

Mechanisms of allergic diseases

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Oxidants and the pathogenesis of lung diseases

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Activity Objectives

1. To relate the mechanisms through which oxidants contribute to the pathogenesis and exacerbations of respiratory diseases.
2. To discuss the short-term and long-term health effects of air pollutants.
3. To describe populations that are more susceptible to the deleterious effects of air pollution.

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The increasing number of population-based and epidemiologic associations between oxidant pollutant exposures and cardiopulmonary disease exacerbation, decrements in pulmonary function, and mortality underscores the important detrimental effects of oxidants on public health. Because inhaled oxidants initiate a number of pathologic processes, including inflammation of the airways, which may contribute to the pathogenesis and/or exacerbation of airways disease, it is critical to understand the mechanisms through which exogenous and endogenous oxidants interact with molecules in the cells, tissues, and epithelial lining fluid of the lung. Furthermore, it is clear that interindividual variation in response to a given exposure also exists across an individual lifetime. Because of the potential impact that oxidant exposures may have on reproductive outcomes and infant, child, and adult health, identification of the intrinsic and extrinsic factors that may

influence susceptibility to oxidants remains an important issue. In this review, we discuss mechanisms of oxidant stress in the lung, the role of oxidants in lung disease pathogenesis and exacerbation (eg, asthma, chronic obstructive pulmonary disease, and acute respiratory distress syndrome), and the potential risk factors (eg, age, genetics) for enhanced susceptibility to oxidant-induced disease. (*J Allergy Clin Immunol* 2008;122:456-68.)

Key words: *Oxidative stress, antioxidant, genetics, susceptibility, infant, reproductive outcome, premature, children, elderly, asthma, chronic obstructive pulmonary disease, ozone, pollutants, particulates, PM, acute respiratory distress syndrome, hyperoxia, SNP, single nucleotide polymorphism*

As epidemiologic studies emerge from developed and developing industrialized countries, it has become clear that air pollution is associated with dramatic increases in the risk of acute and chronic diseases and death in children and adults. Many air pollutants exert their major effect by causing oxidative stress in cells and tissues that they contact. Gaseous pollutants (including *ozone* [*O*₃], SO₂, and NO₂) and *particulate matter* (*PM*; including ultrafine, PM with diameter ≤2.5 μM, PM with diameter ≤10 μM, and *diesel exhaust particles* [*DEPs*]) are known to form *reactive oxygen species* (*ROS*s) such as superoxide anion, hydrogen peroxide, and hydroxyl radicals. ROSs may damage proteins, lipids, and DNA directly, and form distinct products that can be used as biomarkers and help in measurement of ROS activity. ROSs react with proteins to form nitrotyrosine¹ and bromotyrosine,² whereas reaction with

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Terms in boldface and italics are defined in the glossary on page 457.

Abbreviations used

AHR: Airway hyperresponsiveness
ALI: Acute lung injury
ARDS: Acute respiratory distress syndrome
BALF: Bronchoalveolar lavage fluid
CF: Cystic fibrosis
CFTR: Cystic fibrosis transmembrane conductance regulator
COPD: Chronic obstructive pulmonary disease
DC: Dendritic cell
DEP: Diesel exhaust particle
EBC: Exhaled breath condensate
GSTM1: Glutathione-S-transferase M1
GSTP1: Glutathione S-transferase pi
HNE: 4-Hydroxy-2-nonenal
LOP: Lipid ozonation product
MAPK: Mitogen-activated protein kinase
NF- κ B: Nuclear factor- κ B

NQO1: Reduced form of nicotinamide adenine dinucleotide phosphate: quinone oxidoreductase 1
NRF: Nuclear factor erythroid-2 related factor
PM: Particulate matter
QTL: Quantitative trait locus
ROS: Reactive oxygen species
SP: Surfactant protein

lipids leads primarily to the formation of isoprostanes^{3,4} and ethane.⁵ In scenarios in which DNA damage occurs, single-strand breaks and 8-hydroxyguanosine are generated.⁶

Health effects of air pollution can be classified into short-term and long-term effects, and a number of excellent reviews discuss these effects.⁷ Pollutant effects include reversible decrements in pulmonary function, airway inflammation, airways hyperreactivity, compromised immune function, enhanced responsiveness to respiratory infection, increased incidence and exacerbation of lung

GLOSSARY

DIESEL EXHAUST PARTICLE (DEP): DEPs are fine particles that are part of a complex mixture of gases and particles in diesel exhaust that can have immediate and/or delayed health effects. DEP exposure induces inflammation in the lungs, which aggravates chronic respiratory symptoms and increases the frequency or intensity of asthma attacks.

EPITHELIAL LINING FLUID (ELF): A heterogeneous group of substances that covers the respiratory tract epithelial cells and consists of a lower liquid phase and an upper gel/mucus phase. Substances include mucin, uric acid, ascorbic acid, proteins, and glutathione.

EXHALED BREATH CONDENSATE (EBC): EBC is collected by directing the exhaled breath through a cooling device. The resulting liquid accumulation of exhaled breath constituents contains evaporated and condensed particles (water, ammonia, water soluble volatiles). EBC is increasingly used to sample airway fluid from the lower respiratory tract and identify biomarkers of airway inflammation.

FREE RADICAL: Free radicals are atoms or molecules with unpaired electrons in their outermost ring that form when a covalent bond is broken. Because of the presence of unpaired electrons, free radicals are highly reactive and can interact with important cellular components such as the cell membrane or mitochondrial DNA, leading to impaired cell function or cell death.

LIPID PEROXIDATION: Lipid peroxidation occurs when free radicals interact with lipids in the cell membrane to "fill" their outer electron ring. This process damages the cell wall, thereby preventing the cell from functioning properly. The peroxidation process proceeds by a free radical chain reaction mechanism and consists of 3 major steps: initiation, propagation, and termination.

NUCLEAR FACTOR ERYTHROID-2 RELATED FACTOR (NRF2): NRF2 belongs to a family of basic leucine zipper transcription factors. NRF2 plays an important role in protecting against oxidative stress by regulating antioxidant proteins through an enhancer sequence referred to as the *antioxidant-responsive element*.

OXIDANT: Also known as an oxidizing agent, oxidants are compounds that transfer oxygen atoms or gain electrons in a chemical reaction. Prolonged oxidant exposure can lead to impaired antimicrobial defenses and alter alveolar macrophage function in the lung.

