



# Conformal automorphisms of algebraic surfaces and algebraic curves in the complex projective space

Edoardo Ballico

Department of Mathematics, University of Trento, 38123 Povo (TN), Italy



## ARTICLE INFO

### Article history:

Received 8 May 2018

Accepted 27 August 2018

Available online xxxx

### MSC:

primary 14D21

53C28

secondary 14J26

32L25

30G35

### Keywords:

Conformal group

Conformal automorphism

Complex projective space

Algebraic surface

Algebraic curve

## ABSTRACT

We study the automorphism group of curves and surfaces in  $\mathbb{CP}^3$  with respect to the conformal group, i.e. the group of all  $A \in PGL(4, \mathbb{C})$  commuting with the anti-holomorphic involution  $j$  defined by  $j((z_0 : z_1 : z_2 : z_3)) = (-\bar{z}_1 : \bar{z}_0 : \bar{z}_3 : -\bar{z}_2)$ . For some singular surfaces we check when this group is finite. Among the singular surfaces we handle there are:

(1) certain cones;

(2) surfaces  $X$  containing no line and with  $j(X) \neq X$ ;

(3) surfaces containing only finitely many,  $k$ , twistor lines with  $k \geq 3$ .

In many cases the proofs need results on conformal automorphisms of singular curves.

© 2018 Elsevier B.V. All rights reserved.

## 1. Introduction

Let  $G(2, 4)$  denote the Grassmannian of all 2-dimensional linear subspaces of  $\mathbb{C}^4$ , i.e. the Grassmannian of all 1-dimension (projective) linear subspace of  $\mathbb{CP}^3$ . The linear group  $GL(4, \mathbb{C})$  acts linearly on  $\mathbb{C}^4$  and hence it induces a holomorphic action on  $G(2, 4)$ . The quotient group  $PGL(4, \mathbb{C}) = GL(4, \mathbb{C})/\mathbb{C}^*Id_{4 \times 4}$  acts effectively and 2-transitively on  $\mathbb{CP}^3$ . Let  $\mathbb{H} = \mathbb{R} + \mathbb{R}i + \mathbb{R}j + \mathbb{R}k$  be the non-commutative field of quaternions. Identify  $\mathbb{C}^4$  with  $\mathbb{H}^2$ . Left multiplication by  $j$  induces an  $\mathbb{R}$ -linear map  $j : \mathbb{C}^4 \rightarrow \mathbb{C}^4$  given by the formula

$$j(z_0, z_1, z_2, z_3) = (-\bar{z}_1, \bar{z}_0, -\bar{z}_3, \bar{z}_2). \quad (1)$$

[1–9]. By the formula (1) we have  $j^2 = -Id_{\mathbb{C}^4}$ . The map  $j : \mathbb{C}^4 \rightarrow \mathbb{C}^4$  induces a map  $j : \mathbb{CP}^3 \rightarrow \mathbb{CP}^3$ . Formula (1) immediately shows that  $j : \mathbb{CP}^3 \rightarrow \mathbb{CP}^3$  is a fixed-point-free anti-holomorphic involution. Thus for each set  $S \subseteq \mathbb{CP}^3$  we have defined the set  $j(S)$ . We always have  $j(j(S)) = S$ . Using the explicit formula for the map  $j$  it is easy to check that if  $S$  is a line, then  $j(S)$  is a line. Since  $j(j(S)) = S$ , we see that  $j$  induces an anti-holomorphic involution  $G(2, 4) \rightarrow G(2, 4)$  [8, Section 2]. Its fixed points are called *twistor lines*, i.e. a line  $L$  is a twistor line if and only if  $j(L) = L$ . Since  $G(2, 4)$  is compact and the map  $G(2, 4) \rightarrow G(2, 4)$  is an anti-holomorphic involution, the set of all twistor lines is a compact 4-dimensional manifold. This manifold is diffeomorphic to  $S^4$ ; more precisely this manifold is identified with  $\mathbb{HP}^1$  [8, Section 2]. Identifying  $\mathbb{C}^4$  with  $\mathbb{H}^2$  the quotient map  $\text{map } \mathbb{H}^2 \setminus \{0\} \rightarrow \mathbb{HP}^1$  factors through the surjection  $\mathbb{C}^4 \setminus \{0\} \rightarrow \mathbb{CP}^3$  and hence it induces a submersion

E-mail address: [ballico@science.unitn.it](mailto:ballico@science.unitn.it).

$\pi : \mathbb{CP}^3 \rightarrow \mathbb{HP}^1$  called the twistor fibration. The fibers of  $\pi$  are exactly the twistor lines. Let  $G$  be the conformal group of  $S^4$ , i.e. the closed subgroup of  $GL(4, \mathbb{C})$  formed by the matrices  $A$  which commute with  $j$ , i.e. by all matrices

$$\begin{pmatrix} a_1 & -\bar{a}_2 & b_1 & -\bar{b}_2 \\ a_2 & \bar{a}_1 & b_2 & \bar{b}_1 \\ c_1 & -\bar{c}_2 & d_1 & -\bar{d}_2 \\ c_2 & \bar{c}_1 & d_2 & \bar{d}_1 \end{pmatrix}, \quad (2)$$

where all the entries are complex numbers (see [3, Section 2]). The group  $G$  acts on  $\mathbb{CP}^3$  (as any subgroup of  $GL(4, \mathbb{C})$ ), but this action is not effective: any non-zero multiple of the identity matrix  $\text{Id}_{4 \times 4}$  acts as the identity on  $\mathbb{CP}^3$ . To get an effective action on  $\mathbb{CP}^3$  we use the groups  $\mathbb{G} := G/\mathbb{R}^* \text{Id}_{4 \times 4}$  and  $PGL(4, \mathbb{C}) = GL(4, \mathbb{C})/\mathbb{C}^* \text{Id}_{4 \times 4}$ . The groups  $G$  and  $\mathbb{G}$  are real Lie groups. For any closed set  $X \subset \mathbb{CP}^3$  the stabilizer  $\text{Stab}_{\mathbb{G}}(X)$  of  $X$  is the set of all  $g \in \mathbb{G}$  such that  $g(X) = X$ . If  $X$  contains at least 5 points no 4 of them coplanar, then any  $g \in PGL(4, \mathbb{C})$  fixing each point of  $X$  is the identity. Thus in this case  $\text{Stab}_{\mathbb{G}}(X)$  is the set of all conformal symmetries of  $X$ , up to the quotient  $G \rightarrow \mathbb{G}$ . We will say that  $\text{Stab}_{\mathbb{G}}(X)$  is the conformal automorphism group of  $X$ . Since  $\text{Stab}_{\mathbb{G}}(X) \subseteq \text{Stab}_{PGL(4, \mathbb{C})}(X)$ , the group  $\text{Stab}_{\mathbb{G}}(X)$  is finite if  $\text{Stab}_{PGL(4, \mathbb{C})}(X)$  is finite. In particular  $\text{Stab}_{\mathbb{G}}(X)$  is finite if  $X$  is a smooth surface of degree  $d > 2$  (Remark 4). Since the conformal automorphism group of a smooth quadric surface was computed in [7, Section 4], for smooth surfaces the only interesting open question is to describe the maximal integer  $\alpha_d$  of all  $|\text{Stab}_{\mathbb{G}}(X)|$  for  $X$  a smooth surface of degree  $d$  and to compute the structure of the group  $\text{Stab}_{\mathbb{G}}(X)$  when its cardinality is high (e.g. to give an upper bound for the order of its cyclic subgroups). We have no non-trivial result on this problem. We just point out that as in the refinements of Riemann–Hurwitz upper bound for the biholomorphic automorphisms of complex curves of genus at least 2 it may be useful to consider separately cyclic subgroups and abelian subgroup and then apply a classical result of C. Jordan (Remark 1). This strategy was used in [10] to give upper bounds in the case of smooth hypersurfaces in any complex projective space.

We prove the following result.

