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# Partition and composition matrices<sup>☆</sup>

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### ABSTRACT

This paper introduces two matrix analogues for set partitions. A composition matrix on a finite set  $X$  is an upper triangular matrix whose entries partition  $X$ , and for which there are no rows or columns containing only empty sets. A partition matrix is a composition matrix in which an order is placed on where entries may appear relative to one-another.

We show that partition matrices are in one-to-one correspondence with inversion tables. Non-decreasing inversion tables are shown to correspond to partition matrices with a row ordering relation. Partition matrices which are  $s$ -diagonal are classified in terms of inversion tables. Bidiagonal partition matrices are enumerated using the transfer-matrix method and are equinumerous with permutations which are sortable by two pop-stacks in parallel.

We show that composition matrices on  $X$  are in one-to-one correspondence with  $(2 + 2)$ -free posets on  $X$ . Also, composition matrices whose rows satisfy a column-ordering relation are shown to be in one-to-one correspondence with parking functions. Finally, we show that pairs of ascent sequences and permutations are in one-to-one correspondence with  $(2 + 2)$ -free posets whose elements are the cycles of a permutation, and use this relation to give an expression for the number of  $(2 + 2)$ -free posets on  $\{1, \dots, n\}$ .

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## 1. Introduction

We present two matrix analogues for set partitions that are intimately related to both permutations and  $(2+2)$ -free posets.

**Example 1.** Here is an instance of what we shall call a partition matrix:

$$A = \begin{bmatrix} \{1, 2, 3\} & \emptyset & \{5, 7, 8\} & \{9\} \\ \emptyset & \{4\} & \{6\} & \{11\} \\ \emptyset & \emptyset & \emptyset & \{13\} \\ \emptyset & \emptyset & \emptyset & \{10, 12\} \end{bmatrix}.$$

**Definition 2.** Let  $X$  be a finite subset of  $\{1, 2, \dots\}$ . A *partition matrix* on  $X$  is an upper triangular matrix over the powerset of  $X$  satisfying the following properties:

- (i) each column and row contain at least one non-empty set;
- (ii) the non-empty sets partition  $X$ ;
- (iii)  $\text{col}(i) < \text{col}(j) \implies i < j$ ,

where  $\text{col}(i)$  denotes the column in which  $i$  is a member. Let  $\text{Par}_n$  be the collection of all partition matrices on  $[1, n] = \{1, \dots, n\}$ .

For instance,

$$\text{Par}_1 = \left\{ \begin{bmatrix} \{1\} \end{bmatrix} \right\};$$

$$\text{Par}_2 = \left\{ \begin{bmatrix} \{1, 2\} \end{bmatrix}, \begin{bmatrix} \{1\} & \emptyset \\ \emptyset & \{2\} \end{bmatrix} \right\};$$

$$\text{Par}_3 = \left\{ \begin{bmatrix} \{1, 2, 3\} \end{bmatrix}, \begin{bmatrix} \{1, 2\} & \emptyset \\ \emptyset & \{3\} \end{bmatrix}, \begin{bmatrix} \{1\} & \{2\} \\ \emptyset & \{3\} \end{bmatrix}, \begin{bmatrix} \{1\} & \{3\} \\ \emptyset & \{2\} \end{bmatrix}, \begin{bmatrix} \{1\} & \emptyset \\ \emptyset & \{2, 3\} \end{bmatrix}, \right. \\ \left. \begin{bmatrix} \{1\} & \emptyset & \emptyset \\ \emptyset & \{2\} & \emptyset \\ \emptyset & \emptyset & \{3\} \end{bmatrix} \right\}.$$

In Section 2 we present a bijection between  $\text{Par}_n$  and the set of *inversion tables*

$$\mathcal{I}_n = [0, 0] \times [0, 1] \times \dots \times [0, n-1], \quad \text{where } [a, b] = \{i \in \mathbb{Z} : a \leq i \leq b\}.$$

Non-decreasing inversion tables are shown to correspond to partition matrices with a row ordering relation. Partition matrices which are  $s$ -diagonal are classified in terms of inversion tables. Bidiagonal partition matrices are enumerated using the transfer-matrix method and are equinumerous with permutations which are sortable by two pop-stacks in parallel.

In Section 3 we show that composition matrices on  $X$  are in one-to-one correspondence with  $(2+2)$ -free posets on  $X$ . We also show that composition matrices whose rows satisfy a column-ordering relation are in one-to-one correspondence with parking functions.

Finally, in Section 4 we show that pairs of ascent sequences and permutations are in one-to-one correspondence with  $(2+2)$ -free posets whose elements are the cycles of a permutation, and use this relation to give an expression for the number of  $(2+2)$ -free posets on  $[1, n]$ .

Taking the entry-wise cardinality of the matrices in  $\text{Par}_n$  one gets the matrices of Dukes and Parviainen [5]. In that sense, we generalize the paper of Dukes and Parviainen in a similar way as Claesson and Linusson [4] generalized the paper of Bousquet-Mélou et al. [2]. We note, however, that if we restrict our attention to those inversion tables that enjoy the property of being an *ascent sequence*, then we do *not* recover the bijection of Dukes and Parviainen.

