

# Fake Congruence Modular Curves and Subgroups of the Modular Group\*

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We construct a special class of noncongruence modular subgroups and curves, analogous in some ways to the usual congruence ones. The subgroups are obtained via the Burau representation, and the associated quotient curves have a natural moduli space interpretation. In fact, they are reduced Hurwitz spaces corresponding to covers with 4 branch points and monodromy group equal to semi-direct products of a cyclic and an abelian group. Furthermore, they form a modular tower in the sense of Fried. We study representations on the cohomology of these fake congruence modular curves and also calculate the genera of certain quotient curves. © 1999 Academic Press

*Key Words:* Burau representation; fake congruence subgroup; Hurwitz space; modular tower.

## 1. INTRODUCTION

The present work has two starting points. The first is the work of Oda and Terasoma on the Burau representation of the Artin braid group  $B_n$ . This representation is, in general, a homomorphism

$$\pi_n: B_n \rightarrow GL_{n-1}(\mathbb{Z}[t, t^{-1}]). \quad (1)$$

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However, in [O-T] and this paper, it is shown that under certain conditions and for certain prime powers  $q$ , the map  $\pi_3$  induces a surjective homomorphism

$$\pi: PSL_2(\mathbb{Z}) \rightarrow PSL_2(\mathbb{F}_q), \quad (2)$$

where  $\mathbb{F}_q$  is the finite field with  $q$  elements in it. (In fact, we can define such a  $\pi$  in more generality. But then all we would know about its image is that it is a certain unitary subgroup of  $PGL_2(\mathbb{Z}[\zeta]/\mathcal{N})$ , where  $\zeta$  is a root of unity and  $\mathcal{N}$  is an ideal in  $\mathbb{Z}[\zeta]$ .) Recall that  $PSL_2(\mathbb{Z})$  is generated by the images mod  $\langle \pm 1 \rangle$  of

$$S = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \quad \text{and} \quad U = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix},$$

with the relations  $(SU)^3 = (SUS)^2 = 1$ . (Here and subsequently, all matrices will be taken mod  $\langle \pm 1 \rangle$ .) Thus  $B_3$  maps surjectively onto  $PSL_2(\mathbb{Z})$  via

$$\sigma_1 \mapsto S, \quad \sigma_2 \mapsto U. \quad (3)$$

The kernel is the center  $Z(B_3)$ , which is generated by  $(\sigma_1\sigma_2)^3$ .

The second starting point is the following result of Fried [F], which gives a new, combinatorial group-theoretic way to approach congruence subgroups. Suppose  $N$  is an odd integer and  $D_N$  is the dihedral group

$$\langle \gamma, t: \gamma^2 = t^N = 1, \gamma t \gamma^{-1} = t^{-1} \rangle. \quad (4)$$

Observe that  $D_N$  is the semi-direct product of  $\mathbb{Z}/N$  and  $\mathbb{Z}/2$ . Embed  $D_N$  in the symmetric group  $S_N$  via the permutation representation on the  $N$  cosets of  $\langle \gamma \rangle$ , and take  $\mathcal{E}$  to be the conjugacy class of involutions. Let  $X$  be the set

$$\{(a, b, c, d) \in \mathcal{E}^4: \langle a, b, c, d \rangle = D_N, \text{ and } a \cdot b \cdot c \cdot d = 1\} / N_{S_N}(\mathcal{E}), \quad (5)$$

where  $N_{S_N}(\mathcal{E})$  is the normalizer of  $\mathcal{E}$  in  $S_N$ . We can define a right action of  $PSL_2(\mathbb{Z})$  on  $X$  via

$$(a, b, c, d)S = (aba^{-1}, a, c, d) \quad (6)$$

$$(a, b, c, d)U = (a, bcb^{-1}, b, d). \quad (7)$$

Fried's theorem then states that the stabilizer of the element

$$(\gamma, \gamma, t\gamma, t\gamma) \quad \text{mod } N_{S_N}(\mathcal{E}) \quad (8)$$

is  $\Gamma_0(N)$ .

In fact, in [F, B-F] it is shown that given any transitive subgroup  $G$  of  $S_n$  and conjugacy classes  $(\mathcal{C}_1, \dots, \mathcal{C}_4)$  of  $G$ , we can obtain a finite-index subgroup  $\Delta$  of  $SL_2(\mathbb{Z})$ . Moreover, the associated quotient curve  $\mathfrak{h}/\Delta$  has a moduli space interpretation. We therefore obtain a way of generating noncongruence subgroups of  $SL_2(\mathbb{Z})$  with additional structure associated to them.

In [B2], the following is shown. Suppose  $G$  is the semi-direct product  $\mathbb{Z}[\zeta]/\mathcal{N} \rtimes (\mathbb{Z}[\zeta]/\mathcal{N})^*$ , for  $d$  an integer and  $\mathcal{N}$  an ideal of  $\mathbb{Z}[\zeta]$  relatively prime to  $d$ . Let  $(\mathcal{C}_1, \dots, \mathcal{C}_4) = (\mathcal{C}, \mathcal{C}, \mathcal{C}, \mathcal{C}^{-3})$ , with  $\mathcal{C}$  the conjugacy class of  $\zeta$ . Then our  $\Delta$  is in fact equal to the stabilizer of  $\infty \in \mathbb{P}^1(\mathbb{Z}[\zeta]/\mathcal{N})$ . That is,  $\Delta = \pi^{-1}(B)$ , where  $B$  is the standard Borel subgroup

$$\begin{pmatrix} * & * \\ 0 & * \end{pmatrix}$$

of  $PGL_2(\mathbb{Z}[\zeta]/\mathcal{N})$  and  $\pi$  is the map obtained from the Burau representation as above. Equivalently,  $\mathfrak{h}/\Delta$  is a coarse moduli space for Kummer covers  $X$  of  $\mathbb{P}^1$  together with a subgroup of  $J(X)$  isomorphic to  $\mathbb{Z}[\zeta]/\mathcal{N}$ . If  $G = D_N$ , then  $\Delta$  is just  $\Gamma_0(N)$ . We thus obtain a noncongruence modular curve with a moduli space interpretation which generalizes  $X_0(N)$ . Furthermore, if we fix  $d$  and let  $N$  vary through powers of a prime  $p$ , then for certain  $p$  we obtain a modular tower (see [F2]).

It is our hope that these so-called “fake congruence subgroups and modular curves” will provide a fertile testing ground for many of the objects and theories associated with the usual congruence ones (Hecke operators, action of Frobenius, ...). Accordingly, we have begun their study in this paper.

The contents are as follows: in Section 2, we outline the basic framework in which we intend this work to be considered, including a review of Hurwitz spaces and their relation to noncongruence modular curves. In Section 3, we use the Oda–Terasoma theorem to construct fake congruence subgroups and fake congruence modular curves. In Section 4, we determine the character multiplicities of the representation of  $PSL_2(\mathbb{F}_q)$  on the cohomology of our fake congruence modular curves. Finally, in Section 5, we determine the genera of various quotient curves.

## 2. HURWITZ SPACES OF FOUR-BRANCH POINT COVERS AND FAKE CONGRUENCE SUBGROUPS

**2.1. Review of Hurwitz Spaces.** The references for this section are [B-F, F-V]. Let  $G$  be a finite group embedded as a transitive subgroup of  $S_n$  for some positive integer  $n$ . Let  $\mathbf{C} = (\mathcal{C}_1, \dots, \mathcal{C}_4)$  be a quadruple of conjugacy classes of  $G$ . We are interested in parametrizing covers of  $\mathbb{P}^1$  (over  $\mathbb{C}$ )

ramified over four points with monodromy group  $G$  and ramification data  $\mathbf{C}$ . To do so, we introduce the following definitions.

DEFINITION 1. We set  $U^4 = \{(z_1, \dots, z_4) \in (\mathbb{P}^1)^4 : z_i \neq z_j \text{ for } i \neq j\}$ , and let  $U_4 = U^4/S_4$  denote the natural quotient. The *Nielsen class* associated to the data  $(G, \mathbf{C})$  is  $Ni(G, \mathbf{C})$  and is

$$\{(g_1, \dots, g_4) \in G^4 : \langle g_1, \dots, g_4 \rangle = G, g_1 \cdots g_4 = 1 \\ \text{and } g_i \in \mathcal{C}_{i\sigma} \text{ for some } \sigma \in S_4\}.$$

We also set  $Ni(G, \mathbf{C})^{ab} = Ni(G, \mathbf{C})/N_{S_n}(\mathbf{C})$ , where  $N_{S_n}(\mathbf{C})$  is the normalizer of  $\mathbf{C}$  in  $S_n$ . Finally, the Hurwitz monodromy group  $H_4$  is the fundamental group of  $U_4$ . It has the presentation

$$\langle Q_1, Q_2, Q_3 : Q_i Q_{i+1} Q_i = Q_{i+1} Q_i Q_{i+1}, Q_1 Q_3 \\ = Q_3 Q_1, Q_1 Q_2 Q_3 Q_3 Q_2 Q_1 = 1 \rangle.$$

Note that  $H_4$  is very closely related to  $B_3$ , since  $B_3$  is the fundamental group of unordered, distinct triples of  $\mathbb{C}^1 = \mathbb{P}^1 - \{\infty\}$ . In fact, Hurwitz space theory can be formulated using  $B_3$  instead of  $H_4$ .

