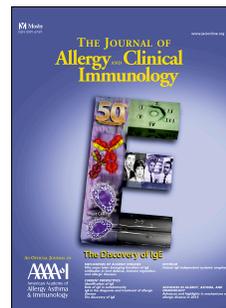


Accepted Manuscript

Peanut-specific Tr1 cells induced *in vitro* from allergic individuals are functionally impaired

Laurence Pellerin, PhD, Jennifer Anne Jenks, BS, Sharon Chinthrajah, MD, Tina Dominguez, PA-C, MMS, Whitney Block, NP, Xiaoying Zhou, PhD, Arram Noshirvan, BS, Silvia Gregori, PhD, Maria Grazia Roncarolo, MD, Kari Christine Nadeau, MD, PhD, Rosa Bacchetta, MD



PII: S0091-6749(17)31086-2

DOI: [10.1016/j.jaci.2017.05.045](https://doi.org/10.1016/j.jaci.2017.05.045)

Reference: YMAI 12897

To appear in: *Journal of Allergy and Clinical Immunology*

Received Date: 3 October 2016

Revised Date: 15 April 2017

Accepted Date: 22 May 2017

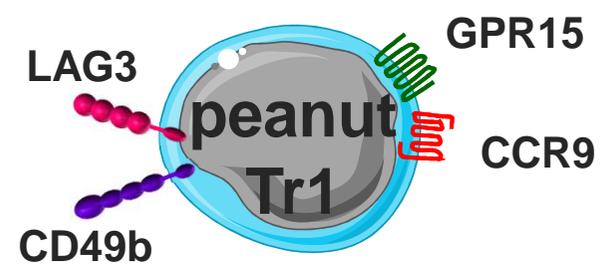
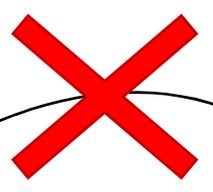
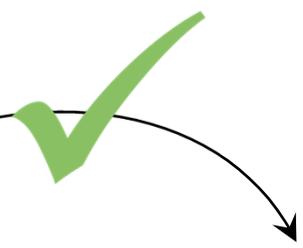
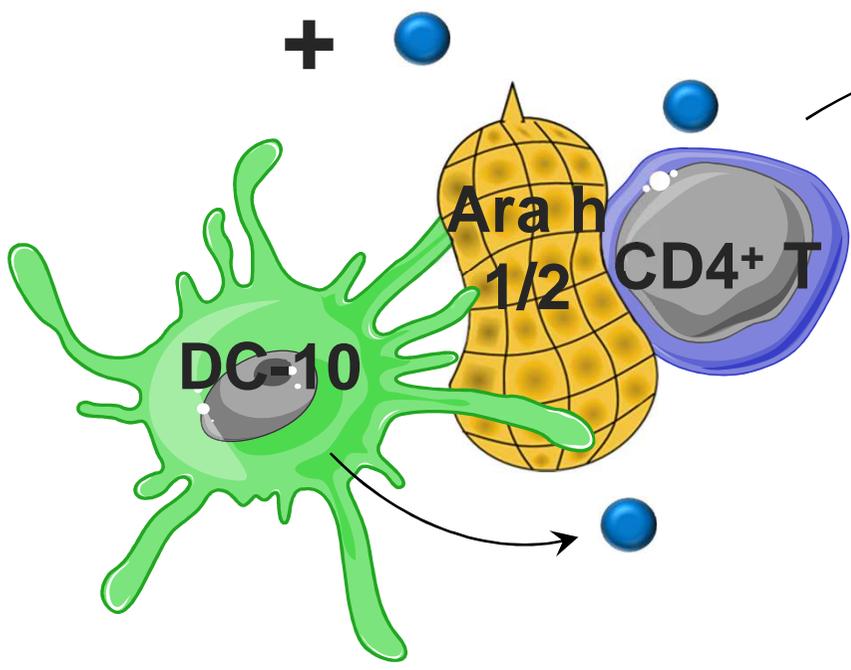
Please cite this article as: Pellerin L, Jenks JA, Chinthrajah S, Dominguez T, Block W, Zhou X, Noshirvan A, Gregori S, Roncarolo MG, Nadeau KC, Bacchetta R, Peanut-specific Tr1 cells induced *in vitro* from allergic individuals are functionally impaired, *Journal of Allergy and Clinical Immunology* (2017), doi: 10.1016/j.jaci.2017.05.045.

This is a PDF file of an unedited manuscript that has been accepted for publication. As a service to our customers we are providing this early version of the manuscript. The manuscript will undergo copyediting, typesetting, and review of the resulting proof before it is published in its final form. Please note that during the production process errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

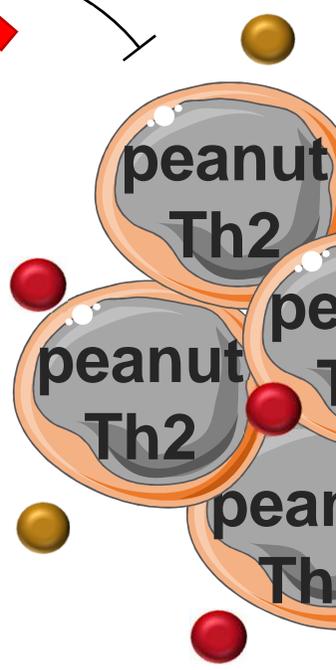
control



allergic



Functional defect



1 **Peanut-specific Tr1 cells induced *in vitro* from allergic individuals are functionally**
2 **impaired.**

3
4 **AUTHORS**

5
6 Laurence Pellerin, PhD ^{a,b,c}, Jennifer Anne Jenks, BS ^{a,b}, Sharon Chinthrajah, MD^{a,b}, Tina
7 Dominguez, PA-C, MMS ^{a,b}, Whitney Block NP ^{a,b}, Xiaoying Zhou, PhD ^{a,b}, Arram Noshirvan,
8 BS ^{a,b}, Silvia Gregori, PhD ^d, Maria Grazia Roncarolo MD ^c, Kari Christine Nadeau, MD, PhD
9 ^{a,b,*}, Rosa Bacchetta, MD ^{c,*}.

10
11 a Sean N. Parker Center for Allergy and Asthma Research at Stanford University

12 b Division of Pulmonary and Critical Care at Stanford University, Department of Medicine

13 c Division of Stem Cell Transplantation and Regenerative Medicine at Stanford University,
14 Department of Pediatrics, ISCBRM

15 d San Raffaele Telethon Institute for Gene Therapy (SR-TIGET), IRCCS San Raffaele Scientific
16 Institute, Milan, Italy

17 * These authors contributed equally to this work

18
19 Corresponding authors:

20 Rosa Bacchetta, Associate Professor

21 Pediatric Stem Cell Transplantation and Regenerative Medicine, Department of Pediatrics
22 Stanford School of Medicine, Stanford, CA, USA

23 Lorry I. Lokey Stem Cell Research Bldg 265 Campus Drive West, Room G3015 Stanford, CA
24 94305.

25 Office: (650) 498-0964

26 Fax: (650) 498-0965

27 email: rosab@stanford.edu

28 Kari Nadeau MD, PhD

29 Director, Sean N. Parker Center for Allergy and Asthma Research at Stanford University

30 Division of Pulmonary and Critical Care, Department of Medicine

31 email: knadeau@stanford.edu

32
33 **KEY WORDS**

34
35 Peanut allergy; Oral immunotherapy; Tr1 cells; LAG3; CD49b; Th2 cells; Ara h 1/2

36
37 **ACKNOWLEDGEMENTS**

38
39 This project was supported by the Child Health Research Institute at Stanford University, Lucile
40 Packard Foundation for Children's Health, Stanford CTSA (grant number UL1 TR001085), and
41 the Sean N. Parker Center for Allergy and Asthma Research at Stanford University.

42
43

44 ABBREVIATIONS USED

45
46 Ara h: *Arachis hypogaea*
47 Bas: baseline
48 DC-10: tolerogenic dendritic cells
49 HC: healthy control
50 LT-OIT: long-term oral immunotherapy
51 mDC: mature dendritic cells
52 OIT: oral immunotherapy
53 PA: peanut allergic
54 pea-: peanut
55 ST-OIT: short-term oral immunotherapy
56 pea-T10: CD4⁺ T cells co-incubated with tolerogenic dendritic cells pulsed with the main peanut
57 allergens
58 pea-Tm: CD4⁺ T cells co-incubated with mature dendritic cells pulsed with the main peanut
59 allergens
60 Tr1: T regulatory type 1 cells
61 TT: tetanus toxoid

62
63 ABSTRACT (250 words or less)

64
65 Background: Peanut allergy is a life threatening condition which lacks regulatory-approved
66 treatment. T regulatory type 1 (Tr1) cells are potent suppressors of immune responses and can be
67 induced *in vivo* upon repeated antigen exposure or *in vitro* using tolerogenic dendritic cells (DC-
68 10). Whether or not oral immunotherapy (OIT) leads to antigen-specific Tr1 cell induction has
69 not been established.

70 Objectives: To determine whether peanut-specific Tr1 cells can be generated *in vitro* from
71 peripheral blood of peanut allergic (PA) individuals at baseline or during OIT, and whether they
72 are functional as compared to peanut-specific Tr1 cells induced from healthy controls (HC).

73 Methods: DC-10 were differentiated in the presence of IL-10 from peripheral blood mononuclear
74 cells of PA individuals and HC pulsed with the main peanut allergens *Arachis hypogaea* (Ara h)

75 1 and 2, and used as antigen presenting cells for autologous CD4⁺T cells (pea-T10). Pea-T10
76 cells were characterized by the presence of CD49b⁺LAG3⁺ Tr1 cells, antigen-specific
77 proliferative responses, and cytokine production.

78 Results: CD49b⁺LAG3⁺ Tr1 cells were induced in pea-T10 cells at comparable percentages from
79 HC and PA individuals. Despite their antigen specificity, pea-T10 cells of PA individuals with or
80 without OIT, as compared to those of HC, were not anergic and had high Th2 cytokine
81 production upon peanut-specific restimulation.

82 Conclusions: Peanut-specific Tr1 cells can be induced from HC and PA individuals, but those
83 from PA individuals are functionally defective independently of the OIT. The unfavorable
84 Tr1/Th2 ratio is discussed as possible cause of PA-Tr1 cell impairment.

85

86 KEY MESSAGES

87

- 88 • Peanut-specific Tr1 cells can be induced *in vitro* from healthy controls and peanut
89 allergic individuals.
- 90 • Tr1 cell cultures induced *in vitro* from peanut allergic individuals, unlike those from
91 healthy controls, are not anergic and have high Th2 responses.

92

93

94

95 INTRODUCTION

96

97 Food allergies are an important health issue in developed countries, with a prevalence of
98 8% amongst children (1). Peanut allergy is one of the most common food allergies, affecting 1 to
99 2% of children and 0.6% of adults in developed countries (2). As of today, there is no regulatory-
100 approved treatment for peanut allergy, and exposure to trace amounts of the allergen can lead to
101 a potentially fatal anaphylactic reaction (3). Management of the disease consists of avoidance of
102 the allergen that is difficult to achieve, and patients are at risk of accidental exposure to the
103 allergen.

104 Oral immunotherapy (OIT) is a promising experimental therapy for food allergies. It
105 consists of the ingestion of small and increasing doses of the allergen during a buildup phase that
106 is immediately followed by the daily ingestion of a target amount of the allergen during a
107 maintenance phase that can last for several years (4-6). Even though OIT shows encouraging
108 results and may improve patient quality of life, it carries important risks of adverse reactions.
109 Taken together, these results illustrate a need to improve the safety and efficacy of OIT (7, 8).

110 'Immunological tolerance', defined as a state of antigen-specific unresponsiveness, is
111 established through different mechanisms that include anergy, exhaustion and active suppression
112 prompted by T regulatory cells (9, 10). In particular, T cell anergy corresponds to the lack of a
113 functional response following exposure to the cell's cognate antigen (11-13). Antigen specific T
114 cell anergy can be induced in the presence of IL-10 during primary antigen stimulation *in vitro*
115 (14). IL-10 anergized T cells have impaired secondary responses to the same antigen and T-cell
116 cloning of these anergic cells allows the isolation of IL-10 producing T regulatory type 1 (Tr1)
117 cells (15). T regulatory cells are essential contributors to the maintenance of peripheral
118 immunological tolerance (16-19). FOXP3⁺ thymic derived T regulatory cells are well studied
119 CD4⁺ T regulatory cells involved in regulation of immune responses towards self-antigens,
120 although the demonstration of their antigen specificity *in vivo* and their generation *in vitro*
121 towards specific antigens have been challenging (20). Tr1 cells are a subset of CD4⁺ T regulatory
122 cells distinct from FOXP3⁺ T regulatory cells. They are generated towards different antigens
123 encountered in the periphery, including allo-antigens in tolerant transplanted patients (21),
124 gliadin in celiac disease patients (22), and bee venom in beekeepers (23). Tr1 cells can be
125 generated *in vitro* towards different antigens, in the presence of IL-10 independently of the
126 expression of FOXP3 (24). Tr1 cells are identified by the co-expression of the surface markers
127 LAG3 and CD49b (25). IL-10 anergized or polarized T cell cultures, and peripheral blood of
128 tolerant transplanted patients are enriched in CD49b⁺LAG3⁺ Tr1 cells. Tr1 cells produce high
129 levels of IL-10, low IL-2, no IL-4, no IL-17, and variable amounts of IFN- γ (15, 25-27). The
130 suppressive properties of Tr1 cells are primarily mediated by IL-10, and prior to the

131 identification of the specific Tr1 surface markers LAG3 and CD49b in 2013, Tr1 cells were often
132 referred to as hyporesponsive (i.e. anergic) cells or IL-10 producing CD4⁺ T cells.

133 Tr1 cells regulate both innate and adaptive responses underlying allergic reactions by
134 acting on eosinophils, basophils, mast cells and Th2 cells (28, 29). Allergic subjects present
135 disproportionate Th2 responses to innocuous antigens (30, 31) and defects in IL-10 producing T
136 regulatory cells that result in an *in vivo* imbalance between T regulatory cells and Th2
137 populations (23, 29, 32, 33). The intestinal mucosa is the initial site of interaction between
138 immune cells and dietary antigens, and therefore plays a crucial role in the maintenance of
139 immunological tolerance to foods (34). Gliadin-specific Tr1 cells that possess high suppressive
140 properties have been isolated from the intestinal mucosa of celiac disease patients in remission
141 (22). In addition, repeated ingestion of a specific antigen is responsible for the expansion of IL-
142 10 secreting cells in the gut in mice (35), but it remains to be determined whether similar
143 mechanisms occur in humans. Reinforcing this concept, our group published preliminary
144 findings in a limited patient population which indicate that peripheral CD49b⁺LAG3⁺ Tr1 cells
145 are present at higher frequencies in peripheral blood of patients under OIT compared to untreated
146 patients, but no functional data were obtained at that time (33).

147 Based on the established role of Tr1 cells in immunomodulation and regulation of
148 allergic responses *in vivo*, we hypothesize that peanut specific Tr1 cells could be generated from
149 healthy controls (HC) but not from untreated peanut-allergic (PA) individuals. As a corollary, we
150 further hypothesized that OIT could favor Tr1 cell differentiation via chronic exposure to low
151 doses of the allergen in the gut, and that peanut-specific Tr1 cells could be generated at higher
152 frequencies from PA individuals under OIT compared to untreated individuals.

153 Our group has previously published that antigen-specific Tr1 cells can be induced *in vitro*
154 using tolerogenic dendritic cells (DC-10) (36). In particular, functional Der p 2-specific Tr1 cells
155 that present antigen-specific anergy and suppressive activities *in vitro* can be induced from
156 individuals allergic to house dust mite (37).