## 2. Partition matrices and inversion tables

For  $w$  a sequence let  $\text{Alph}(w)$  denote the set of distinct entries in  $w$ . In other words, if we think of  $w$  as a word, then  $\text{Alph}(w)$  is the (smallest) alphabet on which  $w$  is written. Also, let us write  $\{a_1, \dots, a_k\}_<$  for a set whose elements are listed in increasing order,  $a_1 < \dots < a_k$ . Given an inversion table  $w = (x_1, \dots, x_n) \in \mathcal{I}_n$  with  $\text{Alph}(w) = \{y_1, \dots, y_k\}_<$  define the  $k \times k$  matrix  $A = \Lambda(w) \in \text{Par}_n$  by

$$A_{ij} = \{\ell: x_\ell = y_i \text{ and } y_j < \ell \leq y_{j+1}\},$$

where we let  $y_{k+1} = n$ . For example, with

$$w = (0, 0, 0, 3, 0, 3, 0, 0, 8, 3, 8) \in \mathcal{I}_{12}$$

we have  $\text{Alph}(w) = \{0, 3, 8\}$  and

$$\Lambda(w) = \begin{bmatrix} \{1, 2, 3\} & \{5, 7, 8\} & \{9\} \\ \emptyset & \{4, 6\} & \{11\} \\ \emptyset & \emptyset & \{10, 12\} \end{bmatrix} \in \text{Par}_{12}.$$

We now define a map  $K: \text{Par}_n \rightarrow \mathcal{I}_n$ . Given  $A \in \text{Par}_n$ , for  $\ell \in [1, n]$  let  $x_\ell = \min(A_{*i}) - 1$  where  $i$  is the row containing  $\ell$  and  $\min(A_{*i})$  is the smallest entry in column  $i$  of  $A$ . Define

$$K(A) = (x_1, \dots, x_n).$$

**Theorem 3.** *The map  $\Lambda: \mathcal{I}_n \rightarrow \text{Par}_n$  is a bijection and  $K$  is its inverse.*

**Proof.** It suffices to show the following four statements:

- (1)  $\Lambda(\mathcal{I}_n) \subseteq \text{Par}_n$ ;
- (2)  $K(\text{Par}_n) \subseteq \mathcal{I}_n$ ;
- (3)  $K(\Lambda(w)) = w$  for all  $w$  in  $\mathcal{I}_n$ ;
- (4)  $\Lambda(K(A)) = A$  for all  $A$  in  $\text{Par}_n$ .

Proof of (1): Assume that  $w = (x_1, \dots, x_n) \in \mathcal{I}_n$  with  $\text{Alph}(w) = \{y_1, \dots, y_k\}_<$ , and let  $A = \Lambda(w)$ . We first need to see that  $A$  is upper triangular. Let  $i > j$  and consider the entry  $A_{ij}$ . Assume that  $x_\ell = y_i$ . Since  $w \in \mathcal{I}_n$  we have  $\ell > x_\ell$  and thus  $\ell > y_i$ . Since  $y_1 < \dots < y_k$  and  $i \geq j + 1$  we have  $\ell > y_i \geq y_{j+1}$ . Thus  $A_{ij} = \emptyset$  if  $i > j$ ; that is,  $A$  is upper triangular.

Denote by  $A_{i*}$  and  $A_{*j}$  the union of the sets in the  $i$ th row and the  $j$ th column of  $A$ , respectively. By definition, we have  $A_{i*} = \{\ell: x_\ell = y_i\}$  and  $A_{*j} = [y_j + 1, y_{j+1}]$  and clearly both sets are non-empty. Thus  $A$  satisfies condition (i) of Definition 2. To show (ii), it suffices to note that the entries  $A_{i*}$  form a partition of  $[1, n]$ , and so do the entries  $A_{*j}$ . To show (iii), let  $u, v \in [1, n]$  with  $\text{col}(u) < \text{col}(v)$ . Also, let  $p = \text{col}(u)$  and  $q = \text{col}(v)$ . Then  $u \leq y_{p+1}$  and  $y_q < v$ . Since  $p + 1 \leq q$  and the numbers  $y_i$  are increasing, it follows that  $u \leq y_{p+1} \leq y_q < v$ .

Proof of (2): Given  $A \in \text{Par}_n$  choose any  $\ell \in [1, n]$ . Suppose that  $\ell$  is in row  $i$  of  $A$  and let  $a = \min(A_{*i})$  be the smallest entry in column  $i$  of  $A$ . If  $\text{col}(a) = \text{col}(\ell)$  then  $a \leq \ell$ , and so  $x_\ell = a - 1 \leq \ell - 1$ . Otherwise,  $\text{col}(a) < \text{col}(\ell)$  and so, from condition (iii) of Definition 2, we have  $a < \ell$ . Thus  $x_\ell < \ell - 1$ .

Proof of (3): Let  $w = (x_1, \dots, x_n) \in \mathcal{I}_n$ ,  $\text{Alph}(w) = \{y_1, \dots, y_k\}_<$ ,  $A = \Lambda(w)$  and  $K(A) = (z_1, \dots, z_m)$ . From the definitions of  $\Lambda$  and  $K$  it is clear that  $n = m$ . Suppose that  $\ell \in [1, n]$  is in row  $i$  of  $A$ ; then  $x_\ell = y_i$ . Also, by the definition of  $\Lambda$ , the smallest entry in column  $i$  of  $A$  is  $y_i + 1$ . From the definition of  $K$  we have  $z_\ell = (y_i + 1) - 1 = y_i = x_\ell$ . So  $x_\ell = z_\ell$  for all  $\ell \in [1, n]$ , and hence  $w = z = K(A)$ .

Proof of (4): Let  $A \in \text{Par}_n$ ,  $K(A) = w = (x_1, \dots, x_n)$ ,  $\text{Alph}(w) = \{y_1, \dots, y_k\}_<$  and  $P = \Lambda(w)$ . Also, define  $z_j = \min(A_{*j}) - 1$ . Then, for  $\ell \in [1, n]$ , we have

$$\ell \in A_{ij} \iff x_\ell = z_i \text{ and } \ell \in [z_j + 1, z_{j+1}] \quad (1)$$

by the definitions of  $K$  and  $z_j$ . In particular, this means that each  $x_\ell$  equals some  $z_i$  and, similarly, each  $z_i$  equals some  $x_\ell$ . Hence  $\text{Alph}(w) = \{z_1, \dots, z_{\dim(A)}\}_<$  and it follows that  $\dim(A) = k$  and  $y_j = z_j$  for all  $j \in [1, k]$ . So we can restate (1) as

$$\ell \in A_{ij} \iff x_\ell = y_i \text{ and } \ell \in [y_j + 1, y_{j+1}].$$

By the definition of  $\Lambda$ , the right-hand side is equivalent to  $\ell \in P_{ij}$ . Thus  $A = P$ .  $\square$

### 2.1. Statistics on partition matrices and inversion tables

Given  $A \in \text{Par}_n$ , let  $\text{Min}(A) = \{\min(A_{*j}) : j \in [1, \dim(A)]\}$ . For instance, the matrix  $A$  in Example 1 has  $\text{Min}(A) = \{1, 4, 5, 9\}$ . From the definition of  $\Lambda$  the following proposition is apparent.