We have an action of  $H_4$  on  $Ni(G, \mathbf{C})^{ab}$  as follows: if  $(g_1, \dots, g_4) \in Ni(G, \mathbf{C})^{ab}$ , then

$$(g_1, \dots, g_4) Q_i = (g_1, \dots, g_{i-1}, g_i g_{i+1} g_i^{-1}, g_i, g_{i+2}, \dots, g_4).$$

(Thus  $Q_i$  sends  $g_i$  to  $g_i g_{i+1} g_i^{-1}$ , sends  $g_{i+1}$  to  $g_i$ , and fixes the other two elements of the quadruple.) Since  $H_4$  is the fundamental group of  $U_4$ , each of its orbits on  $Ni(G, \mathbf{C})^{ab}$  corresponds to a connected cover of  $U_4$ . Let  $\mathcal{H}(G, \mathbf{C})$  denote the disjoint union of these covers.

THEOREM 1 [F-V].  $\mathcal{H}(G, \mathbf{C})$  is a coarse moduli space for covers of  $\mathbb{P}^1$  with monodromy group  $G$  and ramification data  $\mathbf{C}$ .

In fact, this theorem holds for covers with an arbitrary number of branch points.

2.2.  $PSL_2(\mathbb{C})$  Action. We have canonical  $PSL_2(\mathbb{C})$  actions on  $U^4$ ,  $U_4$ , and  $\mathcal{H} = \mathcal{H}(G, \mathbf{C})$  as above: if  $\gamma \in PSL_2(\mathbb{C})$ , then  $\gamma(a, b, c, d) = (\gamma a, \gamma b, \gamma c, \gamma d)$ . Also, given a cover  $\phi: X \rightarrow \mathbb{P}^1$ ,  $\gamma$  acts on this cover by taking it to the composite  $\gamma\phi$ . We denote the quotients of these actions by  $U^{4\text{red}}$ ,  $U_4^{\text{red}}$ , and  $\mathcal{H}^{\text{red}}$ , respectively. The following result is due to Thompson (see [F]).

PROPOSITION 1. *There is a surjective homomorphism*

$$\phi: H_4 \rightarrow \mathrm{PSL}_2(\mathbb{Z})$$

given by

$$\begin{aligned}\phi(Q_1) = S &= \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, & \phi(Q_2) = U &= \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix}, \\ \phi(Q_3) = S &= \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}.\end{aligned}$$

The kernel is the quaternion group  $Q_8$  of order 8.

THEOREM 2 [B-F]. *Suppose  $\mathcal{H}_O$  is a connected component of  $\mathcal{H}$ . Then there exists a subgroup  $\Delta$  of finite index in  $\mathrm{PSL}_2(\mathbb{Z})$  such that we have the following commutative diagram:*

$$\begin{array}{ccc}\mathcal{H}_O^{\mathrm{red}} & \rightarrow & \mathfrak{h}/\Delta \\ \downarrow & & \downarrow \\ U_4^{\mathrm{red}} & \rightarrow & \mathfrak{h}/\mathrm{PSL}_2(\mathbb{Z})\end{array}$$

The horizontal maps are isomorphisms, and the bottom isomorphism is obtained by taking any  $\{a, b, c, d\}$  to the unique (up to isomorphism) elliptic curve ramified over  $\{a, b, c, d\}$ . Furthermore,  $\Delta$  arises as follows: by construction,  $\mathcal{H}_0^{\mathrm{red}}$  corresponds to a subgroup of finite index  $\Sigma$  in  $H_4$ . Then  $\Delta = \phi(\Sigma)$ , where  $\phi$  is the homomorphism of Proposition 1.

2.3. *The Semidirect Product of Two Abelian Groups and the Burau Representation.* Our general set up is as follows. Suppose  $d \geq 1$  is an integer, and  $\zeta$  is a primitive  $d$ th root of unity. Let  $\mathcal{N}$  be an ideal of  $\mathbb{Z}[\zeta]$  coprime to  $d$ , and by abuse of notation, continue to denote the image of  $\zeta$  in  $\mathbb{Z}[\zeta]/\mathcal{N}$  by  $\zeta$ . Subsequently, by  $\mathbb{Z}[\zeta]/\mathcal{N}$  we will mean the additive group of  $\mathbb{Z}[\zeta]/\mathcal{N}$  unless otherwise noted;  $(\mathbb{Z}[\zeta]/\mathcal{N})^*$  will denote the multiplicative group of (the ring)  $\mathbb{Z}[\zeta]/\mathcal{N}$ . We can embed  $(\mathbb{Z}[\zeta]/\mathcal{N})^*$  into the automorphism group of  $\mathbb{Z}[\zeta]/\mathcal{N}$  by setting  $\gamma(x) = \gamma x$  for  $\gamma \in (\mathbb{Z}[\zeta]/\mathcal{N})^*$  and  $x \in \mathbb{Z}[\zeta]/\mathcal{N}$ . Thus we may form the semidirect product

$$\mathbb{Z}[\zeta]/\mathcal{N} \rtimes (\mathbb{Z}[\zeta]/\mathcal{N})^*.$$

This group is generated by  $\mathbb{Z}[\zeta]/\mathcal{N}$  and symbols  $[\gamma]$  for  $\gamma \in (\mathbb{Z}[\zeta]/\mathcal{N})^*$ , with the relations

$$\begin{aligned}[\gamma][\alpha] &= [\gamma\alpha] \quad \text{for } \alpha \in (\mathbb{Z}[\zeta]/\mathcal{N})^* \\ \text{and} \quad [\gamma]^{-1}t[\gamma] &= \gamma t \quad \text{for } t \in \mathbb{Z}[\zeta]/\mathcal{N}.\end{aligned}$$

(In the second equality, the left-hand multiplication is in  $\mathbb{Z}[\zeta]/\mathcal{N} \rtimes (\mathbb{Z}[\zeta]/\mathcal{N})^*$ , and the right-hand multiplication is in the ring  $\mathbb{Z}[\zeta]/\mathcal{N}$ .) Let  $G$  be the subgroup  $\mathbb{Z}[\zeta]/\mathcal{N} \rtimes \langle \zeta \rangle$  of  $\mathbb{Z}[\zeta]/\mathcal{N} \rtimes (\mathbb{Z}[\zeta]/\mathcal{N})^*$ . Notice that  $\mathbb{Z}[\zeta]/\mathcal{N} \rtimes (\mathbb{Z}[\zeta]/\mathcal{N})^*$  acts on  $\mathbb{Z}[\zeta]/\mathcal{N}$  as a set: the additive group  $\mathbb{Z}[\zeta]/\mathcal{N}$  acts upon itself by addition, and the multiplicative group  $(\mathbb{Z}[\zeta]/\mathcal{N})^*$  acts on  $\mathbb{Z}[\zeta]/\mathcal{N}$  by multiplication. Thus if  $\#\mathbb{Z}[\zeta]/\mathcal{N} = N$ , we obtain an embedding of  $\mathbb{Z}[\zeta]/\mathcal{N} \rtimes (\mathbb{Z}[\zeta]/\mathcal{N})^*$  and its subgroup  $G$  into  $S_N$ , given as follows: if  $t \in \mathbb{Z}[\zeta]/\mathcal{N}$  and  $x[\gamma] \in \mathbb{Z}[\zeta]/\mathcal{N} \rtimes (\mathbb{Z}[\zeta]/\mathcal{N})^*$ , then

$$t(x[\gamma]) = (t + x)[\gamma].$$

Identify  $\mathbb{Z}[\zeta]/\mathcal{N} \rtimes (\mathbb{Z}[\zeta]/\mathcal{N})^*$  and  $G$  with their images in  $S_N$ . Let  $\mathcal{C}$  be the conjugacy class of  $[\zeta]$ . Set  $\mathbf{C} = (\mathcal{C}_1, \dots, \mathcal{C}_4) = (\mathcal{C}, \mathcal{C}, \mathcal{C}, \mathcal{C}^{-3})$ .

Now let

$$X = \text{Ni}(G, \mathbf{C})^{ab}/Q_8, \quad (9)$$

where  $Q_8$  is the kernel of  $\phi$  as in Proposition 1. Then we have a well-defined action of  $\text{PSL}_2(\mathbb{Z})$  on  $X$ .

