



Model transition under local theta correspondence



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ABSTRACT

We study model transition for representations occurring in the local theta correspondence between split even special orthogonal groups and symplectic groups, over a non-archimedean local field of characteristic zero.

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1. Introduction

Let F be a non-archimedean local field of characteristic zero. Let G be a reductive group defined over F . An important way to characterize irreducible admissible representations of $G(F)$ is to consider various kinds of models. For example, non-degenerate Whittaker models of generic representations play significant roles in the theory of local factors of representations of $G(F)$ and in the theory of automorphic forms. Given a reductive dual pair (G, H) , and consider the local theta correspondence between

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representations of $G(F)$ and of $H(F)$, an interesting question is that how models of representations of $G(F)$ and of $H(F)$ are related under local theta correspondence.

In [5], Jiang, Nien and Qin proved that under the local theta correspondence, for certain representations, the generalized Shalika model on $SO_{4n}(F)$ is corresponding to the symplectic linear model on $Sp_{4n}(F)$, and conjectured that it is true for general representations (see [6, p. 542]). In this paper, we prove some results related to this conjecture (see Theorem 2.1). More precisely, we introduce generalized symplectic linear models on $Sp_{4m}(F)$, which generalizes the symplectic linear models, and study the relations between the generalized Shalika models on $SO_{4n}(F)$ and the generalized symplectic linear models on $Sp_{4m}(F)$ under the local theta correspondence. A special case ($m = n$) of this result is proved by Hanzer [4] independently. We also introduce generalized Shalika models on $Sp_{4n}(F)$ and generalized orthogonal linear models on $SO_{4m}(F)$, and study the relations between them under the local theta correspondence.

Mœglin [8], Gomez and Zhu [3] studied the local theta lifting of generalized Whittaker models associated to nilpotent orbits. Note that the generalized Shalika models are indeed generalized Whittaker models associated to certain nilpotent orbits, but the generalized symplectic/orthogonal linear models are not. For example, the generalized Shalika models on $SO_{4n}(F)$ are generalized Whittaker models associated to the nilpotent orbits parametrized by the partition $[2^{2n}]$. By [8] and [3], the full local theta lift on $Sp_{2k}(F)$ has a nonzero generalized Whittaker model associated to a nilpotent orbit parametrized by the partition $[3^{2n}1^\ell]$, if $6n + \ell = 2k$. In general it is not known whether the small theta lift on $Sp_{2k}(F)$ (if nonzero) would also carry this model.

This paper is organized as follows. In Section 2, we give the definitions for various models for representations of split even special orthogonal groups and symplectic groups, and introduce the main result Theorem 2.1. In Sections 3 and 4, we prove Part (1) and Part (2) of Theorem 2.1 respectively. In Section 5, we consider the converse of Theorem 2.1, and discuss some related results.

2. Models of representations

In this section, we define various models for representations of split even special orthogonal groups and symplectic groups.

For any positive integer k , let v_k be the $k \times k$ matrix with 1’s in the second diagonal and zero’s elsewhere. Let

$$SO_{2\ell} = \{g \in GL_{2\ell} \mid {}^t g v_{2\ell} g = v_{2\ell}\}$$

be the split even special orthogonal group. And let $SO_{2\ell} = SO_{2\ell}(F)$. Let

$$Sp_{2\ell} = \{g \in GL_{2\ell} \mid {}^t g J_{2\ell} g = J_{2\ell}\}$$

be the symplectic group, where $J_{2\ell} = \begin{pmatrix} 0 & v_\ell \\ -v_\ell & 0 \end{pmatrix}$. And let $Sp_{2\ell} = Sp_{2\ell}(F)$.

Let $P_{2n} = M_{2n}N_{2n}$ be the Siegel parabolic subgroup of SO_{4n} . Then elements in P_{2n} have the following form

$$(g, X) = m(g)n(X) = \begin{pmatrix} g & 0 \\ 0 & g^* \end{pmatrix} \begin{pmatrix} I_n & X \\ 0 & I_n \end{pmatrix}$$

where $g \in GL_{2n}(F)$, $g^* = v_{2n} {}^t g^{-1} v_{2n}$, and $X \in M_{(2n) \times (2n)}(F)$ satisfying ${}^t X = -v_{2n} X v_{2n}$. The generalized Shalika group of SO_{4n} is defined to be

$$\mathcal{H} = \mathcal{H}_{2n} = M'_{2n}N_{2n} = \{(g, X) \in P_{2n} \mid g \in Sp_{2n}\}.$$

Define a character of \mathcal{H} as follows

$$\begin{aligned} \psi_{\mathcal{H}}((g, X)) &= \psi\left(\frac{1}{2} \operatorname{tr}(J_{2n} X v_{2n})\right) \\ &= \psi\left(\frac{1}{2} \operatorname{tr}\left(\begin{pmatrix} -I_n & 0 \\ 0 & I_n \end{pmatrix} X\right)\right). \end{aligned}$$

Then an irreducible admissible representation (σ, V_{σ}) of SO_{4n} has a generalized Shalika model if

$$\operatorname{Hom}_{SO_{4n}}(V_{\sigma}, \operatorname{Ind}_{\mathcal{H}}^{SO_{4n}}(\psi_{\mathcal{H}})) = \operatorname{Hom}_{\mathcal{H}}(V_{\sigma}, \psi_{\mathcal{H}}) \neq 0.$$

The nonzero elements in the Hom space are called generalized Shalika functionals or $\psi_{\mathcal{H}}$ -functionals of σ . In [10], Nien proved the uniqueness of the generalized Shalika models.