OZONE (O₃): Ozone or trioxygen is a triatomic molecule consisting of 3 oxygen atoms. In the upper atmosphere, ozone filters out potentially damaging UV light. In the lower atmosphere, ozone is an air pollutant that can cause harmful effects on the respiratory systems of animals and human beings at concentrations as low as 0.06 ppm. UV light in the lower atmosphere catalyzes the reaction between ozone and hydrocarbons, which begins the process by which hydrocarbons are removed

from the air and leads to the formation of smog, which contains potential irritants.

PARTICULATE MATTER (PM): PM is a complex mixture of tiny particles of solid or liquid suspended in a gas. The mixture contains numerous components including acids, organic chemicals, metals, dust, and soil particles, which can be separated into 3 categories: (1) inhalable coarse particles have diameters of 2.5 to 10 μ m; (2) fine particles have diameters less than 2.5 μ m; and (3) ultrafine particles have diameters less than 0.1 μ m. On inhalation, particles can have serious effects on the heart and lungs including asthma, lung cancer, cardiovascular disease, and premature death.

REACTIVE OXYGEN SPECIES (ROSs): Small molecules that include oxygen ions, free radicals, and peroxides. ROSs form as a byproduct of oxygen metabolism and can increase dramatically in times of environmental stress. Increased ROS levels can result in significant damage to cells by damaging DNA, oxidizing fatty acids in lipids, oxidizing amino acids, and inactivating specific enzymes.

SINGLE NUCLEOTIDE POLYMORPHISM (SNP): A DNA sequence variation occurring when a single nucleotide—A (adenine), C (cytosine), G (guanine), or T (thymine)—in the genome differs between members of the same species. Genomic DNA coding sequences dictate amino acid sequences and ultimately protein production; therefore, SNPs can result in mutations that have no effect on protein production or mutations that significantly alter protein production. Often SNPs are assigned an allelic frequency on the basis of how prevalent they are within a population, and they can be used as biomarkers to determine whether a patient has a genetic predisposition for a particular disease.

TNF- α : A proinflammatory cytokine primarily produced by macrophages but also found in lymphoid cells, mast cells, and endothelial cells. Binding of TNF- α to its respective receptors leads to the activation of nuclear factor- κ B, activation of mitogen-activated protein kinase stress-related pathway, and apoptosis.

TOLL-LIKE RECEPTOR (TLR): A family of membrane glycoproteins that play an important role in the innate immune response by recognizing pathogen-associated molecular patterns, molecules that are shared by pathogens but distinguishable from host molecules. Thirteen TLRs have been identified in human beings and mice collectively and pair with an adaptor molecule for signaling. TLRs are members of a larger superfamily that includes the IL-1 receptors because of a conserved Toll/IL-1 receptor domain in the cytoplasmic tail.

TRANSCRIPTION FACTOR: A protein that binds to specific DNA sequences called *response elements* to activate or repress gene expression. TFs are modular in structure with DNA-binding, trans-activating, and signal-sensing domains.

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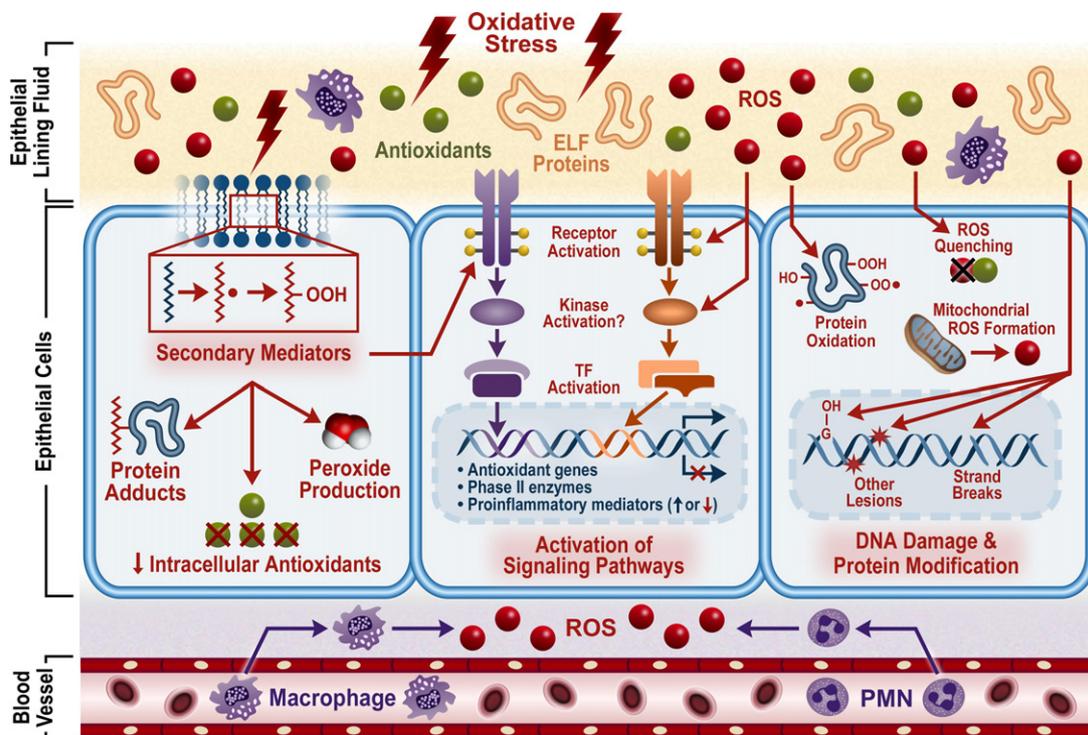


FIG 1. Mechanisms involved in oxidant pollutant-induced adverse health effects. Oxidant pollutants may elicit their effects through (1) the production of secondary mediators generated by reaction of pollutants or pollutant-induced ROSs or free radicals with lipids in the ELF or cell membrane as well as proteins and antioxidants; (2) activation of signaling pathways by ROSs or secondary mediators; (3) oxidation of cellular proteins; (4) damage to DNA. In addition to pollutant-induced generation of ROSs, endogenous sources of ROSs such as inflammatory cells, phagocytes recruited to the site of injury, and other cellular processes may contribute to the oxidative stress state caused by pollutant exposure. Antioxidant molecules and enzymes mitigate the effects of ROSs in the body. *TF*, Transcription factor; *PMN*, polymorphonuclear leukocyte.

