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# Representations of principal $W$ -algebra for the superalgebra $Q(n)$ and the super Yangian $YQ(1)$

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## ABSTRACT

We classify irreducible representations of finite  $W$ -algebra for the queer Lie superalgebra  $Q(n)$  associated with the principal nilpotent coadjoint orbits. We use this classification and our previous results to obtain a classification of irreducible finite-dimensional representations of the super Yangian  $YQ(1)$ .

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## 1. Introduction

The main result of this paper is a classification of simple finite-dimensional modules over the super Yangian  $YQ(1)$  associated with the Lie superalgebra  $Q(1)$ . The Yangians of type  $Q$  were introduced by Nazarov in [13] and [14]. In [15] these super Yangians were realized as limits of certain centralizers in the universal enveloping algebras of type  $Q$ . Our approach is via finite  $W$ -algebras as in [1,2].

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In the classical case a finite  $W_e$ -algebra is a quantization of the Slodowy slice to the adjoint orbit of a nilpotent element  $e$  of a semisimple Lie algebra  $\mathfrak{g}$ . Finite-dimensional simple  $W_e$ -modules are used for classification of primitive ideals of  $U(\mathfrak{g})$ . Losev's work gives a new point of view on this classification, [8–10].

In the supercase the theory of the primitive ideals is even more complicated, [3]. It is interesting to generalize Losev's result to the supercase. One step in this direction is to study representations of finite  $W$ -algebras for a Lie superalgebra  $\mathfrak{g}$ . In the case when  $\mathfrak{g} = \mathfrak{gl}(m|n)$  and  $e$  is the even principal nilpotent, Brown, Brundan and Goodwin classified irreducible representations of  $W_e$  and explored the connection with the category  $\mathcal{O}$  for  $\mathfrak{g}$  using coinvariants functor, [1,2].

First, we study representations of finite  $W$ -algebra for the Lie superalgebra  $Q(n)$  associated with the principal even nilpotent coadjoint orbit. Note that the Cartan subalgebra  $\mathfrak{h}$  of  $\mathfrak{g} = Q(n)$  is not abelian and contains a non-trivial odd part. By our previous results ([17]), we realize  $W$  as a subalgebra of the universal enveloping algebra  $U(\mathfrak{h})$ . One of the main results of the paper is a classification of simple  $W$ -modules given in Theorem 4.7 (they are all finite-dimensional by [17]). The technique we use is completely different from one used in [1,2] due to the lack of triangular decomposition of  $W$  in our case. Instead, we can describe the restriction of simple  $U(\mathfrak{h})$ -modules to  $W$  and prove that any simple  $W$ -module occurs as a constituent of this restriction.

We have shown previously in [17] that a principal  $W$ -algebra (for any  $n$ ) is a quotient of  $YQ(1)$ . Hence a simple module over a  $W$ -algebra can be lifted to a simple  $YQ(1)$ -module. However, not every simple  $YQ(1)$ -module can be obtained in this way. We prove that any simple finite-dimensional  $YQ(1)$ -module is isomorphic to the tensor product of a module lifted from a  $W$ -algebra and some one-dimensional module (Theorem 5.13). We also obtain a formula for a generating function for the central character of a simple module. This generating function is rational and probably should be considered as an analogue of the Drinfeld polynomial, see [11] chapters 3, 4.

We plan in a subsequent paper to study the coinvariants functor from the category  $\mathcal{O}$  for  $Q(n)$  to the category of  $W$ -modules.

As M. L. Nazarov pointed to us, it is interesting to generalize the results of [7] to the case of  $YQ(1)$  using the centralizer construction of  $YQ(n)$  given in [15].

## 2. Notations and preliminary results

We work in the category of super vector spaces over  $\mathbb{C}$ . All tensor products are over  $\mathbb{C}$  unless specified otherwise. By  $\Pi$  we denote the functor of parity switch  $\Pi(X) = X \otimes \mathbb{C}^{0|1}$ .

Recall that if  $X$  is a simple finite-dimensional  $\mathcal{A}$ -module for some associative superalgebra  $\mathcal{A}$ , then  $\text{End}_{\mathcal{A}}(X) = \mathbb{C}$  or  $\text{End}_{\mathcal{A}}(X) = \mathbb{C}[\epsilon]/(\epsilon^2 - 1)$ , where the odd element  $\epsilon$  provides an  $\mathcal{A}$  isomorphism  $X \rightarrow \Pi(X)$ . We say that  $X$  is of M-type in the former case and of Q-type in the latter (see [6,4]).

If  $X$  and  $Y$  are two simple modules over associative superalgebras  $\mathcal{A}$  and  $\mathcal{B}$ , we define the  $\mathcal{A} \otimes \mathcal{B}$ -module  $X \boxtimes Y$  as the usual tensor product if at least one of  $X, Y$  is of M-type and the tensor product over  $\mathbb{C}[\epsilon]$  if both  $X$  and  $Y$  are of Q-type.

In this paper we consider the Lie superalgebra  $\mathfrak{g} = Q(n)$  defined as follows (see [5]). Equip  $\mathbb{C}^{n|n}$  with the odd operator  $\zeta$  such that  $\zeta^2 = -\text{Id}$ . Then  $Q(n)$  is the centralizer of  $\zeta$  in the Lie superalgebra  $\mathfrak{gl}(n|n)$ . It is easy to see that  $Q(n)$  consists of matrices of the form  $\begin{pmatrix} A & B \\ B & A \end{pmatrix}$  where  $A, B$  are  $n \times n$ -matrices. We fix the Cartan subalgebra  $\mathfrak{h} \subset \mathfrak{g}$  to be the set of matrices with diagonal  $A$  and  $B$ . By  $\mathfrak{n}^+$  (respectively,  $\mathfrak{n}^-$ ) we denote the nilpotent subalgebras consisting of matrices with strictly upper triangular (respectively, low triangular)  $A$  and  $B$ . The Lie superalgebra  $\mathfrak{g}$  has the triangular decomposition  $\mathfrak{g} = \mathfrak{n}^- \oplus \mathfrak{h} \oplus \mathfrak{n}^+$  and we set  $\mathfrak{b} = \mathfrak{n}^+ \oplus \mathfrak{h}$ .

2.1. Finite  $W$ -algebra for  $Q(n)$

Denote by  $W^n$  the finite  $W$ -algebra associated with a principal<sup>1</sup> even nilpotent element  $\varphi$  in the coadjoint representation of  $Q(n)$ . Let us recall the definition (see [19]). Let  $\{e_{i,j}, f_{i,j} \mid i, j = 1, \dots, n\}$  denote the basis consisting of elementary even and odd matrices. Choose  $\varphi \in \mathfrak{g}^*$  such that

$$\varphi(f_{i,j}) = 0, \quad \varphi(e_{i,j}) = \delta_{i,j+1}.$$

Let  $I_\varphi$  be the left ideal in  $U(\mathfrak{g})$  generated by  $x - \varphi(x)$  for all  $x \in \mathfrak{n}^-$ . Let  $\pi : U(\mathfrak{g}) \rightarrow U(\mathfrak{g})/I_\varphi$  be the natural projection. Then

$$W^n = \{\pi(y) \in U(\mathfrak{g})/I_\varphi \mid \text{ad}(x)y \in I_\varphi \text{ for all } x \in \mathfrak{n}^-\}.$$

Using identification of  $U(\mathfrak{g})/I_\varphi$  with the Whittaker module  $U(\mathfrak{g}) \otimes_{U(\mathfrak{n}^-)} \mathbb{C}_\varphi \simeq U(\mathfrak{b}) \otimes \mathbb{C}$  we can consider  $W^n$  as a subalgebra of  $U(\mathfrak{b})$ . The natural projection  $\vartheta : U(\mathfrak{b}) \rightarrow U(\mathfrak{h})$  with the kernel  $\mathfrak{n}^+U(\mathfrak{b})$  is called the *Harish-Chandra homomorphism*. It is proven in [17] that the restriction of  $\vartheta$  to  $W^n$  is injective.

The center of  $U(\mathfrak{g})$  is described in [21]. Set

$$\xi_i := (-1)^{i+1} f_{i,i}, \quad x_i := \xi_i^2 = e_{i,i},$$

then

$$U(\mathfrak{h}) \simeq \mathbb{C}[\xi_1, \dots, \xi_n] / (\xi_i \xi_j + \xi_j \xi_i)_{i < j \leq n}.$$

The center of  $U(\mathfrak{h})$  coincides with  $\mathbb{C}[x_1, \dots, x_n]$  and the image of the center of  $U(\mathfrak{g})$  under the Harish-Chandra homomorphism is generated by the polynomials  $p_k = x_1^{2k+1} + \dots +$

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<sup>1</sup> There is a unique open orbit in the nilpotent cone of the coadjoint representation, elements of this orbit are called principal.

$x_n^{2k+1}$  for all  $k \in \mathbb{N}$ , where we denote by  $\mathbb{N}$  the set of all non-negative integers. These polynomials are called  $Q$ -symmetric polynomials.

In [17] we proved that the center  $Z$  of  $W^n$  coincides with the image of the center of  $U(\mathfrak{g})$  and hence can be also identified with the ring of  $Q$ -symmetric polynomials.

*2.2. Super Yangians of type Q*

Recall that in [13] the Yangians  $YQ(n)$  associated with Lie superalgebras  $Q(n)$  were defined. In [17] and [18] (Corollary 5.16) we have shown the existence of the surjective homomorphism  $\varphi_n : YQ(1) \rightarrow W^n$ .

Recall that  $YQ(1)$  is the associative unital superalgebra over  $\mathbb{C}$  with the countable set of generators

$$T_{i,j}^{(m)} \text{ where } m = 1, 2, \dots \text{ and } i, j = \pm 1.$$

The  $\mathbb{Z}_2$ -grading of the algebra  $YQ(1)$  is defined as follows:

$$p(T_{i,j}^{(m)}) = p(i) + p(j), \text{ where } p(1) = 0 \text{ and } p(-1) = 1.$$

To write down defining relations for these generators we employ the formal series in  $YQ(1)[[u^{-1}]]$ :

$$T_{i,j}(u) = \delta_{ij} \cdot 1 + T_{i,j}^{(1)}u^{-1} + T_{i,j}^{(2)}u^{-2} + \dots \tag{2.1}$$

Then for all possible indices  $i, j, k, l$  we have the relations

$$\begin{aligned} &(u^2 - v^2)[T_{i,j}(u), T_{k,l}(v)] \cdot (-1)^{p(i)p(k)+p(i)p(l)+p(k)p(l)} \\ &= (u + v)(T_{k,j}(u)T_{i,l}(v) - T_{k,j}(v)T_{i,l}(u)) \\ &- (u - v)(T_{-k,j}(u)T_{-i,l}(v) - T_{k,-j}(v)T_{i,-l}(u)) \cdot (-1)^{p(k)+p(l)}, \end{aligned} \tag{2.2}$$

where  $v$  is a formal parameter independent of  $u$ , so that (2.2) is an equality in the algebra of formal Laurent series in  $u^{-1}, v^{-1}$  with coefficients in  $YQ(1)$ .

