

# Biologics for chronic rhinosinusitis with nasal polyps



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With the increasing recognition of the role of type 2 immune responses in chronic rhinosinusitis, its severity, recurrence, and comorbidities, several biologics targeting IL-4, IL-5, and IL-13 as well as IgE have been administered in small proof-of-concept studies. Recently, the first phase 3 trials have been reported with dupilumab, an IL-4 receptor antagonist, demonstrating a significant and clinically relevant reduction of the disease burden from polyp size and sinus involvement to symptoms and smell; these changes consecutively led to an important increase in quality of life. Finally, the biologic versus placebo treatment reduced the need for systemic glucocorticosteroids and sinus surgery significantly and clinically meaningfully. Dupilumab today is registered for the treatment of chronic rhinosinusitis with nasal polyps in Europe and the United States. Within a year, 2 further phase 3 trials with omalizumab and mepolizumab will be reported. With this development, without any doubt, a new era for the treatment of severe uncontrolled chronic rhinosinusitis with nasal polyps has begun. Questions on the indication of the biologics, the selection of patients, and finally criteria for monitoring the efficacy in individual patients need to be urgently answered, and care pathways need to be established integrating the current standard of care including surgery. (*J Allergy Clin Immunol* 2020;145:725-39.)

**Key words:** Chronic rhinosinusitis, nasal polyps, endotypes, type 2 inflammation, biologics

Chronic rhinosinusitis (CRS) without nasal polyps (CRSsNP) or chronic rhinosinusitis with nasal polyps (CRSwNP), together affecting more than 10% of the western population, can be diagnosed and differentiated by clinical symptoms and nasal endoscopy. CRS has long been managed by topical and eventually

## Abbreviations used

AERD: Aspirin-exacerbated respiratory disease  
CRS: Chronic rhinosinusitis  
CRSsNP: Chronic rhinosinusitis without nasal polyps  
CRSwNP: Chronic rhinosinusitis with nasal polyps  
CT: Computed tomography  
DBPCR: Double-blind placebo-controlled, randomized  
EC: Epithelial cell  
ECP: Eosinophil cationic protein  
GCS: Glucocorticosteroid  
IL-5R $\alpha$ : IL-5 receptor alpha  
ILC2: Type 2 innate lymphocyte  
NPS: Nasal polyp score  
PoC: Proof-of-concept  
QOL: Quality of life  
SNOT-22: 22-item Sino-Nasal Outcome Test  
TSLP: Thymic stromal lymphopoietin  
VAS: Visual analogue scale

systemic glucocorticosteroids (GCSs) and sinus surgery, if steroids were unsuccessful.<sup>1-3</sup> Furthermore, there are clinical traits of CRS, such as CRS with asthma, mostly noninvasive fungal disease, CRS in cystic fibrosis, CRS with aspirin-exacerbated respiratory disease (AERD), and other subgroups. However, only recently it became evident that these clinical subgroups may be driven by similar pathomechanisms, and vice versa, similar clinical disease may have totally different background pathologies.<sup>4,5</sup> Trying to understand differences in the natural course of disease and response to treatment, we need to dive deeper and understand critical immune mechanisms, which are shared by some of these clinical traits, to increase our ability to predict the course of disease and response to treatment.<sup>5</sup> This led to the realization of the fact that specifically type 2 immune reactions—over all clinical phenotypes of disease, but representing about 80% of CRSwNP cases—tend to be more severe, recurrent, and accompanied by comorbidities. The differentiation of CRS into type 2 or non-type 2 disease has already improved our management of CRSwNP by selecting adequate surgical procedures and pharmacotherapy, and lately, with the advent of biologics, with innovative treatment options, here summarized as biologics.<sup>6</sup> With the first small successful clinical trial performed in 2006 in CRSwNP, following the progression in severe asthma, the last 14 years have seen a step-by-step, but pertinent progress also for CRSwNP, with several biologics studied in international multicenter phase 3 trials now. Dupilumab now already is registered for CRSwNP

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in the United States and Europe, and very recently, already several thousand subjects with CRSwNP in the United States received this biologic instead or combined with surgery and/or systemic GCSs. Within 1 to 2 years, we will have several antibodies available, all targeting type 2 immune reactions, to choose from for selected patients. ENT specialists, pulmonologists, and allergists need to master the innovative drugs, the selection of patients—and soon of biologics, the expected effects and side effects, as well as ways to monitor the patient's individual response to the biologic and correct the management accordingly. This review will describe the relevant pathophysiology, treatment targets, and corresponding biologic drugs as well as available studies in CRSwNP.

## TYPE 2 INFLAMMATION IN CRSwNP

Eosinophils have been identified early in nasal polyps,<sup>7</sup> and their products such as eosinophil cationic protein (ECP) have already been measured nearly 50 years ago.<sup>8</sup> The cells stood in the focus of research in the 1980s, and Mygind et al<sup>9</sup> later summarized the knowledge as “Nasal polyps are eosinophilic, and corticosteroids can shrink them.” Also, IgE was measured early in nasal polyps, just after the description of the—at that time—new immunoglobulin class.<sup>10</sup> Thus, components of type 2 immune reactions in nasal polyps have been identified long ago; the recognition of T-cell subsets,<sup>11</sup> however, and the recognition of the type 2 endotype, also appreciating the role of type 2 innate lymphocytes (ILC2s),<sup>12</sup> came later for the nose and sinuses.<sup>4</sup> Finally, the endotyping of CRS on the basis of a cluster analysis provided the link with clinical traits such as asthma and recurrence of disease after surgery for CRSwNP.<sup>5</sup> Although there are subjects with CRSsNP with increased markers of type 2 immune reactions,<sup>5</sup> these patients have not been identified as problematic in the management or treated with biologics yet.

The type 2 immune response is characterized by a marked infiltration of eosinophils and mast cells, goblet hyperplasia, and increased levels of ECP, eotaxins, total IgE, IL-5, IL-4, and IL-13.<sup>13–15</sup> Although sinonasal epithelial cells (ECs) serve as a first line of defense in the nasal cavities, they express multiple pattern recognition receptors to sense and react to proteolytic allergens, harmful invaders, and tissue damage. As such, ECs play an active role in the initiation and regulation of both innate and adaptive immune responses and can trigger a type 2–mediated response. In nasal polyps, ECs or EC subsets (eg, solitary chemosensory cells)<sup>16</sup> can produce IL-1, IL-33, thymic stromal lymphopoietin (TSLP), and/or IL-25 in response to various damage-associated molecular patterns (eg, ATP and high mobility group box 1),<sup>17</sup> pathogenic organisms (eg, *Staphylococcus aureus* and fungi),<sup>18</sup> and their related products (microbial DNA, toxins) or allergens.<sup>17,19–21</sup> The production of TSLP and IL-33 subsequently leads to the activation and accumulation of ILC2s, with the production of IL-5 and IL-13 as a result.<sup>20,22,23</sup> A recent publication from our group pointed to the importance of the CD117 and IL1RI double-positive ILC2 subset in the further orchestration of T<sub>H</sub>2 response and eosinophil recruitment in nasal polyp mucosa.<sup>12</sup> In parallel and assisted by other cytokines, TSLP programs dendritic cells for mediating a T<sub>H</sub>2-mediated response in a ligand for CD134 (OX40L)-dependent manner.<sup>24</sup> In addition, TSLP potentially synergizes with IL-1 and IL-33 to activate mast cells