**Theorem 1.** *Let  $X \subset \mathbb{CP}^3$  be an integral degree  $d > 1$  surface containing at least 3 twistor lines, but containing only finitely many twistor lines. The stabilizer  $\text{Stab}_{\mathbb{G}}(X)$  of  $X$  in  $\mathbb{G}$  is infinite if and only if there is an integer  $t > 0$  and a conformal change of coordinates such that in the new system of coordinates for all monomials  $z_0^{k_0} z_1^{k_1} z_2^{k_2} z_3^{k_3}$  appearing with non-zero coefficient in  $X$  we have  $k_0 + k_2 = t$  and  $k_1 + k_3 = d - t$ . Moreover, if  $\text{Stab}_{\mathbb{G}}(X)$  is infinite, its connected component of the identity has finite index, it is isomorphic to  $S^1$  as a topological group and its Zariski closure in  $\text{Stab}_{PGL(4, \mathbb{C})}(X)$  is isomorphic to  $\mathbb{C}^*$  as a complex algebraic group.*

We give examples of surfaces  $X$  as Theorem 1 (Example 2). The proof of Theorem 1 shows that, up to a change of coordinates, these are the only examples.

We recall that in the classification of complex affine algebraic groups a torus is a complex algebraic group isomorphic to  $(\mathbb{C}^*)^s$  for some integers  $s \geq 1$ . We prove some results on cones (Proposition 2 and Corollaries 1 and 2) and the following result.

**Theorem 2.** *Let  $X \subset \mathbb{CP}^3$  be an integral surface containing no line and such that  $j(X) \neq X$ . Assume that  $\text{Stab}_{\mathbb{G}}(X)$  is not finite. Let  $H$  be the connected component of the identity of the Zariski closure of  $\text{Stab}_{\mathbb{G}}(X)$  in  $\text{Stab}_{PGL(4, \mathbb{C})}(X)$ . Then  $H \cong \mathbb{C}^*$ .*

We point out that the assumption that  $X$  contains no line implies  $\deg(X) \geq 4$ . We collect in Example 1 many examples of surfaces  $X$  with  $\text{Stab}_{\mathbb{G}}(X)$  and with the connected components of the identity of  $\text{Stab}_{PGL(4, \mathbb{C})}(X)$  isomorphic to  $\mathbb{C}^*$ .

In Section 2 we consider the case of curves  $Y \subset \mathbb{CP}^3$ . For all integers  $d > 0$  and  $g \geq 0$  such that there is a smooth, connected and non-degenerate curve  $Y \subset \mathbb{CP}^3$  with degree  $d$  and genus  $g$ , let  $\alpha_{d,g}$  be the maximal cardinality of the integer  $|\text{Stab}_{\mathbb{G}}(Y)|$  among all smooth, connected and non-degenerate curves  $Y \subset \mathbb{CP}^3$  with degree  $d$  and genus  $g$ . We obviously need  $d \geq 3$ . For a list of all pairs  $(d, g)$  which may occur see [11] or [12]. If we drop the assumption that  $Y$  is non-degenerate (but instead of  $|\text{Stab}_{\mathbb{G}}(Y)|$  we use the cardinality of the image of  $\text{Stab}_{\mathbb{G}}(Y)$  in the group  $\text{Aut}(Y)$ ), we call  $\alpha'_{d,g}$  the corresponding integer. The integer  $\alpha'_{d,g}$  is defined for all  $d > 0$ , but for  $d = 1, 2$  it is only defined  $\alpha'_{1,0}$ , while if  $d \geq 3$  either  $\alpha'_{d,g} = \alpha_{d,g}$  or  $g = (d-1)(d-2)/2$  by the genus formula for smooth plane curves. For  $g = 0, 1$  a priori it may be  $\alpha'_{d,g} = +\infty$ , but we always have  $\alpha'_{d,1} < +\infty$  (Lemma 3). To get a good upper bound for the integers  $\alpha_{d,g}$  one should use a theorem of C. Jordan to reduce our task to the study of finite abelian subgroups of  $PGL(r+1, \mathbb{C})$  (Remark 1). This theorem was used in [10] for linear automorphisms of hypersurfaces in any  $\mathbb{CP}^r$ . If  $g \geq 2$  we have  $\alpha_{d,g} \leq 84(g-1)$  (with strict inequality for several  $g$ ) by Hurwitz' upper bound for the automorphism group of a smooth curve of genus  $g \geq 2$  and the classification of all curves achieving this bound; notice that for all  $g$  there are smooth genus  $g$  curves with at least  $8(g-1)$  automorphisms [13,14]. If we restrict the curves  $Y \subset \mathbb{CP}^3$  to smooth and connected curves  $Y$  with  $j(Y) = Y$  we get the definition of the integers  $\beta_{d,g}$  and  $\beta'_{d,g}$ . However  $\beta'_{d,g}$  is defined only for non-degenerate curves, except the case  $(d, g) = (1, 0)$  corresponding to the twistor lines, because  $j(M) \neq M$  for every plane  $M \subset \mathbb{CP}^3$ . The integer (or  $+\infty$  for  $g = 0$ )  $\beta_{d,g}$  is well-defined if and only if there is a smooth, connected and non-degenerate curve  $Y \subset \mathbb{CP}^3$  with degree  $d$  and genus  $g$ . Since we do not have a description of all pairs  $(d, g)$  for which  $\beta_{d,g}$  is defined we pose the following question.

**Question 1.** For which pairs  $(d, g)$  there is a smooth, connected and non-degenerate curve  $Y \subset \mathbb{CP}^3$  with degree  $d$  and genus  $g$  with  $j(Y) = Y$ ? When  $g > 0$  and  $\beta_{d,g}$  is defined, what is  $\beta_{d,g}/\alpha_{d,g}$ ? For a fixed integer  $g \geq 2$  what  $\limsup_{d \rightarrow +\infty} \beta_{d,g}/\alpha_{d,g}$  and  $\liminf_{d \rightarrow +\infty} \beta_{d,g}/\alpha_{d,g}$  are?

In many proofs about surfaces we use results on the conformal automorphism group of singular (and often reducible) curves. Let  $X \subset \mathbb{CP}^3$  be a reduced curve, i.e. a curve without multiple components, without isolated points and without embedded points. We allow the case in which  $X$  is reducible. It is easy to check that the groups  $\text{Stab}_{\mathbb{G}}(X)$  and  $\text{Stab}_{\text{PGL}(4, \mathbb{C})}(X)$  are finite if at least one irreducible component of  $X$  is not rational, i.e. its normalization has not genus 0, and it spans  $\mathbb{CP}^3$  (Lemma 4). When  $j(X) = X$  and  $\text{Stab}_{\mathbb{G}}(X)$  is infinite, we get a stronger result (Lemma 5).

The following remark explains the difference (in the holomorphic category) between isomorphisms as abstract complex compact varieties and automorphisms induced by  $\text{PGL}(4, \mathbb{C})$ . For its use for the projective automorphisms of smooth hypersurfaces, see [10].

**Remark 1.** C. Jordan proved that for all integers  $n > 0$  there is an integer  $f(n)$  such that every finite group  $H \subset \text{GL}(n, \mathbb{C})$  has an abelian subgroup with index at most  $f(n)$ . Moreover, we may take  $f(n) = \lfloor (\sqrt{8n+1})^{2n^2} - (\sqrt{8n-1})^{2n^2} \rfloor$  [15, Theorem 9.6]. The optimal  $f(n)$  (call it again  $f(n)$ ) was computed in [16, Theorems A and B] together with the classification of the groups achieving the bound. We have  $f(2) = f(3) = 60$  and  $f(4) = 7200$ . For  $n \geq 71$  we have  $f(n) = n!$ .

