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On the asymptotic sequences over an ideal[☆]



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ABSTRACT

Let I be an ideal of a Noetherian ring R . Ratliff has shown that very many known results concerning R -sequences and classical grade have a valid analogue for asymptotic sequences and asymptotic grade. As a generalization of the concepts of asymptotic sequences and asymptotic grade, we introduce the concepts of asymptotic I -sequences and asymptotic I -grade. It is shown that they play a role analogous to asymptotic sequences and asymptotic grade.

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1. Introduction

In [16], Rees introduced the important concept of an asymptotic sequence over an ideal I in a Noetherian ring R . Such sequences have been used in [1,15,16] to help proving results concerning the Rees-good basis, I -independent elements and analytic spread.

In [13], Ratliff proved that all asymptotic sequences in R (that is, asymptotic sequences over $(0)R$) which are maximal with respect to being contained in I have the same length and are denoted $\text{agd}(I)$. He showed that asymptotic sequences in R have many basic properties of regular sequences. Later, in [3], Katz showed that any two maximal asymptotic sequences over I in a Noetherian local ring R have the same length and

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are denoted $\text{acogd}(I)$, and in [9,14], Ratliff and McAdam gave another results concerning asymptotic sequences over an ideal and asymptotic cograde.

Let I and J be ideals of a Noetherian ring R . In this paper, we show that all maximal asymptotic sequences over I coming from J (say, *asymptotic I -sequence from J* (see Definition 2.3)) have the same length and are denoted $\text{agd}_I(J)$. By this fact, we introduce the concept of asymptotic I -grade. Using this concept we give more knowledge concerning asymptotic sequences over an ideal.

Section 2 contains several results showing that asymptotic I -sequences from J in a Noetherian ring R behave nicely with respect to passing to certain rings related to R . In Section 3 it is shown that asymptotic I -grade of J is well defined and likewise behaves nicely when passing to the same type of related rings. Also, it is shown that, if $\mathbf{x} = x_1, \dots, x_n$ is a sequence of elements in the Jacobson radical of R , then \mathbf{x} is an asymptotic sequence over I if and only if $\text{agd}_I((\mathbf{x})R) = n$, and some consequences of this result are given. Before proving this result, however, it is first shown that if b be an element in the Jacobson radical of R , then

$$\text{agd}_I(J) \leq \text{agd}_I((J, (b))R) \leq \text{agd}_I(J) + 1.$$

In Section 4 we give four upper bounds and two lower bounds on $\text{agd}_I(J)$. In Section 5 several useful characterizations are given for $\text{agd}_I(J)$ to be equal to height $J(R/I)$ and define *strongly quasi- I -unmixed* rings (see Definition 5.1). Then we give some consequences of these characterizations. For instance, in [9], it was shown that if x_1, \dots, x_n is an asymptotic sequence over I , then $x_1 + I, \dots, x_n + I$ is an asymptotic sequence in R/I . A natural question to ask is when the converse holds. In this section we prove the converse holds if R is locally strongly quasi- I -unmixed. Finally, it is shown that if $\mathfrak{p} \in V(I)$ and $R_{\mathfrak{p}}$ is strongly quasi- $IR_{\mathfrak{p}}$ -unmixed, then $R_{\mathfrak{q}}$ is strongly quasi- $IR_{\mathfrak{q}}$ -unmixed for all but finitely many elements of $\{\mathfrak{q} \in \text{Spec } R \mid \mathfrak{p} \subset \mathfrak{q}, \text{ height } \mathfrak{q}/\mathfrak{p} = 1\}$.

All rings in this paper are commutative with a unit $1 \neq 0$. For any R -module M we shall use $\text{mAss}_R M$ to denote the set of minimal elements of $\text{Ass}_R M$. If (R, \mathfrak{m}) is a Noetherian local ring, then R^* denotes the completion of R with respect to the \mathfrak{m} -adic topology. For any ideal I of R , the radical of I , denoted by \sqrt{I} , is defined to be the set $\{x \in R \mid x^n \in I \text{ for some } n \in \mathbb{N}\}$. Moreover, we use $V(I)$ to denote the set of prime ideals of R containing I . Further, the integral closure of I is denoted by \bar{I} , so $\bar{I} := \{x \in R \mid x \text{ satisfies an equation of the form } x^n + c_1 x^{n-1} + \dots + c_n = 0, \text{ where } c_i \in I^i \text{ for } i = 1, \dots, n\}$. Finally, we denote by \mathcal{R} the graded Rees ring $R[u, It] := \bigoplus_{n \in \mathbb{Z}} I^n t^n$ of R with respect to I , where t is an indeterminate and $u = t^{-1}$. For any unexplained notation or terminology we refer the reader to [5,17].

2. Asymptotic I -sequences

In this section we give a number of elementary properties of asymptotic I -sequences. We begin with definitions.

Definition 2.1. Let $I \subseteq \mathfrak{p}$ be ideals of a Noetherian ring R such that \mathfrak{p} is prime.

(2.1.1) \mathfrak{p} is called a *quintessential* (resp., *quintasymptotic*) prime ideal of I precisely when there exists $z \in \text{Ass}_{R_{\mathfrak{p}}} R_{\mathfrak{p}}^*$ (resp., $z \in \text{mAss}_{R_{\mathfrak{p}}} R_{\mathfrak{p}}^*$) such that $IR_{\mathfrak{p}}^* + z$ is $\mathfrak{p}R_{\mathfrak{p}}^*$ -primary. The set of *quintessential* (resp., *quintasymptotic*) primes of I is denoted by $Q(I)$ (resp., $\overline{Q}^*(I)$).

(2.1.2) Let $\mathcal{R} = \mathcal{R}(I, R)$ be the Rees ring of R with respect to I , then \mathfrak{p} is *asymptotic* prime of I precisely when there exists $\mathfrak{q} \in \overline{Q}^*(u\mathcal{R})$ such that $\mathfrak{p} = \mathfrak{q} \cap R$. The set of *asymptotic* primes of I is denoted by $\overline{A}^*(I)$.

The following lemma lists the known basic facts concerning $\overline{A}^*(I)$ which will be needed below.

Lemma 2.2. Let I and J be ideals in a Noetherian ring R . Then the following hold:

(i) $\overline{A}^*(I) = \bigcup_{n \in \mathbb{N}} \text{Ass}_R(R/\overline{I^n}) = \text{Ass}_R(R/\overline{I^n})$ for all large n , and it contains all minimal prime divisors of I .

(ii) If $I \subseteq \mathfrak{p} \in \text{Spec } R$ and S is a multiplicatively closed subset of R which is disjoint from \mathfrak{p} , then $\mathfrak{p} \in \overline{A}^*(I)$ if and only if $\mathfrak{p}R_S \in \overline{A}^*(IR_S)$.

(iii) $\mathfrak{p} \in \overline{A}^*(I)$ if and only if there is $z \in \text{mAss}_R R$ such that $z \subseteq \mathfrak{p}$ and $\mathfrak{p}/z \in \overline{A}^*(I(R/z))$.

(iv) Let the ring T be an integral Noetherian extension of R . If $\mathfrak{p} \in \overline{A}^*(I)$, then there is a $\mathfrak{q} \in \overline{A}^*(IT)$ such that $\mathfrak{q} \cap R = \mathfrak{p}$. Moreover, if every minimal prime in T lies over a minimal prime in R , then the converse holds.

(v) Let the ring T be a flat Noetherian extension of R . Let \mathfrak{q} be a prime ideal in T such that $\mathfrak{p} = \mathfrak{q} \cap R$. If $\mathfrak{q} \in \overline{A}^*(IT)$, then $\mathfrak{p} \in \overline{A}^*(I)$. Moreover, if $\mathfrak{p} \in \overline{A}^*(I)$ and \mathfrak{q} is minimal over $\mathfrak{p}T$, then $\mathfrak{q} \in \overline{A}^*(IT)$.

(vi) $\overline{A}^*((I, X)R[X]) = \{(\mathfrak{p}, X)R[X] \mid \mathfrak{p} \in \overline{A}^*(I)\}$.

Proof. (i) follows from [6, Lemmas 0.1]. Since localization commutes with integral closure, then (ii) holds by (i). (iii)–(v) are proved in [7, Propositions 3.18((i) \Leftrightarrow (iv)) and 3.22] and [12, Theorems 6.5 and 6.8]. To prove (vi), let $\mathfrak{p} \in \overline{A}^*(I)$. Then by (ii), (iii) and (v), we may assume that R is a complete local domain with maximal ideal \mathfrak{p} . Then, in view of [10, Proposition 7], $\mathcal{R}(I, R)$ and $\mathcal{R}((I, X)R[X], R[X])$ are locally unmixed. Thus $\overline{Q}^*(J) = Q(J)$ for all ideals J in $\mathcal{R}(I, R)$ and $\mathcal{R}((I, X)R[X], R[X])$. Therefore $(\mathfrak{p}, X)R[X] \in \overline{A}^*((I, X)R[X])$, by [4, Theorem 2.5(v)]. The other inclusion is similar. \square

Definition 2.3. Let I and J be ideals of a Noetherian ring R .

(2.3.1) A sequence $\mathbf{x} = x_1, \dots, x_n$ of elements of J is called an *asymptotic I -sequence from J* if, $(I, (\mathbf{x}))R \neq R$ and for all $1 \leq i \leq n$, we have $x_i \notin \bigcup \{\mathfrak{p} \in \overline{A}^*((I, (x_1, \dots, x_{i-1}))R)\}$.