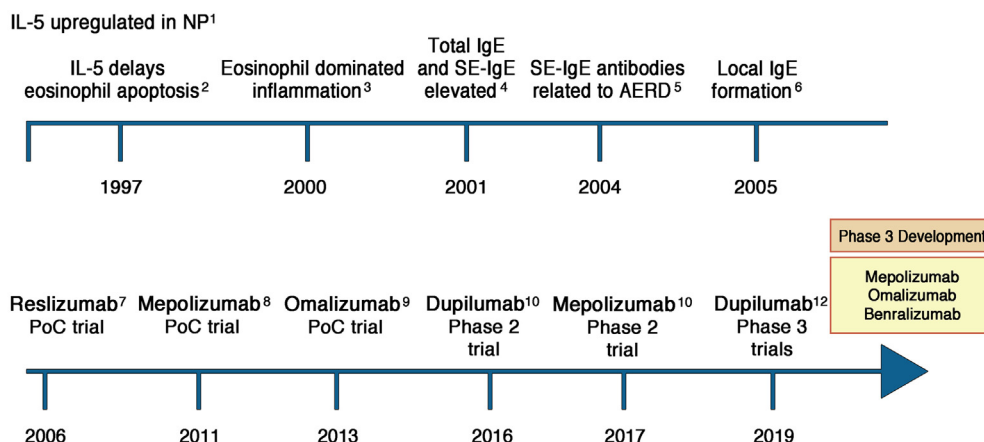
to produce type 2 cytokines.<sup>25</sup> Altogether, the epithelial-derived cytokines boost ILC2s, basophils, and mast cells, and orchestrate a T<sub>H</sub>2-mediated immune response, leading to the production and secretion of IL-4, IL-5, and IL-13 in the mucosa. In turn, IL-4 and IL-13 can act directly on the ECs, causing decreased tight junction expression, enhancing mucus production, and sustaining a positive feedback loop.<sup>26,27</sup> In parallel with the expansion of T<sub>H</sub>2 cells in CRSwNP, an expansion of B cells and plasma cells takes place.<sup>13,28</sup> Local activation, proliferation, class-switch recombination, and production of antibodies is thought to take place in B-cell clusters and follicle-like structures within the polyp.<sup>29,30</sup> IL-13 is known to drive IgE class switching in B cells, leading to an elevated local production of IgE, a well-known feature of nasal polyps.<sup>13,29,31,32</sup> The polyclonal IgE was found to be functional and able to activate mast cells in nasal polyps, in turn leading to type 2 cytokine production, eosinophil recruitment, and activation.<sup>33,34</sup>

The production of IL-13 further leads to expression of endothelial vascular cell adhesion protein 1 and other adhesion molecules, enabling the recruitment of lymphocytes, eosinophils, and basophils.<sup>35</sup> At the same time, IL-13 is able to drive the differentiation of recruited monocytes to alternatively activated (M2) macrophages, a phenotype that is known to accumulate in polyps and to show reduced phagocytosis.<sup>36</sup> Also, IL-13 induces the release of C-C motif chemokine receptor 3–specific chemokines by the epithelium. As such, the release of C-C motif chemokine ligand 13 (aka monocyte chemoattractant protein 4) and eotaxins-1, 2, and 3 result in the recruitment of eosinophils, mast cells, and basophils into the mucosa.<sup>37</sup> There, the presence of IL-5 promotes eosinophil survival and activation. As a consequence of the extensive activation, delayed apoptosis, and the presence of other triggers, eosinophils undergo extracellular DNA trap cell death (EETosis) at epithelial defects and in mucus.<sup>38,39</sup> This process might further damage the epithelium and leads to the formation of Charcot-Leyden crystals.<sup>39,40</sup> In turn, these crystals further sustain the inflammation by enhancing the proinflammatory cytokine production from the epithelium and other inflammatory cells.<sup>40,41</sup> In addition, the deposition of these highly stable crystals leads to a secondary neutrophilic inflammation and NETosis, which leads to further damage to the tissue or epithelium and may lead to resistance to therapeutic interventions with glucocorticoids.<sup>40–43</sup>

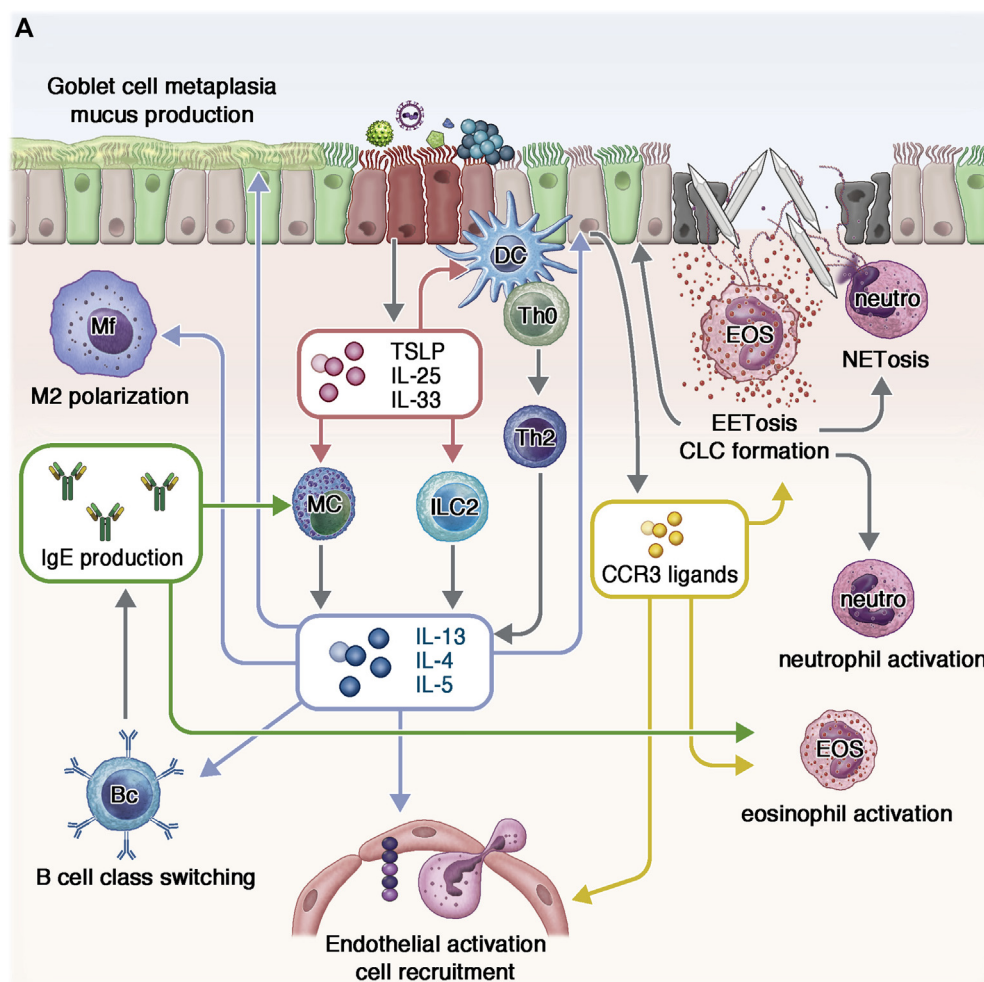
## TREATMENT APPROACHES AND UNMET NEEDS

Type 2 immune reactions in CRSwNP have been associated with asthma comorbidity, severity, and recurrence of nasal polyps after systemic GCS or surgical treatment.<sup>6</sup> Frequent oral GCS boosts per year and repeated surgeries are therefore a clear hint for type 2 CRSwNP. Other type 2 signs are the presence of comorbid late-onset asthma, the diagnosis of AERD, histopathologic findings of eosinophils in former surgery specimen, and finally elevated blood eosinophil counts and increased polyclonal serum IgE concentrations.<sup>44</sup>

Current treatment approaches have been extensively described in national and international guidelines. Within the patients with type 2 CRSwNP, there is a group of about 25% to 30% of subjects who will relapse after oral GCSs or conventional sinus surgery, and often need several surgeries in lifetime; more than 60% of these patients also have late-onset asthma. Patients with CRSwNP, who relapse after systemic GCSs and/or adequate



**FIG 1.** Recognition of type 2 cytokines and IgE in CRSwNP and the development of biologic therapies over time. NP, Nasal polyp; SE-IgE, specific IgE to *S aureus* enterotoxins.



**FIG 2.** Biologics and their targets in type 2 inflammation in CRSwNP. **A**, Target cytokines in type 2 immune reactions. **B**, Cells and mediators of type 2 inflammation and corresponding biologics. CCR-3, C-C motif chemokine receptor 3; CLC, Charcot-Leyden-Crystal; DC, dendritic cell; EOS, eosinophils; IL-4R $\alpha$ , IL-4 receptor alpha; IL-13R $\alpha$ , IL-13 receptor alpha; neutro, neutrophils.

