

Contents lists available at ScienceDirect

Journal of Computational and Applied Mathematics

journal homepage: www.elsevier.com/locate/cam

Recursive computation of generalised Zernike polynomials

I. Area^{a,*}, Dimitar K. Dimitrov^b, E. Godoy^c^a *Departamento de Matemática Aplicada II, E.E. Telecomunicación, Universidade de Vigo, 36310-Vigo, Spain*^b *Departamento de Matemática Aplicada, IBILCE, Universidade Estadual Paulista, 15054-000 São José do Rio Preto, SP, Brazil*^c *Departamento de Matemática Aplicada II, E.E. Industrial, Universidade de Vigo, Campus Lagoas-Marcosende, 36310-Vigo, Spain*

ARTICLE INFO

Article history:

Received 10 April 2015

Received in revised form 10 November 2015

MSC:

41A21

41A27

37K10

47N20

42C05

33D45

39A13

Keywords:

Generalised Zernike polynomials

Rodrigues-type formula

Ordering of Zernike polynomials

Bivariate orthogonal polynomials

Hermite–Zernike polynomials

ABSTRACT

An algorithmic approach for generating generalised Zernike polynomials by differential operators and connection matrices is proposed. This is done by introducing a new order of generalised Zernike polynomials such that it collects all the polynomials of the same total degree in a column vector. The connection matrices between these column vectors composed by the generalised Zernike polynomials and a family of polynomials generated by a Rodrigues formula are given explicitly. This yields a Rodrigues type formula for the generalised Zernike polynomials themselves with properly defined differential operators. Another consequence of our approach is the fact that the generalised Zernike polynomials obey a rather simple partial differential equation. We recall also how to define Hermite–Zernike polynomials.

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1. Introduction

In this paper we establish a recursive method for computing the generalised Zernike polynomials which are known to be orthogonal on the unit disc of \mathbb{R}^2 with respect to the weight function

$$\rho(x, y; \lambda) = (1 - x^2 - y^2)^\lambda, \quad \lambda > -1. \quad (1)$$

The use of Zernike polynomials [1] for describing the classical aberrations of an optical system is well known [2–4]. There have been many other applications, such as to describe the statistical strength of aberrations produced by atmospheric turbulence, atmospheric thermal blooming effects, optical testing, ophthalmic optics, corneal topography, interferometer measurements, ocular aberrometry, just to mention a few of them.

The main difficulties when dealing with Zernike polynomials come from the different ordering used in different sources in the literature. The most common is the Noll ordering [5], but Wyant and Creath [6] and Malacara [7] suggest different ones. In general, these orderings preserve the radial power increasing order (that is, the n index), but differ in the order of

* Corresponding author.

E-mail addresses: area@uvigo.es (I. Area), dimitrov@ibilce.unesp.br (D.K. Dimitrov), egodoy@dma.uvigo.es (E. Godoy).<http://dx.doi.org/10.1016/j.cam.2015.11.017>

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and for $s > 3$,

$$\begin{aligned} (\mathbb{Z}_s^\lambda)_k &= \frac{(\lambda + 1)_s}{s!} \left(\sigma_{[k/2]} \operatorname{Re} \left(P_{(s+1)/2+[(k-1)/2], (s-1)/2-[(k-1)/2]}^\lambda(z, z^*) \right) \right. \\ &\quad \left. + \sigma_{[k/2]+1} \operatorname{Im} \left(P_{(s+1)/2+[(k-1)/2], (s-1)/2-[(k-1)/2]}^\lambda(z, z^*) \right) \right), \end{aligned} \tag{7}$$

where $\operatorname{Re}(z)$ and $\operatorname{Im}(z)$ denote the real and imaginary part of z ,

$$\sigma_n = \frac{1 + (-1)^n}{2}.$$

It follows from [10, Eq. (2.5)] that

$$P_{m,n}^\lambda(z, z^*) = \sum_{k=0}^{\min(m,n)} \frac{(-1)^k m! n! \Gamma(\lambda + 1)}{k! (m-k)! (n-k)! \Gamma(k + \lambda + 1)} (1 - zz^*)^k z^{m-k} (z^*)^{n-k} \tag{8}$$

with $z = x + iy, z^* = x - iy$. Moreover, for even s the generalised Zernike polynomials (2) can be written as

$$(\mathbb{Z}_s^\lambda)_1 = \frac{(\lambda + 1)_s}{s!} \operatorname{Re} \left(P_{s/2, s/2}^\lambda(z, z^*) \right), \tag{9}$$

and for $k > 1$,

$$(\mathbb{Z}_s^\lambda)_k = \frac{(\lambda + 1)_s}{s!} \left(\sigma_{[(k+1)/2]} \operatorname{Re} \left(P_{s/2+[(k+1)/2], s/2-[(k+1)/2]}^\lambda(z, z^*) \right) + \sigma_{[(k+3)/2]} \operatorname{Im} \left(P_{s/2+[(k+1)/2], s/2-[(k+1)/2]}^\lambda(z, z^*) \right) \right). \tag{10}$$

