2}$ , and the other of  $\underline{T}$ -degree  $d - \mu$ . In the Computer Aided Geometric Design community, such a basis is called a  $\mu$ -basis of  $I$  (see for instance [CSC98, CGZ00, CCL05]). Indeed, by the Hilbert–Burch Theorem,  $I$  is generated by the maximal order minors of a  $3 \times 2$  matrix  $\varphi$  and the homogeneous resolution of  $I$  is

$$0 \rightarrow R(-d - \mu) \oplus R(-d - (d - \mu)) \xrightarrow{\varphi} R(-d)^3 \xrightarrow{(u_1, u_2, u_3)} I \rightarrow 0. \quad (8)$$

This matrix is called the Hilbert–Burch matrix of  $I$  and its columns describe the  $\mu$ -basis.

Computationally, a  $\mu$ -basis provides simple (i.e. in both  $(\underline{T}, \underline{X})$ -degrees) elements to describe the parameterization of  $C$  given in (2) than the data  $\underline{u}(\underline{T})$ . The search for more simple elements to describe  $C$  leads to the study of the minimal generators of  $\mathcal{K}$ . Indeed, the so-called method of implicitization by using moving curves of low degrees (described in [SC95, SGD97, ZCG99]) is just a first step into a more complex picture which was described by Cox in [Cox08], and subsequently worked out in [CHW08, HSV08, KPU09, HSV09, Bus09, HW10, CD10] among others. However, we are still far from being able to describe minimal generators of  $\mathcal{K}$  for a general ideal of a parametric plane curve  $I$  as above. This paper is a contribution in that direction. We will make a detailed study of rational curves parameterizable by forms of degree 2, i.e. the situation  $\deg(\underline{F}(\underline{X})) = 2$  in (1). The case of curves parameterizable by forms of degree 1 has been completely described in [Cox08, Bus09].

Before starting, we present some results concerning existence and uniqueness of the polynomials  $F_1(\underline{X}), F_2(\underline{X})$  defining (1) for a fixed  $C$ . Any rational plane curve  $C$  is parameterizable by forms of degree  $d'$  for some  $d'$ . As a matter of fact, the method of adjoint curves proposed in [Wal50] to parameterize any rational curve produces a map  $\psi$  as in (1), with  $\underline{F}(\underline{X})$  of degree less than or equal to  $\deg(C) - 2$ . The following result shows that if  $d' < \frac{\deg(C)}{2}$ , then not only  $d'$  is unique but also the ideal  $\langle F_1(\underline{X}), F_2(\underline{X}) \rangle$ .

**Proposition 1.1.** *Let  $C$  be a curve of degree  $d$  parameterizable by  $(F_1(\underline{X}), F_2(\underline{X}))$ , with  $\deg(F_i(\underline{X})) = d'$ . Suppose that  $C$  is also parameterizable by  $(F_1^0(\underline{X}), F_2^0(\underline{X}))$ , the latter being forms of degree  $d'_0$  with both  $d', d'_0 < d$ . Then, either  $d' + d'_0 \geq d$  or  $d' = d'_0$  and  $\langle F_1(\underline{X}), F_2(\underline{X}) \rangle = \langle F_1^0(\underline{X}), F_2^0(\underline{X}) \rangle$ .*

**Proof.** Let  $\phi(\underline{t}) := \underline{u}(\underline{t})$  and  $\phi^0(\underline{t}) := \underline{u}^0(\underline{t})$ , be the proper parameterizations of  $C$  induced respectively by  $(F_1(\underline{X}), F_2(\underline{X}))$  and  $(F_1^0(\underline{X}), F_2^0(\underline{X}))$ . Denote with  $\psi$  the inverse of  $\phi$ . Then,  $\psi \circ \phi^0$  is an automorphism of  $\mathbb{P}^1$ , and hence there exists a pair  $(\rho_1(\underline{T}), \rho_2(\underline{T})) =: \rho(\underline{T})$  of  $\mathbb{K}$ -linearly independent linear forms such that  $\underline{u}^0(\underline{T}) = \underline{u}(\rho(\underline{T}))$ .

Let  $\underline{u}^0(\underline{T}) := (u_1^0(\underline{T}), u_2^0(\underline{T}), u_3^0(\underline{T}))$  be the parameterization induced by  $(F_1^0, F_2^0)$ . From (5) we have  $T_1 F_2^0(\underline{u}(\rho(\underline{T}))) - T_2 F_1^0(\underline{u}(\rho(\underline{T}))) = 0$ . And by writing  $T_1$  and  $T_2$  as linear combinations of  $\rho_1(\underline{T})$  and  $\rho_2(\underline{T})$ , we get

$$\rho_1(\underline{T})F'_2(\underline{u}(\rho(\underline{T}))) - \rho_2(\underline{T})F'_1(\underline{u}(\rho(\underline{T}))) = 0$$

with  $\langle F_1^0(\underline{X}), F_2^0(\underline{X}) \rangle = \langle F'_1(\underline{X}), F'_2(\underline{X}) \rangle$ . As  $\rho$  is an automorphism, we deduce

$$T_1F'_2(\underline{u}(\underline{T})) - T_2F'_1(\underline{u}(\underline{T})) = 0.$$

This equality, combined with  $T_1F_2(\underline{u}(\underline{T})) - T_2F_1(\underline{u}(\underline{T})) = 0$  implies that the polynomial  $F'_1(\underline{X})F_2(\underline{X}) - F'_2(\underline{X})F_1(\underline{X})$  vanishes on  $C$ . As this is an element of degree  $d' + d'_0$  and  $C$  has degree  $d$ , if  $d' + d'_0 < d$ , then we have that

$$F'_1(\underline{X})F_2(\underline{X}) - F'_2(\underline{X})F_1(\underline{X}) = 0.$$

Now, using the fact that  $F_1(\underline{X})$  and  $F_2(\underline{X})$  do not share any common factor, we deduce that  $F_i(\underline{X})$  divides  $F'_i(\underline{X})$  for  $i = 1, 2$ , so  $d' \leq d'_0$  and

$$\langle F_1^0(\underline{X}), F_2^0(\underline{X}) \rangle = \langle F'_1(\underline{X}), F'_2(\underline{X}) \rangle \subset \langle F_1(\underline{X}), F_2(\underline{X}) \rangle.$$

Applying the same argument symmetrically, we conclude that  $d'_0 \leq d'$ , and hence

$$\langle F_1(\underline{X}), F_2(\underline{X}) \rangle \subset \langle F_1^0(\underline{X}), F_2^0(\underline{X}) \rangle. \quad \square$$

If we restrict our attention to the set of curves parameterizable by forms of degree  $d'$  for a fixed value of  $d'$ , the following natural questions arise:

- Can we describe geometrically all of them?
- What does a proper parameterization of a curve in this family look like?
- Given  $u_1(\underline{T}), u_2(\underline{T}), u_3(\underline{T}) \in K[\underline{T}]$  parameterizing a plane curve parameterizable by forms of degree  $d'$ , can we describe the minimal homogeneous free resolution of  $\langle u_1(\underline{T}), u_2(\underline{T}), u_3(\underline{T}) \rangle$ ?
- Given  $u_1(\underline{T}), u_2(\underline{T}), u_3(\underline{T}) \in K[\underline{T}]$  as above, can we describe a minimal set of generators of  $\mathcal{K}$ ?

An already interesting case is when  $d' = 1$ . Such curves are called in [SWP08] *parameterizable by lines*. Other authors call them *monoid curves* [JLP08]. The answer to all these questions are well known for them. We will review them along the text in order to compare them with the main focus of this paper, which is  $d' = 2$ . We will refer to them as *curves parameterizable by conics*. In Section 2 we will describe all possible proper parameterizations of them, and also compute a nontrivial multiple of its implicit equation. Most of the time, this polynomial will actually be the one defining its implicit equation and, when it is not the case, the implicit equation will be given by its irreducible factor of largest degree (see Theorem 2.9).

In Section 3, we describe geometrically the space of all curves parameterizable by conics. In Theorem 3.8 we show that they are the image of curves parameterizable by lines via a quadratic birational transformation of  $\mathbb{P}^2$ . Not surprisingly, the type of quadratic transformation depends on the geometry of the variety defined by  $F_1(\underline{X}), F_2(\underline{X})$  in  $\mathbb{P}^2$ .

Then we turn to study the last of the questions above. In Section 4 we present an extension of some of the tools used in [CD10] for curves parameterizable by lines, to a more general context. These extended tools will be used in Section 5 to exhibit a complete set of generators of  $\mathcal{K}$  for proper parameterizations of curves parameterizable by conics. Curiously, the description of the generators depends on whether the degree of  $C$  is even or odd. In the first case, a “moving conic” arising from the classical method of implicitization with the aid of moving curves comes into play (see Proposition 5.4).

It is worth mentioning here that the results in Sections 4 and 5 are independent of the previous sections, so the reader interested in the questions related to the Rees Algebra can skip the first pages

without harm. Of course it would be very interesting to get a further understanding of the situation for  $d' \geq 3$ , but our techniques only allow us to deal with curves parameterizable by conics. In Section 6, we conclude with open questions and problems.

## 2. Parameterizations and implicit equations of curves parameterizable by lines and conics

In this section we will explore algebraic aspects of curves parameterizable by forms of degrees 1 and 2. They will be useful when studying geometric properties of the singularities of these curves. The case of curves parameterizable by lines is well known in the literature. We review it here in order to compare it with curves parameterizable by conics. Curves of degree 1 (lines in  $\mathbb{P}^2$ ) are easily to describe so we will assume from now on that  $d \geq 2$ .

### 2.1. Curves parameterizable by lines

We start with the following result which characterizes curves parameterizable by lines having  $(0 : 0 : 1)$  as a point of maximal multiplicity. Without loss of generality, we can assume that the inverse  $\psi$  defined in (1) is given by  $F_1(\underline{X}) = X_1$ ,  $F_2(\underline{X}) = X_2$ .

**Proposition 2.1.** *Let  $a(\underline{T}), b(\underline{T}) \in \mathbb{K}[\underline{T}]$  be homogeneous polynomials without common factors, of degrees  $d - 1$  and  $d > 1$  respectively. Set*

$$\begin{cases} u_1(\underline{T}) := T_1 a(\underline{T}), \\ u_2(\underline{T}) := T_2 a(\underline{T}), \\ u_3(\underline{T}) := b(\underline{T}). \end{cases} \quad (9)$$

*Then,  $\underline{u}(\underline{T}) := (u_1(\underline{T}), u_2(\underline{T}), u_3(\underline{T}))$  defines a proper parameterization of curve  $C$  of degree  $d$  parameterizable by lines having  $(0 : 0 : 1) \in C$  of multiplicity  $d - 1$ . Moreover,  $b(X_1, X_2) - a(X_1, X_2)X_3$  is an irreducible polynomial defining  $C$ . This curve is parameterizable by  $(X_1, X_2)$ . Reciprocally, any curve defined implicitly as  $b(X_1, X_2) - a(X_1, X_2)X_3 = 0$  in  $\mathbb{P}^2$  with  $a(\underline{T}), b(\underline{T})$  as above, is a curve parameterizable by lines with  $(0 : 0 : 1) \in C$  having multiplicity  $d - 1$ .*

**Proof.** Write  $b(\underline{T}) = b_1(\underline{T})T_1 + b_2(\underline{T})T_2$ . It is then easy to see that the matrix

$$\varphi := \begin{pmatrix} T_2 & b_1(\underline{T}) \\ -T_1 & b_2(\underline{T}) \\ 0 & -a(\underline{T}) \end{pmatrix}$$

is the Hilbert–Burch matrix of the ideal  $\langle u_1(\underline{T}), u_2(\underline{T}), u_3(\underline{T}) \rangle \subset \mathbb{K}[\underline{T}]$ , as in (8). By looking at the  $\underline{T}$ -degree of the first column, we get that  $\mu = 1$ , i.e. there is a generator of the  $\text{Syz}(I)$  of  $\underline{T}$ -degree one. Proposition 2.1 in [CD10] tell us then that  $\underline{u}(\underline{T})$  defines a birational map  $\phi : \mathbb{P}^1 \rightarrow C := \phi(\mathbb{P}^1)$  whose inverse is given by  $(X_1, X_2)$ . In particular,  $\phi$  is a proper parameterization of a curve of degree  $d$  having with  $(0 : 0 : 1) \in C$  having multiplicity  $d - 1$ . The fact that the implicit equation is given by  $b(X_1, X_2) - a(X_1, X_2)X_3$  was shown in [CD10, Lemma 2.5].

The rest of the proof follows straightforwardly: given  $a(\underline{T}), b(\underline{T}) \in \mathbb{K}[\underline{T}]$  homogeneous without common factors and with respective degrees  $d - 1, d$ . With this data we define the parameterization (9) and then we will find that the implicit equation of  $C$  is given by the irreducible polynomial  $b(X_1, X_2) - a(X_1, X_2)X_3$ .  $\square$

### 2.2. Curves parameterizable by conics

In order to mimic the results obtained above, by making a linear change of coordinates in  $\mathbb{P}^2$  we start by assuming that  $(0 : 0 : 1) \in V(F(\underline{X}))$ . Set  $\mathcal{F}(\underline{T}, \underline{X}) := T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})$ , and write

$$\mathcal{F}(\underline{T}, \underline{X}) = l_1(\underline{T})X_1X_2 + l_2(\underline{T})X_1X_3 + l_3(\underline{T})X_2X_3 + l_4(\underline{T})X_1^2 + l_5(\underline{T})X_2^2, \quad (10)$$

with  $l_i(\underline{T})$  a homogeneous linear form in  $\mathbb{K}[\underline{T}]$ ,  $i = 1, 2, 3, 4, 5$ .