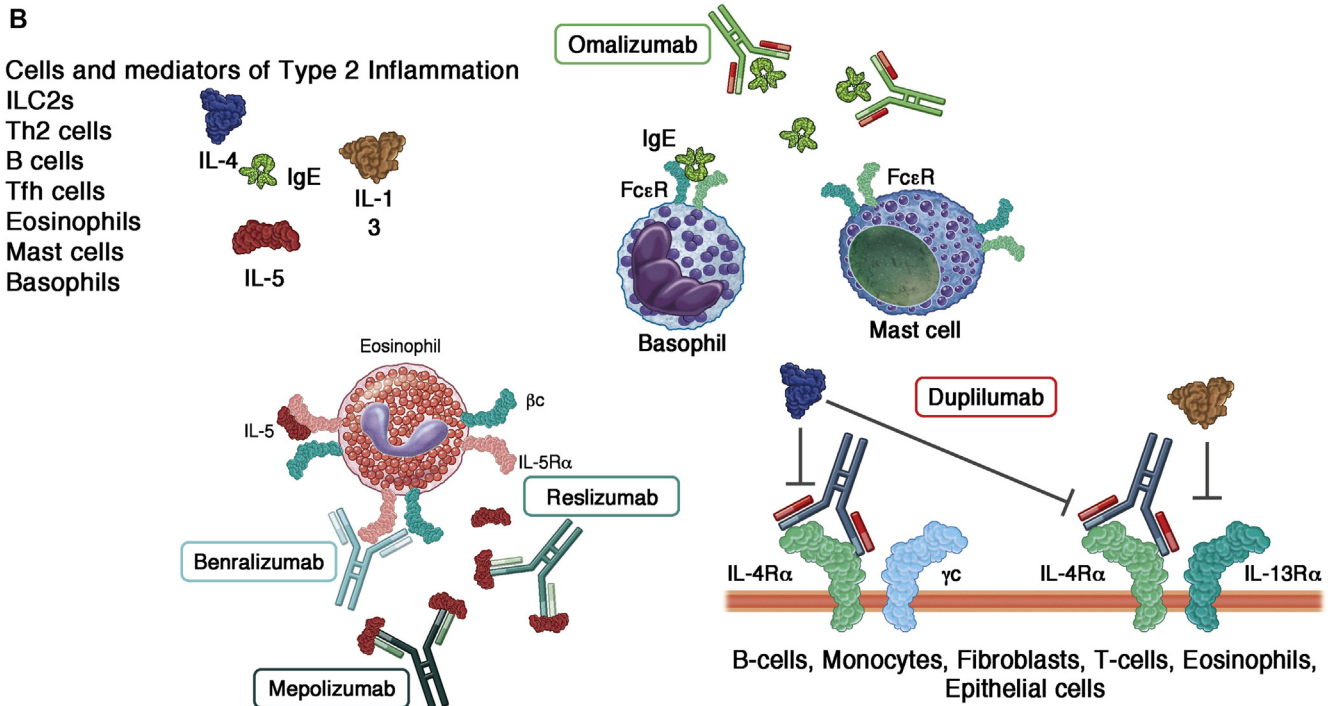


FIG 2. Continued.

surgery, and are symptomatic and relevantly impaired in their quality of life (QOL), should be identified as severe and uncontrolled, and eventually treated with biologics as an innovative option. It needs to be remembered that treatment with systemic GCSs or surgery is both associated with adverse events, specifically when GCSs are applied repeatedly or long-term,<sup>45</sup> and possible complications, specifically when surgeries are performed repeatedly. Major complications are reported in about 0.5% to 1% of sinus surgeries.<sup>46</sup> The expectations in terms of efficacy and duration from these interventions as well as adverse events and complications need to be discussed with the patient, and alternatives (eventually biologics) proposed, and patients should be actively involved in the decision process.

### Identified targets and biologics in phase 3 trials for CRSwNP

The current phase 3 studies, published, submitted for publication, or running momentarily, are targeting the IL-5 pathway and eosinophils, IgE and mast cell activation, or the IL-4/IL-13 pathway with a broader activity. Here, we summarize the development in the understanding of the pathways, the effects of antagonism, and the available clinical trials over time (Figs 1 and 2 and Tables I and II).<sup>47-54</sup>

### IL-5 AND THE TRANSMEMBRANE IL-5 RECEPTOR

European patients with CRSwNP were initially defined by high IL-5 tissue concentrations and eosinophilic inflammation, whereas patients with CRSsNP showed low IL-5 levels, but increased IL-17 concentrations in many patients.<sup>55</sup> Increased IL-5 expression was confirmed also in US and Asian patients with CRSwNP,<sup>56,57</sup> although to a lower extent and in competition with the IL-17 pathway in Asia.<sup>58</sup> CRSwNP is characterized by

infiltration of cells producing IL-5, including not only CD4<sup>+</sup> T cells, mast cells, and eosinophils<sup>59</sup> but also innate cells such as CD117<sup>+</sup> IL-1RI<sup>+</sup> ILC2s.<sup>12</sup> In CRSwNP, IL-5 concentrations are associated with comorbid asthma and disease recurrence compared with patients without these comorbidities. IL-5 acts via the high-affinity IL-5R, which is composed of an IL-5-specific  $\alpha$  chain and a  $\beta$  chain shared with the receptor for GM-CSF and IL-3. The IL-5R  $\alpha$  chain is expressed by eosinophils and basophils while soluble IL-5 receptor alpha (IL-5R $\alpha$ ) is generated as a result of alternative splicing<sup>60</sup>; its binding does not lead to signal transduction and has an antagonistic effect on IL-5 signaling.<sup>61</sup> The expression of soluble IL-5R $\alpha$  is increased in CRSwNP and correlates to disease severity and eosinophil counts, whereas the expression of transmembrane IL-5R $\alpha$  is downregulated and inversely correlated to eosinophils and soluble IL-5R $\alpha$  expression.<sup>62</sup> As discussed before, tissue eosinophils show a prolonged survival compared with peripheral blood eosinophils and obviously can survive on low IL-5 concentrations<sup>38</sup>; the role of soluble IL-5R is not entirely clear, and they may serve as a reservoir for IL-5.

### ANTAGONIZING EOSINOPHIL ACTIVATION IN CRSwNP

The basis of the development of anti-IL-5 receptor mAb strategies was the acknowledgment of the crucial importance of this cytokine in promoting eosinophil development, activation, and survival,<sup>63</sup> which also was demonstrated in nasal polyps; IL-5, but not IL-3 or GM-CSF, was crucial for the prevention of eosinophil apoptosis.<sup>38</sup> Interestingly, there are some contradictory studies and doubts on the role of eosinophils, which may need further explanation.<sup>64,65</sup> However, mepolizumab, reslizumab, and benralizumab reduce blood and tissue eosinophil counts, reduce corticosteroid dependence and