## 2. Curves

We first explain why for each closed algebraic subscheme  $X$  of  $\mathbb{CP}^3$  there is a natural scheme-structure on the support of  $j(X)$  preserving dimensions, degrees and multiplicities for the irreducible components of  $X_{\text{red}}$  and all other projective invariants of  $X$ . This is obvious in the real algebraic (or the real analytic) category, because  $j$  is a real algebraic isomorphism, but we need a proof in the complex algebraic category. We need to find a homogeneous ideal (which will be the homogeneous ideal of  $j(X)$ ) associated to the homogeneous ideal of  $X$ . For any  $z \in \mathbb{C}^4$  and any  $\alpha = (\alpha_0, \alpha_1, \alpha_2, \alpha_3) \in \mathbb{N}^4$  set

$$z^\alpha = z_0^{\alpha_0} z_1^{\alpha_1} z_2^{\alpha_2} z_3^{\alpha_3}.$$

For any  $\alpha \in \mathbb{N}^4$  we write  $|\alpha| = \alpha_0 + \alpha_1 + \alpha_2 + \alpha_3$ . Consider the complex vector space  $H^0(\mathcal{O}_{\mathbb{CP}^3}(d))$  of all  $f \in \mathbb{C}[z_0, z_1, z_2, z_3]$  homogeneous of degree  $d$ . The complex vector space  $H^0(\mathcal{O}_{\mathbb{CP}^3}(d))$  has dimension  $\binom{d+3}{3}$ . Consider the map  $\hat{j} : H^0(\mathcal{O}_{\mathbb{CP}^3}(d)) \rightarrow H^0(\mathcal{O}_{\mathbb{CP}^3}(d))$  defined in the following way

$$H^0(\mathcal{O}_{\mathbb{CP}^3}(d)) \ni f = \sum_{|\alpha|=d} c_\alpha z^\alpha \mapsto \hat{j}(f) = \sum_{|\alpha|=d} \hat{c}_\alpha z^\alpha,$$

where  $\hat{c}_{\alpha_0, \alpha_1, \alpha_2, \alpha_3} = (-1)^{\alpha_0 + \alpha_2} \overline{c_{\alpha_1, \alpha_0, \alpha_3, \alpha_2}}$ .

Note that  $\hat{j}$  is  $\mathbb{R}$ -linear and that  $\hat{j}^2(f) = (-1)^d f$ . For any  $z \in \mathbb{C}^4$  we have  $f(j(z)) = (-1)^d \overline{\hat{j}(f)(z)}$ . We say that  $f = \sum_\alpha c_\alpha z^\alpha$  is  $j$ -invariant if and only if there is a constant  $a \in \mathbb{C} \setminus \{0\}$  such that  $\hat{j}(f) = af$ , i.e. if and only if the map  $\hat{j}$  fixes the line  $\mathbb{C}f$ . If  $q = (z_0 : z_1 : z_2 : z_3) \in \mathbb{CP}^3$  and  $f \in H^0(\mathcal{O}_{\mathbb{CP}^3}(d))$  we have  $f(q) = 0$  if and only if  $\hat{j}(f)(j(q)) = 0$ . The homogeneous ideal of  $j(X)$  is generated by the polynomials  $\hat{j}(f)$  with  $f$  a homogeneous polynomial vanishing on  $X$ .

**Lemma 1.** Take  $g \in \text{PGL}(4, \mathbb{C})$ ,  $g \neq \text{Id}$ , and a reduced curve  $E \subset \mathbb{CP}^3$  such that  $g(q) = q$  for all  $q \in E$ .

- (1) If  $E$  spans  $\mathbb{CP}^3$ , then  $E$  is a union of 2 disjoint lines.
- (2) If either  $g \in \mathbb{G}$  or  $g(q) = q$  for all  $q \in j(E)$ , then either  $E$  is a twistor line or  $E \cup j(E) = L \cup j(L)$  with  $L$  a non-twistor line.

**Proof.** The union  $F$  of 2 disjoint lines is the only reduced curve spanning  $\mathbb{CP}^3$  and not containing 5 points in linearly general position, i.e. no 4 of them are coplanar. Thus we get the first assertion of the lemma. Let  $U$  be the linear span of  $E$ . Since  $E$  contains at least 5 points in linearly general position in  $U$  (unless  $E$  is a disjoint union of 2 lines, a case we excluded in the statement) and  $g(q) = q$  for all  $q \in E$ , we have  $g(q) = q$  for all  $q \in U$ . In particular if  $E$  spans  $\mathbb{CP}^3$  and  $E$  is not the union of 2 disjoint lines, then  $g$  is the identity map, a contradiction. Now assume that  $g$  is not the identity map and that  $E$  is not a line. We get that  $U$  is a plane. If  $g \in \mathbb{G}$ ,  $g$  commutes with  $j$  and hence it fixes each point of the plane  $j(U)$ . If  $g \notin \mathbb{G}$  by assumption it fixes each point of  $j(D)$  and hence of  $j(U)$ . Since  $j(U) \neq U$ ,  $g$  fixes pointwise a set spanning  $\mathbb{CP}^3$  and then  $g$  is the identity: a contradiction.  $\square$

**Lemma 2.** We have  $\alpha'_{1,0} = \beta'_{1,0} = +\infty$ .

**Proof.** It is sufficient to prove that  $\beta'_{1,0} = +\infty$ . All the twistor lines are conformally equivalent. Take the twistor line  $L = \{z_2 = z_3 = 0\}$ . Use the images in  $\text{PGL}(4, \mathbb{C})$  of the matrices  $A = (a_{ij})$  with  $a_{22} = a_{33} = 1$  and  $a_{ij} = 0$  if either  $i = 0, 1$  and  $j = 2, 3$  or  $i = 2$  and  $j = 0, 1, 3$  or  $i = 3$  and  $j = 0, 1, 2$ .  $\square$

**Lemma 3.** Let  $Y \subset \mathbb{CP}^3$  be an integral and non-degenerate degree  $d \geq 4$  curve such that its normalization is an elliptic curve. Then  $|\text{Stab}_{\text{PGL}(4, \mathbb{C})}(Y)| \leq 2^{d!}$ .

**Proof.** Let  $v : D \rightarrow Y$  be the normalization map. Set  $\mathcal{L} := v^*(\mathcal{O}_Y(1))$ . By assumption  $D$  is a smooth elliptic curve and  $\mathcal{L}$  is a degree  $d$  line bundle. Let  $u : D \rightarrow \mathbb{CP}^{d-1}$  be the linearly normal embedding of  $D$  induced by the complete linear system  $|\mathcal{L}|$ . Every  $g \in \text{Stab}_{\text{PGL}(4, \mathbb{C})}(Y)$  induces  $g' \in \text{PGL}(d, \mathbb{C})$  such that  $g'(u(D)) = u(D)$  and the map  $g \mapsto g'$  is injective. Call

$H \subset \mathrm{PGL}(d-1, \mathbb{C})$  the image of  $\mathrm{Stab}_{\mathrm{PGL}(4, \mathbb{C})}(D)$  by the map  $g \mapsto g'$ . Let  $S \subset u(D)$  be the set of all flexes of  $u(D)$ , i.e. the set of all  $q \in u(D)$  such that the hyperplane of  $\mathbb{CP}^{d-1}$  has order of contact  $\geq d$  with  $u(D)$  at  $q$ . Since  $\deg(u(D)) = d$ ,  $S$  is the set of all  $u(o)$ ,  $o \in D$ , such that  $do \in |\mathcal{L}|$ . Thus  $|S| = 2^d$ . Since  $u(D)$  is a non-degenerate and of degree  $d$ , any  $d+1$  points of  $u(D)$  span  $\mathbb{CP}^{d-1}$ . We get that any  $h \in \mathrm{PGL}(d, \mathbb{C})$  with  $h(q) = q$  for all  $q \in S$  is the identity. Since the notion of flex is a projective one, we have  $f(S) = S$  for every  $f \in \mathrm{PGL}(d, \mathbb{C})$  such that  $f(u(D)) = u(D)$ . Thus  $|H| \leq 2^d!$ .  $\square$

**Lemma 4.** *Let  $X \subset \mathbb{CP}^3$  be a reduced curve spanning  $\mathbb{CP}^3$  such that at least one irreducible component  $E$  of  $X$  is not rational, i.e. its normalization has no genus 0. Then  $\mathrm{Stab}_{\mathbb{G}}(X)$  is finite. If  $E$  is non-degenerate, then  $\mathrm{Stab}_{\mathrm{PGL}(4, \mathbb{C})}(X)$  is finite.*