157 In this study, we tested whether Tr1 cells could be induced *in vitro* using DC-10 pulsed
158 with the main peanut allergens *Arachis hypogaea* (Ara h) 1 and 2 (pea-T10 cells) in (1) HC, (2)
159 PA individuals untreated (baseline), (3) PA individuals undergoing OIT for a short period of
160 time (short term/ST-OIT), and (4) PA individuals undergoing OIT for several years (long
161 term/LT-OIT). Our data demonstrate that CD49b⁺LAG3⁺ Tr1 cells can be induced from all 4
162 groups. Despite their peanut-antigen specificity, pea-T10 cells from PA individuals of all 3
163 groups were not anergic upon secondary peanut stimulation and produced high levels of Th2
164 cytokines whereas all pea-T10 cells from HC showed anergy and significantly reduced Th2
165 cytokine production.

166
167

168 METHODS

169

170 **Study subjects**

171 This study included 21 PA individuals (median age= 15.1±9.8years) confirmed by double-blind
172 placebo-controlled oral food challenge, and 7 HC (median age= 16±3.3years) from the IRB-
173 approved protocol 5136 at Stanford University School of Medicine. Seven PA individuals had
174 not undergone OIT (baseline), 7 had been undergoing OIT for more than 3 months and less than
175 1 year (short-term OIT/ST-OIT), and 7 had been under OIT for more than 3 years (long-term
176 OIT/LT-OIT). No withdrawal of maintenance daily peanut therapy had occurred in any of the
177 OIT groups. Clinical reactivity was defined as any sign of allergic reaction (*i.e.*, score >1 on the
178 Book criteria (38)) upon ingestion of the allergen. The clinical symptoms as well as the
179 demographics of the PA individuals are presented in Table 1. Written informed consent was
180 obtained for all participants before entering the study.

181

182 For more information on dendritic cell differentiation, T cell differentiation, Ara h 1/2 antigen
183 specificity assay and cytokine detection, flow cytometry and statistical analyses, see the Online
184 Repository Supplementary Materials & Methods.

185

186 RESULTS

187

188 **Peanut-specific Tr1 cells can be induced from HC and PA individuals using pea-DC-10**

189

190 Tolerogenic DC-10 and mature DC (mDC) were differentiated from peripheral blood of
191 HC and PA individuals using previously published methods and pulsed with Ara h 1 and 2 (36,
192 37). Experimental scheme is shown in Fig. E1A in the Online Repository. Both pea-DC-10 and
193 pea-mDC from HC and PA individuals expressed high levels of CD11c and CD86 (Fig. 1),
194 showing that the cells displayed a mature myeloid phenotype. DC-10 remained CD14⁺ whereas
195 mDC were CD14⁻ (Fig. 1). CD11c, CD86 and CD14 expression in pea-DC-10 or pea-mDC were
196 comparable between HC and all 3 cohorts of PA individuals (Fig E2A-C).

197 Pea-T10 cells were generated using pea-DC-10 in the presence of IL-10 to induce anergy
198 and Tr1 cells from autologous CD4⁺ T cells obtained from HC and PA individuals as previously
199 described (37) (Fig. E1A in the Online Repository). Control pea-Tm cells were generated with
200 pea-mDC in the absence of IL-10. At the end of both cultures, we checked the expression of
201 CD25 within the CD4⁺CD45RA⁻ memory population as an indicator of the activation state of the
202 cells. Overall, CD4⁺CD45RA⁻ T cells from HC showed lower percentages of CD25⁺ cells as
203 compared to CD4⁺CD45RA⁻ T cells from PA individuals (31.2% vs. 38.1%, respectively;
204 $p=0.0454$; Table E1); pea-Tm from PA individuals showed higher percentages of CD25⁺ cells as
205 compared to pea-Tm from HC ($28.2\pm 6.7\%$ vs. $38.1\pm 12.7\%$, respectively; $p>0.05$; Fig. E3A and
206 B in the Online Repository) indicating that peanut-specific activation was overall higher in PA
207 individuals than in HC. The percentage of CD25⁺ cells was comparable between all 3 cohorts of
208 PA individuals (Fig. E3C).

209 Pea-T10 cells of both HC and PA individuals contained a higher frequency of
210 CD49b⁺LAG3⁺ Tr1 cells as compared to pea-Tm cells (CD49b⁺LAG3⁺ for pea-T10 cells of HC=
211 $7.4\pm 3.7\%$ vs. $2.6\pm 3\%$ for pea-Tm (Fig. 2A and B; Table E1); $p=0.0156$; pea-T10 cells of PA
212 individuals= $9.0\pm 4.3\%$, vs. $2.9\pm 1.0\%$ for pea-Tm; $p<0.0001$; Fig. 2A-C; Table E1). There was no
213 statistical difference in the percentage of CD49b⁺LAG3⁺ Tr1 cells between pea-T10 cells of HC
214 and of PA individuals ($p>0.05$; Fig. 2D; Table E1). In addition, there was no statistical difference
215 in the percentage of CD49b⁺LAG3⁺ Tr1 cells present in the pea-T10 cells of the 3 cohorts of PA
216 individuals (CD49b⁺LAG3⁺ Tr1 cells for pea-T10 cells of baseline PA individuals= $8.6\pm 2.7\%$;
217 for ST-OIT PA individuals= $9.4\pm 6.2\%$; for LT-OIT PA individuals= $8.8\pm 3.9\%$, $p>0.05$; Fig. 2E).
218 Altogether, the frequency of CD49b⁺LAG3⁺ Tr1 cells induced by pea-DC-10 was similar in HC
219 and in PA individuals irrespective of the presence and duration of OIT.

220

221 **Peanut-specific hyporesponsiveness can be achieved in pea-T10 cells of HC but not of PA**
222 **individuals**

223

224 To test whether pea-T10 cells were hypo-responsive to a secondary stimulation with the
225 same antigen (i.e. anergic) as compared to pea-Tm cells, we measured proliferative responses by
226 means of CFSE dilution after 4 or 5 days of secondary stimulation with pea-mDC (Fig. 3A-B,
227 Fig. E1B and E4A in the Online Repository). We considered pea-T10 cells to be anergic when
228 their proliferative response to the antigen was lower than the proliferative response of pea-Tm.
229 Pea-T10 cells from all HC were anergic. Pea-T10 cells of 6 out of 7 HC presented anergy above
230 67%, and one HC presented anergy of 32% (mean anergy HC= 69%, range 32-87%; Fig. 3A-B
231 and E4A), with an overall proliferation to peanut antigens significantly higher in pea-Tm than in
232 pea-T10 cells ($p=0.0156$). In contrast, pea-T10 cells of only 9 out of 21 PA individuals were
233 anergic (mean anergy PA individuals= 34.3%, range 5-80%; Fig. 3A-B and E4A), and only 2 out
234 of 21 PA individuals presented anergy above 67%, a level of anergy that we previously
235 established acceptable for clinical purposes (39, 40). The ratio of proliferation of pea-Tm/pea-
236 T10 cells was higher in HC vs. PA individuals ($p=0.0007$; Fig. 3C and E4B).

237 Among the baseline PA individuals, pea-T10 cells of 4 out of 7 tested presented with low
238 anergy (mean anergy baseline PA individuals= 20.5%, range 5-32%; Fig. 3A-B and E4A) and
239 peanut-specific anergy was not detected in pea-T10 of 3 out of 7 baseline PA individuals. We
240 next asked if OIT would modify the level of anergy in pea-T10 cells. Anergy was observed at a
241 level of 80% in pea-T10 cells in 1 out of 7 ST-OIT PA individuals, and low anergy was observed
242 in 2 out of 7 ST-OIT PA individuals (mean anergy ST-OIT PA individuals= 43%, range 15-80%;
243 Fig. 3A-B and E4C), but was absent for the other 4 individuals. Similarly, anergy was observed
244 at a level of 70% for pea-T10 cells in 1 out of 7 LT-OIT PA individuals, and was observed but
245 below 67% for 5 out of 7 LT-OIT PA individuals (mean anergy LT-OIT PA individuals= 39.1%,
246 range 9-70%; Fig. 3A-B and E4A), but was absent for 1 out of 7 individuals. There was no
247 significant difference in proliferation of pea-Tm and pea-T10 cells of baseline PA individuals
248 ($p>0.05$), of ST-OIT PA individuals ($p>0.05$) or of LT-OIT PA individuals re-stimulated by pea-
249 mDC. Overall, there was no statistically significant difference in the pea-Tm/pea-T10 cell
250 proliferation ratio between any of the 3 PA cohorts, although we observed a trend towards higher
251 pea-Tm/pea-T10 cell proliferation ratio in LT-OIT PA individuals ($p>0.05$; Fig. 3D and Fig.
252 E4C). Pea-Tm/pea-T10 cell proliferation ratio was significantly lower in the LT-OIT cohort
253 compared to HC ($p=0.0262$). Of note, there was no correlation between the age of the subjects in
254 the study, the severity of the peanut allergy, the duration of OIT and the induction of anergy.

255 We next tested whether anergy of pea-T10 was peanut-specific by measuring
256 proliferation elicited by a different antigen, not present during the primary stimulation such as
257 tetanus toxoid (TT). We found that proliferation upon re-stimulation with TT-mDC was
258 comparable in pea-Tm and pea-T10 cells of both HC and PA individuals ($p>0.05$; Fig. E4D-E),
259 confirming that when present, hypo-responsiveness was only towards the peanut antigens used in

260 the primary stimulation. Altogether, these results indicate that anergy towards the peanut
261 antigens could be induced by pea-DC-10 from HC but not from PA cohorts irrespective of OIT.

262 Since Tr1 cells from PA individuals were not able to anergize the pea-T10 cells, we
263 analyzed their cytokine secretion profile upon peanut-specific re-stimulation (Fig. E1B in the
264 Online Repository). Pea-T10 cells of HC re-stimulated with pea-mDC secreted significantly less
265 IL-4 (23.4 ± 19.3 pg/mL, $p=0.03$; Fig. 4A, left panel; Table E1) and less IL-5 (123.1 ± 67.6 pg/mL,
266 $p=0.034$; Fig. 4B, left panel; Table E1) than control pea-Tm cells (49.9 ± 32.7 pg/mL and
267 408.5 ± 118.2 pg/mL, respectively). Conversely, pea-T10 and pea-Tm cells of PA individuals
268 secreted comparable amounts of IL-4 (343.5 ± 358.7 pg/mL and 447 ± 449.6 pg/mL, respectively,
269 $p>0.05$; Fig. 4A, middle panel; Table E1) and IL-5 (784.2 ± 534.8 pg/mL and 1024 ± 556.4 pg/mL,
270 respectively, $p>0.05$; Fig. 4B, middle panel; Table E1), and both cytokines were higher than
271 those produced by HC cells (Fig. 4A and B, right panels). Pea-Tm and pea-T10 of HC secreted
272 comparable amounts of IL-10 ($p>0.05$; Fig. E5A in the Online Repository; Table E1) whereas
273 pea-Tm of PA individuals secreted more IL-10 compared to pea-T10 ($p=0.06$; Fig. E5A in the
274 Online Repository; Table E1). Pea-Tm and pea-T10 of both HC and PA individuals secreted
275 comparable amounts of IFN- γ ($p>0.05$; Fig. E5B in the Online Repository; Table E1), whereas
276 pea-T10 of PA individuals secreted more IL-10 compared to pea-T10 of HC ($p=0.0365$; Fig.
277 E5A in the Online Repository). Interestingly, we observed a negative correlation between the
278 levels of IL-4 and IL-5 produced by the pea-T10 cells and the percentage of anergy ($p=0.0145$,
279 $r=-0.5509$, and $p=0.0181$, $r=-0.5498$, respectively; Fig. E5C-D), but no correlation between the
280 levels of IL-10 produced by the pea-T10 cells and the percentage of anergy ($r=-0.2917$, $p=0.212$;
281 Fig. E5E). Severity of peanut allergy and duration of OIT did not influence cytokine production
282 by pea-Tm and pea-T10. Taken together, these results demonstrate that activation of CD4⁺ T
283 cells with autologous pea-DC-10 promotes the induction of anergic, peanut-specific T cells that
284 secrete low amounts of Th2 cytokines in HC but not in PA individuals. In pea-T10 of PA
285 individuals, responsiveness to the peanut-antigens remains higher than in HC and it could not be
286 impacted by the addition of IL-10. These data suggest that pea-Tr1 from PA individuals either
287 lack antigen-specificity or are functionally defective.

288

289 **Peanut-specific cells are enriched in CD49b⁺LAG3⁺ Tr1 cells**

290

291 We next tested whether the general lack of anergy in pea-T10 cells from PA individuals
292 was due to lack of antigen specificity in the Tr1 population. Pea-T10 cells were re-stimulated
293 with pea-mDC, and the expression of the activation markers CD69 and CD137 (41) was
294 quantified in the CD45RA⁻ memory and CD49b⁺LAG3⁺ Tr1 populations (Fig. 5A-B and Fig.
295 E1B in the Online Repository). Background expression of CD69 and CD137 from unstimulated
296 pea-T10 cells was subtracted to account for the antigen-specific response. The CD69⁺ cells were

297 more abundant within the Tr1 cell subset than within the total memory population (mean
298 percentage of CD69⁺ cells in Tr1= 28.3±15.9%; in memory= 16.4±12.1%; p=0.0044; Fig. 5C;
299 Table E1). Similarly, the percentage of CD137⁺ cells was higher within the Tr1 cell subset than
300 in the total memory population (26.0±20.8% and 8.3±5.4%, respectively, p=0.0044; Fig. 5D;
301 Table E1). However, when the pea-T10 cells were re-stimulated with TT-mDC, there was no
302 difference in the percentages of CD69⁺ and of CD137⁺ cells in the Tr1 cell subset compared to
303 the memory population (Fig. E6). These data suggest that despite the lack of anergy, peanut-
304 specific Tr1 cells are present in pea-T10 cells of PA individuals, and more abundant than in the
305 total memory population.

306 As homing cues are essential for the migration of T cells to the tissues, we tested whether
307 pea-Tr1 cells specifically express molecules that confer gut-homing properties. The expression
308 of the gut-homing receptor GPR15 (42, 43) was significantly higher in the CD49b⁺LAG3⁺ Tr1
309 cell subset compared to that of the total memory population of pea-T10 from both HC and PA
310 individuals (mean percentage of GPR15⁺ cells in Tr1= 9.7±7.7%; in memory= 5.3±4.0%,
311 p<0.0001; Fig. 6A; Table E1). The expression of CCR9, a chemokine receptor that supports the
312 migration of T lymphocytes to the small intestine and colon (44), was also higher in the
313 CD49b⁺LAG3⁺ Tr1 cell subset as compared to that of the total memory population of pea-T10
314 from HC and PA individuals (mean percentage of CCR9⁺ cells in Tr1= 33.1±18.3%; in memory=
315 19.7±15.6%; p<0.0001; Fig. 6B; Table E1). Altogether, these data indicate that peanut-specific
316 Tr1 cells induced *in vitro* express gut homing receptors, suggesting that they could have the
317 capacity to migrate to the gut mucosa in both HC and PA individuals.