**THEOREM 3 [B2].** (1) *The action of  $\text{PSL}_2(\mathbb{Z})$  on  $X$  is transitive.*

(2) *We can identify  $X$  with  $\mathbb{P}^1(\mathbb{Z}[\zeta]/\mathcal{N})$ . Furthermore, we have the following commutative diagram, where the right hand map  $\pi$  is as defined in Subsection 3.2 and the left hand map is as described in the Introduction.*

$$\begin{array}{ccc} \text{PSL}_2(\mathbb{Z}) & \xrightarrow{\text{id}} & \text{PSL}_2(\mathbb{Z}) \\ \downarrow & & \downarrow \pi \\ \text{Aut}(X) & \longrightarrow & \text{PGL}_2(\mathbb{Z}[\zeta_d]/\mathcal{N}) \end{array}$$

*In addition, the stabilizer of the image of  $(\gamma, \gamma^{-1}, t\gamma, \gamma^{-1}t^{-1})$  in  $X$  is equal to the subgroup*

$$\Gamma_0(\mathcal{N}) = \{\alpha \in \text{PSL}_2(\mathbb{Z}) : \pi(\alpha)^\infty = \infty\}.$$

(3) *The curve  $Y_0(\mathcal{N}) = \mathfrak{h}/\Gamma_0(\mathcal{N})$  is a reduced Hurwitz space for covers of  $\mathbb{P}^1$  with monodromy group  $G$  and ramification data  $\mathbf{C}$ . Thus each point on  $Y_0(\mathcal{N})$  corresponds to an equivalence class of diagrams of the following form:*

$$\begin{array}{ccc} Y & \xrightarrow{\alpha} & X \\ \downarrow & & \downarrow \gamma \\ W & \xrightarrow{\beta} & \mathbb{P}^1 \end{array}$$

We require all maps to be ramified over 4 points, and the vertical maps to be Galois, with monodromy group  $\mathbb{Z}/d\mathbb{Z} = \langle \zeta \rangle$ . In addition, the map  $\gamma$  should have ramification data of the form  $(\zeta, \zeta, \zeta, \zeta^{-3})$  (here,  $\zeta$  is its own conjugacy class in  $\mathbb{Z}/d\mathbb{Z} = \langle \zeta \rangle$ ). Furthermore,  $\alpha$  must be Galois with group  $\mathbb{Z}[\zeta]/\mathcal{N}$ . Finally, we require  $\beta$  to have monodromy group  $G$  and ramification data  $(\mathcal{E}, \mathcal{E}, \mathcal{E}, \mathcal{E}^{-3})$ .

We note that once we specify the map  $\beta$ ,  $Y$  and  $X$  will be uniquely determined. Two diagrams are considered to be equivalent if and only if the lower horizontal maps are equivalent mod  $\mathrm{PSL}_2(\mathbb{C})$ .

### 3. CONSTRUCTION OF THE $\Gamma(\mathcal{N})$

**3.1. Fake Congruence Subgroups.** To begin with, we fix natural numbers  $n \geq 3$ ,  $d \geq 7$ , a primitive  $d$ th root of unity  $\zeta$ ,  $K = \mathbb{Q}(\zeta)$ ,  $F = \mathbb{Q}(\zeta + \zeta^{-1})$ ,  $\mathcal{N}^+ \subset \mathcal{O}_F$  an ideal, and  $\mathcal{N} = \mathcal{N}^+ \mathcal{O}_K$ .

Let  $B_n$  denote the Artin braid group

$$\langle \sigma_1, \dots, \sigma_{n-1} : \sigma_i \sigma_j = \sigma_j \sigma_i \text{ for } |i - j| > 1 \\ \text{and } \sigma_i \sigma_{i+1} \sigma_i = \sigma_{i+1} \sigma_i \sigma_{i+1} \rangle.$$

The reduced Burau representation (see [Bi]) is a homomorphism  $\pi_n: B_n \rightarrow GL_{n-1}(\mathbb{Z}[t, t^{-1}])$ . It can be obtained, among other methods, via the Fox free calculus, or from the action of  $B_n$  on the homology of an infinite cyclic extension of  $\mathbb{P}^1$  ramified over  $n + 1$  points. Its action on the generators of  $B_n$  is given as

$$\sigma_1 \mapsto \begin{bmatrix} -t & 1 & 0 & \cdots & 0 \\ 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & 1 & \cdots & 0 \\ 0 & \cdots & \cdots & \cdots & 0 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix},$$

$$\sigma_r \mapsto \begin{bmatrix} 1_{r-2} & 0 & \cdots & 0 & 0 \\ 0 & 1 & 0 & \cdots & 0 \\ \cdots & t & -t & 1 & 0 \\ \cdots & 0 & 0 & 1 & 0 \\ 0 & 0 & \cdots & 0 & 1_{n-r-2} \end{bmatrix} \quad (1 < r < n - 1)$$

and

$$\sigma_{n-1} \mapsto \begin{bmatrix} 1 & 0 & \cdots & 0 & 0 \\ 0 & 1 & \cdots & 0 & 0 \\ 0 & \cdots & \cdots & \cdots & 0 \\ 0 & 0 & \cdots & 1 & 0 \\ 0 & 0 & \cdots & t & -t \end{bmatrix}.$$

Setting  $t = \zeta$  and reducing by  $\mathcal{N}$  gives us a map  $\mathbb{Z}[t, t^{-1}] \rightarrow \mathcal{O}_K/\mathcal{N}$ , and hence a map  $GL_n(\mathbb{Z}[t, t^{-1}]) \rightarrow GL_n(\mathcal{O}_K/\mathcal{N})$ . We then obtain a new map

$$\pi_{n,\mathcal{N}}: B_n \rightarrow GL_{n-1}(\mathcal{O}_K/\mathcal{N})$$

by composing with the Burau representation.

Now suppose  $n = 3$ . In this case we can describe our map as follows. First, notice that  $B_3$  has presentation  $\langle \sigma_1, \sigma_2: \sigma_1 \sigma_2 \sigma_1 = \sigma_2 \sigma_1 \sigma_2 \rangle$ . Then  $\pi_{3,\mathcal{N}}$  is given by

$$\sigma_1 \rightarrow \begin{pmatrix} -\zeta & 1 \\ 0 & 1 \end{pmatrix} \quad (10)$$

$$\sigma_2 \rightarrow \begin{pmatrix} 1 & 0 \\ \zeta & -\zeta \end{pmatrix}. \quad (11)$$

where we understand the matrix entries to be elements of  $\mathcal{O}_K/\mathcal{N}$ .

Recall that  $Z(B_3)$  is generated by  $(\sigma_1 \sigma_2)^3$  and observe that

$$\pi_{3,\mathcal{N}}((\sigma_1 \sigma_2)^3) = \begin{pmatrix} \zeta^3 & 0 \\ 0 & \zeta^3 \end{pmatrix}.$$

Thus  $\pi_{3,\mathcal{N}}$  induces a map

$$\pi_{\mathcal{N}}: PSL_2(\mathbb{Z}) = B_3/Z(B_3) \rightarrow PGL_2(\mathcal{O}_K/\mathcal{N}). \quad (12)$$

**DEFINITION 2.** We have the following analogs of congruence groups and modular curves:

- (1)  $\Gamma(\mathcal{N}) = \ker(\pi_{\mathcal{N}})$
- (2)  $\Gamma_0(\mathcal{N}) = \{\gamma \in PSL_2(\mathbb{Z}): \pi_{\mathcal{N}}(\gamma)^\infty = \infty \text{ (where we take } \infty \in \mathbb{P}^1(\mathcal{O}_K/\mathcal{N}))\}$
- (3)  $X(\mathcal{N}) = \mathfrak{h}^*/\Gamma(\mathcal{N})$
- (4)  $X_0(\mathcal{N}) = \mathfrak{h}^*/\Gamma_0(\mathcal{N})$
- (5)  $Y(\mathcal{N}) = \mathfrak{h}/\Gamma(\mathcal{N})$
- (6)  $Y_0(\mathcal{N}) = \mathfrak{h}/\Gamma_0(\mathcal{N})$ .

Any subgroup of  $PSL_2(\mathbb{Z})$  that contains  $\Gamma(\mathcal{N})$  for some  $\mathcal{N}$  will be called a *fake congruence subgroup*.

If  $d = 2$ , we obtain the usual congruence objects with  $\mathcal{N}$  replaced by  $N$ .

Recall that  $PSL_2(\mathbb{Z})$  is the free product of the group of order 2 generated by  $USU = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$  and the group of order 3 generated by  $SU = \begin{pmatrix} 0 & 1 \\ -1 & 1 \end{pmatrix}$ .



DEFINITION 3. Let  $k$  be a positive integer. The *Hecke Triangle Group*  $T(2, 3, k)$  is the group

$$\langle \beta_2, \beta_3, \beta_k : \beta_2^2 = \beta_3^3 = \beta_k^k = \beta_2 \beta_3 \beta_k = 1 \rangle.$$

We have a canonical surjection  $\psi: PSL_2(\mathbb{Z}) \rightarrow T(2, 3, k)$  given by

$$USU = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \mapsto \gamma_2, \quad SU = \begin{pmatrix} 0 & 1 \\ -1 & 1 \end{pmatrix} \mapsto \gamma_3.$$

Since  $S = (USUSU)^{-1}$  and  $\gamma_k = \gamma_3^{-1}\gamma_2^{-1}$ , we also see that  $\psi(S) = \gamma_k$ .