**Proposition 4.** If  $w \in \mathcal{I}_n$ ,  $\text{Alph}(w) = \{y_1, \dots, y_k\}_<$  and  $A = \Lambda(w)$ , then

$$\text{Min}(A) = \{y_1 + 1, \dots, y_k + 1\} \text{ and } \dim(A) = |\text{Alph}(w)|.$$

**Corollary 5.** The statistic  $\dim$  on  $\text{Par}_n$  is Eulerian.

**Proof.** The statistic “number of distinct entries in the inversion table” is Eulerian; that is, it has the same distribution on  $\mathfrak{S}_n$  (the symmetric group) as the number of descents. For a proof due to Deutsch see [4, Cor. 19].  $\square$

Let us say that  $i$  is a *special descent* of  $w = (x_1, \dots, x_n) \in \mathcal{I}_n$  if  $x_i > x_{i+1}$  and  $i$  does not occur in  $w$ . Let  $\text{sdes}(w)$  denote the number of special descents of  $w$ , so

$$\text{sdes}(w) = \left| \{i : x_i > x_{i+1} \text{ and } x_\ell \neq i \text{ for all } \ell \in [1, n]\} \right|.$$

Claesson and Linusson [4] conjectured that  $\text{sdes}$  has the same distribution on  $\mathcal{I}_n$  as the so-called bivincular pattern  $p = (231, \{1\}, \{1\})$  has on  $\mathfrak{S}_n$ . An occurrence of  $p$  in a permutation  $\pi = a_1 \cdots a_n$  is a subword  $a_i a_{i+1} a_j$  such that  $a_{i+1} > a_i = a_j + 1$ . We shall define a statistic on partition matrices that is equidistributed with  $\text{sdes}$ . Given  $A \in \text{Par}_n$  let us say that  $i$  is a *column descent* if  $i + 1$  is in the same column as, and above,  $i$  in  $A$ . Let  $\text{cdes}(A)$  denote the number of column descents in  $A$ , so

$$\text{cdes}(A) = \left| \{i : \text{row}(i) > \text{row}(i + 1) \text{ and } \text{col}(i) = \text{col}(i + 1)\} \right|.$$

**Proposition 6.** The special descents of  $w \in \mathcal{I}_n$  equal the column descents of  $\Lambda(w)$ .

**Proof.** Given  $t \in [1, n - 1]$ , let  $u = \text{row}(t + 1)$  and  $v = \text{row}(t)$ . As before, let  $w = (x_1, \dots, x_n)$  and  $\text{Alph}(w) = \{y_1, \dots, y_k\}_<$ . By the definition of  $\Lambda$  we have  $x_{t+1} = y_u$  and  $x_t = y_v$ . So, since the numbers  $y_i$  are increasing, we have

$$\text{row}(t + 1) < \text{row}(t) \iff u < v \iff y_u < y_v \iff x_{t+1} < x_t.$$

Now, let  $u = \text{col}(t + 1)$  and  $v = \text{col}(t)$ . Then

$$\begin{aligned}
\text{col}(t+1) = \text{col}(t) &\iff t+1 \in [y_u+1, y_{u+1}] \text{ and } t \in [y_v+1, y_{v+1}] \\
&\iff y_u+1 \leq t \leq y_{v+1}-1 \\
&\iff x_j \neq t \text{ for all } j \in [1, n],
\end{aligned}$$

which concludes the proof.  $\square$

**Corollary 7.** *The statistic sdes on  $\mathcal{I}_n$  has the same distribution as cdes on  $\text{Par}_n$ .*

## 2.2. Non-decreasing inversion tables and partition matrices

Let us write  $\text{Mono}_n$  for the collection of matrices in  $\text{Par}_n$  which satisfy

$$(iv) \text{ row}(i) < \text{row}(j) \implies i < j,$$

where  $\text{row}(i)$  denotes the row in which  $i$  is a member. We say that an inversion table  $(x_1, \dots, x_n)$  is *non-decreasing* if  $x_i \leq x_{i+1}$  for all  $1 \leq i < n$ .

**Theorem 8.** *Under the map  $\Lambda : \text{Par}_n \rightarrow \mathcal{I}_n$ , matrices in  $\text{Mono}_n$  correspond to non-decreasing inversion tables.*

**Proof.** Let  $w = (x_1, \dots, x_n) \in \mathcal{I}_n$  and  $\text{Alph}(w) = \{y_1, \dots, y_k\}_<$ . Looking at the definition of  $\Lambda$ , we see that  $\ell$  is in row  $i$  of  $\Lambda(w)$  if and only if  $x_\ell = y_i$ . Since the numbers  $y_i$  are increasing it follows that condition (iv) holds if and only if  $w$  is non-decreasing, as claimed.  $\square$

**Proposition 9.**  $|\text{Mono}_n| = \binom{2n}{n}/(n+1)$ , the  $n$ th Catalan number.

**Proof.** Consider the set of lattice paths in the plane from  $(0,0)$  to  $(n,n)$  which take steps in the set  $\{(1,0), (0,1)\}$  and never go above the diagonal line  $y=x$ . Such paths are commonly known as *Dyck paths*, and they can be encoded as a sequence  $(x_1, \dots, x_n)$  where  $x_i$  is the  $y$ -coordinate of the  $i$ th horizontal step  $(1,0)$ . The restriction on such a sequence, for it to be Dyck path, is precisely that it is a non-decreasing inversion table. The number of Dyck paths from  $(0,0)$  to  $(n,n)$  is given by the  $n$ th Catalan number.  $\square$