Let $Q_{2n} = L_{2n}V_{2n}$ be the Siegel parabolic subgroup of Sp_{4n} . Then elements in Q_{2n} have the following form

$$(g, X) = m(g)n(X) = \begin{pmatrix} g & 0 \\ 0 & g^* \end{pmatrix} \begin{pmatrix} I_n & X \\ 0 & I_n \end{pmatrix}$$

where $g \in GL_{2n}(F)$, $g^* = v_{2n} {}^t g^{-1} v_{2n}$, and $X \in M_{(2n) \times (2n)}(F)$ satisfying ${}^t X = v_{2n} X v_{2n}$. The generalized Shalika group of Sp_{4n} is defined to be

$$\tilde{\mathcal{H}} = \tilde{\mathcal{H}}_{2n} = L'_{2n}V_{2n} = \{(g, X) \in P_{2n} \mid g \in SO_{2n}\}.$$

Let

$$\psi_{\tilde{\mathcal{H}}}((g, X)) = \psi\left(\frac{1}{2} \operatorname{tr}(X)\right)$$

be a character of $\tilde{\mathcal{H}}$. An irreducible admissible representation (π, V_{π}) of Sp_{4n} has a generalized Shalika model if

$$\operatorname{Hom}_{Sp_{4n}}(V_{\pi}, \operatorname{Ind}_{\tilde{\mathcal{H}}}^{Sp_{4n}}(\psi_{\tilde{\mathcal{H}}})) = \operatorname{Hom}_{\tilde{\mathcal{H}}}(V_{\pi}, \psi_{\tilde{\mathcal{H}}}) \neq 0.$$

The nonzero elements in the Hom space are called generalized Shalika functionals or $\psi_{\mathcal{H}}$ -functionals of π .

Consider $SO_{2n} \times SO_{4m-2n}$ as a subgroup of SO_{4m} ($2m > n$) via the embedding

$$\left(\begin{pmatrix} A_1 & B_1 \\ C_1 & D_1 \end{pmatrix}, \begin{pmatrix} A_2 & B_2 \\ C_2 & D_2 \end{pmatrix} \right) \mapsto \begin{pmatrix} A_1 & 0 & 0 & B_1 \\ 0 & A_2 & B_2 & 0 \\ 0 & C_2 & D_2 & 0 \\ C_1 & 0 & 0 & D_2 \end{pmatrix}.$$

An irreducible admissible representation (σ, V_σ) of SO_{4m} ($2m \geq n$) has a generalized orthogonal linear model if

$$\begin{aligned} & \text{Hom}_{SO_{4m}(F)}(V_\sigma, \text{Ind}_{SO_{2n}(F) \times SO_{4m-2n}(F)}^{SO_{4m}(F)}(1)) \\ &= \text{Hom}_{SO_{2n}(F) \times SO_{4m-2n}(F)}(V_\sigma, 1) \\ &\neq 0. \end{aligned}$$

The nonzero elements in the Hom space are called generalized orthogonal linear functionals of π .

Similarly, consider $Sp_{2n} \times Sp_{4m-2n}$ as a subgroup of Sp_{4m} ($2m > n$) via the embedding

$$\left(\begin{pmatrix} A_1 & B_1 \\ C_1 & D_1 \end{pmatrix}, \begin{pmatrix} A_2 & B_2 \\ C_2 & D_2 \end{pmatrix} \right) \mapsto \begin{pmatrix} A_1 & 0 & 0 & B_1 \\ 0 & A_2 & B_2 & 0 \\ 0 & C_2 & D_2 & 0 \\ C_1 & 0 & 0 & D_2 \end{pmatrix}.$$

An irreducible admissible representation (π, V_π) of Sp_{4m} ($2m \geq n$) has a generalized symplectic linear model if

$$\begin{aligned} & \text{Hom}_{Sp_{4m}}(V_\pi, \text{Ind}_{Sp_{2n} \times Sp_{4m-2n}}^{Sp_{4m}}(1)) \\ &= \text{Hom}_{Sp_{2n} \times Sp_{4m-2n}}(V_\pi, 1) \\ &\neq 0 \end{aligned}$$

The nonzero elements in the Hom space are called generalized symplectic linear functionals of π . When $m = n$, the generalized symplectic linear model is usually called the symplectic linear model. In [11], Zhang proves the uniqueness of the symplectic linear models for the cases of $n = 1, 2$. The uniqueness of generalized symplectic linear models on Sp_{4m} is unknown in general.

Let ω_ψ be the Weil representation corresponding to the reductive dual pair $(O_{2k}, Sp_{2\ell})$ with O_{2k} being split. Following is the main result of this paper.

Theorem 2.1.

