



Causality and Legendrian linking for higher dimensional spacetimes

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ABSTRACT

Let (X^{m+1}, g) be an $(m+1)$ -dimensional globally hyperbolic spacetime with Cauchy surface M^m , and let \tilde{M}^m be the universal cover of the Cauchy surface. Let \mathcal{N}_X be the contact manifold of all future directed unparameterized light rays in X that we identify with the spherical cotangent bundle ST^*M . Jointly with Stefan Nemirovski we showed that when \tilde{M}^m is **not** a compact manifold, then two points $x, y \in X$ are causally related if and only if the Legendrian spheres $\mathfrak{S}_x, \mathfrak{S}_y$ of all light rays through x and y are linked in \mathcal{N}_X .

In this short note we use the contact Bott–Samelson theorem of Frauenfelder, Labrousse and Schlenk to show that the same statement is true for all X for which the integral cohomology ring of a closed \tilde{M} is **not** the one of the CROSS (compact rank one symmetric space).

If M admits a Riemann metric \bar{g} , a point x and a number $\ell > 0$ such that all unit speed geodesics starting from x return back to x in time ℓ , then (M, \bar{g}) is called a Y_ℓ^X manifold. Jointly with Stefan Nemirovski we observed that causality in $(M \times \mathbb{R}, \bar{g} \oplus -t^2)$ is **not** equivalent to Legendrian linking. Every Y_ℓ^X -Riemann manifold has compact universal cover and its integral cohomology ring is the one of a CROSS. So we conjecture that Legendrian linking is equivalent to causality if and only if one can **not** put a Y_ℓ^X Riemann metric on a Cauchy surface M .

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All manifolds, maps etc. are assumed to be smooth unless the opposite is explicitly stated, and the word *smooth* means C^∞ .

1. Introduction

Let M be a not necessarily orientable, connected manifold of dimension $m \geq 2$ and let $\pi_M : ST^*M \rightarrow M$ be its spherical cotangent bundle. The manifold ST^*M carries a canonical co-oriented contact structure. An isotopy $\{L_t\}_{t \in [0,1]}$ of Legendrian submanifolds in a co-oriented contact manifold is called respectively *positive*, *non-negative* if it can be parameterized in such a way that the tangent vectors of all the trajectories of individual points lie in respectively positive, non-negative tangent half-spaces defined by the contact structure.

For the introduction of basic notions from Lorentz geometry we follow our paper [1].

Let (X^{m+1}, g) be an $(m+1)$ -dimensional Lorentz manifold and $x \in X$. A nonzero $\mathbf{v} \in T_x X$ is called *timelike*, *spacelike*, *non-spacelike (causal)* or *null (lightlike)* if $g(\mathbf{v}, \mathbf{v})$ is respectively negative, positive, non-positive or zero. An piecewise smooth curve is timelike if all of its velocity vectors are timelike. Null and non-spacelike curves are defined similarly. The Lorentz

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manifold (X, g) has a unique Levi-Civita connection, see for example [2, page 22], so we can talk about timelike and null geodesics. A submanifold $M \subset X$ is *spacelike* if g restricted to TM is a Riemann metric.

All non-spacelike vectors in $T_x X$ form a cone consisting of two hemicones, and a continuous with respect to $x \in X$ choice of one of the two hemicones (if it exists) is called the *time orientation* of (X, g) . The vectors from the chosen hemicones are called *future directed*. A time oriented Lorentz manifold is called a *spacetime* and its points are called *events*.

For x in a spacetime (X, g) its *causal future* $J^+(x) \subset X$ is the set of all $y \in X$ that can be reached by a future pointing causal curve from x . The causal past $J^-(x)$ of the event $x \in X$ is defined similarly.

Two events x, y are said to be *causally related* if $x \in J^+(y)$ or $y \in J^+(x)$.

A spacetime is said to be *globally hyperbolic* if $J^+(x) \cap J^-(y)$ is compact for every $x, y \in X$ and if it is *causal*, i.e. it has no closed non-spacelike curves. The classical definition of global hyperbolicity requires (X, g) to be strongly causal rather than just causal, but these two definitions are equivalent, see Bernal and Sanchez [3, Theorem 3.2].