**Proof.** First assume that  $E$  is non-degenerate. In this case it is sufficient to prove that the group  $\mathrm{Stab}_{\mathrm{PGL}(4, \mathbb{C})}(X)$  is finite. Assume that this is not the case. Since  $\mathrm{PGL}(4, \mathbb{C})$  is a complex algebraic group, its connected component  $H$  of the identity has positive dimension. We claim that there is a subgroup  $H'$  of  $H$  isomorphic either to the multiplicative group  $\mathbb{C}^*$  or to the additive group  $\mathbb{C}_a$  (i.e.  $\mathbb{C}$  with the addition as group multiplication). We recall that either  $H \cong \mathbb{C}^*$  and there is  $g \in \mathrm{SL}(4, \mathbb{C})$  such that  $gHg^{-1}$  is diagonal (the semisimple case) or  $H \cong \mathbb{C}_a$  and there is  $g \in \mathrm{SL}(4, \mathbb{C})$  such that  $gHg^{-1}$  is in upper triangular forms with 1's in the diagonal (the unipotent case); see [17, page 261] or [18, 11.1]) for the existence of a Borel subgroup, so that  $H$  is solvable, [17, Cor. 3 at page 110] for the fact that  $H$  is abelian (being minimal and contained in a solvable connected group), [17, Theorem 4.7 at page 156] or [19, Theorem 15.5] for the decomposition into a multiplicative and a unipotent part for commutative groups and then for the existence of  $g$  see [17, Theorem at page 158] for the unipotent case and [17, page 155] for the multiplicative case. Since  $H'$  is connected and  $X$  has only finitely many irreducible components,  $H'$  sends each irreducible component into itself. Let  $E$  be an irreducible component of  $X$  which is not rational (if any) and let  $E' \rightarrow E$  the normalization map. The action of  $H'$  on  $E$  lift to an action of  $H'$  on  $E'$  by the universal property of the normalization (or because  $E'$  and  $E$  have the same field  $\mathbb{C}(E')$  of rational functions and any  $\mathbb{C}$ -automorphism of the field  $\mathbb{C}(E')$  induces an automorphism of the smooth and connected projective curve  $E'$ ). If  $E'$  has genus  $\geq 2$ , then  $\mathrm{Aut}(E')$  is finite and hence the connected group  $H'$  fixes each point of  $E'$  and hence of  $E$ , contradicting Lemma 1. If  $E'$  is an elliptic curve we use Lemma 3.

Now assume that  $E$  is degenerate and that  $\mathrm{Stab}_{\mathbb{G}}(X)$  is infinite. Since  $E$  is not rational, it is not a line and thus  $E$  spans a plane  $M$ . Since  $E$  is not a line, Lemma 1 shows that the image of  $G_0$  in  $\mathrm{Aut}(E)$  is infinite. This is false if the normalization of  $E$  has genus  $\geq 2$ , because  $\mathrm{Aut}(E) \leq 84(g-1)$  by Hurwitz' bound for the automorphisms of compact Riemann surfaces [13, 14]. If the normalization of  $E$  is an elliptic curve we use Lemma 3.  $\square$

**Remark 2.** Let  $D \subset \mathbb{CP}^3$  be an integral and non-degenerate curve such that the algebraic group  $\mathrm{Stab}_{\mathrm{PGL}(4, \mathbb{C})}(D)$  is not finite, i.e.  $\dim \mathrm{Stab}_{\mathrm{PGL}(4, \mathbb{C})}(D) > 0$  and let  $u : D' \rightarrow D$  be the normalization map. Let  $H \subseteq \mathrm{Stab}_{\mathrm{PGL}(4, \mathbb{C})}(D)$  be a minimal connected subgroup. Either  $H \cong \mathbb{C}^*$  (the multiplicative group) or  $H \cong \mathbb{C}$  with the addition as its group structure (the additive group) (see the quotations in the proof of Lemma 4). Since  $H$  is a one-dimensional affine connected algebraic group acting non-trivially on the smooth and connected compact complex curve  $D'$ ,  $H$  acts on  $D'$  with an open orbit  $Hb$ ,  $b \in D'$ , and hence  $D'$  has genus 0, i.e.  $D$  is rational. We have  $|D' \setminus Hb| = 2$  in the multiplicative case, because  $\mathbb{CP}^1 \setminus S$  is hyperbolic for every finite set  $S$  with  $|S| \geq 3$ , while  $\mathbb{C}$  and  $\mathbb{C}^*$  are not even homeomorphic. For the same reasons we have  $|D' \setminus Hb| = 1$  in the additive case. Thus (setting  $a := u(b)$ ) we have  $|D \setminus Da| \leq 2$  in the multiplicative case and  $|D \setminus Du| \leq 1$  in the additive case. The orbit  $Ha$  is obviously contained in the smooth part of  $D$ . Note that  $|D \setminus Da| = 2$  if and only if  $H$  is multiplicative and  $u$  is injective.

**Lemma 5.** *Let  $D \subset \mathbb{CP}^3$  be an integral and non-degenerate curve such that  $\mathrm{Stab}_{\mathbb{G}}(D)$  is infinite and  $j(D) = D$ . Let  $u : D' \rightarrow D$  denote the normalization map. Fix a minimal non-trivial and connected subgroup  $G_0$  of  $\mathrm{Stab}_{\mathbb{G}}(D)$  and call  $H \subseteq \mathrm{Stab}_{\mathbb{G}}(D)$  the Zariski closure of  $G_0$ . Then  $H \cong \mathbb{C}^*$  and there are a smooth  $a \in D$ ,  $b \in D'$  with  $u(b) = a$  such that  $Hb \cong H$ ,  $Ha \cong H$ ,  $|D' \setminus Hb| = |D \setminus Ha| = 2$  and the 2 points of  $D \setminus Ha$  are interchanged by  $j$ .*

**Proof.** We know by Example 2 that  $D$  is rational and that either  $H' = \mathbb{C}^*$  or  $H' = \mathbb{C}$  with the additive structure. In each of these two cases we distinguish the possibilities for  $|D \setminus Da|$  and  $|D' \setminus Db|$ ; we exclude the case  $H = \mathbb{C}$ , because  $H$  is a Zariski closure of a subgroup of the conformal group and hence  $j$  permutes the set  $D \setminus Da$  (note that  $j$  has no fixed point).  $\square$

**Remark 3.** Let  $A \subset \mathbb{CP}^3$  be a complete intersection curve, say the complete intersection of a surface of degree  $x$  and a surface of degree  $y$ ; we allow the case in which  $A$  has multiple components. Set  $B := A_{\mathrm{red}}$ . We have an exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbb{CP}^3}(-x-y) \rightarrow \mathcal{O}_{\mathbb{CP}^3}(-x) \oplus \mathcal{O}_{\mathbb{CP}^3}(-y) \rightarrow \mathcal{I}_A \rightarrow 0 \quad (3)$$

Since  $h^i(\mathbb{CP}^3, \mathcal{O}_{\mathbb{CP}^3}(z)) = 0$  for  $i = 1, 2$  and all  $z \in \mathbb{Z}$ , formula (3) implies  $h^1(\mathbb{CP}^3, \mathcal{I}_A) = 0$ . Thus  $h^0(A, \mathcal{O}_A) = 1$ . Hence  $B$  is connected.