The generalised Zernike polynomials form a complete orthogonal set on the unit disc $\mathbb{D} = \{(x, y) \in \mathbf{R}^2 \mid x^2 + y^2 \leq 1\}$ with respect to the inner product (see [10]),

$$\iint_{\mathbb{D}} (\mathbb{Z}_n^\lambda)(\mathbb{Z}_m^\lambda)^T (1 - x^2 - y^2)^\lambda dx dy = \Lambda_{n,m} \delta_{n,m}, \quad \lambda > -1,$$

where A^T denotes the transpose of A , $\Lambda_{n,m}$ is a $(n + 1) \times (m + 1)$ matrix which is zero for $n \neq m$ and a diagonal invertible matrix for $n = m$, and $\delta_{n,m}$ denotes the Kronecker delta.

Our recursive approach is based on a relation between \mathbb{Z}_s^λ and the bivariate polynomials defined by the Rodrigues-type formula [13]

$$P_{n,m}(x, y; \lambda) = \frac{1}{(1 - x^2 - y^2)^\lambda} \frac{\partial^{n+m}}{\partial x^n \partial y^m} \left[(1 - x^2 - y^2)^{n+m+\lambda} \right]. \tag{11}$$

We shall use the following notation for the column vector of $(n + 1)$ polynomials of total degree exactly n :

$$\mathbb{P}_n^\lambda = \left(P_{n,0}(x, y; \lambda), P_{n-1,1}(x, y; \lambda), \dots, P_{0,n}(x, y; \lambda) \right)^T, \tag{12}$$

where the elements are arranged according to the lexicographical order [14,15].

The column vector \mathbb{P}_n^λ contains one of the polynomial solutions of the following second order partial differential equation of hypergeometric type [16]

$$\begin{aligned} (1 - x^2) \frac{\partial^2}{\partial x^2} u(x, y) + (1 - y^2) \frac{\partial^2}{\partial y^2} u(x, y) - 2xy \frac{\partial^2}{\partial x \partial y} u(x, y) \\ - (2\lambda + 3) \left(x \frac{\partial}{\partial x} + y \frac{\partial}{\partial y} \right) u(x, y) + n(n + 2\lambda + 2) u(x, y) = 0. \end{aligned} \tag{13}$$

As it was shown by Hermite [13], the polynomials \mathbb{P}_n^λ , defined in (12), are orthogonal within subspaces (orthogonal to all polynomials of no higher than $n - 1$ [17]) on the unit disc \mathbb{D} with respect to the same weight function $\rho(x, y; \lambda)$ defined in (1), i.e.,

$$\iint_{\mathbb{D}} (\mathbb{P}_n^\lambda)(\mathbb{P}_m^\lambda)^T (1 - x^2 - y^2)^\lambda dx dy = H_{n,m} \delta_{n,m}, \quad \lambda > -1,$$

where $H_{n,m}$ is a $(n + 1) \times (m + 1)$ matrix which is zero for $n \neq m$ and a non-diagonal matrix for $n = m$.

Let \mathbb{A}_n be the $(n + 1) \times (n + 1)$ matrix whose entries are the coefficients which appear in (17):

$$\mathbb{A}_n = \left(a_{k,r}^{(n)} \right)_{1 \leq k,r \leq n+1}. \tag{18}$$

Algorithm 1 implies that

Corollary 2.3. For each n , the connection matrices \mathbb{A}_n linking the column vector polynomials \mathbb{P}_n^λ defined in (12) and the column vector of generalised Zernike polynomials \mathbb{Z}_n^λ defined in (6), (7), (9), and (10), that is, the matrices \mathbb{A}_n that obey

$$\mathbb{Z}_n^\lambda = \frac{(-1)^n}{2^n n!} \mathbb{A}_n \mathbb{P}_n^\lambda, \tag{19}$$

can be computed in a recursive way by Algorithm 1.