**Proposition 2.2.** *The conic defined by  $\mathcal{F}(\underline{T}, \underline{X})$  in  $\mathbb{P}_{\mathbb{K}(\underline{T})}^2$  is degenerate if and only if each  $F_i(\underline{X})$  is the product of two linear forms in  $\mathbb{K}[X_1, X_2]$ . If this is the case, there is a curve  $C$  parameterizable by  $\underline{F}(\underline{X})$  if and only if  $C$  is either a line or parameterizable by lines.*

**Proof.** If  $\mathcal{F}(\underline{T}, \underline{X})$  defines a degenerate conic then there exist  $\mathcal{A}(\underline{T}, \underline{X}), \mathcal{B}(\underline{T}, \underline{X}) \in \overline{\mathbb{K}(\underline{T})}[\underline{X}]$  homogeneous of  $\underline{X}$ -degree one such that

$$\mathcal{F}(\underline{T}, \underline{X}) = \mathcal{A}(\underline{T}, \underline{X})\mathcal{B}(\underline{T}, \underline{X}). \quad (11)$$

As the left-hand side has degree at most one in  $X_3$ , one of the factors on the right-hand side do not depend on  $X_3$ . Suppose w.l.o.g. that  $\deg_{X_3}(\mathcal{A}(\underline{T}, \underline{X})) = 0$ , and write

$$T_1F_2(\underline{X}) - T_2F_1(\underline{X}) = \mathcal{F}(\underline{T}, \underline{X}) = Q(\underline{T}, X_1, X_2) + X_3L(\underline{T}, X_1, X_2),$$

with  $Q(\underline{T}, X_1, X_2), L(\underline{T}, X_1, X_2) \in \mathbb{K}[\underline{T}, \underline{X}]$ . If  $L(\underline{T}, X_1, X_2) \neq 0$ , then – due to (11) – both polynomials  $Q(\underline{T}, X_1, X_2)$  and  $L(\underline{T}, X_1, X_2)$  will have a nontrivial common factor in  $\overline{\mathbb{K}(\underline{T})}[X_1, X_2]$ . But this implies that they also share a common factor in  $\mathbb{K}[\underline{T}, X_1, X_2]$ , so a factorization as in (11) holds, with  $\mathcal{A}(\underline{T}, \underline{X})\mathcal{B}(\underline{T}, \underline{X}) \in \mathbb{K}[\underline{T}, X_1, X_2]$ . Looking now at the degree in  $\underline{T}$  in (11), we have that one of the two factors in the right-hand side does not depend on  $\underline{T}$ , which implies that  $F_1(\underline{X})$  and  $F_2(\underline{X})$  have a common factor of positive degree, a contradiction. Hence,  $L(\underline{T}, X_1, X_2) = 0$ , which implies that  $F_1(\underline{X})$  and  $F_2(\underline{X})$  only depend on  $X_1, X_2$ , and they factorize as a product of linear forms, as  $\mathbb{K}$  is algebraically closed.

The converse follows straightforwardly as  $T_1F_2(X_1, X_2) - T_2F_1(X_1, X_2)$  factorizes as a product of two linear forms with coefficients in  $\overline{\mathbb{K}(\underline{T})}$ , and hence they define a product of lines in  $\mathbb{P}_{\mathbb{K}(\underline{T})}^2$ .

Now, suppose that  $F_1(\underline{X}), F_2(\underline{X}) \in \mathbb{K}[X_1, X_2]$ . It is easy to see that here is a curve parameterizable by these conics if and only if there is a solution in  $\mathbb{P}_{\mathbb{K}(\underline{T})}^1$  of the equation  $T_1F_2(X_1, X_2) - T_2F_1(X_1, X_2) = 0$ . By dividing this equality by  $X_2^2$ , we get a quadratic equation in  $\frac{X_1}{X_2}$  whose coefficients are linear forms in  $\underline{T}$ . By Gauss Lemma, any rational solution should have both numerator and denominator being of  $\underline{T}$ -degree at most one. By looking at the shape of the first two coordinates of (9), we conclude that  $C$  is either a line or parameterizable by lines.  $\square$

**Remark 2.3.** If  $T_1F_2(X_1, X_2) - T_2F_1(X_1, X_2) = 0$  has no rational solutions in  $\mathbb{P}_{\mathbb{K}(\underline{T})}^2$ , then there are no rational curves parameterizable by  $\underline{F}(\underline{X})$ . We will see below that this is actually the only possible choice of a complete intersection of conics in  $\mathbb{P}^2$  which does not parameterize a curve  $C$ .

Now we deal with nonsingular pencils of conics. We will describe all the rational plane curves they produce by means of the usual argument of cutting out the pencil with a moving line passing through  $(0 : 0 : 1)$ .

**Proposition 2.4.** *Let  $F_1(\underline{X}), F_2(\underline{X}) \in \mathbb{K}[\underline{X}]$  be homogeneous of degree 2 without common factors such that  $(0 : 0 : 1) \in V(\underline{F}(\underline{X}))$ . If the conic defined by  $\mathcal{F}(\underline{T}, \underline{X})$  in  $\mathbb{P}_{\mathbb{K}(\underline{T})}^2$  is nondegenerate, then for any pair  $a(\underline{T}), b(\underline{T}) \in \mathbb{K}[\underline{T}]$  of homogeneous elements of the same degree  $d_0 > 1$  without common factors, the polynomials*

$$\begin{cases} u_1(\underline{T}) = -a(\underline{T})(a(\underline{T})l_2(\underline{T}) + b(\underline{T})l_3(\underline{T})) \\ u_2(\underline{T}) = -b(\underline{T})(a(\underline{T})l_2(\underline{T}) + b(\underline{T})l_3(\underline{T})) \\ u_3(\underline{T}) = a(\underline{T})b(\underline{T})l_1(\underline{T}) + a(\underline{T})^2l_4(\underline{T}) + b(\underline{T})^2l_5(\underline{T}) \end{cases} \quad (12)$$

define a proper parameterization of a curve  $C$  parameterizable by  $\underline{F}(\underline{X})$ . Moreover, if  $\gcd(X_1 l_2(\underline{F}(\underline{X})) + X_2 l_3(\underline{F}(\underline{X})), a(\underline{F}(\underline{X}))X_2 - b(\underline{F}(\underline{X}))X_1) = 1$ , then  $\gcd(\underline{u}(\underline{T})) = 1$ , and  $\deg(C) = 2d_0 + 1$ . Moreover,  $a(\underline{F}(\underline{X}))X_2 - b(\underline{F}(\underline{X}))X_1$  is an irreducible polynomial defining the curve.

**Proof.** As  $(0 : 0 : 1)$  is a rational point of the nondegenerate conic in  $\mathbb{P}_{\mathbb{K}(\underline{T})}^2$ , we can describe all the other rational solutions by using a pencil of lines passing through this point. In order to do that, given  $a(\underline{T}), b(\underline{T}) \in \mathbb{K}[\underline{T}]$  homogeneous elements of degree  $d_0 > 1$  without common factors, consider the system

$$\begin{cases} \mathcal{F}(\underline{T}, \underline{X}) = 0, \\ b(\underline{T})X_1 - a(\underline{T})X_2 = 0. \end{cases}$$

It has two solutions in  $\mathbb{P}_{\mathbb{K}(\underline{T})}^2$ , one of them being  $(0 : 0 : 1)$ , so the other is also rational and by computing it explicitly we get that it is proportional to  $\underline{u}(\underline{T})$  in (12). As  $\gcd(a(\underline{T}), b(\underline{T})) = 1$  and due to the fact that at least one between  $l_2(\underline{T})$  and  $l_3(\underline{T})$  is not identically zero (this is because the conic defined by  $\mathcal{F}(\underline{T}, \underline{X})$  in  $\mathbb{P}_{\mathbb{K}(\underline{T})}^2$  is nondegenerate), we then have that (12) defines the parameterization of a rational plane curve  $C$ , which turns out to be parameterizable by  $\underline{F}(\underline{X})$ . Hence, the parameterization is proper.

Let  $E(\underline{X}) \in \mathbb{K}[\underline{X}]$  be an irreducible polynomial defining  $C$ . For  $(x_1 : x_2 : x_3) \in C$  we have  $b(\underline{F}(\underline{x}))x_1 - a(\underline{F}(\underline{x}))x_2 = 0$ , which implies that  $b(\underline{F}(\underline{x}))X_1 - a(\underline{F}(\underline{x}))X_2$  is a multiple of  $E(\underline{X})$ . In order to show that they are equal, first we will prove that the latter is not identically zero. Indeed, if this were the case, then there would exist  $C(\underline{X}) \in \mathbb{K}[\underline{X}]$ , homogeneous of degree  $2d_0 - 1 > 0$  such that

$$\begin{aligned} a(\underline{F}(\underline{X})) &= C(\underline{X})X_1, \\ b(\underline{F}(\underline{X})) &= C(\underline{X})X_2. \end{aligned}$$

As  $C(\underline{X})$  has positive degree, there are infinite points  $(x_1 : x_2 : x_3) \in \mathbb{P}^2$  such that  $C(\underline{x}) = 0$ . For those points we will have  $a(\underline{F}(\underline{x})) = b(\underline{F}(\underline{x})) = 0$ , but as  $a(\underline{T})$  and  $b(\underline{T})$  do not have common zeroes in  $\mathbb{P}^1$ , this then implies that the point  $(x_1 : x_2 : x_3) \in V(\underline{F}(\underline{X}))$ , which contradicts the fact that  $V(\underline{F}(\underline{X}))$  is a complete intersection (hence finite). This shows that  $b(\underline{F}(\underline{X}))X_1 - a(\underline{F}(\underline{X}))X_2 \neq 0$ .

Suppose that  $X_1 l_2(\underline{F}(\underline{X})) + X_2 l_3(\underline{F}(\underline{X}))$  and  $a(\underline{F}(\underline{X}))X_1 - b(\underline{F}(\underline{X}))X_2$  have no common factors. Choose  $(x_1 : x_2 : x_3) \in \mathbb{P}^2$  such that  $b(\underline{F}(\underline{x}))x_1 - a(\underline{F}(\underline{x}))x_2 = 0$ , with  $(x_1 : x_2 : x_3)$  neither in  $V(\underline{F}(\underline{X}))$  nor in  $V(X_1 l_2(\underline{F}(\underline{X})) + X_2 l_3(\underline{F}(\underline{X})))$ . By hypothesis, we still have an open set in  $V(a(\underline{F}(\underline{X}))X_2 - b(\underline{F}(\underline{X}))X_1)$  to make such choices. From the first condition, we get  $(x_1 : x_2) = (a(\underline{F}(\underline{x})) : b(\underline{F}(\underline{x})))$ . From the second constraint we deduce that  $a(\underline{F}(\underline{x}))l_2(\underline{F}(\underline{x})) + b(\underline{F}(\underline{x}))l_3(\underline{x}) \neq 0$ . So, by using (12), we have that

$$(x_1 : x_2 : x_3) = (u_1(\underline{F}(\underline{x})) : u_2(\underline{F}(\underline{x})) : u_3(\underline{F}(\underline{x})))$$

and hence the point lies in the image of the parameterization. This can be done in an open set of this curve, and so it implies that  $b(\underline{F}(\underline{X}))X_1 - a(\underline{F}(\underline{X}))X_2$  defines  $C = V(E(\underline{X}))$ . Algebraically we have that – up to a nonzero constant in  $\mathbb{K}$  – there exists  $v \in \mathbb{Z}_{>0}$  such that

$$b(\underline{F}(\underline{X}))X_1 - a(\underline{F}(\underline{X}))X_2 = E(\underline{X})^v. \quad (13)$$

The polynomial on the left-hand side has degree  $2d_0 + 1$ . By inspecting (12), and using the fact that  $\gcd(a(\underline{T}), b(\underline{T})) = 1$ , we conclude that the degree of  $C$  (which is the degree of any proper parameterization of it) is equal to

$$2d_0 + 1 - \deg(\gcd(\underline{u}(\underline{T}))) = d_0 + i,$$

with  $0 \leq i \leq d + 1$ . Computing degrees in (13) we get

$$2d_0 + 1 = v(d_0 + i).$$

This diophantine equation in  $(v, i)$  has only two solutions:  $v = 1$  and  $i = d_0 + 1$ , i.e. there are no common factors, or  $v = 3, i = 0$ , which can only be possible if  $d_0 = 1$ .  $\square$

**Remark 2.5.** A quick glance at (12) may let the reader think that all curves parameterizable by conics have odd degree, but this is not always the case as  $\deg(\gcd(\underline{u}(\underline{T})))$  may be strictly positive. Also it is not true that all the curves parameterized by (12) pass through the point  $(0 : 0 : 1)$  as the following cautionary example shows.

**Example 2.6.** Set  $F_1(\underline{X}) := X_1X_2 - X_1X_3, F_2(\underline{X}) := X_1X_2 - X_2X_3$ . We then have  $l_1(\underline{T}) = T_1 - T_2, l_2(\underline{T}) = T_2, l_3(\underline{T}) = -T_1, l_4(\underline{T}) = l_5(\underline{T}) = 0$ . Set also  $a(\underline{T}) := T_1^2, b(\underline{T}) := T_2^2$ . We get

$$\begin{aligned} X_1l_2(\underline{F}(\underline{X})) + X_2l_3(\underline{F}(\underline{X})) &= X_1X_2(X_1 - X_2), \\ b(\underline{F}(\underline{X}))X_1 - a(\underline{F}(\underline{X}))X_2 &= X_1X_2(X_1 - X_2)(X_3^2 - X_1X_2), \end{aligned}$$

and it is easy to see that the implicit equation of the curve defined by this data is given by  $X_3^2 - X_1X_2$ , which is a smooth conic. Note that  $(0 : 0 : 1)$  is not a point of the curve.

Next we will show that the case presented in Example 2.6 is somehow unusual in the sense that if  $d_0 > 2$ , then any curve being parameterized by (12) actually passes through the point  $(0 : 0 : 1)$  and moreover, if there is a common factor among the three polynomials defining the parameterization, then it has degree at most 2. In order to show that, we present first a “canonical” form of the sequence  $\{F_1(\underline{X}), F_2(\underline{X})\}$  which will depend on the geometry of  $V(\underline{F}(\underline{X}))$ .