- (1) Assume that σ is an irreducible admissible representation of SO_{4n} with a nonzero generalized Shalika model, and π is an irreducible admissible representation of Sp_{4m} ($2m \geq n$) which is corresponding to σ under the local theta correspondence, that is,

$$\text{Hom}_{SO_{4n} \times Sp_{4m}}(\omega_\psi, \sigma \otimes \pi) \neq 0.$$

Then π has a nonzero generalized symplectic linear model.

- (2) Assume that π is an irreducible admissible representation of Sp_{4n} with a nonzero generalized Shalika model, and σ is an irreducible admissible representation of SO_{4m} ($2m \geq n$) which is corresponding to π under the local theta correspondence, that is,

$$\text{Hom}_{SO_{4m} \times Sp_{4n}}(\omega_\psi, \sigma \otimes \pi) \neq 0.$$

Then, σ has a nonzero generalized orthogonal linear model.

In [4], Hanzer proved the case of $m = n$ for Theorem 2.1, Part (1), independently. Some results related to the converse of Theorem 2.1 will be discussed in Section 5.

Mœglin [8], Gomez and Zhu [3] considered the local theta lifting of generalized Whittaker models associated to nilpotent orbits. It turns out a nonzero generalized Shalika model for an irreducible admissible representation σ of SO_{4n} is indeed a generalized Whittaker model associated to a nilpotent orbit parametrized by the partition $[2^{2n}]$. Then they showed that the full local theta lift of σ on Sp_{2k} has a nonzero generalized Whittaker model associated to a nilpotent orbit parametrized by the partition $[3^{2n}1^\ell]$, if $6n + \ell = 2k$. Note that this later model is not a generalized symplectic linear model. And, in general it is not known that whether the small theta lift would also carry this model.

3. Proof of Theorem 2.1, Part (1)

In this section, we prove Theorem 2.1, Part (1), using a method similar to that in [7, Section 2.1].

Assume that σ is an irreducible admissible representation of SO_{4n} with a nonzero generalized Shalika model, and π is an irreducible admissible representation of Sp_{4m} ($2m \geq n$) which is corresponding to σ under the local theta correspondence, i.e.,

$$\text{Hom}_{SO_{4n} \times Sp_{4m}}(\omega_\psi, \sigma \otimes \pi) \neq 0.$$

Since σ has a nonzero generalized Shalika model, i.e., $\text{Hom}_{\mathcal{H}}(V_\sigma, \psi_{\mathcal{H}}) \neq 0$, we have that

$$\text{Hom}_{\mathcal{H} \times Sp_{4m}(F)}(\omega_\psi, \psi_{\mathcal{H}} \otimes \pi) \neq 0.$$

Let V be a $4n$ -dimensional vector space over F , with the nondegenerate symmetric form v_{4n} . Fix a basis $\{e_1, \dots, e_{2n}, e_{-2n}, \dots, e_{-1}\}$ of V over F , such that $(e_i, e_j) = (e_{-i}, e_{-j}) = 0, (e_i, e_{-j}) = \delta_{ij}$, for $i, j = 1, \dots, 2n$. Let $V^+ = \text{Span}_F\{e_1, \dots, e_{2n}\}$, $V^- = \text{Span}_F\{e_{-1}, \dots, e_{-2n}\}$, then $V = V^+ + V^-$ is a complete polarization of V . Let W be a $4m$ -dimensional symplectic vector space over F , with the symplectic form $J_{4m} = \begin{pmatrix} 0 & v_{2m} \\ -v_{2m} & 0 \end{pmatrix}$. Fix a basis

$$\{f_1, \dots, f_{2m}, f_{-2m}, \dots, f_{-1}\}$$

of W over F , such that $(f_i, f_j) = (f_{-i}, f_{-j}) = 0, (f_i, f_{-j}) = \delta_{ij}$, for $i, j = 1, \dots, 2m$. Let $W^+ = \text{Span}_F\{f_1, \dots, f_{2m}\}$, $W^- = \text{Span}_F\{f_{-1}, \dots, f_{-2m}\}$, then $W = W^+ + W^-$ is a complete polarization of W . Then, we realize the Weil representation ω_ψ in the space $\mathcal{S}(V^- \otimes W) \cong \mathcal{S}(W^{2n})$.

First, we compute the Jacquet module $J_{N_{2n}, \psi_{\mathcal{H}}|_{N_{2n}}}(\mathcal{S}(W^{2n}))$. By [9, Section 2.7], for $\phi \in \mathcal{S}(W^{2n})$,

$$\omega_\psi(n(X), 1)\phi(y_1, \dots, y_{2n}) = \psi\left(\frac{1}{2}\text{tr}(Gr(y_1, \dots, y_{2n})v_{2n}X)\right)\phi(y_1, \dots, y_{2n}), \tag{3.1}$$

where $Gr(y_1, \dots, y_{2n})$ is the Gram matrix of vectors y_1, \dots, y_{2n} . Since ${}^tX = -v_{2n}Xv_{2n}$,