**TABLE I.** Biologics in CRSwNP: Overview on available studies

Reslizumab		Inhibits IL-5		
Study	Primary outcome parameters, duration	Dosing	Inclusion criteria	AE
Gevaert et al, <sup>47</sup> 2006 Phase 2	Reduction in NPS 36 wk	Single intravenous infusion of 3 mg/kg or 1 mg/kg or placebo	18 y or older. Massive bilateral nasal polyps (grade 3 or 4) or recurrent nasal polyps after surgery	Most common AE was upper respiratory tract infection
Mepolizumab		Inhibits IL-5		
Gevaert et al, <sup>48</sup> 2011 Phase 2	Reduction in NPS 8 wk	Two single intravenous infusions (28 d apart) of 750 mg of mepolizumab or placebo	Subjects must have had failure of standard care for CRSwNP. Diagnosis of CRSwNP was based on the European position paper on rhinosinusitis	Most common AE was common cold
Bachert et al, <sup>49</sup> 2017 Phase 2	Number of patients who no longer met the criteria for surgery 4 wk after end of treatment (based on NPS and VAS) 25 wk	Intravenous infusion of 750 mg of mepolizumab or matched placebo every 4 wk for 6 doses	18-65 y. Bilateral nasal polyposis. CRS symptoms despite use of INCS for at least 2 mo. Minimum bilateral nasal polyp score of 5 of a maximum of 8 for both nostrils. At least 2 of the following symptoms before screening: nasal obstruction or nasal discharge and/or facial pain or pressure and a reduction/loss of smell	Most frequent AEs were headache and nasopharyngitis. AEs with >5% incidence were oropharyngeal pain, back pain, influenza, and pyrexia
Omalizumab		Binds free IgE		
Pinto et al, <sup>50</sup> 2010 Phase 2	Quantitative measurement of sinus inflammation on imaging 6 mo	Subcutaneous injection of omalizumab or matched placebo every 2 or 4 wk based on total serum IgE levels and body weight	18-75 y. CRS symptoms for more than 12 wk. Evidence of inflammation on nasal endoscopy and sinus CT scan. Serum total IgE between 30 and 700 IU/mL. This study was not restricted to nasal polyps, but also included CRS without polyps	No side effects or AEs reported
Gevaert et al, <sup>51</sup> 2013 Phase 2	Reduction in NPS 16 wk	Subcutaneous injection of omalizumab or matched placebo every 2 or 4 wk based on total serum IgE levels and body weight	18 y or older. CRSwNP and comorbid asthma for more than 2 y. Serum total IgE between 30 and 700 IU/mL	Most common AEs were common cold, frontal headache, and otitis media
POLYP 1 <sup>52</sup> POLYP 2 <sup>52</sup> Phase 3	Copriary end points: reduction of NPS and NCS (nasal congestion score) 24 wk	Subcutaneous injection of omalizumab or matched placebo every 2 or 4 wk based on total serum IgE levels and body weight	18-75 y. Weight: 30-150 kg. 30-1500 IU/mL serum IgE. Persistent bilateral nasal polyps. Impaired health-related QOL. Nasal congestion score (NCS) $\geq 2$ . Total NPS $\geq 5$ . SNOT-22 score $\geq 20$	Most common AEs were headache, injection-site reactions, arthralgia, dizziness, and upper abdominal pain. No anaphylaxis, Churg-Strauss syndrome, and/or hypereosinophilic syndrome was observed
Dupilumab		Blocks IL-4R $\alpha$ receptor		
Bachert et al, <sup>53</sup> 2016 Phase 2	Reduction in NPS 16 wk	Subcutaneous injection of 600-mg loading dose of dupilumab or matched placebo followed by 15 weekly doses of 300 mg of dupilumab or matched placebo	18-65 y. Bilateral nasal polyposis. CRS symptoms despite use of INCS for at least 2 mo. Minimum bilateral NPS of 5 of a maximum of 8 for both nostrils. At least 2 of the following symptoms before screening: nasal obstruction or nasal discharge and/or facial pain or pressure and a reduction/loss of smell	AEs reported by 25 of 30 patients in the placebo and 30 of 30 in the dupilumab group. Most frequent AEs were mild-to-moderate nasopharyngitis (33% vs 47%), injection-site reactions (7% vs 40%), and headache (17% vs 20%). No serious AEs were considered to be related to dupilumab

(Continued)

TABLE I. (Continued)

Dupilumab		Blocks IL-4R $\alpha$ receptor		
Bachert et al, <sup>54</sup> 2019 Phase 3	Coprimary end points: reduction of NPS and NCS (nasal congestion score) 24 wk + 24-wk follow-up	Subcutaneous injection of 300 mg of dupilumab every 2 wk or placebo for 24 wk	18 y or older. Bilateral nasal polyposis. CRS symptoms despite use of INCS before randomization. Had received systemic corticosteroids in the preceding 2 y. Previous sinonasal surgery. Minimum bilateral NPS of 5 of a maximum of 8 for both nostrils. At least 2 of the following symptoms before screening: nasal congestion or obstruction and either loss of smell or nasal discharge	Pooled safety population: the incidence of AEs was lower in the dupilumab group than in the placebo group. Most commonly reported AEs were nasopharyngitis, nasal polyps (worsening nasal polyps, need for surgery or systemic corticosteroids), headache, asthma (worsening of asthma), epistaxis, and injection-site erythema; these events were more frequent with placebo
Bachert et al, <sup>54</sup> 2019 Phase 3	Coprimary end points: reduction of NPS and NCS (nasal congestion score) 52 wk + 12-wk follow-up	Subcutaneous injection of 300 mg every 2 wk for 52 wk or 300 mg every 2 wk for the first 24 wk followed by injections every 4 wk until reaching 52 wk, or received placebo	18 y or older. Bilateral nasal polyposis. CRS symptoms despite use of INCS before randomization. Had received systemic corticosteroids in the preceding 2 y. Previous sinonasal surgery. Minimum bilateral NPS of 5 of a maximum of 8 for both nostrils. At least 2 of the following symptoms before screening: nasal congestion or obstruction and either loss of smell or nasal discharge	Cough, bronchitis, arthralgia, accidental overdose, and injection- site reactions were slightly more frequent in the dupilumab group. Treatment-emergent adverse events of worsening of nasal polyps and asthma and arthralgia occurred more frequently in patients who switched from dupilumab every 2 wk to every 4 wk
<b>Ongoing trials</b>				
Mepolizumab				
NCT03478930 <sup>10</sup>		Estimated study completion date: March 18, 2020		
Phase 3		<a href="https://clinicaltrials.gov/ct2/show/NCT03478930">https://clinicaltrials.gov/ct2/show/NCT03478930</a>		
SYNAPSE <sup>11</sup>		Study completion date: December 11, 2019. Results not yet published		
Phase 3		<a href="https://clinicaltrials.gov/ct2/show/NCT03085797">https://clinicaltrials.gov/ct2/show/NCT03085797</a>		
Benralizumab				
OSTRO <sup>12</sup>		Estimated study completion date: August 7, 2020		
Phase 3		<a href="https://clinicaltrials.gov/ct2/show/NCT03401229">https://clinicaltrials.gov/ct2/show/NCT03401229</a>		
ORCHID <sup>13</sup>		Estimated study completion date: July 12, 2022		
Phase 3		<a href="https://clinicaltrials.gov/ct2/show/NCT04157335">https://clinicaltrials.gov/ct2/show/NCT04157335</a>		

AE, Adverse event; INCS, intranasal corticosteroid.

asthma exacerbations, and are approved for the treatment of severe eosinophilic asthma.<sup>66-68</sup> Their effectiveness also has been demonstrated for CRSwNP, with mepolizumab and benralizumab currently in phase 3 trials. With the IL-5 antagonism, also secondary phenomena such as eosinophil extracellular trap release from activated eosinophils and Charcot-Leyden-crystal formation with the consecutive recruitment of neutrophils<sup>41</sup> should be avoided; however, it is unclear how long Charcot-Leyden-crystals can persist in the tissue and maintain a neutrophilic inflammation.

In a double-blind placebo-controlled, randomized (DBPCR), 2-center safety and pharmacokinetic study, 24 subjects with bilateral CRSwNP were randomized to receive a single intravenous infusion of reslizumab, a humanized antihuman IL-5 mAb, at 3 mg/kg or 1 mg/kg or placebo.<sup>47</sup> A single injection of reslizumab reduced the nasal polyp score (NPS) for 4 weeks in about half the patients, but had no significant effect on symptoms, and nasal IL-5 levels (>40 pg/mL) seemed to predict the response to treatment. Blood eosinophil numbers and concentrations of ECP were

reduced up to 8 weeks after treatment in serum and nasal secretions. In patients with asthma with elevated blood eosinophils ( $\geq 400$  cells/ $\mu$ L), the presence of CRSwNP based on the patient-reported medical history seemed to signal the effective reduction of clinical asthma exacerbations and improvements in lung function in patient-reported asthma control and asthma QOL.<sup>69</sup> There is currently no further development for reslizumab in CRSwNP.