(2.3.2) An asymptotic I -sequence $\mathbf{x} = x_1, \dots, x_n$ from J is *maximal* if x_1, \dots, x_n, x_{n+1} is not an asymptotic I -sequence from J for any $x_{n+1} \in J$.

(2.3.3) The *asymptotic I -grade* of J , denoted $\text{agd}_I(J)$ is the length of a maximal asymptotic I -sequence from J .

The following proposition shows that the asymptotic I -sequences behave well under passing to localization.

Proposition 2.4. *Let I and J be ideals in a Noetherian ring R and let $\mathbf{x} = x_1, \dots, x_n$ be a sequence of elements of J . Let S be a multiplicatively closed subset of R such that $(I + J)R_S \neq R_S$. Then the following statements hold:*

(i) *If \mathbf{x} is an asymptotic I -sequence from J , then the image of \mathbf{x} in R_S is an asymptotic IR_S -sequence from JR_S . The converse holds if for all $\mathfrak{p} \in \bigcup\{\mathfrak{q} \in \overline{A}^*((I, (x_1, \dots, x_i))R); i = 0, \dots, n-1\}$, we have $\mathfrak{p}R_S \neq R_S$.*

(ii) *If for all $\mathfrak{p} \in \bigcup\{\mathfrak{q} \in \overline{A}^*((I, (x_1, \dots, x_i))R); i = 0, \dots, n\}$, we have $\mathfrak{p}R_S \neq R_S$, then \mathbf{x} is a maximal asymptotic I -sequence from J if and only if the image of \mathbf{x} in R_S is a maximal asymptotic IR_S -sequence from JR_S .*

Proof. It follows readily from Lemma 2.2(ii). \square

The following result shows that the asymptotic I -sequences behave well under passing to the factor rings modulo minimal primes of R .

Proposition 2.5. *Let I and J be ideals in a Noetherian ring R and let $\mathbf{x} = x_1, \dots, x_n$ be a sequence of elements of J . Then the following statements hold:*

(i) *\mathbf{x} is an asymptotic I -sequence from J if and only if the image of \mathbf{x} in R/z is an asymptotic $I(R/z)$ -sequence from $J(R/z)$ for all $z \in \text{mAss}_R R$.*

(ii) *\mathbf{x} is a maximal asymptotic I -sequence from J if and only if the image of \mathbf{x} in R/z is an asymptotic $I(R/z)$ -sequence from $J(R/z)$ for all $z \in \text{mAss}_R R$ and there exists $w \in \text{mAss}_R R$ such that the image of \mathbf{x} in R/w is a maximal asymptotic $I(R/w)$ -sequence from $J(R/w)$.*

Proof. It follows readily from Lemma 2.2(iii). \square

Corollary 2.6. *Let I and J be ideals of a Noetherian ring R and let $\mathbf{x} = x_1, \dots, x_n$ be a sequence of elements of J . Let K be an ideal of R contained $\text{Rad } R$. Then \mathbf{x} is a/an (resp., maximal) asymptotic I -sequence from J if and only if \mathbf{x} is a/an (resp., maximal) asymptotic $I(R/K)$ -sequence from $J(R/K)$.*

Proof. This follows easily from Proposition 2.5, since there is a one-to-one correspondence between the minimal prime ideals in R and in R/K . \square

The next result shows that the asymptotic I -sequences behave well under passing to finite integral extension rings of R .

Proposition 2.7. *Let $R \subseteq T$ be an integral extension of Noetherian rings. Let I and J be ideals of R and let $\mathbf{x} = x_1, \dots, x_n$ be a sequence of elements of J . Then the following statements hold:*

(i) If \mathbf{x} is an asymptotic IT -sequence from JT , then \mathbf{x} is an asymptotic I -sequence from J .

(ii) If every minimal prime of T lies over a minimal prime in R , then \mathbf{x} is a/an (resp., maximal) asymptotic I -sequence from J if and only if \mathbf{x} is a/an (resp., maximal) asymptotic IT -sequence from JT .

Proof. It follows readily from Lemma 2.2(iv). \square

The next result shows that the asymptotic I -sequences behave well under passing to flat Noetherian extension rings of R .

Proposition 2.8. Let $R \subseteq T$ be a flat extension of Noetherian rings. Let I and J be ideals of R and let $\mathbf{x} = x_1, \dots, x_n$ be a sequence of elements of J . Then \mathbf{x} is a/an (resp., maximal) asymptotic I -sequence from J if and only if \mathbf{x} is a/an (resp., maximal) asymptotic IT -sequence from JT .

Proof. It follows readily from Lemma 2.2(v). \square

The next proposition concerns the asymptotic I -sequences and asymptotic $IR[X]$ -sequences, where X is an indeterminate over R .

Proposition 2.9. Let I and J be ideals in a Noetherian ring R and let $\mathbf{x} = x_1, \dots, x_n$ be a sequence of elements of J . Then the following statements are equivalent:

- (i) The sequence \mathbf{x} is a/an (resp., maximal) asymptotic I -sequence from J .
- (ii) The sequence $x_1, \dots, x_i, X, x_{i+1}, \dots, x_n$ is a/an (resp., maximal) asymptotic $IR[X]$ -sequence from $(J, X)R[X]$ for some $i = 0, 1, \dots, n$.
- (iii) The sequence $x_1, \dots, x_i, X, x_{i+1}, \dots, x_n$ is a/an (resp., maximal) asymptotic $IR[X]$ -sequence from $(J, X)R[X]$ for every $i = 0, 1, \dots, n$.

Proof. In view of Lemma 2.2(v), for $j = 0, 1, \dots, i$, we have

$$\overline{A^*}((I, (x_1, \dots, x_j))R[X]) = \{\mathfrak{p}R[X] \mid \mathfrak{p} \in \overline{A^*}((I, (x_1, \dots, x_j))R)\}$$

(note that, for an ideal J in R , the prime divisors of $JR[X]$ are $\mathfrak{p}R[X]$ such that \mathfrak{p} is a prime divisor of J). Also, it is clear that X is not in any prime divisor of $(I, (x_1, \dots, x_i))R[X]$. Moreover, for $k = 0, 1, \dots, n - i$, we have

$$\begin{aligned} \overline{A^*}((I, (x_1, \dots, x_i, X, x_{i+1}, \dots, x_{i+k}))R[X]) = \\ \{(\mathfrak{p}, X)R[X] \mid \mathfrak{p} \in \overline{A^*}((I, (x_1, \dots, x_{i+k}))R)\}, \end{aligned}$$

by Lemma 2.2(vi). Now, the result follows. \square

Proposition 2.11 concerns asymptotic I -sequences and projectively equivalent ideals. Before this we need the following definition.

Definition 2.10. Let I be an ideal of a Noetherian ring R .

(2.10.1) A sequence $\mathbf{x} = x_1, \dots, x_n$ of elements of R is called an *asymptotic sequence over I* if, $(I, (\mathbf{x}))R \neq R$ and for all $1 \leq i \leq n$, we have $x_i \notin \bigcup\{\mathfrak{p} \in \overline{A^*}((I, (x_1, \dots, x_{i-1}))R)\}$.

(2.10.2) An asymptotic sequence over $(0)R$ is simply called an *asymptotic sequence in R* .

(2.10.3) An asymptotic sequence $\mathbf{x} = x_1, \dots, x_n$ of elements of R over I is *maximal* if x_1, \dots, x_n, x_{n+1} is not an asymptotic sequence over I for any $x_{n+1} \in R$.

Proposition 2.11. Let I, I' and J be ideals in a Noetherian ring R and let $\mathbf{x} = x_1, \dots, x_n$ be a sequence of elements of J . If I and I' are projectively equivalent (i.e., there exist positive integers r and s with $\overline{I^r} = \overline{I'^s}$), then \mathbf{x} is a/an (resp., maximal) asymptotic I -sequence from J if and only if \mathbf{x} is a/an (resp., maximal) asymptotic I' -sequence from J .

Proof. If \mathbf{x} is an asymptotic I -sequence from J , then \mathbf{x} is an asymptotic sequence over I , by Definitions 2.3 and 2.10. Then $\overline{A^*}((I, (\mathbf{x}))R) = \overline{A^*}((I', (\mathbf{x}))R)$ by the proof of [7, Proposition 6.24], so the conclusion follows from this. \square

3. On asymptotic I -grade

In this section, we show that any two maximal asymptotic I -sequences from J have the same length and introduce the concept of asymptotic I -grade of an ideal. We begin with a remark. We recall that if I be an ideal of a Noetherian local ring (R, \mathfrak{m}) , then $\ell(I)$ denotes the analytic spread of the ideal I , so $\ell(I) := \dim \mathcal{R}(I, R)/(\mathfrak{m}, u)\mathcal{R}(I, R)$.

Remark 3.1. Let I be an ideal of a Noetherian ring R .