$$\begin{aligned} &\psi\left(\frac{1}{2}\text{tr}(Gr(y_1, \dots, y_{2n})v_{2n}X)\right) \\ &= \psi(x_{11}(y_1, y_{2n}) + \dots + x_{nn}(y_n, y_{n+1}) + x_{12}(y_2, y_{2n}) + \dots), \end{aligned} \tag{3.2}$$

where $\frac{1}{2}\text{tr}(Gr(y_1, \dots, y_{2n})v_{2n}X)$ involves all x_{ij} terms except $x_{1,2n}, x_{2,2n-1}, \dots, x_{2n,1}$, since they are zeros. And,

$$\psi_{\mathcal{H}}(n(X)) = \psi\left(\frac{1}{2}\text{tr}\left(\begin{pmatrix} -I_n & 0 \\ 0 & I_n \end{pmatrix} X\right)\right) = \psi(-(x_{11} + \dots + x_{nn})). \tag{3.3}$$

By comparing (3.2), (3.3), let

$$C_0 = \{(y_1, \dots, y_{2n}) \in W^{2n} | Gr(y_1, \dots, y_{2n}) = -J_{2n}\}.$$

Then, by (3.1), (3.2) and (3.3), we claim that

$$J_{N_{2n}, \psi_{\mathcal{H}}|_{N_{2n}}}(\mathcal{S}(W^{2n})) \cong \mathcal{S}(C_0). \tag{3.4}$$

Indeed, let $C = W^{2n} \setminus C_0$, which is an open set, since C_0 is closed in W^{2n} . By [1, Section 1.8], there is an exact sequence

$$0 \rightarrow \mathcal{S}(C) \xrightarrow{i} \mathcal{S}(W^{2n}) \xrightarrow{r} \mathcal{S}(C_0) \rightarrow 0,$$

where i is the canonical embedding that extends any function supported in C by zero to the whole space W^{2n} , and r is the restriction map to C_0 . By the exactness of Jacquet functors, there is also an exact sequence

$$0 \rightarrow J_{N_{2n}, \psi_{\mathcal{H}}|_{N_{2n}}}(\mathcal{S}(C)) \xrightarrow{i^*} J_{N_{2n}, \psi_{\mathcal{H}}|_{N_{2n}}}(\mathcal{S}(W^{2n})) \xrightarrow{r^*} J_{N_{2n}, \psi_{\mathcal{H}}|_{N_{2n}}}(\mathcal{S}(C_0)) \rightarrow 0.$$

By (3.1), (3.2) and (3.3), for any $(y_1, \dots, y_{2n}) \in C$, the character

$$n(X) \mapsto \psi\left(\frac{1}{2} \operatorname{tr}(Gr(y_1, \dots, y_{2n})v_{2n}X)\right) - \psi_{\mathcal{H}}(n(X))$$

is nontrivial. Hence, for $\phi \in \mathcal{S}(C)$, there exists a large enough compact subgroup N_ϕ of N_{2n} , such that

$$\begin{aligned} & \int_{N_\phi} (\omega_\psi(n(X), 1) - \psi_{\mathcal{H}}(n(X)))\phi(y_1, \dots, y_{2n})dX \\ &= \int_{N_\phi} \left(\psi\left(\frac{1}{2} \operatorname{tr}(Gr(y_1, \dots, y_{2n})v_{2n}X)\right) - \psi_{\mathcal{H}}(n(X))\right)\phi(y_1, \dots, y_{2n})dX \\ &= 0. \end{aligned}$$

So, $J_{N_{2n}, \psi_{\mathcal{H}}|_{N_{2n}}}(\mathcal{S}(C)) = 0$, and

$$J_{N_{2n}, \psi_{\mathcal{H}}|_{N_{2n}}}(\mathcal{S}(W^{2n})) \cong J_{N_{2n}, \psi_{\mathcal{H}}|_{N_{2n}}}(\mathcal{S}(C_0)) \cong \mathcal{S}(C_0).$$

This proves the claim in (3.4).

Therefore,

$$\operatorname{Hom}_{M'_{2n} \times Sp_{4m}}(\mathcal{S}(C_0), 1 \otimes \pi) \neq 0. \tag{3.5}$$

Note that $M'_{2n} = \{m(g) \in M_{2n} | g \in Sp_{2n}\}$.

By [9, Section 2.7], for $\phi \in \mathcal{S}(C_0)$, $m(g) \in M'_{2n}$, $h \in Sp_{4m}$,

$$\omega_\psi(m(g), h)\phi(y_1, \dots, y_{2n}) = \phi((y_1h, \dots, y_{2n}h)v_{2n}gv_{2n}).$$

Note that by Witt Theorem, $M'_{2n} \times Sp_{4m}$ acts transitively on C_0 , and

$$(f_{-2m+n-1}, \dots, f_{-2m}, f_{2m}, \dots, f_{2m-n+1})$$

is a representative. Let R be the stabilizer of this representative. Then

$$R = \left\{ (m(g), \begin{pmatrix} a & 0 & b \\ 0 & g^{-1} & 0 \\ c & 0 & d \end{pmatrix}) \mid g \in Sp_{2n}, \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in Sp_{4m-2n} \right\}.$$