We want to remark that a matrix  $A \in \text{Mono}_n$  is completely determined by the cardinalities of its entries. Thus, we can identify  $A$  with an upper triangular matrix that contains non-negative entries which sum to  $n$  and such that there is at least one non-zero entry in each row and column. Also, the number of such matrices with  $k$  rows is equal to the Narayana number

$$\frac{1}{k} \binom{n}{k} \binom{n}{k-1}.$$

## 2.3. $s$ -diagonal partition matrices

A  $k \times k$  matrix  $A$  is called  *$s$ -diagonal* if  $A$  is upper triangular and  $A_{ij} = \emptyset$  for  $j - i \geq s$ . For  $s = 1$  we get the collection of diagonal matrices.

**Theorem 10.** *Let  $w = (x_1, \dots, x_n) \in \mathcal{I}_n$ ,  $A = \Lambda(w)$  and  $\text{Alph}(w) = \{y_1, \dots, y_k\}_<$ . Define  $y_{k+1} = n$ . The matrix  $A$  is  $s$ -diagonal if and only if for every  $i \in [1, n]$  there exists an  $a(i) \in [1, k]$  such that*

$$y_{a(i)} < i \leq y_{a(i)+1} \text{ and } x_i \in \{y_{a(i)}, y_{a(i)-1}, \dots, y_{\max(1, a(i)-s+1)}\}.$$

**Proof.** From the definition of  $\Lambda$  we have that  $i$  is in row  $a-j$  of  $A$  precisely when  $x_i = y_{a-j}$ , and that  $i$  is in column  $a$  of  $A$  precisely when  $y_a < i \leq y_{a+1}$ . The matrix  $A$  is  $s$ -diagonal if for every entry  $i$ , there exists  $a(i)$  such that  $i$  is in column  $a(i)$  and row  $a(i) - j$  for some  $0 \leq j < s$ .  $\square$

Setting  $s = 1$  in the above theorem gives us the class of diagonal matrices. These admit a more explicit description which we will now present.

In computer science, *run-length encoding* is a simple form of data compression in which consecutive data elements (runs) are stored as a single data element and its multiplicity. We shall apply this to inversion tables, but for convenience rather than compression purposes. Let  $\text{RLE}(w)$  denote the run-length encoding of the inversion table  $w$ . For example,

$$\text{RLE}(0, 0, 0, 0, 1, 1, 0, 2, 3, 3) = (0, 4)(1, 2)(0, 1)(2, 1)(3, 2).$$

A sequence of positive integers  $(u_1, \dots, u_k)$  which sum to  $n$  is called an *integer composition* of  $n$  and we write this as  $(u_1, \dots, u_k) \models n$ .

**Corollary 11.** *The set of diagonal matrices in  $\text{Par}_n$  is the image under  $\Delta$  of*

$$\{w \in \mathcal{I}_n : (u_1, \dots, u_k) \models n \text{ and } \text{RLE}(w) = (p_0, u_1) \cdots (p_{k-1}, u_k)\},$$

where  $p_0 = 0$ ,  $p_1 = u_1$ ,  $p_2 = u_1 + u_2$ ,  $p_3 = u_1 + u_2 + u_3$ , etc.

Since diagonal matrices are in bijection with integer compositions, the number of diagonal matrices in  $\text{Par}_n$  is  $2^{n-1}$ . Although the bidiagonal matrices do not admit as compact a description in terms of the corresponding inversion tables, we can still count them using the so-called transfer-matrix method [9, §4.7]. Consider the matrix

$$B = \begin{bmatrix} \{1, 2\} & \{3\} & \emptyset & \emptyset \\ \emptyset & \emptyset & \{5\} & \emptyset \\ \emptyset & \emptyset & \{4, 6\} & \emptyset \\ \emptyset & \emptyset & \emptyset & \{7\} \end{bmatrix}.$$



























More specifically consider creating  $B$  by starting with the empty matrix,  $\epsilon$ , and inserting the elements  $1, \dots, 7$  one at a time:

$$\begin{aligned} \epsilon &\rightarrow [\{1\}] \\ &\rightarrow [\{1, 2\}] \rightarrow \begin{bmatrix} \{1, 2\} & \{3\} \\ \emptyset & \emptyset \end{bmatrix} \rightarrow \begin{bmatrix} \{1, 2\} & \{3\} & \emptyset \\ \emptyset & \emptyset & \emptyset \\ \emptyset & \emptyset & \{4\} \end{bmatrix} \\ &\quad \rightarrow \begin{bmatrix} \{1, 2\} & \{3\} & \emptyset \\ \emptyset & \emptyset & \{5\} \\ \emptyset & \emptyset & \{4\} \end{bmatrix} \\ &\quad \rightarrow \begin{bmatrix} \{1, 2\} & \{3\} & \emptyset \\ \emptyset & \emptyset & \{5\} \\ \emptyset & \emptyset & \{4, 6\} \end{bmatrix} \rightarrow \begin{bmatrix} \{1, 2\} & \{3\} & \emptyset & \emptyset \\ \emptyset & \emptyset & \{5\} & \emptyset \\ \emptyset & \emptyset & \{4, 6\} & \emptyset \\ \emptyset & \emptyset & \emptyset & \{7\} \end{bmatrix}. \end{aligned}$$

We shall encode (some aspects of) this process like this:

$$\epsilon \rightarrow \blacksquare \rightarrow \blacksquare \rightarrow \begin{smallmatrix} \blacksquare & \blacksquare \\ \square & \square \end{smallmatrix} \rightarrow \begin{smallmatrix} \blacksquare & \blacksquare & \blacksquare \\ \square & \square & \square \end{smallmatrix} \rightarrow \begin{smallmatrix} \blacksquare & \blacksquare & \blacksquare & \blacksquare \\ \square & \square & \square & \square \end{smallmatrix} \rightarrow \begin{smallmatrix} \blacksquare & \blacksquare & \blacksquare & \blacksquare & \blacksquare \\ \square & \square & \square & \square & \square \end{smallmatrix}.$$