**Proposition 1.** *For infinitely many integers  $d$  there is an integral and non-degenerate degree  $d$  curve  $Y \subset \mathbb{CP}^3$  such that the connected component  $H$  of the identity of  $\mathrm{Stab}_{\mathrm{PGL}(4, \mathbb{C})}(Y)$  is isomorphic to  $\mathbb{C}^*$  and its intersection with  $\mathbb{G}$  is isomorphic to  $S^1$  (resp.  $\mathbb{R}^*$ ). In the former case  $\mathrm{Stab}_{\mathbb{G}}(Y)$  has finite cyclic subgroups of any order. In the latter case  $\mathbb{G} \cap H$  has only  $\{-1, 1\}$  as its element with finite order.*

**Proof.** Take the maximal torus  $\mathbb{D} \cong (\mathbb{C}^*)^4$  of  $GL(4, \mathbb{C})$  formed by the diagonal matrices. Call  $\psi_h : \mathbb{C}^* \rightarrow \mathbb{D}$ ,  $h = 0, 1, 2, 3$ , the homomorphism sending  $z \in \mathbb{C}^*$  to the diagonal matrix  $A = (a_{ij})$  with  $a_{ij} = 0$  if  $i \neq j$ ,  $a_{ii} = 1$  if  $i \neq h$  and  $a_{hh} = z$ . For any  $(n_0, n_1, n_2, n_3) \in \mathbb{Z}^4$  we have a homomorphism  $\varphi = \psi_0^{n_0} \psi_1^{n_1} \psi_2^{n_2} \psi_3^{n_3} : \mathbb{C}^* \rightarrow (\mathbb{C}^*)^4$ . If  $n_1 = -n_0$  and  $n_3 = -n_2$ , then  $\varphi(z) \in G$  for all  $z \in S^1$ . If  $n_0 = n_1$  and  $n_2 = n_3$ , then  $\varphi(z) \in G$  for all  $z \in \mathbb{R}^*$ . In both cases we take  $n_3 = 1$ , call  $H_{n_1}$  the corresponding image and take as rational curve the  $H_{n_1}$ -orbits of general points of  $\mathbb{CP}^3$ .  $\square$

### 3. Algebraic surfaces

**Remark 4.** For any integer  $d \geq 4$  the group  $\text{Stab}_{PGL(4, \mathbb{C})}(X)$  is the full group of all biholomorphic automorphisms of  $X$  [20, Theorem 2], it is always finite and it is trivial for a general degree  $d$  surface  $X \subset \mathbb{CP}^3$  [20,21]. By [22] for every integer  $d \geq 3$  there is a smooth degree  $d$  surface  $X$  defined over  $\mathbb{R}$  and with trivial  $\text{Stab}_{PGL(4, \mathbb{C})}(X)$ .

**Example 1.** Fix any integral and non-degenerate curve  $Y \subset \mathbb{CP}^3$  such that the group  $\text{Stab}_{PGL(4, \mathbb{C})}(Y)$  is finite. Fix a subgroup  $H \subset PGL(4, \mathbb{C})$  such that  $H \cong \mathbb{C}^*$  and  $H \cap G$  has positive dimension. For instance we may take as  $H$  the elements of  $PGL(4, \mathbb{C})$  induced by the diagonal matrices  $A = (a_{ij})$  such that  $a_{ij} = 0$  for all  $i \neq j$ ,  $a_{22} = a_{33} = 1$  and  $a_{00} = a_{11} \neq 0$ ; in this case  $H \cap G \cong \mathbb{R}^*$ , because  $H \cap G$  is induced by the matrices with  $a_{11} = a_{00} = \bar{a}_{00}$ . Taking  $H$  induced by the matrices  $A = (a_{ij})$  with  $a_{ij} = 0$  for all  $i \neq j$ ,  $a_{22} = a_{33} = 1$  and  $a_{11} = a_{00}^{-1}$  we get an example with  $H \cap G \cong S^1$ . Let now  $X \subset \mathbb{CP}^3$  be the closure of the orbit of  $Y$  by the action of  $H$ .  $X$  is an integral and non-degenerate surface. Since  $H \subseteq \text{Stab}_{PGL(4, \mathbb{C})}(X)$ , we have  $H \cap G \subseteq \text{Stab}_G(X)$ . Conversely, take any integral and non-degenerate surface such that  $H \subseteq \text{Stab}_{PGL(4, \mathbb{C})}(X)$  (and hence  $H \cap G \subseteq \text{Stab}_G(X)$ ). Let  $Y$  be the complete intersection of  $X$  with a general surface of high degree. The construction just explained gives the surface  $X$ , because for a general  $q \in Y$  the  $H$ -orbit  $Hq$  is contained in  $X$ .

The following result gives a class of integral but singular surfaces  $X$  for which  $\text{Stab}_G(X)$  is much smaller than  $\text{Stab}_{PGL(4, \mathbb{C})}(X)$ . For smooth quadrics see the classification in [7].

**Proposition 2.** Let  $X \subset \mathbb{CP}^3$  be an integral cone of degree  $d > 1$ .

- (a) There is a subgroup  $H \subseteq \text{Stab}_{PGL(4, \mathbb{C})}(X)$  such that  $H \cong \mathbb{C}^*$  and  $H \cap G$  is the identity.
- (b) Assume  $d \geq 3$  and call  $o$  the vertex of  $X$  and  $R$  the twistor line of  $\mathbb{CP}^3$  containing  $o$ . Take a plane  $M \subset \mathbb{CP}^3$  with  $o \notin M$  and set  $Y := X \cap M$ . Let  $\text{Lin}(Y, o')$  be the set of all automorphisms of  $Y$  induced by a linear automorphism of  $M$  fixing  $o'$ . If  $\text{Lin}(Y, o')$  is finite, then  $\text{Stab}_G(X)$  is finite.

**Proof.** Since  $d > 1$  and  $X$  is integral, the vertex of  $X$  is a unique point,  $o$ . Since  $G$  acts transitively on  $\mathbb{CP}^3$ , we may assume  $o = (1 : 0 : 0 : 0)$ . In this system of homogeneous coordinates we have  $R = \{z_2 = z_3 = 0\}$  and  $X = \{f(z_1, z_2, z_3) = 0\}$  with  $f(z_1, z_2, z_3)$  a degree  $d$  homogeneous polynomial. Thus  $\text{Stab}_{PGL(4, \mathbb{C})}(X)$  contains the images in  $PGL(4, \mathbb{C})$  of all matrices  $A = (a_{ij})$  with  $a_{ij} = 0$  for all  $i \neq j$ ,  $a_{11} = a_{22} = a_{33} = 1$  and  $a_{00} \in \mathbb{C}^*$ . These images form a subgroup  $H \cong \mathbb{C}^*$ . By (2) we see that  $A \in G$  if and only if  $a_{00} = 1$ , i.e.  $A = \text{Id}_{4 \times 4}$ , concluding the proof of part (a).

Now we prove part (b). Take  $A = (a_{ij}) \in G$  inducing an element of  $\text{Stab}_G(X)$ . Since  $o$  is the unique vertex of  $X$ ,  $(1, 0, 0, 0)$  is an eigenvector of  $A$ . Thus  $a_{01} = a_{02} = a_{03} = 0$ . Since  $A \in G$ , formula (2) gives  $a_{11} = a_{00}$  and  $a_{10} = a_{12} = a_{13} = 0$ . All sections of  $X$  by planes not containing  $o$  are irreducible and projectively equivalent. Thus it is sufficient to prove part (b) when  $M = \{z_0 = 0\}$  and so  $o' = (0 : 1 : 0 : 0)$ . With this assumption the  $3 \times 3$  submatrix  $B$  of  $A$  corresponding to the last 3 rows and columns induces an element of  $\text{Lin}(Y, o')$ . Thus we only have finitely many possible  $B$ 's. By (2) we also have finitely many possibilities for  $a_{02} = \bar{a}_{13}$  and for  $a_{03} = -\bar{a}_{12}$ .  $\square$

**Corollary 1.** Let  $X$  be an integral cone of degree  $d \geq 4$  with the vertex as its only singular point. Then  $\text{Stab}_G(X)$  is finite.

**Proof.** With the set-up of Proposition 2  $Y$  is a smooth plane curve of degree  $d \geq 4$ . Since  $Y$  has genus  $g = (d-1)(d-2)/2$ , it has only finitely many automorphisms.  $\square$

**Corollary 2.** Let  $X \subset \mathbb{CP}^3$  be the general integral cone of degree  $d \geq 4$ . Then  $\text{Stab}_G(X) = \{\text{Id}\}$ .