318

319 DISCUSSION

320

321 In the current study, we show that CD4⁺CD45RA⁻CD49b⁺LAG3⁺ Tr1 cells specific for
322 the main peanut allergens Ara h 1 and 2 can be induced *in vitro* from CD4⁺ T cells of both HC
323 and PA individuals using tolerogenic DC-10 as antigen presenting cells. Pea-T10 cells from HC
324 (containing 7.4±3.7% pea-Tr1 cells) are anergic upon antigen-specific rechallenge, whereas pea-
325 T10 cells from PA individuals (containing 9.2±4.8% pea-Tr1 cells) are not anergic and they
326 present high peanut-specific proliferative responses. In addition, we found that the lack of anergy
327 of pea-T10 cells in PA individuals was not significantly modified by the presence or duration of
328 OIT. Indeed, we only observed a trend towards induction of anergy in pea-T10 cells of LT-OIT
329 PA. Pea-T10 cells of HC produce significantly less IL-4 and IL-5 vs. control pea-Tm cells, but
330 pea-T10 cells of PA individuals secrete similar levels of these Th2 cytokines compared to pea-
331 Tm cells. The absence of anergy observed for pea-T10 cells of PA individuals, was not due to
332 lack of antigen specificity in the Tr1 population. In addition, Tr1 cells induced *in vitro* express
333 higher levels of the gut-homing receptors GPR15 and CCR9 compared to the total memory
334 population, suggesting preserved gut-homing capacity.

335 Our study is the first to show that peanut-specific CD49b⁺LAG3⁺ Tr1 cells can be
336 induced *in vitro* from CD4⁺ T cells of both HC and PA individuals. Downregulation of peanut-
337 specific allergic responses have been achieved *in vivo* in an allergic mouse model using peanut-
338 coated nanoparticles, which are known to favor the differentiation of Tr1 cells (45, 46). In
339 addition, gliadin-specific Tr1 cells have been isolated from the gut of celiac individuals in
340 remission (22). These studies support the role of Tr1 cells in maintenance of tolerance to food
341 antigens and the rationale for inducing pea-Tr1 cells *in vitro* and test their inhibitory function.

342 The ability to induce allergen-specific anergy and downregulate Th2 cytokines has
343 previously been demonstrated in PBMC isolated from individuals allergic to house dust mites
344 (37). The authors showed that DC-10 are potent inducers of anergic cells that are specific for the
345 aeroallergen Der p 2 *in vitro*. The level of anergy described towards Der p 2 was comparable to
346 the level we observe in cultures of HC cells towards Ara h 1/2, which is also comparable to the
347 minimum percentage of anergy of 67% that is required for the clinical use of IL-10 anergized T
348 cells (39, 40). However, in the Der p 2 study, the presence of CD49b⁺LAG3⁺ Tr1 cells in the
349 anergic cultures was not quantified since these biomarkers of Tr1 cells have been reported later
350 (25). Using CD49b and LAG3 as *bona fide* markers of Tr1 cells, we clearly demonstrate that Tr1
351 cells can differentiate *in vitro* in an antigen-specific manner but, unlike in HC, their presence is
352 not associated with anergy in T cells of PA individuals. Indeed, anergy above 67% was observed
353 for only 2 patients in our cohort. As the percentage of Ara h 1/2-specific cells in peripheral blood
354 is low for both HC and PA individuals (<1% of CD4⁺ T cells (13, 47)), we were not able to test
355 the suppression of primary proliferation or suppression of cytokine production by pea-T10 *in*

356 *vitro*. However, acquisition of anergy and induction of Tr1 cells have been consistently described
357 as a consequence of antigen-specific priming in the presence of IL-10. Our results suggest that
358 there is an underlying defect in PA individuals that prevents the induction of functional peanut-
359 specific Tr1 cells in IL-10 dependent tolerogenic conditions.

360 Typically, CD4⁺ T cells from allergic individuals present an abundant allergen-specific
361 Th2 population that likely results in an imbalance in the Tr1/Th2 ratio skewed towards a Th2
362 response (30, 32, 48). We cannot exclude that functional peanut-specific Tr1 cells were induced
363 in our culture system, but their numbers were not sufficient to dampen the proliferation and
364 cytokine production of the pre-existing memory Th2 population. Indeed, one ST-OIT individual
365 with severe peanut allergy for whom a very high percentage (21.6%) of Tr1 cells was induced in
366 pea-T10 cells also presented very high anergy (80%), indicating that the Tr1 cells were
367 functional, and that high numbers of Tr1 cells might be required to downregulate the response of
368 a pre-existing peanut-specific Th2 memory population. Our data show that high IL-10
369 production does not correlate with induction on an anergic phenotype induced *in vitro* by
370 allergen stimulation in tolerogenic conditions (IL-10 and DC-10), but is associated with high
371 amounts of IL-4 and IL-5 Th2 cytokines in PA individuals, suggesting that IL-10 is produced by
372 Th2 cells.

373 Whether chronic antigen exposure via peanut-OIT potentiates the function of Tr1 cells
374 remains to be clarified. Although not statistically significant, we observed a trend towards
375 induction of anergy for the pea-T10 cells of PA individuals who had been under OIT for several
376 years. Once confirmed in a larger number of individuals, these data would be suggestive of the
377 effect of LT-OITs as we previously observed (33). Persistent antigen exposure favors Tr1
378 induction and antigen-specific hyporesponsiveness as broadly demonstrated *in vitro* and *in vivo*
379 in both mouse and human studies (21). Repetitive intraperitoneal injection of anti-CD3
380 antibodies induces IL-10 producing Tr1-like cells localized in the small intestine (49). Similarly,
381 non-allergic beekeepers and cat owners who are naturally exposed to continued antigenic
382 stimulation develop high numbers of antigen-specific IL-10 secreting Tr1 cells which control
383 undesired Th2 immune responses (23, 50, 51). These findings suggest the importance of OIT to
384 control food allergen responses by induction of Tr1 cells in the intestine.

385 The development of food allergies is significantly influenced by the genetic background.
386 Sicherer et al. estimated the heritability of peanut allergy at 81.6% studying a cohort of
387 monozygotic twins (52). In addition, genetic defects affecting different components of the
388 immune response and resulting in gain of function of the IL-4, IL-9, IL-13 and TGF- β pathways
389 have been linked to atopic diseases (53-56). Mutations of the HLA-DQ/DR (57) and filaggrin
390 (58) genes have been specifically associated with peanut allergy. A novel line of evidence
391 suggests that epigenetic defects play a role in food allergies as a differential CpG methylation
392 profile has been observed in children allergic to egg, peanut, milk and shrimp (59, 60). We

393 cannot exclude that the PA individuals in our study carry genetic or epigenetic defects in genes
394 crucial for Tr1 cell generation and function

395 Determining why anergy could be induced for pea-T10 of only few PA individuals in our
396 cohort is a crucial step in understanding peanut allergies and the mechanism of OIT. It should be
397 considered that our experiments were performed starting with peripheral blood cells which may
398 not represent what is occurring in the gut where the chronic antigen exposure is taking place and
399 where Tr1 cells have preferential homing. However, our data indicate that pea-Tr1 cells induced
400 in our system might possess gut-homing abilities as assessed by the expression of GPR15 and
401 CCR9. Studies are ongoing to test whether the percentage and numbers of Tr1 cells increase over
402 the course of OIT in the gut.

403 To conclude, our data show that peanut-specific Tr1 cells can be induced towards the
404 main peanut allergens Ara h 1 and 2, and that the presence of functional Tr1 cells is associated
405 with the inhibition of Th2 responses in HC but not in PA individuals. We can therefore
406 hypothesize that providing functional peanut specific Tr1 cells to PA individuals could facilitate
407 the regulation of allergic responses. For this achievement, autologous pea-Tr1 cells could be
408 generated by enforced lentiviral-mediated expression of IL-10, which should overcome
409 limitations dictated by genetic or epigenetic predisposition in allergic individuals (61).

410
411

412 REFERENCES

- 413
- 414 1. Gupta RS, Springston EE, Warrier MR, Smith B, Kumar R, Pongracic J, et al. The
415 prevalence, severity, and distribution of childhood food allergy in the United States. *Pediatrics*.
416 2011;128(1):e9-17.
- 417 2. Cox A, Sicherer SH. Peanut and tree nut allergy. *Chemical immunology and allergy*.
418 2015;101:131-44.
- 419 3. Bock SA, Munoz-Furlong A, Sampson HA. Further fatalities caused by anaphylactic
420 reactions to food, 2001-2006. *The Journal of allergy and clinical immunology*.
421 2007;119(4):1016-8.
- 422 4. Kulis M, Wright BL, Jones SM, Burks AW. Diagnosis, management, and investigational
423 therapies for food allergies. *Gastroenterology*. 2015;148(6):1132-42.
- 424 5. Kulis M, Wesley Burks A. Oral immunotherapy for food allergy: clinical and preclinical
425 studies. *Advanced drug delivery reviews*. 2013;65(6):774-81.
- 426 6. Begin P, Chinthrajah RS, Nadeau KC. Oral immunotherapy for the treatment of food
427 allergy. *Human vaccines & immunotherapeutics*. 2014;10(8):2295-302.
- 428 7. Thyagarajan A, Varshney P, Jones SM, Sicherer S, Wood R, Vickery BP, et al. Peanut
429 oral immunotherapy is not ready for clinical use. *The Journal of allergy and clinical*
430 *immunology*. 2010;126(1):31-2.
- 431 8. Yu GP, Weldon B, Neale-May S, Nadeau KC. The safety of peanut oral immunotherapy
432 in peanut-allergic subjects in a single-center trial. *International archives of allergy and*
433 *immunology*. 2012;159(2):179-82.
- 434 9. Delgoffe GM, Powell JD. Feeding an army: The metabolism of T cells in activation,
435 anergy, and exhaustion. *Molecular immunology*. 2015;68(2 Pt C):492-6.
- 436 10. Josefowicz SZ, Lu LF, Rudensky AY. Regulatory T cells: mechanisms of differentiation
437 and function. *Annual review of immunology*. 2012;30:531-64.
- 438 11. Schwartz RH. T cell anergy. *Annual review of immunology*. 2003;21:305-34.
- 439 12. Valdor R, Macian F. Induction and stability of the anergic phenotype in T cells. *Seminars*
440 *in immunology*. 2013;25(4):313-20.
- 441 13. Ryan JF, Hovde R, Glanville J, Lyu SC, Ji X, Gupta S, et al. Successful immunotherapy
442 induces previously unidentified allergen-specific CD4+ T-cell subsets. *Proceedings of the*
443 *National Academy of Sciences of the United States of America*. 2016;113(9):E1286-95.
- 444 14. Groux H, Bigler M, de Vries JE, Roncarolo MG. Interleukin-10 induces a long-term
445 antigen-specific anergic state in human CD4+ T cells. *The Journal of experimental medicine*.
446 1996;184(1):19-29.
- 447 15. Groux H, O'Garra A, Bigler M, Rouleau M, Antonenko S, de Vries JE, et al. A CD4+ T-
448 cell subset inhibits antigen-specific T-cell responses and prevents colitis. *Nature*.
449 1997;389(6652):737-42.
- 450 16. Vignali DA, Collison LW, Workman CJ. How regulatory T cells work. *Nature reviews*
451 *Immunology*. 2008;8(7):523-32.
- 452 17. Ohkura N, Kitagawa Y, Sakaguchi S. Development and maintenance of regulatory T
453 cells. *Immunity*. 2013;38(3):414-23.
- 454 18. Abbas AK, Benoist C, Bluestone JA, Campbell DJ, Ghosh S, Hori S, et al. Regulatory T
455 cells: recommendations to simplify the nomenclature. *Nature immunology*. 2013;14(4):307-8.
- 456 19. Palomares O, Yaman G, Azkur AK, Akkoc T, Akdis M, Akdis CA. Role of Treg in
457 immune regulation of allergic diseases. *European journal of immunology*. 2010;40(5):1232-40.

- 458 20. Sakaguchi S, Miyara M, Costantino CM, Hafler DA. FOXP3+ regulatory T cells in the
459 human immune system. *Nature reviews Immunology*. 2010;10(7):490-500.
- 460 21. Roncarolo MG, Gregori S, Bacchetta R, Battaglia M. Tr1 cells and the counter-regulation
461 of immunity: natural mechanisms and therapeutic applications. *Current topics in microbiology*
462 *and immunology*. 2014;380:39-68.
- 463 22. Gianfrani C, Levings MK, Sartirana C, Mazzarella G, Barba G, Zanzi D, et al. Gliadin-
464 specific type 1 regulatory T cells from the intestinal mucosa of treated celiac patients inhibit
465 pathogenic T cells. *Journal of immunology*. 2006;177(6):4178-86.
- 466 23. Meiler F, Zumkehr J, Klunker S, Ruckert B, Akdis CA, Akdis M. In vivo switch to IL-
467 10-secreting T regulatory cells in high dose allergen exposure. *The Journal of experimental*
468 *medicine*. 2008;205(12):2887-98.
- 469 24. Passerini L, Di Nunzio S, Gregori S, Gambineri E, Cecconi M, Seidel MG, et al.
470 Functional type 1 regulatory T cells develop regardless of FOXP3 mutations in patients with
471 IPEX syndrome. *European journal of immunology*. 2011;41(4):1120-31.
- 472 25. Gagliani N, Magnani CF, Huber S, Gianolini ME, Pala M, Licona-Limon P, et al.
473 Coexpression of CD49b and LAG-3 identifies human and mouse T regulatory type 1 cells.
474 *Nature medicine*. 2013;19(6):739-46.
- 475 26. Bacchetta R, de Waal Malefijt R, Yssel H, Abrams J, de Vries JE, Spits H, et al. Host-
476 reactive CD4+ and CD8+ T cell clones isolated from a human chimera produce IL-5, IL-2, IFN-
477 gamma and granulocyte/macrophage-colony-stimulating factor but not IL-4. *Journal of*
478 *immunology*. 1990;144(3):902-8.
- 479 27. Levings MK, Bacchetta R, Schulz U, Roncarolo MG. The role of IL-10 and TGF-beta in
480 the differentiation and effector function of T regulatory cells. *International archives of allergy*
481 *and immunology*. 2002;129(4):263-76.
- 482 28. Cottrez F, Hurst SD, Coffman RL, Groux H. T regulatory cells 1 inhibit a Th2-specific
483 response in vivo. *Journal of immunology*. 2000;165(9):4848-53.
- 484 29. Hawrylowicz CM, O'Garra A. Potential role of interleukin-10-secreting regulatory T cells
485 in allergy and asthma. *Nature reviews Immunology*. 2005;5(4):271-83.
- 486 30. Chinthrajah RS, Hernandez JD, Boyd SD, Galli SJ, Nadeau KC. Molecular and cellular
487 mechanisms of food allergy and food tolerance. *The Journal of allergy and clinical immunology*.
488 2016;137(4):984-97.
- 489 31. Weiner HL, da Cunha AP, Quintana F, Wu H. Oral tolerance. *Immunological reviews*.
490 2011;241(1):241-59.
- 491 32. Akdis M, Verhagen J, Taylor A, Karamloo F, Karagiannidis C, Cramer R, et al. Immune
492 responses in healthy and allergic individuals are characterized by a fine balance between
493 allergen-specific T regulatory 1 and T helper 2 cells. *The Journal of experimental medicine*.
494 2004;199(11):1567-75.
- 495 33. Syed A, Garcia MA, Lyu SC, Bucayu R, Kohli A, Ishida S, et al. Peanut oral
496 immunotherapy results in increased antigen-induced regulatory T-cell function and
497 hypomethylation of forkhead box protein 3 (FOXP3). *The Journal of allergy and clinical*
498 *immunology*. 2014;133(2):500-10.
- 499 34. Vickery BP, Scurlock AM, Jones SM, Burks AW. Mechanisms of immune tolerance
500 relevant to food allergy. *The Journal of allergy and clinical immunology*. 2011;127(3):576-84;
501 quiz 85-6.
- 502 35. Tsuji NM, Mizumachi K, Kurisaki J. Interleukin-10-secreting Peyer's patch cells are
503 responsible for active suppression in low-dose oral tolerance. *Immunology*. 2001;103(4):458-64.