**Lemma 2.7.** Let  $F_1(\underline{X}), F_2(\underline{X})$  be a sequence of homogeneous forms of degree 2 in  $\mathbb{K}[\underline{X}]$  without common factors and such that the conic defined by  $\mathcal{F}(\underline{T}, \underline{X})$  is nondegenerate in  $\mathbb{P}_{\mathbb{K}(\underline{T})}^2$ . Assume also that  $(0 : 0 : 1) \in V(\underline{F}(\underline{X}))$ . Then, after a linear change of coordinates in  $\mathbb{P}^2$ , we can assume:

$$\underline{F}(\underline{X}) = (X_1X_2 - X_2X_3, X_1X_3 - X_2X_3) \quad \text{if } |V(\underline{F}(\underline{X}))| = 4, \quad (14)$$

$$\underline{F}(\underline{X}) = (X_1X_2, X_1X_3 - X_2X_3) \quad \text{if } |V(\underline{F}(\underline{X}))| = 3, \quad (15)$$

$$\underline{F}(\underline{X}) = (X_1^2, X_2X_3) \quad \text{if } |V(\underline{F}(\underline{X}))| = 2 \quad (16)$$

and each of the points in  $V(\underline{F}(\underline{X}))$  has multiplicity two,

$$\underline{F}(\underline{X}) = (X_1^2 - X_2X_3, X_1X_2) \quad \text{if } |V(\underline{F}(\underline{X}))| = 2 \quad (17)$$

and one of the points in  $V(\underline{F}(\underline{X}))$  has multiplicity three,

$$\underline{F}(\underline{X}) = (X_1^2, X_2^2 - X_1X_3) \quad \text{if } |V(\underline{F}(\underline{X}))| = 1. \quad (18)$$

**Proof.** This classification is classic and well known in Projective Geometry, see for instance [SK52, Chapter VII].<sup>1</sup>  $\square$

<sup>1</sup> Even though most of the books in classic Projective Geometry deal with fields of characteristic zero, it is easy to see that the arguments leading to this classification are characteristic-free.

**Proposition 2.8.** Assuming the same hypothesis and notations of Proposition 2.4,  $\deg(\gcd(\underline{u}(\underline{T}))) \leq 3$ .

**Proof.** Note that linear changes of coordinates in  $\mathbb{P}^2$  amount to linear combinations of the  $u_i(\underline{T})$ 's with coefficients in  $\mathbb{K}$  which are invertible, i.e. one can use the canonical forms of the polynomials  $F_1(\underline{X})$ ,  $F_2(\underline{X})$  given by Lemma 2.7 without changing  $\gcd(\underline{u}(\underline{T}))$ . Note also that, as  $a(\underline{T})$ ,  $b(\underline{T})$  have no common factors, then

$$\gcd(\underline{u}(\underline{T})) = \gcd(l_2(\underline{T})a(\underline{T}) + l_3(\underline{T})b(\underline{T}), a(\underline{T})b(\underline{T})l_1(\underline{T}) + a(\underline{T})^2l_4(\underline{T}) + b(\underline{T})^2l_5(\underline{T})).$$

In each of the cases described in Lemma 2.7 we explicit the values of  $l_i$  for  $i = 1, \dots, 5$  and bound the degree of the gcd.

- In (14) we have

$$l_4(\underline{T}) = l_5(\underline{T}) = 0, \quad l_1(\underline{T}) = -T_2, \quad l_2(\underline{T}) = T_1, \quad l_3(\underline{T}) = T_2 - T_1.$$

Hence,  $\gcd(\underline{u}(\underline{T})) = \gcd(a(\underline{T})T_1 + b(\underline{T})(T_2 - T_1), a(\underline{T})b(\underline{T})T_2)$ , and from here we can conclude that  $\gcd(\underline{u}(\underline{T}))$  divides  $T_1T_2(T_1 - T_2)$ .

- In (15) we have

$$l_4(\underline{T}) = l_5(\underline{T}) = 0, \quad l_1(\underline{T}) = -T_2, \quad l_2(\underline{T}) = T_1, \quad l_3(\underline{T}) = -T_1.$$

In this case,  $\gcd(\underline{u}(\underline{T})) = \gcd(a(\underline{T})T_1 - b(\underline{T})T_1, a(\underline{T})b(\underline{T})T_2)$  divides  $T_1T_2$ .

- In (16) we have

$$l_1(\underline{T}) = l_2(\underline{T}) = l_5(\underline{T}) = 0, \quad l_3(\underline{T}) = T_1, \quad l_4(\underline{T}) = -T_2.$$

We get that  $\gcd(\underline{u}(\underline{T})) = \gcd(b(\underline{T})T_1, a(\underline{T})^2T_2)$  divides  $T_1T_2$ .

- In (17) we have

$$l_2(\underline{T}) = l_5(\underline{T}) = 0, \quad l_1(\underline{T}) = T_1, \quad l_3(\underline{T}) = T_2, \quad l_4(\underline{T}) = -T_2.$$

So, we deduce that  $\gcd(\underline{u}(\underline{T})) = \gcd(b(\underline{T})T_2, a(\underline{T})b(\underline{T})T_1 - a(\underline{T})^2T_2)$  divides  $T_2$ .

- In (18) we have

$$l_1(\underline{T}) = l_3(\underline{T}) = 0, \quad l_2(\underline{T}) = -T_1, \quad l_4(\underline{T}) = -T_2, \quad l_5(\underline{T}) = T_1,$$

and we get that  $\gcd(\underline{u}(\underline{T})) = \gcd(a(\underline{T})T_1, b(\underline{T})^2T_1 - a(\underline{T})^2T_2)$  divides  $T_1$ .

In all of the cases, we get  $\deg(\gcd(\underline{u}(\underline{T}))) \leq 3$ , which proves the claim.  $\square$

Now we can prove a complete version of Proposition 2.4.

**Theorem 2.9.** Let  $F_1(\underline{X})$ ,  $F_2(\underline{X})$  be a sequence of quadratic forms in  $\mathbb{K}[\underline{X}]$  without common factors such that  $(0 : 0 : 1) \in V(\underline{F}(\underline{X}))$  and  $\mathcal{F}(\underline{T}, \underline{X})$  defines a nondegenerate conic in  $\mathbb{P}_{\mathbb{K}(\underline{T})}^2$ . For any  $a(\underline{T})$ ,  $b(\underline{T}) \in \mathbb{K}[\underline{T}]$  homogeneous of degree  $d_0 > 2$  without common factors, either  $a(\underline{F}(\underline{X}))X_2 - b(\underline{F}(\underline{X}))X_1$  is an irreducible polynomial or it has a unique irreducible factor of degree larger than 1. In both cases, this irreducible factor defines a rational curve  $C \subset \mathbb{P}^2$  parameterizable by  $\underline{F}(\underline{X})$  and passing through  $(0 : 0 : 1)$ . All the linear extra-neous factors define equations of lines passing through the points of  $V(\underline{F})$ , and the degree of this factor is less than or equal to three.

**Proof.** As shown in Proposition 2.4, the pair  $(a(\underline{T}), b(\underline{T}))$  defines the parameterization (12) of a curve  $C$  parameterizable by  $\underline{F}(\underline{X})$ . As  $d_0 > 2$ , we then have  $d_0 + 1 > 3$  and on the other hand if there is a nontrivial  $\gcd(\underline{u}(\underline{T}))$  in (12), its degree – thanks to Proposition 2.8 – cannot be larger than three. This shows that the factor  $a(\underline{T})l_2(\underline{T}) + b(\underline{T})l_3(\underline{T})$  cannot be completely canceled when removing the  $\gcd$  in (12), and hence  $(0 : 0 : 1)$  is in the image of the parameterization. So,  $C$  passes through this point.

If  $\gcd(\underline{u}(\underline{T})) = 1$ , as the parameterization is proper,  $a(\underline{F}(\underline{X}))X_2 - b(\underline{F}(\underline{X}))X_1$  has the same degree as the curve  $C$ . Hence, it is the irreducible polynomial defining it. Suppose then that this is not the case. Then there exist  $H(\underline{X}) \in \mathbb{K}[\underline{X}]$  homogeneous and coprime with  $E(\underline{X})$  such that

$$a(\underline{F}(\underline{X}))X_2 - b(\underline{F}(\underline{X}))X_1 = E(\underline{X})^\mu H(\underline{X}), \quad (19)$$

with  $\mu \in \mathbb{N}$ ,  $E(\underline{X})$  being the irreducible polynomial defining  $C$ . Let us say that  $\deg(E(\underline{X})) = \varepsilon$ ,  $\deg(H(\underline{X})) = \rho > 0$ . By computing degrees in (19), we get

$$2d_0 + 1 = \mu\varepsilon + \rho.$$

Thanks to Proposition 2.8, we know that  $2d_0 - 2 \leq \varepsilon \leq 2d_0 + 1$ , so we have  $\mu(2d_0 - 2) + \rho \leq 2d_0 + 1$ . As  $d_0 > 2$ , we can conclude from here that  $\mu = 1$ . Moreover, we get that  $\rho \leq 3$ , i.e. the degree of the extraneous factor  $H(\underline{X})$  is bounded. It remains to show that  $H(\underline{X})$  decomposes as a product of linear factors. The proof of Proposition 2.4 actually shows that

$$V(a(\underline{F}(\underline{X}))X_2 - b(\underline{F}(\underline{X}))X_1) \subset V(E(\underline{X})) \cup V(X_1l_2(\underline{F}(\underline{X})) + X_2l_3(\underline{F}(\underline{X}))),$$

and hence the factors of  $H(\underline{X})$  must be among the factors of  $X_1l_2(\underline{F}(\underline{X})) + X_2l_3(\underline{F}(\underline{X}))$ . One can show that in all the possible cases listed in Lemma 2.7, the polynomial  $X_1l_2(\underline{F}(\underline{X})) + X_2l_3(\underline{F}(\underline{X}))$  factorizes as a product of linear forms. Moreover, these linear forms can always be chosen in the set  $\{X_1, X_2, X_1 - X_2\}$ , which are always lines passing through the points of  $V(\underline{F})$ .  $\square$

### 2.2.1. Examples

Let  $d_0 \in \mathbb{N}$  and set  $a(\underline{T}) = T_1^{d_0}$ ,  $b(\underline{T}) := T_2^{d_0}$ . We will consider all the possible scenarios given by Lemma 2.7.

- For  $\underline{F}(\underline{X}) = (X_1X_2 - X_2X_3, X_1X_3 - X_2X_3)$ , (12) becomes

$$\begin{cases} u_1(\underline{T}) = -T_1^{d_0}(T_1^{1+d_0} - T_1T_2^{d_0} + T_2^{1+d_0}) \\ u_2(\underline{T}) = -T_2^{d_0}(T_1^{1+d_0} - T_1T_2^{d_0} + T_2^{1+d_0}) \\ u_3(\underline{T}) = -T_1^{d_0}T_2^{1+d_0}. \end{cases}$$

Note that  $\gcd(\underline{u}(\underline{T})) = 1$ , hence  $C$  has degree  $2d_0 + 1$ . Computing explicitly the implicit equation we get

$$E(\underline{X}) = X_2^{d_0+1}(X_1 - X_3)^{d_0} - X_1X_3^{d_0}(X_1 - X_2)^{d_0}.$$

- Set now  $\underline{F}(\underline{X}) = (X_1X_2, X_1X_3 - X_2X_3)$ . The family  $\underline{u}(\underline{T})$  of (12) is now

$$\begin{cases} u_1(\underline{T}) = -T_1^{1+d_0}(T_1^{d_0} - T_2^{d_0}) \\ u_2(\underline{T}) = -T_1T_2^{d_0}(T_1^{d_0} - T_2^{d_0}) \\ u_3(\underline{T}) = -T_1^{d_0}T_2^{1+d_0}. \end{cases}$$

Note that  $\gcd(\underline{u}(\underline{T})) = T_1$  in this case, and hence  $\deg(C) = 2d_0$ . Indeed, an explicit computation shows that

$$a(\underline{F}(\underline{X}))X_2 - b(\underline{F}(\underline{X}))X_1 = X_1(X_1^{d_0-1}X_2^{d_0+1} - X_3^{d_0}(X_1 - X_2)^{d_0}),$$

hence the implicit equation is defined by  $X_1^{d_0-1}X_2^{d_0+1} - X_3^{d_0}(X_1 - X_2)^{d_0}$ . Note that in this case

$$\gcd(X_1l_2(\underline{F}(\underline{X})) + X_2l_3(\underline{F}(\underline{X})), a(\underline{F}(\underline{X}))X_2 - b(\underline{F}(\underline{X}))X_1) = X_1$$

(cf. Proposition 2.4).

- Set now  $\underline{F}(\underline{X}) = (X_1^2, X_2X_3)$ . Then,

$$\begin{cases} u_1(\underline{T}) = -T_1^{1+d_0}T_2^{d_0} \\ u_2(\underline{T}) = -T_1T_2^{2d_0} \\ u_3(\underline{T}) = -T_1^{2d_0}T_2, \end{cases}$$

with  $\gcd(\underline{u}(\underline{T})) = T_1T_2$ . Hence,  $\deg(C) = 2d_0 - 1$  and computing explicitly  $a(\underline{F}(\underline{X}))X_2 - b(\underline{F}(\underline{X}))X_1$  we get that it is equal to  $X_1X_2E(\underline{X})$ , with

$$E(\underline{X}) = X_1^{2d_0-1} - X_2^{d_0-1}X_3^{d_0}.$$

- For  $\underline{F}(\underline{X}) = (X_1^2 - X_2X_3, X_1X_2)$ , we have

$$\begin{cases} u_1(\underline{T}) = -T_1^{d_0}T_2^{1+d_0} \\ u_2(\underline{T}) = -T_2^{1+2d_0} \\ u_3(\underline{T}) = -T_1^{d_0+1}T_2(T_1^{d_0-1} - T_2^{d_0-1}), \end{cases}$$

with  $\gcd(\underline{u}(\underline{T})) = T_2$ . So,  $\deg(C) = 2d_0$  and  $a(\underline{F}(\underline{X}))X_2 - b(\underline{F}(\underline{X}))X_1$  is equal to  $X_2E(\underline{X})$  with

$$E(\underline{X}) = (X_1^2 - X_2X_3)^{d_0} - X_1^{1+d_0}X_2^{d_0-1}.$$

- Finally, consider  $\underline{F}(\underline{X}) = (X_1^2, X_2^2 - X_1X_3)$ . By computing explicitly, we get

$$\begin{cases} u_1(\underline{T}) = T_1^{1+2d_0} \\ u_2(\underline{T}) = T_1^{1+d_0}T_2^{d_0} \\ u_3(\underline{T}) = T_1T_2(T_2^{2d_0-1} - T_1^{2d_0-1}). \end{cases}$$

Here, we have  $\gcd(\underline{u}(\underline{T})) = T_1$ . Again we get  $\deg(C) = 2d_0$  and

$$a(\underline{F}(\underline{X}))X_2 - b(\underline{F}(\underline{X}))X_1 = X_1E(\underline{X})$$

with  $E(\underline{X}) = X_1^{2d_0-1}X_2 - (X_2^2 - X_1X_3)^{d_0}$ .