A *Cauchy surface* in (X, g) is a subset such that every inextendible nonspacelike curve $\gamma(t)$ intersects it at exactly one value of t . A classical result is that (X, g) is globally hyperbolic if and only if it has a Cauchy surface, see [4, pages 211–212]. Geroch [5] proved that every globally hyperbolic (X, g) is homeomorphic to a product of \mathbb{R} and a Cauchy surface. Bernal and Sanchez [6, Theorem 1], [7, Theorem 1.1], [8, Theorem 1.2] proved that every globally hyperbolic (X^{m+1}, g) has a smooth spacelike Cauchy surface M^m and that moreover for every smooth spacelike Cauchy surface M there is a diffeomorphism $h : M \times \mathbb{R} \rightarrow X$ such that

- a: $h(M \times t)$ is a smooth spacelike Cauchy surface for all t ,
- b: $h(x \times \mathbb{R})$ is a future directed timelike curve for all $x \in M$, and finally
- c: $h(M \times 0) = M$ with $h|_{M \times 0} : M \rightarrow M$ being the identity map.

For a spacetime X we consider its space of light rays $\mathfrak{N} = \mathfrak{N}_X$. By definition, a point $\gamma \in \mathfrak{N}$ is an equivalence class of inextendible future-directed null geodesics up to an orientation preserving affine reparametrization.

A seminal observation of Penrose and Low [9–11] is that the space \mathfrak{N} has a canonical structure of a contact manifold (see also [12–15]). A contact form α_M on \mathfrak{N} defining that contact structure can be associated to any smooth spacelike Cauchy surface $M \subset X$. Namely, consider the map

$$\iota_M : \mathfrak{N}_X \hookrightarrow T^*M$$

taking $\gamma \in \mathfrak{N}$ represented by a null geodesic $\gamma \subset X$ to the 1-form on M at the point $x = \gamma \cap M$ collinear to $\langle \dot{\gamma}(x), \cdot \rangle|_M$ and having unit length with respect to the induced Riemann metric on M . This map identifies \mathfrak{N} with the unit cosphere bundle ST^*M of the Riemannian manifold M . Then

$$\alpha_M := \iota_M^* \lambda_{\text{can}},$$

where $\lambda_{\text{can}} = \sum p_k dq^k$ is the canonical Liouville 1-form on T^*M .

Remark 1.1 (*Bott–Samelson Type Result of Frauenfelder, Labrousse and Schlenk and its Strengthening*). The contact Bott–Samelson [16] type result of Frauenfelder, Labrousse and Schlenk [17, Theorem 1.13] says that if there is a positive Legendrian isotopy of a fiber S_x of ST^*M to itself, then the universal cover \tilde{M} of M is compact and has the integral cohomology ring of a CROSS. (This result answers our question with Nemirovski [18, Example 8.3] and compactness of M was first proved in [18, Corollary 8.1].)

Our result with Nemirovski [19, Proposition 4.5] says that if there is a non-constant non-negative Legendrian isotopy of S_x to itself, then there is a positive Legendrian isotopy of S_x to itself. (Note that this positive Legendrian isotopy generally is not a perturbation of the non-negative non-constant Legendrian isotopy that was assumed.)

So we can somewhat strengthen [17, Theorem 1.13] to say that if there is a non-constant non-negative Legendrian isotopy of S_x to itself, then the universal cover \tilde{M} of M is compact and has the integral cohomology ring of a CROSS, and in this work we will have to use the strengthened version of the contact Bott–Samelson type theorem.

2. Main results

Let (X, g) be a globally hyperbolic spacetime with Cauchy surface M . For a point $x \in X$ we denote by $\mathfrak{S}_x \subset \mathfrak{N}$ the Legendrian sphere of all (unparameterized, future directed) light rays passing through x .

For two causally unrelated points $x, y \in X$ the Legendrian link $(\mathfrak{S}_x, \mathfrak{S}_y)$ in \mathcal{N} does not depend on the choice of the causally unrelated points. Under the identification $\mathcal{N} = ST^*M$ this link is Legendrian isotopic to the link of sphere-fibers over two points of some (and then any) spacelike Cauchy surface M , see [20, Theorem 8], [1, Lemma 4.3] and [12]. We call a Legendrian link *trivial* if it is isotopic to such a link.