Here,  $\blacksquare$  denotes any  $1 \times 1$  matrix whose only entry is a non-empty set;  $\begin{smallmatrix} \blacksquare & \blacksquare \\ \square & \square \end{smallmatrix}$  denotes any  $2 \times 2$  matrix whose black entries are non-empty;  $\begin{smallmatrix} \blacksquare & \blacksquare & \blacksquare \\ \square & \square & \square \end{smallmatrix}$  denotes any matrix of dimension 3 or more, whose entries in the bottom right corner match the picture, that is, the black entries are non-empty; etc. The sequence of pictures does not, in general, uniquely determine a bidiagonal matrix, but each picture contains enough information to tell what pictures can possibly follow it. The matrix below gives all possible transitions (a  $q$  records when a new column, and row, is created):

	$\epsilon$													
$\epsilon$	0	$q$	0	0	0	0	0	0	0	0	0	0	0	0
	0	1	$q$	$q$	0	0	0	0	0	0	0	0	0	0
	0	0	1	0	1	$q$	$q$	0	0	0	0	0	0	0
	0	0	0	1	1	0	0	0	$q$	$q$	0	0	0	0
	0	0	0	0	2	0	0	0	0	0	0	$q$	$q$	0
	0	0	0	0	0	$q+1$	$q$	1	0	0	0	0	0	0
	0	0	0	0	0	0	1	1	$q$	$q$	0	0	0	0
	0	0	0	0	0	0	0	2	0	0	0	$q$	$q$	0
	0	0	0	0	0	0	0	0	1	0	1	0	0	0
	0	0	0	0	0	0	0	0	$q$	$q+1$	1	0	0	0
	0	0	0	0	0	0	0	0	0	0	2	$q$	$q$	0
	0	0	0	0	0	$q$	$q$	0	0	0	0	1	0	1
	0	0	0	0	0	0	0	0	$q$	$q$	0	0	1	1
	0	0	0	0	0	0	0	0	0	0	0	$q$	$q$	2

We would like to enumerate paths that start with  $\epsilon$  and end in a configuration with no empty rows or columns. Letting  $M$  denote the above transfer-matrix, this amounts to calculating the first coordinate in

$$(1 - xM)^{-1}[1\,1\,1\,0\,1\,1\,0\,1\,0\,0\,1\,1\,0\,1]^T.$$

**Proposition 12.** *We have*

$$\sum_{n \geq 0} \sum_{A \in \text{BiPar}_n} q^{\dim(A)} x^n = \frac{2x^3 - (q+5)x^2 + (q+4)x - 1}{2(q^2 + q + 1)x^3 - (q^2 + 4q + 5)x^2 + 2(q+2)x - 1},$$

where  $\text{BiPar}_n$  is the collection of bidiagonal matrices in  $\text{Par}_n$ .

We find it interesting that the number of bidiagonal matrices in  $\text{Par}_n$  is given by the sequence [7, A164870], which corresponds to permutations of  $[1, n]$  which are sortable by two pop-stacks in parallel. In terms of pattern avoidance those are the permutations in the class

$$\mathfrak{S}_n(3214, 2143, 24\,135, 41\,352, 14\,352, 13\,542, 13\,524).$$

See Atkinson and Sack [1]. Moreover, there are exactly  $2^{n-1}$  permutations of  $[1, n]$  which are sortable by one pop-stack; hence equinumerous with the diagonal partition matrices. One might then wonder about permutations which are sortable by three pop-stacks in parallel. Are they equinumerous with tridiagonal partition matrices? Computations show that this is not the case: For  $n = 6$  there are 646 tridiagonal partition matrices, but only 644 permutations which are sortable by three pop-stacks in parallel. For more on the enumeration of permutations sortable by pop-stacks in parallel see Smith and Vatter [8].

### 3. Composition matrices and $(2+2)$ -free posets

Consider Definition 2. Define a *composition matrix* to be a matrix that satisfies conditions (i) and (ii), but not necessarily (iii). Let  $\text{Comp}_n \supseteq \text{Par}_n$  denote the set of all composition matrices on  $[1, n]$ . The smallest example of a composition matrix that is not a partition matrix is

$$\begin{bmatrix} \{2\} & \emptyset \\ \emptyset & \{1\} \end{bmatrix}.$$

In this section we shall give a bijection from  $\text{Comp}_n$  to the set of  $(2+2)$ -free posets on  $[1, n]$ . This bijection will factor through a certain union of Cartesian products that we now define. Given a set  $X$ , let us write  $\binom{X}{x_1, \dots, x_\ell}$  for the collection of all sequences  $(X_1, \dots, X_\ell)$  that are ordered set partitions of  $X$  and  $|X_i| = x_i$  for all  $i \in [1, \ell]$ . For a sequence  $(a_1, \dots, a_i)$  of numbers let

$$\text{asc}(a_1, \dots, a_i) = |\{j \in [1, i-1]: a_j < a_{j+1}\}|.$$

Following Bousquet-Mélou et al. [2] we define a sequence of non-negative integers  $\alpha = (a_1, \dots, a_n)$  to be an *ascent sequence* if  $a_1 = 0$  and  $a_{i+1} \in [0, 1 + \text{asc}(a_1, \dots, a_i)]$  for  $0 < i < n$ . Let  $\mathcal{A}_n$  be the collection of ascent sequences of length  $n$ . Define the *run-length record* of  $\alpha$  to be the sequence that records the multiplicities of adjacent values in  $\alpha$ . We denote it by  $\text{RLR}(\alpha)$ . In other words,  $\text{RLR}(\alpha)$  is the sequence of second coordinates in  $\text{RLE}(\alpha)$ , the run-length encoding of  $\alpha$ . For instance,

$$\text{RLR}(0, 0, 0, 0, 1, 1, 0, 2, 3, 3) = (4, 2, 1, 1, 2).$$

Finally we are in a position to define the set through which our bijection from  $\text{Comp}_n$  to  $(2+2)$ -free posets on  $[1, n]$  will factor. Let

$$\mathfrak{A}_n = \bigcup_{\alpha \in \mathcal{A}_n} \{\alpha\} \times \binom{[1, n]}{\text{RLR}(\alpha)}.$$