Now note that in our map  $\pi_{\mathcal{N}}: PSL_2(\mathbb{Z}) \rightarrow PGL_2(\mathbb{Z}[\zeta]/\mathcal{N})$ , we have

$$\pi_{\mathcal{N}}(S^r) = \pi_{3, \mathcal{N}}(\sigma_1^r) = \begin{pmatrix} (-\zeta)^r & ((-\zeta)^r - 1)/(-\zeta - 1) \\ 0 & 1 \end{pmatrix}. \quad (13)$$

Thus if we set  $k = \# \langle -\zeta \rangle$ , then  $\pi_{\mathcal{N}}(S^k) = 1$ , and so  $\pi_{\mathcal{N}}$  factors through  $T(2, 3, k)$ . We set

$$\Delta(\mathcal{N}) = \ker(T(2, 3, k) \rightarrow PGL_2(\mathbb{Z}[\zeta]/\mathcal{N})). \quad (14)$$

3.2. *The Oda-Terasoma Theorem.* By the results of [O-T], the image of  $B_n$  under  $\pi_n$  is unitary with respect to a certain hermitian form  $H \in GL_{n-1}(\mathbb{Z}[t, t^{-1}])$ . (Here, conjugation is the map  $t \mapsto t^{-1}$ .)  $H$  is given by

$$\begin{bmatrix} 1 & -\frac{1}{t+1} & 0 & 0 & \cdots \\ -\frac{1}{t^{-1}+1} & 1 & -\frac{1}{t+1} & 0 & \cdots \\ 0 & -\frac{1}{t^{-1}+1} & 1 & -\frac{1}{t+1} & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots \end{bmatrix}. \quad (15)$$

This form then descends to a form in  $GL_{n-1}(\mathcal{O}_K/\mathcal{N})$  (also denoted  $H$ ). In fact, one can show that the image of  $\pi_{n, \mathcal{N}}$  is contained in

$$U_{n-1}(\zeta, H, \mathcal{O}_K/\mathcal{N}) = \{g \in GL_{n-1}(\mathcal{O}_K/\mathcal{N}) : \bar{g}^t H g = H, \det g \in \langle -\zeta \rangle\}.$$

The main theorem of [O-T] is that under certain conditions,  $B_n$  actually surjects onto  $U_{n-1}(\zeta, H, \mathcal{O}_K/\mathcal{N})$ .

THEOREM 4 [O-T]. Assume  $n \geq 3$ ,  $d \geq 7$  if  $d$  is odd,  $d \geq 14$  if  $d$  is even,  $\mathcal{N}$  is coprime with  $d$  and  $((1 - \zeta^i)/(1 - \zeta))$  ( $2 \leq i \leq n$ ), the prime factors  $\mathfrak{q}$  of  $\mathcal{N}$  dividing 6 satisfy  $N_{K/\mathbb{Q}}(\mathfrak{q}) \geq 10$ ,  $\mathcal{N}$  is coprime to  $n - 4$ , and  $d$  is odd when  $n = 4$ . Then

$$\pi_{n, \mathcal{N}}: B_n \rightarrow U_{n-1}(\zeta, H, \mathcal{O}_K/\mathcal{N})$$

is surjective.

A special case of this is the following.

**THEOREM 5.** *Hypotheses as above, suppose  $n = 3$  and  $\mathcal{N}^+$  is a prime ideal  $\mathfrak{p}^+$ . Set  $\mathfrak{p} = \mathfrak{p}^+ \mathcal{O}_K$ . Then the map*

$$\pi_{\mathfrak{p}}: \mathrm{PSL}_2(\mathbb{Z}) \rightarrow \mathrm{PU}_2(\zeta, H, \mathcal{O}_K/\mathfrak{p})$$

*is surjective.*

**3.3. Analysis of  $\pi_3$ .** We must now analyze  $\pi_3$  in more detail.

**LEMMA 1.** *Let  $f = \mathrm{ord}_d p = \min\{a \in \mathbb{N}: p^a \equiv 1 \pmod{d}\}$ . Let  $\mathfrak{p}^+ \subset \mathcal{O}_F$  be a prime over  $p$ . Then  $\mathfrak{p}^+$*

*(1) remains prime in  $\mathcal{O}_K$  if  $f$  is even, and  $\#(\mathcal{O}_K/\mathfrak{p}^+ \mathcal{O}_K) = p^f$ ,  $\#\mathcal{O}_F/\mathfrak{p}^+ = p^{f/2}$ ,*

*(2) splits into two primes in  $\mathcal{O}_K$  if  $f$  is odd, and  $\#(\mathcal{O}_F/\mathfrak{p}^+) = p^f$ .*

*Proof.* Let

$$T^+(X) = \prod_{\substack{(i,d)=1 \\ 0 < i < d/2}} (X - (\zeta^i + \zeta^{-i}))$$

be the irreducible polynomial of  $\zeta + \zeta^{-1}$  over  $\mathbb{Z}$ . We must factor  $T^+$  in  $\mathbb{F}_p[X]$ ; the degree of each factor will be the residue field index of  $\mathfrak{p}^+$  (see [C]).

Choose a primitive  $d$ th root of unity  $\gamma \in \bar{\mathbb{F}}_p$ . Then

$$T^+(X) = \prod_{\substack{(i,d)=1 \\ 0 < i < d/2}} (X - (\gamma^i + \gamma^{-i}))$$

is a factorization of  $T^+$  over  $\bar{\mathbb{F}}_p$ . Consider

$$\prod_{0 \leq j < f} (X - (\gamma^{p^j} + \gamma^{-p^j})) \in \mathbb{F}_p[X].$$

This is irreducible if and only if  $f$  is odd (otherwise, it will be a square). Thus  $f(\mathfrak{p}^+/p) = f/2$  if  $f$  is even and  $f(\mathfrak{p}^+/p) = f$  if  $f$  is odd.

The same argument shows, upon factoring

$$T(X) = \prod_{\substack{(i,d)=1 \\ 0 < i < d}} (X - \gamma^i)$$

in  $\mathbb{F}_p[X]$ , that any prime  $\mathfrak{p} \subset \mathcal{O}_K$  over  $p$  satisfies  $f(\mathfrak{p}/p) = f$ . Since  $\mathfrak{p}^+$  cannot ramify in  $\mathcal{O}_K$  by assumption, the result follows.

LEMMA 2.

$$[PU_2(\mathcal{O}_K/\mathfrak{p}):PSU_2(\mathcal{O}_K/\mathfrak{p})] = \begin{cases} 2, & \text{if } q \text{ is odd} \\ 1, & \text{if } q \text{ is even.} \end{cases}$$

*Proof.* We prove the lemma for  $\mathcal{O}_K/\mathfrak{p} = \mathbb{F}_{q^2}$ ; the proof for  $\mathcal{O}_K/\mathfrak{p} = \mathbb{F}_q \times \mathbb{F}_q$  is similar.

Note that if  $x \in U_2(\mathbb{F}_{q^2})$  and  $\det(x) = a$ , then  $a\bar{a} = a^{q+1} = 1$ . Thus the image  $\bar{x}$  of  $x$  in  $PU_2(\mathbb{F}_{q^2})$  is actually in  $PSU_2(\mathbb{F}_{q^2})$  if and only if there exists some  $\mu \in \mathbb{F}_{q^2}$  with  $\mu^2 = a$ ,  $\mu^{q+1} = 1$ .

Thus if  $q$  is odd, then  $\bar{x} \in PSU_2(\mathbb{F}_{q^2}) \Leftrightarrow a^{(q+1)/2} = 1$ . So in this case,

$$PU_2(\mathbb{F}_{q^2}) = PSU_2(\mathbb{F}_{q^2}) \cup PSU_2(\mathbb{F}_{q^2})\bar{x},$$

where  $x$  is any element of  $U_2(\mathbb{F}_{q^2})$  satisfying  $\det(x)^{(q+1)/2} = -1$ .

If  $q = 2^r$  and  $a^{q+1} = 1$ , set  $\mu = a^{q/2+1}$ . Then  $\mu^2 = a$  and  $\mu^{q+1} = 1$ . So  $PU_2(\mathbb{F}_{q^2}) = PSU_2(\mathbb{F}_{q^2})$ .

We thus observe that when we factor through to  $PSL_2(\mathbb{Z})$ , the worst we can do is surject onto a group in which  $PSL_2(\mathbb{F}_q)$  has index 2. We now list the cases in which we land precisely on  $PSL_2(\mathbb{F}_q)$ .

PROPOSITION 2. *With the notation as above, if  $(p) = \mathfrak{p} \cap \mathbb{Z}$ , then  $PU_2(\zeta, \mathcal{O}_K/\mathfrak{p}) = PSU_2(\mathcal{O}_K/\mathfrak{p})$  if and only if the following conditions hold:*

Case I.  $\mathfrak{p}$  is prime and  $p$  is odd.

(1)  $2 \nmid d$ :  $q \equiv -1 \pmod{4}$

(2)  $2 \parallel d$ : always

(3)  $4 \mid d$ :  $2 \mid (q+1)/d$ .

Case II.  $\mathfrak{p} = \mathfrak{B}\bar{\mathfrak{B}}$  and  $p$  is odd.

(1)  $2 \nmid d$ :  $q \equiv 1 \pmod{4}$

(2)  $2 \parallel d$ : always

(3)  $4 \mid d$ :  $2 \mid (q-1)/d$ .