(3.1.1) It follows from [13, Theorem 3.1], that all maximal asymptotic sequences in R with elements coming from I have the same length and are denoted $\text{agd}(I)$. Moreover,

$$\begin{aligned} \text{agd}(I) &= \min\{\text{height}(IR_{\mathfrak{p}}^* + z)/z \mid \mathfrak{p} \in V(I) \text{ and } z \in \text{mAss}_{R_{\mathfrak{p}}^*} R_{\mathfrak{p}}^*\} \\ &= \min\{\dim R_{\mathfrak{p}}^*/z \mid \mathfrak{p} \in V(I) \text{ and } z \in \text{mAss}_{R_{\mathfrak{p}}^*} R_{\mathfrak{p}}^*\}. \end{aligned}$$

Also, if R is local, then

$$\text{agd}(I) = \min\{\text{height}(IR^* + z)/z \mid z \in \text{mAss}_{R^*} R^*\},$$

by [13, Corollary 2.13].

(3.1.2) It is shown in [3] that if R is local, then any two maximal asymptotic sequences over I have the same length and are denoted $\text{acogd}(I)$. Moreover,

$$\text{acogd}(I) = \min\{\dim R^*/z - \ell((IR^* + z)/z) \mid z \in \text{mAss}_{R^*} R^*\}.$$

The next proposition shows that $\operatorname{agd}_I(J)$ is unambiguously defined, for all ideals I and J in a Noetherian ring R .

Proposition 3.2. *Let I and J be ideals in a Noetherian ring R . Then*

$$\operatorname{agd}_I(J) = \min\{\operatorname{acogd}(IR_{\mathfrak{p}}) \mid \mathfrak{p} \in V(I + J)\}.$$

Proof. Let $\mathbf{x} = x_1, \dots, x_n$ be a maximal asymptotic I -sequence from J and $\mathfrak{p} \in V(I + J)$, then the image of \mathbf{x} in $R_{\mathfrak{p}}$ is an asymptotic sequence over $IR_{\mathfrak{p}}$, by Proposition 2.4(i). So $\operatorname{acogd}(IR_{\mathfrak{p}}) \geq n$. Also, there exists $\mathfrak{q} \in \overline{A^*}((I, (\mathbf{x}))R)$ such that $J \subseteq \mathfrak{q}$. Then $\mathfrak{q} \in V(I + J)$ and the image of \mathbf{x} in $R_{\mathfrak{q}}$ is a maximal asymptotic sequence over $IR_{\mathfrak{q}}$, by Proposition 2.4(i) and Lemma 2.2(ii). Therefore $\operatorname{acogd}(IR_{\mathfrak{q}}) = n$ and the result follows. \square

In the next proposition we collect some basic properties of the asymptotic I -grade.

Proposition 3.3. *Let I, I', J and J' be ideals in a Noetherian ring R . Then the following hold:*

- (i) *If $J \subseteq J'$, then $\operatorname{agd}_I(J) \leq \operatorname{agd}_I(J')$.*
- (ii) *If $J' \subseteq I$, then $\operatorname{agd}_I(J) = \operatorname{agd}_I(J' + J)$.*
- (iii) *If $\sqrt{J} = \sqrt{J'}$, then $\operatorname{agd}_I(J) = \operatorname{agd}_I(J')$.*
- (iv) *If $\sqrt{J} \subseteq \sqrt{I}$, then $\operatorname{agd}_I(J) = 0$.*
- (v) *If I and I' are projectively equivalent, then $\operatorname{agd}_I(J) = \operatorname{agd}_{I'}(J)$.*
- (vi) $\operatorname{agd}_I(J) = \min\{\operatorname{agd}_I(\mathfrak{p}) \mid \mathfrak{p} \text{ is a minimal prime divisor of } J\}$.
- (vii) $\operatorname{agd}_I(JJ') = \operatorname{agd}_I(J \cap J') = \min\{\operatorname{agd}_I(J), \operatorname{agd}_I(J')\}$.
- (viii) *If $\mathbf{x} = x_1, \dots, x_n$ is an asymptotic sequence over I , then $\operatorname{agd}_I((\mathbf{x})R) = n$.*

Proof. (i)–(iv) and (v) follow easily from Propositions 3.2 and 2.11. For (vi), it is enough to show that there exists a minimal prime divisor \mathfrak{p} of J such that $\operatorname{agd}_I(J) = \operatorname{agd}_I(\mathfrak{p})$, by (i). Let $\mathbf{x} = x_1, \dots, x_n$ be a maximal asymptotic I -sequence from J . Then there exists a prime ideal $\mathfrak{q} \in \overline{A^*}((I, (\mathbf{x}))R)$ such that $J \subseteq \mathfrak{q}$. Let \mathfrak{p} be minimal prime divisor of J such that $\mathfrak{p} \subseteq \mathfrak{q}$, then $n = \operatorname{agd}_I(J) \leq \operatorname{agd}_I(\mathfrak{p}) \leq \operatorname{agd}_I(\mathfrak{q}) = n$, by (i). For (vii), $\operatorname{agd}_I(JJ') \leq \operatorname{agd}_I(J \cap J') \leq \min\{\operatorname{agd}_I(J), \operatorname{agd}_I(J')\}$, by (i), and $\operatorname{agd}_I(JJ') = \operatorname{agd}_I(\mathfrak{p})$ for some minimal prime divisor \mathfrak{p} of JJ' , by (vi). Now either J or J' is contained in \mathfrak{p} , say J . Then necessarily $\operatorname{agd}_I(JJ') = \operatorname{agd}_I(J) = \operatorname{agd}_I(\mathfrak{p})$, by (i), so it follows that $\min\{\operatorname{agd}_I(J), \operatorname{agd}_I(J')\} \leq \operatorname{agd}_I(JJ')$. For (viii), since \mathbf{x} is an asymptotic I -sequence from $(\mathbf{x})R$, then $n \leq \operatorname{agd}_I((\mathbf{x})R)$. Let $\mathfrak{p} \in \overline{A^*}((I, (\mathbf{x}))R)$, then the image of \mathbf{x} in $R_{\mathfrak{p}}$ is a maximal asymptotic sequence over $IR_{\mathfrak{p}}$, by Proposition 2.4(i) and Lemma 2.2(ii). Therefore $\operatorname{agd}_I((\mathbf{x})R) \leq \operatorname{acogd}(IR_{\mathfrak{p}}) = n$, by Proposition 3.2 and the conclusion follows. \square

The next theorem shows that $\operatorname{agd}_I(J)$ behaves nicely when passing to certain related ideals.

Theorem 3.4. *Let I and J be ideals in a Noetherian ring R . Then the following hold:*

- (i) If S is a multiplicatively closed subset of R disjoint from I and J , then $\text{agd}_I(J) \leq \text{agd}_{IR_S}(JR_S)$.
- (ii) $\text{agd}_I(J) = \min\{\text{agd}_{IR_{\mathfrak{p}}}(JR_{\mathfrak{p}}) \mid \mathfrak{p} \in V(I+J)\}$.
- (iii) $\text{agd}_I(J) = \min\{\text{agd}_{IR_{\mathfrak{m}}}(JR_{\mathfrak{m}}) \mid \mathfrak{m} \text{ is a maximal prime ideal in } V(I+J)\}$.
- (iv) $\text{agd}_I(J) = \min\{\text{agd}_{I(R/z)}(J(R/z)) \mid z \in \text{mAss}_R R\}$.
- (v) $\text{agd}_I(J) = \text{agd}_{I(R/K)}(J(R/K))$, for all ideals K contained $\text{Rad } R$.
- (vi) If T is an integral Noetherian extension ring of R such that every minimal prime of T lies over a minimal prime of R , then $\text{agd}_I(J) = \text{agd}_{IT}(JT)$.
- (vii) If T is a flat Noetherian extension of R , then $\text{agd}_I(J) = \text{agd}_{IT}(JT)$.
- (viii) $\text{agd}_I(J) = \text{agd}_{(I,X)R[X]}(JR[X]) = \text{agd}_{IR[X]}((J,X)R[X]) - 1$.

Proof. (i) and (iv)–(viii) follow from Propositions 2.4(i), 2.5(ii), 2.7(ii), 2.8, 2.9 and Corollary 2.6.

For (ii), there exists $\mathfrak{p} \in V(I+J)$ such that $\text{agd}_I(J) = \text{acogd}(IR_{\mathfrak{p}})$, by Proposition 3.2. Then by (i) and Proposition 3.3(i), we have

$$\text{agd}_I(J) \leq \text{agd}_{IR_{\mathfrak{p}}}(JR_{\mathfrak{p}}) \leq \text{agd}_{IR_{\mathfrak{p}}}(\mathfrak{p}R_{\mathfrak{p}}) = \text{acogd}(IR_{\mathfrak{p}}) = \text{agd}_I(J).$$

For (iii), there exists $\mathfrak{p} \in V(I+J)$ such that $\text{agd}_I(J) = \text{agd}_{IR_{\mathfrak{p}}}(JR_{\mathfrak{p}})$, by (ii). Then $\text{agd}_I(J) \leq \text{agd}_{IR_{\mathfrak{m}}}(JR_{\mathfrak{m}}) \leq \text{agd}_{IR_{\mathfrak{p}}}(JR_{\mathfrak{p}}) = \text{agd}_I(J)$, for all maximal prime ideals \mathfrak{m} that contains \mathfrak{p} , by (i). \square

The following proposition will be useful in Section 5.