The proof-of-concept (PoC) study in severe CRSwNP was performed with mepolizumab, a humanized anti-IL-5 mAb, provided in 2 intravenous injections of 750 mg with a month interval.<sup>48</sup> Thirty patients with a mean NPS of 5 or more, or recurrence after surgery, refractory to corticosteroid therapy, were randomized in a DBPCR study versus placebo: 50% has comorbid asthma, 25% had AERD, and 75% had former sinus surgery at baseline. Change from baseline in NPS was assessed at 8 weeks, 4 weeks after the last dose, indicating a response in 60% of the verum- versus 10% of the placebo-treated patients (by 1 score point or more); the computed tomography (CT)

**TABLE II.** Biologics in selected CRSwNP studies—efficacy parameters

Reslizumab							
Gevaert et al <sup>47</sup>	Baseline		4 wk	12 wk			
	NPS	1 mg/kg	6 (6)	Decreased in 4 of 8 (2 of 8) patients			
		3 mg/kg	5 (6)	Decreased in 5 of 8 (1 of 8) patients			
			Decreased in 3 of 8 (2 of 8) patients				
			Decreased in 1 of 8 (3 of 8) patients				
Comment: Levels in nasal secretions were significantly higher in the responders than in the nonresponders.							
Logistic regression analysis revealed that increased nasal IL-5 levels (>40 pg/mL) predict the response to anti-IL-5 treatment.							
Mepolizumab							
Gevaert et al <sup>48</sup>	Baseline	8 wk	Bachert et al <sup>49</sup>		Baseline	25 wk	
	NPS	5.2 (5.5)	−1.30	% of patients requiring surgery		100%	30% (10%)
	Improvement % patients		50%	% of patients improved by >1 point in NPS			50% (27%)
	CT scan improvement		>50% (<20%)	SNOT-22 questionnaire		51.5 (49.5)	−13.2
	Blood eosinophil counts (10 <sup>3</sup> /mL)		−332	Blood eosinophil counts (cells/μL)		500 (470)	−330
				PnIF (L/min)		101	+26.7
				Nasal polyposis severity VAS scores		Rhinorrhea	6.2 −2.4
						Mucus in throat	6.0 −2.1
						Nasal blockage	7.9 −1.8
						Loss of smell	9.0 −1.9
Note: Baseline blood eosinophil counts did not affect the responder rate and could not be used to identify responders.							
Omalizumab							
Pinto et al <sup>50</sup>	Baseline	6 mo	Gevaert et al <sup>51</sup>		Baseline	16 wk	
	% of OMU CT opacification	76.1%	60.0% (difference between groups was not statistically significant)		NPS	6 (6) −2.79	
	Note: No other markers showed statistically significant differences between groups.			Lund-Mackay CT score		17.5 (16.5) −3.5	
				Symptom scores*		NA NA	
				SF-36 physical health score		48 (50) NA	
				RSOM-31:		NA NA	
				AQLQ		5.75 (4.73) −0.54	
				Note: Lund-Mackay CT scan score improved significantly in allergic subjects. The AQLQ score improved significantly in the nonallergic subjects.			
POLYP 1 <sup>52</sup>				POLYP 2 <sup>52</sup>			
NPS	Baseline	16 wk	24 wk	NPS	Baseline	16 wk	24 wk
Nasal congestion score	6.2 (6.3)	−0.95	−1.02	Nasal congestion score	6.4 (6.1)	−0.91	−0.59
TNSS	2.4 (2.5)	−0.57	−0.54	TNSS	2.3 (2.3)	−0.59	−0.50
Loss-of-smell score	8.6 (9.3)	NA	−0.85	Loss-of-smell score	8.7 (8.4)	NA	−2.09
Postnasal drip	2.5 (2.8)	NA	−0.33	Postnasal drip	2.6 (2.8)	NA	−0.45
Runny nose	1.7 (2)	NA	−0.56	Runny nose	1.6 (1.8)	NA	−0.55
SNOT-22	1.9 (2.1)	NA	−0.43	SNOT-22	1.9 (1.9)	NA	−0.62
UPSIT	59.8 (60.5)	NA	−16.12*	UPSIT	59.2 (59.8)	NA	−15.04*
	12.8 (13.9)	NA	+3.81		12.8 (13.1)	NA	+3.87
Dupilumab							
Bachert et al <sup>53</sup>	Baseline			16 wk			
	NPS	5.9 (5.7)			−1.6		
	Improvement by >1 point in NPS				50%		
	% of maxillary sinus volume occupied by disease (CT)	71% (76.3%)			−32.2%		
	Lund-Mackay CT score	18.6 (18.7)			−8.9		
	Sinusitis symptom severity VAS	6.4 (6.4)			−2.1		
Nasal congestion or obstruction in the morning	1.7 (1.7)			−0.7			

(Continued)

TABLE II. (Continued)

Dupilumab				
Anterior rhinorrhea	AM	1 (1.1)	−0.6	
	PM	1 (1.2)	−0.5	
Posterior rhinorrhea	AM	1.1 (1.4)	−0.46	
	PM	1 (1.4)	−0.4	
Loss of smell	AM	2.4 (2.8)	−1.3	
	PM	2.4 (2.8)	−1.2	
SNOT-22		41.4 (40.6)	−18.1*	
Nocturnal awakenings		0.9 (1.0)	−0.4	
PnIF (L/min)	AM	98.4 (109.2)	+33.1	
	PM	105.2 (121.3)	+33.4	
UPSIT		12.8 (15.6)	+13.4	
Total serum IgE (IU/mL)		139.7 (195.3)	−56.3%	
Plasma eotaxin-3 (pg/mL)		64 (61.6)	−45.5%	
TARC		NA	NA	

Liberty NP 24	Baseline	24 wk	Liberty NP 52	Baseline q2w	24 wk q2w	52 wk q2w
Bachert et al <sup>54</sup>			Bachert et al <sup>54</sup>			
NPS	5.64 (5.86)	−2.06	NPS	6.07 (5.96)	−1.81	−2.39
Nasal congestion/ obstruction score	2.26 (2.45)	−0.89	Nasal congestion/ obstruction score	2.48 (2.38)	−0.87	−0.98
TNSS	6.10	−2.60	TNSS	6.08	−2.45	NA
Lund-Mackay CT score	18.55 (19.55)	−7.44	Lund-Mackay CT score	18.42 (17.65)	−5.12	NA
SNOT-22	48 (50.87)	−21.12*	SNOT-22	50.16 (53.48)	−17.37	−20.96*
UPSIT	14.68 (14.44)	+10.56	UPSIT	13.46 (13.78)	+10.52	NA
Loss-of-smell score	2.70 (2.73)	−1.12	Loss-of-smell score	2.81 (2.72)	−0.98	NA
PnIF (L/min)	98.59 (83.52)	+40.41	PnIF (L/min)	80.96 (87.47)	36.64	NA
Rhinorrhea daily symptom score	NA	−0.62	Rhinorrhea daily symptom score	NA	0.59	NA
Rhinosinusitis (VAS)	7.42 (7.96)	−3.20	Rhinosinusitis (VAS)	8.24 (7.98)	−2.93	NA
Note: Symptoms worsened after discontinuation of dupilumab at week 24.			Serum	Total IgE (IU/mL)	211.79 (228.59)	−153.15
				Periostin (ng/mL)	109.70 (113)	−35.77
				TARC (pg/mL)	372.27 (370.20)	−130.58
				Plasma Eotaxin-3 (pg/mL)	70.61 (90.84)	−35.59
			Nasal ECP (ng/mL)	60.3 (54.7)	−16.9	−40.81
				Total IgE (IU/mL)	49.43 (19.91)	−39.53
				IL-5 (pg/mL)	24.77 (20.39)	−24.77
				Eotaxin-3 (pg/mL)	69.94 (57.00)	−94.41
			Note: Symptom score improved up to week 52. Systemic corticosteroid use was 74% and effective surgery 83% lower in the dupilumab group than in the placebo group. FEV <sub>1</sub> (+0.21) and ACQ score (−0.82) significantly improved in patients with asthma.			
			NPS and Lund-Mackay CT scan improvements from week 24 to week 52 in SINUS-52 were numerically greater in patients who continued the dupilumab every 2-wk regimen than in those who switched to a dose every 4 wk.			

ACQ, Asthma Control Questionnaire; AQLQ, Asthma Quality of Life Questionnaire; NA, not available/not applicable; PnIF, peak nasal inspiratory flow; RSOM-31, 31-item Rhinosinusitis Outcome Measuring Instrument; SF-36, 36-item short form survey; TARC, thymus and activation regulated chemokine; TNSS, Total Nasal Symptom Score (nasal congestion, loss of smell, anterior and posterior rhinorrhea); UPSIT, University of Pennsylvania Smell Identification Test.

\*Reached minimal clinically important difference (≥8.9 points).

scan confirmed this response. Blood eosinophils and serum, but not nasal ECP concentrations, dropped as expected. These results indicated potential for mepolizumab when applied for a longer period, without safety concerns.

A second study with mepolizumab versus placebo included 107 patients receiving 750 mg intravenously every 4 weeks for a total

of 6 doses in addition to daily topical GCS treatment.<sup>49</sup> Patients were required to be eligible for surgery, being refractory to standard-of-care steroid therapy, and have undergone at least 1 previous undefined nasal polyp removal surgery. The mean baseline NPS was higher than 6, and 80% of subjects also had asthma. Different from other studies, the primary end point was





FIG 3. CT scans over 1 year in a patient with CRSwNP under dupilumab.