In [1, Theorem A] and [18, Theorem 10.4] we proved the following result. Assume that the universal cover \tilde{M} of a Cauchy surface of M of X is not compact and events $x, y \in X$ are causally related. Then the Legendrian link $(\mathfrak{S}_x, \mathfrak{S}_y)$ is nontrivial.

In the case where $M = \mathbb{R}^3$ this proved the Legendrian Low conjecture of Nataro and Tod [12]. The question to explore relations between causality and linking was motivated by the observations of Low [10, 11] and appeared on Arnold’s problem lists as a problem communicated by Penrose [21, Problem 8], [22, Problem 1998-21].

In this work we prove the following theorem.

Theorem 2.1. Assume that two events x, y in a globally hyperbolic spacetime X are causally related and the universal cover \tilde{M} of a Cauchy surface M of X is compact but does **not** have integral cohomology ring of a compact rank one symmetric space (CROSS). Then the Legendrian link $(\mathfrak{S}_x, \mathfrak{S}_y)$ is nontrivial.

Proof. The beginning of the proof follows the one of our [1, Theorem A].

If x, y are on the same null geodesic then the Legendrian link $(\mathfrak{S}_x, \mathfrak{S}_y)$ has a double point and hence is singular. So we do not have to consider this case.

Without the loss of generality we can assume that $y \in J^+(x)$ and hence \mathfrak{S}_y is connected to \mathfrak{S}_x by a non-negative Legendrian isotopy, see [1, Proposition 4.2].

Suppose that \mathfrak{S}_x and \mathfrak{S}_y are Legendrian unlinked, i.e., that the link $\mathfrak{S}_x, \mathfrak{S}_y$ is Legendrian isotopic to the link $F \sqcup F'$ formed by two different fibers of ST^*M . By the Legendrian isotopy extension theorem, there exists a contactomorphism $\Psi : ST^*M \rightarrow ST^*M$ such that $\Psi(\mathfrak{S}_x \sqcup \mathfrak{S}_y) = F \sqcup F'$. Hence, we get a non-negative Legendrian isotopy connecting two different fibers F and F' of ST^*M . But the Legendrian link $F \sqcup F'$ is symmetric, i.e., it is Legendrian isotopic to $F' \sqcup F$. Hence there exists a contactomorphism of ST^*M exchanging the two link components and we also have a non-negative Legendrian isotopy connecting F' to F .

Composing the non-negative Legendrian isotopy from F to F' and from F' to F we get a non-constant non-negative Legendrian isotopy from a fiber of ST^*M to itself.

Finally Remark 1.1 says that \tilde{M} has the integral cohomology ring of a CROSS. This contradicts to our assumptions. \square

Remark 2.2. Let (M, \bar{g}) be a Riemann manifold having a point x and a positive number ℓ such that all the unit speed geodesics from x return back to x in time ℓ , then (M, \bar{g}) is called a Y_ℓ^x Riemann manifold. The result of Bérard-Bergery [23, Theorem 7.37], [24] says that the universal cover \tilde{M} in this case is compact and the rational cohomology ring of \tilde{M} has exactly one generator. Clearly the co-geodesic flow on M gives a positive Legendrian isotopy of the Legendrian sphere fiber of ST^*M over x to itself. So the Bott–Samelson [16] type result of Frauenfelder, Labrousse and Schlenk [17, Theorem 1.13] says more, namely that \tilde{M} has the integral cohomology ring of a CROSS.