For all indices  $i, j$  we also have the relations

$$T_{i,j}(-u) = T_{-i,-j}(u). \tag{2.3}$$

Note that the relations (2.2) and (2.3) are equivalent to the following defining relations:

$$\begin{aligned} &([T_{i,j}^{(m+1)}, T_{k,l}^{(r-1)}] - [T_{i,j}^{(m-1)}, T_{k,l}^{(r+1)}]) \cdot (-1)^{p(i)p(k)+p(i)p(l)+p(k)p(l)} = \\ &T_{k,j}^{(m)}T_{i,l}^{(r-1)} + T_{k,j}^{(m-1)}T_{i,l}^{(r)} - T_{k,j}^{(r-1)}T_{i,l}^{(m)} - T_{k,j}^{(r)}T_{i,l}^{(m-1)} \\ &+ (-1)^{p(k)+p(l)}(-T_{-k,j}^{(m)}T_{-i,l}^{(r-1)} + T_{-k,j}^{(m-1)}T_{-i,l}^{(r)} + T_{k,-j}^{(r-1)}T_{i,-l}^{(m)} - T_{k,-j}^{(r)}T_{i,-l}^{(m-1)}), \end{aligned} \tag{2.4}$$

$$T_{-i,-j}^{(m)} = (-1)^m T_{i,j}^{(m)}, \tag{2.5}$$

where  $m, r = 1, \dots$  and  $T_{i,j}^{(0)} = \delta_{ij}$ .

Recall that  $YQ(1)$  is a Hopf superalgebra, see [14], with comultiplication given by the formula

$$\Delta(T_{i,j}^{(r)}) = \sum_{s=0}^r \sum_k (-1)^{(p(i)+p(k))(p(j)+p(k))} T_{i,k}^{(s)} \otimes T_{k,j}^{(r-s)}.$$

The surjective homomorphism  $\varphi_n : YQ(1) \rightarrow W^n$  is defined as follows:

$$\varphi_n(T_{1,1}^{(k)}) = (-1)^k \left[ \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} (x_{i_1} + (-1)^{k+1} \xi_{i_1}) \dots (x_{i_{k-1}} - \xi_{i_{k-1}})(x_{i_k} + \xi_{i_k}) \right]_{\text{even}}, \tag{2.6}$$

$$\varphi_n(T_{-1,1}^{(k)}) = (-1)^k \left[ \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} (x_{i_1} + (-1)^{k+1} \xi_{i_1}) \dots (x_{i_{k-1}} - \xi_{i_{k-1}})(x_{i_k} + \xi_{i_k}) \right]_{\text{odd}}.$$

Note that  $\varphi_n(T_{1,1}^{(k)}) = \varphi_n(T_{-1,1}^{(k)}) = 0$  if  $k > n$ .

### 3. The structure of $W$ -algebra

Using Harish-Chandra homomorphism we realize  $W^n$  as a subalgebra in  $U(\mathfrak{h})$ . It is shown in [18] that  $W^n$  has  $n$  even generators  $z_0, \dots, z_{n-1}$  and  $n$  odd generators  $\phi_0, \dots, \phi_{n-1}$  defined as follows. For  $k \geq 0$  we set

$$\phi_0 := \sum_{i=1}^n \xi_i, \quad \phi_k := T^k(\phi_0), \tag{3.1}$$

where the matrix of  $T$  in the standard basis  $\xi_1, \dots, \xi_n$  has 0 on the diagonal and

$$t_{ij} := \begin{cases} x_j & \text{if } i < j, \\ -x_j & \text{if } i > j. \end{cases} \tag{3.2}$$

For odd  $k \leq n - 1$  we define

$$z_k := \left[ \sum_{i_1 < i_2 < \dots < i_{k+1}} (x_{i_1} + (-1)^k \xi_{i_1}) \dots (x_{i_k} - \xi_{i_k})(x_{i_{k+1}} + \xi_{i_{k+1}}) \right]_{\text{even}}, \tag{3.3}$$

and for even  $k \geq 0$  we set

$$z_k := \frac{1}{2} [\phi_0, \phi_k]. \tag{3.4}$$

Let  $W_0^n \subset W^n$  be the subalgebra generated by  $z_0, \dots, z_{n-1}$ . By [17], Proposition 6.4,  $W_0^n$  is isomorphic to the polynomial algebra  $\mathbb{C}[z_0, \dots, z_{n-1}]$ . Furthermore there are the following relations

$$[\phi_i, \phi_j] = \begin{cases} (-1)^i 2z_{i+j} & \text{if } i + j \text{ is even} \\ 0 & \text{if } i + j \text{ is odd} \end{cases} \tag{3.5}$$

Define the  $\mathbb{Z}$ -grading on  $U(\mathfrak{h})$  by setting the degree of  $\xi_i$  to be 1. It induces the filtration on  $W^n$ , for every  $y \in W^n$  we denote by  $\bar{y}$  the term of the highest degree.

Note that for even  $k$ , we have  $z_k = \bar{z}_k$ . Moreover,  $z_k$  is in the image under the Harish-Chandra map of the center of the universal enveloping algebra  $U(Q(n))$ . Therefore by [21]  $z_{2p}$  is a  $Q$ -symmetric polynomial in  $\mathbb{C}[x_1, \dots, x_n]$  of degree  $2p + 1$ . For example,

$$z_0 = x_1 + \dots + x_n, \quad z_2 = \frac{1}{3} ((x_1^3 + \dots + x_n^3) - (x_1 + \dots + x_n)^3).$$

For odd  $k$  the leading term is given by the elementary symmetric polynomial

$$\bar{z}_k = \sum_{i_1 < i_2 < \dots < i_{k+1}} x_{i_1} \cdots x_{i_{k+1}}.$$

**Lemma 3.1.**

- (1)  $\text{gr } W_0^n$  is isomorphic to the algebra of symmetric polynomials  $\mathbb{C}[x_1, \dots, x_n]^{S_n} = \mathbb{C}[\bar{z}_0, \dots, \bar{z}_{n-1}]$  and the degree of  $\bar{z}_k$  is  $2k + 2$ ;
- (2)  $U(\mathfrak{h})$  is a free right  $W_0^n$ -module of rank  $2^n n!$ .

**Proof.** Since  $\bar{z}_0, \dots, \bar{z}_{n-1}$  are algebraically independent generators of  $\mathbb{C}[x_1, \dots, x_n]^{S_n}$  we obtain (1).

It is well-known fact that  $\mathbb{C}[x_1, \dots, x_n]$  is a free  $\mathbb{C}[x_1, \dots, x_n]^{S_n}$ -module of rank  $n!$ , see, for example, [22] Chapter 4. Since  $U(\mathfrak{h})$  is a free  $\mathbb{C}[x_1, \dots, x_n]$ -module of rank  $2^n$  we get that  $U(\mathfrak{h})$  is a free  $\mathbb{C}[x_1, \dots, x_n]^{S_n}$ -module of rank  $m = 2^n n!$ . Let us choose a homogeneous basis  $b_1, \dots, b_m$  of  $U(\mathfrak{h})$  over  $\mathbb{C}[x_1, \dots, x_n]^{S_n}$ . We claim that it is a basis of  $U(\mathfrak{h})$  as a right module over  $W_0^n$ . Indeed, let us prove first the linear independence. Suppose

$$\sum_{j=1}^m b_j y_j = 0$$

for some  $y_j \in W_0^n$ . Let  $k = \max\{\text{deg } y_j + \text{deg } b_j \mid j = 1, \dots, m\}$ . If  $J = \{j \mid \text{deg } y_j + \text{deg } b_j = k\}$  we have  $\sum_{j \in J} b_j \bar{y}_j = 0$ . By above this implies  $\bar{y}_j = 0$  for all  $j \in J$  and we obtain all  $y_j = 0$ . On the other hand, it follows easily by induction on degree that  $U(\mathfrak{h}) = \sum_{j=1}^m b_j W_0^n$ . The proof of (2) is complete.  $\square$

Consider  $U(\mathfrak{h})$  as a free  $U(\mathfrak{h}_0)$ -module and let  $W_1^n$  denote the free  $U(\mathfrak{h}_0)$ -submodule generated by  $\xi_1, \dots, \xi_n$ . Then  $W_1^n$  is equipped with  $U(\mathfrak{h}_0)$ -valued symmetric bilinear form  $B(x, y) = [x, y]$ .

**Lemma 3.2.** *Let  $p(x_1, \dots, x_n) := \prod_{i < j} (x_i + x_j)$  and  $\Gamma$  denotes the Gram matrix  $B(\phi_i, \phi_j)$ . Then  $\det \Gamma = cp^2 x_1 \cdots x_n$ , where  $c$  is a non-zero constant.*

**Proof.** Recall that  $\phi_k = T^k \phi_0$ . Since the matrix of the form  $B$  in the basis  $\xi_1, \dots, \xi_n$  is the diagonal matrix  $C = \text{diag}(x_1, \dots, x_n)$ , then  $\Gamma = Y^t C Y$ , where  $Y$  is the square matrix such that  $\phi_i = \sum_{j=1}^n y_{ij} \xi_j$ . Hence  $\det \Gamma = x_1 \cdots x_n \det Y^2$ . Since  $B(\phi_i, \phi_j)$  is a symmetric polynomial in  $x_1, \dots, x_n$ , the determinant of  $\Gamma$  is also a symmetric polynomial. The degree of this polynomial is  $n^2$ . Therefore it suffices to prove that  $(x_1 + x_2)^2$  divides  $\det \Gamma$ , or equivalently  $x_1 + x_2$  divides  $\det Y$ . In other words, we have to show that if  $x_1 = -x_2$ , then  $\phi_0, \dots, \phi_{n-1}$  are linearly dependent. Indeed, one can easily see from the form of  $T$  that the first and the second coordinates of  $T^k \phi_0$  coincide, hence  $\phi_0, T\phi_0, \dots, T^{n-1} \phi_0$  are linearly dependent.  $\square$

We also will use another generators in  $W^n$  introduced in [18], Corollary 5.15:

$$u_k(0) := \left[ \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} (x_{i_1} + (-1)^{k+1} \xi_{i_1}) \cdots (x_{i_{k-1}} - \xi_{i_{k-1}})(x_{i_k} + \xi_{i_k}) \right]_{\text{even}}, \tag{3.6}$$

$$u_k(1) := \left[ \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq n} (x_{i_1} + (-1)^{k+1} \xi_{i_1}) \cdots (x_{i_{k-1}} - \xi_{i_{k-1}})(x_{i_k} + \xi_{i_k}) \right]_{\text{odd}}.$$

For convenience we assume  $u_k(0) = u_k(1) = 0$  for  $k > n$ .