Case III.  $p = 2$ : always.

*Proof.* Recall that all elements in  $PU_2(\zeta, \mathcal{O}_K/\mathfrak{p})$  have determinant contained in  $\langle -\zeta \rangle$ .

Case I. We must have  $(-\zeta)^{(q+1)/2} + \mathfrak{p} = 1 + \mathfrak{p}$ , or equivalently,  $(-\zeta)^{(q+1)/2} = 1$ . Since  $\langle -\zeta \rangle$  has order  $2d$ ,  $d/2$ , or  $d$  depending on whether  $2 \nmid d$ ,  $2 \parallel d$ , or  $4 \mid d$ , the result follows.

*Case II.* Proceed as in Case I.

*Assumption.* From now on, assume that our map  $\pi_{\mathfrak{p}}$  surjects onto  $PU_2(\zeta, \mathcal{O}_K/\mathfrak{p}) \cong PSL_2(\mathbb{F}_q)$ , that  $q$  is odd, and that  $(6, k) = 1$ , where  $k = \# \langle -\zeta \rangle$ .

PROPOSITION 3.  $\Gamma(\mathfrak{p})$  is noncongruence.

*Proof.* We first quote the following lemma.

LEMMA 3 [W]. Suppose  $\Delta$  is a finite index subgroup of  $PSL_2(\mathbb{Z})$ . Let  $N$  denote the least common multiple of the cusp widths of  $\Delta$ . Then  $\Delta$  is congruence if and only if  $\Delta \supseteq \Gamma(N)$ .

*Completion of Proof.* Since  $\Gamma(\mathfrak{p})$  is normal, all cusp widths will equal  $k = \# \langle -\zeta \rangle$ . Now if  $\Gamma(\mathfrak{p}) \supseteq \Gamma(k)$ , then we will have a homomorphism  $PSL_2(\mathbb{Z}/k\mathbb{Z}) \rightarrow PSL_2(\mathbb{F}_q)$ . But this is impossible: Since  $q \nmid k$  by assumption, no composition factor of  $PSL_2(\mathbb{Z}/k\mathbb{Z})$  could be equal to  $PSL_2(\mathbb{F}_q)$ .

#### 4. CHARACTER MULTIPLICITIES IN THE REPRESENTATION OF $PSL_2(\mathbb{F}_q)$ ON COHOMOLOGY GROUPS

4.1. *The Calculation on  $H^1$ .* We continue our assumption that  $PSL_2(\mathbb{Z}/\Gamma(\mathfrak{p})) \cong PSL_2(\mathbb{F}_q)$ . Recall that if  $k = \# \langle -\zeta \rangle$ , then our map  $\pi_{\mathfrak{p}}: PSL_2(\mathbb{Z}) \rightarrow PSL_2(\mathbb{F}_q)$  factors through  $T(2, 3, k)$  with kernel  $\Delta(\mathfrak{p})$ . Thus  $T(2, 3, k)/\Delta(\mathfrak{p}) \cong PSL_2(\mathbb{F}_q)$  as well. Note that for  $k > 6$ ,  $T(2, 3, k) \hookrightarrow PSU(1, 1)$ . (We can obtain this injection from the Burau representation as follows: for an appropriate choice of a  $d$ th root of unity,

$$H = \begin{bmatrix} 1 & \frac{-1}{\zeta + 1} \\ \frac{-1}{\zeta^{-1} + 1} & 1 \end{bmatrix}$$

will have negative determinant. Now one may easily verify that the image of  $B_3$  under the Burau representation, evaluation  $t \mapsto \zeta$ , and reduction mod scalars is isomorphic to  $T(2, 3, k)$ . Thus we have  $T(2, 3, k)$  embedded as a subgroup of a unitary group which is conjugate to  $PSU(1, 1)$ .) Thus

$T(2, 3, k)$  acts on the Poincare disk  $\mathbb{D}^1$ . Set  $R(\mathfrak{p}) = \mathbb{D}^1/\Delta(\mathfrak{p})$  and recall that  $\mathbb{D}^1/T(2, 3, k) \cong \mathfrak{h}^*/PSL_2(\mathbb{Z}) \cong \mathbb{P}^1$ . We thus obtain two isomorphic  $PSL_2(\mathbb{F}_q)$  covers of  $\mathbb{P}^1$ ,

$$X(\mathfrak{p}) = \mathfrak{h}^*/\Gamma(\mathfrak{p}) \rightarrow \mathfrak{h}^*/PSL_2(\mathbb{Z}) = \mathbb{P}^1$$

and

$$R(\mathfrak{p}) = \mathbb{D}^1/\Delta(\mathfrak{p}) \rightarrow \mathbb{D}^1/T(2, 3, k) = \mathbb{P}^1.$$

We wish to determine the structures of  $H^1(X(\mathfrak{p}), \mathbb{C})$  and  $H^{1,0}(X(\mathfrak{p}), \mathbb{C})$  as  $\mathbb{C}[PSL_2(\mathbb{F}_q)]$ -modules. Observe that the same results hold with  $X(\mathfrak{p})$  replaced by  $R(\mathfrak{p})$ , since the covers are isomorphic.

Now the cover  $X(\mathfrak{p}) \rightarrow \mathbb{P}^1$  is ramified over the points

$$\begin{aligned} p_2 &= PSL_2(\mathbb{Z})e^{2\pi i/4}, & p_3 &= PSL_2(\mathbb{Z})e^{2\pi i/6}, \\ \text{and} & & p_k &= PSL_2(\mathbb{Z})i^\infty. \end{aligned} \quad (16)$$

Lying over them are the points

$$P_2 = \Gamma(\mathfrak{p})e^{2\pi i/4}, \quad P_3 = \Gamma(\mathfrak{p})e^{2\pi i/6}, \quad \text{and} \quad P_k = \Gamma(\mathfrak{p})i^\infty. \quad (17)$$

Observe that the inertia group of  $P_2$  over  $p_2$  is generated by

$$\begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \pmod{\mathfrak{p}},$$

that of  $P_3$  over  $p_3$  by

$$\begin{pmatrix} 0 & 1 \\ -1 & 1 \end{pmatrix} \pmod{\mathfrak{p}},$$

and that of  $P_k$  over  $p_k$  by

$$\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \pmod{\mathfrak{p}}$$

(see subsection 3.1). Call these generators  $\gamma_2$ ,  $\gamma_3$ , and  $\gamma_k$ , respectively. (Note that  $\gamma_i = \beta_i \pmod{\Delta(\mathfrak{p})}$ .) We can approach  $H^1(X(\mathfrak{p}), \mathbb{C})$  by means of the Lefschetz fixed point theorem: for  $g \in PSL_2(\mathbb{F}_q)$ ,

$$\#\text{Fix}(g) = \#(\Delta_{X(\mathfrak{p})} \cdot \Gamma_g) = \sum_{i=0}^2 (-1)^i \text{tr}(g \mid H^i(X(\mathfrak{p}), \mathbb{C})),$$

where  $\Delta_{X(\mathfrak{p})}$  is the diagonal of  $X(\mathfrak{p})$  and  $\Gamma_g$  is the graph of  $g$  acting on  $X(\mathfrak{p})$ . We know that  $\text{tr}(g \mid H^i(X(\mathfrak{p}), \mathbb{C})) = 1$  for  $i = 0, 2$ , and we calculate  $\#\text{Fix}(g)$  as follows.

Note that our above comments tell us that if  $g$  is not conjugate to  $\gamma_i$ ,  $i = 2, 3, k$ , then  $\#\text{Fix}(g) = 0$ . Furthermore, the only points in  $X(\mathfrak{p})$  with nontrivial stabilizers are the points lying over  $p_2, p_3, p_k$ . Thus if  $\gamma_i x = x$ , then since  $\gamma_2, \gamma_3, \gamma_k$  all have relatively prime orders, we must have  $x = \sigma p_i$  for some  $\sigma \in \text{PSL}_2(\mathbb{F}_q)$ . So

$$\gamma_i \sigma p_i = \sigma p_i \Leftrightarrow \sigma^{-1} \gamma_i \sigma p_i = p_i \Leftrightarrow \sigma^{-1} \gamma_i \sigma \in \langle \gamma_i \rangle \Leftrightarrow \sigma \in N(\langle \gamma_i \rangle).$$

(For a subgroup  $H$  of  $\text{PSL}_2(\mathbb{F}_q)$ ,  $N(H)$  denotes the normalizer of  $H$  in  $\text{PSL}_2(\mathbb{F}_q)$ .)

Also,

$$\sigma p_i = \tau p_i \Leftrightarrow \tau^{-1} \sigma \in \langle \gamma_i \rangle.$$

This implies that

$$\#\text{Fix}(\gamma_i) = \frac{\#N(\langle \gamma_i \rangle)}{\#\langle \gamma_i \rangle}.$$

We now recall the following (see [F-H]):

LEMMA 4. Any element of  $\text{PSL}_2(\mathbb{F}_q)$  is conjugate to either

$$I, \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & \epsilon \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix}, \quad \text{or} \quad \begin{pmatrix} x & y\epsilon \\ y & x \end{pmatrix},$$

where  $\mathbb{F}_q^* = \langle \epsilon \rangle$ .