**Proof.** Use that a general plane curve of degree  $d \geq 4$  has no holomorphic automorphism.  $\square$

**Proof of Theorem 1.** Every linear algebraic group has only finitely many connected components. Thus  $\dim H > 0$  and  $H \cap \text{Stab}_G(X)$  is infinite. Let  $L, R, D$  be 3 of the twistor lines of  $X$ . Every conformal transformation sends a twistor line into a twistor line. Since  $X$  contains only finitely many twistor lines, there is a subgroup  $G_1$  of finite index of  $\text{Stab}_G(X)$  such that  $g(L) = L$ ,  $g(R) = R$  and  $g(D) = 0$ . Note that  $H$  is the connected component of the Zariski closure in  $\text{Stab}_{PGL(4, \mathbb{C})}(X)$  of  $G_1$ . Since  $G_1 \subseteq \text{Stab}_{PGL(4, \mathbb{C})}(L) \cap \text{Stab}_{PGL(4, \mathbb{C})}(R) \cap \text{Stab}_{PGL(4, \mathbb{C})}(D)$  and the latter is a closed subgroup of  $PGL(4, \mathbb{C})$ , we have  $H \subseteq \text{Stab}_{PGL(4, \mathbb{C})}(L) \cap \text{Stab}_{PGL(4, \mathbb{C})}(R) \cap \text{Stab}_{PGL(4, \mathbb{C})}(D)$ . Since  $G$  acts 2-transitively on  $S^4$ , up to a conformal transformation (i.e. up to take  $h(X)$  instead of  $X$  for some  $h \in G$ ), we may assume  $L = \{z_0 = z_1 = 0\}$  and  $R = \{z_2 = z_3 = 0\}$ . Take any  $g \in G_1$  and call  $A = (a_{ij}) \in GL(4, \mathbb{C})$ ,  $0 \leq i \leq 3$ ,  $0 \leq j \leq 3$ , a matrix representing  $g$ . Since  $g(L) = 0$ , we have  $a_{ij} = 0$  for  $i = 0, 1$  and  $j = 2, 3$ . Since  $g(R) = R$ , we have  $a_{ij} = 0$  for  $i = 2, 3$  and  $j = 0, 1$ . Now we use  $D$ . Since  $L, D, R$  are twistor lines, they are



disjoint. Thus there is a unique quadric surface  $Q$  containing  $L \cup R \cup D$  and this quadric is smooth. Since  $Q$  contains 3 twistor lines, the conformal classification of smooth quadric surfaces gives that  $Q$  is a real smooth quadric [7, Theorem 1.11]. Since  $G_1$  send  $L \cup R \cup D$  into itself and  $Q$  is the unique quadric containing  $L \cup R \cup D$ , we have  $G_1 \subseteq \text{Stab}_{GL(4, \mathbb{C})}(Q)$ . Call  $E$  the scheme-theoretic intersection of  $X$  and  $Q$  and  $F$  the set-theoretic intersection. Since  $X$  and  $Q$  are integral and  $X \neq Q$ ,  $E$  is a complete intersection curve of degree  $2d$  and  $F$  is a curve, union of all the irreducible components of  $E$ , without their multiplicities. Every complete intersection curve is connected (Remark 3). Thus  $F$  is connected. Call  $|\mathcal{O}_Q(1, 0)|$  and  $|\mathcal{O}_Q(0, 1)|$  the 2 rulings of  $Q$ , with  $L \in |\mathcal{O}_Q(1, 0)|$  and hence  $D, R \in |\mathcal{O}_Q(1, 0)|$ .  $E \subset Q$  is a curve of bidegree  $(d, d)$  and hence  $F \in |\mathcal{O}_Q(a, b)|$  for some  $a, b$  at most  $d$ . Since  $L \cup R \cup D \subseteq F$ , we have  $a \geq 3$ . Write  $F = (L \cup R \cup D) \cup K$  with  $K \in |\mathcal{O}_Q(a, b)|$ . Since  $g$  stabilizes  $X$ ,  $Q$  and  $L \cup R \cup D$ , it stabilizes  $E$  and hence  $F$ . Thus  $g$  stabilizes  $K$ . Since  $F$  is connected and without multiple components,  $S_L := L \cap K$ ,  $S_R := R \cap K$  and  $S_D := D \cap K$  are finite non-empty sets and they are stabilized by each element of  $G_0$ . Fix  $o \in L \cap K$ ,  $q \in R \cap K$  and  $q' \in D \cap K$ . The points  $o, q$  and  $q'$  are distinct, because any 2 twistor lines are disjoint. Up to a conformal transformation involving only  $z_0$  and  $z_1$  (resp.  $z_2$  and  $z_3$ ) we may assume  $o = (1 : 0 : 0 : 0)$  (resp.  $q = (0 : 0 : 1 : 0)$ ). Note that  $j(o) = (0 : 1 : 0 : 0)$  and  $j(q) = (0 : 0 : 0 : 1)$ . Since every conformal transformation commutes with  $j$  and  $G_1$  fixes the points  $o$  and  $q$ , each element of  $G_1$  fixes the points  $o, j(o), q$  and  $j(q)$ . Thus  $A$  is a diagonal matrix and  $o, j(o), q$  and  $j(q)$  represent linearly independent eigenvectors of  $A$ . Using  $L$  and  $D$  we get that  $q'$  and  $j(q')$  are different eigenvectors. Hence  $A$  has some multiple eigenvalue. Since  $g \in G$ , we have  $a_{11} = \bar{a}_{00}$  and  $a_{33} = \bar{a}_{22}$ . We need to prove that  $a_{ii} = a_{00}$  for all  $i$  and hence that  $A$  is a real multiple of the identity  $\text{Id}_{4 \times 4}$ , unless  $X$  is as in the exceptional case. Since  $A \in G$ , formula (2) shows that it is sufficient to prove that  $A$  has an eigenvalue with eigenspace of dimension at least 3. Since  $L \cap D = R \cap D = \emptyset$  neither  $q'$  nor  $j(q')$  are in  $L \cup R$  and hence at least one of the eigenvalues for  $o$  or  $j(o)$  must be equal to an eigenvalue of  $q'$  and  $j(q')$ .

Suppose for instance that any non-zero vector associated to  $q'$  is in the eigenspace spanned by  $o$  and  $q$  (we may reduce to this case exchanging the names of  $q$  and  $j(q)$  and of  $q'$  and  $j(q')$ ). We get  $a_{00} = a_{22}$  and so  $a_{11} = \bar{a}_{00} = \bar{a}_{22} = a_{33}$ . We get that the Zariski closure  $H'$  in  $GL(4, \mathbb{C})$  of this set of matrices is the set of all diagonal matrices  $B = (b_{ij})$  with  $b_{11} = b_{33}$  and  $b_{00} = b_{22}$ .

Let  $f \in \mathbb{C}[z_0, z_1, z_2, z_3]$  be an equation of  $X$  in the new system of coordinates with  $o = (1 : 0 : 0 : 0), j(o) = (0 : 1 : 0 : 0), q = (0 : 0 : 1 : 0)$  and  $j(q) = (0 : 0 : 0 : 1)$ . Let  $S$  be the set of all monomials of  $f$  appearing with non-zero coefficient. The matrix  $A$  sends the monomial  $z_0^{k_0} z_1^{k_1} z_2^{k_2} z_3^{k_3}$  to  $a_{00}^{k_0+k_2} \bar{a}_{00}^{k_1+k_3} z_0^{k_0} z_1^{k_1} z_2^{k_2} z_3^{k_3}$ . Note that  $k_1 + k_3 = d - k_0 - k_2$ . Thus  $Af$  is a multiple of  $f$  for every  $a_{00} \in \mathbb{C}^*$  if and only if there is an integer  $t$  with  $0 \leq t \leq d$  such that  $k_0 + k_2 = t$  for all  $z_0^{k_0} z_1^{k_1} z_2^{k_2} z_3^{k_3} \in S$ . Since  $X$  is irreducible, we have  $t \neq 0$  and  $t \neq d$ . We also get the last part of the theorem (starting with the word “Moreover”) using the quotient maps  $G \rightarrow \mathbb{G}$  and  $GL(4, \mathbb{C}) \rightarrow PGL(4, \mathbb{C})$ .  $\square$