Let  $\mathcal{M}_n$  be the collection of upper triangular matrices that contain non-negative integers whose entries sum to  $n$  and such that there is no column or row of all zeros. Dukes and Parviainen [5] presented a bijection

$$\Gamma: \mathcal{M}_n \rightarrow \mathcal{A}_n.$$

Given  $A \in \mathcal{M}_n$ , let  $\text{nz}(A)$  be the number of non-zero entries in  $A$ . Since it follows from [5, Thm. 4] that  $A$  may be uniquely constructed, in a step-wise fashion, from the ascent sequence  $\Gamma(A)$ , we may associate to each non-zero entry  $A_{ij}$  its time of creation  $T_A(i, j) \in [1, \text{nz}(A)]$ . By defining  $T_A(i, j) = 0$  if  $A_{ij} = 0$  we may view  $T_A$  as a  $\dim(A) \times \dim(A)$  matrix. Define  $\text{Seq}(A) = (y_1, \dots, y_{\text{nz}(A)})$  where  $y_t = A_{ij}$  and  $T_A(i, j) = t$ .

**Example 13.** With

$$A = \begin{bmatrix} 3 & 0 & 3 & 1 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 2 \end{bmatrix}$$

we have

$$T_A = \begin{bmatrix} 1 & 0 & 5 & 8 \\ 0 & 2 & 4 & 7 \\ 0 & 0 & 0 & 6 \\ 0 & 0 & 0 & 3 \end{bmatrix}$$

and

$$\text{Seq}(A) = (3, 1, 2, 1, 3, 1, 1, 1).$$



**Lemma 14.** Given  $A \in \mathcal{M}_n$ , we have that  $\text{Seq}(A) = \text{RLR}(\Gamma(A))$ .

**Proof.** This is a straightforward consequence of the construction rules given by Dukes and Parviainen [5].  $\square$

For a matrix  $A \in \text{Comp}_n$  define  $\text{Card}(A)$  as the matrix obtained from  $A$  by taking the cardinality of each of its entries. Note that  $A \mapsto \text{Card}(A)$  is a surjection from  $\text{Par}_n$  onto  $\mathcal{M}_n$ . Define  $E(A)$  as the ordered set partition  $(X_1, \dots, X_{\text{nz}(A)})$ , where  $X_t = A_{ij}$  for  $t = T_{\text{Card}(A)}(i, j)$ . Finally, define  $f : \text{Comp}_n \rightarrow \mathfrak{A}_n$  by

$$f(A) = (\Gamma(\text{Card}(A)), E(A)).$$

**Example 15.** Let us calculate  $f(A)$  for

$$A = \begin{bmatrix} \{3, 8\} & \{6\} & \emptyset \\ \emptyset & \{2, 5, 7\} & \emptyset \\ \emptyset & \emptyset & \{1, 4\} \end{bmatrix}.$$

We have

$$\text{Card}(A) = \begin{bmatrix} 2 & 1 & 0 \\ 0 & 3 & 0 \\ 0 & 0 & 2 \end{bmatrix}; \quad T_{\text{Card}(A)} = \begin{bmatrix} 1 & 3 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 4 \end{bmatrix}$$

and

$$\begin{aligned} f(A) &= (\Gamma(\text{Card}(A)), E(A)) \\ &= ((0, 0, 1, 1, 1, 0, 2, 2), \{3, 8\}\{2, 5, 7\}\{6\}\{1, 4\}). \end{aligned}$$

We now define a map  $g : \mathfrak{A}_n \rightarrow \text{Comp}_n$ . For  $(w, \chi) \in \mathfrak{A}_n$  with  $\chi = (X_1, \dots, X_k)$  let  $g(w, \chi) = A$ , where  $A_{ij} = X_t$ ,  $t = T_B(i, j)$  and  $B = \Gamma^{-1}(w)$ . It is easy to verify that  $f(\text{Comp}_n) \subseteq \mathfrak{A}_n$ ,  $g(\mathfrak{A}_n) \subseteq \text{Comp}_n$ ,  $g(f(w, \chi)) = (w, \chi)$  for  $(w, \chi) \in \mathfrak{A}_n$ , and  $f(g(A)) = A$  for  $A \in \text{Comp}_n$ . Thus we have the following theorem.

**Theorem 16.** The map  $f : \text{Comp}_n \rightarrow \mathfrak{A}_n$  is a bijection and  $g$  is its inverse.

Next we will give a bijection  $\phi$  from  $\mathfrak{A}_n$  to  $\mathfrak{P}_n$ , the set of  $(2+2)$ -free posets on  $[1, n]$ . Recall that a poset  $P$  is  $(2+2)$ -free if it does not contain an induced subposet that is isomorphic to  $2+2$ , the union of two disjoint 2-element chains. Let  $(\alpha, \chi) \in \mathfrak{A}_n$  with  $\chi = (X_1, \dots, X_\ell)$ . Assuming that  $X_i = \{x_1, \dots, x_k\}_<$  define the word  $\hat{X}_i = x_1 \cdots x_k$  and let  $\hat{\chi} = \hat{X}_1 \cdots \hat{X}_\ell$ . From this,  $\hat{\chi}$  will be a permutation of the elements  $[1, n]$ . Let  $\hat{\chi}(i)$  be the  $i$ th letter of this permutation.