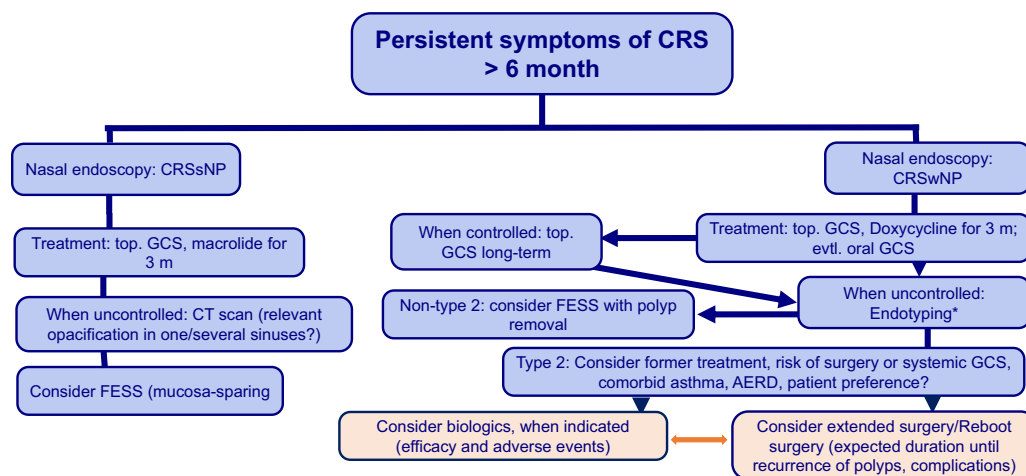


FIG 4. Care pathways for CRS and severe type 2 CRSwNP. FESS, Functional endoscopic sinus surgery. Modified from Bachert and Zhang.<sup>107</sup> \*Type 2 markers: comorbid late-onset asthma, AERD, eosinophils in former biopsy, increased blood eosinophils, elevated total IgE, polysensitization.

the number of patients no longer requiring surgery at week 25 based on a composite score of NPS and nasal polyp severity visual analogue scale (VAS). A significantly greater proportion of patients in the mepolizumab group compared with the placebo group no longer required surgery at end of trial: 30% versus 10% ( $P < .006$ ). This was complemented by a significant improvement in nasal polyp severity VAS, NPS (50% vs 27% improved by at least 1 score point), all individual VAS symptom scores, and 22-item Sino-Nasal Outcome Test (SNOT-22) score

(changes baseline to end of treatment: mean, 23 vs 11) in the mepolizumab group compared with the placebo group; safety profiles were comparable. There was no association between baseline eosinophil counts and achieving a 1-point or greater improvement in endoscopic NPS at week 25. A phase 3 trial “Effect of mepolizumab in severe bilateral nasal polyps: SYNAPSE” using 100 mg subcutaneously, changing dosage and application, was just finished, and results are to be expected soon. The diagnosis of eosinophilic CRS in subjects with severe

asthma treated with mepolizumab significantly improved systemic corticosteroid-sparing effects, change from baseline fractional exhaled nitric oxide, and symptoms.<sup>70</sup>

For benralizumab, an IL-5 receptor antagonist, no PoC or phase 2 studies have been published in CRSwNP. Efficacy and safety of benralizumab in severe eosinophilic asthma have been demonstrated in 2 DBPCR phase 3 studies, the SIROCCO<sup>71</sup> and CALIMA<sup>72</sup> studies; in these studies, 15% to 20% of the patients also reported nasal polyps, which were again shown to predict enhanced efficacy irrespective of baseline blood eosinophil counts.<sup>73</sup> There are currently 2 phase 3 studies ongoing in severe CRSwNP, the OSTRO and the ORCHID studies (see Table I). Benralizumab 30 mg subcutaneous will be injected every 4 weeks for the first 3 doses (weeks 0, 4, and 8) and every 8 weeks thereafter, with a total of 8 doses, versus placebo for 56 weeks and a 24-week follow-up for the first 200 subjects. Results are to be expected end of 2020 to 2021.

### IgE: Role in nasal polyps

The role of IgE in CRSwNP, a late-onset disease, is not completely clear, because IgE is not or weakly related to (early-onset) atopy in patients with nasal polyps; CRSwNP appears to occur with similar frequency in atopic and nonatopic individuals. IgE is often strongly elevated in the sinus mucosa and the nasal polyps compared with controls, CRSsNP tissue, but also to allergic rhinitis mucosa.<sup>14</sup> IgE concentrations in CRSwNP tissue are strongly correlated with eosinophil markers,<sup>5</sup> and differ from allergic rhinitis in terms of their strong polyclonality.<sup>74,75</sup> However, polyclonal IgE in nasal polyp tissue is functional, as demonstrated by mast cell mediator release upon tissue exposure,<sup>33</sup> and is partially antagonized by IgG/IgG<sub>4</sub> antibodies also present within the tissue directed to the same antigens,<sup>74</sup> much alike the situation in specific immunotherapy for allergic rhinitis. In about 20% to 25% of patients with CRSwNP, specific IgE to *S aureus* enterotoxins is present within the nasal tissue and correlated to total IgE and eosinophil activation; furthermore, specific IgE to *S aureus* enterotoxins and high IgE tissue concentrations are associated with concomitant asthma and disease recurrence after surgical or systemic GCS treatment.<sup>5,76</sup> Recently, it has been shown that serum specific IgE to *S aureus* enterotoxins is associated with and predicts asthma severity and exacerbations.<sup>77-79</sup> This points to the fact that besides inhalant allergens, microbial allergens can trigger mast cell-mediated inflammation<sup>76,80</sup>; CRSwNP has a high colonization rate of up to 90% with *S aureus*.<sup>81</sup> High-affinity IgE receptors are expressed on mast cells, and typical mediators such as prostaglandins and leucotrienes released upon activation, but also low-affinity receptors expressed on dendritic cells and B cells, may help to mediate antigen presentation and finally more IgE antibody production.<sup>82</sup> Local class-switching to IgE<sup>83</sup> is supported by the expression of the immunoglobulin diversification enzyme activation-induced deaminase, local receptor revision, and B-cell differentiation into IgE-secreting plasma cells.<sup>31</sup>

### OMALIZUMAB: ANTI-IgE TREATMENT

Omalizumab binds free IgE<sup>84</sup> and thus blocks the interaction of IgE with the high-affinity receptor FcεRI on mast cells and

basophils.<sup>85</sup> The antibody also reduces FcεRI expression on basophils, mast cells, and dendritic cells<sup>86</sup> and therefore reduces allergen presentation, T<sub>H</sub>2-cell activation, and T<sub>H</sub>2-cell proliferation.<sup>87</sup> Targeting IgE-bearing B cells may further reduce the responsiveness to antigenic/allergen stimulation.<sup>82</sup> Omalizumab is also thought to suppress the production of prostaglandin D<sub>2</sub> and cysteinyl leucotrienes.<sup>88</sup> Finally, by interfering with the IgE-mediated mast cell degranulation, the release of mast cell-derived cytokines and their action on airway epithelium, B and T cells, and eosinophils is prevented. It has beneficial effects in patients with nasal polyposis and concomitant asthma, irrespective of their atopic status. The drug has been used for the treatment of patients with severe asthma longer than a decade, and has been well tolerated.<sup>77</sup>

With omalizumab indicated for severe asthma, and frequent nasal polyp comorbidity in this patient group, some case reports have been published early; uncontrolled studies in small cohorts have also been reported.<sup>89</sup> After a negative study,<sup>50</sup> possibly related to a suboptimal selection of patients, a first proof-of-concept randomized, double-blind, placebo-controlled study of allergic and nonallergic patients with nasal polyps was conducted.<sup>51</sup> All subjects suffered from comorbid asthma, although not severe. About 50% of the subjects suffered from AERD, and about 80% had former surgery, confirming the severity of disease. Interestingly, blood eosinophil counts were clearly elevated, with counts above 300/mL, whereas total serum IgE only ranged from 50 to 150 kU/L. Twenty-four subjects received 4 to 8 subcutaneous doses of omalizumab (n = 16) or placebo (n = 8), and the primary end point was the reduction in total nasal endoscopic polyp scores after 16 weeks. In this selective patient group, all suffering from asthma, the primary end point was not only significant but also impressively decreasing in the verum group by −2.67 score points (*P* < .001), whereas the placebo group showed no change. This was paralleled by a reduction of upper and lower airway symptoms, including the sense of smell, of sinus opacification in the CT scan evaluations, and an improvement in Asthma Quality of Life Questionnaire scores,<sup>38</sup> again without difference between atopic and nonatopic subjects. The drug was well tolerated. This PoC investigator-initiated study prompted phase 3 confirmation.