disease (eg, asthma), and mortality. For example, high-dose exposure of DEPs can aggravate bacterial infection and induce a strong T-cell-mediated response,^{7,8} whereas O₃ exposure combined with exercise is known to decrease respiratory frequency, FEV₁, and forced vital capacity concurrent with an increase in airways resistance.^{7,9} Ozone also exacerbates allergic asthma, which is characterized by increased eosinophils in induced sputum.¹⁰ Further, studies have shown that air pollutant exposures can increase susceptibility and response to bacterial and viral respiratory infections.¹¹⁻¹³ In particular, individuals with 1 or more risk factors for adverse effects of *oxidant* exposures are of public health concern. Some of these risk factors include, but are not limited to age, sex, genetic background, nutrition, and pre-existing pulmonary disease. Despite current regulations and an increasing awareness of the quality of the air we breathe, levels of common air pollutants remain an issue in many areas worldwide. Furthermore, the mechanisms of oxidant toxicity in the lung and other organ systems remain incompletely understood. In this review, we (1) provide a brief overview of the mechanisms through which exogenous (airborne pollutants) and endogenous oxidants may interact with bioreactive molecules (ie, proteins, lipids, and DNA; cigarette smoke exposures are not discussed because many reviews on this topic currently exist); (2) describe the role of oxidants in the pathogenesis of asthma, chronic obstructive pulmonary disease (COPD), acute respiratory distress syndrome (ARDS), and cystic fibrosis; and (3) discuss a number of intrinsic and extrinsic factors that may enhance susceptibility to oxidants. The review is not meant to be exhaustive but was

intended to highlight representative areas of investigation in this important field, and to identify questions that remain to be answered.

MECHANISMS OF OXIDANT-INDUCED TOXICITY

The mechanisms whereby oxidants exert their pathological effects on the lungs have been the focus of numerous studies and are still the subject of debate. Despite the diversity of these agents and the multitude of complex mechanisms that exist, several common themes have been identified that can serve as a platform for future research. Many ambient air pollutants may induce oxidative stress in the lung that arises when ROSs overwhelm antioxidant defenses (Fig 1). After this imbalance is reached, ROSs readily react with proteins, lipids, and DNA, resulting in a number of pathological consequences.

Oxidant interaction with molecules

A primary consequence of oxidative stress is *lipid peroxidation*, or the oxidative degeneration of lipids. Lipid peroxidation is caused by a *free radical* chain reaction mainly involving membrane polyunsaturated fatty acids. If not quenched, this reaction can permanently damage cell membranes, ultimately leading to cell death. Exposures to oxidant air pollutants cause lipid peroxidation in human beings and rodents.¹⁴⁻¹⁹ Furthermore, the end products of lipid peroxidation can lead to subsequent pathological consequences. One of these end products, 4-hydroxy-2-nonenal (HNE), has numerous downstream effects. *In vitro* treatment of

cells with HNE can cause lipid peroxidation²⁰ and may potentiate oxidative stress through a depletion of intracellular glutathione and induction of peroxide production.²¹ HNE may also play a role in airway remodeling through activation of the epidermal growth factor receptor²² and induction of fibronectin production.²³ In addition, HNE-protein adducts have been found in the lungs of mice and human beings after O₃ exposure.^{24,25} Finally, HNE can induce cell death of alveolar macrophages in mice.²⁶ These studies provide evidence for the hypothesis that secondary mediators generated by oxidant reactions with lipids, proteins, and other biomolecules contribute to toxic effects of pollutants.

Another secondary mediator can be generated by a reaction of O₃ with unsaturated fatty lipids. Ozone can react directly with unsaturated fatty lipids in the *epithelial lining fluid* and cell membranes to produce lipid ozonation products (LOPs), which also have pathological downstream effects.²⁷⁻²⁹ These products are small, diffusible, and relatively stable, making them ideal mediators of O₃ toxicity. *In vitro* exposure of human airway epithelial cells to different LOPs has shown that these products can activate eicosanoid metabolism similar to O₃ exposure.³⁰ Furthermore, products involved in eicosanoid metabolism are themselves highly reactive peroxides, which can contribute to the oxidative stress-induced damage. Other studies have shown that exposure of bronchial epithelial cells to LOPs caused activation of phospholipases A2, C, and D as well as the induction of inflammatory mediators such as platelet-activating factor, prostaglandin E₂, IL-6, and IL-8.^{28,29} Treatment with oxidized phospholipids from O₃-exposed lung surfactant reduced the viability of macrophages and epithelial cells by necrosis and apoptosis, respectively.³¹ This treatment also stimulated the release of IL-8 from epithelial cells. Taken together, these studies provide evidence of a direct link between LOPs produced by O₃ exposure and O₃-induced inflammation and cell damage.

A primary function of ELF is to protect underlying tissue from inhaled pathogens and toxins. However, current evidence suggests that antioxidants and lipids found in the ELF mediate oxidant-induced membrane oxidation. Thus, some defenses within this barrier may also contribute to the toxicity of certain agents. The capacity of O₃ to oxidize cell membrane proteins and lipids *in vitro* was shown to be dependent on the presence of either of the antioxidants ascorbate or glutathione in the lining fluid.³² These results were corroborated by a study demonstrating that addition of ascorbate to the lining fluid increased cell injury in response to O₃.³³ Other studies have shown similar mechanisms for NO₂. Glutathione and/or ascorbate are necessary components of the lining fluid for NO₂-mediated membrane oxidation *in vitro*.³⁴

Another mechanism whereby oxidant pollutants may exert their pathological effects is through the modification of proteins. ROSs can act directly or indirectly on proteins to cause oxidation of the polypeptide backbone, peptide bond cleavage, protein-protein cross-linking, or amino acid side chain modifications.³⁵ Amino acid composition, particularly cysteine and methionine residues, can render proteins more susceptible to oxidation.³⁵ For example, oxidation of methionine residues in α -1-antitrypsin by ROSs *in vitro* results in loss of antineutrophil elastase activity.³⁶ Without protection from α -1-antitrypsin, the alveolar matrix is susceptible to destruction by neutrophil elastase, which can eventually contribute to emphysema. Oxidation of multiple methionine residues by ROSs impairs rapid sodium channel inactivation.³⁷ ROSs also oxidize methionine residues in surfactant protein (SP)-B, leading to inactivation.³⁸ Inactivation of SP-B reduced the ability of the surfactant film to reduce lung surface

tension during breathing, which can contribute to respiratory distress syndrome. Similarly, acute exposure of guinea pigs to O₃ altered SP-A function, contributing to the inflammatory response.³⁹ Another study found that *in vitro* and *in vivo* O₃ exposure caused oxidative modifications in SP-A that reduced the ability to enhance phagocytosis of bacteria.⁴⁰ Oxidative modification of surfactant proteins may also render the lung more susceptible to lipid peroxidation, inflammation, and oxidative damage because these proteins have been reported to inhibit these processes.⁴¹⁻⁴³