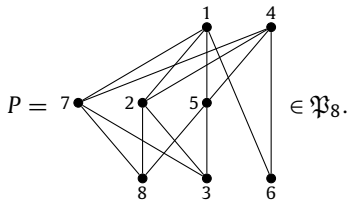
For  $(\alpha, \chi) \in \mathfrak{A}_n$  define  $\phi(\alpha, \chi)$  as follows: Construct the poset element by element according to the construction rules of [2] on the ascent sequence  $\alpha$ . Label with  $\hat{\chi}(i)$  the element inserted at step  $i$ .

The inverse of this map is also straightforward to state and relies on the following crucial observation [6, Prop. 3] concerning indistinguishable elements in an unlabeled  $(2+2)$ -free poset. Two elements in a poset are called *indistinguishable* if they obey the same relations relative to all other elements.

Let  $P$  be an unlabeled poset that is constructed from the ascent sequence  $\alpha = (a_1, \dots, a_n)$ . Let  $p_i$  and  $p_j$  be the elements that were created during the  $i$ th and  $j$ th steps of the construction given in [2, Sect. 3]. The elements  $p_i$  and  $p_j$  are indistinguishable in  $P$  if and only if  $a_i = a_{i+1} = \dots = a_j$ .

Define  $\psi : \mathfrak{P}_n \rightarrow \mathfrak{A}_n$  as follows: Given  $P \in \mathfrak{P}_n$  let  $\psi(P) = (\alpha, \chi)$  where  $\alpha$  is the ascent sequence that corresponds to the poset  $P$  with its labels removed, and  $\chi$  is the sequence of sets  $(X_1, \dots, X_m)$  where  $X_i$  is the set of labels that corresponds to all the indistinguishable elements of  $P$  that were added during the  $i$ th run of identical elements in the ascent sequence.

**Example 17.** Consider the  $(2+2)$ -free poset



The unlabeled poset corresponding to  $P$  has ascent sequence  $(0, 0, 1, 1, 1, 0, 2, 2)$ . There are four runs in this ascent sequence. The first run of two 0s inserts the elements 3 and 8, so we have  $X_1 = \{3, 8\}$ . Next the run of three 1s inserts elements 2, 5 and 7, so  $X_2 = \{2, 5, 7\}$ . The next run is a run containing a single 0, and the element inserted is 6, so  $X_3 = \{6\}$ . The final run of two 2s inserts elements 1 and 4, so  $X_4 = \{1, 4\}$ . Thus we have

$$\psi(P) = ((0, 0, 1, 1, 1, 0, 2, 2), \{3, 8\}\{2, 5, 7\}\{6\}\{1, 4\}).$$

It is straightforward to check that  $\phi$  and  $\psi$  are each others inverses. Consequently, we have the following theorem.

**Theorem 18.** The map  $\phi : \mathfrak{A}_n \rightarrow \mathfrak{P}_n$  is a bijection and  $\psi$  is its inverse.

Let  $\mathcal{C}_n$  be the collection of composition matrices  $M$  on  $[1, n]$  with the following property: in every row of  $M$ , the entries are increasing from left to right. An example of a matrix in  $\mathcal{C}_8$  is

$$M' = \begin{bmatrix} \{4, 6\} & \{8\} & \emptyset \\ \emptyset & \{1\} & \{7\} \\ \emptyset & \emptyset & \{2, 3, 5\} \end{bmatrix}.$$

Every matrix  $M \in \mathcal{C}_n$  may be written as a unique pair  $(w(M), \hat{\chi}(M))$  where:

- $w(M)$  is the non-decreasing inversion table that via Theorem 8 corresponds to  $\text{mono}(M)$ , the matrix in  $\text{Mono}_n$  that is formed from  $M$  the following way: replace the entries in  $M$  from left to right, beginning with the first row, with the values  $1, \dots, n$ , in that order. For the example above we have

$$\text{mono}(M') = \begin{bmatrix} \{1, 2\} & \{3\} & \emptyset \\ \emptyset & \{4\} & \{5\} \\ \emptyset & \emptyset & \{6, 7, 8\} \end{bmatrix} \in \text{Mono}_8$$

and  $w(M') = (0, 0, 0, 2, 2, 4, 4, 4)$ .

- $\chi(M) = (X_1, \dots, X_k)$  where  $X_i$  is the union of the entries in row  $i$  of  $M$ , and  $\hat{\chi}(M)$  is the permutation of  $[n]$  achieved by removing the parentheses from  $\chi(M)$ ; see paragraph after Theorem 16. For the above example,  $\chi(M') = (\{4, 6, 8\}, \{1, 7\}, \{2, 3, 5\})$  and  $\hat{\chi}(M') = (4, 6, 8, 1, 7, 2, 3, 5)$ .

Given  $M \in \mathcal{C}_n$  with  $w(M) = (w_1, \dots, w_n)$  and  $\hat{\chi}(M) = (\hat{\chi}_1, \dots, \hat{\chi}_n)$ , let us define the sequence  $\eta(M) = (a_1, \dots, a_n)$  by  $a_i = 1 + w_j$  where  $i = \hat{\chi}_j$ . (For the small example above, we have  $\eta(M') = (3, 5, 5, 1, 5, 1, 3, 1)$ .) Recall [10, p. 94] that a sequence  $\eta = (a_1, \dots, a_n) \in [n]^n$  is a *parking function* if and only if the increasing rearrangement  $b_1 \leq b_2 \leq \dots \leq b_n$  of  $a_1, \dots, a_n$  satisfies  $b_i \leq i$ .

**Theorem 19.** Matrices in  $\mathcal{C}_n$  are in one-to-one correspondence with parking functions of order  $n$ . The parking function that corresponds to the matrix  $M \in \mathcal{C}_n$  is  $(a_1, \dots, a_n)$  where  $a_{\hat{\chi}_j} = 1 + w_j$  and  $M \leftrightarrow (w(M), \hat{\chi}(M))$ .