Let  $i + j = n$ . We have the natural embedding of the Lie superalgebras  $Q(i) \oplus Q(j) \hookrightarrow Q(n)$ . If  $\mathfrak{h}_r$  denotes the Cartan subalgebra of  $Q(r)$ , the above embedding induces the isomorphism

$$U(\mathfrak{h}) \simeq U(\mathfrak{h}_i) \otimes U(\mathfrak{h}_j). \tag{3.7}$$

The following lemma implies that we have also the embedding of  $W$ -algebras.

**Lemma 3.3.** *Let  $i + j = n$ . Then  $W^n$  is a subalgebra in the tensor product  $W^i \otimes W^j$ .*

**Proof.** Introduce generators in  $W^i$  and  $W^j$ :

$$u_k^+(0) := \left[ \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq i} (x_{i_1} + (-1)^{k+1} \xi_{i_1}) \cdots (x_{i_{k-1}} - \xi_{i_{k-1}})(x_{i_k} + \xi_{i_k}) \right]_{\text{even}}, \tag{3.8}$$

$$u_k^+(1) := \left[ \sum_{1 \leq i_1 < i_2 < \dots < i_k \leq i} (x_{i_1} + (-1)^{k+1} \xi_{i_1}) \cdots (x_{i_{k-1}} - \xi_{i_{k-1}})(x_{i_k} + \xi_{i_k}) \right]_{\text{odd}}.$$

$$u_k^-(0) := \left[ \sum_{i+1 \leq i_1 < i_2 < \dots < i_k \leq n} (x_{i_1} + (-1)^{k+1} \xi_{i_1}) \cdots (x_{i_{k-1}} - \xi_{i_{k-1}})(x_{i_k} + \xi_{i_k}) \right]_{\text{even}} \tag{3.9}$$

$$u_k^-(1) := \left[ \sum_{i+1 \leq i_1 < i_2 < \dots < i_k \leq n} (x_{i_1} + (-1)^{k+1} \xi_{i_1}) \cdots (x_{i_{k-1}} - \xi_{i_{k-1}})(x_{i_k} + \xi_{i_k}) \right]_{\text{odd}}$$

Then for  $d, e, f \in \mathbb{Z}/2\mathbb{Z}$  we have

$$u_k(d) = \sum_{e+f=d} \sum_{a+b=k} (-1)^{eb} u_a^+(e) u_b^-(f). \tag{3.10}$$

Here we assume  $u_0^\pm(0) = 1$  and  $u_0^\pm(1) = 0$ .  $\square$

**Corollary 3.4.** *If  $i_1 + \dots + i_p = n$ , then  $W^n$  is a subalgebra in  $W^{i_1} \otimes \dots \otimes W^{i_p}$ .*

It is easy to see the following commutative diagram:

$$\begin{array}{ccc} YQ(1) & \xrightarrow{\Delta} & YQ(1) \otimes YQ(1) \\ \varphi_{m+n} \downarrow & & \varphi_m \otimes \varphi_n \downarrow \\ W^{m+n} & \longrightarrow & W^m \otimes W^n \end{array} \tag{3.11}$$

where the bottom horizontal arrow is the composition of the flip  $W^n \otimes W^m \rightarrow W^m \otimes W^n$  with the map  $W^{m+n} \rightarrow W^n \otimes W^m$  defined in Lemma 3.3. The appearance of the flip is due to the fact that the flip is used in the identification of  $U(\mathfrak{h}) \subset U(Q(l))$  with  $U(Q(1))^{\otimes l}$ , see the formula before Theorem 5.8 and Theorem 5.14 in [18].

### 4. Irreducible representations of $W^n$

#### 4.1. Representations of $U(\mathfrak{h})$

Let  $\mathbf{s} = (s_1, \dots, s_n) \in \mathbb{C}^n$ . We call  $\mathbf{s}$  *regular* if  $s_i \neq 0$  for all  $i \leq n$  and *typical* if  $s_i + s_j \neq 0$  for all  $1 \leq i < j \leq n$ .

It follows from the representation theory of Clifford algebras that all irreducible representations of  $U(\mathfrak{h})$  up to change of parity can be parameterized by  $\mathbf{s} \in \mathbb{C}^n$ . Indeed, let  $M$  be an irreducible representation of  $U(\mathfrak{h})$ . By Schur’s lemma every  $x_i$  acts on  $M$  as a scalar operator  $s_i \text{Id}$ . Let  $I_{\mathbf{s}}$  denote the ideal in  $U(\mathfrak{h})$  generated by  $x_i - s_i$ , then the quotient algebra  $U(\mathfrak{h})/I_{\mathbf{s}}$  is isomorphic to the Clifford superalgebra  $C_{\mathbf{s}}^2$  associated with the quadratic form:

$$B_{\mathbf{s}}(\xi_i, \xi_j) = \delta_{ij} 2s_i.$$

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<sup>2</sup> We consider Clifford algebras as superalgebras with the natural  $\mathbb{Z}_2$ -grading.

Then  $M$  is a simple  $C_{\mathbf{s}}$ -module.

The radical  $R_{\mathbf{s}}$  of  $C_{\mathbf{s}}$  is generated by the kernel of the form  $B_{\mathbf{s}}$ . Let  $m(\mathbf{s})$  be the number of non-zero coordinates of  $\mathbf{s}$ , then  $C_{\mathbf{s}}/R_{\mathbf{s}}$  is isomorphic to the matrix superalgebra  $M(2^{\frac{m}{2}-1}|2^{\frac{m}{2}-1})$  for even  $m$  and to the superalgebra  $M(2^{\frac{m-1}{2}}) \otimes \mathbb{C}[\epsilon]/(\epsilon^2 - 1)$  for odd  $m$ .

Therefore  $C_{\mathbf{s}}$  has one (up to isomorphism) simple  $\mathbb{Z}_2$ -graded module  $V(\mathbf{s})$  of type Q for odd  $m(\mathbf{s})$ , and two simple modules  $V(\mathbf{s})$  and  $\Pi V(\mathbf{s})$  of type M for even  $m(\mathbf{s})$  (see [12]). In the case when  $\mathbf{s}$  is regular, the form  $B_{\mathbf{s}}$  is non-degenerate and the dimension of  $V(\mathbf{s})$  equals  $2^k$ , where  $k = \lceil n/2 \rceil$ . In general,  $\dim V(\mathbf{s}) = 2^{\lceil m(\mathbf{s})/2 \rceil}$ .

Consider the embedding  $Q(p) \oplus Q(q) \hookrightarrow Q(n)$  for  $p + q = n$  and the isomorphism (3.7). It induces an isomorphism of  $U(\mathfrak{h})$ -modules

$$V(\mathbf{s}) \simeq V(s_1, \dots, s_p) \boxtimes V(s_{p+1}, \dots, s_n). \tag{4.1}$$

4.2. *Restriction from  $U(\mathfrak{h})$  to  $W^n$*

We denote by the same symbol  $V(\mathbf{s})$  the restriction to  $W^n$  of the  $U(\mathfrak{h})$ -module  $V(\mathbf{s})$ .

**Proposition 4.1.** *Let  $S$  be a simple  $W^n$ -module. Then  $S$  is a simple constituent of  $V(\mathbf{s})$  for some  $\mathbf{s} \in \mathbb{C}^n$ .*

**Proof.** Since  $W_0^n$  is commutative and  $S$  is finite-dimensional (see [17]), there exists one dimensional  $W_0^n$ -submodule  $\mathbb{C}_{\nu} \subset S$  with character  $\nu$ . Therefore  $S$  is a quotient of  $\text{Ind}_{W_0^n}^{W^n} \mathbb{C}_{\nu}$ . On the other hand, the embedding  $W^n \hookrightarrow U(\mathfrak{h})$  induces the embedding  $\text{Ind}_{W_0^n}^{W^n} \mathbb{C}_{\nu} \hookrightarrow \text{Ind}_{W_0^n}^{U(\mathfrak{h})} \mathbb{C}_{\nu}$ . Thus,  $S$  is a simple constituent of  $\text{Res}_{W^n} \text{Ind}_{W_0^n}^{U(\mathfrak{h})} \mathbb{C}_{\nu}$ . By Lemma 3.1,  $\text{Ind}_{W_0^n}^{U(\mathfrak{h})} \mathbb{C}_{\nu}$  is finite-dimensional, and hence has simple  $U(\mathfrak{h})$ -constituents isomorphic to  $V(\mathbf{s})$  for some  $\mathbf{s}$ . Hence  $S$  must appear as a simple  $W^n$ -constituent of some  $V(\mathbf{s})$ .  $\square$

4.3. *Typical representations*

**Theorem 4.2.** *If  $\mathbf{s}$  is typical, then  $V(\mathbf{s})$  is a simple  $W^n$ -module.*

**Proof.** First, we assume that  $\mathbf{s}$  is regular, i.e.  $s_i \neq 0$  for all  $i = 1, \dots, n$ . The specialization  $x_i \mapsto s_i$  induces an injective homomorphism  $\theta_{\mathbf{s}} : W^n/(I_{\mathbf{s}} \cap W^n) \hookrightarrow C_{\mathbf{s}}$  and a specialization of the quadratic form  $B \mapsto B_{\mathbf{s}}$ . By Lemma 3.2  $\det \Gamma(\mathbf{s}) \neq 0$ . Therefore  $B_{\mathbf{s}}$  is non-degenerate and  $\theta_{\mathbf{s}}$  is an isomorphism. Thus,  $V(\mathbf{s})$  remains irreducible when restricted to  $W^n$ .