Hence, $\mathcal{S}(C_0)$ is isomorphic to the compactly induced representation

$$\text{c-Ind}_R^{M'_{2n} \times Sp_{4m}}(1).$$

Therefore, by (3.5),

$$\text{Hom}_{M'_{2n} \times Sp_{4m}}(\text{c-Ind}_R^{M'_{2n} \times Sp_{4m}}(1), 1 \otimes \pi) \neq 0,$$

that is,

$$\text{Hom}_{M'_{2n} \times Sp_{4m}}(1 \otimes \tilde{\pi}, \text{Ind}_R^{M'_{2n} \times Sp_{4m}}(1)) \neq 0,$$

where $\tilde{\pi}$ is the contragredient of π . Note that in general, given admissible representations σ and τ of a connected reductive group G , one has

$$\text{Hom}_G(\sigma, \tau) \cong \text{Hom}_G(\tilde{\tau}, \tilde{\sigma}).$$

And note that

$$\text{c-Ind}_R^{\widetilde{M'_{2n} \times Sp_{4m}}}(1) \cong \text{Ind}_R^{M'_{2n} \times Sp_{4m}}(1),$$

since both $M'_{2n} \times Sp_{4m}$ and R are unimodular.

Then, by Frobenius Reciprocity, we have

$$\text{Hom}_R(1 \otimes \tilde{\pi}, 1) \neq 0,$$

that is,

$$\text{Hom}_{\text{Sp}_{2n}(F) \times \text{Sp}_{4m-2n}(F)}(\tilde{\pi}, 1) \neq 0,$$

which means that $\tilde{\pi}$ has a nonzero generalized symplectic linear model. It follows from an argument as in the proof of [2, Theorem 17] that π also has a nonzero generalized symplectic linear model. Indeed, by [9, pp. 91–92], $\tilde{\pi} \cong \pi^\delta$, where $\delta = v_{4m}$, and $\pi^\delta(g) = \pi(\delta g \delta^{-1}) = \pi(\delta g \delta)$. Hence, π^δ has a nonzero generalized symplectic linear model. Assume that l is a nonzero generalized symplectic linear functional of π^δ . Then, for any $(g_1, g_2) \in Sp_{2n} \times Sp_{4m-2n}$, $v \in V_\pi$,

$$l(\pi(g_1, g_2)v) = l(\pi^\delta(g_1, g_2)^\delta v) = l(\pi^\delta(v_{2n}g_1v_{2n}, v_{4m-2n}g_2v_{4m-2n})v) = l(v),$$

noting that $(v_{2n}g_1v_{2n}, v_{4m-2n}g_2v_{4m-2n}) \in Sp_{2n} \times Sp_{4m-2n}$. So, l is also a nonzero generalized symplectic linear functional of π . Therefore, π has a nonzero generalized symplectic linear model.

This completes the proof of Theorem 2.1, Part (1).

4. Proof of Theorem 2.1, Part (2)

In this section, we prove Theorem 2.1, Part (2), using a similar argument as in Section 3.

Assume that π is an irreducible admissible representation of Sp_{4n} with a nonzero generalized Shalika model, and σ is an irreducible admissible representation of SO_{4m} ($2m \geq n$) which is corresponding to π under the local theta correspondence, i.e.,

$$\text{Hom}_{SO_{4m} \times Sp_{4n}}(\omega_\psi, \sigma \otimes \pi) \neq 0.$$

Since π has a nonzero generalized Shalika model, that is,

$$\text{Hom}_{\tilde{\mathcal{H}}}(V_\pi, \psi_{\tilde{\mathcal{H}}}) \neq 0,$$

we have that

$$\text{Hom}_{SO_{4m} \times \tilde{\mathcal{H}}}(\omega_\psi, \sigma \otimes \psi_{\tilde{\mathcal{H}}}) \neq 0.$$

Let V be a $4m$ -dimensional vector space over F , with the nondegenerate symmetric form from v_{4m} . Fix a basis $\{e_1, \dots, e_{2m}, e_{-2m}, \dots, e_{-1}\}$ of V over F , such that $(e_i, e_j) = (e_{-i}, e_{-j}) = 0, (e_i, e_{-j}) = \delta_{ij}$, for $i, j = 1, \dots, 2m$. Let $V^+ = \text{Span}_F\{e_1, \dots, e_{2m}\}$, $V^- = \text{Span}_F\{e_{-1}, \dots, e_{-2m}\}$, then $V = V^+ + V^-$ is a complete polarization of V . Let W be a $4n$ -dimensional symplectic vector space over F , with the symplectic form $J_{4n} = \begin{pmatrix} 0 & v_{2n} \\ -v_{2n} & 0 \end{pmatrix}$. Fix a basis

$$\{f_1, \dots, f_{2n}, f_{-2n}, \dots, f_{-1}\}$$

of W over F , such that $(f_i, f_j) = (f_{-i}, f_{-j}) = 0, (f_i, f_{-j}) = \delta_{ij}$, for $i, j = 1, \dots, 2n$. Let $W^+ = \text{Span}_F\{f_1, \dots, f_{2n}\}$, $W^- = \text{Span}_F\{f_{-1}, \dots, f_{-2n}\}$, then $W = W^+ + W^-$ is a complete polarization of W . Realize the Weil representation ω_ψ in the space $\mathcal{S}(V \otimes W^+) \cong \mathcal{S}(V^{2n})$.