An element of the fourth type is said to be split Cartan and an element of the fifth type is said to be nonsplit Cartan. The nonsplit Cartan elements form a subgroup of order  $(q+1)/2$ .

From examining the orders of each conjugacy class, we see that if  $2i \mid (q-1)$ , then  $\gamma_i$  is conjugate to a split Cartan element, and if  $2i \mid (q+1)$ , then  $\gamma_i$  is conjugate to a nonsplit Cartan element. Now by [F-H],

$$\#N\langle \gamma \rangle = \begin{cases} q-1, & \gamma \text{ split} \\ q+1, & \gamma \text{ nonsplit.} \end{cases}$$

Also, half the conjugates will equal  $\gamma$  and half will equal  $\gamma^{-1}$ . So

$$\#\text{Fix}(\gamma) = \frac{\#N\langle \gamma \rangle}{\#\langle \gamma \rangle} = \begin{cases} \frac{q-1}{i}, & \gamma \sim \gamma_i, \gamma \text{ split} \\ \frac{q+1}{i}, & \gamma \sim \gamma_i, \gamma \text{ nonsplit.} \end{cases}$$

Putting this information together, we obtain the following.

PROPOSITION 4. If  $\gamma \sim \gamma_i$ ,  $i = 2, 3, k$ , then  $\text{tr}(\gamma | H^1(X(\mathfrak{p}), \mathbb{C})) = 2$ .  
If  $\gamma \sim \gamma_i$  for some  $i$  as above, then

$$\text{tr}(\gamma | H^1(X(\mathfrak{p}), \mathbb{C})) = \begin{cases} 2 - \frac{q-1}{i}, & 2i \mid (q-1) \\ 2 - \frac{q+1}{i}, & 2i \mid (q+1). \end{cases}$$

*Remark.* Our fake congruence groups are generalizations of regular congruence groups, since

$$\begin{pmatrix} -\zeta & 1 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} 1 & 0 \\ \zeta & -\zeta \end{pmatrix} \pmod{p}$$

are the usual generators of  $SL_2(\mathbb{F}_p)$  when  $d = 2$ . But in this case, the image  $\begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$  of  $\sigma_1 \in B_3$  under  $\pi_p$  is unipotent, not Cartan. Thus our subsequent calculations differ in this case.

The list of nontrivial irreducible finite-dimensional representations of  $SL_2(\mathbb{F}_q)$  is quite short (see [F-H]):

(1) The complement  $V$  of  $\Sigma g$  in the permutation representation is irreducible.

(2) Let  $B = \{ \begin{pmatrix} a & b_1 \\ 0 & a^{-1} \end{pmatrix} \}$  be the Borel subgroup of  $PSL_2(\mathbb{F}_q)$ . Suppose  $\mathbb{F}_q^* = \langle \epsilon \rangle$  and  $\rho = e^{2\pi i/(q-1)}$ . The map

$$\begin{pmatrix} \epsilon^n & * \\ 0 & \epsilon^{-n} \end{pmatrix} \rightarrow \rho^n$$

gives a 1-dimensional representation  $W_n$  of  $B$ ;  $\text{Ind}_B^{PSL_2(\mathbb{F}_q)} W_n =: Y_n$  is irreducible if  $n \neq (q-1)/2$ ; and for  $n = (q-1)/2$ ,  $Y_n$  splits into two irreducible factors  $Y^+$  and  $Y^-$ .

(3) If  $C$  denotes the nonsplit Cartan subgroup  $\{ \begin{pmatrix} x & y\epsilon \\ y & x \end{pmatrix} \}$  and

$$\phi_m: C \rightarrow \mathbb{C}$$

is a character, then  $V \otimes Y_m \equiv \text{Ind}_C^{PSL_2(\mathbb{F}_q)} \phi \oplus Y_m \oplus Z_m$  for some representation  $Z_m$  which is irreducible if  $m \neq (q-1)/2$  and splits into two irreducible factors  $Z^+$  and  $Z^-$  otherwise.

We would like to know the multiplicity of each irreducible representation in our representation  $\rho_{\mathfrak{p}}: PSL_2(\mathbb{F}_q) \rightarrow \text{Aut}(H^1(X(\mathfrak{p}), \mathbb{C}))$ . We may use the standard character formula  $m_{\chi} = \sum_{\sigma \in PSL_2(\mathbb{F}_q)} \chi_{\mathfrak{p}}(\gamma) \overline{\chi(\gamma)}$ , where  $\chi_{\mathfrak{p}}$  is the character of  $\rho_{\mathfrak{p}}$  and  $\chi$  is irreducible.

TABLE I  
Character Multiplicities

$V$	$q - \sum_i a_i - T$
$Y_{2r}$	$q + 1 - T - \sum_{\substack{i \\ i r}} (a_i + 1)$
$Z_{2m}$	$q - 1 - T - \sum_{\substack{i \\ i \bmod m}} (1 - a_i)$
$Y^+, Y^-$	$\frac{q+1}{2} - \frac{1}{2}T - \sum_{\substack{a_i=1 \\ \frac{q+1}{2i} \text{ even}}} 1$
$Z^+, Z^-$	$\frac{q-1}{2} - \frac{1}{2}T - \sum_{\substack{a_i=-1 \\ \frac{q+1}{2i} \text{ even}}} 1$

**THEOREM 6.** *Assumptions as above, define  $a_i \in \langle \pm 1 \rangle$  by  $q \equiv a_i \pmod i$  for  $i = 2, 3, k$ . Also, let*

$$T = \sum_{i=2,3,7} \frac{q - a_i}{i}.$$

*Then the character multiplicities are as listed in Table I, where  $i$  runs through  $2, 3, k$ ,  $Y^+$  and  $Y^-$  appear only for  $q \equiv 1 \pmod 4$ , and  $Z^+$  and  $Z^-$  appear only for  $q \equiv -1 \pmod 4$ .*

*Proof.* We make use of the character table of [F-H]. We note that representations of  $PSL_2(\mathbb{F}_q)$  are the same as representations of  $SL_2(\mathbb{F}_q)$  that map  $-1_2$  to the identity. In the representation  $V$ , the character of  $\pm 1_2$  is  $q$ , the character of a split (respectively, nonsplit) Cartan element is 1 (respectively,  $-1$ ), and there are  $(q-3)/2$  (respectively,  $(q-1)/2$ ) conjugacy classes with  $q^2 + q$  (respectively,  $q^2 - q$ ) elements in each class. Our multiplicity then becomes

$$\begin{aligned} & \frac{1}{\#PSL_2(\mathbb{F}_q)} \cdot \left( 4qg + (q^2 + q) \right. \\ & \quad \cdot \left[ 2 \left( \frac{q-3}{2} - \sum X_i \right) + \sum \left( 2 - \frac{q-1}{i} \right) X_i \right] \\ & \quad \left. - (q^2 - q) \cdot \left[ 2 \left( \frac{q-1}{2} - \sum Y_i \right) + \sum \left( 2 - \frac{q+1}{i} \right) Y_i \right] \right). \end{aligned}$$



Here,  $X_i$  (respectively,  $Y_i$ ) is the number of conjugacy classes of elements in the cyclic subgroup  $\langle \gamma_i \rangle$  that are split (nonsplit) Cartan. Thus,

$$X_i = \begin{cases} i - 1, & a_i = 1 \\ 0, & a_i = -1 \end{cases}$$

and  $Y_i = d - 1 - X_i$ . Summing up gives us the desired result.

Further use of the character tables gives us the following.

The multiplicity for  $Y_{2r}$  is

$$\frac{1}{\#PSL_2(\mathbb{F}_q)} \cdot \left( 4(q+1)g + 4(q^2-1) + (q^2+q) \right. \\ \left. \cdot \left[ 2(-2 - \sum A_i) + \sum \left( 2 - \frac{q-1}{i} \right) A_i \right] \right),$$

where

$$A_i = \begin{cases} 0, & a_i = -1 \\ -2, & a_i = 1, i \nmid r \\ -2 + 2i, & a_i = 1, i \mid r. \end{cases}$$

The multiplicity for  $Z_{2m}$  is

$$\frac{1}{\#PSL_2(\mathbb{F}_q)} \cdot \left( 4(q-1)g - 4(q^2-1) \right. \\ \left. + (q^2-q) \cdot \left[ 2(2 - \sum B_i) + \sum \left( 2 - \frac{q-1}{i} \right) B_i \right] \right),$$

where

$$B_i = \begin{cases} 0, & a_i = 1 \\ 2, & a_i = -1, i \nmid m \\ 2 - 2i, & a_i = -1, i \mid m. \end{cases}$$

The multiplications for  $Y^+$  and  $Y^-$  are

$$\frac{1}{\#PSL_2(\mathbb{F}_q)} \cdot \left( 2(q+1)g + 2(q^2-1) \right. \\ \left. + (q^2+q) \cdot \left[ 2(-1 - \sum C_i) + \sum \left( 2 - \frac{q-1}{i} \right) C_i \right] \right),$$

where

$$C_i = \begin{cases} 0, & a_i = -1 \\ -1+i, & a_i = 1, \frac{q-1}{2i} \text{ even} \\ -1, & a_i = 1, \frac{q-1}{2i} \text{ odd.} \end{cases}$$

The multiplicities for  $Z^+$  and  $Z^-$  are

$$\frac{1}{\#PSL_2(\mathbb{F}_q)} \cdot \left( 2(q-1)g - 2(q^2-1) \right. \\ \left. + (q^2-q) \cdot \left[ 2(1 - \sum D_i) + \sum \left( 2 - \frac{q+1}{i} \right) D_i \right] \right),$$

where

$$D_i = \begin{cases} 0, & a_i = 1 \\ 1-i, & a_i = -1, \frac{q+1}{2i} \text{ even} \\ 1, & a_i = 1, \frac{q+1}{2i} \text{ odd.} \end{cases}$$

Again, summing up gives us the desired results.