In [18, Example 10.5] we observed that when (M, \bar{g}) is a Y_ℓ^x Riemann manifold, then causality in the globally hyperbolic $(M \times \mathbb{R}, \bar{g} \oplus -dt^2)$ is **not** equivalent to Legendrian linking of sphere of light rays. In particular, causality is not equivalent to Legendrian linking in the case where (M, \bar{g}) is a metric quotient of the standard sphere. The Thurston Elliptization Conjecture (solved as the part of the Thurston Geometrization Conjecture) by Perelman [25–27] says that every 3-dimensional M whose universal cover is compact (and hence is the sphere by the Poincaré conjecture) is a metric quotient of the sphere. **So the results of Theorem 2.1 are new and interesting only for spacetimes of dimension greater than four.**

It is not currently known whether every compact simply connected manifold whose integer cohomology ring is the one of a CROSS admits a Y_ℓ^x Riemann metric. So we make the following conjecture.

Conjecture 2.3. Assume that a globally hyperbolic spacetime X is such that one can **not** put a Y_ℓ^x Riemann metric on its Cauchy surface M . Then two events $x, y \in X$ are causally related if and only if the Legendrian link $(\mathfrak{S}_x, \mathfrak{S}_y)$ is non-trivial.

In [1, Theorem C] we proved the following result. Assume that a Cauchy surface M has a cover diffeomorphic to an open domain in \mathbb{R}^m and two events $x, y \in X$ are such that $y \in J^+(x)$ but x, y do not belong to a common light geodesic. Then the Legendrian links $\mathfrak{S}_x \sqcup \mathfrak{S}_y$ and $\mathfrak{S}_y \sqcup \mathfrak{S}_x$ are not Legendrian isotopic. (In this case there is a non-negative Legendrian isotopy from \mathfrak{S}_y to \mathfrak{S}_x , but there is no such non-negative Legendrian isotopy from \mathfrak{S}_x to \mathfrak{S}_y .) One of the key ingredients in the proof is that for such M there is no non-constant non-negative Legendrian isotopy of a fiber of ST^*M to itself. In [18, Corollary 8.1, Remark 8.2] we showed that for the case where the universal cover \tilde{M} of M is not a compact manifold there is no non-negative Legendrian isotopy of a fiber of ST^*M to itself. So the result and the proof of [1, Theorem C] immediately generalize to this case.

In this work we use the Bott–Samelson [16] type Theorem of Frauenfelder, Labrousse and Schlenk [17, Theorem 1.13] to get the following result.

Theorem 2.4. Assume that two events x, y in a globally hyperbolic spacetime X are causally related and the universal cover \tilde{M} of a Cauchy surface M of X is compact but does **not** have integral cohomology ring of a compact rank one symmetric space (CROSS). Then the Legendrian link $(\mathfrak{S}_x, \mathfrak{S}_y)$ is not Legendrian isotopic to $(\mathfrak{S}_y, \mathfrak{S}_x)$.

Proof. The beginning of the proof follows the one of our [1, Theorem C].

Suppose that the links $\mathfrak{S}_x, \mathfrak{S}_y$ and $\mathfrak{S}_y, \mathfrak{S}_x$ are Legendrian isotopic. By the Legendrian isotopy extension theorem, there exists an auto contactomorphism Ψ such that $\Psi(\mathfrak{S}_x, \mathfrak{S}_y) = (\mathfrak{S}_y, \mathfrak{S}_x)$. Without loss of generality we assume that y is in the causal past of x . Let $\{\Lambda_t\}_{t \in [0, 1]}$ be a non-negative Legendrian isotopy in ST^*M connecting \mathfrak{S}_x to \mathfrak{S}_y provided by [1, Proposition 4.2]. Then $\{\Psi(\Lambda_t)\}_{t \in [0, 1]}$ is a non-negative Legendrian isotopy connecting \mathfrak{S}_y to \mathfrak{S}_x . Composing these two isotopies, we obtain a non-constant non-negative Legendrian isotopy connecting \mathfrak{S}_x to itself.

Recall now that \mathfrak{S}_x is Legendrian isotopic to a fiber of ST^*M . By the Legendrian isotopy extension theorem, there exists a contactomorphism Φ taking \mathfrak{S}_x to that fiber. The Legendrian isotopy connecting \mathfrak{S}_x to itself constructed above is taken by Φ to a non-constant non-negative Legendrian isotopy connecting the fiber to itself.

Finally Remark 1.1 says that \tilde{M} has the integral cohomology ring of a CROSS. This contradicts to our assumptions. \square

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