Epidemiologic and experimental studies have shown that exposure to air pollutants increases the risk of lung cancer.⁴⁴ A potential mechanism for the increased cancer incidence in exposed individuals is DNA damage. Prahalad et al⁴⁵ demonstrated that PM can cause DNA damage and that this effect was inhibited by an OH scavenger and metal ion chelators, suggesting a role for PM-generated free radicals and metals adsorbed onto the particles. Further evidence also showed that PM caused increased DNA oxidative damage to human airway epithelial cells and was associated with the amount of water-soluble metals contained on these particles.⁴⁶ Another group demonstrated that DEPs induced DNA damage in mice and that this effect was dependent on the particle and not the organic chemicals adsorbed onto the particle surface.⁴⁷ The authors proposed that alveolar macrophage generation of hydroxyl radicals during particle phagocytosis may contribute to DNA damage. These and other studies suggest that PM-induced DNA damage results from free radical formation.⁴⁸ DNA damage has also been shown in lung epithelial cells exposed to O₃, and this effect was reduced by pretreatment with vitamins C and E.⁴⁹ It had also been reported that DNA backbone cleavages caused by O₃ were dependent on hydroxyl radicals, whereas DNA base modifications were mainly caused by a direct effect of O₃.⁵⁰ Furthermore, DNA-protein cross-linking has been shown in the lungs of mice exposed to SO₂.⁵¹ In addition to potential cancer etiology, DNA damage may alter gene and protein expression as well as cell death.

Oxidant-induced cell signaling

Activation of signaling pathways is another way in which oxidant pollutants may cause pathological responses in the lung. Air pollutants and ROSs can activate mitogen-activated protein kinase (MAPK) signaling, which may ultimately promote inflammation. For example, inhibition of c-Jun N terminal kinase in mice attenuated O₃-induced inflammation and hyperresponsiveness.⁵² In addition, end products of lipid peroxidation activate extracellular signal-regulated kinase p44/42 (Erk1/2), c-Jun N terminal kinase, and p38MAPK, and activation can be blocked by N-acetyl cysteine.^{21,23} Activation of these kinases was also accompanied by increased DNA binding activity of the *transcription factor* activator protein 1, which can lead to the transcription of stress response genes including phase II enzymes (Fig 1). Another study demonstrated that HNE could induce DNA binding of the transcription factors *nuclear factor erythroid-2 related factor* (NRF)-1, NRF2, JunB, c-Jun, FosB, c-Fos, Fra1, and Fra2.⁵³ Oxidants also increase nuclear factor- κ B (NF- κ B) DNA binding along with the release of the proinflammatory cytokine IL-8 in lung epithelial cells, and this effect can be abrogated by antioxidant pretreatment.⁵⁴ Other studies have also demonstrated the ability of air pollutants to activate NF- κ B.^{55,56} Activation of stress response pathways by oxidative stress is likely a cause of pollutant-induced NF- κ B activation. PM-induced activation

of NF- κ B has been shown to be dependent on epidermal growth factor receptor activation and the MAPK signaling pathway, which is involved in the stress response.⁵⁷ Conversely, there is also evidence that oxidants can downregulate inflammatory pathways through an inhibitory effect on NF- κ B.⁵⁸

Another important transcription factor involved in the response to oxidative stress is NRF2. NRF2 contributes to the oxidative stress response through its binding of antioxidant response elements, leading to the induction of various genes involved in mitigating oxidative damage.⁵⁹ Oxidant-induced activation of NRF2 leads to the transcription of genes for antioxidants, DNA damage recognition, glutathione homeostasis, free radical metabolism, and a number of other elements involved in the oxidative stress response.⁶⁰ Mutations in NRF2 or a disruption of the signaling pathway would likely render individuals more susceptible to the adverse effects of pollutant exposure. Further investigation of pollutant-induced activation of NRF2 and the consequences of NRF2 mutation in the response to pollutant exposure is needed to elucidate fully the role of NRF2 in mitigating the effects of oxidant pollutant exposure.

Endogenous sources of oxidants

Endogenous sources of ROSs may have an indirect role in the toxicity induced by exposure to air pollutants. The main cellular sources of ROSs in the lung include neutrophils, eosinophils, alveolar macrophages, epithelial cells, and endothelial cells. Air pollutant-induced lung inflammation involves the recruitment of inflammatory cells that release ROSs, which can enhance inflammation, tissue damage, and other pathological effects. In addition, phagocytes can be activated by PM deposition in the lung and cause ROS release, contributing to the oxidative damage.^{47,61} Similarly, NO₂ has also been shown to induce the release of ROSs from macrophages.⁶² The predominant ROSs produced by inflammatory cells and macrophages are superoxide and hydrogen peroxide, respectively. Both oxidants can react with a number of substrates and biomolecules to cause damage and generation of harmful radicals. ROSs are also produced in the body during normal metabolic reactions such as aerobic respiration involving the electron transport chain within the mitochondria, and enzyme reactions involving cyclooxygenases, lipoxygenase, peroxidases, and cytochrome-P450. Any alteration in these processes or decrement in the antioxidants that offset the production of ROS from them may also lead to tissue damage and other pathological consequences. In addition, endogenously produced nitric oxide can react with oxygen to form damaging nitrogen oxides, or it can react with superoxide to form peroxynitrate.⁶³ Peroxynitrate has been shown to induce lipid peroxidation, DNA damage, and protein oxidation.^{59,64-66} Furthermore, peroxynitrate can also react with CO₂ to form NO₂, which can lead to further oxidant-induced damage.⁶⁷ Taken together, these studies demonstrate how endogenous sources of ROSs can contribute to air pollutant-induced toxicity through an enhancement of the oxidative burden within the lung.

OXIDANTS AND LUNG DISEASE

Because the lung interfaces with the external environment, it is frequently exposed to airborne oxidant gases and particulates, and thus prone to oxidant-mediated cellular damage. Enhanced levels of oxidant production and cellular injury have been implicated in many pulmonary diseases including asthma and other allergic

diseases, COPD, ARDS, and cystic fibrosis. In the following section, we briefly describe investigations on exacerbation of these important pulmonary diseases caused by ROS.