### 3. The geometry of curves parameterizable by conics

In this section, we will study geometric properties of plane curves parameterizable by conics. We will show that essentially they are the image of a curve parameterizable by lines via a quadratic transformation of the plane.

#### 3.1. Quadratic transformations in the plane

**Definition 3.1.** A rational map  $\Lambda : \mathbb{P}^2 \dashrightarrow \mathbb{P}^2$  is called a *quadratic transformation* if  $\Lambda$  is birational and there exist  $Q_1(\underline{X}), Q_2(\underline{X}), Q_3(\underline{X}) \in \mathbb{K}[\underline{X}]$  homogeneous of degree 2 without common factors such that

$$\Lambda(x_1 : x_2 : x_3) = (Q_1(\underline{x}) : Q_2(\underline{x}) : Q_3(\underline{x})). \quad (20)$$

One of the most well-known of these quadratic transformations is the following

$$\Lambda_0(x_1 : x_2 : x_3) = (x_2x_3 : x_1x_3 : x_1x_2), \quad (21)$$

which is used for desingularization of curves, see for instance [Wal50]. Even though there are birational automorphisms of  $\mathbb{P}^2$  defined by homogeneous forms of arbitrary degree, we will focus here in those of degree 2, as they will be crucial when studying curves parameterizable by conics.

**Proposition 3.2.** Let  $F_1(\underline{X}), F_2(\underline{X}) \in \mathbb{K}[\underline{X}]$  be a sequence of homogeneous forms of degree 2 without common factors. If the conic defined by  $\mathcal{F}(\underline{T}, \underline{X})$  in  $\mathbb{P}_{\mathbb{K}(\underline{T})}^2$  is nondegenerate, then there exists  $F_3(\underline{X}) \in \mathbb{K}[\underline{X}]$  homogeneous of degree 2 such that

$$\begin{aligned} \Lambda_F : \quad \mathbb{P}^2 &\dashrightarrow \mathbb{P}^2 \\ (x_1 : x_2 : x_3) &\mapsto (F_1(\underline{x}) : F_2(\underline{x}) : F_3(\underline{x})) \end{aligned} \quad (22)$$

is a quadratic transformation. Moreover,  $\Lambda_F^{-1}$  is also a quadratic transformation.

**Remark 3.3.** In characteristic zero, it is well known that a birational transformation given by polynomials of degree  $n$  has an inverse also given by forms of the same degree, see for instance [Al02].

**Proof.** We will use the canonical forms given in Lemma 2.7 in order to make explicit the polynomial  $F_3(\underline{X})$  in each of the possible cases.

(1) If  $|V(\underline{F}(\underline{X}))| \geq 3$ , we can suppose w.l.o.g. that

$$\{(1 : 0 : 0), (0 : 1 : 0), (0 : 0 : 1)\} \subset V(\underline{F}(\underline{X})),$$

and hence by using (14) or (15), it is easy to see that if we set  $F_3(\underline{X}) := X_2X_3$ ,  $\Lambda_F$  is actually the classical transformation  $\Lambda_0$  composed with an automorphism of  $\mathbb{P}^2$ . As  $\Lambda_0^{-1} = \Lambda_0$ , it is easy to see that  $\Lambda_F^{-1}$  can be defined with linear combinations of  $X_1X_2, X_1X_3, X_2X_3$ , hence it is a quadratic transformation.

(2) If  $|V(\underline{F}(\underline{X}))| = 2$ , each point with multiplicity two, then by using (16) we can assume w.l.o.g. that

$$\underline{F}(\underline{X}) = (X_1^2, X_2X_3).$$

We set  $F_3(\underline{X}) := X_1X_2$  and get

$$\Lambda_F^{-1}(y_1 : y_2 : y_3) = (y_1y_3 : y_3^2 : y_1y_2),$$

hence  $\Lambda_F$  is birational with quadratic inverse.

- (3) If  $|\mathcal{V}(\underline{F}(\underline{X}))| = 2$  and one of the points in this set has multiplicity three, then by (17) we can assume after a linear change of coordinates that

$$\underline{F}(\underline{X}) = (X_1^2 - X_2X_3, X_1X_2).$$

Setting  $F_3(\underline{X}) := X_2X_3$  we get that

$$\Lambda_F^{-1}(y_1 : y_2 : y_3) = (y_2(y_1 + y_3) : y_2^2 : y_3(y_1 + y_3)).$$

Hence,  $\Lambda_F$  is birational and the inverse is quadratic, as claimed.

- (4) If  $\{(0 : 0 : 1)\} = \mathcal{V}(\underline{F}(\underline{X}))$ . We then use (18) and suppose w.l.o.g. that

$$\underline{F}(\underline{X}) = (X_1^2, X_2^2 - X_1X_3).$$

Once more, by setting  $F_3(\underline{X}) := X_1X_2$ , we get

$$\Lambda_F^{-1}(y_1 : y_2 : y_3) = (y_1^2 : y_1y_3 : y_3^2 - y_1y_2),$$

so we conclude that  $\Lambda_F$  is birational with quadratic inverse. This completes the proof.  $\square$

**Lemma 3.4.** For any curve  $C_0$  of degree  $d^0 > 1$  parameterizable by lines, having  $(0 : 0 : 1) \in C_0$  with multiplicity  $d^0 - 1$ , and any quadratic transformation  $\Lambda : \mathbb{P}^2 \dashrightarrow \mathbb{P}^2$  whose inverse is defined by a sequence of quadratic forms  $(F_1(\underline{X}), F_2(\underline{X}), F_3(\underline{X}))$ ,  $\Lambda(C_0)$  is a curve parameterizable by  $(F_1(\underline{X}), F_2(\underline{X}))$ .

**Proof.** Set  $C = \overline{\Lambda(C_0)}$ . The fact that  $C_0$  is not a line implies that  $\dim(C) = 1$ . As  $C_0$  is parameterizable by  $(X_1, X_2)$ , then it is easy to verify then that  $C$  is parameterizable by  $(F_1, F_2)$ .  $\square$

**Remark 3.5.** We are not claiming in Lemma 3.4 that the first two coordinates of a quadratic transformation have a nontrivial common factor. Also, it is not necessarily true that the image of a curve parameterizable by lines via a quadratic transformation cannot be a parameterizable by lines anymore. For instance,  $\Lambda_0$  has  $\underline{F}(\underline{X}) = (X_2X_3, X_1X_3)$  which has  $X_3$  as a common factor. Also, if  $C_0$  is any curve parameterizable by lines having its singularity at  $(0 : 0 : 1)$ , then it is easy to check that  $\Lambda_0(C_0)$  is again a curve parameterizable by lines having its singularity at the same point.

Moreover, not necessarily the first two coordinates of a quadratic transformation define a polynomial  $\mathcal{F}(T, \underline{X})$  whose set of zeroes in  $\mathbb{P}_{\mathbb{K}(T)}^2$  is a nondegenerate conic, for instance  $\Lambda(x_1 : x_2 : x_3) := (x_1^2 : x_1x_2 : (x_1 + x_2)x_3)$  is a quadratic transformation with inverse  $\Lambda^{-1}(x_1 : x_2 : x_3) = (x_1(x_1 + x_2) : x_2(x_1 + x_2) : x_1x_3)$ , but the conic defined by  $T_2X_1^2 - T_1X_1X_2$  is degenerate according to Proposition 2.2.

Now we proceed to compare the degrees of  $C_0$  and its transform  $C = \overline{\Lambda(C_0)}$ . We start with the following result, which will be of use in the sequel.

**Lemma 3.6.** Let  $Q_1(\underline{X}), Q_2(\underline{X}), Q_3(\underline{X}) \in \mathbb{K}[\underline{X}]$  be a sequence of homogeneous quadratic forms such that  $\Lambda$  defined in (20) is a quadratic transformation, and  $C \subset \mathbb{P}^2$  any curve of degree  $d$ . Let  $C_Q$  be a generic conic in the linear system defined by  $Q_1(\underline{X}), Q_2(\underline{X}), Q_3(\underline{X})$ . Then, for any point  $p \in C \cap C_Q$ , we have

$$m_p(C \cap C_Q) \leq d.$$

Moreover, the inequality is strict if  $C_Q$  and  $C$  do not have a common tangent at  $p$ .

**Proof.** Suppose w.l.o.g. that  $p = (0 : 0 : 1)$ . A generic linear combination of the  $Q_i(\underline{X})$ 's must have a nonzero linear term with respect to  $X_3$  otherwise those three polynomials would depend only on  $X_1$  and  $X_2$  contradicting the fact that  $\Lambda$  is a birational. This implies that  $m_p(C_Q) = 1$ . On the other hand, we always have  $m_p(C) < d$ . If  $C$  and  $C_Q$  intersect transversally at  $p$ , then we have (cf. [HKT08, Proposition 3.6])

$$m_p(C \cap C_Q) = m_p(C) < d.$$

In case they do not intersect transversally, as  $C_Q$  has a tangent line  $L_Q$  having multiplicity one at  $p$ , then we have

$$m_p(C \cap C_Q) = m_p(C \cap L_Q) \leq d,$$

the last inequality is due to Bézout's Theorem applied to  $C$  and  $L_Q$ .  $\square$

**Proposition 3.7.** *With notations and assumptions as in Lemma 3.4, denoting with  $D^0$  the degree of  $\overline{\Lambda(C_0)}$ , then we have  $d^0 - 1 \leq D^0 \leq 2d^0$ , and the inequalities are sharp.*

**Proof.** As before, set  $C = \overline{\Lambda(C_0)}$ . Its degree can be computed as the cardinality of  $C \cap L$ , with  $L$  a generic line in  $\mathbb{P}^2$ , which we will choose as intersecting  $C$  in the (dense) open set of  $\mathbb{P}^2$  where  $\Lambda$  is bijective. As  $\Lambda$  is birational, then we can compute this intersection number via  $\Lambda^{-1}$ . Then,  $C$  gets converted into  $C_0$  and  $L$  in a generic linear combination of the quadratic polynomials  $F_1(\underline{X})$ ,  $F_2(\underline{X})$ ,  $F_3(\underline{X})$ . We use then Bézout's Theorem in order to count the number of intersections between  $C_0$  and the conic  $\Lambda^{-1}(L)$  to get

$$2d^0 = D^0 + \sum_{p \in V(F_1, F_2, F_3)} m_p(C_0 \cap \Lambda^{-1}(L)). \quad (23)$$

As the data  $(F_1(\underline{X}), F_2(\underline{X}), F_3(\underline{X}))$  defines a birational transformation, it is easy to see that  $|V(F_1, F_2, F_3)| \leq 3$ . Moreover, the scheme of points defined by  $\underline{F}(\underline{X})$  in  $\mathbb{P}^2$  must have degree less than or equal to three, otherwise one of these polynomials would be a linear combination of the others contradicting the fact that  $\Lambda$  is a quadratic transformation.

We have in addition that  $C_0$  is parameterizable by lines. This implies that there is one point of multiplicity  $d^0 - 1$  and the remaining have multiplicity one. Hence, thanks to Lemma 3.6, we have

$$0 \leq \sum_{p \in V(F_1, F_2, F_3)} m_p(C_0 \cap \Lambda^{-1}(L)) \leq \begin{cases} 1 + 1 + (d^0 - 1) = d^0 + 1. \\ 1 + d^0 \end{cases}$$

The first case is when  $V(\underline{F}(\underline{X}))$  has three points, hence there cannot be fixed tangential conditions in the linear system and this implies that we can choose the generic line in such a way that  $\Lambda^{-1}(L)$  cuts transversally  $C_0$ ; the second case is when the linear system defined by  $\underline{F}(\underline{X})$  has a fixed tangential condition. But then, we have that  $V(\underline{F}(\underline{X}))$  cannot have more than two points, and by using Lemma 3.6 we are done. From here plus (23), we get the bounds of the claim.

Now we will show that the bounds are sharp. For a generic quadratic transformation  $\Lambda$ , we will have  $\sum_{p \in V(F_1, F_2, F_3)} m_p(C_0 \cap \Lambda^{-1}(L)) = 0$ . Indeed, one only has to pick  $(F_1, F_2, F_3)$  in such a way that  $V(\underline{F}(\underline{X})) \cap C_0 = \emptyset$ . So, the inequality at the left is generically an equality. In order to show that the other inequality can also become an equality, let  $d_0 > 1$  and consider the following parameterization

$$\begin{cases} u_1(\underline{t}) = t_1\alpha(\underline{t}) \\ u_2(\underline{t}) = t_2\alpha(\underline{t}) \\ u_3(\underline{t}) = t_1t_2\beta(\underline{t}) \end{cases}$$

with  $\alpha(\underline{t}), \beta(\underline{t})$  homogeneous of degrees  $d_0 - 1$  and  $d_0 - 2$  without common factors and also without common factors with neither  $T_1$  nor  $T_2$ . Then, the curve  $C_0$  defined as the image of this parameterization is parameterizable by lines of degree  $d_0$  with  $p = (0 : 0 : 1)$  having multiplicity  $d_0 - 1$ . Consider  $\Lambda_0$  defined in (21). Then, a straightforward computation shows that a proper parameterization of  $\Lambda_0(C_0)$  is given by

$$\begin{cases} v_1(\underline{t}) = t_2\beta(\underline{t}) \\ v_2(\underline{t}) = t_1\beta(\underline{t}) \\ v_3(\underline{t}) = \alpha(\underline{t}); \end{cases}$$

i.e.  $\Lambda_0(C_0)$  is a curve of degree  $d_0 - 1$ . Note that this curve is either a line or again parameterizable by lines.  $\square$

We can now describe geometrically the plane curves parameterizable by conics via quadratic transformations of curves parameterizable by lines. Recall that thanks to Proposition 2.2, if  $T_1F_2(\underline{X}) - T_2F_1(\underline{X})$  defines a degenerate conic in  $\mathbb{P}^2_{\mathbb{K}(\underline{T})}$ , then any curve parameterizable by  $\underline{F}(\underline{X})$  is either a line or parameterizable by lines. Also, curves of degree 2 are parameterizable by lines.