Two similar phase 3 trials, POLYP 1 and POLYP 2, have been recently performed to determine the safety and efficacy of omalizumab in patients with nasal polyps with an NPS of 5 or more.<sup>52</sup> Adult patients (n = 138 and 127) with inadequately controlled CRSwNP despite intranasal GCSs were randomized (1:1) to omalizumab or placebo for 24 weeks. At baseline, patients had an NPS above 6 and a SNOT-22 greater than or equal to 60, consistent with a substantial CRSwNP-related impairment in health-related QOL. More than 80% of patients were anosmic, more than 50% suffered mostly from nonsevere asthma, 27% reported AERD, 60% reported previous surgery, and 60% had at least 1 specific IgE sensitivity.

In both studies, the primary end point (NPS) was significant, with a reduction of about 0.9 to 1.1 points in the verum-treated subjects at week 24. In the second of the 2 studies, the placebo group also showed some, although not significant, change from baseline. NPS improvements of 1 or more and 2 or more points were observed in 56% and 31% of the patients, respectively. In parallel, nasal symptoms including loss of smell, postnasal drip,

and runny nose were significantly reduced, with nasal congestion as a coprimary end point; CRS-related QOL (SNOT-22) was significantly increased in both studies ( $-24.7$  vs  $-8.6$  [ $P < .0001$ ] and  $-21.6$  vs  $-6.6$  [ $P < .0001$ ]). Change from baseline (score,  $\sim 0.13$ ) at week 8 for the smell test, University of Pennsylvania Smell Identification Test, was about 3.8 for omalizumab over placebo. Asthma-related QOL was also significantly improved in patients with concomitant asthma based on the percentage of patients achieving greater than or equal to 0.5 improvement in the Asthma Quality of Life Questionnaire score. There was a numeric reduction in steroid usage over the 24 weeks, but conclusions were not possible due to the small number of events in this limited time period. Omalizumab was well tolerated, with no unexpected safety concerns identified in the pooled data; asthma exacerbations were observed in 12% versus 4% of the placebo and verum patients, respectively, in line with the well-described effects of the drug in severe asthma. A just-finished open-label extension study of the above-mentioned POLYP studies will likely add to the knowledge in terms of long-term efficacy and tolerability in subjects with CRSwNP. Differences in populations across the trials could account for the less than expected reduction in the NPS in the phase 3 versus the PoC trials; however, the reduction of approximately 1 in the NPS resulted in a relevant improvement in the SNOT-22 score, questioning the relevance of such comparisons and the selection of adequate end points. Efficacy of omalizumab has also been demonstrated in real-life settings.<sup>90</sup>

## TYPE 2 CRSwNP: ORCHESTRATED BY IL-4 AND IL-13

Accumulating evidence indicates that classical type 2 cytokines (IL-4, IL-5, IL-13) play critical roles in the induction of airway hyperreactivity, allergic inflammation, tissue remodeling, and mucus production in the airways.<sup>91,92</sup> CRSwNP is characterized by infiltration with IL-4- and IL-13-expressing cells, including  $T_H2$  CD4<sup>+</sup> cells, ILC2 cells, basophils, and mast cells.  $T_H2$  cells and ILC2s are the major producers of IL-13,<sup>93,94</sup> whereas IL-4 is produced mostly by basophils and  $T_H2$  cells in asthmatic lungs.<sup>95</sup> IL-4 and IL-13 share many functional properties as a consequence of their use of a common receptor complex consisting of IL-13 receptor alpha 1 and IL-4 receptor alpha.<sup>96</sup> The ligation of receptors initiates the activation of the signal transducer and activator of transcription 6 pathway.<sup>97</sup> IL-13 receptor alpha 2 is a decoy receptor and was shown to be a powerful negative regulator of IL-4/IL-13 signaling in human fibroblasts.<sup>98</sup> Although they share the same signaling pathway, IL-4 and IL-13 have distinct roles in the pathogenesis of allergic immune responses. IL-4 has a prominent role in the regulation of  $T_H2$  cells' survival and proliferation and IgE class-switch recombination, but has no major effect on airway hyperreactivity and mucus production in asthmatic airways.<sup>99,100</sup> IL-13 is functional in inducing airway hyperreactivity and mucus hyperproduction, as well as smooth muscle proliferation and fibrosis.<sup>94,101</sup> Both IL-4 and IL-13 and their respective receptor, IL-4Ra, are significantly elevated in CRSwNP; because IL-4Ra is expressed in submucosal glands, IL-4 and IL-13 may contribute to increased mucin production (mucin core protein 5A/5B) and tissue remodeling in CRSwNP.<sup>26</sup> IL-4 and IL-13 induce an alternative activation state of macrophages, a prominent feature of CRSwNP.<sup>36</sup>

## THE anti-IL-4R ALPHA APPROACH: DUPILUMAB IN CRSwNP

With positive trials in severe asthma and atopic dermatitis, a first DBPCR study with dupilumab in CRSwNP refractory to intranasal corticosteroids was conducted in 2013/2014,<sup>53</sup> including a 16-week treatment period and a 16-week follow-up period in 60 adult patients ([clinicaltrials.gov: NCT01920893](https://clinicaltrials.gov/ct2/show/study/NCT01920893)). A subcutaneous dupilumab loading dose (600 mg) followed by 300 mg weekly doses was compared with placebo; all patients received mometasone furoate nasal spray for 16 weeks. About 60% of subjects had comorbid asthma, 70% were sensitized to at least 1 inhalant allergen, and 60% reported former surgery; the mean NPS was just below 6, and the mean SNOT-22 was about 41. Mean serum IgE level was about 140 IU/mL, and the mean blood eosinophil count was 410/mL. The reduction in NPS after 16 weeks was  $-1.9$  points (95% CI,  $-2.5$  to  $-1.2$ ) versus  $-0.3$  (95% CI,  $-1.0$  to  $0.4$ ) for dupilumab versus placebo, paralleled by a difference in the CT sinus scan Lund-Mackey score of  $-8.8$  (95% CI,  $-11.1$  to  $-6.6$ ;  $P < .001$ ), in the SNOT-22 of  $-18.1$  (95% CI,  $-25.6$  to  $-10.6$ ;  $P < .001$ ), and in the sense of smell assessed by University of Pennsylvania Smell Identification Test of 14.8 (95% CI, 10.9 to 18.7;  $P < .001$ ). Dupilumab resulted in significantly greater improvements in health-related QOL compared with placebo, based on SNOT-22, 36-item short form survey, EQ-5D, and VAS scores, and significantly lower adjusted annualized mean number of sick leave days as well as a significantly greater improvement in reduced productivity.<sup>102</sup> In patients with CRSwNP with comorbid asthma, a significant difference versus placebo was also observed for each of the individual ACQ-5 item scores.<sup>103</sup> The changes in CT scan sinus opacification were seen in all sinuses.<sup>104</sup> In line with the biologic effects, dupilumab significantly reduced total serum IgE and the serum eosinophil chemokine concentrations for thymus and activation-regulated chemokine and eotaxin-3, but had a temporary effect (increase due to reduced tissue migration) only on blood eosinophils. Type 2 biomarker concentrations also decreased in nasal secretions or nasal tissue for eotaxin-3 and total IgE, and for ECP, eotaxin-2, eotaxin-3, and pulmonary and activation-regulated chemokine, IgE, and IL-13, respectively.<sup>105</sup>