**Example 2.** Assume  $d \geq 3$  and fix an integer  $t$  such that  $3 \leq t \leq d - 3$ . Take  $o = (1 : 0 : 0 : 0)$  and  $q = (0 : 0 : 1 : 0)$  and so  $j(o) = (0 : 1 : 0 : 0)$  and  $j(q) = (0 : 0 : 0 : 1)$ . Let  $L$  be the line spanned by  $\{o, j(o)\}$ ,  $R$  the line spanned by  $\{q, j(q)\}$  and  $L_1$  the line spanned by  $\{o, q\}$ . Fix  $q' \in L_1 \setminus \{o, q\}$ . Let  $D$  be the line spanned by  $\{q', j(q')\}$ . The lines  $L, R$  and  $D$  are 3 different twistor lines. For simplicity we take  $q' = (1 : 0 : 1 : 0)$  and hence  $j(q') = (0 : 1 : 0 : 1)$  and  $R = \{z_0 - z_2 = z_1 - z_3 = 0\}$ . For all integers  $x \geq y \geq 0$  let  $S_{x,y}$  be the set of all monomials  $z_0^{k_0} z_1^{k_1} z_2^{k_2} z_3^{k_3}$  such that  $k_0 + k_2 = y$  and  $k_1 + k_3 = x - y$ . Let  $\mathcal{A}_{x,y}$  be the set of all  $\mathbb{C}$ -linear combinations of elements of  $S_{x,y}$ . Since  $|\mathcal{A}_{x,y}| = (y+1)(x-y+1)$ ,  $\mathcal{A}_{x,y}$  is a  $\mathbb{C}$ -vector space of dimension  $(x+1)(x-1+1)$ . Let  $\mathcal{A}_{d,t}(-L-R-D)$  be the set of all surfaces  $X \subset \mathbb{CP}^3$  containing  $L \cup D \cup R$  and with equation in  $\mathcal{A}_{d,t}$ . Since  $h^0(\mathbb{CP}^3, \mathcal{I}_{L \cup D \cup R}(x)) = \binom{x+3}{3} - 9$  for all  $x \geq 2$ , the set  $\mathcal{A}_{d,t}(-L-R-D)$  is a projective space of dimension at least  $(t+1)(d-t+1) - 10$ . Take a general  $X \in \mathcal{A}_{x,t}(-L-R-D)$ . To give an example for Theorem 1 it is sufficient to prove that  $X$  is irreducible. By the second Bertini's theorem [23, part 4 of Theorem 6.3] it is sufficient to prove that the linear system  $|\mathcal{A}_{d,t}(-L-R-D)|$  has no base components and the rational map  $\gamma$  induced by  $|\mathcal{A}_{d,t}(-L-R-D)|$  has not a curve as its image. We have  $L = \{z_2 = z_3 = 0\}$  and  $R = \{z_0 = z_1 = 0\}$ . Since  $R = \{z_0 - z_2 = z_1 - z_3 = 0\}$ ,  $z_0, z_2 \in \mathcal{A}_{1,1}$  and  $z_1, z_3 \in \mathcal{A}_{1,0}$ , the curve  $L \cup D \cup R$  is contained in the zero-locus of the product of 2 elements of  $\mathcal{A}_{1,1}$  and 2 elements of  $\mathcal{A}_{1,0}$ . For all  $x > y > 0$  the linear system  $|\mathcal{A}_{x,y}|$  has no base points and maps  $\mathbb{CP}^3$  onto a 3-dimensional variety. Since  $t \geq 3$  and  $d - t \geq 3$ , we get that  $|\mathcal{A}_{d,t}(-L-R-D)|$  has 3-dimensional image and as possible base components only hyperplanes  $\{z_i = 0\}$ . We immediately check that no such hyperplane is a base component.

**Lemma 6.** Let  $X \subset \mathbb{CP}^3$  be an integral degree  $d > 2$  surface such that  $j(X) \neq X$ . Set  $T := X \cap j(X)$  and  $F := T_{\text{red}}$ . The group  $\text{Stab}_{\mathbb{G}}(X)$  is finite, unless there are a rational component  $D \subseteq F$  such that  $j(D) = D$  and  $o \in D$ , such that  $D \setminus \{o, j(o)\} \cong \mathbb{C}^*$ , and  $o$  and  $j(o)$  are stabilized by the connected component of  $\text{Stab}_{\mathbb{G}}(X)$  containing the identity.

**Proof.** Let  $G_1$  be the connected component of the identity of  $\text{Stab}_{\mathbb{G}}(X)$  and let  $G_2$  be the Zariski closure of  $G_1$  in  $\text{Stab}_{\mathbb{G}}(X)$ . Since  $X \neq j(X)$ ,  $T$  is a complete intersection curve of degree  $d^2$  (perhaps with multiple components) and hence  $F$  is a reduced curve. Since  $T = X \cap j(X)$ , we have  $j(F) = F$ . Since every conformal map commutes with  $j$ ,  $G_1 \subseteq \text{Stab}_{\mathbb{G}}(F)$  and hence  $G_2 \subseteq \text{Stab}_{PGL(4, \mathbb{C})}(F)$ . Since  $G_1$  is connected, it send each irreducible component of it into itself and hence  $G_2$  does the same. Let  $H \subset G_2$  be the Zariski closure of a minimal non-trivial connected subgroup of  $G_1$ . By

Lemma 5 we have  $H \cong \mathbb{C}^*$  and there is at least one irreducible component,  $E$ , of  $F$  on which  $H$  acts with an open orbit  $Ha$  with  $E \setminus \mathbb{C}^* = \{o, j(o)\}$ .  $\square$

**Remark 5.** Let  $H$  be a connected complex linear algebraic group such that  $H \neq \{\text{Id}\}$ , i.e.  $\dim H > 0$ . By [24, Lemma 6.10] and the definition of reductive group and radical [18, 11.21]  $H$  is reductive with a torus as its radical and every connected

solvable subgroup of  $H$  is a torus if and only if there is no subgroup of  $H$  isomorphic to the additive group  $\mathbb{C}_a$  (i.e.  $\mathbb{C}$  with the addition as its group operation).

**Proof of Theorem 2.** Since each element of  $G$  commutes with  $j$ , we have  $\text{Stab}_G(X) \subseteq \text{Stab}_{\text{PGL}(4, \mathbb{C})}(j(X))$ . Since  $\text{Stab}_{\text{PGL}(4, \mathbb{C})}(j(X))$  is closed in  $\text{PGL}(4, \mathbb{C})$  in the Zariski topology and  $G_2$  is Zariski dense in  $H$ , we have  $H \subseteq \text{Stab}_{\text{PGL}(4, \mathbb{C})}(j(X))$ . Set  $T := X \cap j(X)$  and  $F := T_{\text{red}}$ . Since  $H$  acts on  $X$  and  $j(X)$ , it acts on  $T$  and  $F$ . Since  $H$  is connected, we have  $H \subseteq \text{Stab}_{\text{PGL}(4, \mathbb{C})}(F_i)$  for every irreducible component of  $F$ .

(a) In this step we prove that  $H$  contains no subgroup isomorphic to  $\mathbb{C}_a$ . Assume, by contradiction, that  $H$  has a subgroup  $H' \cong \mathbb{C}_a$ .

Fix any irreducible component  $D$  of  $F$ . Either  $H'$  fixes each point of  $D$  or there are  $a, b \in D$  such that  $D = \{b\} \sqcup H'a$  with  $H' \cong \mathbb{C}_a$ . By Lemma 1 the former case may occur only if  $D$  is a line, which we excluded. Hence for each irreducible component  $D$  there is a unique  $b \in D$  such that  $H'b = b$  (Lemma 5). Call  $S'$  the set of all  $x \in F$  such that  $H'x = H'$ . We just say that  $S' \neq \emptyset$  and that each irreducible component of  $F$  meets  $S'$  at a unique point. For each  $x \in S'$  let  $F_x$  be the union of the irreducible components of  $F$  containing  $x$ . Since any two different orbits of  $H'$  are disjoint, we get that two different irreducible components of  $F$  either are disjoint or they meet at a unique point of  $S'$ , say  $x$ , and so they are contained in  $F(x)$ . Thus if  $x, x' \in S'$  we have  $F(x) \cap F(x') = \emptyset$ . Since  $F$  is connected (Remark 3) we get  $|S'| = 1$ . However, since  $H' \subseteq H$ ,  $j(F) = F$  and  $H \cap G$  is Zariski dense in  $H$ , we see that  $j(S') = S'$ . Since  $j$  has no fixed point, we get a contradiction.