Proposition 3.5. Let I, J be ideals in a Noetherian ring R , then there exist an integer $m \geq 0$ and a chain of prime ideals $J \subseteq \mathfrak{p}_0 \subset \mathfrak{p}_1 \subset \dots \subset \mathfrak{p}_m$ such that \mathfrak{p}_m is a maximal prime ideal and $\text{agd}_I(\mathfrak{p}_i) = \text{agd}_I(J) + i$ for $i = 0, \dots, m$.

Proof. Let $\text{agd}_I(J) = n$ and $\mathbf{x} = x_1, \dots, x_n$ be a maximal asymptotic I -sequence from J . Then there exists a prime ideal $\mathfrak{q}_0 \in \overline{A^*}((I, (\mathbf{x}))R)$ such that $J \subseteq \mathfrak{q}_0$. So $\text{agd}_I(\mathfrak{q}_0) = n$. Let \mathfrak{m}_0 be a maximal prime ideal such that $\mathfrak{q}_0 \subseteq \mathfrak{m}_0$.

We have two cases by Proposition 3.3(i):

Case 1: $\text{agd}_I(\mathfrak{q}_0) = \text{agd}_I(\mathfrak{m}_0)$, then we set $m = 0$ and $\mathfrak{p}_0 = \mathfrak{m}_0$.

Case 2: $\text{agd}_I(\mathfrak{q}_0) < \text{agd}_I(\mathfrak{m}_0)$, then we set $\mathfrak{p}_0 = \mathfrak{q}_0$. There exists $x_{n+1} \in \mathfrak{m}_0$ such that x_{n+1} is an asymptotic sequence over $(I, (\mathbf{x}))R$. Let \mathfrak{q}_1 be a minimal prime divisor of $(\mathfrak{q}_0, (x_{n+1}))R$, then $\mathfrak{q}_1 \in \overline{A^*}((I, (\mathbf{x}), (x_{n+1}))R)$, by [7, Lemma 6.13]. So $\text{agd}_I(\mathfrak{q}_1) = n + 1$. Now, replace \mathfrak{q}_0 with \mathfrak{q}_1 and continue this process. Note that R is Noetherian ring. \square

Proposition 3.6. Let I and J be ideals in a Noetherian ring R and let b be an element in the Jacobson radical of R . Then

$$\text{agd}_I(J) \leq \text{agd}_I((J, (b))R) \leq \text{agd}_I(J) + 1.$$

Proof. The first inequality follows from Proposition 3.3(i). Let $\text{agd}_I(J) = n$ and $\mathbf{x} = x_1, \dots, x_n$ be a maximal asymptotic I -sequence from J . If $(J, (b))R \subseteq \cup\{\mathfrak{p} \mid \mathfrak{p} \in \overline{A^*}((I, (\mathbf{x}))R)\}$, then \mathbf{x} is a maximal asymptotic I -sequence from $(J, (b))R$ and the second inequality holds. Otherwise, there exists $j \in J$ such that $j+b \notin \cup\{\mathfrak{p} \mid \mathfrak{p} \in \overline{A^*}((I, (\mathbf{x}))R)\}$, by [2, Theorem 124]. Let $b_1 = j + b$, then $(J, (b))R = (J, (b_1))R$. There exists a prime ideal $\mathfrak{q} \in \overline{A^*}((I, (\mathbf{x}))R)$ such that $J \subseteq \mathfrak{q}$. Let \mathfrak{q}_1 be a minimal prime divisor of $(\mathfrak{q}, (b_1))R$. Since b_1 is an asymptotic sequence over $(I, (\mathbf{x}))R$, then $\mathfrak{q}_1 \in \overline{A^*}((I, (\mathbf{x}), (b_1))R)$, by [7, Lemma 6.13]. We know $(J, (b))R = (J, (b_1))R \subseteq (\mathfrak{q}, (b_1))R \subseteq \mathfrak{q}_1$. Therefore x_1, \dots, x_n, b_1 is a maximal asymptotic I -sequence from $(J, (b))R$ and $\text{agd}_I((J, (b))R) = n + 1$. \square

Corollary 3.7. *Let I, J and K be ideals in a Noetherian ring R such that $J \subseteq K$ and K is contained in the Jacobson radical of R . If $\text{agd}_I(K) - \text{agd}_I(J) = n \geq 0$, then there exists a chain of ideals $J = J_0 \subset J_1 \subset \dots \subset J_n \subseteq K$ such that $\text{agd}_I(J_i) = \text{agd}_I(J) + i$ for $i = 0, \dots, n$.*

Proof. If $n = 0$, the result is clear. Let $n > 0$ and x_1, \dots, x_m be a maximal asymptotic I -sequence from J . Since $\text{agd}_I(K) - \text{agd}_I(J) = n > 0$, then there exists x_{m+1}, \dots, x_{m+n} in K such that x_1, \dots, x_{m+n} is a maximal asymptotic I -sequence from K . We set $J_i = (J, (x_{m+1}, \dots, x_{m+i}))R$ for $i = 0, \dots, n$, then $\text{agd}_I(J_i) \geq m + i$. So $\text{agd}_I(J_i) = m + i$ for $i = 0, \dots, n$, by Proposition 3.6. \square

The following proposition gives a nice characterization for asymptotic sequences over an ideal.

Proposition 3.8. *Let I be an ideal of a Noetherian ring R and $\mathbf{x} = x_1, \dots, x_n$ be a sequence of elements in the Jacobson radical of R , then \mathbf{x} is an asymptotic sequence over I if and only if $\text{agd}_I((\mathbf{x})R) = n$.*

Proof. Let $\text{agd}_I((\mathbf{x})R) = n$, we prove that \mathbf{x} is an asymptotic sequence over I by induction on n . If $n = 1$, the result is clear. Let $\text{agd}_I((\mathbf{x})R) = n > 1$, then $\text{agd}_I((x_1, \dots, x_{n-1})R) = n - 1$, by Proposition 3.6 and so x_1, \dots, x_{n-1} is an asymptotic sequence over I . If $x_n \in \cup\{\mathfrak{p} \mid \mathfrak{p} \in \overline{A^*}((I, (x_1, \dots, x_{n-1}))R)\}$, then $(\mathbf{x})R \subseteq \cup\{\mathfrak{p} \mid \mathfrak{p} \in \overline{A^*}((I, (x_1, \dots, x_{n-1}))R)\}$. Hence $\text{agd}_I((\mathbf{x})R) = n - 1$ which is a contradiction. Therefore, \mathbf{x} is an asymptotic sequence over I . The converse follows from Proposition 3.3(viii). \square

The next two corollaries were proved by Ratliff in [14, Corollary 6.3 and Theorem 6.4], we reprove them without using the Rees rings.

Corollary 3.9. *Let I be an ideal of a Noetherian ring R and $\mathbf{x} = x_1, \dots, x_n$ be an asymptotic sequence over I that is contained in Jacobson radical of R , then each permutation of \mathbf{x} is an asymptotic sequence over I .*

Proof. It follows immediately from Proposition 3.8. \square

Corollary 3.10. *Let I be an ideal of a Noetherian ring R and $\mathbf{x} = x_1, \dots, x_n$ be an asymptotic sequence over I that is contained in Jacobson radical of R , then \mathbf{x} is an asymptotic sequence in R .*

Proof. It follows immediately from Proposition 3.8, Theorem 4.6 and Generalized Principal Ideal Theorem. \square

Corollary 3.11. *Let $I, J = (x_1, \dots, x_n)R$ and $J' = (y_1, \dots, y_n)R$ be ideals of a Noetherian ring R . If J and J' are contained in the Jacobson radical of R and $\sqrt{J} = \sqrt{J'}$, then x_1, \dots, x_n is an asymptotic sequence over I if and only if y_1, \dots, y_n are.*

Proof. It follows from Propositions 3.8 and 3.3(iii). \square

Corollary 3.12. *Let $I, J = (x_1, \dots, x_{i-1}, x_i, x_{i+1}, \dots, x_n)R$ and $J' = (x_1, \dots, x_{i-1}, y_i, x_{i+1}, \dots, x_n)R$ be ideals in a Noetherian ring R such that J and J' are contained in the Jacobson radical of R . Then $x_1, \dots, x_{i-1}, x_i y_i, x_{i+1}, \dots, x_n$ is an asymptotic sequence over I if and only if $x_1, \dots, x_{i-1}, x_i, x_{i+1}, \dots, x_n$ and $x_1, \dots, x_{i-1}, y_i, x_{i+1}, \dots, x_n$ are.*

Proof. Let $\mathbf{x} = x_1, \dots, x_{i-1}, x_i y_i, x_{i+1}, \dots, x_n$. Let \mathbf{x} be an asymptotic sequence over I , then

$$n = \text{agd}_I((\mathbf{x})R) \leq \text{agd}_I(J) \leq \text{height } J(R/I) \leq n,$$

by Propositions 3.8 and 3.3(i), Theorem 4.4 and Generalized Principal Ideal Theorem. So $\text{agd}_I(J) = n$ and $x_1, \dots, x_{i-1}, x_i, x_{i+1}, \dots, x_n$ is an asymptotic sequence over I , by Proposition 3.8. Also, $x_1, \dots, x_{i-1}, y_i, x_{i+1}, \dots, x_n$ is an asymptotic sequence over I similarly. For the converse, there exists $\mathfrak{p} \in V((I, (\mathbf{x}))R)$ such that $\text{agd}_I((\mathbf{x})R) = \text{acogd}(IR_{\mathfrak{p}})$, by Proposition 3.2. Now either $I + J$ or $I + J'$ is contained in \mathfrak{p} , say $I + J$. Then $\text{acogd}(IR_{\mathfrak{p}}) \geq \text{agd}_I(J) \geq \text{agd}_I((\mathbf{x})R)$, by Propositions 3.2 and 3.3(i). Therefore \mathbf{x} is an asymptotic sequence over I , by Proposition 3.8. \square

Corollary 3.13. *Let I be an ideal in a Noetherian ring R and let $\mathbf{x} = x_1, \dots, x_n$ be a sequence of elements of Jacobson radical of R . Then the following statements are equivalent:*

- (i) x_1, \dots, x_n is an asymptotic sequence over I .
- (ii) $x_1^{m_1}, \dots, x_n^{m_n}$ is an asymptotic sequence over I , for some positive integers m_i .
- (iii) $x_1^{m_1}, \dots, x_n^{m_n}$ is an asymptotic sequence over I , for all positive integers m_i .