Asthma

It is widely agreed that a link exists between oxidants and their effect on various allergic diseases, particularly asthma pathogenesis. Oxidants can cause airway inflammation and airway hyperresponsiveness (AHR), which are major characteristics of asthma.⁶⁸ Patients with asthma have increased ROS production by macrophages, eosinophils, and neutrophils, which leads to increased hydrogen peroxide,⁶⁹ 8-isoprostane, and CO in their breath condensates; increased pulmonary glutathione peroxidase and superoxide dismutase in lung cells⁷⁰; and increased pulmonary, serum, and urinary peroxidation products. Because eosinophils and neutrophils are the major cells in the inflammatory infiltrate in asthma, increased levels of eosinophil peroxidase and myeloperoxidase in the peripheral blood, induced sputum, and bronchoalveolar lavage fluid (BALF) from patients have been documented.^{71,72} Other markers of oxidant activity such as malondialdehyde and thiobarbituric acid reactive products have also been detected in urine, plasma, sputum, and BALF that relate to the severity of asthma in these patients.⁷³⁻⁷⁶ Elevated levels of nitrotyrosine¹ and chlorotyrosine^{2,77} in BALF from patients with asthma also suggest oxidative protein damage.

External oxidant stimuli also worsen existing allergic disease. For example, O₃ may evoke asthma exacerbations. Ozone inhalation was shown to increase AHR; induce higher IL-5, GM-CSF, and granulocyte-colony stimulating factor levels; and indirectly enhance the longevity of eosinophils via suppressing apoptosis in a mouse model of allergic asthma.⁷⁸

Diesel exhaust particles and their components have been demonstrated to enhance AHR in a murine model of asthma.⁷⁹ Human studies have also revealed that antioxidant enzymes, glutathione-S-transferase M1 (GSTM1) and glutathione S-transferase pi (GSTP1), can alter adjuvant function of DEPs in allergic inflammation and block DEP-induced IgE and IL-4 cytokine production.⁸⁰ DEP exposure leads to generation of ROSs *in vitro*⁸¹ and *in vivo*.⁸² DEPs have also been advocated as an adjuvant in allergic sensitization, mediating their effect via dendritic cells (DCs). Chan et al⁸³ showed that DEPs downregulated LPS-induced CD86 and CD54, MHC class II maturation markers, and IL-12 production by DCs, thereby interfering with DC function. The interference in DC function was attributed to the NRF2 signaling pathway.⁸³

Recent studies have also suggested that O₃ and DEPs have an additive effect on AHR and pulmonary inflammation in asthma. For example, higher enhanced pause value, increased IL-4, and reduced IFN- γ levels in BALF from ovalbumin-sensitized-challenged, O₃-exposed, and DEP-exposed groups were observed compared with ovalbumin-sensitized-challenged O₃-exposed groups and ovalbumin-sensitized-challenged DEP-exposed groups.⁸⁴

COPD

Chronic obstructive pulmonary disease is a slow, progressive, and irreversible disease state characterized by limited airflow associated with gradual decline in lung function⁸⁵ with clinical manifestations such as emphysema and chronic bronchitis.^{86,87} Clinical and experimental investigations suggest that oxidants

play a role in pathogenesis of COPD. The major contributing factors in COPD etiology are direct exogenous sources of oxidants such as cigarette smoke rich in ROSs. Increased amounts of ROSs are also generated endogenously by various inflammatory and epithelial cells of the airways. Further, accumulating evidence has implicated indirect local and systemic effects of oxidants in COPD pathogenesis. Locally, higher levels of oxidants have been found in *exhaled breath condensate (EBCs)*, sputum, and lavage fluid of patients with COPD. Large numbers of neutrophils and macrophages migrating into the lungs of patients with COPD generate ROSs in excess such that these patients have higher levels of superoxide anion and hydrogen peroxide release.⁸⁸⁻⁹¹ Recently, hydrogen peroxide in exhaled air and IL-8 and soluble intercellular cell adhesion molecule 1 in serum were found to be suitable markers in monitoring patients with exacerbated COPD.⁹² Impairment in gene expression of protective mechanisms (GSTP1, GSTM1, microsomal epoxide hydrolase, and tissue inhibitor of metalloproteinase 2) against oxidants in lung samples of patients with COPD was observed along with up-regulation of chemokines involved in the inflammatory process.⁹³ Locally generated 4-HNE has been shown to modify protein levels in airway and alveolar epithelial cells and endothelial cells in human subjects with airway obstruction.⁹⁴ It also interacts with glutathione, thereby reducing cells' antioxidant ability.⁹⁵

Systemically, oxidants cause elevation of plasma lipid peroxidation products such as malondialdehyde.^{96,97} Higher levels of 8-isoprostanes, products of ROS-mediated peroxidation of arachidonic acid, are also found in breath condensates as well as in the urine.^{98,99} Erythrocyte superoxide dismutase, which scavenges superoxide radical, was significantly higher in plasma of patients with COPD than in healthy nonsmokers.¹⁰⁰

ARDS

Acute respiratory distress syndrome is a severe form of acute lung injury (ALI) and a syndrome of acute pulmonary inflammation characterized by sudden reduction in gas exchange and static compliance as well as nonhydrostatic pulmonary edema.¹⁰¹ The mechanisms of ARDS are an ongoing field of investigation. However, ROSs have been suggested to play an important role in pulmonary vascular endothelial damage,¹⁰² which is hypothesized to be responsible for clinical manifestation of ARDS. Recently, studies have suggested that pathogenesis of ARDS involves enhanced production of ROSs and diminished antioxidant levels.^{103,104} Patients with ARDS have high levels of hydrogen peroxide in exhaled air and urine¹⁰⁵ and high circulating levels of 4-HNE.¹⁰⁶ Levels of antioxidant defense system including enzymes like superoxide dismutase and catalase as well as other scavengers like glutathione and vitamins E and C have been shown to drop with increasing levels of ROSs.¹⁰⁷

Iron is also known to be a mediator of oxidative stress because it can catalyze pro-oxidant reactions. Decreased plasma iron-binding activity leading to decreased ability to prevent iron-dependent ROS formation has been detected in patients with ARDS. Transferrin receptor protein levels were found to be significantly increased in lung biopsies of patients with ARDS, implicating iron as a mediator of oxidative stress.¹⁰⁸

Inflammatory mediators such as cytokines, chemokines, and adhesion molecules expressed during ARDS can also indirectly mediate production of ROSs¹⁰⁹ and thereby lead to further damage. High concentrations of *TNF- α* and IL-1 β have been detected

in high concentrations of BALF of patients with ARDS. IL-6 levels in the circulation are known to be a detector of ARDS of different etiologies such as sepsis and acute pancreatitis.¹⁰⁹