- 504 36. Gregori S, Roncarolo MG, Bacchetta R. Methods for in vitro generation of human type 1
505 regulatory T cells. *Methods in molecular biology*. 2011;677:31-46.
- 506 37. Pacciani V, Gregori S, Chini L, Corrente S, Chianca M, Moschese V, et al. Induction of
507 anergic allergen-specific suppressor T cells using tolerogenic dendritic cells derived from
508 children with allergies to house dust mites. *The Journal of allergy and clinical immunology*.
509 2010;125(3):727-36.
- 510 38. Sampson HA, Munoz-Furlong A, Bock SA, Schmitt C, Bass R, Chowdhury BA, et al.
511 Symposium on the definition and management of anaphylaxis: summary report. *The Journal of*
512 *allergy and clinical immunology*. 2005;115(3):584-91.
- 513 39. Bacchetta R, Gregori S, Serafini G, Sartirana C, Schulz U, Zino E, et al. Molecular and
514 functional characterization of allogantigen-specific anergic T cells suitable for cell therapy.
515 *Haematologica*. 2010;95(12):2134-43.
- 516 40. Bacchetta R, Lucarelli B, Sartirana C, Gregori S, Lupo Stanghellini MT, Miqueu P, et al.
517 Immunological Outcome in Haploidentical-HSC Transplanted Patients Treated with IL-10-
518 Anergized Donor T Cells. *Frontiers in immunology*. 2014;5:16.
- 519 41. Bacher P, Scheffold A. Flow-Cytometric Analysis of Rare Antigen-Specific T Cells.
520 *Cytom Part A*. 2013;83A(8):692-701.
- 521 42. Kim SV, Xiang WV, Kwak C, Yang Y, Lin XW, Ota M, et al. GPR15-mediated homing
522 controls immune homeostasis in the large intestine mucosa. *Science*. 2013;340(6139):1456-9.
- 523 43. Nguyen LP, Pan J, Dinh TT, Hadeiba H, O'Hara E, 3rd, Ebtikar A, et al. Role and
524 species-specific expression of colon T cell homing receptor GPR15 in colitis. *Nature*
525 *immunology*. 2015;16(2):207-13.
- 526 44. Agace WW. T-cell recruitment to the intestinal mucosa. *Trends in immunology*.
527 2008;29(11):514-22.
- 528 45. Srivastava KD, Siefert A, Fahmy TM, Caplan MJ, Li XM, Sampson HA. Investigation of
529 peanut oral immunotherapy with CpG/peanut nanoparticles in a murine model of peanut allergy.
530 *The Journal of allergy and clinical immunology*. 2016.
- 531 46. Clemente-Casares X, Blanco J, Ambalavanan P, Yamanouchi J, Singha S, Fandos C, et
532 al. Expanding antigen-specific regulatory networks to treat autoimmunity. *Nature*.
533 2016;530(7591):434-40.
- 534 47. DeLong JH, Simpson KH, Wambre E, James EA, Robinson D, Kwok WW. Ara h 1-
535 reactive T cells in individuals with peanut allergy. *The Journal of allergy and clinical*
536 *immunology*. 2011;127(5):1211-8 e3.
- 537 48. Wisniewski JA, Commins SP, Agrawal R, Hulse KE, Yu MD, Cronin J, et al. Analysis of
538 cytokine production by peanut-reactive T cells identifies residual Th2 effectors in highly allergic
539 children who received peanut oral immunotherapy. *Clinical and experimental allergy : journal of*
540 *the British Society for Allergy and Clinical Immunology*. 2015;45(7):1201-13.
- 541 49. Kamanaka M, Kim ST, Wan YY, Sutterwala FS, Lara-Tejero M, Galan JE, et al.
542 Expression of interleukin-10 in intestinal lymphocytes detected by an interleukin-10 reporter
543 knockin tiger mouse. *Immunity*. 2006;25(6):941-52.
- 544 50. Akdis CA, Blesken T, Akdis M, Wuthrich B, Blaser K. Role of interleukin 10 in specific
545 immunotherapy. *The Journal of clinical investigation*. 1998;102(1):98-106.
- 546 51. Reefer AJ, Carneiro RM, Custis NJ, Platts-Mills TA, Sung SS, Hammer J, et al. A role
547 for IL-10-mediated HLA-DR7-restricted T cell-dependent events in development of the modified
548 Th2 response to cat allergen. *Journal of immunology*. 2004;172(5):2763-72.

- 549 52. Sicherer SH, Furlong TJ, Maes HH, Desnick RJ, Sampson HA, Gelb BD. Genetics of
550 peanut allergy: a twin study. *The Journal of allergy and clinical immunology*. 2000;106(1 Pt
551 1):53-6.
- 552 53. Hershey GK, Friedrich MF, Esswein LA, Thomas ML, Chatila TA. The association of
553 atopy with a gain-of-function mutation in the alpha subunit of the interleukin-4 receptor. *The*
554 *New England journal of medicine*. 1997;337(24):1720-5.
- 555 54. Kayserova J, Sismova K, Zentsova-Jaresova I, Katina S, Vernerova E, Polouckova A, et
556 al. A prospective study in children with a severe form of atopic dermatitis: clinical outcome in
557 relation to cytokine gene polymorphisms. *Journal of investigational allergology & clinical*
558 *immunology*. 2012;22(2):92-101.
- 559 55. Namkung JH, Lee JE, Kim E, Kim HJ, Seo EY, Jang HY, et al. Association of
560 polymorphisms in genes encoding IL-4, IL-13 and their receptors with atopic dermatitis in a
561 Korean population. *Experimental dermatology*. 2011;20(11):915-9.
- 562 56. Namkung JH, Lee JE, Kim E, Park GT, Yang HS, Jang HY, et al. An association
563 between IL-9 and IL-9 receptor gene polymorphisms and atopic dermatitis in a Korean
564 population. *Journal of dermatological science*. 2011;62(1):16-21.
- 565 57. Hemler JA, Phillips EJ, Mallal SA, Kendall PL. The evolving story of human leukocyte
566 antigen and the immunogenetics of peanut allergy. *Annals of allergy, asthma & immunology* :
567 official publication of the American College of Allergy, Asthma, & Immunology.
568 2015;115(6):471-6.
- 569 58. Asai Y, Greenwood C, Hull PR, Alizadehfar R, Ben-Shoshan M, Brown SJ, et al.
570 Filaggrin gene mutation associations with peanut allergy persist despite variations in peanut
571 allergy diagnostic criteria or asthma status. *The Journal of allergy and clinical immunology*.
572 2013;132(1):239-42.
- 573 59. DeVries A, Vercelli D. Early predictors of asthma and allergy in children: the role of
574 epigenetics. *Current opinion in allergy and clinical immunology*. 2015;15(5):435-9.
- 575 60. Martino D, Dang T, Sexton-Oates A, Prescott S, Tang ML, Dharmage S, et al. Blood
576 DNA methylation biomarkers predict clinical reactivity in food-sensitized infants. *The Journal of*
577 *allergy and clinical immunology*. 2015;135(5):1319-28 e1-12.
- 578 61. Andolfi G, Foustier G, Rossetti M, Magnani CF, Jofra T, Locafaro G, et al. Enforced IL-
579 10 expression confers type 1 regulatory T cell (Tr1) phenotype and function to human CD4(+) T
580 cells. *Molecular therapy : the journal of the American Society of Gene Therapy*.
581 2012;20(9):1778-90.
582
583

Table 1: Demographic and clinical description of the study cohorts**Fig. 1: Pea-mDC and pea-DC-10 from HC and PA individuals present a mature phenotype.**

Expression levels of CD11c, CD14 and CD86 in mature (mDC) and tolerogenic (DC-10) DC of healthy controls (HC) and peanut-allergic (PA) individuals. Representative data from one HC out of n=7 (upper panel) and one PA individual out of n=21 (lower panel) are shown. Red: mDC, unstained; blue: mDC; green: DC-10, unstained; orange: DC-10.

Fig 2: Tr1 cells are induced at similar frequencies from HC and PA individuals.

Frequency of CD4⁺CD45RA⁻CD49b⁺LAG3⁺ Tr1 cells in pea-Tm and pea-T10 of healthy controls (HC; **A**, **B** and **D**) and peanut allergic (PA) individuals (**A** and **C-E**). **A**. Dot plots for a representative HC out of 7 (upper panel) and PA individuals out of 21 (lower panel). Cumulative data of LAG3 and CD49b expression is represented for HC (**B**) and PA individuals (**C**). **D**. Comparison of the percentages of CD49b⁺LAG3⁺ cells in pea-T10 of HC and PA individuals **E**. Comparison of the percentages of CD49b⁺LAG3⁺ cells in pea-T10 of all 3 cohorts of PA individuals (Bas: baseline; ST-OIT: short-term oral immunotherapy; LT-OIT: long-term OIT). Mean values and standard deviation are shown.

Fig. 3: Pea-DC-10 induce antigen-specific CD4⁺ T cell anergy in all HC but only in a minority of PA individuals.

Proliferation of pea-Tm and pea-T10 cell lines stimulated with autologous Ara h 1/2 (pea)-mDC for 5 days for healthy controls (HC) and peanut allergic (PA) individuals (Bas: baseline; ST-OIT: short-term oral immunotherapy; LT-OIT: long-term OIT) **A**. Dot plot for a representative HC (left panel), and 2 representative PA individuals (PA-1 presenting anergy and PA-2 not presenting anergy; middle and right panels, respectively) are shown. **B**. Proliferative responses of pea-Tm (black) and pea-T10 (grey) are shown. Percentage of anergy (calculated as percentage of proliferating (pea-Tm - pea-T10)/pea-Tm) is indicated for each experiment. ni: Anergy not induced. **C**. Cumulative data for HC (n=7) and PA individuals (n=21) and **D**. Comparison of PA cohorts, each dot represents a single experiment.

Fig. 4: Pea-T10 of HC but not PA individuals produce less Th2 cytokines than pea-Tm.

Detection of cytokines by ELISA (in pg/mL) in supernatants of pea-Tm and pea-T10 of healthy control (HC) and peanut-allergic (PA) individuals restimulated with pea-mDC for 48h. Results are shown for **A**. IL-4 and **B**. IL-5 in HC (left panels) and PA individuals (middle panels), each

dot representing a single experiment. Right panels represent a comparison of all HC and PA individuals; mean cytokine detection levels are shown.

Fig. 5: Peanut-specific T cells are more abundant in the Tr1 cells compared to total memory CD4⁺ population of pea-T10 in PA individuals.

Expression of CD69 and CD137 after restimulation with pea-mDC was assessed for pea-T10 of peanut allergic individuals (PA). Dot plot for a representative donor shows expression of CD69 (A) and CD137 (B) in the CD45RA⁻ and CD49b⁺LAG3⁺ populations for cells unstimulated (upper panels) or stimulated with pea-mDC (lower panels). The percentage of CD4⁺ T cells specifically upregulating CD69 (C, n=14) and CD137 (D, n=12) upon activation (subtracting the background from the unstimulated cells) is represented for each donor within the CD45RA⁻ and CD49b⁺LAG3⁺ populations.

Fig. 6: Expression of gut-homing molecules is higher in Tr1 cells than in total memory CD4⁺ population of pea-T10.

Expression of the gut-homing molecules GPR15 (A) and CCR9 (B) in CD49b⁺LAG3⁺ Tr1 and total memory populations of pea-T10 cells of healthy controls (HC) and peanut allergic (PA) individuals. Cumulative data are represented for A. n=5 HC and 19 PA individuals and B. n=2 HC and 13 PA. Green: HC; red: PA individuals.

Fig. E1: Scheme of the induction of mDC, DC-10, peanut-specific T cell lines and readouts.

A. DC and T cell line induction and B. Readouts for the T cell lines. DC-10: tolerogenic dendritic cells; mDC: mature dendritic cells; pea-: peanut; pea-T10: CD4⁺ T cells co-incubated with tolerogenic dendritic cells pulsed with the main peanut allergens; pea-Tm: CD4⁺ T cells co-incubated with mature dendritic cells pulsed with the main peanut allergens; TT: tetanus toxoid.

Fig. E2: mDC and DC-10 from HC and PA individuals present a mature phenotype.

Expression levels of A. CD11c, B. CD14 and C. CD86 in mature (mDC) and tolerogenic (DC-10) DC of healthy controls (HC, n=7) and peanut-allergic (PA, n=21) individuals. Comparison of the percentages of CD11c, CD14 and CD86 cells in mDC and DC-10 of all 3 cohorts of PA individuals (Bas: baseline; ST-OIT, n=7: short-term oral immunotherapy; LT-OIT, n=7: long-term OIT, n=7).

Fig. E3: Pea-Tm and pea-T10 of HC and PA individuals present a partially activated phenotype.

Frequency of CD4⁺CD45RA⁻CD25⁺ cells in pea-Tm and pea-T10 of healthy controls (HC) and peanut allergic (PA) individuals. **A.** Dot plot for a representative HC (out of 7 tested; upper panel) and PA individual (out of 21 tested; lower panel) donors show expression of CD25 in pea-Tm (left panel) and pea-T10 (right panel). **B.** Histogram representing the cumulative data of CD25 expression for HC and PA individuals and **C.** Comparison of PA cohorts (Bas: baseline; ST-OIT, n=7: short-term oral immunotherapy; LT-OIT, n=7: long-term OIT, n=7).. Mean and standard deviation are shown.

Fig. E4: Pea-DC-10 induce antigen-specific CD4⁺ T cell anergy in all HC but only in a minority of PA individuals.

Proliferation of pea-Tm and pea-T10 cell lines stimulated with autologous Ara h 1/2 (pea)-mDC for 4 days or tetanus (TT)-mDC for 5 days for healthy controls (HC) and peanut allergic (PA) individuals (Bas: baseline; ST-OIT: short-term oral immunotherapy; LT-OIT: long-term OIT) **A.** Proliferative responses of pea-Tm (black) and pea-T10 (grey) in response to Ara h 1/2. **B.** Cumulative data for HC and PA individuals and **C.** comparison of PA cohorts, each dot represents a single experiment. **D.** Dot plots of proliferation in response to TT restimulation are shown for representative HC (left panel), and PA individuals. **E.** Proliferative responses of pea-Tm (black) and pea-T10 (grey) to TT are shown. Percentage of anergy (calculated as percentage of proliferating (pea-Tm - pea-T10)/pea-Tm) is indicated for each experiment. ni: Anergy not induced.

Figure E5: Levels of IL-10 and IFN- γ are comparable in pea-Tm and pea-T10 of HC and PA individuals, and levels of IL-4 and IL-5 in pea-T10 are negatively correlated with the percentage of anergy.

Detection of cytokines by ELISA (in pg/mL) in supernatants of pea-Tm and pea-T10 of healthy controls (HC) and peanut-allergic individuals (PA) restimulated with pea-mDC for 48h. Results are shown for **A.** IL-10 and **B.** IFN- γ of HC (left panels) and PA individuals (middle panels), each dot representing a single experiment. Right panels represent a comparison of all HC and PA individuals; mean cytokine detection levels are shown. Scatter plots comparing the levels of **C.** IL-4 (in pg/mL), **D.** IL-5 (in pg/mL) and **E.** IL-10 (in pg/mL) detected in pea-T10 cultures restimulated with pea-mDC for 48h and the percentage of anergy (calculated as percentage of proliferating (pea-Tm - pea-T10)/pea-Tm) after restimulation with pea-mDC for 5 days). Trendline is shown, correlation coefficient and statistical significance are indicated.

Fig. E6: TT-specific T cells are present in the same frequencies in the Tr1 cells compared to total memory CD4⁺ population of pea-T10 in PA individuals.