4.2. *The Calculation on  $H^{1,0}$ .* Note that if  $g \in PSL_2(\mathbb{F}_q)$ ,  $p \in X(\mathfrak{p})$ , and  $g(p) = p$ , then  $g$  induces an automorphism

$$g_{*,p}: T_{X(\mathfrak{p}),p} \rightarrow T_{X(\mathfrak{p}),p}$$

of the tangent space of  $X(\mathfrak{p})$  at  $p$ . Since  $T_{X(\mathfrak{p}),p}$  is 1-dimensional,  $g_{*,p} = e^{i\theta}$  for some  $\theta \in \mathbb{R}$ . We can calculate  $e^{i\theta}$  as follows: choose  $\tilde{g} \in PSL_2(\mathbb{Z})$

such that  $\Gamma(\mathfrak{p})\tilde{g} = g$  and  $z_p \in \mathfrak{h}^*$  such that  $\Gamma(\mathfrak{p})z_p = p$ . Then in local coordinates,

$$g_{*,p} \frac{\partial}{\partial z} = \tilde{g}'(z_p) \frac{\partial}{\partial z},$$

and so if  $\tilde{g} = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , then

$$g_{*,p} = \frac{1}{(cz_p + d)^2}.$$

So choose

$$\tilde{\gamma}_2 = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad \tilde{\gamma}_3 = \begin{pmatrix} 0 & 1 \\ -1 & 1 \end{pmatrix}, \quad \text{and} \quad \tilde{\gamma}_k = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}.$$

Let  $z_2 = e^{2\pi i/4}$ ,  $z_3 = e^{2\pi i/6}$ , and  $z_k = i\infty$ . Then one may easily check that  $\gamma_{j^*,p_j} = e^{2\pi i/j}$  for  $j = 2, 3$ . For  $j = k$ , use the transformation  $z \mapsto e^{2\pi i z/k}$ . In these coordinates,  $z \mapsto \tilde{\gamma}_k z = z + 1$  becomes the map  $y \mapsto e^{2\pi i/k} y$ . Thus  $\gamma_{k^*,p_k} = e^{2\pi i/k}$  as well.

Noting that

$$\begin{array}{ccc} X(\mathfrak{p}) & \xrightarrow{g} & X(\mathfrak{p}) \\ \sigma \downarrow & & \downarrow \sigma^{-1} \\ X(\mathfrak{p}) & \xrightarrow{\sigma g \sigma^{-1}} & X(\mathfrak{p}) \end{array}$$

induces an equality  $g_{*,\sigma p} = (\sigma^{-1}g\sigma)_{*,p}$ , we see that

$$\gamma_{j^*,\sigma p_j} = \begin{cases} e^{2\pi i/j}, & \sigma^{-1}\gamma_j\sigma = \gamma_j \\ e^{-2\pi i/j}, & \sigma^{-1}\gamma_j\sigma = \gamma_j^{-1}. \end{cases}$$

Now we make use of the following:

**THEOREM 7 (Holomorphic Lefschetz Fixed Point Theorem).** *Let*

$$Lef(g, \mathcal{O}_{X(\mathfrak{p})}) = \sum_{\substack{p \in \text{Fix}(g) \\ g_{*,p} = e^{i\theta}}} \frac{1}{1 - e^{-i\theta}}.$$

Then

$$Lef(g, \mathcal{O}_{X(\mathfrak{p})}) = \sum_{i=0}^{\infty} (-1)^i \text{tr}(g^* | H^{i,0}(X(\mathfrak{p}), \mathbb{C})).$$

**THEOREM 8.** Let  $\rho_p^{1,0}$  denote the representation  $g \rightarrow \text{Aut}(H^{1,0}(X(\mathfrak{p}), \mathbb{C}))$ . Then  $\chi_p^{1,0} = \frac{1}{2}\chi_p$ .

*Note.* This differs sharply from the congruence case.

*Proof.* From our above calculations, we see that for any  $\alpha \in \text{PSL}_2(\mathbb{F}_q)$ ,

$$\text{Lef}(\gamma_j^\alpha, \mathcal{O}_{X(\mathfrak{p})}) = \frac{q \pm 1}{2j} \left( \frac{1}{1 - e^{-\pi i/j}} + \frac{1}{1 + e^{-\pi i/j}} \right) = \frac{q \pm 1}{2j} \quad (18)$$

$$= \frac{1}{2} \# \text{Fix}(\gamma_j^\alpha), \quad (19)$$

since  $\sigma^{-1}\gamma_j\sigma = \gamma_j$  or  $\gamma_j^{-1}$  for  $q \pm 1/2j$  elements  $\sigma$  modulo  $\langle \gamma_j \rangle$ .

Then by the holomorphic Lefschetz fixed point theorem,

$$\text{tr}(\gamma^* | H^{1,0}(X(\mathfrak{p}), \mathbb{C})) = 1 - \text{Lef}(\gamma, X(\mathfrak{p})) \quad (20)$$

$$= 1 - \frac{1}{2} \# \text{Fix}(\gamma) = \frac{1}{2} \chi_p(\gamma) \quad \forall \gamma. \quad (21)$$

## 5. GENERA OF QUOTIENT CURVES

**5.1. The Calculation.** Let  $J(\mathfrak{p})$  denote the Jacobian of  $X(\mathfrak{p})$ . We would like to understand the decomposition of  $J(\mathfrak{p})$  into simple factors. As a first step in this direction, we calculate the genera of certain quotient curves of  $X(\mathfrak{p})$ .

Suppose  $L$  is a subgroup of  $\mathbb{F}_q^*$  with  $[\mathbb{F}_q^* / \langle \pm 1 \rangle : \pm L / \langle \pm 1 \rangle] = \alpha_L$ . Define a subgroup  $B_L$  of  $\text{PSL}_2(\mathbb{F}_q)$  by

$$B_L = \left\{ \begin{pmatrix} a & b \\ 0 & a^{-1} \end{pmatrix} : a \in L \right\}.$$

Let  $C$  be the nonsplit Cartan subgroup of  $\text{PSL}_2(\mathbb{F}_q)$  and  $S$  be the split Cartan subgroup  $\{ \begin{pmatrix} a^{-1} & 0 \\ 0 & a \end{pmatrix} \}$ . Denote the genus of  $X(\mathfrak{p})/H$  by  $g(H)$  for any subgroup of  $\text{PSL}_2(\mathbb{F}_q)$ .

**PROPOSITION 5.** We have the following:

- (1)  $2g(\{1\}) - 2 = \# \text{PSL}_2(\mathbb{F}_q)(1 - \sum_i (1/i))$
- (2)  $2g(B_L) - 2 = \alpha_L(q + 1 - \sum_i \epsilon_i)$
- (3)  $2g(C) - 2 = q(q - 1) - \sum_i \delta_i$
- (4)  $2g(S) - 2 = q(q + 1) - \sum_i \gamma_i$ ,

where all summations are over  $i = 2, 3, k$  and

$\epsilon_i$  (resp.,  $\delta_i, \gamma_i$ )

$$= \begin{cases} \frac{q-1}{i} + 2 & \left( \text{resp., } \frac{q(q-1)}{i}, \frac{(q-1)(q+2)}{i} + 2 \right), q \equiv 1(i) \\ \frac{q+1}{i} & \left( \text{resp., } \frac{(q+1)(q-2)}{i} + 2, \frac{q(q+1)}{i} \right), q \equiv -1(i). \end{cases}$$

*Proof.* Let  $H$  be any subgroup of  $PSL_2(\mathbb{F}_q)$ , and let  $p_i, \gamma_i$ , be as in Section 4. Using the Hurwitz formula,

$$2g(H) - 2 = [PSL_2(\mathbb{F}_q): H] \cdot (-2) + \sum_i ([PSL_2(\mathbb{F}_q): H] - \# \text{ points over } p_i) \quad (22)$$

$$= [PSL_2(\mathbb{F}_q): H] - \sum_i \# \text{ points over } p_i. \quad (23)$$

Now if  $PSL_2(\mathbb{F}_q) = \cup H\beta_j$ , the ramification index of  $\beta_j p_i$  over  $p_i$  is

$$[\langle \gamma_i^{\beta_j} \rangle : \langle \gamma_i^{\beta_j} \rangle \cap H].$$

We calculate these indices in the case  $H = B_L$ ; the remaining cases are similar.