Cystic fibrosis

Cystic fibrosis (CF) is a disease caused by an autosomal recessive mutation in the cystic fibrosis transmembrane conductance regulator (CFTR) gene, resulting in dysfunction of a protein involved in the transport of chloride ions across cell membranes. The deficiency in chloride transport leads to production of thickened mucus secretions in the respiratory system of patients with CF who are commonly afflicted with recurrent episodes of bronchitis and pneumonia. EBCs from children with CF expressed high levels of oxygen and carbon-centered radicals in CF groups versus the healthy controls. Catalase abolished oxygen radicals in EBC, whereas addition of hydrogen peroxide led to a dramatic increase.¹¹⁰ In a similar study, myeloperoxidase and 3-chlorotyrosine levels were 10-fold and 5-fold elevated, respectively, in the BALF of young children with CF compared with the controls.¹¹¹ Nitrotyrosine was found elevated in the sputum of patients with CF.¹¹²

Recent investigations have helped in understanding the important role of CFTR in CF pathogenesis. CFTR is known to regulate cellular glutathione (GSH) transport. CFTR gene expression is suppressed by oxidative stress caused by tert-butylhydroquinone (BHQ), because it enhanced cellular glutathione in CFTR-expressing T84 and Calu-3 epithelial cells.¹¹³ In another recent study, mutated CFTR caused increased ROS levels and mitochondrial oxidative stress as a consequence of lower GSH levels.¹¹⁴ The submucosal gland serous cell is the principal site of expression of CFTR chloride ion channel, which is known to malfunction in CF.¹¹⁵ Cowley and Lindsell¹¹⁵ proposed that ROS-stimulated anion secretion from serous cells is CFTR-dependent. Absence of this compensatory protective mechanism might expose lung to ROSs for extended periods, which could be important in the pathogenesis of CF lung disease.

Neutrophil-rich inflammation is a determinant of CF severity, and findings from a recent genetic study show that the level of myeloperoxidase (MPO) gene expression that governs the microbicidal and proinflammatory activities of neutrophils may influence CF pathogenesis.¹¹⁶ Specifically, the -463GA myeloperoxidase promoter polymorphism has been shown to control the severity of CF-related pulmonary inflammation.

SUSCEPTIBLE POPULATIONS

Interindividual differences in responses to air pollutant exposures have been well documented.¹¹⁷⁻¹²⁰ That is, in populations and clinical studies exposed similarly to air pollutants, pulmonary inflammatory and function responses are more severe in some individuals than in others. Importantly, investigators have also demonstrated high within-individual reproducibility of the responses to air pollutant exposures.¹²⁰ The wide spectrum of adverse responses to the pollutants has been attributed to multiple intrinsic (eg, age, sex, genetic) and extrinsic (eg, nutrition, pre- or concurrent exposure, pre-existing disease) factors. In the following section, we identify and briefly discuss intrinsic (host) and extrinsic factors that may influence susceptibility to oxidant air pollutants, and the potential implications for increased risk of allergic

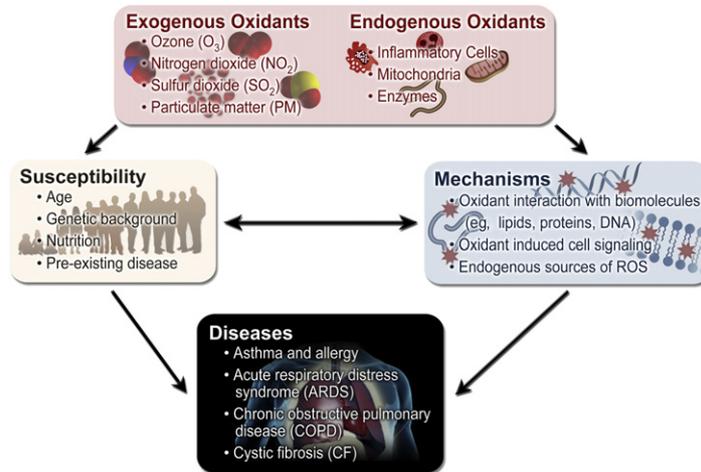


FIG 2. Flowchart demonstrating the connection between oxidants and disease states, as well as the mechanisms and susceptibility factors involved in the development of oxidant-induced diseases.

disease. Because of space limitations, most of the discussion is focused on age and genetic background.

Age

Preneonatal effects. Maternal exposure to air pollutants during pregnancy may have adverse effects on the developing fetus (see comprehensive review¹²¹⁻¹²³). Preterm neonates are particularly susceptible to potential injurious effects of air pollutants because exposure may disrupt normal fetal developmental processes. Adverse birth outcomes, including intrauterine and infant mortality¹²⁴⁻¹²⁶, preterm birth¹²⁷⁻¹²⁹, low¹³⁰⁻¹³³ (<2500 g) and very low^{134,135} (<1500 g) birth weight, intrauterine growth restriction, and birth defects¹³⁶⁻¹³⁸ have been associated with maternal exposure to O₃, NO₂, SO₂, and PM. Because low/very low birth weight and prematurity are important predictors of children's health (eg, mortality, cardiovascular, pulmonary, immunologic, renal, central nervous system, and neurocognitive deficits¹³⁹⁻¹⁴²), understanding of the effects of oxidants has important economic and public health implications. Interestingly, although some investigations found positive associations between maternal exposures to oxidant pollutants and adverse birth outcomes, others suggested that exposures have little or no effects.¹³² However, the majority of studies suggest that maternal exposures to oxidant air pollutants and the resultant effects on birth weight and other adverse birth outcomes represent an important determinant of susceptibility to lung development and diseases including allergic diseases such as asthma (Fig 2).

Infants and children. Development of the lung is a complex, highly orchestrated process that starts in the embryo, where the lung begins as an avascular epithelial bud and reaches maturation at approximately 6 to 8 years of age.^{143,144} Transcription factors and other molecular signals control development of respiratory bronchioles, epithelium, capillaries, and immune cell populations and processes over a number of well defined stages.¹⁴⁴ The lung is particularly vulnerable to adverse effects of oxidant pollutants and other toxicants during postnatal growth and development processes.^{121,123} However, little is known about the mechanisms through which inhaled oxidant toxicants affect the human developing lung, although animal models have provided important insight.¹⁴⁴ The airway epithelium, in particular, is thought to be

highly vulnerable, and the immune system, such as polarization of T_H cells, could also be affected by oxidants and inflammatory stimuli.¹⁴⁵

Large cohort investigations have associated exposure to air pollutants with changes in children's lung function.^{123,146-149} Children are particularly susceptible not only because the lung is developing, but also because children are often very active outdoors and have very different ventilatory parameters compared with adults¹⁴⁴ that facilitate deeper and greater lung deposition of particles and gas/cell membrane interactions. Gauderman et al¹⁴⁸ reported that among more than 3600 children in southern California communities, those who lived within 500 m of a freeway had significant deficits in pulmonary function (FEV₁, maximum midexpiratory flow) compared with children who lived at least 1500 m away from the freeway. Delfino et al¹⁴⁹ evaluated the relationship between daily changes in FEV₁ and ambient and personal air pollutant exposures in subjects with asthma between the ages of 9 and 18 years. These investigators found that decrements in FEV₁ were significantly associated with increasing hourly peak and daily average personal PM_{2.5} and NO₂. Interestingly, FEV₁ decrements were not found with ambient PM_{2.5} and only weakly with ambient NO₂. They concluded that pollutant associations with lung function deficits might be missed using ambient data alone, and stress the importance of using personal exposure to identify independent effects of specific pollutants.