Expression of CD69 and CD137 after restimulation with TT-mDC was assessed for pea-T10 of peanut allergic individuals (PA). Dot plot for a representative donor shows expression of CD69 (A) and CD137 (B) in the CD45RA⁻ and CD49b⁺LAG3⁺ populations for cells unstimulated (upper panels) or stimulated with TT-mDC (lower panels). The percentage of CD4⁺ T cells specifically upregulating CD69 (C, n=13) and CD137 (D, n=9) upon activation (subtracting the background from the unstimulated cells) is represented for each donor within the CD45RA⁻ and CD49b⁺LAG3⁺ populations.

Table S1: Summary table of the data obtained on pea-Tm and pea-T10 cells

For each experiment, the number of samples tested is indicated. Anergy is indicated only when present.

Characteristics	Baseline	Short-term OIT (3 to 12months)	Long-term OIT (>3years)
n	7	7	7
Sex (F/M)	4/3	2/5	4/3
Age (years)	11.9+/-3.1	18.9+/-15.3	14.6+/-6.9
Range	8-15	8-51	10-30
Concurrent symptoms of asthma	5/7	5/7	3/7
Concurrent symptoms of allergic rhinitis	6/7	6/7	4/7
Concurrent symptoms of atopic dermatitis	3/7	5/7	3/7
Family history of atopic diseases	3/7	6/7	4/7