**Theorem 3.8.** *Let  $F_1(\underline{X}), F_2(\underline{X})$  be sequence of homogeneous forms of degree 2 without common factors such that  $(0 : 0 : 1) \in V(\underline{F}(\underline{X}))$  and  $T_1F_2(\underline{X}) - T_2F_1(\underline{X})$  does not define a degenerate conic in  $\mathbb{P}^2_{\mathbb{K}(\underline{T})}$ . Consider any quadratic transformation of the form  $\Lambda_F$  defined in (22). A curve  $C$  such that  $\deg(C) \geq 3$  is parameterizable by  $\underline{F}(\underline{X})$  if and only if there exist  $C_0$  parameterizable by lines having  $(0 : 0 : 1)$  as its only singular point and  $\Lambda_F(C) = C_0$ .*

**Proof.** As  $T_1F_2(\underline{X}) - T_2F_1(\underline{X})$  defines a nondegenerate conic in  $\mathbb{P}^2_{\mathbb{K}(\underline{T})}$ , we can find a quadratic transformation  $\Lambda_F$  as in Proposition 3.2. Set  $C_0$  to be the Zariski closure of  $\Lambda_F(C)$  in  $\mathbb{P}^2$ . By Proposition 3.7, we have that  $\deg(C_0) \geq \frac{\deg(C)}{2} > 1$ , hence  $C_0$  is not a line. We can also verify easily that  $C_0$  is parameterizable by  $(X_1, X_2)$ , hence it is parameterizable by lines and having  $(0 : 0 : 1) \in C_0$  with maximal multiplicity.

In order to prove the converse, if we start with  $C_0$  as in the hypothesis and define  $C$  to be the Zariski closure of  $\Lambda_F(C_0)$ , we can easily verify that  $C$  is parameterizable by  $\underline{F}(\underline{X})$ .  $\square$

### 3.2. On the singularities of curves parameterizable by conics

There is an increasing interest in the analysis of singularities of rational curves by means of elements of small degree in the Rees Algebra of the parameterization, see for instance [CKPU11]. Theorem 3.8 above shows that curves parameterizable by conics are only “one quadratic transformation away” from curves parameterizable by lines, and in principle it may seem that the study of their singularities can be done straightforwardly, as for instance the transformation  $\Lambda_0$  defined in (21) is the one used in the process of desingularization of curves. The main drawback here is that — as Theorem 3.8 claims — a curve parameterizable by conics is the image of a curve parameterizable by lines with singular point in  $(0 : 0 : 1)$  via any quadratic transformation, and  $\Lambda_0$  is known to “behave properly” if the curve is in a general position with respect to the coordinate axes (cf. the notions of “good” and “excellent” positions in [Ful69]). So, even if we use  $\Lambda_0$  to transform  $C$  into a curve parameterizable by lines, we cannot expect to get a straightforward dictionary between the only singularity of the curve parameterizable by lines and those of  $C$ . The analysis of the singularities of these curves require a further study of properties of general quadratic transformations, which goes beyond the scope of this article.

One case which is easy to tackle is when  $|V(\underline{F}(\underline{X}))| = 4$ , we will show that in this situation, all of the four points are multiple points of  $C$  and moreover, there are no infinitely near multiple points. We start by analyzing the only singularity of a curve parameterizable by lines.

**Proposition 3.9.** *Let  $C$  be a curve parameterizable by lines having  $(0 : 0 : 1) \in C$  with multiplicity  $\deg(C) - 1$ , and implicit equation given by the polynomial  $b(X_1, X_2) + X_3a(X_1, X_2) \in \mathbb{K}[\underline{X}]$ , with  $a(\underline{T}), b(\underline{T})$  homogeneous elements of degrees  $d - 1$  and  $d$  respectively. Write*

$$a(\underline{T}) = \mathbf{c}_0 \prod_{j=1}^{\tau} (\mathbf{d}_j T_2 - \mathbf{e}_j T_1)^{v_j},$$

with  $\mathbf{c}_0 \in \mathbb{K} \setminus \{0\}$ ,  $(\mathbf{d}_j : \mathbf{e}_j) \neq (\mathbf{d}_k : \mathbf{e}_k)$  if  $j \neq k$ , and  $v_j \in \mathbb{N}$  for  $j = 1, \dots, s$ . Then,

- (1) there are  $\tau$  different branches of  $C$  passing through  $(0 : 0 : 1)$ ;
- (2) denote with  $\gamma_j$  the branch of  $C$  at  $\phi((\mathbf{d}_j : \mathbf{e}_j))$ , here  $\phi(t_1 : t_2)$  is the parameterization of  $C$  given by (9). The tangent to  $\gamma_j$  at  $(t_1 : t_2) = (\mathbf{d}_j : \mathbf{e}_j)$  is the line  $\mathbf{d}_j X_2 - \mathbf{e}_j X_1 = 0$ . In particular, different branches have different tangents (i.e. there are no tacnodes);
- (3) the order of contact of  $C$  with the tangent line  $\mathbf{d}_j X_2 - \mathbf{e}_j X_1 = 0$  at  $(0 : 0 : 1)$  is equal to  $v_j + 1$ ;
- (4) the multiplicity of  $(0 : 0 : 1)$  in  $C$  is  $d - 1$ , and there are no infinitely near multiple points of  $C$ .

**Proof.** The first three items follow straightforwardly from working out the parameterization (9) in a neighborhood of the zeroes of  $a(\underline{T})$ , plus the fact that for this proper parameterization we have  $T_2 u_1(\underline{T}) - T_1 u_2(\underline{T}) = 0$ .

In order to conclude, recall that  $(0 : 0 : 1)$  is a point of multiplicity  $d - 1$ . The genus formula shows that there cannot be no more singular points in  $C$ .  $\square$

The following result about curves and rational maps is well known. We record it here for the convenience of the reader. Denote with  $\text{Sing}(C)$  the set of singular points of  $C$  in  $\mathbb{P}^2$ .

**Lemma 3.10.** *If  $C$  is parameterizable by  $(F_1(\underline{X}), F_2(\underline{X}))$ , then  $\text{Sing}(C) \subset V(\underline{F}(\underline{X}))$ .*

**Proof.** Let  $\phi$  be as in (2) a proper parameterization of  $C$  having as its inverse  $\psi = (F_1 : F_2)$  whenever it is defined, as in (1). As  $\phi$  is defined on the whole  $\mathbb{P}^1$ , from  $\psi \circ \phi = \text{id}_{\mathbb{P}^1}$ , we have

$$(F_1(\phi(t_1 : t_2)) : F_2(\phi(t_1 : t_2))) = (t_1 : t_2) \quad \text{for } \phi(t_1 : t_2) \notin V(\underline{F}). \quad (24)$$

If  $p = \phi(t_{01} : t_{02}) \in C$  is a singular point, and suppose that  $(F_1(p) : F_2(p)) = (t_{01} : t_{02})$ , we then have two possible scenarios:

- If the gradient of  $\phi$  at  $(t_{01} : t_{02})$  is equal to zero, by differentiating both sides of (24) and specializing  $(t_1 : t_2) \mapsto (t_{01} : t_{02})$  we would get a contradiction.
- If the gradient of  $\phi$  is not zero at  $(t_{01} : t_{02})$ , then there must be another point  $(t_{11} : t_{12}) \in \mathbb{P}^1$  such that  $\phi(t_{11} : t_{12}) = p$  (i.e. the curve “passes” at least twice over  $p$ ). But then, we will have

$$(F_1(\phi(t_{11} : t_{12})) : F_2(\phi(t_{11} : t_{12}))) = (t_{01} : t_{02}) \neq (t_{11} : t_{12}),$$

a contradiction with (24).

This shows that for such a singular point  $p \in C$ ,  $\underline{F}(p) = (0, 0)$  which proves the claim.  $\square$

**Theorem 3.11.** Let  $F_1(\underline{X}), F_2(\underline{X})$  be a sequence of forms of degree 2 in  $\mathbb{K}[\underline{X}]$  without common factors, such that the conic defined by  $T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})$  is nondegenerate in  $\mathbb{P}_{\mathbb{K}(\underline{T})}^2$ . If  $C$  is parameterizable by  $\underline{F}(\underline{X})$ , not parameterizable by lines, and  $V(\underline{F}(\underline{X}))$  has four points, then  $V(\underline{F}(\underline{X})) = \text{Sing}(C)$  and at each  $p \in V(\underline{F}(\underline{X}))$ ,  $p \in C$  is locally isomorphic to the singular point of a curve parameterizable by lines. Thus  $p$  is not a tacnode and has no infinitely near singular points. Hence

$$\sum_{p \in V(\underline{F}(\underline{X}))} m_p(C)(m_p(C) - 1) = (d - 1)(d - 2). \quad (25)$$

**Proof.** After a linear change of coordinates, we may assume that we are in the conditions of (14) and hence

$$\{(1 : 0 : 0), (0 : 1 : 0), (0 : 0 : 1), (1 : 1 : 1)\} = V(\underline{F}(\underline{X})).$$

As in the proof of Proposition 3.2, by setting  $F_3(\underline{X}) := X_2 X_3$ , we get a quadratic transformation  $\Lambda_F$  which is actually the composition of  $\Lambda_0$  with an automorphism of  $\mathbb{P}^2$ . It is easy to check that  $p_0 = (1 : 1 : 1)$  is not in the union of lines where  $\Lambda_F$  is not invertible. Hence, in neighborhood of this point,  $\Lambda_F$  is actually an algebraic isomorphism. As  $\Lambda_F(\overline{C})$  is not a line (due to the fact that  $\deg(C) > 2$ , otherwise it would be parameterizable by lines), and is parameterizable by  $(X_1, X_2)$  with only singularity in  $(0 : 0 : 1) = \Lambda_F(p_0)$ , then properties (1) to (3) in Proposition 3.9 apply to  $p_0$  with respect to  $C$ , due to the fact that  $\Lambda_F$  is a local isomorphism around  $p_0$  and its image. For the same reason, the fact that there are no infinitely near multiple points of  $\Lambda_F(\overline{C})$  above  $\Lambda_F(p_0)$  (this is property (4) in Proposition 3.9) implies that there cannot be infinitely near multiple points of  $C$  above  $p_0$ .

Making a linear change of coordinates, the role of  $(1 : 1 : 1)$  can be played by the other three points of  $V(\underline{F}(\underline{X}))$ , and this implies the claim for the other three points (i.e. we have shown  $V(\underline{F}(\underline{X})) \subset \text{Sing}(C)$ ). The other inclusion follows by Lemma 3.10, hence we have the equality. As there cannot be more singular points, and none of the elements in  $V(\underline{F}(\underline{X}))$  has infinitely near multiple points of  $C$  above it, (25) follows due to the genus formula.  $\square$

**Remark 3.12.** Note that the theorem does not claim that the four singular points have the same multiplicity and character. Just that they “look like” (locally) like a multiple point in a curve parameterizable by lines. This curve is not necessarily the same for all the points, as the following example shows.

**Example 3.13.** Let  $C$  be the rational curve of degree 5 defined by the polynomial  $E(\underline{X}) = X_2^3(X_1 - X_3)^2 - X_1 X_3^2(X_1 - X_2)^2$  (this is the first bullet of Example 2.2.1 with  $d_0 = 2$ ). Its four singular points are  $(1 : 0 : 0)$ ,  $(0 : 1 : 0)$ ,  $(0 : 0 : 1)$  and  $(1 : 1 : 1)$ . By analyzing them explicitly, we get that

- $(0 : 0 : 1)$  is an ordinary triple point;
- $(0 : 1 : 0)$  and  $(1 : 0 : 0)$  are cusps;
- $(1 : 1 : 1)$  is an ordinary triple point.

We can straightforwardly verify equality (25) in this case:

$$12 = (5 - 1)(5 - 2) = 3 \times 2 + 2 \times 1 + 2 \times 1 + 2 \times 1.$$

We have thus completed our study of the singularities of  $C$  in the case  $|V(\underline{F}(\underline{X}))| = 4$ , which is somehow the generic case among curves parameterizable by conics. Now we turn into the question of how the singularities look like in the remaining cases. We will see in Section 4 (Corollary 4.4) that the value of  $\mu$  in (8) is always equal to  $\lfloor \frac{d}{2} \rfloor$ . This information is enough to show that if  $|V(\underline{F}(\underline{X}))| \leq 3$ , then  $C$  has always infinitely near singular points if  $\deg(C) > 6$ .