If  $\mathbf{s}$  is typical non-regular, there is exactly one  $i$  such that  $s_i = 0$ . Let  $\mathbf{s}' = (s_1, \dots, s_{i-1}, s_{i+1}, \dots, s_n)$ . Note that  $(\theta_{\mathbf{s}}(\xi_i))$  is a nilpotent ideal of  $C_{\mathbf{s}}$  and hence  $\xi_i$  acts by zero on  $V(\mathbf{s})$ . Then  $V(\mathbf{s})$  is a simple module over the quotient  $C_{\mathbf{s}'} \simeq C_{\mathbf{s}}/(\theta_{\mathbf{s}}(\xi_i))$ . Recall  $Y$  from the proof of Lemma 3.2 and let  $Y'$  denote the minor of  $Y$  obtained by removing the  $i$ -th column and the  $i$ -th row. Then

$$\phi_k = \sum_{j \neq i} y'_{kj} \xi_j \text{ mod } (\xi_i).$$

Hence  $\theta_{\mathbf{s}}(\phi_0), \dots, \theta_{\mathbf{s}}(\phi_{n-1})$  generate  $C_{\mathbf{s}'} \simeq C_{\mathbf{s}}/(\theta_{\mathbf{s}}(\xi_i))$  and the statement follows from the regular case for  $n - 1$ .  $\square$

4.4. Simple  $W^n$ -modules for  $n = 2$

Let  $n = 2$ , then by Theorem 4.2  $V(\mathbf{s})$  is simple as  $W^n$ -module if  $s_1 \neq -s_2$ . The action of  $U(\mathfrak{h})$  in  $V(s_1, s_2)$  is given by the following formulas in a suitable basis:

$$\xi_1 \mapsto \begin{pmatrix} 0 & \sqrt{s_1} \\ \sqrt{s_1} & 0 \end{pmatrix}, \quad \xi_2 \mapsto \begin{pmatrix} 0 & \sqrt{s_2 \mathbf{i}} \\ -\sqrt{s_2 \mathbf{i}} & 0 \end{pmatrix}.$$

Note that  $W^n$  is generated by  $\phi_0, \phi_1, z_0$  and  $z_1$ . Using

$$\phi_0 = \xi_1 + \xi_2, \quad \phi_1 = x_2 \xi_1 - x_1 \xi_2, \quad z_0 = x_1 + x_2, \quad z_1 = x_1 x_2 - \xi_1 \xi_2$$

we obtain the following formulas for the generators of  $W^n$ :

$$\phi_0 \mapsto \begin{pmatrix} 0 & \sqrt{s_1} + \sqrt{s_2 \mathbf{i}} \\ \sqrt{s_1} - \sqrt{s_2 \mathbf{i}} & 0 \end{pmatrix}, \quad \phi_1 \mapsto \sqrt{s_1 s_2} \begin{pmatrix} 0 & \sqrt{s_2} - \sqrt{s_1 \mathbf{i}} \\ \sqrt{s_2} + \sqrt{s_1 \mathbf{i}} & 0 \end{pmatrix}, \tag{4.2}$$

$$z_0 \mapsto (s_1 + s_2) \text{Id}, \quad z_1 \mapsto \begin{pmatrix} s_1 s_2 + \sqrt{s_1 s_2 \mathbf{i}} & 0 \\ 0 & s_1 s_2 - \sqrt{s_1 s_2 \mathbf{i}} \end{pmatrix}. \tag{4.3}$$

Assume that  $s_1 = -s_2$ . If  $s_1, s_2 = 0$  then  $V(\mathbf{s})$  is isomorphic to  $\mathbb{C} \oplus \Pi\mathbb{C}$ , where  $\mathbb{C}$  is the trivial module. If  $s_1 \neq 0$ , we choose  $\sqrt{s_1}, \sqrt{s_2}$  so that  $\sqrt{s_2} = \sqrt{s_1 \mathbf{i}}$ . Note that the choice of sign controls the choice of the parity of  $V(\mathbf{s})$ . The following exact sequence easily follows from (4.2) and (4.3):

$$0 \rightarrow \Pi\Gamma_{-s_1^2+s_1} \rightarrow V(\mathbf{s}) \rightarrow \Gamma_{-s_1^2-s_1} \rightarrow 0, \tag{4.4}$$

where  $\Gamma_t$  is the simple module of dimension  $(1|0)$  on which  $\phi_0, \phi_1$  and  $z_0$  act by zero and  $z_1$  acts by the scalar  $t$ . The sequence splits only in the case  $s_1 = 0$ , when  $\Gamma_0 \simeq \mathbb{C}$  is trivial. Thus, using Proposition 4.1, Theorem 4.2, and (4.4) we obtain

**Lemma 4.3.** *If  $n = 2$ , then every simple  $W^n$ -module is isomorphic to one of the following*

- (1)  $V(s_1, s_2)$  or  $\Pi V(s_1, s_2)$  for  $s_1 \neq -s_2, s_1, s_2 \neq 0$ ;
- (2)  $V(s, 0)$  if  $s \neq 0$ ;
- (3)  $\Gamma_t$  or  $\Pi\Gamma_t$ .

4.5. Invariance under permutations

**Theorem 4.4.** *Let  $\mathbf{s}' = \sigma(\mathbf{s})$  for some permutation of coordinates.*

(1) *If  $\mathbf{s}$  is typical, then  $V(\mathbf{s})$  is isomorphic to  $V(\mathbf{s}')$  as a  $W^n$ -module.*

(2) *If  $\mathbf{s}$  is arbitrary, then  $[V(\mathbf{s})] = [V(\mathbf{s}')]$  or  $[\text{III}V(\mathbf{s}')]$ , where  $[X]$  denotes the class of  $X$  in the Grothendieck group.*

**Proof.** First, we will prove the statement for  $n = 2$ . Assume first that  $s_2 \neq -s_1$ . In this case  $V(s_1, s_2)$  is a  $(1|1)$ -dimensional simple  $W^n$ -module.

Let

$$D = \begin{pmatrix} \sqrt{s_2} + \sqrt{s_1}\mathbf{i} & 0 \\ 0 & \sqrt{s_1} + \sqrt{s_2}\mathbf{i} \end{pmatrix}.$$

Then by direct computation we have

$$D\phi_0D^{-1} = \begin{pmatrix} 0 & \sqrt{s_2} + \sqrt{s_1}\mathbf{i} \\ \sqrt{s_2} - \sqrt{s_1}\mathbf{i} & 0 \end{pmatrix}$$

and

$$D\phi_1D^{-1} = \sqrt{s_1s_2} \begin{pmatrix} 0 & \sqrt{s_1} - \sqrt{s_2}\mathbf{i} \\ \sqrt{s_1} + \sqrt{s_2}\mathbf{i} & 0 \end{pmatrix}.$$

Therefore  $D$  defines an isomorphism between  $V(s_1, s_2)$  and  $V(s_2, s_1)$ .

Now consider the case  $s_1 = -s_2$ . Then the structure of  $V(s_1, -s_1)$  is given by the sequence (4.4). Let  $V(\mathbf{s}') = V(-s_1, s_1)$ , then analogously we have the exact sequence

$$0 \rightarrow \text{III}\Gamma_{-s_1^2-s_1} \rightarrow V(\mathbf{s}') \rightarrow \Gamma_{-s_1^2+s_1} \rightarrow 0. \tag{4.5}$$

The statement (2) now follows directly from comparison of (4.4) and (4.5). Now we will prove the statement for all  $n$ . Note that it suffices to consider the case of the adjacent transposition  $\sigma = (i, i + 1)$ .

The embedding of  $Q(i - 1) \oplus Q(2) \oplus Q(n - i - 1)$  into  $Q(n)$  provides the isomorphism

$$U(\mathfrak{h}) \simeq U(\mathfrak{h}^-) \otimes U(\mathfrak{h}^0) \otimes U(\mathfrak{h}^+),$$

where  $\mathfrak{h}^-$ ,  $\mathfrak{h}^0$  and  $\mathfrak{h}^+$  are the Cartan subalgebras of  $Q(i - 1)$ ,  $Q(2)$  and  $Q(n - i - 1)$  respectively. Using twice the isomorphism (4.1) we obtain the following isomorphism of  $U(\mathfrak{h})$ -modules

$$V(\mathbf{s}) \simeq (V(s_1, \dots, s_{i-1}) \boxtimes V(s_i, s_{i+1})) \boxtimes V(s_{i+2}, \dots, s_n).$$

Suppose that  $s_i \neq -s_{i+1}$ . Let  $D_{i,i+1} = 1 \otimes D \otimes 1$ . By Corollary 3.4 we have that  $W^n$  is a subalgebra in  $W^{i-1} \otimes W^2 \otimes W^{n-i-1}$  and hence  $D_{i,i+1}$  defines an isomorphism of  $W^n$ -modules  $V(\mathbf{s})$  and  $V(\mathbf{s}')$ .

If  $s_i = -s_{i+1}$ , then the statement follows from (4.4) and (4.5). This completes the proof of the theorem.  $\square$

4.6. Construction of simple  $W^n$ -modules

Now we give a general construction of a simple  $W^n$ -module. Let  $r, p, q \in \mathbb{N}$  and  $r + 2p + q = n$ ,  $\mathbf{t} = (t_1, \dots, t_p) \in \mathbb{C}^p$ ,  $t_1, \dots, t_p \neq 0$ , and  $\lambda = (\lambda_1, \dots, \lambda_q) \in \mathbb{C}^q$ ,  $\lambda_1, \dots, \lambda_q \neq 0$ , such that  $\lambda_i + \lambda_j \neq 0$  for any  $1 \leq i \neq j \leq q$ . Recall that by Corollary 3.4 we have an embedding  $W^n \hookrightarrow W^r \otimes (W^2)^{\otimes p} \otimes W^q$ . Set

$$S(\mathbf{t}, \lambda) := \mathbb{C} \boxtimes \Gamma_{t_1} \boxtimes \dots \boxtimes \Gamma_{t_p} \boxtimes V(\lambda),$$

where the first term  $\mathbb{C}$  in the tensor product denotes the trivial  $W^r$ -module. For  $q = 0$  we use the notation  $S(\mathbf{t}, 0)$  and set  $V(\lambda) = \mathbb{C}$ .

**Remark 4.5.** The dimension of  $S(\mathbf{t}, \lambda)$  equals  $2^{\frac{q}{2}}$  for even  $q$  and  $2^{\frac{q+1}{2}}$  for odd  $q$ . Furthermore,  $S(\mathbf{t}, \lambda)$  is isomorphic to  $\Pi S(\mathbf{t}, \lambda)$  if and only if  $q$  is odd.

**Lemma 4.6.** All  $u_k(1)$  act by zero on  $S(\mathbf{t}, 0)$ . The action of  $u_k(0)$  is given by the formula

$$u_k(0) = \begin{cases} 0 & \text{for odd } k, \text{ and for } k > 2p, \\ \sigma_{\frac{k}{2}}(t_1, \dots, t_p) & \text{for even } k, \end{cases}$$

where  $\sigma_a$  denote the elementary symmetric polynomials,  $0 \leq a \leq p$ .

**Proof.** The first assertion is trivial. We prove the second assertion by induction on  $p$ . For  $p = 1$  it is a consequence of the definition of  $\Gamma_t$  for  $Q(2)$ . For  $p > 1$  we consider the embedding  $Q(n - 2) \oplus Q(2) \hookrightarrow Q(n)$ . The formula (3.10) degenerates to

$$u_k(0) = u_k^+(0) \otimes 1 + u_{k-1}^+(0) \otimes z_0 + u_{k-2}^+(0) \otimes z'_1.$$

As  $z_0$  acts by zero on  $\Gamma_{t_p}$  the statement now follows from the obvious identity

$$\sigma_{\frac{k}{2}}(t_1, \dots, t_p) = \sigma_{\frac{k}{2}}(t_1, \dots, t_{p-1}) + t_p \sigma_{\frac{k}{2}-1}(t_1, \dots, t_{p-1}). \quad \square$$

**Theorem 4.7.**

- (1)  $S(\mathbf{t}, \lambda)$  is a simple  $W^n$ -module;
- (2) Every simple  $W^n$ -module is isomorphic to  $S(\mathbf{t}, \lambda)$  up to change of parity.