(b) Now we conclude the proof that  $H \cong \mathbb{C}^*$ . By Remark 5 step (a) proves that  $H$  is reductive with a torus as its radical and every connected solvable subgroup of  $H$  is a torus. Since  $H$  is connected and it has no subgroup isomorphic to  $\mathbb{C}_a$  (step (a)), by the classification of connected and one-dimensional linear algebraic groups [24, Theorem 2.6.6] it is sufficient to prove  $\dim H = 1$ . We saw in step (a) that every irreducible component  $D$  of  $F$  is rational and that the natural map  $H \rightarrow \text{Stab}_{\text{PGL}(4, \mathbb{C})}(D)$  is injective. Thus  $H$  is isomorphic to a subgroup of  $\text{PGL}(2, \mathbb{C})$ . Since  $\dim \text{PGL}(2, \mathbb{C}) = 3$  and  $\text{PGL}(2, \mathbb{C})$  contains a subgroup isomorphic to  $\mathbb{C}_a$  (the set of all  $2 \times 2$  strictly upper triangular matrices) we get  $\dim H \leq 2$ . The classification of all simple groups gives that every semi-simple algebraic group has dimension at least 3. Thus  $H$  is solvable. Since  $H$  contains no subgroup isomorphic to  $\mathbb{C}_a$  (step (a1)),  $H$  is a torus [24, Lemma 6.10]. All maximal tori of a semisimple group like  $\text{PGL}(2, \mathbb{C})$  are conjugate [18, Corollary 11.3] and a maximal torus of  $\text{PGL}(2, \mathbb{C})$  has dimension 1, because any two commuting semisimple element of  $SL(2, \mathbb{C})$  may be simultaneously diagonalized.  $\square$

If  $X \subset \mathbb{CP}^3$  is an integral degree  $d$  surface with  $j(X) \neq X$ , then  $T := X \cap j(X)$  is a complete intersection curve (perhaps with multiple components or not irreducible). Obviously  $j(T) = T$  and  $\text{Stab}_G(X) \subseteq \text{Stab}_G(T)$ . The following lemma shows that the converse holds and gives a tool to find many surfaces  $X$  with  $j(X) \neq X$  and  $T = X \cap j(X)$ .

**Lemma 7.** *Let  $T \subset \mathbb{CP}^3$  be the complete intersection of 2 degree  $d$  surfaces (we allow the case in which  $T$  has multiple components). Then there is a degree  $d$  surface  $X \subset \mathbb{CP}^3$  without multiple components such that  $j(X)$  and  $X$  have no common components and  $T = X \cap j(X)$ .*

**Proof.** Since  $T$  is a complete intersection of 2 degree 2 surfaces, Bezout's theorem gives  $h^0(\mathbb{CP}^3, \mathcal{I}_T(d)) = 2$  and that  $T$  is the base-locus of the linear system  $|\mathcal{I}_T(d)|$ . Thus  $T$  is the scheme-theoretic intersection of any 2 elements of  $|\mathcal{I}_T(d)|$ . Let  $X$  be a general element of  $|\mathcal{I}_T(d)|$ . Since  $T$  is the base-locus of  $|\mathcal{I}_T(d)|$ , Bertini's theorem ([23, part b of Theorem 6.3] or [25, III.10.9]) implies that  $X$  is smooth outside  $T$ . Thus  $X$  has no multiple component. Since  $j(T) = T$ , the anti-holomorphic involution  $j$  induces an anti-holomorphic involution  $\gamma : |\mathcal{I}_T(d)| \rightarrow |\mathcal{I}_T(d)|$ .  $\square$

It is usually very easy to check that a complete intersection curve, even a reducible one, is not a complete intersection of 2 surfaces, at least one of them being reducible.

## Acknowledgments

The author was partially supported by MIUR, Italy and GNSAGA of INdAM (Italy).

## References

- [1] A. Altavilla, Twistor interpretation of slice regular functions, *J. Geom. Phys.* 123 (2018) 184–208.
- [2] A. Altavilla, G. Sarfatti, Slice-Polynomial Functions and Twistor geometry of ruled surfaces in  $\mathbb{CP}^3$ , arXiv:1712.09946.
- [3] J. Armstrong, The twistor discriminant locus of the Fermat cubic, *New York J. Math.* 21 (2015) 485–510.
- [4] J. Armstrong, M. Povero, S. Salamon, Twistor lines on cubic surfaces, *Rend. Semin. Mat. Univ. Politec. Torino* 71 (3–4) (2013) 317–338.
- [5] J. Armstrong, S. Salamon, Twistor topology of the Fermat cubic, *SIGMA Symmetry Integrability Geom. Methods Appl.* 10 (2014) 12, Paper 061.
- [6] G. Gentili, S. Salamon, C. Stoppato, Twistor transforms of quaternionic functions and orthogonal complex structures, *J. Eur. Math. Soc. (JEMS)* 16 (11) (2014) 2323–2353.
- [7] S. Salamon, J. Viaclovsky, Orthogonal complex structures on domains in  $\mathbb{R}^4$ , *Math. Ann.* 343 (4) (2009) 853–899.
- [8] G. Shapiro, On discrete differential geometry in twistor space, *J. Geom. Phys.* 68 (2013) 81–102.
- [9] G. Gentili, C. Stoppato, D.C. Struppa, Regular functions of a quaternionic variable, in: *Springer Monographs in Mathematics*, Springer, Heidelberg, 2013.
- [10] A. Howard, A.J. Sommese, On the orders of the automorphism groups of certain projective manifolds, in: *Manifolds and Lie groups* (Notre Dame, Ind., 1980), in: *Progr. Math.*, vol. 14, Birkhäuser, Boston, Mass., 1981, pp. 145–158.
- [11] L. Gruson, C. Peskine, Genre des courbes de l'espace projectif. II, *Ann. Scient. Éc. Norm. Sup.* (4) 15 (1982) 401–418.

- [12] R. Hartshorne, *Genre des Courbes Algébrique dans l'espace Projectif* (d'après L. Gruson et C. Peskine) Bourbaki Seminar, Vol. 1981/1982, Soc. Math., Astérisque, France, Paris, 1983, pp. 301–313, 92–99.
- [13] R.D. Accola, On the number of automorphisms of a closed Riemann surface, *Trans. Amer. Math. Soc.* 131 (1968) 398–408.
- [14] C. Maclachlan, A bound for the number of automorphisms of a compact Riemann surface, *J. Lond. Math. Soc.* 44 (1969) 265–272.
- [15] J.-P. Serre, *Finite Groups: An Introduction*, International Press, Somerville, MA, USA, 2016.
- [16] M.J. Collins, On Jordan's theorem for complex linear groups, *J. Group Theory* 10 (2007) 411–423.
- [17] A. Borel, *Linear Algebraic Groups*, W. J. Benjamin, Inc., New York, 1969.
- [18] A. Borel, *Linear Algebraic Groups*, second Enlarged ed., Springer-Verlag, New York, 1991.
- [19] J.E. Humphreys, *Linear Algebraic Groups*, in: *Graduate Text in Math.*, vol. 21, Springer-Verlag, New York Heidelberg Berlin, 1975.
- [20] H. Matsumura, P. Monsky, On the automorphisms of hypersurfaces, *J. Math. Kyoto Univ.* 3 (1963/1964) 347–361.
- [21] N.M. Katz, P. Sarnak, Random matrices, Frobenius eigenvalues, and monodromy, in: *American Mathematical Society Colloquium Publications*, Vol. 45, American Mathematical Society, Providence, RI, 1999.
- [22] B. Poonen, Varieties without extra automorphism II: hypersurfaces, *Finite Fields Appl.* 11 (2005) 230–268.
- [23] J.-P. Jouanolou, *Théoremes de Bertini et Applications*, in: *Progress in Math.*, vol. 42, Birkhäuser, Basel, 1983.
- [24] T.A. Springer, *Linear Algebraic Groups*, Birkhäuser, Boston, 1981.
- [25] R. Hartshorne, *Algebraic Geometry*, in: *Graduate Texts in Mathematics*, vol. 52, Springer-Verlag, New York-Heidelberg, 1977.