First, as in Section 3, we need to compute the Jacquet module of the Weil representation $J_{N_{2n}, \psi_{\mathcal{H}}|_{N_{2n}}}(\mathcal{S}(V^{2n}))$.

By [9, Section 2.6], for $\phi \in \mathcal{S}(V^{2n})$,

$$\omega_\psi(n(X), 1)\phi(x_1, \dots, x_{2n}) = \psi\left(\frac{1}{2}\text{tr}(Gr(x_1, \dots, x_{2n})Xv_{2n})\right)\phi(x_1, \dots, x_{2n}), \tag{4.1}$$

where $Gr(x_1, \dots, x_{2n})$ is the Gram matrix of vectors x_1, \dots, x_{2n} . Since ${}^tX = v_{2n}Xv_{2n}$,

$$\psi\left(\frac{1}{2}\text{tr}(Gr(x_1, \dots, x_{2n})Xv_{2n})\right) \tag{4.2}$$

$$= \psi(x_{11}(x_1, x_{2n}) + \dots + x_{nn}(x_n, x_{n+1}) + \frac{1}{2}x_{1,2n}(x_1, x_1) + \dots), \tag{4.3}$$

where $\frac{1}{2} \text{tr}(Gr(x_1, \dots, x_{2n})Xv_{2n})$ involves all x_{ij} terms. And,

$$\psi_{\mathcal{H}}(n(X)) = \psi\left(\frac{1}{2} \text{tr}(X)\right) = \psi(x_{11} + \dots + x_{nn}). \tag{4.4}$$

By comparing (4.1), (4.2), let

$$C_0 = \{(x_1, \dots, x_{2n}) \in W^{2n} | Gr(x_1, \dots, x_{2n}) = v_{2n}\}.$$

Then, by (4.1), (4.2) and (4.4), a similar argument (details omitted) as in Section 3 shows that

$$J_{N_{2n}, \psi_{\mathcal{H}}|_{N_{2n}}}(\mathcal{S}(V^{2n})) \cong \mathcal{S}(C_0).$$

Therefore,

$$\text{Hom}_{SO_{4m} \times M'_{2n}}(\mathcal{S}(C_0), \sigma \otimes 1) \neq 0. \tag{4.5}$$

Note that $M'_{2n} = \{m(g) \in M_{2n} | g \in SO_{2n}\}$.

By [9, Section 2.6], for $\phi \in \mathcal{S}(C_0)$, $m(g) \in M'_{2n}$, $h \in SO_{4m}$,

$$\omega_{\psi}(h, m(g))\phi(x_1, \dots, x_{2n}) = \phi((h^{-1}x_1, \dots, h^{-1}x_{2n})g).$$

Note that by Witt Theorem, $SO_{4m} \times M'_{2n}$ acts transitively on C_0 , and

$$(e_{2m-n+1}, \dots, e_{2m}, e_{-2m}, \dots, e_{-2m+n-1})$$

is a representative. Let R be the stabilizer of this representative. Then

$$R = \left\{ \begin{pmatrix} a & 0 & b \\ 0 & g^{-1} & 0 \\ c & 0 & d \end{pmatrix} \middle| g \in SO_{2n}, \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SO_{4m-2n} \right\}.$$

Hence, $\mathcal{S}(C_0)$ is isomorphic to the compactly induced representation

$$\text{c-Ind}_R^{SO_{4m} \times M'_{2n}}(F)(1).$$

Hence, by (4.5),

$$\text{Hom}_{SO_{4m} \times M'_{2n}}(\text{c-Ind}_R^{SO_{4m} \times M'_{2n}}(1), \sigma \otimes 1) \neq 0,$$

that is,

$$\text{Hom}_{SO_{4m} \times M'_{2n}}(\tilde{\sigma} \otimes 1, \text{Ind}_R^{SO_{4m} \times M'_{2n}}(1)) \neq 0,$$

as in Section 3. By Frobenius Reciprocity, we have

$$\text{Hom}_R(\tilde{\sigma} \otimes 1, 1) \neq 0,$$

that is,

$$\text{Hom}_{SO_{2n} \times SO_{4m-2n}}(\tilde{\sigma}, 1) \neq 0.$$

So, $\tilde{\sigma}$ has a nonzero generalized orthogonal linear model. It follows that σ also has a generalized orthogonal linear model, as in Section 3. Indeed, by [10, Lemma 4.2], $\tilde{\sigma} \cong \sigma^{\delta_{2m}}$, where

$$\delta_{4m} = \begin{pmatrix} I_{2m-1} & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & I_{2m-1} \end{pmatrix},$$

and $\sigma^{\delta_{4m}}(g) = \sigma(\delta_{4m}g\delta_{4m}^{-1}) = \sigma(\delta_{4m}g\delta_{4m})$. So, $\sigma^{\delta_{4m}}$ has a nonzero generalized orthogonal linear model. Assume that ℓ is a nonzero generalized orthogonal linear functional of $\sigma^{\delta_{2m}}$. Then, for any $(g_1, g_2) \in SO_{2n} \times SO_{4m-2n}$, $v \in V_\sigma$,

$$\ell(\sigma(g_1, g_2)v) = \ell(\sigma^{\delta_{4m}}(g_1, g_2)^{\delta_{4m}}v) = \ell(\sigma^{\delta_{4m}}(\delta_{2n}g_1\delta_{2n}, g_2)v) = \ell(v),$$

noting that $\delta_{2n}g_1\delta_{2n} \in SO_{2n}$. Hence, ℓ is also a nonzero generalized orthogonal linear functional of σ . Therefore, σ has a nonzero generalized orthogonal linear model.