**Proof.** Let  $u_k^-(d)$ ,  $d \in \mathbb{Z}/2\mathbb{Z}$ ,  $1 \leq k \leq n$  be as in (3.9) where indices are taken in the interval  $[n - q + 1, n]$ . If  $q = 0$  we set  $u_k^-(0) = 1$  and  $u_k^-(1) = 0$ . Using Lemma 4.6 and

formula (3.10) we can easily write the action of  $u_k(d)$  in  $S(\mathbf{t}, \lambda)$  in terms of  $u_k^-(d)$  after identifying  $S(\mathbf{t}, \lambda)$  with  $V(\lambda)$ :

$$u_k(d) = \sum_{2a+j=k} \sigma_a(t_1, \dots, t_p) u_j^-(d). \tag{4.6}$$

From these formulas we see that  $u_k^-(d)$  and  $u_k(d)$  generate the same subalgebra in  $\text{End}_{\mathbb{C}}(V(\lambda))$ . By Theorem 4.2 this proves irreducibility of  $S(\mathbf{t}, \lambda)$ .

To show (2) we use Proposition 4.1. Every simple  $W^n$ -module is a subquotient of  $V(\mathbf{s})$ . By Theorem 4.4 (2) we may assume that  $s_1 = \dots = s_r = 0, s_i \neq 0$  for  $i > r, s_{r+1} = -s_{r+2}, \dots, s_{r+2p-1} = -s_{r+2p}$ . We can compute  $W^r \otimes (W^2)^{\otimes p} \otimes W^q$ -simple constituents of  $V(\mathbf{s})$ . They are  $S(\mathbf{t}, \lambda)$  (up to change of parity) with  $t_j = -s_{r+2j}^2 \pm s_{r+2j}$  and  $\lambda_i = s_{r+2p+i}$  (we can assume that all  $s_i \neq \pm 1$ ). By (1)  $S(\mathbf{t}, \lambda)$  remains simple when restricted to  $W^n$ . Hence the statement.  $\square$

**Remark 4.8.**  $\Gamma_0 \simeq \mathbb{C} \boxtimes \mathbb{C}$  as  $W^2$ -modules ( $r = 2, p = q = 0$ ).

#### 4.7. Central characters

Recall that the center of  $U(Q(n))$  coincides with the center  $Z$  of  $W^n$ , see Section 2. Every  $\mathbf{s}$  defines the central character  $\chi_{\mathbf{s}} : Z \rightarrow \mathbb{C}$ . Furthermore, Theorem 4.7 (2) implies that every simple  $W^n$ -module admits central character  $\chi_{\mathbf{s}}$  for some  $\mathbf{s}$ . For every  $\mathbf{s} = (s_1, \dots, s_n)$  we define the *core*  $c(\mathbf{s}) = (s_{i_1}, \dots, s_{i_m})$  as a subsequence obtained from  $\mathbf{s}$  by removing all  $s_j = 0$  and all pairs  $(s_i, s_j)$  such that  $s_i + s_j = 0$ . Up to a permutation this result does not depend on the order of removing. Thus, the core is well defined up to permutation. We call  $m$  the length of the core. The notion of core is very useful for describing the blocks in the category of finite-dimensional  $Q(n)$ -modules, see [16] and [20].

**Example 4.9.** Let  $\mathbf{s} = (1, 0, 3, -1, -1)$ , then  $c(\mathbf{s}) = (3, -1)$ .

The following is a reformulation of the central character description in [21].

**Lemma 4.10.** *Let  $\mathbf{s}, \mathbf{s}' \in \mathbb{C}^n$ . Then  $\chi_{\mathbf{s}} = \chi_{\mathbf{s}'}$  if and only if  $\mathbf{s}$  and  $\mathbf{s}'$  have the same core (up to permutation).*

It follows from Lemma 4.10 that the core depends only on the central character  $\chi_{\mathbf{s}}$ , we denote it  $c(\chi)$ . By Theorem 4.4 we obtain the following.

**Corollary 4.11.** *Let  $\chi : Z \rightarrow \mathbb{C}$  be a central character with core  $c(\chi)$  of length  $m$ . Then  $W^m$ -module  $V(c(\chi))$  is well-defined. From now on we denote it by  $V(\chi)$  and call it the core representation.*

The category  $W^n - \text{mod}$  of finite dimensional  $W^n$ -modules decomposes into direct sum  $\bigoplus (W^n)^\chi - \text{mod}$ , where  $(W^n)^\chi - \text{mod}$  is the full subcategory of modules admitting generalized central character  $\chi$ .

**Lemma 4.12.** *A simple  $W^n$ -module  $S$  belongs to  $(W^n)^\chi - \text{mod}$  if and only if it is isomorphic (up to change of parity) to  $S(\mathbf{t}, \lambda)$  with  $\lambda = c(\chi)$ .*

**Proof.** We have to compute the central character of  $S(\mathbf{t}, \lambda)$ . For a  $Q$ -symmetric polynomial  $p_k = x_1^{2k+1} + \dots + x_n^{2k+1}$  we have  $p_k(\mathbf{t}, \lambda) = \lambda_1^{2k+1} + \dots + \lambda_q^{2k+1}$ . Since  $p_k$  generate the center of  $W^n$  the statement follows.  $\square$

**Proposition 4.13.** *Two simple modules  $S(\mathbf{t}, \lambda)$  and  $S(\mathbf{t}', \lambda')$  are isomorphic (up to change of parity) if and only if  $p' = p$ ,  $q' = q$ ,  $\mathbf{t}' = \sigma(\mathbf{t})$  and  $\lambda' = \tau(\lambda)$  for some  $\sigma \in S_p$  and  $\tau \in S_q$ .*

**Proof.** First, (4.6) and Theorem 4.4 imply the “if” statement. To prove the “only if” statement, assume that  $S(\mathbf{t}, \lambda)$  and  $S(\mathbf{t}', \lambda')$  are isomorphic. Then these modules admit the same central character. Therefore by Lemma 4.12  $\lambda' = \tau(\lambda)$  for some  $\tau \in S_q$ . Hence without loss of generality we may assume that  $q' = q$  and  $\lambda' = \lambda$ .

Denote by  $\text{tr } x$  and  $\text{tr}' x$  the trace of  $x \in W^n$  in  $S(\mathbf{t}, \lambda)$  and  $S(\mathbf{t}', \lambda)$  respectively. Then we must have

$$\text{tr } u_k(0) = \text{tr}' u_k(0).$$

Using the formula (4.6) we get

$$\begin{aligned} \text{tr } u_k(0) &= \sum_{2a+j=k} \sigma_a(t_1, \dots, t_p) \text{tr}_{V(\lambda)} u_j^-(0), \\ \text{tr}' u_k(0) &= \sum_{2a+j=k} \sigma_a(t'_1, \dots, t'_{p'}) \text{tr}_{V(\lambda)} u_j^-(0). \end{aligned}$$

Let  $b_j := \text{tr}_{V(\lambda)} u_j^-(0)$ . Without loss of generality we may assume that  $p \geq p'$ . Then we can rewrite our formula with  $p = p'$  assuming  $t'_i = 0$  for  $p \geq i > p'$ . Then the above implies

$$\begin{aligned} \sigma_a(t_1, \dots, t_p) b_0 + \sigma_{a-1}(t_1, \dots, t_p) b_2 + \dots + \sigma_0(t_1, \dots, t_p) b_{2a} = \\ \sigma_a(t'_1, \dots, t'_p) b_0 + \sigma_{a-1}(t'_1, \dots, t'_p) b_2 + \dots + \sigma_0(t'_1, \dots, t'_p) b_{2a}, \end{aligned}$$

where we assume  $b_i = 0$  for  $i > q$ . Since  $b_0 = \dim V(\lambda) \neq 0$  the above equations imply  $\sigma_a(t_1, \dots, t_p) = \sigma_a(t'_1, \dots, t'_p)$  for all  $a = 1, \dots, p$ . Therefore  $\mathbf{t}' = \sigma(\mathbf{t})$  for some  $\sigma \in S_p$  and in particular,  $p' = p$ .  $\square$

We denote by  $\mathcal{P}^l$  the subcategory of  $W^l$ -modules which admit trivial generalized central character.

**Lemma 4.14.** *Let  $\chi : Z \rightarrow \mathbb{C}$  be a central character with core  $c(\chi)$  of length  $m$ . Then the functor  $W^{n-m} - \text{mod} \rightarrow W^n - \text{mod}$  defined by<sup>3</sup>  $F(M) = \text{Res}_{W^n}(M \otimes V(\chi))$  restricts to the functor  $\Phi : \mathcal{P}^{n-m} \rightarrow (W^n)^\chi - \text{mod}$ . Furthermore,  $\Phi$  is an exact functor which sends a simple object to a simple object.*

**Proof.** The first assertion is immediate consequence of Lemma 4.12 and the second follows from the construction of  $S(\mathbf{t}, \lambda)$ .  $\square$

**Conjecture 4.15.** The functor  $\Phi : \mathcal{P}^{n-m} \rightarrow (W^n)^\chi - \text{mod}$  defines an equivalence of categories.

### 5. Representations of the super Yangian of type $Q(1)$

In this section we classify irreducible finite-dimensional representations of  $YQ(1)$  and explore their connections with irreducible representations of  $W^n$ .

**Lemma 5.1.** *Let*

$$\eta_i = \left(-\frac{1}{2}\right)^i \text{ad}^i T_{1,1}^{(2)}(T_{1,-1}^{(1)}), \quad Z_{2i} = \frac{1}{2}[\eta_0, \eta_{2i}]. \tag{5.1}$$

(1) *The following analogue of relations (3.5) holds:*

$$[\eta_i, \eta_j] = \begin{cases} (-1)^i 2Z_{i+j} & \text{if } i + j \text{ is even} \\ 0 & \text{if } i + j \text{ is odd} \end{cases}.$$

(2) *The elements  $\{Z_{2i} \mid i \in \mathbb{N}\}$  are algebraically independent generators of the center of  $YQ(1)$ .*

(3) *The elements  $\eta_0$  and  $\{T_{1,1}^{(2i)} \mid i \in \mathbb{N}\}$  generate  $YQ(1)$ .*

**Proof.** The surjective homomorphism  $\varphi_n : YQ(1) \rightarrow W^n$  defined in (2.6) acts on generators by

$$\varphi_n(\eta_i) = \phi_i, \quad \varphi_n(Z_{2i}) = z_{2i}, \quad 0 \leq i \leq n - 1.$$

Moreover,  $\bigcap_{n \in \mathbb{N}} \text{Ker } \varphi_n = 0$ . Hence all assertions of the lemma follow from the similar statements for  $W^n$ .  $\square$

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<sup>3</sup> We consider here the usual exterior tensor product in contrast with  $\boxtimes$ .