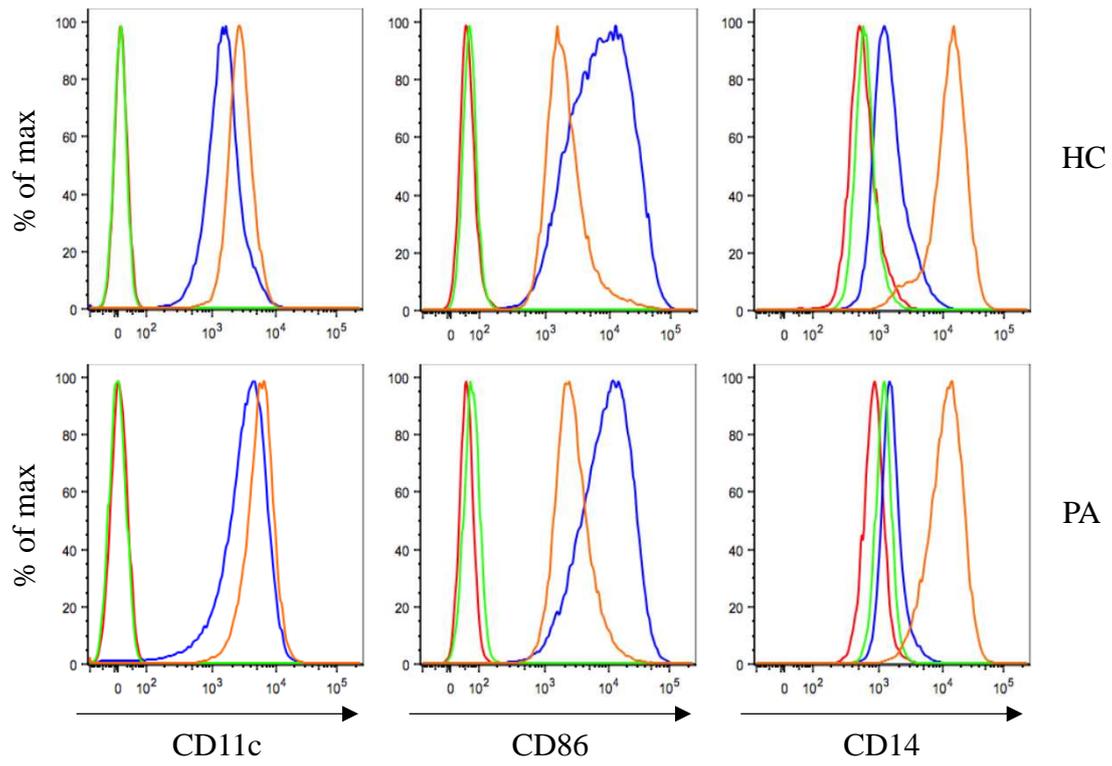


Figure 1

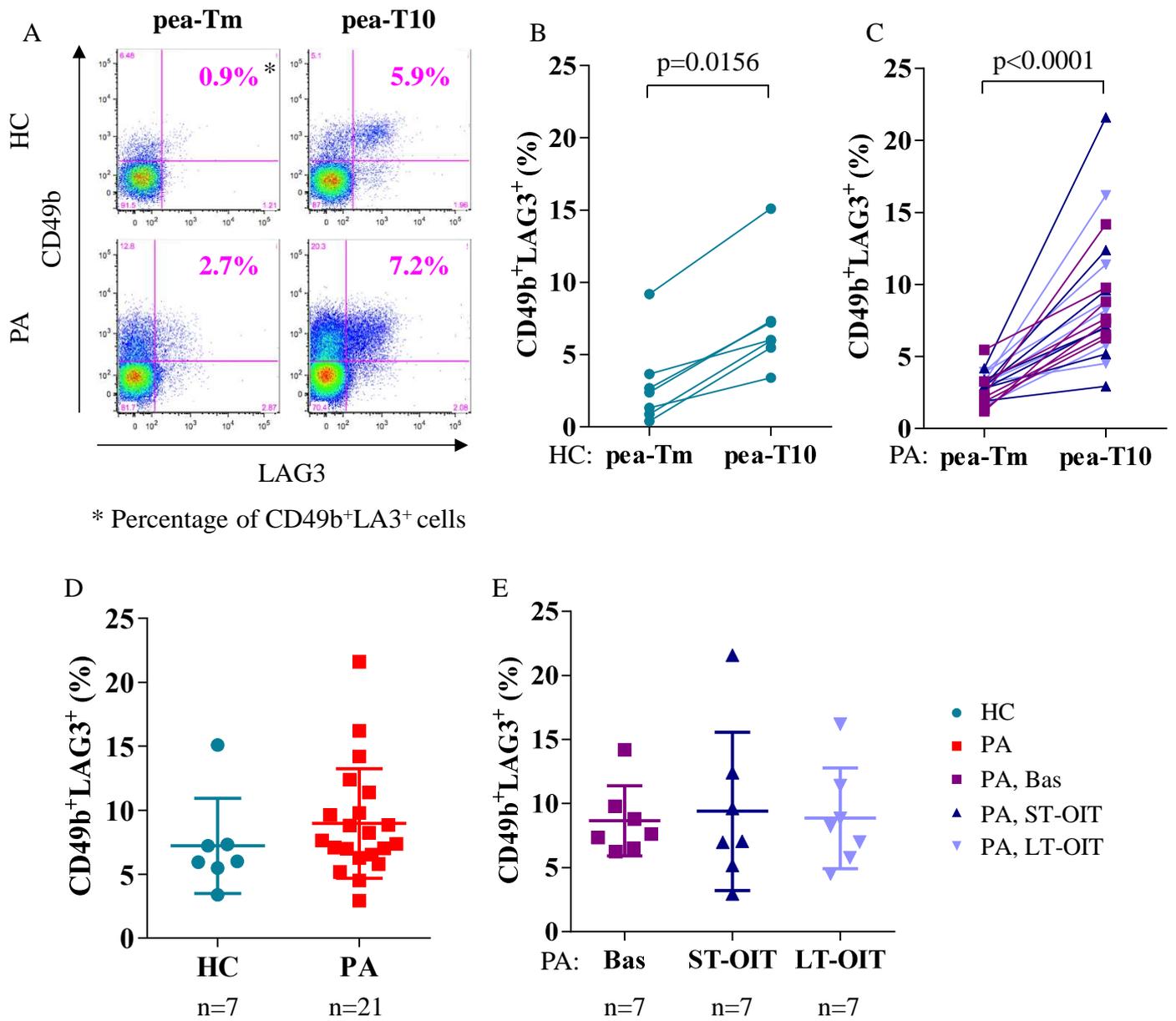


Figure 2

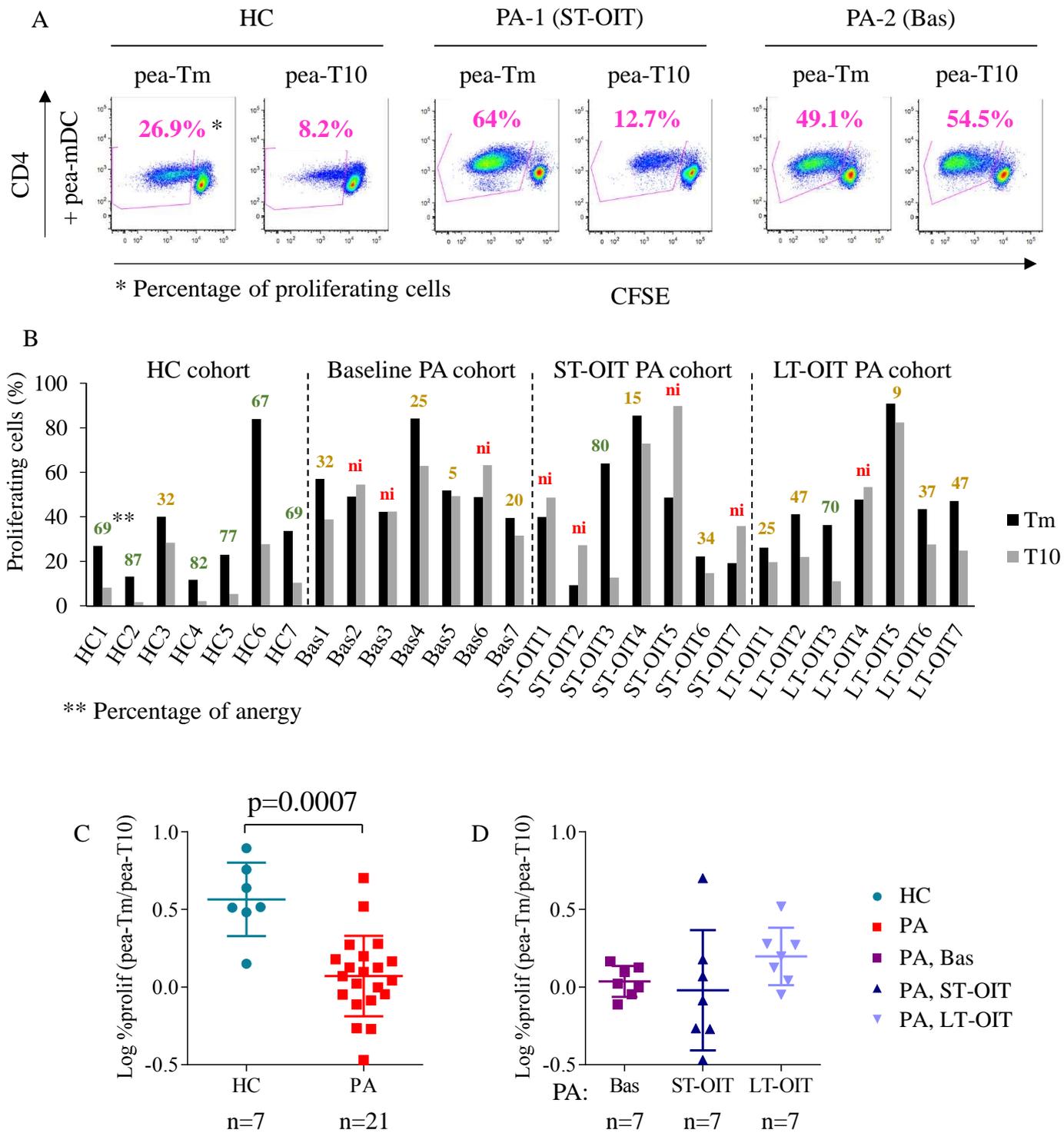


Figure 3

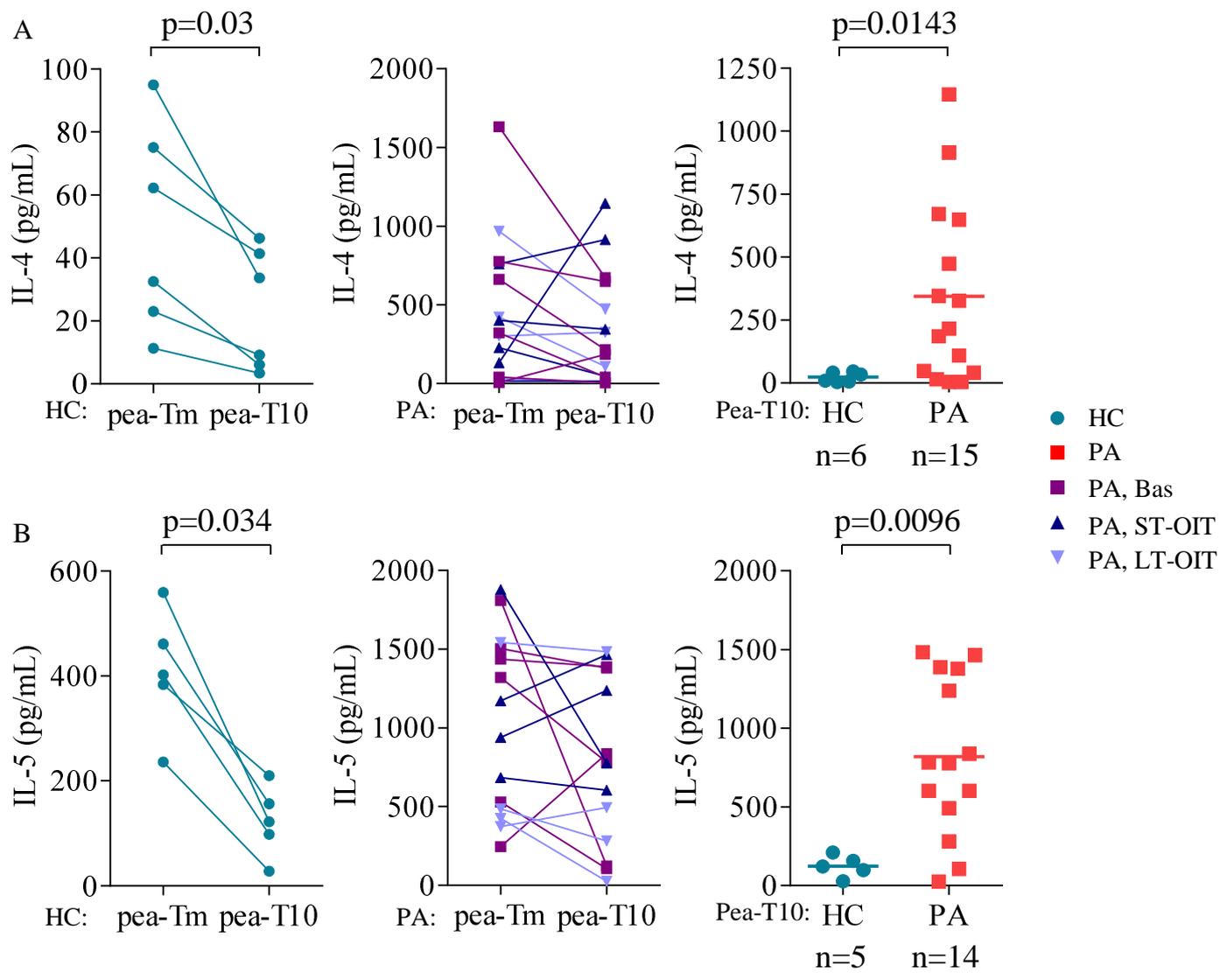


Figure 4

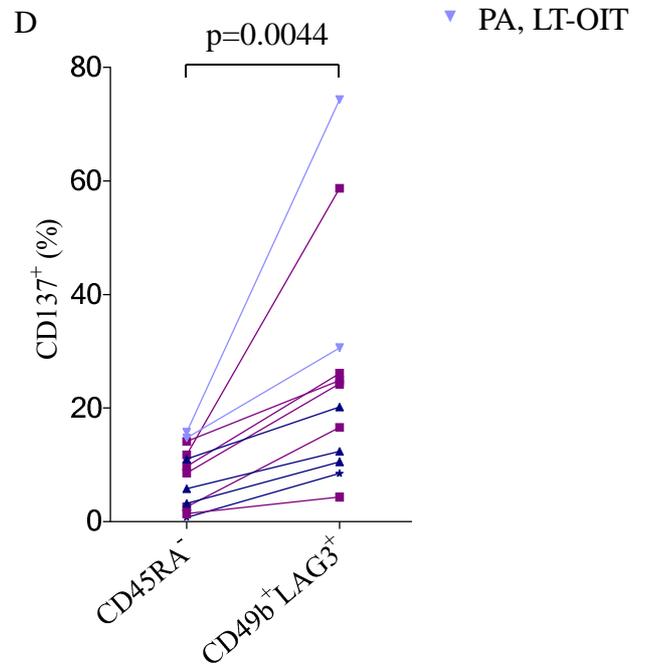
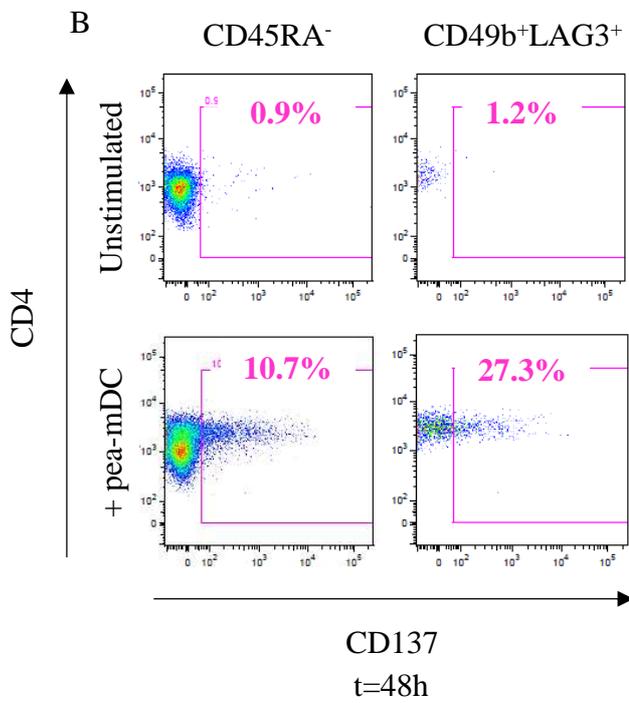
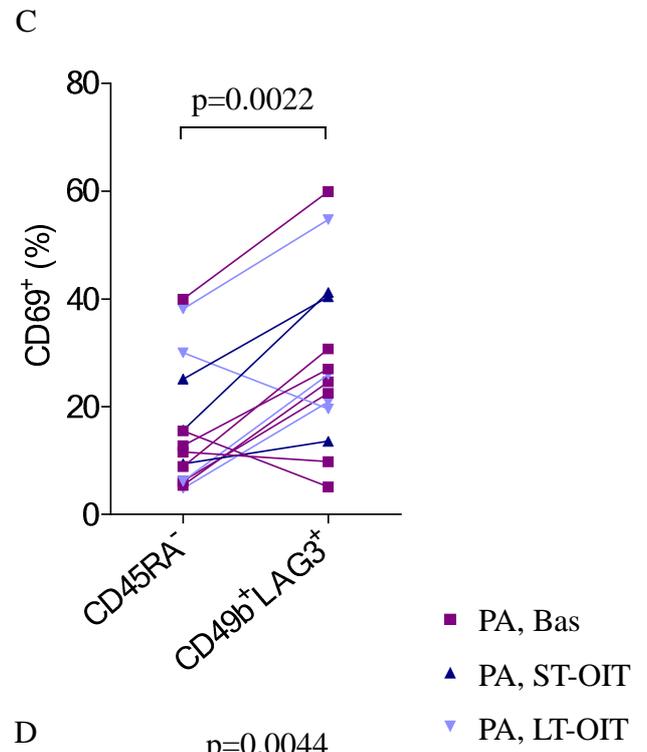
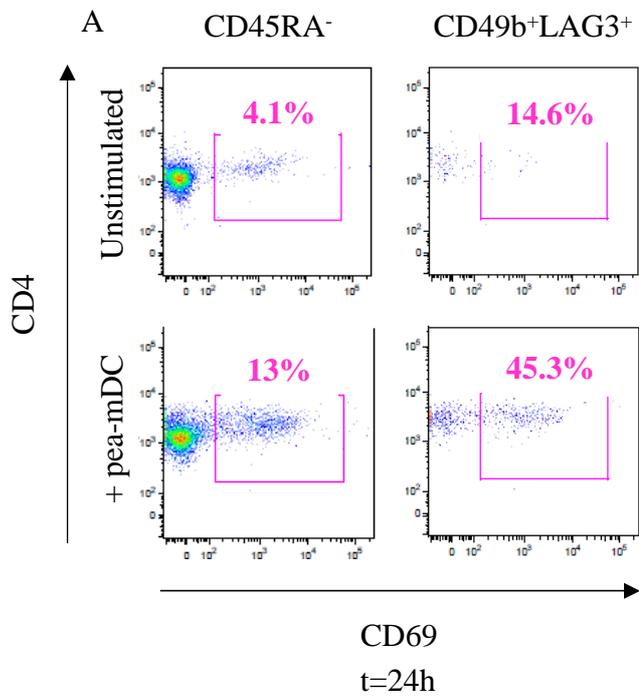


Figure 5

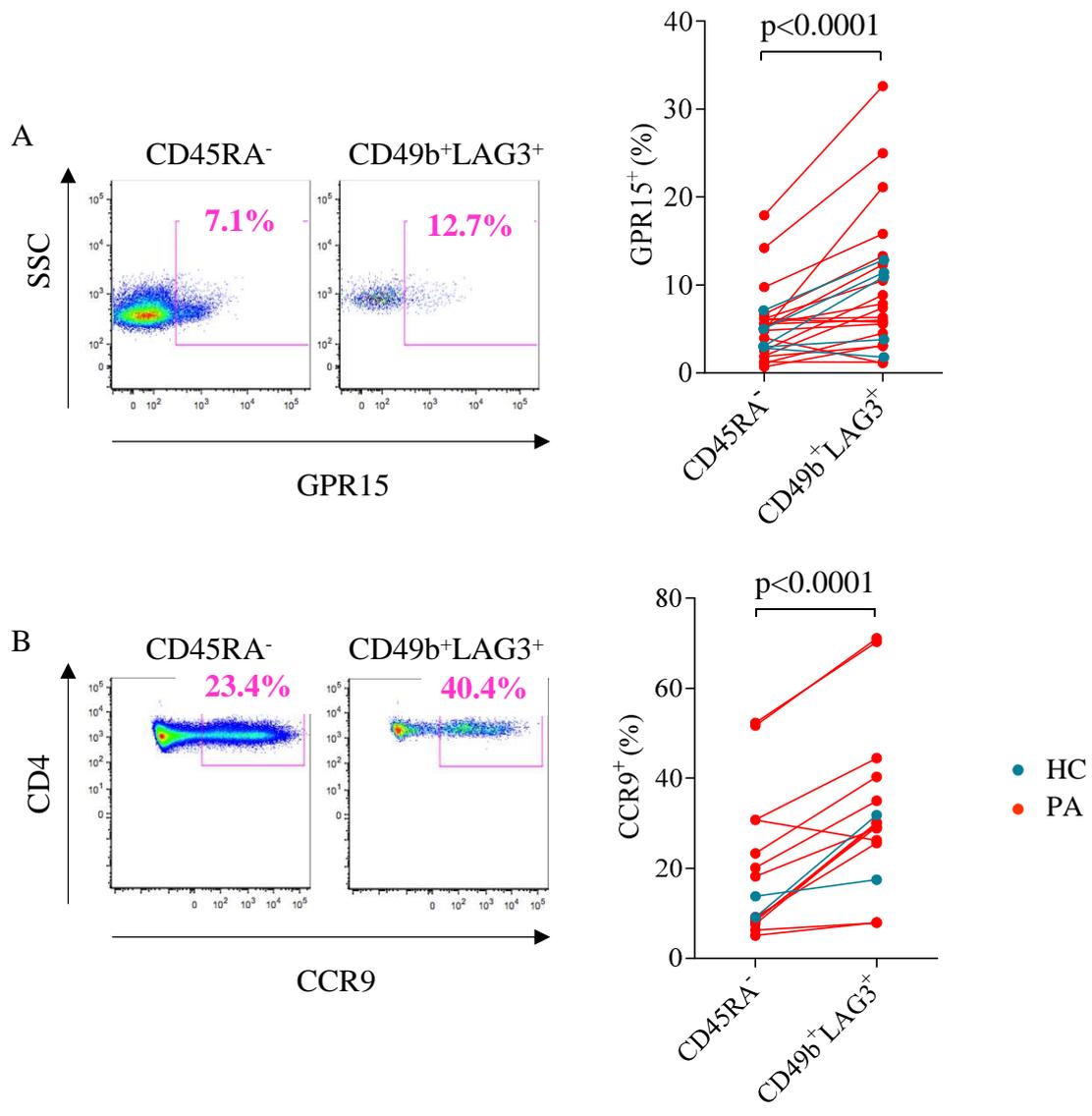


Figure 6

		% CD25+ (mean±SD)	% LAG3+CD49b+ (mean±SD)	% anergy (mean, [range])	IL-4 concentration (pg/mL)	IL-5 concentration (pg/mL)	IL-10 concentration (pg/mL)	IFN- γ concentration (pg/mL)
HC	Pea-Tm	28.2±6.7 n=7	2.6±3 n=7		49.9±32.7 n=6	408.5±118.2 n=5	208.5±50.77 n=6	787±438.1 n=6
	Pea-T10	34.3±9.9 n=7	7.4±3.7 n=7	69 [32-87] n=7	23.4±19.3 n=6	123.1±67.6 n=5	175±131.9 n=6	488±422.5 n=6
PA, total	Pea-Tm	38.1±12.7 n=21	2.9±1 n=21		447±449.6 n=15	1024.2±566.4 n=14	873.3±602.8 n=15	461.3±458.1 n=15
	Pea-T10	38±13.3 n=21	9±4.3 n=21	34.3 [5-80] n=6	343.5±358.7 n=15	784.2±534.8 n=14	450.3±268.9 n=15	488.24±425.3 n=15

		% CD69+ (Memory, mean±SD)	% CD69+ (Tr1, mean±SD)	% CD137+ (Memory, mean±SD)	% CD137+ (Tr1, mean±SD)	% GPR15+ (Memory, mean±SD)	% GPR15+ (Tr1, mean±SD)	% CCR9+ (Memory, mean±SD)	% CCR9+ (Tr1, mean±SD)
HC	Pea-Tm								
	Pea-T10					4.2±1.8 n=5	8.1±4.9 n=5	11.7±3.3 n=2	24.9±10.1 n=2
PA, total	Pea-Tm								
	Pea-T10	15.5±10.8 n=12	26.7±15.1 n=12	9.2±5.1 n=13	26.9±16.3 n=13	5.6±4.4 n=19	10.1±8.4 n=19	20.93±16.4 n=13	34.4±19.2 n=13

OR FIGURE LEGENDS

Fig. E1: Scheme of the induction of mDC, DC-10, peanut-specific T cell lines and readouts.

A. DC and T cell line induction and **B.** Readouts for the T cell lines. DC-10: tolerogenic dendritic cells; mDC: mature dendritic cells; pea-: peanut; pea-T10: CD4⁺ T cells co-incubated with tolerogenic dendritic cells pulsed with the main peanut allergens; pea-Tm: CD4⁺ T cells co-incubated with mature dendritic cells pulsed with the main peanut allergens; TT: tetanus toxoid.

Fig. E2: mDC and DC-10 from HC and PA individuals present a mature phenotype.

Expression levels of **A.** CD11c, **B.** CD14 and **C.** CD86 in mature (mDC) and tolerogenic (DC-10) DC of healthy controls (HC, n=7) and peanut-allergic (PA, n=21) individuals. Comparison of the percentages of CD11c, CD14 and CD86 cells in mDC and DC-10 of all 3 cohorts of PA individuals (Bas: baseline; ST-OIT, n=7: short-term oral immunotherapy; LT-OIT, n=7: long-term OIT, n=7).

Fig. E3: Pea-Tm and pea-T10 of HC and PA individuals present a partially activated phenotype.

Frequency of CD4⁺CD45RA⁻CD25⁺ cells in pea-Tm and pea-T10 of healthy controls (HC) and peanut allergic (PA) individuals. **A.** Dot plot for a representative HC (out of 7 tested; upper panel) and PA individual (out of 21 tested; lower panel) donors show expression of CD25 in pea-Tm (left panel) and pea-T10 (right panel). **B.** Histogram representing the cumulative data of CD25 expression for HC and PA individuals and **C.** Comparison of PA cohorts (Bas: baseline; ST-OIT, n=7: short-term oral immunotherapy; LT-OIT, n=7: long-term OIT, n=7).. Mean and standard deviation are shown.

Fig. E4: Pea-DC-10 induce antigen-specific CD4⁺ T cell anergy in all HC but only in a minority of PA individuals.

Proliferation of pea-Tm and pea-T10 cell lines stimulated with autologous Ara h 1/2 (pea)-mDC for 4 days or tetanus (TT)-mDC for 5 days for healthy controls (HC) and peanut allergic (PA) individuals (Bas: baseline; ST-OIT: short-term oral immunotherapy; LT-OIT: long-term OIT) **A.** Proliferative responses of pea-Tm (black) and pea-T10 (grey) in response to Ara h 1/2. **B.** Cumulative data for HC and PA individuals and **C.** comparison of PA cohorts, each dot represents a single experiment. **D.** Dot plots of proliferation in response to TT restimulation are shown for representative HC (left panel), and PA individuals. **E.** Proliferative responses of pea-

Tm (black) and pea-T10 (grey) to TT are shown. Percentage of anergy (calculated as percentage of proliferating (pea-Tm - pea-T10)/pea-Tm) is indicated for each experiment. ni: Anergy not induced.

Figure E5: Levels of IL-10 and IFN- γ are comparable in pea-Tm and pea-T10 of HC and PA individuals, and levels of IL-4 and IL-5 in pea-T10 are negatively correlated with the percentage of anergy.

Detection of cytokines by ELISA (in pg/mL) in supernatants of pea-Tm and pea-T10 of healthy controls (HC) and peanut-allergic individuals (PA) restimulated with pea-mDC for 48h. Results are shown for **A.** IL-10 and **B.** IFN- γ of HC (left panels) and PA individuals (middle panels), each dot representing a single experiment. Right panels represent a comparison of all HC and PA individuals; mean cytokine detection levels are shown. Scatter plots comparing the levels of **C.** IL-4 (in pg/mL), **D.** IL-5 (in pg/mL) and **E.** IL-10 (in pg/mL) detected in pea-T10 cultures restimulated with pea-mDC for 48h and the percentage of anergy (calculated as percentage of proliferating (pea-Tm - pea-T10)/pea-Tm) after restimulation with pea-mDC for 5 days). Trendline is shown, correlation coefficient and statistical significance are indicated.

Fig. E6: TT-specific T cells are present in the same frequencies in the Tr1 cells compared to total memory CD4⁺ population of pea-T10 in PA individuals.