An increasing body of population-based and epidemiologic literature also indicates that children with asthma are at great risk for asthma exacerbation with exposure to traffic-related air pollution (see review¹⁵⁰). Asthma exacerbations have been demonstrated in many urban environments worldwide including Hong Kong,¹⁵¹ Mexico City,¹⁵² and Los Angeles.¹⁵³ Air pollutants have also been associated with the development of asthma in children. For example, McDonnell et al¹⁵⁴ found that the relative risk of developing asthma was 3.3 times greater in children who played 3 or more outdoor sports in southern California communities with high O₃ concentrations compared with children playing no outdoor sports. The investigators found that the number of sports had no influence on asthma incidence in low O₃ communities. Further support for the hypothesis that air pollutants contribute to atopic diseases in children was provided by a prospective birth cohort study that found strong positive associations between the

distance to nearest main road and asthmatic bronchitis, hay fever, eczema, and sensitization during the first 6 years of life.¹⁵⁵

The relatively greater increases in urban asthma have been attributed to many factors, including socioeconomic status. Socioeconomic status and ethnic disparities in asthma prevalence and morbidity have been well documented,¹⁵⁶ and environmental factors may account for asthma disparities including greater traffic air pollution, disparities in treatment and access to care, housing conditions, and indoor exposure to allergens.

Elderly. Evidence has accumulated that suggests the elderly (>65 years) may be susceptible to adverse effects of air pollutant exposures (see reviews^{157,158}). The mechanisms for increased susceptibility in this subpopulation are not well understood, but age-related decline in lung function,^{159,160} underlying cardiovascular and/or pulmonary disease,^{161,162} and potential decline in antioxidant defense capacity of the respiratory tract lining fluid¹⁵⁸ have been proposed. It is generally agreed that lung function declines with age, and oxidant stress related to smoking, chronic lung inflammation, and related diseases may increase the rate of decline.¹⁵⁸ Furthermore, decline in antioxidant capacity has been correlated with increased risk of mortality with multiple causes.¹⁶³ Supplementation with antioxidants (see review¹⁵⁸) or treatments with other oxidant-reducing drugs (eg, statins¹⁶⁴) may reduce the rate of decline in lung function. Interestingly, a recent investigation found in relatively small groups of young subjects, older current smokers, and older nonsmokers that NRF2 expression decreased in the alveolar macrophages of older current smokers and patients with COPD relative to the other groups.¹⁶⁵ However, the use of antioxidant therapies to treat diseases such as COPD that are associated with decreased lung function have been equivocal.¹⁵⁸ The explanation for inconsistent protective effects of antioxidant therapies for these diseases is not clear, although the presence of large interindividual variation in antioxidant levels in smokers suggest that protective response mechanisms may exist in which oxidant stress stimulates upregulation of antioxidant mechanisms.¹⁵⁸ Understanding whether differential inducibility of antioxidant defenses because of loss-of-function polymorphisms in antioxidant enzyme genes or other mechanisms (eg, posttranslational modification of proteins) in aging normal and diseased individuals could provide important insight into development of effective strategies to prevent or reduce loss of lung function. For example, Alexeeff et al¹⁶⁶ found that acute effects of O₃ exposure were exacerbated in elderly men with polymorphisms in the antioxidant genes *GSTP1* and heme oxygenase 1 (*HMOX1*) relative to those with wild-type genotypes. Interestingly, antioxidant supplementation to reduce change in lung function caused by O₃ in children with asthma was effective only in those with genetic deficiency in *GSTM1*, suggesting nutrition by gene interaction may be important in this setting.¹⁶⁷ Further investigation of interactions between antioxidant supplementation and genetic background may have implications for other subpopulations, including the elderly.

Genetic background. A role for genetic background in pulmonary responses to the adverse effects of oxidant exposures was initially suggested on the basis of reproducible interindividual variation in pulmonary spirometric responses (FEV₁, specific airway resistance) by normal healthy volunteers after controlled O₃ exposures.¹²⁰ Similar observations were independently reported by other laboratories.¹¹⁹ Furthermore, investigators found that O₃-induced inflammation as indicated by the number of polymorphonuclear leukocytes found in BALF also varied widely between

subjects.¹¹⁷ Because the subjects in all of these studies were otherwise healthy, nonsmoking, young adults, an intrinsic factor was suggested to be an important determinant of the variation.¹⁵⁴

To evaluate more formally whether genetic background was an important determinant of susceptibility to O₃-induced lung inflammation and injury, multiple inbred strains of mice were exposed to O₃.¹⁶⁸ Significant interstrain variation in O₃-induced inflammation was found, and linkage analyses identified quantitative trait loci (QTLs) that harbor candidate susceptibility genes. Proof of concept investigations have implicated TNF (*Tnf*¹⁶⁹) and *Toll-like receptor 4* (*Tlr4*¹⁷⁰) as determinants of O₃-induced lung inflammation and hyperpermeability, respectively. However, these susceptibility QTLs (and candidate genes) account for only approximately 20% to 30% of the genetic variance in O₃ responsiveness, which indicates that other QTLs likely interact to determine O₃ response phenotype.