Let  $M$  be a simple  $YQ(1)$ -module. Then  $M$  admits a central character  $\chi$ . We set  $\chi_{2k} = \chi(Z_{2k})$  and consider the generating function

$$\chi(u) = \sum_{i=0}^{\infty} \chi_{2i} u^{-2i-1}.$$

**Lemma 5.2.** *Let  $M$  be a finite-dimensional simple  $YQ(1)$ -module admitting central character  $\chi$ . Then  $\chi(u)$  is a rational function of the form*

$$\frac{a_0 u^{-1} + \dots + a_{q-1} u^{-2q+1}}{1 + c_1 u^{-2} + \dots + c_q u^{-2q}}.$$

**Proof.** Let  $\mathbf{C} \subset YQ(1)$  denote the unital subalgebra generated by  $\{\eta_i \mid i \in \mathbb{N}\}$ . Let  $\mathbf{C}_\chi$  denote the quotient of  $\mathbf{C}$  by the ideal  $(\{Z_{2i} - \chi_{2i} \mid i \in \mathbb{N}\})$ . Then the relations (3.5) imply that  $\mathbf{C}_\chi$  is isomorphic to the infinite-dimensional Clifford algebra  $\mathbf{Cliff}(V, B_\chi)$  on the space  $V$  with basis  $\{\eta_i \mid i \in \mathbb{N}\}$  and the symmetric form  $B_\chi$  defined by the formula

$$B_\chi(\eta_i, \eta_j) = \begin{cases} (-1)^i 2\chi_{i+j} & \text{if } i + j \text{ is even} \\ 0 & \text{if } i + j \text{ is odd} \end{cases}. \tag{5.2}$$

Note that  $M$  by definition restricts to a certain  $\mathbf{C}_\chi$ -module. On the other hand,  $\mathbf{Cliff}(V, B_\chi)$  admits a finite-dimensional representation if and only if  $B_\chi$  has a finite rank. Look at the infinite symmetric matrix of  $B_\chi$  in the basis  $\{\eta_i\}$ . Then every column of this matrix is a linear combination of the first  $k$  columns for some  $k$ . The formula (5.2) implies that for some integer  $q > 0$  and the coefficients  $c_1, \dots, c_q$  we have a recurrence relation

$$\chi_{2m} = \sum_{i=1}^q -c_i \chi_{2m-2i}, \quad \text{for all } m \geq q. \tag{5.3}$$

This condition is equivalent to the rationality of  $\chi(u)$ .  $\square$

Recall the  $W^n$ -module  $V(\mathbf{s})$  constructed in Section 4. Using the homomorphism  $\varphi_n$  we equip  $V(\mathbf{s})$  with a  $YQ(1)$ -module structure. Our next goal is to compute the central character of  $V(\mathbf{s})$ . For this we need to compute the  $\{z_{2i}\}$  in terms of symmetric polynomials. Recall the notations of Section 3. Note that for any  $n$  the elements  $\{z_{2i}\}$  of the center can be expressed in terms of symmetric polynomials of  $x_1, \dots, x_n$  and this expression stabilizes as  $n \rightarrow \infty$ . Thus,  $z_{2i}$  is a particular element in the ring of symmetric functions of degree  $2i + 1$ .

**Lemma 5.3.** *We have the following expression*

$$z_{2k} = - \sum_{i=1}^k \sigma_{2i} z_{2k-2i} + \sigma_{2k+1}, \tag{5.4}$$

where  $\sigma_p = \sum_{i_1 < \dots < i_p} x_{i_1} \dots x_{i_p}$  is the elementary symmetric function.

**Proof.** We proved in [17], Lemma 5.5 that for  $W^n$  the characteristic polynomial  $\det(\lambda \text{Id} - T)$  of  $T$  equals  $\lambda^n + \sum_{i=1}^{\lfloor n/2 \rfloor} \sigma_{2i} \lambda^{n-2i}$ . As

$$z_{2k}(x_1, \dots, x_n) = [x_1, \dots, x_n] T^{2k} [1, \dots, 1]^t,$$

the Hamilton–Cayley identity implies that for  $2k \geq n$  we have

$$z_{2k}(x_1, \dots, x_n) = - \sum_{i=1}^k \sigma_{2i} z_{2k-2i}(x_1, \dots, x_n).$$

Since the degree of  $z_{2k}$  is  $2k + 1$  it is a polynomial of  $\sigma_1, \dots, \sigma_{2k+1}$ . Therefore it suffices to prove (5.4) for  $n = 2k + 1$ . We do it by induction on  $k$  using the fact that  $z_{2k}(x_1, \dots, x_{2k+1})$  is  $Q$ -symmetric. Indeed, we already know that

$$z_{2k}(x_1, \dots, x_{2k}) = - \sum_{i=1}^k \sigma_{2i} z_{2k-2i}(x_1, \dots, x_{2k}),$$

therefore from substituting  $x_{2k+1} = 0$  we get

$$z_{2k}(x_1, \dots, x_{2k+1}) = - \sum_{i=1}^k \sigma_{2i} z_{2k-2i}(x_1, \dots, x_{2k+1}) + A \sigma_{2k+1}(x_1, \dots, x_{2k+1}).$$

It remains to find the coefficient  $A$ . By  $Q$ -symmetry

$$z_{2k}(x_1, \dots, x_{2k-1}) = z_{2k}(x_1, \dots, x_{2k-1}, t, -t).$$

This leads to the identity

$$\begin{aligned} z_{2k}(x_1, \dots, x_{2k-1}) &= - \sum_{i=1}^k \sigma_{2i} z_{2k-2i}(x_1, \dots, x_{2k-1}) + \\ &+ t^2 \sum_{i=1}^k \sigma_{2i-2} z_{2k-2i}(x_1, \dots, x_{2k-1}) - At^2 \sigma_{2k-1}(x_1, \dots, x_{2k-1}). \end{aligned}$$

Furthermore, by induction assumption we have

$$\begin{aligned} &\sum_{i=1}^k \sigma_{2i-2} z_{2k-2i}(x_1, \dots, x_{2k-1}) = \\ &z_{2k-2}(x_1, \dots, x_{2k-1}) + \sum_{i=2}^k \sigma_{2i-2} z_{2k-2i}(x_1, \dots, x_{2k-1}) = \sigma_{2k-1}(x_1, \dots, x_{2k-1}) \end{aligned}$$

Hence  $A = 1$ .  $\square$

**Corollary 5.4.**  *$YQ(1)$ -module  $V(\mathbf{s})$  admits central character  $\chi$  where*

$$\chi(u) = \frac{\sum_{i=0}^{\infty} \sigma_{2i+1}(\mathbf{s})u^{-2i-1}}{1 + \sum_{i=1}^{\infty} \sigma_{2i}(\mathbf{s})u^{-2i}}.$$

**Corollary 5.5.** *The elements  $\{z_{2k} \mid k = 0, \dots, \lfloor \frac{n-1}{2} \rfloor\}$  and  $\{\sigma_{2k} \mid k = 1, \dots, \lfloor \frac{n}{2} \rfloor\}$  form an algebraically independent set of generators in the ring of symmetric polynomials in  $n$  variables.*

**Proposition 5.6.** *For any rational  $\chi(u)$  there exist  $n$  and  $\mathbf{s}$  such that  $V(\mathbf{s})$  admits central character  $\chi$ .*

**Proof.** It follows immediately from Corollary 5.4. Indeed, by Lemma 5.2

$$\chi(u) = \frac{a_1u^{-1} + \dots + a_{q-1}u^{-2q+1}}{1 + c_1u^{-2} + \dots + c_qu^{-2q}}.$$

Let  $n = 2q$  and assume that  $a_i = 0$  for  $i \geq q$ ,  $c_j = 0$  for  $j > q$ . One can choose  $\mathbf{s} = (s_1, \dots, s_n)$  so that  $\sigma_{2k}(s_1, \dots, s_n) = c_k$  and  $\sigma_{2k+1}(s_1, \dots, s_n) = a_k$ .  $\square$

**Corollary 5.7.** *Any simple finite-dimensional  $\mathbf{C}$ -module is either trivial or isomorphic to  $V(\mathbf{s})$  or  $\Pi V(\mathbf{s})$  for some typical regular  $\mathbf{s}$ .*

**Proof.** Recall the notations of Section 4. Consider a homomorphism  $\mathbf{C} \rightarrow C_{\mathbf{s}}$  defined as the composition

$$\mathbf{C} \hookrightarrow YQ(1) \xrightarrow{\varphi_n} W^n \xrightarrow{\theta_{\mathbf{s}}} C_{\mathbf{s}}.$$

This homomorphism is surjective if  $\mathbf{s}$  is typical regular, see Theorem 4.2. For any central character  $\chi$  there exists one up to isomorphism and parity change simple  $\mathbf{C}_{\chi}$ -module. By Proposition 5.6 it must be isomorphic to  $V(\mathbf{s})$ .  $\square$

**Remark 5.8.** If  $\mathbf{s} = (s_1, \dots, s_n)$  and  $\mathbf{s}' = (s_1, \dots, s_n, s, -s)$  then  $V(\mathbf{s})$  and  $V(\mathbf{s}')$  admit the same central character. We can see it now from the formula

$$\frac{\sum_{i=0}^{\infty} \sigma_{2i+1}(\mathbf{s}')u^{-2i-1}}{1 + \sum_{i=1}^{\infty} \sigma_{2i}(\mathbf{s}')u^{-2i}} = \frac{(1 - s^2u^{-2})(\sum_{i=0}^{\infty} \sigma_{2i+1}(\mathbf{s})u^{-2i-1})}{(1 - s^2u^{-2})(1 + \sum_{i=1}^{\infty} \sigma_{2i}(\mathbf{s})u^{-2i})}.$$

**Lemma 5.9.** *We have the following expression*

$$\begin{aligned} [T_{1,1}^{(2k)}, \eta_i] &= [T_{1,1}^{(2k+2)}, \eta_{i-2}] - [T_{1,1}^{(2k)}, \eta_{i-1}] + 2T_{1,1}^{(2k)}\eta_{i-1}, \quad i \geq 2, \\ [T_{1,1}^{(2k)}, \eta_0] &= 2T_{1,-1}^{(2k)}, \quad [T_{1,1}^{(2k)}, \eta_1] = -2T_{1,-1}^{(2k+1)} - [T_{1,1}^{(2k)}, \eta_0] + 2T_{1,1}^{(2k)}\eta_0. \end{aligned} \tag{5.5}$$