Proof. It follows from Corollary 3.12. \square

In [13, Corollary 3.7], Ratliff proved that if I is an ideal in a Noetherian ring R that can be generated by an asymptotic sequence in R , then $\operatorname{agd}(\mathfrak{p}) = \operatorname{agd}(I)$ for all prime divisors \mathfrak{p} of $\overline{I^n}$. Proposition 3.14 gives a generalization of this result for asymptotic sequences over an ideal.

Proposition 3.14. *Let I and J be ideals in a Noetherian ring R such that J can be generated by an asymptotic sequence over I , then for all $h \geq 1$: $\operatorname{agd}_I(\mathfrak{p}) = \operatorname{agd}_I(J)$ for all prime divisors \mathfrak{p} of $\overline{(I+J)^h}$.*

Proof. Let $\mathbf{x} = x_1, \dots, x_n$ be an asymptotic sequence over I such that $J = (\mathbf{x})R$, then $\operatorname{agd}_I(J) = n$, by Proposition 3.3(viii). Let \mathfrak{p} be a prime divisor of $\overline{(I+J)^h}$, for some $h \geq 1$, then $\mathfrak{p} \in \overline{A^*}(I+J)$, by Lemma 2.2(i). Therefore \mathbf{x} is a maximal asymptotic I -sequence from \mathfrak{p} and so $\operatorname{agd}_I(\mathfrak{p}) = n$. \square

In Proposition 3.16, we give a stronger result than Proposition 3.14. We need a definition.

Definition 3.15. Let I be an ideal in a Noetherian ring R , let $\overline{A^*}(I) = \{\mathfrak{p}_1, \dots, \mathfrak{p}_k\}$ and $S = R \setminus \bigcup_{i=1}^k \mathfrak{p}_i$. Then $(I^h)_a = I^h R_S \cap R$ is the asymptotic component of I^h , for all integers $h > 0$.

Proposition 3.16. *Let I and J be ideals in a Noetherian ring R such that J can be generated by an asymptotic sequence over I , then for all $h \geq 1$: $\operatorname{agd}_I(\mathfrak{p}) = \operatorname{agd}_I(J)$ for all prime divisors \mathfrak{p} of $((I+J)^h)_a$.*

Proof. Let $\mathbf{x} = x_1, \dots, x_n$ be an asymptotic sequence over I such that $J = (\mathbf{x})R$. Let \mathfrak{p} be a prime divisor of $((I+J)^h)_a$, then there exists $\mathfrak{q} \in \overline{A^*}(I+J)$ such that $\mathfrak{p} \subseteq \mathfrak{q}$. It is clear that \mathbf{x} is a maximal asymptotic I -sequence from \mathfrak{q} . Therefore

$$n = \operatorname{agd}_I(J) \leq \operatorname{agd}_I(\mathfrak{p}) \leq \operatorname{agd}_I(\mathfrak{q}) = n$$

by Proposition 3.3((i) and (viii)) and so $\operatorname{agd}_I(\mathfrak{p}) = \operatorname{agd}_I(J)$. \square

4. Some bounds for asymptotic I -grade

In this section, we give several bounds on asymptotic I -grade. We begin with an upper bound.

Theorem 4.1. *Let I and J be ideals in a Noetherian ring R and $\mathbf{x} = x_1, \dots, x_h$ be an asymptotic sequence in I . Then there exists a maximal asymptotic I -sequence from $I+J$, say $\mathbf{y} = y_1, \dots, y_n$ such that \mathbf{x}, \mathbf{y} is an asymptotic sequence in $I+J$. In particular*

$$\operatorname{agd}_I(J) \leq \operatorname{agd}(I+J) - \operatorname{agd}(I).$$

Proof. Let $\operatorname{agd}_I(I+J) = n$. If $n = 0$, we are done. If $n > 0$, then $I+J \not\subseteq \cup\{\mathfrak{p} \mid \mathfrak{p} \in \overline{A^*}(I)\}$, and so $I+J \not\subseteq \cup\{\mathfrak{p} \mid \mathfrak{p} \in \overline{A^*}((\mathbf{x})R)\}$, by [7, Lemma 5.7]. Pick $y_1 \in I+J$ with

$$y_1 \notin \cup\{\mathfrak{p} \mid \mathfrak{p} \in \overline{A^*}(I)\} \text{ and } y_1 \notin \cup\{\mathfrak{p} \mid \mathfrak{p} \in \overline{A^*}((\mathbf{x})R)\}.$$

Now, y_1 is an asymptotic I -sequence from $I+J$ and $\operatorname{agd}_{(I,(y_1))R}(I+J) = n-1$. Since the choice of y_1 assure that x_1, \dots, x_h, y_1 is an asymptotic sequence in $(I, (y_1))R$, we now may use induction. The inequality follows from this and Proposition 3.3(ii). \square

The next result determines when the inequality in Theorem 4.1 becomes equality.

Theorem 4.2. *Let I and J be ideals in a Noetherian ring R . Then the following statements are equivalent:*

- (i) $\operatorname{agd}_I(J) + \operatorname{agd}(I) = \operatorname{agd}(I+J)$.
- (ii) *There exists $\mathfrak{p} \in V(I+J)$ such that $\operatorname{agd}_I(J) = \operatorname{acogd}(IR_{\mathfrak{p}})$, $\operatorname{agd}(I) = \operatorname{agd}(IR_{\mathfrak{p}})$ and $\operatorname{acogd}(IR_{\mathfrak{p}}) + \operatorname{agd}(IR_{\mathfrak{p}}) = \operatorname{agd}(\mathfrak{p}R_{\mathfrak{p}})$.*
- (iii) *The equalities in (ii) hold for every $\mathfrak{p} \in V(I+J)$ such that $\operatorname{agd}(I+J) = \operatorname{agd}(\mathfrak{p}R_{\mathfrak{p}})$.*
- (iv) *There exist $\mathfrak{p} \in V(I+J)$ and $z \in \operatorname{mAss}_{R_{\mathfrak{p}}}^* R_{\mathfrak{p}}^*$ such that*

$$\operatorname{agd}_I(J) = \operatorname{acogd}(IR_{\mathfrak{p}}) = \dim R_{\mathfrak{p}}^*/(IR_{\mathfrak{p}}^* + z)$$

and

$$\operatorname{agd}(I) = \operatorname{agd}(IR_{\mathfrak{p}}) = \ell((IR_{\mathfrak{p}}^* + z)/z) = \operatorname{height}(IR_{\mathfrak{p}}^* + z)/z.$$

- (v) *There exists $\mathfrak{p} \in V(I+J)$ such that the equalities in (iv) hold for every $z \in \operatorname{mAss}_{R_{\mathfrak{p}}}^* R_{\mathfrak{p}}^*$ with $\operatorname{agd}(\mathfrak{p}R_{\mathfrak{p}}) = \dim R_{\mathfrak{p}}^*/z$.*

- (vi) *For every $\mathfrak{p} \in V(I+J)$ with $\operatorname{agd}(I+J) = \operatorname{agd}(\mathfrak{p}R_{\mathfrak{p}})$, there exists $z \in \operatorname{mAss}_{R_{\mathfrak{p}}}^* R_{\mathfrak{p}}^*$ such that the equalities in (iv) hold.*

- (vii) *The equalities in (iv) hold for every $\mathfrak{p} \in V(I+J)$ and for every $z \in \operatorname{mAss}_{R_{\mathfrak{p}}}^* R_{\mathfrak{p}}^*$ such that $\operatorname{agd}(I+J) = \operatorname{agd}(\mathfrak{p}R_{\mathfrak{p}}) = \dim R_{\mathfrak{p}}^*/z$.*

Proof. For (i) \Rightarrow (iii), let $\mathfrak{p} \in V(I+J)$ such that $\operatorname{agd}(I+J) = \operatorname{agd}(\mathfrak{p}R_{\mathfrak{p}})$, then

$$\begin{aligned} \operatorname{agd}(I+J) &= \operatorname{agd}(\mathfrak{p}R_{\mathfrak{p}}) \\ &\geq \operatorname{agd}(IR_{\mathfrak{p}}) + \operatorname{acogd}(IR_{\mathfrak{p}}) \\ &\geq \operatorname{agd}(I) + \operatorname{agd}_I(J) = \operatorname{agd}(I+J), \end{aligned}$$

by [7, Proposition 6.9], Proposition 3.2 and Theorem 3.4(i). So the equalities in (ii) hold.