Expression of CD69 and CD137 after restimulation with TT-mDC was assessed for pea-T10 of peanut allergic individuals (PA). Dot plot for a representative donor shows expression of CD69 (**A**) and CD137 (**B**) in the CD45RA⁻ and CD49b⁺LAG3⁺ populations for cells unstimulated (upper panels) or stimulated with TT-mDC (lower panels). The percentage of CD4⁺ T cells specifically upregulating CD69 (**C**, n=13) and CD137 (**D**, n=9) upon activation (subtracting the background from the unstimulated cells) is represented for each donor within the CD45RA⁻ and CD49b⁺LAG3⁺ populations.

Table S1: Summary table of the data obtained on pea-Tm and pea-T10 cells

For each experiment, the number of samples tested is indicated. Anergy is indicated only when present.

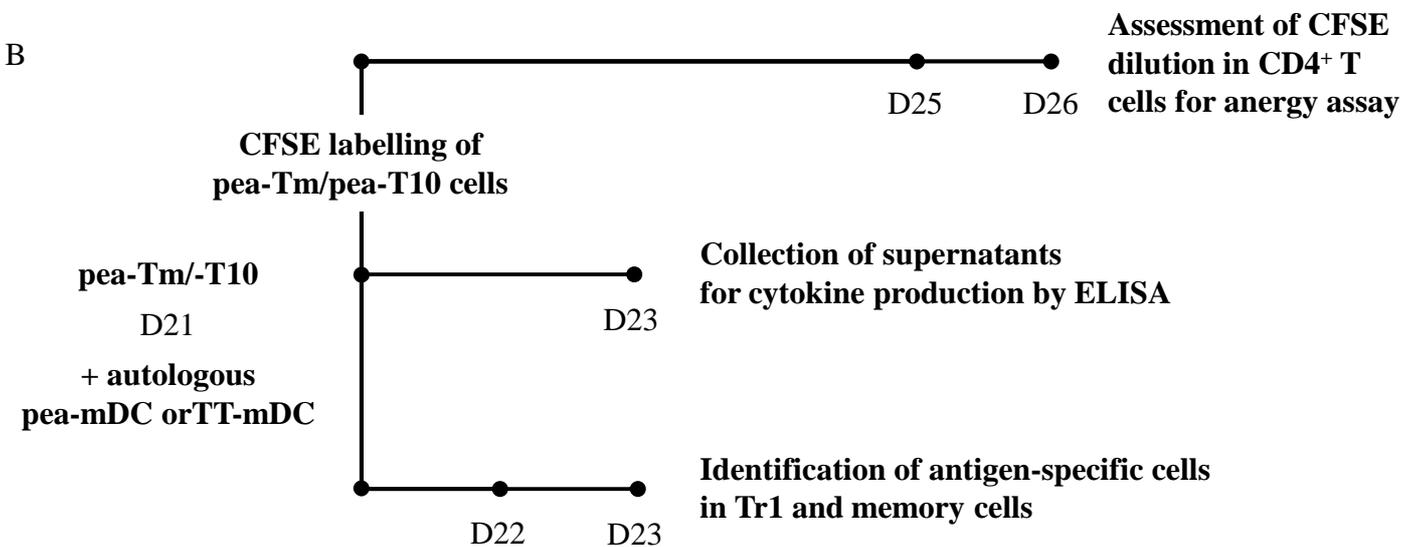
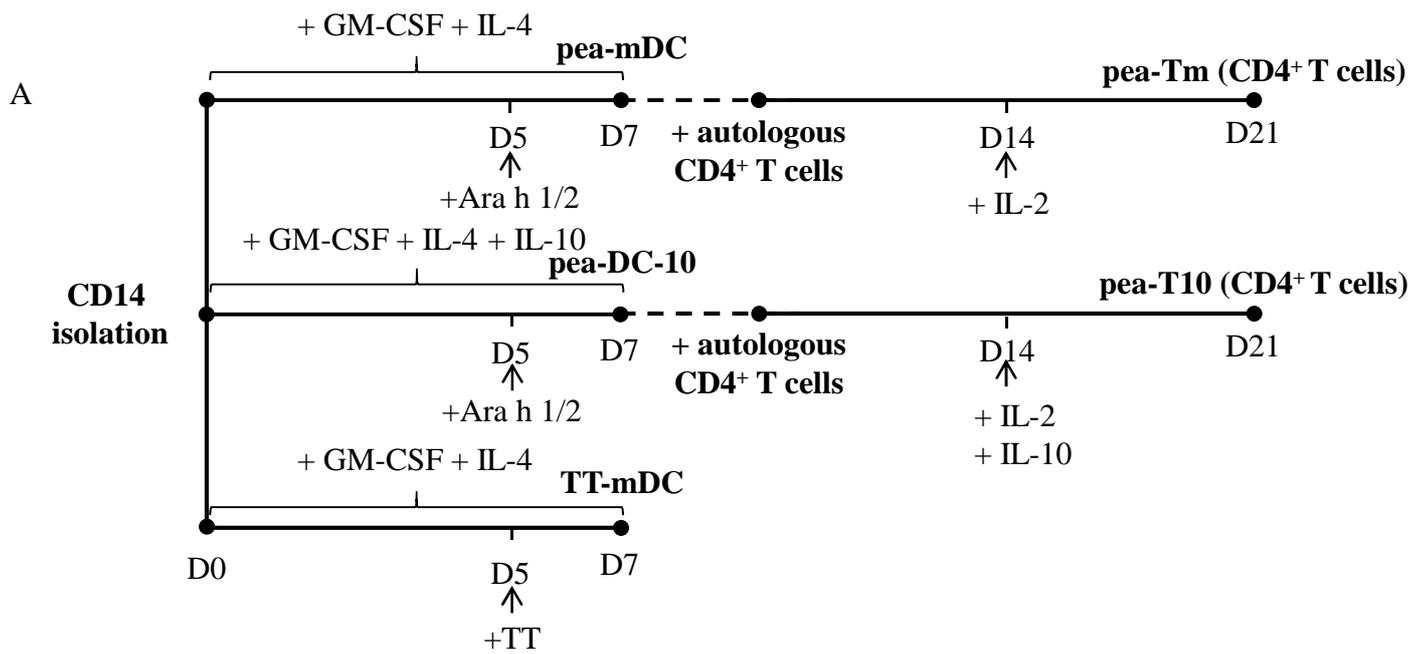


Figure E1

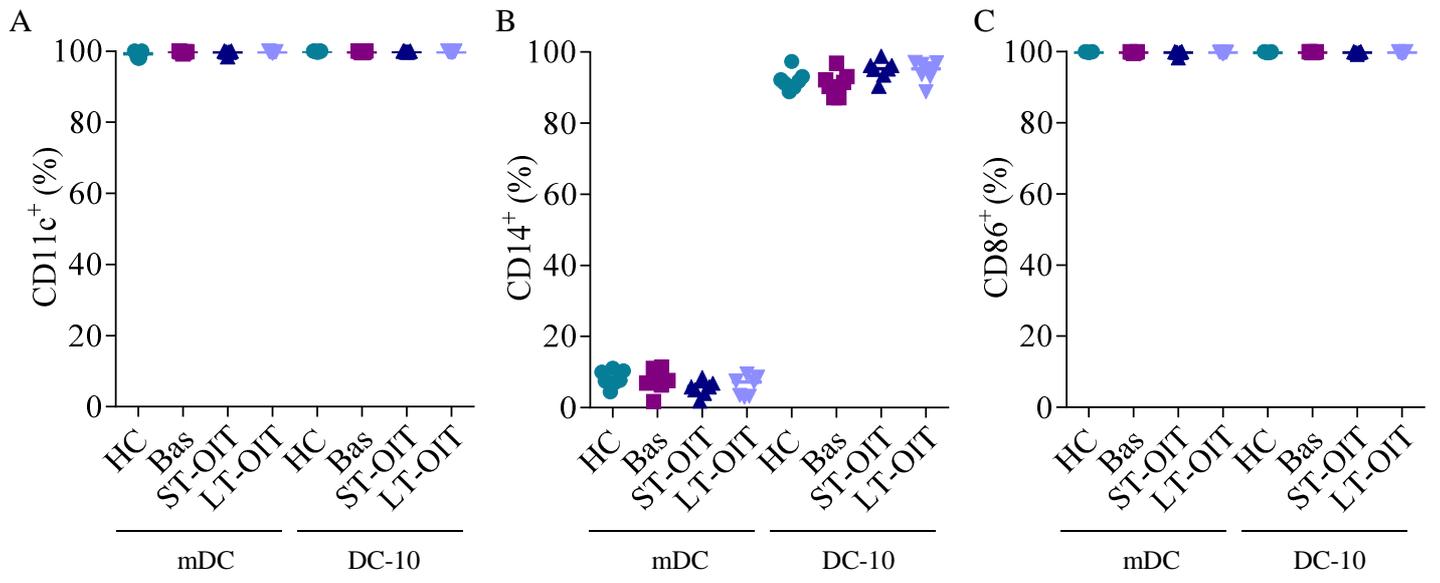


Figure E2

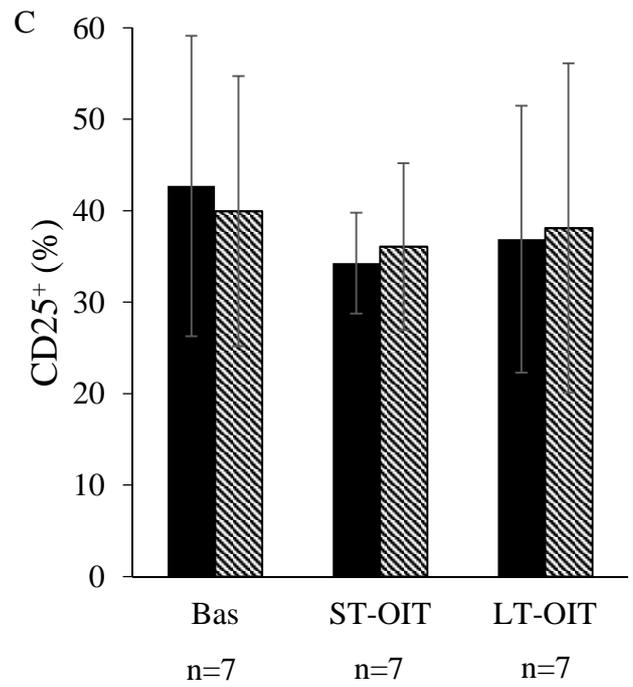
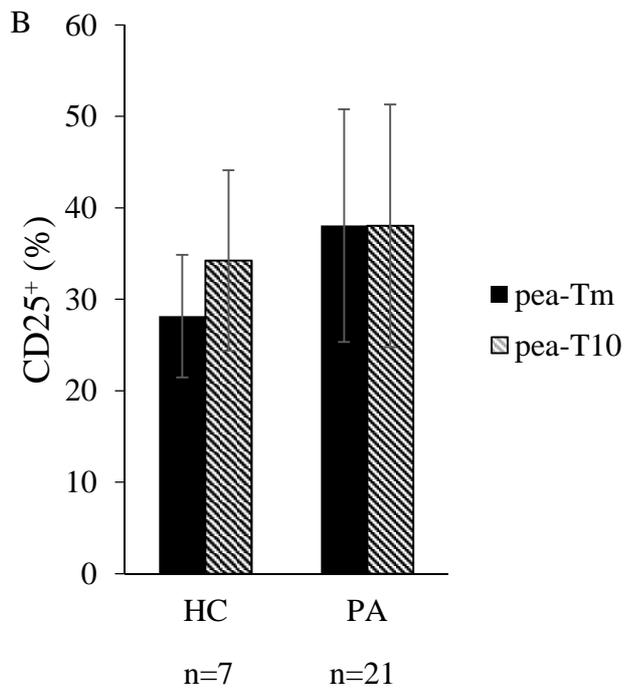
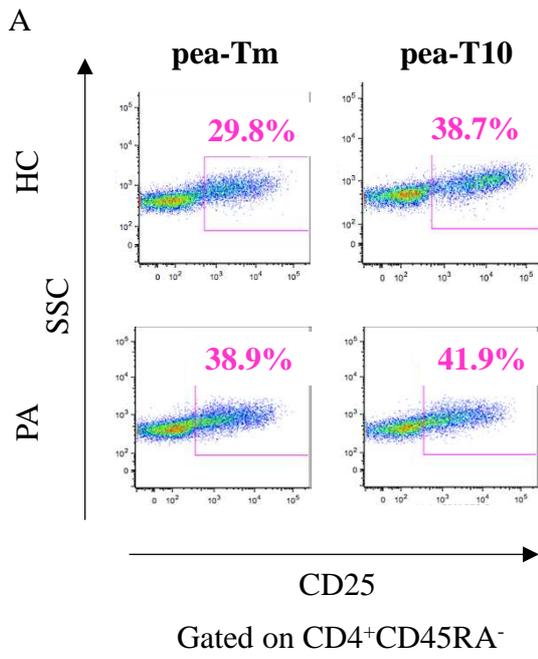