**Proof.** Note that according to (6.4) and (6.5) from [17]

$$[T_{1,1}^{(k)}, T_{1,-1}^{(1)}] = (1 + (-1)^k)T_{1,-1}^{(k)}.$$

Hence  $[T_{1,1}^{(2k)}, T_{1,-1}^{(1)}] = 2T_{1,-1}^{(2k)}$ . Note also that

$$[T_{1,1}^{(2)}, T_{1,-1}^{(2k+1)}] = 2T_{1,-1}^{(2k+2)}, \tag{5.6}$$

$$[T_{1,1}^{(2)}, T_{1,-1}^{(2k)}] = 2T_{1,-1}^{(2k+1)} + 2T_{1,-1}^{(2k)} - 2T_{1,1}^{(2k)}T_{1,-1}^{(1)}. \tag{5.7}$$

Using (6.9) from [17] we have that  $[T_{1,1}^{(2)}, T_{1,1}^{(2k)}] = 0$ . Hence

$$[T_{1,1}^{(2k)}, \eta_i] = \left(-\frac{1}{2}\right)^i \text{ad}^i T_{1,1}^{(2)}([T_{1,1}^{(2k)}, T_{1,-1}^{(1)}]) = \frac{(-1)^i}{2^{i-1}} \text{ad}^i T_{1,1}^{(2)}(T_{1,-1}^{(2k)}).$$

Next,

$$\text{ad}^i T_{1,1}^{(2)}(T_{1,-1}^{(2k)}) = \text{ad}^{i-1} T_{1,1}^{(2)}([T_{1,1}^{(2)}, T_{1,-1}^{(2k)}]) = \text{ad}^{i-1} T_{1,1}^{(2)}(2T_{1,-1}^{(2k+1)} + 2T_{1,-1}^{(2k)} - 2T_{1,1}^{(2k)}T_{1,-1}^{(1)}).$$

Furthermore,

$$\begin{aligned} 2 \text{ad}^{i-1} T_{1,1}^{(2)}(T_{1,-1}^{(2k+1)}) &= 2 \text{ad}^{i-2} T_{1,1}^{(2)}([T_{1,1}^{(2)}, T_{1,-1}^{(2k+1)}]) = \\ 4 \text{ad}^{i-2} T_{1,1}^{(2)}(T_{1,-1}^{(2k+2)}) &= (-1)^i 2^{i-1} [T_{1,1}^{(2k+2)}, \eta_{i-2}], \\ 2 \text{ad}^{i-1} T_{1,1}^{(2)}(T_{1,-1}^{(2k)}) &= (-2)^{i-1} [T_{1,1}^{(2k)}, \eta_{i-1}], \\ -2 \text{ad}^{i-1} T_{1,1}^{(2)}T_{1,1}^{(2k)}T_{1,-1}^{(1)} &= -2T_{1,1}^{(2k)} \text{ad}^{i-1} T_{1,1}^{(2)}(T_{1,-1}^{(1)}) = -2T_{1,1}^{(2k)}((-2)^{i-1} \eta_{i-1}) = (-2)^i T_{1,1}^{(2k)} \eta_{i-1}. \end{aligned}$$

Thus

$$[T_{1,1}^{(2k)}, \eta_i] = \frac{1}{2^{i-1}} (2^{i-1} [T_{1,1}^{(2k+2)}, \eta_{i-2}] - 2^{i-1} [T_{1,1}^{(2k)}, \eta_{i-1}] + 2^i T_{1,1}^{(2k)} \eta_{i-1}),$$

which gives (5.5).  $\square$

**Corollary 5.10.** *Let  $\mathbf{A}$  be the commutative subalgebra in  $YQ(1)$  generated by  $T_{1,1}^{(2k)}$  for  $k \geq 0$ . Then  $YQ(1) = \mathbf{CA} = \mathbf{AC}$ .*

**Proof.** We will show that  $\mathbf{CA} \subset \mathbf{AC}$ . (The proof of the opposite inclusion is similar.) Let  $D_i$  denote the span of  $\eta_j$  for  $j < i$ . By Lemma 5.9 for  $i \geq 2$  we have that  $\eta_i T_{1,1}^{(2k)} = T_{1,1}^{(2k)} \eta_i$  modulo  $D_i \mathbf{A} + \mathbf{A} D_i$ . Therefore, it suffices to show that  $\eta_i \mathbf{A} \in \mathbf{AC}$  for  $i = 0, 1$ . Furthermore, the relations in the second line of (5.5) imply that it suffices to show that  $T_{1,-1}^{(m)} \in \mathbf{CA} \cap \mathbf{AC}$ . This can be done by induction on  $m$ . The case  $m = 1$  is trivial as  $\eta_0 = T_{1,-1}^{(m)}$ . For the step of induction if  $m$  is even we employ (5.6) and if  $m$  is odd (5.7) and the relation

$$[T_{1,1}^{(2)}, \mathbf{C}] \subset \mathbf{C}.$$

Finally, since  $\mathbf{A}$  and  $\mathbf{C}$  generate  $YQ(1)$  we get  $YQ(1) = \mathbf{C}\mathbf{A} = \mathbf{A}\mathbf{C}$ .  $\square$

Recall that for any Hopf superalgebra  $R$  the ideal  $(R_1)$  generated by all odd elements is a Hopf ideal and the quotient  $R/(R_1)$  is a Hopf algebra.

**Lemma 5.11.** *The quotient  $YQ(1)/(YQ(1)_1)$  is isomorphic to  $\mathbf{A} \simeq \mathbb{C}[T_{1,1}^{(2k)}]_{k>0}$  with comultiplication*

$$\Delta T_{1,1}(u^{-2}) = T_{1,1}(u^{-2}) \otimes T_{1,1}(u^{-2}),$$

where  $T_{1,1}(u^{-2}) = \sum T_{1,1}^{(2k)} u^{-2k}$ .

**Proof.** Since all  $\eta_i$  generate  $(YQ(1)_1)$ , Lemma 5.1 implies  $YQ(1) = \mathbf{A} + (YQ(1)_1)$ . Therefore there exists a surjective homomorphism

$$\mathbf{A} \rightarrow YQ(1)/(YQ(1)_1).$$

To prove that it is injective we need to show that  $\mathbf{A} \cap (YQ(1)_1) = \{0\}$ . It suffices to check that for any  $y \in \mathbf{A}$  there exists a one-dimensional  $YQ(1)$ -module  $\Gamma$  such that  $y\Gamma \neq 0$ . Let  $y = P(T_{1,1}^{(2)} \dots T_{1,1}^{(2k)})$  for some polynomial  $P$  and consider the module  $\Gamma = S(\mathbf{t}, 0)$  as in Lemma 4.6. Then  $y$  acts on  $\Gamma$  by  $P(\sigma_1(\mathbf{t}), \dots, \sigma_k(\mathbf{t}))$ . By a suitable choice of  $\mathbf{t}$  we can get  $P(\sigma_1(\mathbf{t}), \dots, \sigma_k(\mathbf{t})) \neq 0$ . The comultiplication formula is straightforward as all  $T_{1,1}^{(2k+1)} \in (YQ(1)_1)$ .  $\square$

Let  $f(u) = 1 + \sum_{k>0} f_{2k} u^{-2k}$ . We denote by  $\Gamma_f$  the one-dimensional  $\mathbf{A}$ -module, where the action of  $T_{1,1}(u^{-2})$  is given by the generating function  $f(u)$ .

**Lemma 5.12.** *The isomorphism classes of one-dimensional  $YQ(1)$ -modules are in bijection with the set  $\{\Gamma_f\}$ . Furthermore, we have the identity  $\Gamma_f \otimes \Gamma_g \simeq \Gamma_{fg}$ .*

**Proof.** Lemma 5.11 reduces the statement to classification of one-dimensional  $\mathbf{A}$ -modules which is straightforward.  $\square$

**Theorem 5.13.** *Any simple finite-dimensional  $YQ(1)$ -module is isomorphic to  $V(\mathbf{s}) \otimes \Gamma_f$  or  $\Pi V(\mathbf{s}) \otimes \Gamma_f$  for some regular typical  $\mathbf{s}$  and  $f(u) = 1 + \sum_{k>0} f_{2k} u^{-2k}$ . Furthermore,  $V(\mathbf{s}) \otimes \Gamma_f$  and  $V(\mathbf{s}') \otimes \Gamma_g$  are isomorphic up to change of parity if and only if  $\mathbf{s}'$  is obtained from  $\mathbf{s}$  by permutation of coordinates and  $f(u) = g(u)$ .*

**Proof.** We start with regular typical  $\mathbf{s}$  and identify  $V(\mathbf{s})$  with  $V(\mathbf{s}) \otimes \Gamma_1$ . Let  $\chi$  be the central character of  $V(\mathbf{s})$  and consider only simple modules with central character  $\chi$ . We denote by  $YQ(1)^\chi$  the quotient of  $YQ(1)$  by the ideal generated by  $\text{Ker } \chi$ . Note that  $YQ(1)^\chi = \mathbf{C}_\chi \mathbf{A}$ .

Note that the central characters of  $V(\mathbf{s})$  and  $V(\mathbf{s}) \otimes \Gamma_f$  are the same and they are isomorphic as  $\mathbf{C}_\chi$ -modules. For any finite-dimensional  $YQ(1)$ -module  $M$  and  $\theta(u) = 1 + \sum \theta_i u^{-2i}$  set

$$M^\theta = \bigcap_{k>0} \left( \bigcup_{m>0} \text{Ker}(T_{1,1}^{(2k)} - \theta_k)^m \right).$$

Clearly, we have an isomorphism of  $\mathbf{A}$ -modules

$$M \simeq \bigoplus_{\theta \in P(M)} M^\theta,$$

for some finite set  $P(M)$ . Furthermore, we have the following obvious relations

$$P(M \otimes \Gamma_f) = P(M)f, \quad (M \otimes \Gamma_f)^{\theta f} = M^\theta \otimes \Gamma_f. \tag{5.8}$$

This implies that  $P(V(\mathbf{s}) \otimes \Gamma_f) = P(V(\mathbf{s}) \otimes \Gamma_g)$  if and only if  $f = g$ . Therefore we obtain the second assertion of the theorem.

Consider the natural homomorphism

$$F_\chi : YQ(1)^\chi \rightarrow \prod_f \text{End}_{\mathbf{C}}(V(\mathbf{s}) \otimes \Gamma_f).$$

**Lemma 5.14.** *Let  $J_\chi = \text{Ker} F_\chi$ . Then*

- (1)  $J_\chi = \mathbf{A}R_\chi = R_\chi \mathbf{A}$ , where  $R_\chi = \text{Ann}_{\mathbf{C}_\chi} V(\mathbf{s})$  is the Jacobson radical of  $\mathbf{C}_\chi$ ;
- (2)  $J_\chi$  acts by zero on any simple finite-dimensional  $YQ(1)^\chi$ -module.