The implication (iii) \Rightarrow (ii) is obvious, since there exists $\mathfrak{p} \in V(I+J)$ such that $\operatorname{agd}(I+J) = \operatorname{agd}(\mathfrak{p}R_{\mathfrak{p}})$, by Proposition 3.2.

For (ii) \Rightarrow (i), since $\operatorname{agd}(I+J) \leq \operatorname{agd}(\mathfrak{p}R_{\mathfrak{p}})$ for all $\mathfrak{p} \in V(I+J)$, by Proposition 3.2, so the result follows from Theorem 4.1.

Finally, (ii) \Leftrightarrow (iv) \Leftrightarrow (v) and (iii) \Leftrightarrow (vi) \Leftrightarrow (vii) follow from [7, Proposition 6.11]. \square

Theorem 4.3. *Let I and J be ideals in a Noetherian ring R , then*

$$\operatorname{agd}_I(J) \leq \operatorname{agd}(J(R/I)).$$

Proof. There exists a prime ideal $\mathfrak{p} \in V(I + J)$ such that $\operatorname{agd}(J(R/I)) = \operatorname{agd}(\mathfrak{p}R_{\mathfrak{p}}/IR_{\mathfrak{p}})$, by Proposition 3.2. Therefore the conclusion follows from Proposition 3.2 and [7, Proposition 6.6]. \square

The next theorem follows from Theorem 4.3 and [7, Lemma 5.13], but we give another proof.

Theorem 4.4. *Let I and J be ideals in a Noetherian ring R , then*

$$\operatorname{agd}_I(J) \leq \operatorname{height} J(R/I).$$

Proof. There exists a prime ideal $\mathfrak{p} \in V(I + J)$ such that $\operatorname{height} J(R/I) = \operatorname{height} \mathfrak{p}/I$, then $\operatorname{agd}_I(J) \leq \operatorname{acogd}(IR_{\mathfrak{p}}) \leq \dim R_{\mathfrak{p}}^*/z - \ell((IR_{\mathfrak{p}}^* + z)/z)$, by Proposition 3.2 and Remark 3.1(ii). Since height does not exceed analytic spread and $R_{\mathfrak{p}}^*/z$ is catenary, then $\operatorname{agd}_I(J) \leq \dim R_{\mathfrak{p}}^*/(IR_{\mathfrak{p}}^* + z)$. Now $\operatorname{agd}_I(J) \leq \operatorname{height} \mathfrak{p}/I$. \square

Corollary 4.5. *Let I and J be ideals in a Noetherian ring R such that J is generated by $\operatorname{agd}_I(J)$ elements, then $\operatorname{agd}_I(J) = \operatorname{height} J(R/I)$.*

Proof. It follows from Theorem 4.4 and Generalized Principal Ideal Theorem. \square

Theorem 4.6. *Let I and J be ideals in a Noetherian ring R , then*

$$\operatorname{agd}_I(J) \leq \operatorname{agd}(J) \leq \operatorname{height} J.$$

Proof. There exists a prime ideal $\mathfrak{p} \in V(J)$ such that $\operatorname{agd}(J) = \operatorname{agd}(\mathfrak{p}R_{\mathfrak{p}})$, by Proposition 3.2. We have $\operatorname{agd}_I(J) \leq \operatorname{agd}_I(\mathfrak{p}) \leq \operatorname{agd}_{IR_{\mathfrak{p}}}(\mathfrak{p}R_{\mathfrak{p}})$, by Proposition 3.3(i) and Theorem 3.4(i). Since $\operatorname{agd}_{IR_{\mathfrak{p}}}(\mathfrak{p}R_{\mathfrak{p}}) \leq \operatorname{agd}(\mathfrak{p}R_{\mathfrak{p}})$, by Theorem 4.1, then $\operatorname{agd}_I(J) \leq \operatorname{agd}(J)$. The second inequality follows from Theorem 4.4. \square

Corollary 4.7. *Let I and J be ideals in a Noetherian ring R such that J is generated by $\operatorname{agd}_I(J)$ elements, then $\operatorname{agd}_I(J) = \operatorname{height} J$.*

Proof. It follows from Theorem 4.6 and Generalized Principal Ideal Theorem. \square

Now we give two lower bounds on $\operatorname{agd}_I(J)$.

Theorem 4.8. *Let I and J be ideals of a locally quasi-unmixed ring R , then $\operatorname{agd}_I(J) \geq \operatorname{grade}(J(R/I^n))$ for all large n .*

Proof. There exists a prime ideal $\mathfrak{p} \in V(I + J)$ such that $\operatorname{agd}_I(J) = \operatorname{acogd}(IR_{\mathfrak{p}})$, by Proposition 3.2. Also, for all large n , $\operatorname{acogd}(IR_{\mathfrak{p}}) \geq \operatorname{grade}(\mathfrak{p}R_{\mathfrak{p}}/I^n R_{\mathfrak{p}})$, by [9, Theorem 7.4]. So the conclusion follows from the standard facts on classical grade. \square

Theorem 4.9. *Let I and J be ideals in a Noetherian local ring R . Then,*

$$\operatorname{agd}_I(J) \geq \operatorname{agd}(I + J) - \ell(I).$$

Proof. There exists a prime ideal $\mathfrak{p} \in V(I + J)$ such that $\operatorname{agd}_I(J) = \operatorname{acogd}(IR_{\mathfrak{p}})$, by Proposition 3.2, and $\operatorname{acogd}(IR_{\mathfrak{p}}) \geq \operatorname{agd}(\mathfrak{p}R_{\mathfrak{p}}) - \ell(IR_{\mathfrak{p}})$, by [9, Theorem 7.6]. Also, $\ell(IR_{\mathfrak{p}}) \leq \ell(I)$, by [9, Lemma 2.5], and $\operatorname{agd}(\mathfrak{p}R_{\mathfrak{p}}) \geq \operatorname{agd}(\mathfrak{p}) \geq \operatorname{agd}(I + J)$, by Proposition 3.3(i) and Theorem 3.4(i). Now the desired result follows. \square

5. Some characterizations for $\operatorname{agd}_I(J) = \operatorname{height} J(R/I)$

It was shown in Theorem 4.4 that $\operatorname{agd}_I(J) \leq \operatorname{height} J(R/I)$ always holds. The main result in this section, Theorem 5.2 gives several characterizations of when the equality holds. We begin with a definition.

Definition 5.1. Let I be an ideal of a Noetherian local ring R . We say R is *strongly quasi- I -unmixed* ring, if $\dim R^*/(IR^* + z) = \dim R/I$ and $\ell((IR^* + z)/z) = \operatorname{height}(IR^* + z)/z$ for all $z \in \operatorname{mAss}_{R^*} R^*$. More generally, if R is not necessarily local, R is *locally strongly quasi- I -unmixed* ring if for every $\mathfrak{p} \in V(I)$, $R_{\mathfrak{p}}$ is strongly quasi- $IR_{\mathfrak{p}}$ -unmixed.

Theorem 5.2. *Let I be an ideal of a Noetherian ring R . The following are equivalent:*

- (i) $\operatorname{agd}_{(I, (\mathbf{x}))R}(J) = \operatorname{height} J(R/(I, (\mathbf{x}))R)$ for all ideals J and all asymptotic I -sequences $\mathbf{x} = x_1, \dots, x_n$ from J .
- (ii) $\operatorname{agd}_I(J) = \operatorname{height} J(R/I)$ for all ideals J .
- (iii) $\operatorname{agd}_I(\mathfrak{p}) = \operatorname{height} \mathfrak{p}/I$ for all prime ideals $\mathfrak{p} \in V(I)$.
- (iv) $\operatorname{agd}_I(\mathfrak{m}) = \operatorname{height} \mathfrak{m}/I$ for all maximal prime ideals $\mathfrak{m} \in V(I)$.
- (v) R is locally strongly quasi- I -unmixed ring.
- (vi) If $\mathbf{x} = x_1, \dots, x_n$ is an asymptotic sequence over I and $\mathfrak{p} \in \overline{A^*}((I, (\mathbf{x}))R)$, then $\operatorname{height} \mathfrak{p}/I = n$.
- (vii) If $(I, (x_1, \dots, x_n))R \neq R$ and if $\operatorname{height}(x_1, \dots, x_i)(R/I) = i$ for $1 \leq i \leq n$, then x_1, \dots, x_n is an asymptotic sequence over I .