Figure E3

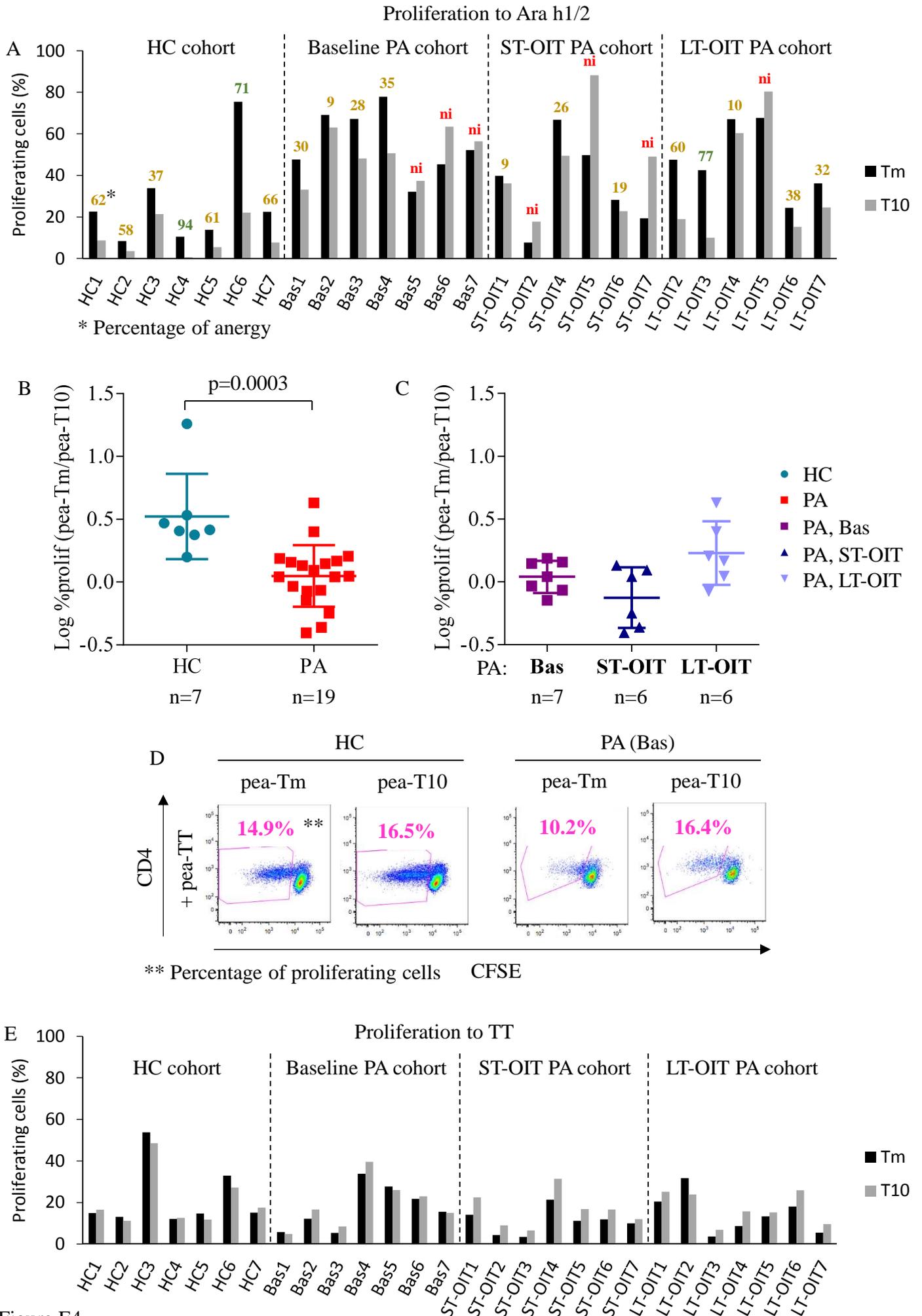


Figure E4

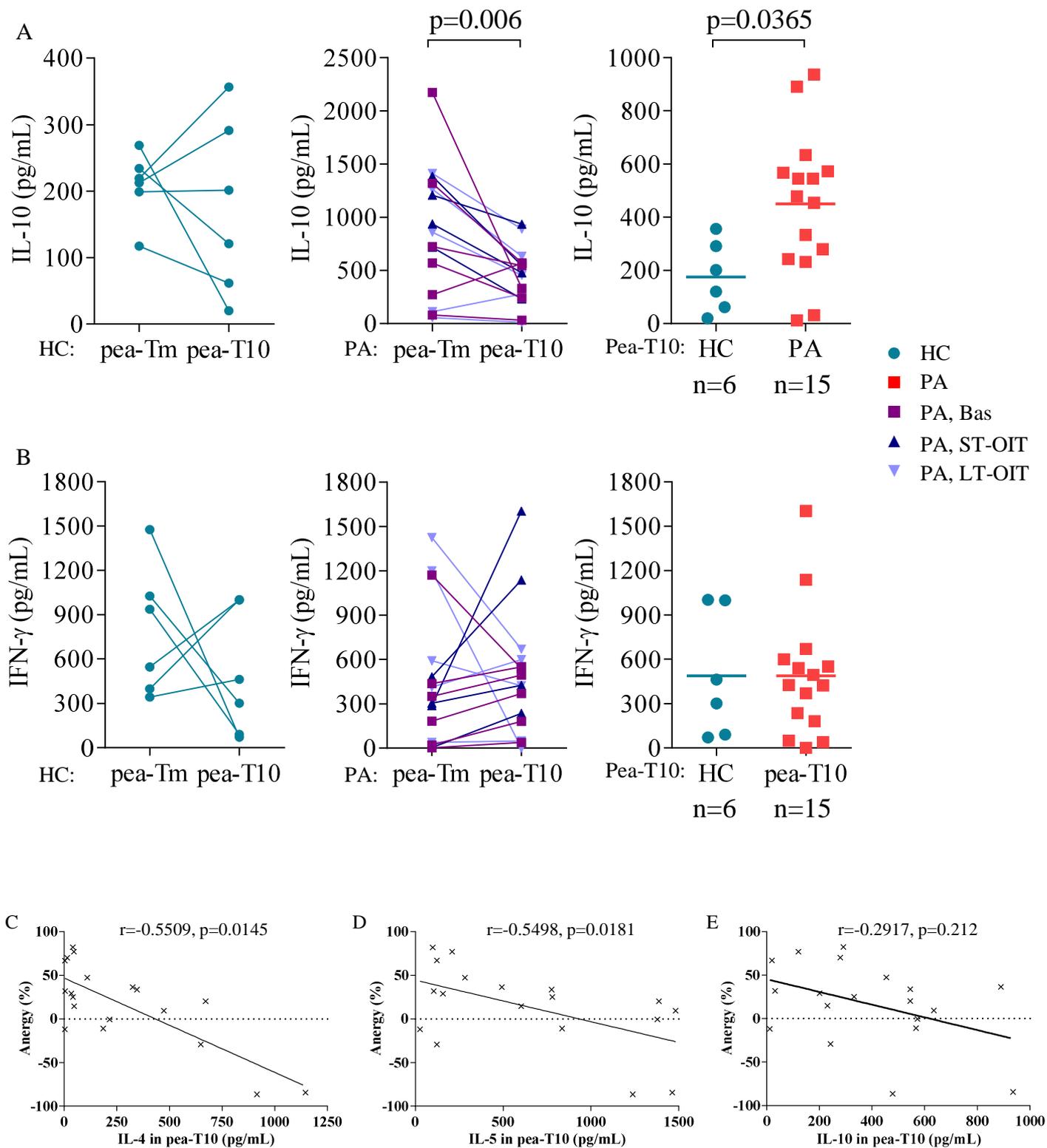


Figure E5

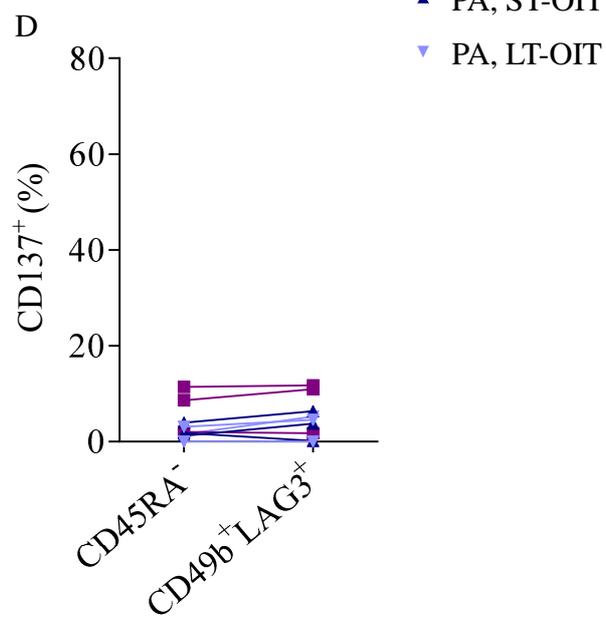
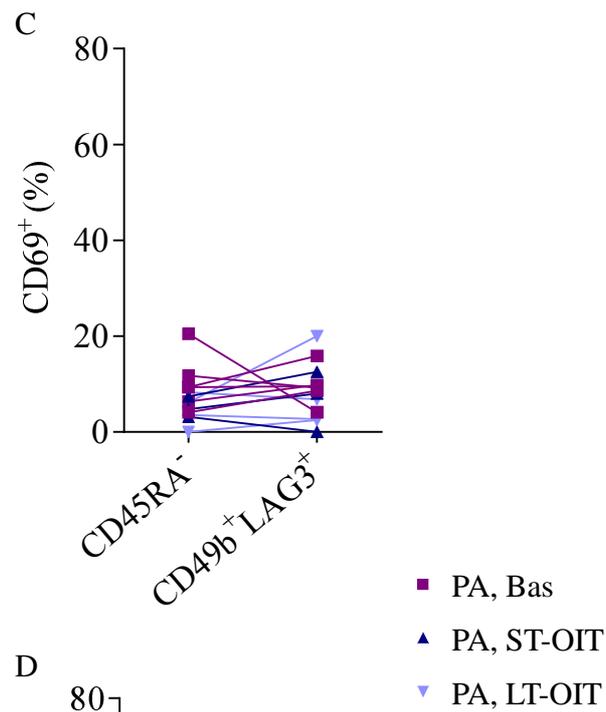
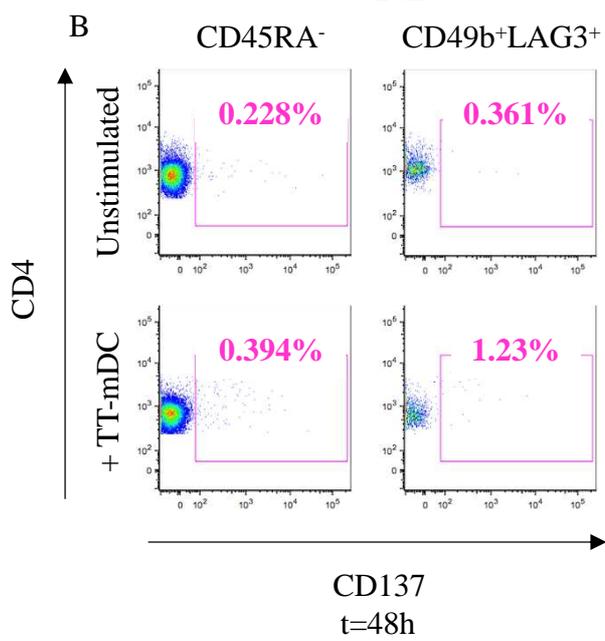
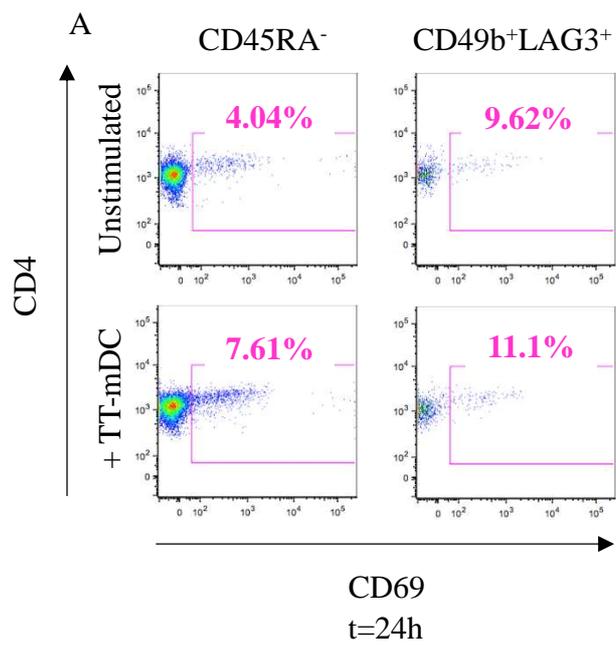


Figure E6

1 SUPPLEMENTAL DATA

3 **DC differentiation**

4 CD14⁺ monocytes were isolated from peripheral blood mononuclear cells (PBMC) using a
5 positive selection kit (Miltenyi Biotec, Germany) according to the manufacturer's instructions.
6 CD14⁺ cells were differentiated into mature dendritic cells (mDCs) and tolerogenic DCs (DC-10)
7 as previously described (37). Briefly, CD14⁺ were incubated for 7 days in RPMI 1640 (Life
8 Technologies, Carlsbad, CA) supplemented with 5% pooled AB human serum (Sigma-Aldrich,
9 Saint Louis, MO) and 100 U/mL penicillin/streptomycin (Life Technologies, Carlsbad, CA) in
10 the presence of 10ng/mL of recombinant human (rh) IL-4 (R&D Systems, Minneapolis, MN)
11 and of 100ng/mL of rhGM-CSF, with the addition of 10ng/mL of IL-10 (BD Biosciences, San
12 Jose, CA) to differentiate DC-10. After 5 days, purified endotoxin-free Ara h 1 and 2 (Indoor
13 Biotechnologies, Charlottesville, VA) or inactivated Tetanus Toxoid (TT; EMD Millipore,
14 Billerica, MA) proteins were added for 2 days to the DCs (pea-mDC, pea-DC-10 and TT-mDC,
15 respectively). The optimal concentration of the peptides was determined from a dose-response
16 curve (0.1 to 20 μ g/mL) testing the proliferation of autologous CD4⁺T cells. The optimal
17 concentration of TT was 1 μ g/mL, and 10 μ g/mL for Ara h 1 and 2. For each experiment, the
18 maturation of the DCs was confirmed by flow cytometry to determine the expression of CD11c,
19 CD86 (BioLegend Inc., San Diego, CA), and CD14 (eBioscience, San Diego, CA). DC
20 established from a single blood donation were either used for primary stimulation, or frozen and
21 used later to test for antigen-specificity or cytokine production.

23 **T cell differentiation**

24 CD4⁺ T cells were isolated using the EasySep Human CD4⁺ T Cell Enrichment Kit (Stemcell
25 Technologies, Vancouver, Canada) from the PBMC CD14⁻ fraction. 1 \times 10⁵ pea-mDC or pea-DC-
26 10 were co-incubated with 1 \times 10⁶ autologous CD4⁺ T cells in X-VIVO 15 medium supplemented
27 with gentamycin (Lonza, Switzerland) and 5% pooled AB human serum (complete medium). IL-
28 10 was added at 10ng/mL to the CD4⁺ - DC-10 cocultures. After 7 days of culture, IL-2
29 (Peprotech, Rocky Hill, NJ) was added at 20 units/mL and the cells were expanded for an
30 additional 7 days. The phenotype of the CD4⁺ T cells cocultured with the pea-mDC (pea-Tm) or
31 with the pea-DC-10 (pea-T10) was assessed by flow cytometry testing the expression of LAG3,
32 CD49b (Miltenyi Biotec, Germany), CD3, CD4, CD45RA, and CD25 (BioLegend Inc., San
33 Diego, CA), GPR15 (R&D Systems, Minneapolis, MN) and CCR9 (BD Biosciences, San Jose,
34 CA).

36 **Ara h 1/2 specific T cell proliferation assay**

37 To assess the proliferative response to a secondary antigen challenge, pea-Tm and pea-T10 cells
38 were stained with carboxyfluorescein succinimidyl ester (CFSE; Life Technologies, Carlsbad,
39 CA) and plated alone (5×10^4) or with autologous pea-mDC or TT-mDC as a control (5×10^3) in
40 complete medium in 96 well, round bottom plates in a final volume of 200 μ L. The percentage of
41 divided cells within the CD3⁺CD4⁺ T cell population was assessed after 4 and 5 days.

42

43 **Ar h 1/2 antigen specificity assay and cytokine detection**

44 To assess the percentage of antigen-specific Tr1 cells, pea-T10 cells were plated alone (1×10^5) or
45 with autologous pea-mDC or TT-mDC (1×10^4) in complete medium in 96 well, round bottom
46 plates in a final volume of 200 μ L. The expression of the activation markers CD69 and CD137
47 (BD Biosciences, San Jose, CA) was assessed by flow cytometry on gated CD3⁺CD4⁺CD45RA⁻
48 T cells after 24 and 48h, respectively. The optimal stimulation time to assess the expression of
49 these markers was determined by a time course experiment (12h to 72h). At 48h, supernatants
50 were collected and frozen for analysis of cytokine detection. Levels of IL-4, IL-10, IFN- γ and
51 GM-CSF in the supernatants of pea-Tm/T10 cells and pea-mDC cocultures were assessed by
52 ELISA (BD Biosciences, San Jose, CA).

53

54 **Flow cytometry**

55 For phenotyping of the dendritic cells, the samples were acquired using a Dxp10 FACScanTM
56 (Cytek Dev, Fremont, CA). For all the other analyses, the samples were acquired using a FACS
57 Aria (BD Biosciences, San Jose, CA). The staining of pea-Tm and pea-T10 cells was performed
58 at 37°C for 15min (Protocol based on (25)). All other stainings were performed according to the
59 manufacturer's recommendations.

60

61 **Statistical analyses**

62 GraphPad Prism 6.07 (GraphPad Software, Inc., La Jolla, CA) software was used for statistical
63 analyses. Results are presented as mean \pm SD, unless stated otherwise. We used Mann-Whitney
64 test, Wilcoxon test, Spearman correlation test and paired student t test to determine the statistical
65 significance of the data. P values of less than 0.05 were considered significant. For computing
66 summary statistics, we considered the log value of pea-Tm/pea-T10 cell proliferation.