**Proposition 3.14.** *If  $C$  is parameterizable by a sequence of forms  $(F_1(\underline{X}), F_2(\underline{X}))$  of degree 2 without common factors, with  $d = \deg(C) > 6$ , and  $|V(\underline{F}(\underline{X}))| \leq 3$ , then  $C$  has infinitely near singular points.*

**Proof.** If there are no infinitely near multiple points, due to the genus formula, we will have

$$(d-1)(d-2) = \sum_{p \in C} m_p(C)(m_p(C)-1).$$

In [CWL08, Theorem 1], it is shown that there can only be one multiple point of multiplicity larger than  $\mu$ . Moreover, if this is the case, then the multiplicity of this point is actually  $d - \mu$ . Suppose then that  $|V(\underline{F}(\underline{X}))| \leq 3$ . As  $\text{Sing}(C) \subset V(\underline{F}(\underline{X}))$  (cf. Lemma 3.10), we then conclude that there are at most 3 singular points. One of them has its multiplicity bounded by  $d - \mu \leq \frac{d+1}{2}$  and the other two have both multiplicities bounded by  $\frac{d}{2}$ . Hence, we get

$$\begin{aligned} 0 &= \sum_{p \in C} m_p(C)(m_p(C)-1) - (d-1)(d-2) \\ &\leq \left( \frac{d+1}{2} \frac{d-1}{2} + 2 \frac{d}{2} \frac{d-2}{2} \right) - (d-1)(d-2) \\ &= -\frac{d^2 - 8d + 9}{4}. \end{aligned}$$

For  $d \geq 7$ , the last expression is negative. This concludes the proof.  $\square$

#### 4. The Rees Algebra of a rational parameterization

Now we turn to the problem of computing a set of minimal generators for the presentation of the Rees Algebra associated to the ideal of a rational parameterization of a curve parameterizable by conics. This section may be considered an extension of the results given in [CD10] (see also [Bus09, CHW08]) for curves parameterizable by lines.

Let  $I$  be the ideal of  $\mathbb{K}[T_1, T_2]$  generated by three homogeneous polynomials  $u_1(T_1, T_2)$ ,  $u_2(T_1, T_2)$ ,  $u_3(T_1, T_2)$  of degree  $d$  without common factors. Recall that  $\text{Rees}(I) = \mathbb{K}[\underline{T}][IZ]$  is the Rees Algebra associated to  $I$ . Let  $\mathcal{K} \subset R[\underline{X}]$  be the kernel of the graded morphism of  $\mathbb{K}[\underline{T}]$ -algebras  $\mathfrak{h}$  defined in (7). It is a bigraded ideal (with grading given by total degrees in  $\underline{T}$  and  $\underline{X}$ ) characterized by

$$P(\underline{T}, \underline{X}) \in \mathcal{K}_{i,j} \Leftrightarrow \text{bideg}(P) = (i, j) \quad \text{and} \quad P(\underline{T}, \underline{u}(\underline{T})) = 0.$$

Let  $\phi: \mathbb{P}^1 \rightarrow \mathbb{P}^2$  be the map given by (2), and set as before  $C := \phi(\mathbb{P}^1)$ . As we observed in Section 1,  $\phi$  admits a rational inverse  $\psi: C \dashrightarrow \mathbb{P}^1$  if and only if there exists an irreducible nonzero element in  $\mathcal{K}_{1,*} := \bigoplus_{j=0}^{\infty} \mathcal{K}_{1,j}$ . Moreover, if  $F_1(\underline{X}), F_2(\underline{X})$  are coprime elements in  $\mathbb{K}[\underline{X}]$  and  $T_1 F_2(\underline{X}) - T_2 F_1(\underline{X}) \in \mathcal{K}_{1,v}$ , then  $\underline{F}(\underline{X})$  defines the inverse of  $\phi$ .

In the terminology of [Cox08, Bus09],  $\mathcal{K}$  is the *moving curve ideal* of the parameterization  $\phi$ . An element in  $\mathcal{K}_{*,j}$  is called a *moving curve* of degree  $j$  that follows the parameterization. In this sense, moving lines that follow the parameterization are the elements of  $\mathcal{K}_{*,1}$  and there is an obvious isomorphism of  $\mathbb{K}[\underline{T}]$ -modules

$$\begin{aligned} \mathcal{K}_{*,1} &\rightarrow \text{Syz}(\mathcal{I}) \\ a(\underline{T})X_1 + b(\underline{T})X_2 + c(\underline{T})X_3 &\mapsto (a(\underline{T}), b(\underline{T}), c(\underline{T})). \end{aligned} \tag{26}$$

Recall from Section 1 that the first module of syzygies of  $I$  is a free  $\mathbb{K}[\underline{T}]$ -module generated by two elements, one in degree  $\mu$  for a positive integer  $\mu$  such that  $0 < \mu \leq \frac{d}{2}$ , and the other of degree  $d - \mu$ . Such a basis is called a  $\mu$ -basis. In the sequel, we will denote with  $p_{\mu,1}(\underline{T}, \underline{X}), q_{d-\mu,1}(\underline{T}, \underline{X}) \in \mathcal{K}_{*,1}$  a (chosen) set of two elements in  $\text{Syz}(\mathcal{I})$  which are a basis of this module.

Note that with this language, we can say that there exists an irreducible element in  $\mathcal{K}_{1,1}$  if and only if  $C$  is parameterizable by lines, and this is equivalent also to  $\mu = 1$ . We will see (for  $d > 3$ ) that if there exists an irreducible element in  $\mathcal{K}_{1,2}$  (that is  $C$  is parameterizable by conics and not by lines, cf. Proposition 1.1) then  $\mu = \lfloor \frac{d}{2} \rfloor$ . Before that, we present two results that will be useful in the sequel.

The first of them is the analogue of Proposition 2.6 in [CD10].

**Proposition 4.1.** *Suppose  $T_1 F_2(\underline{X}) - T_2 F_1(\underline{X}) \in \mathcal{K}$  is an irreducible polynomial. Then,  $P_{i,j}(\underline{T}, \underline{X}) \in \mathcal{K}_{i,j}$  if and only if  $P_{i,j}(F_1(\underline{X}), F_2(\underline{X}), \underline{X})$  is a multiple of  $E(\underline{X})$ .*

**Proof.** We only have to show that  $P_{i,j}(F_1(\underline{X}), F_2(\underline{X}), \underline{X})$  vanishes on  $C$  if and only if  $P_{i,j}(\underline{T}, \underline{X}) \in \mathcal{K}_{i,j}$ . Taking into account that  $C = \{\underline{u}(t) \mid (t_1 : t_2) \in \mathbb{P}^1\}$ , and that  $(t_1 : t_2) = (F_1(\underline{u}(t)) : F_2(\underline{u}(t)))$  for almost all  $(t_1 : t_2) \in \mathbb{P}^1$ , then

$$\begin{aligned} P_{i,j}(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) &= 0 \quad \text{for all } (x_1 : x_2 : x_3) \in C \\ &\Leftrightarrow P_{i,j}(F_1(\underline{u}(t)), F_2(\underline{u}(t)), \underline{u}(t)) = 0 \quad \text{for all } (t_1 : t_2) \in \mathbb{P}^1 \\ &\Leftrightarrow P_{i,j}(F_1(\underline{u}(t)), F_2(\underline{u}(t)), \underline{u}(t)) = 0 \quad \text{for almost all } (t_1 : t_2) \in \mathbb{P}^1 \\ &\Leftrightarrow P_{i,j}(t_1, t_2, \underline{u}(t)) = 0 \quad \text{for almost all } (t_1 : t_2) \in \mathbb{P}^1 \\ &\Leftrightarrow P_{i,j}(t_1, t_2, \underline{u}(t)) = 0 \quad \text{for all } (t_1 : t_2) \in \mathbb{P}^1 \\ &\Leftrightarrow P_{i,j}(\underline{T}, \underline{X}) \in \mathcal{K}_{i,j}. \quad \square \end{aligned}$$

The following proposition is the analogue of Lemma 2.7 in [CD10].

**Lemma 4.2.** *Suppose  $F_1(\underline{X}), F_2(\underline{X})$  are homogeneous of degree  $j_0$ . Let  $P(\underline{T}, \underline{X})$  be a bihomogeneous polynomial of bidegree  $(i, j) \in \mathbb{N}^2$ , with  $i > 0, j \geq j_0$ . Then there exists  $Q(\underline{T}, \underline{X})$  bihomogeneous of bidegree  $(i-1, (i-1)j_0 + j)$  such that*

$$F_2(\underline{X})^i P(\underline{T}, \underline{X}) - T_2^i P(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) = (T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})) Q(\underline{T}, \underline{X}). \quad (27)$$

**Proof.**

$$\begin{aligned} &F_2(\underline{X})^i P(\underline{T}, \underline{X}) - T_2^i P(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) \\ &= P(T_1 F_2(\underline{X}), T_2 F_2(\underline{X}), \underline{X}) - P(T_2 F_1(\underline{X}), T_2 F_2(\underline{X}), \underline{X}). \end{aligned}$$

By applying on the polynomial  $p(\theta) := P(\theta, T_2 F_2(\underline{X}), \underline{X})$  the first order Taylor formula, the claim follows straightforwardly.  $\square$

**Proposition 4.3.** *Assume that  $\phi$  defined as in (2), is a proper parameterization of a curve of degree  $d$ . Let  $v$  be the degree of a homogenous pair of polynomials in  $\mathbb{K}[\underline{X}]$  defining the inverse of  $\phi$ . If  $\mu > 1$  then*

$$\mu v + 1 \geq d.$$

**Proof.** Let  $p_{\mu,1}(\underline{T}, \underline{X})$  be a nonzero element in  $\mathcal{K}_{\mu,1}$ . Due to Proposition 4.1, we have that  $p_{\mu,1}(F_1(\underline{X}), F_2(\underline{X}), \underline{X})$  is a multiple of  $E(\underline{X})$ , which has degree  $d$ . As  $\deg(p_{\mu,1}(F_1(\underline{X}), F_2(\underline{X}), \underline{X})) = \mu\nu + 1$ , it turns out that if  $\mu\nu + 1 < d$ , then

$$p_{\mu,1}(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) = 0.$$

By (27), we then have  $F_2(\underline{X})p_{\mu,1}(\underline{T}, \underline{X}) \in \langle T_1 F_2(\underline{X}) - T_2 F_1(\underline{X}) \rangle$ , which is a prime ideal and clearly  $F_2(\underline{X})$  does not belong to it. So we conclude that  $p_{\mu,1}(\underline{T}, \underline{X})$  is a multiple of  $T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})$  which is impossible unless  $\deg(F_1(\underline{X})) = \deg(F_2(\underline{X})) = 1$  which is equivalent to  $\mu = 1$ .  $\square$

**Corollary 4.4.** *If  $\nu = 2$  (i.e.  $\phi$  parameterizable by conics) and there are no linear syzygies, then  $\mu = \lfloor \frac{d}{2} \rfloor$ , the maximum possible value.*

It was shown already in [Bus09] that for  $\mu \geq 2$  the description of generators of  $\mathcal{K}$  is much more complicated than in the case of curves parameterizable by lines, so there is little hope that the elementary methods applied in [CD10] can be used in these cases. Next we will show that instead of looking at low degrees for the syzygies of  $\phi$ , if we try low degrees for the inverse of  $\phi$ , that the approach of [CD10] can be adapted, and indeed produces a minimal set of generators of rational plane curves parameterizable by conics (i.e., the degree of the inverse is equal to 2). We start by recalling the following:

**Proposition 4.5.** (See Proposition 3.6 in [BJ03].) *The sequence  $p_{\mu,1}(\underline{T}, \underline{X}), q_{d-\mu,1}(\underline{T}, \underline{X})$  is regular in  $\mathbb{K}[\underline{T}, \underline{X}]$  and*

$$\mathcal{K} = \bigcup_{n \geq 0} \langle p_{\mu,1}(\underline{T}, \underline{X}), q_{d-\mu,1}(\underline{T}, \underline{X}) \rangle : \langle T_1, T_2 \rangle^n.$$

As explained in [Bus09, Section 2], in order to search for a set of generators of  $\mathcal{K}$ , it is enough to consider forms of  $\underline{T}$ -degree lower than  $d$ . Our next result is a refinement of this bound, which essentially states that we can replace  $d - 1$  by  $d - \mu$ .

**Theorem 4.6.** *Let  $u_1(\underline{T}), u_2(\underline{T}), u_3(\underline{T}) \in \mathbb{K}[\underline{T}]$  be homogeneous polynomials of degree  $d$  having no common factors. A minimal set of generators of  $\mathcal{K}$  can be found with all its elements having  $\underline{T}$ -degree strictly less than  $d - \mu$  except for the generators of  $\mathcal{K}_{*,1}$  with  $\underline{T}$ -degree  $d - \mu$ .*

**Proof.** Let  $P(\underline{T}, \underline{X}) \in \mathcal{K}_{i,j}$  with  $i \geq d - \mu$ , and  $\{p_{\mu,1}(\underline{T}, \underline{X}), q_{d-\mu,1}(\underline{T}, \underline{X})\}$  as above, a  $\mathbb{K}[\underline{T}]$ -basis of  $\mathcal{K}_{*,1}$ . Let  $L_\mu(\underline{X})$  (resp.  $M_{d-\mu}(\underline{X})$ ) be the coefficient of  $T_2^\mu$  (resp.  $T_2^{d-\mu}$ ) in  $p_{\mu,1}(\underline{T}, \underline{X})$  (resp.  $q_{d-\mu,1}(\underline{T}, \underline{X})$ ). Also, let  $W(\underline{X})$  be the coefficient of  $T_2^i$  in  $P(\underline{T}, \underline{X})$ . As  $P(\underline{T}, \underline{X}) \in \mathcal{K}_{i,j}$ , due to Proposition 4.5 we have that there exists  $a \in \mathbb{N}$ ,  $\alpha(\underline{T}, \underline{X}), \beta(\underline{T}, \underline{X}) \in \mathbb{K}[\underline{T}, \underline{X}]$  such that

$$T_2^a P(\underline{T}, \underline{X}) = \alpha(\underline{T}, \underline{X}) p_{\mu,1}(\underline{T}, \underline{X}) + \beta(\underline{T}, \underline{X}) q_{d-\mu,1}(\underline{T}, \underline{X}). \quad (28)$$

We set  $T_1 = 0$  in (28), and get an expression of the form

$$W(\underline{X}) = A(\underline{X}) L_\mu(\underline{X}) + B(\underline{X}) M_{d-\mu}(\underline{X}),$$

with  $A(\underline{X}), B(\underline{X}) \in \mathbb{K}[\underline{X}]$ . Set then

$$Q(\underline{T}, \underline{X}) := P(\underline{T}, \underline{X}) - T_2^{i-\mu} A(\underline{X}) p_{\mu,1}(\underline{T}, \underline{X}) - T_2^{i-d+\mu} B(\underline{X}) q_{d-\mu,1}(\underline{T}, \underline{X}). \quad (29)$$

By setting  $T_1 = 0$  in (29), it is easy to see that  $Q(\underline{T}, \underline{X})$  vanishes, so we have that

$$Q(\underline{T}, \underline{X}) = T_1 \tilde{Q}(\underline{T}, \underline{X})$$

with  $\tilde{Q}(\underline{T}, \underline{X}) \in \mathcal{K}_{i-1,j}$ . If  $i-1 \geq d-\mu$ , we have then that

$$P(\underline{T}, \underline{X}) \in \langle \tilde{Q}(\underline{T}, \underline{X}), p_{\mu,1}(\underline{T}, \underline{X}), q_{d-\mu,1}(\underline{T}, \underline{X}) \rangle \subset \left\langle \bigcup_{\ell \leq i-1} \mathcal{K}_{\ell,j} \right\rangle;$$

and by iterating this argument with  $\tilde{Q}(\underline{T}, \underline{X})$  instead of  $P(\underline{T}, \underline{X})$ , we conclude that  $P(\underline{T}, \underline{X}) \in \langle \bigcup_{\ell \leq d-\mu} \mathcal{K}_{\ell,j} \rangle$ .