Evidence exists that genetic loci for inflammatory and antioxidant processes are also important in human responses to air pollutants (see review¹⁷¹). For example, Bergamaschi et al¹⁷² found that polymorphisms in genes for phase II xenobiotic metabolizing enzymes NAD(P)H:quinone oxidoreductase 1 (*NQO1*) and *GSTM1* associated with pulmonary function and epithelial injury responses to O₃ in exercising subjects. Yang et al¹⁷³ found that among 51 adult subjects exposed to O₃ during intermittent exercise, those with the *TNF* -308 G/G genotype (wild-type) had a significantly greater fall in FEV₁ (-9% of baseline) compared with subjects with a loss-of-function -308 A allele (G/A or A/A genotype). Interestingly, a similar association of *TNF* genotypes was found in adult subjects with asthma exposed to 0.5 ppm SO₂ O₃ during moderate exercise.¹⁷⁴

The role of *TNF* was also investigated by Li et al,¹⁷⁵ who found that children with -308 G/G *TNF* genotype had decreased risk of asthma and lifetime wheezing compared with children who had 1 or more of the mutant alleles. They also found that the protective effect of the G/G genotype on wheezing was greater in low O₃ communities compared with high O₃ communities. Furthermore, they found that reduction of the protective effect of the -308 G/G genotype with higher O₃ exposure was most evident in children who had antioxidant *GSTM1* null and *GSTP1* Ile/Ile genotypes. Results suggested that the -308 G/G genotype may be important in the pathogenesis of asthma and wheezing, which in turn is dependent on airway oxidative stress. Others^{176,177} have also found that polymorphisms in *NQO1* and *GSTM1* confer differential risk to asthma in oxidant environments, thus strengthening the notion that interaction of environmental oxidants and these phase II enzyme genes (ie, gene-by-environment interaction) are important in the pathogenesis of asthma.

Hyperoxic lung injury induces inflammation and noncardiogenic edema in the lung, which are phenotypes of ALI and ARDS. In positional cloning studies, the nuclear transcription factor *NRF2* was identified as a potential candidate gene for susceptibility to hyperoxic lung injury in inbred mice.¹⁷⁸ Studies in mice with targeted deletion of *NRF2* confirmed a role for this gene in the hyperoxia model.¹⁵⁵ Interestingly, *NRF2* has also subsequently been found important in the pathogenesis of asthma phenotypes in a mouse model.¹⁷⁹ Furthermore, recent investigations have also suggested a role for *NRF2* in PM-induced exacerbation of asthma.^{180,181}

We therefore hypothesized that polymorphisms in *NRF2* resulting in decreased function similarly predispose human beings to ALI. Resequencing of *NRF2* in 4 different ethnic populations identified 3 new *NRF2* promoter polymorphisms at positions

-617 (C/A), -651 (G/A), and -653 (A/G).¹⁸² The -617 polymorphism alters the consensus recognition sequences for NRF2, and suggests that this polymorphism may affect *NRF2* transcription. *In vitro* transcription factor binding analyses confirmed a loss-of-function effect of the -617 C/A polymorphism. Furthermore, among major trauma patients, those with the -617 A *single nucleotide polymorphism* (SNP) had a significantly higher risk for developing ALI (odds ratio, 6.44; 95% CI, 1.34-30.8; *P* = .021) relative to patients with the wild type (-617 CC).¹⁸² These studies provided insight into the molecular mechanisms of susceptibility to ALI, and may help identify patients who are predisposed to develop ALI under at risk conditions, such as trauma and sepsis. Furthermore, because animal models have implicated an important role for *NRF2* in asthma/allergy phenotypes, evaluation of the *NRF2* promoter polymorphisms may have relevance in these and other diseases with oxidant stress etiologies.

Conclusion and future directions

Oxidative stress can cause cellular damage by oxidizing nucleic acids, proteins, and membrane lipids. ROSs have been implicated in the pathogenesis of many diseases and important biological processes including carcinogenesis, atherosclerosis, aging, and inflammatory disorders. Moreover, because of its interface with the environment, the lung is a major target organ for injury by exogenous oxidants such as environmental pollutants and endogenous ROSs generated by inflammatory cells. Lipid peroxidation products such as isoprostanes, thiobarbituric acid reactive products, and malondialdehyde can be detected in EBCs, BALF, urine, or plasma to give an indirect measure of oxidative stress. Levels of H₂O₂ in EBCs can also be used to estimate oxidative stress within the lungs. Furthermore, levels of antioxidants such as GSH in EBCs or BALF are another indirect measure of oxidative stress. These endpoints are indirect measures of oxidative stress and are not specific to air pollutant exposure, and considerable variability may arise from confounding factors such as collection methods and individual lifestyle habits. Markers of DNA oxidation such as 8-hydroxy-2-deoxyguanosine and 8-oxo-guanosine can be detected in the urine. Protein carbonyl levels in the plasma can be used to assess protein oxidation. All of the biomarkers mentioned do not discriminate between different oxidative insults and are merely indicators of oxidative damage. Further investigation is needed to discover biomarkers that correlate well with severity of pollutant-induced injury as well as exposure to the pollutants. These markers are essential to provide a means to estimate exposure and facilitate identification of at risk individuals.

Although considerable progress has been made to understand the mechanisms through which oxidants initiate and propagate cell and tissue toxicity, critical questions remain to be addressed. For example, specific signaling pathways and mechanisms of transcription factor activation by specific oxidant pollutants are not well understood. Characterization of precise cellular mechanisms may provide a means for intervention to prevent or protect against disease pathogenesis, particularly in populations that are at risk because of pre-existing disease, age (very young and elderly), or other predisposing conditions such as poor nutrition.

Because of the impact that oxidants may have on lung function, a better understanding of factors that may influence individual susceptibility remains an important issue. *In utero* and neonatal exposures to oxidants can have profound effects on the

developing lung and may affect childhood and adult health considerably. Studies designed to investigate how early life exposures to specific oxidants affect airway morphology, immune function, and the epigenome are necessary if we are to understand the long-term differences in morbidity/mortality outcomes, including asthma and other allergic diseases.

Genetic background is also an important determinant of responsiveness to oxidant exposures in children and adults. Using association analyses in clinical and epidemiological investigations, functional polymorphisms in a number of candidate susceptibility genes (eg, *NQO1*, *GSTM1*, *TNF*) have provided some insight to the importance of genetics in interindividual variation in oxidant responsiveness. However, responsiveness to oxidant exposures and disease pathogenesis is a complex, multigenic process, and the contribution of each gene in a complex trait is relatively minor. Therefore, it is critical to identify each of the genes that ultimately determine complex traits such as environmental lung diseases. Discovery of genes that affect physiology and pathophysiology will occur only if multidisciplinary investigations use model systems to exploit the accumulating data available for comparative genetics and genomics.¹⁸³ Furthermore, susceptibility genes almost certainly interact with multiple environmental exposures or stimuli that are important in the etiology of a disease, and these interactions may vary with age and from one population to another.¹⁸⁴ It is only through investigation of the basic mechanisms and translational application that we will understand the complex interplay of gene-environment interactions and oxidant-mediated lung disease.

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