The first phase 3 trials in CRSwNP ever were reported just recently<sup>54</sup>; LIBERTY NP SINUS-24 and LIBERTY NP SINUS-52 were 2 multinational, multicenter, DBPCR studies assessing dupilumab versus placebo added to standard-of-care topical GCSs in adults with severe CRSwNP. Eligible patients had bilateral nasal polyps and symptoms despite intranasal GCSs, who received systemic GCSs in the preceding 2 years, or had sinonasal surgery ever. Patients were randomly assigned to subcutaneous dupilumab 300 mg or placebo every 2 weeks for 24 weeks (SINUS-24), or to dupilumab 300 mg every 2 weeks for 52 weeks, dupilumab every 2 weeks for 24 weeks and then every 4 weeks for the remaining 28 weeks, or placebo every 2 weeks for 52 weeks (SINUS-52; [ClinicalTrials.gov NCT02912468](https://clinicaltrials.gov/ct2/show/study/NCT02912468) and [NCT02898454](https://clinicaltrials.gov/ct2/show/study/NCT02898454)). With an NPS of approximately 6 and a SNOT-22 baseline of approximately 50, 63% reported former surgery, 74% systemic GCSs in the last 2 years, 59% asthma, and 28% AERD. Dupilumab significantly improved the coprimary end points—NPS and nasal congestion score—in both studies (difference in NPS,  $-2.06$ ;



95% CI,  $-2.43$  to  $-1.69$ ;  $P < .0001$  in SINUS-24 and  $-1.80$ ; 95% CI,  $-2.10$  to  $-1.51$ ;  $P < .0001$  in SINUS-52 at week 24). In the SINUS-52 trial, a final reduction in the NPS over placebo of  $-2.40$  (95% CI,  $-2.77$  to  $-2.02$ ;  $P < .0001$ ) was achieved. Improvements in NPS and nasal congestion started within 4 to 8 weeks and continued up to the end of treatment in both studies, but relapsed with end of treatment. Differences in CT scan Lund-Mackay scores were  $-7.44$  (95% CI,  $-8.35$  to  $-6.53$ ;  $P < .0001$ ) in SINUS-24 and  $-5.13$  (95% CI,  $-5.80$  to  $-4.46$ ;  $P < .0001$ ) in SINUS-52 (the example of a patient is given in Fig 3). The smell test University of Pennsylvania Smell Identification Test score improved rapidly within the first 4 weeks in most of the verum-treated subjects, and by more than 10 points after 24 weeks; the SNOT-22 improved by  $-20.96$  (95% CI,  $-25.03$  to  $-16.89$ ;  $P < .0001$ ) over placebo after 52 weeks. Furthermore, there were remarkable reductions in actually applied systemic GCSs of 74% and in surgery of 83% in the dupilumab group compared with the placebo group. Of importance, the efficacy of dupilumab was shown both in the overall population and in subgroups with higher disease burden such as patients with comorbid asthma, nonsteroidal anti-inflammatory drug-exacerbated respiratory disease, or previous sinonasal surgery. The most common adverse events (nasopharyngitis, worsening of nasal polyps and asthma, headache, epistaxis, and injection-site erythema) were more frequent with placebo. Conjunctivitis was not induced in that patient group.<sup>106</sup>

## DISCUSSION

Over the last 2 decades, the role of pathomechanisms active in CRSwNP has been developed and nowadays allows identifying new targets for intervention (Fig 1), possibly answering the unmet needs in CRSwNP. About a third of the patients with nasal polyps, suffering from uncontrolled disease and its comorbidities over decades, may at a certain time point be uncontrolled with the current therapeutic options. Although the growth of nasal polyps is incompletely understood, the inflammatory pathomechanisms have been at least partially elaborated, leading to polyp growth, but also to comorbidity; these pathomechanisms are primarily related to type 2. Biologics, developed for severe asthma or atopic dermatitis before, therefore have been applied to patients with CRSwNP in PoC studies, and phase 3 trials for dupilumab and omalizumab have recently been concluded successfully.<sup>54</sup> More biologics including mepolizumab and benralizumab are in phase 3 studies, and results will be published soon. With these achievements, patients with severe CRSwNP uncontrolled by standard-of-care measures today will have a new perspective for control. Impressive reductions in CRSwNP disease burden have been documented in terms of reduction of polyp mass, symptoms, sinus involvement, asthma symptoms and lung function tests, asthma control, and finally an increase in QOL, including work performance and absenteeism. Specifically, the ability to smell is not only of great importance for the patients but also is regained by many patients within just 4 to 8 weeks of treatment. It is apparent that biologics can and will compete with the current “last resort” of treatment, extended surgical procedures, and effects and side effects or complications have to be carefully balanced for these decisions, actively including the patients. It is very likely that future care pathways will combine biologics with surgery (Fig 4<sup>107</sup>), or build up on systemic GCSs, when the status of severe uncontrolled CRSwNP is reached. We have to keep in

mind that CRSwNP is a chronic disease, bothering the patients for many decades, and care pathways have to be adapted to different situations during this natural course of disease.

However, there are many questions still to be answered, specifically referring to the selection of patients for biologics, specifically in combination with surgery or systemic GCSs, or in the selection of a specific biologic. What parameters reliably tell us how to identify responders among our patients, or stop further treatment with a specific biologic, and at which time points? We need to understand nonresponders to each of these biologics to select further treatment options, for example, another biologic; are those failures based on common principles or different per drug? Are there major differences in the efficacy, the effects on specific symptoms or asthma comorbidity, the time to onset, or the general responder rate between different biologics that would favor one of the others? In clinical practice already, today we realize that individual patients experience major amelioration under biologic therapy for their asthma, but not for their nasal polyps; how can we understand this phenomenon and what to do then? And also of importance, how will the community deal with the costs of these newly achieved possibilities?

## BRIEF SUMMATION OF CLINICAL IMPLICATIONS AND FUTURE DIRECTIONS

The advent of biologics for the treatment of severe CRSwNP has without doubt met so far unmet needs and created new options for otherwise uncontrolled patients. The currently reported phase 3 trials have shown a great potential for those drugs, reducing the burden of disease at many levels from nasal symptoms to QOL, and more trials will be completed within a short time. Even new target molecules are already in development, and combinations of biologics may be helpful in certain patients with refractory severe uncontrolled disease. Finally, these new treatment options need to be implemented in daily clinical practice, based on care pathways taking into account efficacy and adverse events.

### List of key concepts and therapeutic implications:

The cytokines IL-4, IL-5, and IL-13 are key cytokines of type 2 immune reactions.

Increased tissue and blood eosinophils as well as increased tissue and serum total IgE concentrations are typical signs of type 2 immune reactions.

Biologics targeting these cytokines and IgE have been developed for asthma, atopic dermatitis, and other type 2 diseases.

Because CRSwNP in the United States and Europe represents type 2 immune reactions in most patients, those biologics can also be applied to nasal polyp disease.

Omalizumab, mepolizumab, and dupilumab have been positively tested in PoC/phase 2 studies, and phase 3 trial results have been published or are awaiting publication.

Biologics may fulfill unmet needs in the treatment of CRSwNP, specifically in patients not controlled with topical and short-term systemic GCSs and/or surgery.

Biologics may be combined with surgery or short-term systemic GCSs, but care pathways based on available data still need to be elaborated and tested.

## What do we know?

- Type 2 cytokines including IL-4, IL-5, and IL-13 as well as IgE are expressed in about 80% of CRSwNP mucosal tissue.
- The expression of type 2 cytokines is associated with asthma comorbidity and recurrence of disease after surgery and systemic GCSs.
- Type 2 cytokines in CRSwNP are related to the inflammation found in most patients, with hypereosinophilia and IgE formation, and to the typical symptoms.
- Biologic therapies are currently in development for CRSwNP, targeting the type 2 cytokines and IgE, based on the above-mentioned rationale.
- PoC studies have been successfully performed in CRSwNP with mepolizumab, omalizumab, and dupilumab.
- Successful phase 3 trials have been published for dupilumab, and preliminary results have been communicated for omalizumab. Phase 3 trials are currently concluded or in process for mepolizumab and benralizumab, respectively; registration for dupilumab has been achieved in the United States and Europe.
- Dupilumab and omalizumab have been demonstrated to significantly reduce disease burden in patients with CRSwNP.

## What is still unknown?

- Valid biomarkers for type 2 immune reactions in the sinuses are not defined; biomarkers to support the selection of biologic are completely lacking.
- Further subtyping of type 2 CRS using single-cell transcriptomics may be possible.
- Comparability of studies is limited because of the differences in included patient populations; head-to-head comparisons are lacking.
- Care pathways have been proposed, but not agreed upon; specifically, the indication for biologics versus surgery has not been defined.
- Prediction of the therapeutic response to a specific biologic is not currently feasible.
- No understanding of reasons for failure of specific biologics have been elaborated.
- No information is available on the selection of a second biologic in case a first one failed to reduce polyp burden.
- Stopping rules and valid clinical outcome parameters have not been defined.

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