**Proof.** Let us prove (1). Note that  $T_{1,1}^{(2k)}$  acts on  $V(\mathbf{s}) \otimes \Gamma_f$  as  $\sum_{i=0}^k T_{1,1}^{(2i)} \otimes T_{1,1}^{(2k-2i)}$  and  $\eta_0$  acts as  $T_{1,-1}^{(1)} \otimes 1$ . Therefore by (5.1)  $\eta_i$  acts as  $\eta_i \otimes 1$  for all  $i \geq 0$  and hence every  $\zeta \in \mathbf{C}$  acts as  $\zeta \otimes 1$ . This implies  $R_\chi \subset J_\chi$ . Assume

$$X = \sum_{i=0}^k \zeta_i T_{1,1}^{(2i)} \in J_\chi, \quad \zeta_i \in \mathbf{C}_\chi.$$

Set  $f = 1 + u^{-2k}$ . Then since  $X$  annihilates both  $V(\mathbf{s})$  and  $V(\mathbf{s}) \otimes \Gamma_f$  and  $X$  acts on the latter module as  $X \otimes 1 + \zeta_k \otimes 1$  we obtain that  $\zeta_k \in R_\chi$ . Repeating this argument we obtain that all  $\zeta_i \in R_\chi$ . Thus,  $J_\chi = R_\chi \mathbf{A}$ . The equality  $\mathbf{A}R_\chi = R_\chi \mathbf{A}$  follows from  $\mathbf{A}\mathbf{C}_\chi = \mathbf{C}_\chi \mathbf{A}$  by symmetry.

To prove (2) note that  $J_\chi = \mathbf{A}R_\chi$  annihilates the induced module  $YQ(1)^\chi \otimes_{\mathbf{C}_\chi} V(\mathbf{s})$  and hence any its quotient. On the other hand, up to switch of parity, any simple finite-dimensional  $YQ(1)^\chi$ -module is a quotient of this induced module. Hence the statement.  $\square$

**Lemma 5.15.** *Let  $A$  be an associative subalgebra in the superalgebra  $\prod_{i \in I} A_i$  where all  $A_i$  are isomorphic to the matrix superalgebra  $M(n|n)$ . If  $M$  is a simple  $A$ -module then  $\dim M \leq 2n$ .*

**Proof.** We use the fact that  $A_0$  satisfies the Amitsur-Levitzki identity

$$\sum_{\sigma \in S_{2n}} \text{sgn}(\sigma) x_{\sigma(1)} \dots x_{\sigma(2n)} = 0, \tag{5.9}$$

for any  $x_1, \dots, x_{2n} \in A_0$ . Let  $M$  be a simple  $A$ -module. If  $\text{End}_A(M) = \mathbb{C}$  then  $A \rightarrow \text{End}_{\mathbb{C}}(M)$  is surjective by the Jacobson density theorem. Let  $\dim M > 2n$  then  $\dim M_0 > n$  or  $\dim M_1 > n$ , hence one can find  $x_1, \dots, x_{2n} \in A_0$  which do not satisfy (5.9). If  $\text{End}_A(M) = Q(1)$ , then  $\dim M_0 = \dim M_1$ . The image  $A \rightarrow \text{End}_{\mathbb{C}}(M)$  coincides with  $Q(k) = \text{End}_{Q(1)}(M)$  where  $k = \dim M_0$  and the map  $A_0 \rightarrow \text{End}_{\mathbb{C}}(M_0)$  is surjective. Assume  $\dim M > 2n$ , then one can find  $x_1, \dots, x_{2n} \in A_0$  which do not satisfy (5.9).  $\square$

**Corollary 5.16.** *Let  $M$  be a finite-dimensional simple  $YQ(1)^\chi$ -module. Then  $M$  is isomorphic to  $V(\mathfrak{s})$  or  $\text{IV}(\mathfrak{s})$  for a regular typical  $\mathfrak{s}$  as a module over  $\mathbf{C}_\chi$ .*

**Proof.** The algebra  $YQ(1)^\chi/J_\chi$  is a subalgebra in the product of matrix algebras  $\text{End}_{\mathbb{C}}(V(\mathfrak{s}))$ . Hence by Lemma 5.15  $\dim M \leq \dim V(\mathfrak{s})$ . Since  $R_\chi$  annihilates  $M$ , the module  $M$  is isomorphic to a direct sum of several copies of  $V(\mathfrak{s})$  and  $\text{IV}(\mathfrak{s})$  as a module over  $\mathbf{C}_\chi$ . This implies the statement.  $\square$

**Remark 5.17.** By Corollary 5.7,  $\mathbf{C}_\chi/R_\chi \simeq C_{\mathfrak{s}}$ . Furthermore,  $J_\chi \cap \mathbf{C}_\chi = R_\chi$ .

Denote by  $\mathbf{1}$  the function  $\theta(u) = 1$  and assume that  $M$  is a simple finite-dimensional  $YQ(1)^\chi$ -module such that  $M_0^{\mathbf{1}} \neq 0$ . Then  $M$  is a quotient of the induced module

$$I = (YQ(1)^\chi/J_\chi) \otimes_{\mathbf{A}} \Gamma_{\mathbf{1}}.$$

Note that

$$\dim I \leq \dim(\mathbf{C}_\chi/R_\chi)$$

but we will see later that the equality takes place.

**Lemma 5.18.** *Let  $M$  be a simple  $YQ(1)^\chi$ -module such that  $M_0^{\mathbf{1}} \neq 0$  and  $M$  remains simple after restriction to  $\mathbf{C}_\chi$ . Then there exists a quotient  $U$  of  $I$  with all simple subquotients isomorphic to  $M$  and length equal to  $\dim M_0^{\mathbf{1}}$ .*

**Proof.** Let  $U = M \otimes (M_0^{\mathbf{1}})^*$ . It obviously has a filtration with all quotients isomorphic to  $M$  and hence it satisfies the desired property. It remains to construct a surjective map  $I \rightarrow U$ . By Frobenius reciprocity we have a canonical isomorphism

$$\text{Hom}_{YQ(1)}(I, U) \simeq \text{Hom}_{\mathbf{A}}(\Gamma_{\mathbf{1}}, U) \simeq \text{Hom}_{\mathbf{A}}(\Gamma_{\mathbf{1}}, M^{\mathbf{1}} \otimes (M_0^{\mathbf{1}})^*).$$

Consider the identity map in  $\text{Hom}_{\mathbf{A}}(\Gamma_{\mathbf{1}}, M^{\mathbf{1}} \otimes (M_0^{\mathbf{1}})^*)$  and denote by  $\gamma$  the corresponding map in  $\text{Hom}_{YQ(1)}(I, U)$ . Let us prove that  $\gamma$  is surjective. First, observe that any  $y \in \mathbf{C}$  acts on  $M \otimes (M_0^{\mathbf{1}})^*$  as  $y \otimes 1$  by the same argument as in the proof of Lemma 5.14. Choose a basis  $\{v_1, \dots, v_r\}$  in  $M_0^{\mathbf{1}}$  and let  $\{w_1, \dots, w_r\}$  be the corresponding dual basis in  $(M_0^{\mathbf{1}})^*$ . By construction  $\sum v_i \otimes w_i \in \text{Im} \gamma$ . Since  $M$  is a simple  $\mathbf{C}_\chi$ -module, by the Jacobson density theorem for every  $i = 1, \dots, r$  there exists  $y_i \in \mathbf{C}_\chi$  such that  $y_i v_j = \delta_{i,j} v_1$ . This implies  $v_1 \otimes w_i \in \text{Im} \gamma$  for all  $i$  and hence  $M \otimes w_i \in \text{Im} \gamma$  for all  $i$ . The surjectivity of  $\gamma$  follows immediately.  $\square$

Now let us prove the first assertion of the theorem. Consider first the case  $\mathbf{s} = (s_1, \dots, s_n)$  when  $n$  is even. Then  $\dim V(\mathbf{s}) = 2^{n/2}$ ,  $V(\mathbf{s})$  is not isomorphic to  $\text{PIV}(\mathbf{s})$  and  $\dim(\mathbf{C}_\chi/R_\chi) = 2^n$ . By Lemma 5.18 and (5.8) for every  $\theta \in P(V(\mathbf{s}))$  we have

$$[I : V(\mathbf{s}) \otimes \Gamma_{\theta-1}] \geq \dim V(\mathbf{s})_0^\theta, \quad [I : \text{PIV}(\mathbf{s}) \otimes \Gamma_{\theta-1}] \geq \dim V(\mathbf{s})_1^\theta.$$

On the other hand,  $\dim I \leq \dim(\mathbf{C}_\chi/R_\chi)$ . Hence any simple subquotient of  $I$  is isomorphic to  $V(\mathbf{s}) \otimes \Gamma_{\theta-1}$  or  $\text{PIV}(\mathbf{s}) \otimes \Gamma_{\theta-1}$  and  $\dim I = \dim(\mathbf{C}_\chi/R_\chi)$ . Therefore every simple  $YQ(1)^\chi$ -module  $M$  with  $\mathbf{1} \in P(M)$  is isomorphic to  $V(\mathbf{s}) \otimes \Gamma_{\theta-1}$  or  $\text{PIV}(\mathbf{s}) \otimes \Gamma_{\theta-1}$ . If  $f \in P(M)$  then  $M$  is isomorphic to  $V(\mathbf{s}) \otimes \Gamma_{f\theta-1}$  or  $\text{PIV}(\mathbf{s}) \otimes \Gamma_{f\theta-1}$ . This implies the statement.

Let us consider the case of odd  $n$ . Then  $\dim V(\mathbf{s}) = 2^{(n+1)/2}$ ,  $V(\mathbf{s})$  is isomorphic to  $\text{PIV}(\mathbf{s})$  and  $\dim(\mathbf{C}_\chi/R_\chi) = 2^n$ . By Lemma 5.18 and (5.8) for every  $\theta \in P(V(\mathbf{s}))$  we have

$$[I : V(\mathbf{s}) \otimes \Gamma_{\theta-1}] \geq \dim V(\mathbf{s})_0^\theta = \dim V(\mathbf{s})_1^\theta.$$

By counting dimensions we again obtain that every simple subquotient of  $I$  is isomorphic to  $V(\mathbf{s}) \otimes \Gamma_{\theta-1}$ . The end of the proof is the same as in the previous case.  $\square$

Let us conclude by stating the relation between  $W^n$ -modules and  $YQ(1)$ -modules.

**Proposition 5.19.** *The simple  $YQ(1)$ -module  $V(\mathbf{s}) \otimes \Gamma_f$  is lifted from some  $W^{m+n}$ -module if and only if  $f \in \mathbf{C}[u^{-2}]$ . Moreover, the smallest  $m$  is equal to the degree of the polynomial  $f$ .*

**Remark 5.20.** Note that  $m = 2p$  is even. Then Theorem 4.7 and the diagram (3.11) imply  $S(t_1, \dots, t_p, \lambda) \simeq V(\lambda) \otimes \Gamma_f$  where

$$f = \prod_{i=1}^p (1 + t_i u^{-2}).$$

**Proof.** Immediately follows from Theorem 4.7.  $\square$

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