First, note that a set of coset representatives for  $B_L$  in  $PSL_2(\mathbb{F}_q)$  is given by

$$\left\{ \begin{pmatrix} a & 0 \\ a^{-1}t & a^{-1} \end{pmatrix} = A_{a,t}, \begin{pmatrix} 0 & -a \\ a^{-1} & 0 \end{pmatrix} \right\},$$

where  $a$  runs over a set of coset representatives for  $L$  in  $\mathbb{F}_q^*$  and  $t$  is an arbitrary element of  $\mathbb{F}_q$ . We may assume by Lemma 5 that  $\gamma_i$  is split or nonsplit Cartan; we will examine these cases separately. So assume that  $\gamma_i$  is split, and hence of the form  $\begin{pmatrix} x & 0 \\ 0 & x^{-1} \end{pmatrix}$ . Then

$$A_{a,t} \cdot \gamma_i \cdot A_{a,t}^{-1} = \begin{pmatrix} x & 0 \\ a^{-2}t(x - x^{-1}) & x^{-1} \end{pmatrix}.$$

We thus see that  $\langle \gamma_i \rangle^{A_{a,t}} \cap B_L$  is trivial unless  $t = 0$ , in which case  $\langle \gamma_i \rangle^{A_{a,t}} \subseteq B_L$ .

Also,

$$\begin{pmatrix} 0 & -a \\ a^{-1} & 0 \end{pmatrix} \begin{pmatrix} x & 0 \\ 0 & x^{-1} \end{pmatrix} \begin{pmatrix} 0 & a \\ -a^{-1} & 0 \end{pmatrix} = \begin{pmatrix} x^{-1} & 0 \\ 0 & x \end{pmatrix}.$$

Hence in this case, the index in question will always be 1.

So in  $2\alpha_L$  cases our index is 1, and in  $[PSL_2(\mathbb{F}_q): B_L] - 2\alpha_L = (q-1)\alpha_L$  cases, our index is  $i$ . Thus we have  $2\alpha_L$  unramified points and  $(q-1)\alpha_L/i$  points with ramification index  $i$ . Our proposition then follows in this case.

Now assume that  $\gamma_i$  is nonsplit, and hence of the form  $\begin{pmatrix} x & y \\ y\epsilon & x \end{pmatrix}$ . Then

$$A_{a,t} \cdot \gamma_i \cdot A_{a,t}^{-1} = \begin{pmatrix} x - yt & a^2 y \\ a^{-2} y(\epsilon - t^2) & x + yt \end{pmatrix}.$$

We thus see that  $\langle \gamma_i \rangle^{A_{a,t}} \cap B_L$  is always trivial, since  $y$  is never 0 (as the order of  $\gamma_i$  is  $i$ ) and  $\epsilon$  cannot be a square.

Also,

$$\begin{pmatrix} 0 & -a \\ a^{-1} & 0 \end{pmatrix} \begin{pmatrix} x & y \\ y\epsilon & x \end{pmatrix} \begin{pmatrix} 0 & a \\ -a^{-1} & 0 \end{pmatrix} = \begin{pmatrix} x & -a^2 y\epsilon \\ -a^{-2} y & x \end{pmatrix}.$$

Thus in all  $(q+1)\alpha_L$  cases the ramification index is  $i$ , and we thus obtain  $(q+1)\alpha_L/i$  points in this case. This completes the proof of the proposition for  $B_L$ .

**5.2. AN EXAMPLE.** Suppose  $d = 14$ , and choose  $\zeta = -e^{2\pi i/7}$ . As usual, set  $F = \mathbb{Q}(\zeta + \zeta^{-1})$  and  $K = \mathbb{Q}(\zeta)$ . Let  $\mathfrak{p}^+ = (13, \zeta + \zeta^{-1} + 7) \subset \mathcal{O}_F$ . Then one may verify that  $\mathfrak{p}^+$  remains prime in  $\mathcal{O}_K$ , that  $\mathcal{O}_F/\mathfrak{p}^+ = \mathbb{Z}/(13)$ , and that the map  $X \mapsto -\zeta$  induces an isomorphism

$$\mathbb{Z}[X]/(13, X^2 - 7X + 1) \cong \mathcal{O}_K/\mathfrak{p}^+ \mathcal{O}_K.$$

Let  $\epsilon = X + 3 \bmod (13, X^2 - 7X + 1)$ . Then  $\epsilon^2 = x^2 + 6X + 9$ , which equals  $8 \bmod (13, X^2 - 7X + 1)$ . Now conjugation in  $\mathbb{Z}[X]/(13, X^2 - 7X + 1)$  is given by

$$\begin{aligned} X \bmod (13, X^2 - 7X + 1) &\mapsto X^{-1} \bmod (13, X^2 - 7X + 1) \\ &= 7 - X \bmod (13, X^2 - 7X + 1). \end{aligned}$$

So  $\bar{\epsilon}$  is equal to  $(7 - X) + 3 \bmod(13, X^2 - 7X + 1)$ , which is just  $-\epsilon$ .

Recall that our map  $\pi: PSL_2(\mathbb{Z}) \rightarrow PSU(\zeta, H, \mathbb{F}_{13^2})$  is given by

$$S = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \mapsto \begin{pmatrix} \epsilon - 3 & 1 \\ 0 & 1 \end{pmatrix} \quad (24)$$

$$U = \begin{pmatrix} 1 & 0 \\ -1 & 1 \end{pmatrix} \mapsto \begin{pmatrix} 1 & 0 \\ -\epsilon + 3 & \epsilon - 3 \end{pmatrix}. \quad (25)$$

since  $\epsilon - 3 = X \bmod(13, X^2 - 7X + 1)$  corresponds to  $-\zeta$  under our isomorphism.

Now conjugate each matrix by

$$\begin{pmatrix} 6\epsilon + 1 & 7\epsilon + 2 \\ 0 & 4 \end{pmatrix}$$

and multiply by  $9\epsilon + 5$ . (The latter is permitted since we're working mod scalars.) Then

$$\begin{pmatrix} \epsilon - 3 & 1 \\ 0 & 1 \end{pmatrix} \mapsto \begin{pmatrix} 5 + 4\epsilon & 0 \\ 0 & 5 - 4\epsilon \end{pmatrix} \quad (26)$$

$$\begin{pmatrix} 1 & 0 \\ -\epsilon + 3 & \epsilon - 3 \end{pmatrix} \mapsto \begin{pmatrix} 5 - 3\epsilon & 6 + 2\epsilon \\ 6 - 2\epsilon & 5 + 3\epsilon \end{pmatrix}, \quad (27)$$

and we thus obtain generators for  $PSU_2(\mathbb{F}_{13^2})$ . Under the canonical isomorphism  $PSU_2(\mathbb{F}_{13^2}) \rightarrow PSL_2(\mathbb{F}_{13})$  given by

$$\begin{pmatrix} a + b\epsilon & c + d\epsilon \\ c - d\epsilon & a - b\epsilon \end{pmatrix} \mapsto \begin{pmatrix} a + c & \epsilon^2(b - d) \\ b + d & a - c \end{pmatrix},$$

we observe that

$$\begin{pmatrix} 5 + 4\epsilon & 0 \\ 0 & 5 - 4\epsilon \end{pmatrix} \mapsto \begin{pmatrix} 5 & 6 \\ 4 & 5 \end{pmatrix} \quad (28)$$

$$\begin{pmatrix} 5 - 3\epsilon & 6 + 2\epsilon \\ 6 - 2\epsilon & 5 + 3\epsilon \end{pmatrix} \mapsto \begin{pmatrix} -2 & -1 \\ -1 & -1 \end{pmatrix}. \quad (29)$$

Our composite map is then

$$\Omega: PSL_2(\mathbb{Z}) \rightarrow PSL_2(\mathbb{F}_{13}): \quad (30)$$

$$S \mapsto \begin{pmatrix} 5 & 6 \\ 4 & 5 \end{pmatrix} \quad (31)$$

$$U \mapsto \begin{pmatrix} -2 & -1 \\ -1 & -1 \end{pmatrix}. \quad (32)$$

Setting  $\gamma_2 = \Omega(USU)$ ,  $\gamma_3 = \Omega(SU)$ , and  $\gamma_7 = \Omega(S)$ , we finally obtain the following images of the generators of  $T(2, 3, 7)$ :

$$\gamma_2 = \begin{pmatrix} 7 & 8 \\ 10 & 6 \end{pmatrix}, \quad \gamma_3 = \begin{pmatrix} 9 & 2 \\ 0 & 3 \end{pmatrix}, \quad \gamma_7 = \begin{pmatrix} 5 & 6 \\ 4 & 5 \end{pmatrix}.$$

Using our genus formulas, we see that our associated curve has genus 14, and that the representation into  $\text{Aut}(H^1(R_{\mathcal{Y}}, \mathbb{C}))$  is just  $Y_2 \oplus Y_2$ .

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