If  $i = d - \mu$ , reasoning as above we arrive to

$$P(\underline{T}, \underline{X}) \in \left\langle \bigcup_{\ell \leq d-\mu-1} \mathcal{K}_{\ell,j} \right\rangle + \langle p_{\mu,1}(\underline{T}, \underline{X}), q_{d-\mu,1}(\underline{T}, \underline{X}) \rangle,$$

and hence the claim follows.  $\square$

## 5. The Rees Algebra of curves parameterizable by conics

All along this section we will assume that  $\phi$  is parameterizable by conics and not by lines, (i.e.  $d > 3$ , see Proposition 1.1). Let  $(F_1(\underline{X}), F_2(\underline{X}))$  be the pair of forms of degree 2 without common factors defining the inverse of  $\phi$ . Then, due to Corollary 4.4 we know that  $\mu = \lfloor \frac{d}{2} \rfloor$ . We will describe a set of minimal generators of  $\mathcal{K}$  by computing successive Morley forms – as in [CD10] – between two generators of the  $\mu$ -basis and  $T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})$ . There will also be a moving conic that will come into play if  $d$  is even.

We start with the following proposition, which will be useful in the sequel.

**Proposition 5.1.** *If  $2i + j < d$ , then every nonzero element of  $\mathcal{K}_{i,j}$  is a polynomial multiple of  $T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})$ .*

**Proof.** Let  $P(\underline{T}, \underline{X}) \in \mathcal{K}_{i,j}$ . Due to (27) we have

$$F_2(\underline{X})^i P(\underline{T}, \underline{X}) - T_2^i P(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) = (T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})) Q(\underline{T}, \underline{X})$$

with – thanks to Proposition 4.1 –  $P(F_1(\underline{X}), F_2(\underline{X}), \underline{X})$  a homogeneous polynomial multiple of  $E(\underline{X})$  of degree  $2i + j < d = \deg(E(\underline{X}))$ . As  $E(\underline{X})$  is irreducible, we have then  $P(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) = 0$  and so

$$F_2(\underline{X})^i P(\underline{T}, \underline{X}) = (T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})) Q(\underline{T}, \underline{X}),$$

which implies that there exists  $Q_0(\underline{T}, \underline{X})$  such that

$$P(\underline{T}, \underline{X}) = (T_1 F_2(\underline{T}, \underline{X}) - T_2 F_1(\underline{T}, \underline{X})) Q_0(\underline{T}, \underline{X}). \quad \square$$

### 5.1. $d$ odd

In this section we will assume  $d = 2k + 1$ . By Corollary 4.4, we then have  $\mu = k$ . Let  $\{p_{k,1}(\underline{T}, \underline{X}), q_{k+1,1}(\underline{T}, \underline{X})\}$  be a basis of  $\text{Syz}(I)$ .

**Proposition 5.2.** *Up to a nonzero constant in  $\mathbb{K}$ , we have that*

$$p_{k,1}(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) = E(\underline{X}). \quad (30)$$

**Proof.** The polynomial  $p_{k,1}(F_1(\underline{X}), F_2(\underline{X}), \underline{X})$  is either identically zero or has degree  $d = \deg(E(\underline{X}))$  and, due to Proposition 4.1, we know that it is a multiple of  $E(\underline{X})$ . If we show that it is not identically zero, then we are done. But if this were not the case, due to (27) we would have to conclude that  $p_{k,1}(\underline{T}, \underline{X})$  is a multiple of  $T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})$ , which is impossible as the latter has degree 2 in the variables  $\underline{X}$ 's.  $\square$

We will define one nonzero element in  $P_j(\underline{T}, \underline{X}) \in \mathcal{K}_{j,d-2j}$  for  $j = 0, 1, \dots, k-1$ . We will do this recursively starting from  $\mathcal{K}_{k-1,2}$  and increasing the  $\underline{X}$ -degree at the cost of decreasing the  $\underline{T}$ -degree. This is the analogue of “computing Sylvester forms” in [Cox08, Bus09], and we will perform essentially the same operations we have done in [CD10] in order to get a minimal set of generators of  $\mathcal{K}$  for curves parameterizable by lines.

Set then  $P_k(\underline{T}, \underline{X}) := p_{k,1}(\underline{T}, \underline{X})$ ; and for  $j$  from 0 to  $k-1$  do:

- write  $P_{k-j}(\underline{T}, \underline{X})$  as  $A_{k-j}(\underline{T}, \underline{X})T_1 + B_{k-j}(\underline{T}, \underline{X})T_2$  (clearly there is more than one way of doing this, just choose one),
- set  $P_{k-j-1}(\underline{T}, \underline{X}) := A_{k-j}(\underline{T}, \underline{X})F_1(\underline{X}) + B_{k-j}(\underline{T}, \underline{X})F_2(\underline{X})$ .

We easily check that  $P_j(\underline{T}, \underline{X}) \in \mathcal{K}_{j,d-2j}$  for  $j = 0, \dots, k-1$ , and also that (up to a nonzero constant in  $\mathbb{K}$ ),

$$P_j(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) = E(\underline{X}). \quad (31)$$

In addition, it is easy to check that  $P_0(\underline{T}, \underline{X}) = E(\underline{X})$ .

**Theorem 5.3.** *A minimal set of generators of  $\mathcal{K}$  is*

$$J := \{T_1 F_2(\underline{X}) - T_2 F_1(\underline{X}), q_{k+1,1}(\underline{T}, \underline{X}), P_0(\underline{T}, \underline{X}), \dots, P_k(\underline{T}, \underline{X})\}.$$

**Proof.** Let us first check that  $J$  is a minimal set of generators of the ideal generated by its elements. The forms  $T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})$ ,  $q_{k+1,1}(\underline{T}, \underline{X})$ ,  $P_k(\underline{T}, \underline{X})$ ,  $\dots$ ,  $P_0(\underline{T}, \underline{X})$  have bidegrees  $(1, 2)$ ,  $(k+1, 1)$ ,  $(k, 1)$ ,  $(k-1, 3)$ ,  $\dots$ ,  $(1, 2k-1)$ ,  $(0, 2k+1)$  respectively. Taking into account these bidegrees we observe that, since  $k \geq 2$ , it is clear that  $T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})$  cannot be a polynomial combination of the others. Also,  $q_{k+1,1}(\underline{T}, \underline{X})$  can only be a multiple of  $P_k(\underline{T}, \underline{X})$ , which is impossible since they are a basis of  $\text{Syz}(I)$ .

Suppose now that  $P_j(\underline{T}, \underline{X})$  for some  $j = 0, \dots, k$  is a polynomial combination of the others; then

$$P_j(\underline{T}, \underline{X}) = H_0(\underline{T}, \underline{X})(T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})) + H_1(\underline{T}, \underline{X})q_{k+1,1}(\underline{T}, \underline{X}) + \sum_{i \neq j} G_i(\underline{T}, \underline{X})P_i(\underline{T}, \underline{X}).$$

All the elements  $\{P_j(\underline{T}, \underline{X}), j = 0, \dots, k\}$ , are nonzero and have different bidegrees  $(j, d-2j)$ . In addition,  $\deg_{\underline{T}}(q_{k+1,1}(\underline{T}, \underline{X})) = k+1 > j$ . Thus,

$$H_1(\underline{T}, \underline{X}) = G_i(\underline{T}, \underline{X}) = 0, \quad i \neq j.$$

It remains to show that  $P_j(\underline{T}, \underline{X})$  is not a multiple of  $T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})$ . But if this were the case, then we would have that  $P_j(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) = 0$ , in contradiction with (31). We conclude then that  $J$  is a set of minimal generators of  $\langle J \rangle$ .

Now we have to show that  $\langle J \rangle = \mathcal{K}$ , one of the inclusions being obvious. Let  $P(\underline{T}, \underline{X})$  be a nonzero element in  $\mathcal{K}_{i,j}$ . If  $2i + j < d$  then due to Proposition 5.1,  $P(\underline{T}, \underline{X})$  is a multiple of  $T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})$  and the claim follows straightforwardly. Suppose then  $2i + j \geq d$ . Thanks to Theorem 4.6 we only have to look at  $0 \leq i \leq k$ . As  $P(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) = E(\underline{X})h(\underline{X})$  (due to Proposition 4.1), then by applying (27) to both  $P(\underline{T}, \underline{X})$  and  $P_i(\underline{T}, \underline{X})h(\underline{X})$  we will get

$$F_2(\underline{X})^i (P(\underline{T}, \underline{X}) - P_i(\underline{T}, \underline{X})h(\underline{X})) \in \langle T_1 F_2(\underline{X}) - T_2 F_1(\underline{X}) \rangle,$$

and from here we deduce

$$P(\underline{T}, \underline{X}) \in \langle P_i(\underline{T}, \underline{X}), T_1 F_2(\underline{X}) - T_2 F_1(\underline{X}) \rangle \subset \langle J \rangle. \quad \square$$

## 5.2. $d$ even

Suppose now that  $d = 2k$ . In this case we have again  $\mu = k$ , but also  $d - \mu = k$  and hence there are two generators of  $\mathcal{K}_{*,1}$  with  $\underline{T}$ -degree  $k$ . As usual, denote with  $\{p_k(\underline{T}, \underline{X}), q_k(\underline{T}, \underline{X})\}$  a basis of  $\text{Syz}(I)$ . One can show easily now that there exist nonzero linear forms  $L_F(\underline{X}), L_G(\underline{X}) \in \mathbb{K}[\underline{X}]$  such that

$$p_k(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) = E(\underline{X})L_F(\underline{X}) \quad \text{and} \quad q_k(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) = E(\underline{X})L_G(\underline{X}),$$

so we cannot use neither of these elements to get something like (30). However, by applying some known results derived from the method of moving conics explored in [SGD97,ZCG99], it turns out that we can find a polynomial in  $\mathcal{K}_{k-1,2}$  which will play the role of  $p_{k,1}(\underline{T}, \underline{X})$  in Proposition 5.2 for this case.

**Proposition 5.4.** *There exists a nonzero element  $Q(\underline{T}, \underline{X}) \in \mathcal{K}_{k-1,2}$  such that*

$$Q(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) = E(\underline{X}).$$

Moreover, as  $\mathbb{K}$ -vector spaces we have

$$\mathcal{K}_{k-1,2} = Q(\underline{T}, \underline{X}) \cdot \mathbb{K} \oplus \langle T_1 F_2(\underline{X}) - T_2 F_1(\underline{X}) \rangle_{k-1,2}. \quad (32)$$

**Proof.** In the language of moving curves, the fact that  $d$  is even and  $\mu = k$  means that there are no moving lines of degree  $k - 1$  which follow the curve; that is,  $\mathcal{K}_{k-1,1} = 0$ . This condition implies (see for instance Theorem 5.4 in [SGD97]) that there exist  $k$  linearly independent elements in  $\mathcal{K}_{k-1,2}$ . One can easily check that if we multiply  $T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})$  by a polynomial  $r(\underline{T})$  of degree  $k - 2$ , we then get an element of  $\mathcal{K}_{k-1,2}$ . The dimension of the  $\mathbb{K}$ -vector space generated by all these polynomials is then  $k - 1$ . Hence, there is one form  $Q(\underline{T}, \underline{X}) \in \mathcal{K}_{k-1,2}$  which does not belong to this subspace, and (32) holds.

For this  $Q(\underline{T}, \underline{X})$  we easily get that  $Q(F_1(\underline{X}), F_2(\underline{X}), \underline{X})$  has to be a scalar multiple of  $E(\underline{X})$ . If it were zero, then by using the same arguments as before we would have to conclude that  $Q(\underline{T}, \underline{X}) \in \langle T_1 F_2(\underline{X}) - T_2 F_1(\underline{X}) \rangle$ , which contradicts (32).  $\square$

Now we will define nonzero elements in  $\mathcal{K}_{j,d-2j}$  for  $j = 0, 1, \dots, k - 1$ . As before, we will do this recursively starting from  $\mathcal{K}_{k-1,2}$  and increasing the  $\underline{X}$ -degree by decreasing the  $\underline{T}$ -degree. Set  $P_{k-1}(\underline{T}, \underline{X}) := Q(\underline{T}, \underline{X})$  and, for  $j$  from 0 to  $k - 2$  do:

- write  $P_{k-1-j}(\underline{T}, \underline{X})$  as  $A_{k-j}(\underline{T}, \underline{X})T_1 + B_{k-j}(\underline{T}, \underline{X})T_2$  (there is more than one way of doing this, just choose one),
- set  $P_{k-j-2}(\underline{T}, \underline{X}) := A_{k-j}(\underline{T}, \underline{X})F_1(\underline{X}) + B_{k-j}(\underline{T}, \underline{X})F_2(\underline{X})$ .