This completes the proof of Theorem 2.1, Part (2).

5. About converse of Theorem 2.1

In this section, we discuss some results related to the converse of Theorem 2.1.

First, we discuss the converse of Theorem 2.1, Part (1). Assume that π is an irreducible admissible representation of Sp_{4m} ($2m \geq n$) with a nonzero generalized symplectic linear model, and σ is an irreducible admissible representation of SO_{4n} , which is corresponding to π under the local theta correspondence, i.e.,

$$\text{Hom}_{SO_{4n} \times Sp_{4m}}(\omega_\psi, \sigma \otimes \pi) \neq 0.$$

Since π has a nonzero generalized symplectic linear model, that is,

$$\text{Hom}_{Sp_{2n} \times Sp_{4m-2n}}(V_\pi, 1) \neq 0,$$

we have that the space of $SO_{4n} \times Sp_{2n} \times Sp_{4m-2n}$ -equivariant homomorphisms

$$\text{Hom}_{SO_{4n} \times Sp_{2n} \times Sp_{4m-2n}}(\omega_\psi, \sigma) \neq 0. \tag{5.1}$$

As in Section 3, let V be a $4n$ -dimensional vector space over F , with the nondegenerate symmetric form v_{4n} . Fix a basis

$$\{e_1, \dots, e_{2n}, e_{-2n}, \dots, e_{-1}\}$$

of V over F , such that $(e_i, e_j) = (e_{-i}, e_{-j}) = 0, (e_i, e_{-j}) = \delta_{ij}$, for $i, j = 1, \dots, 2n$. Let $V^+ = \text{Span}_F\{e_1, \dots, e_{2n}\}, V^- = \text{Span}_F\{e_{-1}, \dots, e_{-2n}\}$, then $V = V^+ + V^-$ is a complete polarization of V . Fix a basis

$$\{f_1, \dots, f_{2m}, f_{-2m}, \dots, f_{-1}\}$$

of W over F , such that $(f_i, f_j) = (f_{-i}, f_{-j}) = 0, (f_i, f_{-j}) = \delta_{ij}$, for $i, j = 1, \dots, 2m$. Let

$$W_1 = \text{Span}_F\{f_{2m-n+1}, \dots, f_{2m}, f_{-2m}, \dots, f_{-2m+n-1}\},$$

$$W_2 = \text{Span}_F\{f_1, \dots, f_{2m-n}, f_{-2m+n}, \dots, f_{-1}\}.$$

Then $W = W_1 + W_2$, and $\omega_\psi = \omega_\psi^1 \otimes \omega_\psi^2$, where $\omega_\psi^1 \cong \mathcal{S}(V^- \otimes W_1)$, and $\omega_\psi^2 \cong \mathcal{S}(V^- \otimes W_2)$.

Then by (5.1),

$$\text{Hom}_{SO_{4n} \times Sp_{2n} \times Sp_{4m-2n}}(\omega_\psi^1 \otimes \omega_\psi^2, \sigma) \neq 0. \tag{5.2}$$

Let $\Theta(1_{W_1}, V) = \mathcal{S}(V^- \otimes W_1)_{Sp_{2n}}$ be the maximal quotient of $\mathcal{S}(V^- \otimes W_1)$ on which Sp_{2n} acts trivially, and $\Theta(1_{W_2}, V) = \mathcal{S}(V^- \otimes W_2)_{Sp_{4m-2n}}$ be the maximal quotient of $\mathcal{S}(V^- \otimes W_2)$ on which Sp_{4m-2n} acts trivially. Then by (5.2), one can see that σ must satisfy the following condition

$$\text{Hom}_{SO_{4n}}(\Theta(1_{W_1}, V) \otimes \Theta(1_{W_2}, V), \sigma) \neq 0.$$

Next, we discuss the converse of Theorem 2.1, Part (2). Assume that σ is an irreducible admissible representation of SO_{4m} ($2m \geq n$) with a nonzero generalized orthogonal linear model, and π is an irreducible admissible representation of Sp_{4n} , which is corresponding to σ under the local theta correspondence, that is,

$$\text{Hom}_{SO_{4m} \times Sp_{4n}}(\omega_\psi, \sigma \otimes \pi) \neq 0.$$

Since σ has a generalized orthogonal linear model, that is,

$$\text{Hom}_{SO_{2n} \times SO_{4m-2n}}(V_\sigma, 1) \neq 0,$$

we have that the space of $SO_{2n} \times SO_{4m-2n} \times Sp_{4n}$ -equivariant homomorphisms

$$\text{Hom}_{SO_{2n} \times SO_{4m-2n} \times Sp_{4n}}(\omega_\psi, \pi) \neq 0. \tag{5.3}$$