2.1. Results and discussion

From Algorithm 1, the first matrices \mathbb{A}_n defined in (17) and (18) are given by

$$\begin{aligned} \mathbb{A}_1 &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, & \mathbb{A}_2 &= \begin{pmatrix} 1 & 0 & 1 \\ 0 & 2 & 0 \\ 1 & 0 & -1 \end{pmatrix}, & \mathbb{A}_3 &= \begin{pmatrix} 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 1 & 0 & -3 & 0 \\ 0 & 3 & 0 & -1 \end{pmatrix}, \\ \mathbb{A}_4 &= \begin{pmatrix} 1 & 0 & 2 & 0 & 1 \\ 1 & 0 & 0 & 0 & -1 \\ 0 & 2 & 0 & 2 & 0 \\ 0 & 4 & 0 & -4 & 0 \\ 1 & 0 & -6 & 0 & 1 \end{pmatrix}, & \mathbb{A}_5 &= \begin{pmatrix} 1 & 0 & 2 & 0 & 1 & 0 \\ 0 & 1 & 0 & 2 & 0 & 1 \\ 0 & 3 & 0 & 2 & 0 & -1 \\ 1 & 0 & -2 & 0 & -3 & 0 \\ 1 & 0 & -10 & 0 & 5 & 0 \\ 0 & 5 & 0 & -10 & 0 & 1 \end{pmatrix}, \end{aligned}$$

where, as we have already noticed, the coefficients $a_{k,r}^{(n)}$ do not depend on λ .

From the Rodrigues formulae (11) and (19) we obtain the following column vectors for the first generalised Zernike polynomials in Cartesian coordinates following the order presented in Section 1:

$$\begin{aligned} \mathbb{Z}_1^\lambda &= \begin{pmatrix} (\mathbb{Z}_1^\lambda)_1 \\ (\mathbb{Z}_1^\lambda)_2 \end{pmatrix} = \begin{pmatrix} (\lambda + 1)x \\ (\lambda + 1)y \end{pmatrix}, \\ \mathbb{Z}_2^\lambda &= \begin{pmatrix} (\mathbb{Z}_2^\lambda)_1 \\ (\mathbb{Z}_2^\lambda)_2 \\ (\mathbb{Z}_2^\lambda)_3 \end{pmatrix} = \begin{pmatrix} \frac{\lambda + 2}{2} ((\lambda + 2)(x^2 + y^2) - 1) \\ (\lambda + 1)(\lambda + 2)xy \\ \frac{(\lambda + 1)(\lambda + 2)}{2} (x^2 - y^2) \end{pmatrix}, \\ \mathbb{Z}_3^\lambda &= \begin{pmatrix} (\mathbb{Z}_3^\lambda)_1 \\ (\mathbb{Z}_3^\lambda)_2 \\ (\mathbb{Z}_3^\lambda)_3 \\ (\mathbb{Z}_3^\lambda)_4 \end{pmatrix} = \begin{pmatrix} \frac{1}{6} (\lambda + 2)(\lambda + 3)y ((\lambda + 3)(x^2 + y^2) - 2) \\ \frac{1}{6} (\lambda + 2)(\lambda + 3)x ((\lambda + 3)(x^2 + y^2) - 2) \\ \frac{1}{6} (\lambda + 1)(\lambda + 2)(\lambda + 3)x (x^2 - 3y^2) \\ -\frac{1}{6} (\lambda + 1)(\lambda + 2)(\lambda + 3)y (y^2 - 3x^2) \end{pmatrix}, \\ \mathbb{Z}_4^\lambda &= \begin{pmatrix} (\mathbb{Z}_4^\lambda)_1 \\ (\mathbb{Z}_4^\lambda)_2 \\ (\mathbb{Z}_4^\lambda)_3 \\ (\mathbb{Z}_4^\lambda)_4 \\ (\mathbb{Z}_4^\lambda)_5 \end{pmatrix} = \begin{pmatrix} \frac{1}{24} (\lambda + 3)_2 ((\lambda + 3)(x^2 + y^2) ((\lambda + 4)(x^2 + y^2) - 4) + 2) \\ \frac{1}{24} (\lambda + 2)_3 ((\lambda + 4)(x^4 - y^4) + 3(y^2 - x^2)) \\ \frac{1}{12} (\lambda + 2)_3 xy ((\lambda + 4)(x^2 + y^2) - 3) \\ \frac{1}{6} (\lambda + 1)_4 xy (x - y)(x + y) \\ \frac{1}{24} (\lambda + 1)_4 (x^4 - 6x^2y^2 + y^4) \end{pmatrix}, \end{aligned}$$

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