Proof. It is clear that (i) \Rightarrow (ii) \Rightarrow (iii) \Rightarrow (iv). Let (iii) hold and let $\mathfrak{p} \in V(I)$, then

$$\operatorname{height} \mathfrak{p}/I = \operatorname{agd}_I(\mathfrak{p}) \leq \operatorname{agd}_{IR_{\mathfrak{p}}}(\mathfrak{p}R_{\mathfrak{p}}) \leq \operatorname{height} \mathfrak{p}R_{\mathfrak{p}}/IR_{\mathfrak{p}} = \operatorname{height} \mathfrak{p}/I,$$

by Theorems 4.4 and 3.4(i). So $\operatorname{agd}_{IR_{\mathfrak{p}}}(\mathfrak{p}R_{\mathfrak{p}}) = \operatorname{height} \mathfrak{p}R_{\mathfrak{p}}/IR_{\mathfrak{p}}$. Let $z \in \operatorname{mAss}_{R_{\mathfrak{p}}} R_{\mathfrak{p}}^*$, then

$$\begin{aligned}
\dim R_{\mathfrak{p}}/IR_{\mathfrak{p}} &= \text{height } \mathfrak{p}R_{\mathfrak{p}}/IR_{\mathfrak{p}} \\
&= \text{agd}_{IR_{\mathfrak{p}}}(\mathfrak{p}R_{\mathfrak{p}}) \\
&\leq \dim R_{\mathfrak{p}}^*/z - \ell((IR_{\mathfrak{p}}^* + z)/z) \\
&\leq \dim R_{\mathfrak{p}}^*/z - \text{height}(IR_{\mathfrak{p}}^* + z)/z \\
&= \dim R_{\mathfrak{p}}^*/(IR_{\mathfrak{p}}^* + z) \\
&\leq \dim R_{\mathfrak{p}}^*/IR_{\mathfrak{p}}^* = \dim R_{\mathfrak{p}}/IR_{\mathfrak{p}},
\end{aligned}$$

by Remark 3.1(ii). Therefore $\dim R_{\mathfrak{p}}^*/(IR_{\mathfrak{p}}^* + z) = \dim R_{\mathfrak{p}}/IR_{\mathfrak{p}}$ and $\ell((IR_{\mathfrak{p}}^* + z)/z) = \text{height}(IR_{\mathfrak{p}}^* + z)/z$ and so (v) holds.

Let (v) hold. Assume $\mathbf{x} = x_1, \dots, x_n$ is an asymptotic sequence over I and $\mathfrak{p} \in \overline{A}^*((I, (\mathbf{x}))R)$, then $\mathfrak{p}R_{\mathfrak{p}} \in \overline{A}^*((I, (\mathbf{x}))R_{\mathfrak{p}})$, by Lemma 2.2(ii) and the image of \mathbf{x} in $R_{\mathfrak{p}}$ is a maximal asymptotic sequence over $IR_{\mathfrak{p}}$, by Proposition 2.4(i). Therefore, there exists $z \in \text{mAss}_{R_{\mathfrak{p}}} R_{\mathfrak{p}}^*$ such that $\dim R_{\mathfrak{p}}^*/z - \ell((IR_{\mathfrak{p}}^* + z)/z) = n$, by Remark 3.1(ii). Now $\text{height } \mathfrak{p}/I = n$, by supposition and catenariness of $R_{\mathfrak{p}}^*/z$ and so (vi) holds.

Assume that (vi) holds and assume inductively that x_1, \dots, x_{i-1} have already been shown to be an asymptotic sequence over I (the case $i = 1$ works equally well). If $\mathfrak{p} \in \overline{A}^*((I, (x_1, \dots, x_{i-1}))R)$, then $\text{height } \mathfrak{p}/I = i - 1$, and since $\text{height}(x_1, \dots, x_i)(R/I) = i$ we have $x_i \notin \mathfrak{p}$ for all $\mathfrak{p} \in \overline{A}^*((I, (x_1, \dots, x_{i-1}))R)$. Thus x_1, \dots, x_i is an asymptotic sequence over I , so by induction (vii) holds.

Let (vii) hold. Let $\mathbf{x} = x_1, \dots, x_n$ be an asymptotic I -sequence from J such that $\text{height } J(R/(I, (\mathbf{x}))R) = m$. Then there exists x_{n+1}, \dots, x_{n+m} in J such that $\text{height}(x_{n+1}, \dots, x_{n+i})(R/(I, (\mathbf{x}))R) = i$, for $1 \leq i \leq m$, by [11, Theorem 8, p. 61]. If $1 \leq i \leq n$, then $\text{height}(x_1, \dots, x_i)(R/I) = i$, by Corollary 4.5 and Proposition 3.3(viii). If $n + 1 \leq i \leq n + m$, we have

$$\begin{aligned}
&\text{height}(\mathbf{x}, x_{n+1}, \dots, x_i)(R/I) \geq \\
&\text{height}(x_{n+1}, \dots, x_i)(R/(I, (\mathbf{x}))R) + \text{height}(\mathbf{x})(R/I) = i,
\end{aligned}$$

and so $\text{height}(\mathbf{x}, x_{n+1}, \dots, x_i)(R/I) = i$ by the Generalized Principal Ideal Theorem. Therefore $\text{height}(x_1, \dots, x_i)(R/I) = i$ for $1 \leq i \leq n + m$. Thus x_1, \dots, x_{n+m} is an asymptotic sequence over I . Since x_{n+1}, \dots, x_{n+m} is an asymptotic $(I, (\mathbf{x}))R$ -sequence from J , then $\text{agd}_{(I, (\mathbf{x}))R}(J) \geq m$. Therefore $\text{agd}_{(I, (\mathbf{x}))R}(J) = m$, by Theorem 4.4 and so (i) holds.

For (iv) \Rightarrow (ii), there exist an integer $m \geq 0$ and a chain of prime ideals $I + J \subseteq \mathfrak{p}_0 \subset \mathfrak{p}_1 \subset \dots \subset \mathfrak{p}_m$ such that \mathfrak{p}_m is a maximal prime ideal and $\text{agd}_I(\mathfrak{p}_i) = \text{agd}_I(J) + i$ for $i = 0, \dots, m$, by Propositions 3.5 and 3.3(ii). Since \mathfrak{p}_m is a maximal prime ideal, then

$$\text{agd}_I(\mathfrak{p}_{m-1}) = \text{agd}_I(\mathfrak{p}_m) - 1 = \text{height } \mathfrak{p}_m/I - 1 \geq \text{height } \mathfrak{p}_{m-1}/I \geq \text{agd}_I(\mathfrak{p}_{m-1}),$$

by Theorem 4.4 and so $\text{height } \mathfrak{p}_{m-1}/I = \text{agd}_I(\mathfrak{p}_{m-1})$. By continuation of this process we have $\text{height } \mathfrak{p}_0/I = \text{agd}_I(\mathfrak{p}_0)$. Therefore

$$\text{agd}_I(J) = \text{agd}_I(\mathfrak{p}_0) = \text{height } \mathfrak{p}_0/I \geq \text{height } J(R/I) \geq \text{agd}_I(J),$$

by Theorem 4.4 and so $\text{agd}_I(J) = \text{height } J(R/I)$. \square

Corollary 5.3. *Let I be an ideal of a Noetherian local ring (R, \mathfrak{m}) such that R is a strongly quasi- I -unmixed, then R is locally strongly quasi- I -unmixed.*

Proof. If R is a strongly quasi- I -unmixed, then $\text{agd}_I(\mathfrak{m}) = \dim R/I$, by Definition 5.1 and Remark 3.1(ii). Now R is locally strongly quasi- I -unmixed, by Theorem 5.2. \square

Corollary 5.4. *Let I be an ideal of a Noetherian local ring (R, \mathfrak{m}) , then the following are equivalent:*

- (i) R is strongly quasi- I -unmixed ring.
- (ii) There exists a system of parameters in R/I , $x_1 + I, \dots, x_n + I$, such that x_1, \dots, x_n is an asymptotic sequence over I .
- (iii) If $x_1 + I, \dots, x_i + I$ is a subset of a system of parameters in R/I , then x_1, \dots, x_i is an asymptotic sequence over I .

Proof. For (i) \Rightarrow (iii), let $x_1 + I, \dots, x_i + I$ be a subset of a system of parameters in R/I , then $\dim R/(I, (x_1, \dots, x_j))R = \dim R/I - j$ for $j = 1, \dots, i$. Since R is strongly quasi- I -unmixed, then $\text{agd}(J(R/I)) = \text{height } J(R/I)$ for all ideals J of R , by Theorems 4.3 and 4.4, and Corollary 5.3. Therefore R/I is quasi-unmixed by [7, Corollary 5.8] and so is catenary. Therefore $\text{height}(x_1, \dots, x_j)(R/I) = j$ for $j = 1, \dots, i$ and so x_1, \dots, x_i is an asymptotic sequence over I , by Theorem 5.2 and Corollary 5.3.

It is clear that (iii) \Rightarrow (ii).