As in Section 4, let W be a $4n$ -dimensional symplectic vector space over F , with the symplectic form $J_{4n} = \begin{pmatrix} 0 & v_{2n} \\ -v_{2n} & 0 \end{pmatrix}$. Fix a basis

$$\{f_1, \dots, f_{2n}, f_{-2n}, \dots, f_{-1}\}$$

of W over F , such that $(f_i, f_j) = (f_{-i}, f_{-j}) = 0, (f_i, f_{-j}) = \delta_{ij}$, for $i, j = 1, \dots, 2n$. Let $W^+ = \text{Span}_F\{f_1, \dots, f_{2n}\}, W^- = \text{Span}_F\{f_{-1}, \dots, f_{-2n}\}$, then $W = W^+ + W^-$ is a complete polarization of W . Let V be a $4m$ -dimensional vector space over F , with the nondegenerate symmetric form v_{4m} . Fix a basis

$$\{e_1, \dots, e_{2m}, e_{-2m}, \dots, e_{-1}\}$$

of V over F , such that $(e_i, e_j) = (e_{-i}, e_{-j}) = 0, (e_i, e_{-j}) = \delta_{ij}$, for $i, j = 1, \dots, 2m$. Let

$$V_1 = \text{Span}_F\{e_{2m-n+1}, \dots, e_{2m}, e_{-2m}, \dots, e_{-2m+n-1}\},$$

$$V_2 = \text{Span}_F\{e_1, \dots, e_{2m-n}, e_{-2m+n}, \dots, e_{-1}\}.$$

Then $V = V_1 + V_2$, and $\omega_\psi = \omega_\psi^1 \otimes \omega_\psi^2$, where $\omega_\psi^1 \cong \mathcal{S}(V_1 \otimes W^+)$, and $\omega_\psi^2 \cong \mathcal{S}(V_2 \otimes W^+)$.

Then by (5.3),

$$\text{Hom}_{SO_{2n} \times SO_{4m-2n} \times Sp_{4n}}(\omega_\psi^1 \otimes \omega_\psi^2, \pi) \neq 0. \tag{5.4}$$

Let $\Theta(1_{V_1}, W) = \mathcal{S}(V_1 \otimes W^+)_{SO_{2n}}$ be the maximal quotient of $\mathcal{S}(V_1 \otimes W^+)$ on which SO_{2n} acts trivially, and $\Theta(1_{V_2}, W) = \mathcal{S}(V_2 \otimes W^+)_{SO_{4m-2n}}$ be the maximal quotient of $\mathcal{S}(V_2 \otimes W^+)$ on which SO_{4m-2n} acts trivially. Then by (5.4), one can see that π must satisfy the following condition

$$\text{Hom}_{Sp_{4n}}(\Theta(1_{V_1}, W) \otimes \Theta(1_{V_2}, W), \pi) \neq 0.$$

We summarize the above discussions as follows.

Theorem 5.1.

- (1) Assume that π is an irreducible admissible representation of Sp_{4m} ($2m \geq n$) with a nonzero generalized symplectic linear model, and σ is an irreducible admissible representation of SO_{4n} which is corresponding to π under the local theta correspondence, that is,

$$\text{Hom}_{SO_{4n} \times Sp_{4m}}(\omega_\psi, \sigma \otimes \pi) \neq 0.$$

Then, σ must satisfy the following condition

$$\text{Hom}_{SO_{4n}}(\Theta(1_{W_1}, V) \otimes \Theta(1_{W_2}, V), \sigma) \neq 0. \tag{5.5}$$

- (2) Assume that σ is an irreducible admissible representation of SO_{4m} ($2m \geq n$) with a nonzero generalized orthogonal linear model, and π is an irreducible admissible representation of Sp_{4n} which is corresponding to σ under the local theta correspondence, that is,

$$\mathrm{Hom}_{SO_{4m} \times Sp_{4n}}(\omega_\psi, \sigma \otimes \pi) \neq 0.$$

Then π must satisfy the following condition

$$\mathrm{Hom}_{Sp_{4n}}(\Theta(1_{V_1}, W) \otimes \Theta(1_{V_2}, W), \pi) \neq 0. \quad (5.6)$$

From [Theorem 2.1](#) and [Theorem 5.1](#), we have the following properties for representations of SO_{4n} or Sp_{4n} with nonzero generalized Shalika models.

Corollary 5.2.

- (1) Assume that σ is an irreducible admissible representation of SO_{4n} with a nonzero generalized Shalika model, and σ occurs in the local theta correspondence of SO_{4n} with Sp_{4m} ($2m \geq n$). Then σ satisfies [\(5.5\)](#).
- (2) Assume that π is an irreducible admissible representation of Sp_{4n} with a nonzero generalized Shalika model, and π occurs in the local theta correspondence of Sp_{4n} with SO_{4m} ($2m \geq n$). Then π satisfies [\(5.6\)](#).

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