We easily check that  $P_j(\underline{T}, \underline{X}) \in \mathcal{K}_{j,d-2j}$  for  $j = 0, \dots, d-1$ , and also that (up to a nonzero constant in  $\mathbb{K}$ ),

$$P_j(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) = Q(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) = E(\underline{X}) \quad \forall j = 0, \dots, k-1. \quad (33)$$

Also, by construction we have that  $P_0(\underline{T}, \underline{X}) = E(\underline{X})$ .

**Theorem 5.5.** *A minimal set of generators of  $\mathcal{K}$  is*

$$J := \{T_1 F_2(\underline{X}) - T_2 F_1(\underline{X}), P_0(\underline{T}, \underline{X}), \dots, P_{k-1}(\underline{T}, \underline{X}), p_{k,1}(\underline{T}, \underline{X}), q_{k,1}(\underline{T}, \underline{X})\}.$$

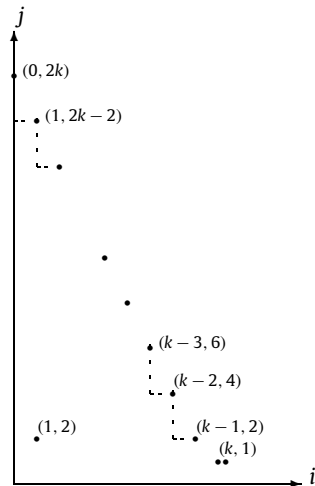
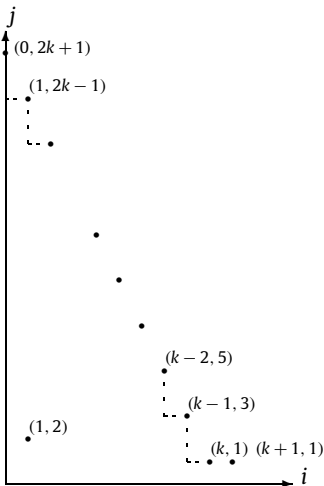
**Proof.** As before, we first check that  $J$  is a minimal set of generators of the ideal  $\langle J \rangle$ . We start again by verifying that  $p_k(\underline{T}, \underline{X})$  and  $q_k(\underline{T}, \underline{X})$  cannot be combination of other elements in the family due to the fact that they have minimal  $\underline{X}$ -degree and  $\mathbb{K}[\underline{T}]$ -linearly independent. All the other elements  $P_j(\underline{T}, \underline{X})$ ,  $j = 0, \dots, k-1$  are in different pieces of bidegrees  $(j, d-2j)$  so neither of them can be a polynomial combination of the others. In addition, the form  $T_2 F_1(\underline{X}) - T_1 F_2(\underline{X})$  is minimal with respect to the  $\underline{T}$ -degree, so it is independent. It remains then show that  $P_j(\underline{T}, \underline{X})$  is not a multiple of  $T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})$ . But if this were the case, then we would have that  $P_j(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) = 0$ , which contradicts (33).

In order to complete the proof, we must show that  $\langle J \rangle = \mathcal{K}$ . As before, one of the inclusions is trivial. Let then  $P(\underline{T}, \underline{X})$  be a nonzero element in  $\mathcal{K}_{i,j}$ . If  $2i + j < d$  then due to Proposition 5.1,  $P(\underline{T}, \underline{X})$  is a multiple of  $T_1 F_2(\underline{X}) - T_2 F_1(\underline{X})$  and the claim follows. Suppose now  $2i + j \geq d$ . Thanks to Theorem 4.6 we only have to look at  $0 \leq i \leq k-1$ . As  $P(F_1(\underline{X}), F_2(\underline{X}), \underline{X}) = E(\underline{X})h(\underline{X})$  (due to Proposition 4.1), then by applying (27) to both  $P(\underline{T}, \underline{X})$  and  $P_i(\underline{T}, \underline{X})h(\underline{X})$  we will get

$$F_2(\underline{X})^i (P(\underline{T}, \underline{X}) - P_i(\underline{T}, \underline{X})h(\underline{X})) \in \langle T_1 F_2(\underline{X}) - T_2 F_1(\underline{X}) \rangle.$$

From here we deduce  $P(\underline{T}, \underline{X}) \in \langle P_i(\underline{T}, \underline{X}), T_1 F_2(\underline{X}) - T_2 F_1(\underline{X}) \rangle \subset \langle J \rangle$ , and the claim follows.  $\square$

**Remark 5.6.** Note that the number of minimal generators in both cases  $d = 2k + 1$  or  $d = 2k$  is always  $k + 3$ , and also that a system of generators of  $\mathcal{K}$  includes a  $\mathbb{K}[\underline{T}]$ -basis of  $\text{Syz}(\mathcal{I})$  and the implicit equation as expected.



**Example 5.7.** Consider the following parameterization

$$\begin{cases} u_1(T_1, T_2) = T_1^5 + T_2^5 + T_1^4 T_2 \\ u_2(T_1, T_2) = T_1^3 T_2^2 \\ u_3(T_1, T_2) = T_1^5 - T_2^5, \end{cases}$$

whose inverse can easily be found as

$$\underline{F}(\underline{X}) = (4X_1^2 + X_2X_1 + 4X_1X_3 + 16X_2^2 + X_2X_3, 4X_1^2 + 6X_1X_2 + X_2^2 + 2X_2X_3 - 4X_3^2).$$

We have here  $d = 5$ ,  $\mu = 2$  and with the aid of a computer software find the following  $\mu$ -basis:

$$\begin{aligned} p_{2,1}(\underline{T}, \underline{X}) &= 2T_1^2X_2 + T_2T_1X_2 - T_2^2X_1 - T_2^2X_3, \\ q_{3,1}(\underline{T}, \underline{X}) &= 8T_1^3X_1 - 8T_1^3X_3 - 4T_1^2T_2X_1 - 4T_1^2T_2X_3 + 2T_1T_2^2X_1 + T_2^2T_1X_2 \\ &\quad + 2T_1T_2^2X_3 - T_2^3X_1 - 16T_2^3X_2 - T_2^3X_3. \end{aligned}$$

Now we can perform the algorithm given in Section 5.1, write

$$P_2(\underline{T}, \underline{X}) := p_{2,1}(\underline{T}, \underline{X}) = (2T_1X_2 + T_2X_2)T_1 + (-T_2X_1 - T_2X_3)T_2,$$

and set

$$\begin{aligned} P_1(\underline{T}, \underline{X}) &= (2T_1X_2 + T_2X_2)F_1(\underline{X}) + (-T_2X_1 - T_2X_3)F_2(\underline{X}) \\ &= 32T_1X_2^3 + 8T_1X_1^2X_2 + 2T_1X_1X_2^2 + 8T_1X_1X_2X_3 + 2T_1X_2^2X_3 \\ &\quad + 16T_2X_2^3 - 2T_2X_1^2X_2 - 4T_2X_1X_2X_3 - 4T_2X_1^3 + 4T_2X_1X_3^2 \\ &\quad - 4T_2X_1^2X_3 - 2T_2X_2X_3^2 + 4T_2X_3^3. \end{aligned}$$

We perform the same operations on  $P_1(\underline{T}, \underline{X})$  to get the implicit equation:

$$\begin{aligned} P_0(\underline{T}, \underline{X}) &= 16(-X_1^5 + 33X_2^5 - X_1^4X_3 + 3X_1^2X_2X_3^2 + 16X_1X_2^3X_3 \\ &\quad + X_1^3X_2X_3 - X_3^5 - 4X_2^3X_3^2 - X_1X_3^4 + 2X_1^3X_3^2 + 2X_1^2X_3^3 \\ &\quad + 20X_1^2X_2^3 + 6X_2^4X_3 + 10X_1X_2^4 + X_2X_3^4 + 3X_1X_2X_3^3). \end{aligned}$$

By Theorem 5.3, a minimal set of generators of  $\mathcal{K}$  is given by the five polynomials  $p_{2,1}(\underline{T}, \underline{X})$ ,  $q_{3,1}(\underline{T}, \underline{X})$ ,  $P_1(\underline{T}, \underline{X})$ ,  $P_0(\underline{T}, \underline{X})$  and  $T_1F_2(\underline{X}) - T_2F_1(\underline{X})$ .

**Example 5.8.** Set  $d = 6$  and consider

$$\begin{cases} u_1(T_1, T_2) = T_1^6 + T_1^5 T_2 \\ u_2(T_1, T_2) = T_1^3 T_2^3 \\ u_3(T_1, T_2) = T_2^6. \end{cases}$$

By computing explicitly a Gröbner basis of  $\ker(h)$  we get  $\mu = 3$  and the following  $\mu$ -basis:

$$\begin{aligned} p_{3,1}(\underline{T}, \underline{X}) &= T_1^3 X_3 - T_2^3 X_2, \\ q_{3,1}(\underline{T}, \underline{X}) &= T_2^3 X_1 - T_1^3 X_2 - T_1^2 T_2 X_2. \end{aligned}$$

A quadratic inverse can be found also as part of the Gröbner basis of  $\mathcal{K}$ :

$$T_1 F_2(\underline{X}) - T_2 F_1(\underline{X}) = T_1(X_1 X_3 - X_2^2) - T_2 X_2^2,$$

and we can also detect a moving conic of degree 2 in  $\underline{T}$  which is not a multiple of the latter:

$$Q(\underline{T}, \underline{X}) = T_1^2 X_2 X_3 - T_2^2 X_1 X_3 + T_2^2 X_2^2.$$

Now we have all the ingredients to start with the algorithm presented in Section 5.2: set  $P_2(\underline{T}, X) := Q(\underline{T}, \underline{X})$ , and write

$$P_2(\underline{T}, \underline{X}) = (T_1 X_2 X_3) T_1 + (-T_2 X_1 X_3 + T_2 X_2^2) T_2.$$

Then, we have

$$\begin{aligned} P_1(\underline{T}, \underline{X}) &:= (T_1 X_2 X_3) F_1(\underline{X}) + (-T_2 X_1 X_3 + T_2 X_2^2) F_2(\underline{X}) \\ &= (T_1 X_2 X_3) X_2^2 + (-T_2 X_1 X_3 + T_2 X_2^2)(X_1 X_3 - X_2^2) \\ &= X_2^3 X_3 T_1 - (X_1 X_3 - X_2^2)^2 T_2; \end{aligned}$$

and finally we get

$$\begin{aligned} E(\underline{X}) &= P_0(\underline{T}, \underline{X}) := X_2^3 X_3 F_1(\underline{X}) - (X_1 X_3 - X_2^2)^2 F_2(\underline{X}) \\ &= X_2^5 X_3 - (X_1 X_3 - X_2^2)^3 \end{aligned}$$

which is the implicit equation of the curve. Theorem 5.5 tells us now that a minimal set of generators of  $\mathcal{K}$  is given by  $p_{3,1}(\underline{T}, \underline{X})$ ,  $q_{3,1}(\underline{T}, \underline{X})$ ,  $P_2(\underline{T}, \underline{X})$ ,  $P_1(\underline{T}, \underline{X})$ ,  $E(\underline{X})$ .

## 6. Conclusions and open problems

We have described in detail the geometric features of rational curves parameterizable by conics and the algebraic aspects of their parameterizations. It would be interesting to get a similar description of families of curves parameterizable by forms of low degree. For simplicity, we will set our open questions and remarks for curves parameterizable by cubics (i.e.  $\deg(E(\underline{X})) = 3$  in (1)), but of course the interest is to get a description for general curves of degree  $d$  parameterizable by forms of degree  $d'$ ,  $d' \ll d$ .

- In Proposition 2.2 and Remark 2.3 we have shown that the only pairs of quadratic forms  $(F_1(X_1, X_2), F_2(X_1, X_2))$  without common factors not inducing a birational application  $C \dashrightarrow \mathbb{P}^1$  for any plane curve  $C$  are those such that  $T_1 F_2(X_1, X_2) - T_2 F_1(X_1, X_2)$  defines a degenerate conic in  $\mathbb{P}_{\mathbb{K}(\underline{T})}^1$ . Is there a geometric or algebraic condition analogue to this for pairs of cubics in  $\mathbb{K}(\underline{X})$ ?

- The description of the family of all rational parameterizations induced by a given inverse map  $\psi$  in Proposition 2.4 is based on the fact that the every nondegenerate conic in any projective plane over an algebraically closed field is parameterizable. Which is the analogue of this fact for cubics? What is the equivalent of “nondegenerate conic” in the case of cubics?
- One can prove a more general statement in one of the directions of Theorem 3.8: if  $\Lambda : \mathbb{P}^2 \dashrightarrow \mathbb{P}^2$  is a birational transformation whose inverse is given by three cubics  $F_1(\underline{X}), F_2(\underline{X}), F_3(\underline{X})$ , and  $C_0$  is a curve parameterizable by lines with singularity at  $(0 : 0 : 1)$ , then  $\Lambda(C_0)$  is a curve parameterizable by cubics. Are these all of them? Note that for a regular sequence of homogeneous forms  $F_1(\underline{X}), F_2(\underline{X})$  of degree 3, the variety  $V(F(\underline{X}))$  has cardinality 9 counted with multiplicities. It turns out that  $F_1(\underline{X}), F_2(\underline{X}), F_3(\underline{X})$  defines a birational map if and only if  $V(F_1(\underline{X}), F_2(\underline{X}), F_3(\underline{X}))$  has cardinality 8 (counted with multiplicities). But Cayley–Bacharach Theorem ([EGH95]) implies that any form  $F_3(\underline{X})$  of degree 3 vanishing in all but one point of  $V(F(\underline{X}))$  must vanish in all of them. So, in principle “extending” a general regular sequence of two cubics to an automorphism of  $\mathbb{P}^2$  given by cubics as it was done in Proposition 3.2, cannot be done straightforwardly.
- The computation of minimal generators of  $\mathfrak{h}$  in Section 5 involved one moving conic that follows the parameterization whose knowledge comes from the method of moving conics. There is no known systematic method for moving cubics so far. How can we detect forms of lower degree in  $\underline{X}$  in order to produce elements like the  $Q(\underline{T}, \underline{X})$  described in Proposition 5.4?

We hope that we shall be able to answer these questions in future papers.

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