For (ii) \Rightarrow (i), let $x_1 + I, \dots, x_n + I$ be a system of parameters in R/I , such that x_1, \dots, x_n is an asymptotic sequence over I . Then

$$\dim R/I = n = \text{agd}_I((I, (x_1, \dots, x_n))R) = \text{agd}_I(\mathfrak{m})$$

by Proposition 3.3((ii), (iii) and (viii)). Therefore by Theorem 5.2 and Corollary 5.3 it follows that R is strongly quasi- I -unmixed ring. \square

Corollary 5.5. *Let I and J be ideals of a Noetherian ring R such that $\text{agd}_I(J) = \text{height } J(R/I)$, then $R_{\mathfrak{p}}$ is strongly quasi- $IR_{\mathfrak{p}}$ -unmixed ring for all minimal prime divisors \mathfrak{p} of $I + J$ such that $\text{height } \mathfrak{p}/I = \text{height } J(R/I)$.*

Proof. Let \mathfrak{p} be a minimal prime divisor of $I + J$ such that $\text{height } \mathfrak{p}/I = \text{height } J(R/I)$. Then

$$\begin{aligned}\text{height } J(R/I) &= \text{agd}_I(J) \leq \text{agd}_{IR_{\mathfrak{p}}}(JR_{\mathfrak{p}}) \\ &\leq \text{agd}_{IR_{\mathfrak{p}}}(\mathfrak{p}R_{\mathfrak{p}}) \leq \text{height } \mathfrak{p}/I = \text{height } J(R/I),\end{aligned}$$

by Proposition 3.3(i) and Theorems 3.4(i) and 4.4. So $\text{agd}_{IR_{\mathfrak{p}}}(\mathfrak{p}R_{\mathfrak{p}}) = \text{height } \mathfrak{p}/I$ and so $R_{\mathfrak{p}}$ is strongly quasi- $IR_{\mathfrak{p}}$ -unmixed ring by Theorem 5.2 and Corollary 5.3. \square

Corollary 5.6. *Let I be an ideal of a Noetherian ring R and $\mathbf{x} = x_1, \dots, x_n$ be an asymptotic sequence over I . Then $R_{\mathfrak{p}}$ is strongly quasi- $IR_{\mathfrak{p}}$ -unmixed ring for all minimal prime divisors \mathfrak{p} of $(I, (\mathbf{x}))R$.*

Proof. We have $\text{agd}_I((I, (\mathbf{x}))R) = \text{height}(\mathbf{x})(R/I) = \text{height } \mathfrak{p}/I$, for all minimal prime divisors \mathfrak{p} of $(I, (\mathbf{x}))R$, by Proposition 3.3(ii) and (viii), Corollary 4.5 and Generalized Principal Ideal Theorem, and so the result follows from Corollary 5.5. \square

Corollary 5.7. *Let I be an ideal of a Noetherian ring R such that R is locally strongly quasi- I -unmixed ring. Let x_1, \dots, x_n be elements of R . Then $x_1 + I, \dots, x_n + I$ is an asymptotic sequence in R/I if and only if x_1, \dots, x_n is an asymptotic sequence over I .*

Proof. Let $x_1 + I, \dots, x_n + I$ be an asymptotic sequence in R/I , then x_1, \dots, x_n is an asymptotic sequence over I by [13, Remark 3.3(iii)] and Theorem 5.2. The converse follows from [9, Proposition 4.1]. \square

In [13, Proposition 4.6], Ratliff proved that if I is an ideal of the principal class in a locally quasi-unmixed ring R and \mathfrak{p} is a prime divisor of \overline{I}^n , for some $n \geq 1$, then $\text{height } \mathfrak{p} = \text{height } I$. We give a generalization of this result for asymptotic sequences over an ideal. Before this we need a lemma.

Lemma 5.8. *Let I and J be ideals of a Noetherian ring R and x_1, \dots, x_n be elements of J such that $J = (x_1, \dots, x_n)$. If $\text{agd}_I(J) = n$, then there exists an asymptotic sequence over I , y_1, \dots, y_n , such that $J = (y_1, \dots, y_n)$.*

Proof. If $n = 0$ the result is clear. Let $n > 0$, then $J \not\subseteq \bigcup \{\mathfrak{p} \mid \mathfrak{p} \in \overline{A}^*(I)\}$. There exists $b_1 \in (x_2, \dots, x_n)R$ such that $x_1 + b_1 \notin \bigcup \{\mathfrak{p} \mid \mathfrak{p} \in \overline{A}^*(I)\}$ by [2, Theorem 125]. We set $y_1 = x_1 + b_1$. It is clear that $J = (y_1, x_2, \dots, x_n)R$ and $(x_2, \dots, x_n)R \not\subseteq \bigcup \{\mathfrak{p} \mid \mathfrak{p} \in \overline{A}^*((I, (y_1))R)\}$. There exists $b_2 \in (x_3, \dots, x_n)R$ such that $x_2 + b_2 \notin \bigcup \{\mathfrak{p} \mid \mathfrak{p} \in \overline{A}^*((I, (y_1))R)\}$ by [2, Theorem 125]. We set $y_2 = x_2 + b_2$. It is clear that $J = (y_1, y_2, x_3, \dots, x_n)R$. We continue this process and replace x_i with y_i for $i = 1, \dots, n$. Therefore $J = (y_1, \dots, y_n)R$ such that y_1, \dots, y_n is an asymptotic sequence over I . \square

Proposition 5.9. *Let I and J be ideals of a Noetherian ring R such that R is locally strongly quasi- I -unmixed. If $J(R/I)$ is an ideal of principal class, then $\text{height } \mathfrak{p}/I = \text{height } J(R/I)$, for all prime divisors \mathfrak{p} of $(I + J)^h$ and $h \geq 1$.*

Proof. There exists a sequence of elements of R , $\mathbf{x} = x_1, \dots, x_n$, such that $I + J = (I, (\mathbf{x}))R$, then $\text{agd}_I((\mathbf{x})R) = n$, by Proposition 3.3(ii) and Theorem 5.2. Then there exists an asymptotic sequence over I , y_1, \dots, y_n , such that $(\mathbf{x})R = (y_1, \dots, y_n)R$, by Lemma 5.8. So the result follows from Proposition 3.14 and Theorem 5.2. \square

In Proposition 5.10, we give a stronger result than Proposition 5.9.

Proposition 5.10. *Let I and J be ideals of a Noetherian ring R such that R is locally strongly quasi- I -unmixed. If $J(R/I)$ is an ideal of principal class, then $\text{height } \mathfrak{p}/I = \text{height } J(R/I)$, for all prime divisors \mathfrak{p} of $((I + J)^h)_a$ and $h \geq 1$.*

Proof. The proof is the same as that given to prove Proposition 5.9, but use Proposition 3.16 in place of Proposition 3.14. \square

Theorem 5.11. *Let $I \subseteq \mathfrak{p}$ be ideals of a Noetherian ring R such that \mathfrak{p} is a prime ideal and $R_{\mathfrak{p}}$ is strongly quasi- $IR_{\mathfrak{p}}$ -unmixed ring. Let $\mathcal{P} = \{\mathfrak{q} \in \text{Spec } R \mid \mathfrak{p} \subset \mathfrak{q}, \text{height } \mathfrak{q}/\mathfrak{p} = 1 \text{ and } R_{\mathfrak{q}} \text{ is not strongly quasi-} IR_{\mathfrak{q}}\text{-unmixed ring}\}$, then \mathcal{P} is finite.*

Proof. Let \mathcal{P} be infinite. Let

$$\mathcal{P}' = \{\mathfrak{q} \in \mathcal{P} \mid \text{height } \mathfrak{q}/I = \text{height } \mathfrak{p}/I + 1\},$$

then \mathcal{P}' is infinite by [8, Theorem 1]. Let $\text{height } \mathfrak{p}/I = n$, then $\text{agd}_{IR_{\mathfrak{p}}}(\mathfrak{p}R_{\mathfrak{p}}) = n$ by Theorem 5.2 and Corollary 5.3, and so there exists a sequence, $\mathbf{x} = x_1, \dots, x_n$, in R such that its image in $R_{\mathfrak{p}}$ is a maximal asymptotic sequence over $IR_{\mathfrak{p}}$. Let $\mathcal{Q} = \bigcup_{i=1}^n \{\mathfrak{P} \in \overline{A}^*((I, (x_1, \dots, x_{i-1}))R) \mid x_i \in \mathfrak{P}\}$ and

$$\mathcal{P}'' = \{\mathfrak{q} \in \mathcal{P}' \mid \mathfrak{P} \not\subseteq \mathfrak{q} \text{ for all } \mathfrak{P} \in \mathcal{Q}\}.$$

Since \mathcal{Q} is finite and each $\mathfrak{P} \in \mathcal{Q}$ can be contained in at most finitely many $\mathfrak{q} \in \mathcal{P}'$ it follows \mathcal{P}'' is infinite. Also, the image of \mathbf{x} in $R_{\mathfrak{q}}$ is an asymptotic sequence over $IR_{\mathfrak{q}}$ for all $\mathfrak{q} \in \mathcal{P}''$. Let

$$\mathcal{P}''' = \{\mathfrak{q} \in \mathcal{P}'' \mid \mathfrak{q} \notin \overline{A}^*((I, (\mathbf{x}))R)\},$$

then \mathcal{P}''' is infinite. It is clear that the image of \mathbf{x} in $R_{\mathfrak{q}}$ is not a maximal asymptotic sequence over $IR_{\mathfrak{q}}$ for all $\mathfrak{q} \in \mathcal{P}'''$ and so $\text{agd}_{IR_{\mathfrak{q}}}(\mathfrak{q}R_{\mathfrak{q}}) > n$. On the other hand $\text{agd}_{IR_{\mathfrak{q}}}(\mathfrak{q}R_{\mathfrak{q}}) \leq \text{height } \mathfrak{q}R_{\mathfrak{q}}/IR_{\mathfrak{q}} = \text{height } \mathfrak{q}/I = n + 1$, by Theorem 4.4. Therefore $\text{agd}_{IR_{\mathfrak{q}}}(\mathfrak{q}R_{\mathfrak{q}}) = \text{height } \mathfrak{q}R_{\mathfrak{q}}/IR_{\mathfrak{q}}$ and so $R_{\mathfrak{q}}$ is strongly quasi- $IR_{\mathfrak{q}}$ -unmixed ring for all $\mathfrak{q} \in \mathcal{P}'''$, by Theorem 5.2 and Corollary 5.3. This is a contradiction. \square

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