### 3.1. Diagonal and bidiagonal composition matrices

Consider the set of diagonal matrices  $\text{DiComp}_n$  in  $\text{Comp}_n$ . Under the bijection  $f$  these matrices map to pairs  $(\alpha, \chi) \in \mathfrak{A}_n$  where  $\alpha$  is a non-decreasing ascent sequence. Applying  $\phi$  to  $f(\text{DiComp}_n)$  we get the collection of  $(2+2)$ -free posets  $P$  which have the property that every element at level  $j$  covers every element at level  $j-1$ , for all levels  $j$  but the first. Reading the levels from bottom to top we get an ordered set partition of  $[1, n]$ . It is not hard to see that this ordered set partition is, in fact,  $\chi$ . For instance,

$$\begin{bmatrix} \{4\} & \emptyset & \emptyset \\ \emptyset & \{1, 3\} & \emptyset \\ \emptyset & \emptyset & \{2, 5\} \end{bmatrix} \leftrightarrow ((0, 1, 1, 2, 2), \{4\}\{1, 3\}\{2, 5\}) \leftrightarrow \begin{array}{c} 2 \quad 5 \\ \diagdown \quad \diagup \\ 1 \quad 3 \\ \diagup \quad \diagdown \\ 4 \end{array}.$$

It follows that there are exactly  $k!S(n, k)$  diagonal composition matrices of size  $n$  and dimension  $k$ , where  $S(n, k)$  is the number of partitions of an  $n$  element set into  $k$  parts (a *Stirling number of the second kind*). For bidiagonal composition matrices the situation is a bit more complicated:

**Proposition 20.** *We have*

$$\sum_{n \geq 0} \sum_{A \in \text{BiComp}_n} q^{\dim(A)} \frac{x^n}{n!} = \frac{qe^{2x} - qe^x - 1}{(1-q)qe^{2x} + 2q^2e^x - q^2 - q - 1},$$

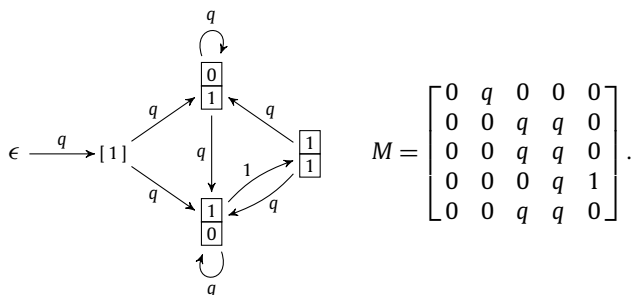
where  $\text{BiComp}_n$  is the collection of bidiagonal matrices in  $\text{Comp}_n$ .

**Proof.** Let  $\mathcal{B}_n$  be the collection of binary bidiagonal matrices in  $\mathcal{M}_n$ . A matrix  $A \in \text{BiComp}_n$  can in a natural and simple way be identified with a pair  $(B, \chi)$  where  $B \in \mathcal{B}_k$ ,  $k = \text{nz}(\text{Card}(A))$ , and  $\chi$  is an ordered set partition of  $[1, n]$ :

$$\begin{bmatrix} \{3, 8\} & \{6\} & \emptyset \\ \emptyset & \{2, 5, 7\} & \emptyset \\ \emptyset & \emptyset & \{1, 4\} \end{bmatrix} \leftrightarrow \left( \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \{3, 8\}\{6\}\{2, 5, 7\}\{1, 4\} \right).$$

Thus, if  $F(q, x)$  is the ordinary generating function for matrices in  $\mathcal{B}_n$  counted by dimension and size, then  $F(q, e^x - 1)$  is the exponential generating function we require. This is because  $F(q, x)$  is also the generating function for pairs  $(B, \pi)$  where  $B \in \mathcal{B}_n$  and  $\pi \in \mathfrak{S}_n$ , and  $e^x - 1$  is the exponential generating function for non-empty sets.

We now derive  $F(q, x)$  using the transfer-matrix method. We grow the matrices in  $\mathcal{B}_n$  from left to right by adding new columns, and within a column we add ones from top to bottom:



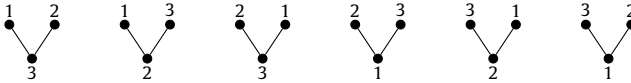
Here  $\epsilon$  is the empty matrix;  $[1]$  is the  $1 \times 1$  identity matrix;  $\begin{bmatrix} 0 \\ 1 \end{bmatrix}$  denotes any matrix in  $\mathcal{B}_n$  of dimension 2 or more whose bottom most entries in the last column are 0 and 1; etc. Calculating the first entry in  $(1 - xM)^{-1}[11101]^T$  we find that

$$F(q, x) = \sum_{n \geq 0} \sum_{A \in \mathcal{B}_n} q^{\dim(A)} x^n = \frac{qx^2 + qx - 1}{(1-q)qx^2 + 2qx - 1},$$

and on simplifying  $F(q, e^x - 1)$  we arrive at the claimed generating function.  $\square$

#### 4. The number of $(2+2)$ -free posets on $[1, n]$

Let us consider *plane*  $(2+2)$ -free posets on  $[1, n]$ . That is,  $(2+2)$ -free posets on  $[1, n]$  with a canonical embedding in the plane. For instance, these are six *different* plane  $(2+2)$ -free posets on  $[1, 3] = \{1, 2, 3\}$ :



By definition, if  $u_n$  is the number of unlabeled  $(2+2)$ -free posets on  $n$  nodes, then  $u_n n!$  is the number of plane  $(2+2)$ -free posets on  $[1, n]$ . In other words, we may identify the set of plane  $(2+2)$ -free posets on  $[1, n]$  with the Cartesian product  $\mathcal{P}_n \times \mathfrak{S}_n$ , where  $\mathcal{P}_n$  denotes the set of unlabeled  $(2+2)$ -free posets on  $n$  nodes and  $\mathfrak{S}_n$  denotes the set of permutations on  $[1, n]$ . We shall demonstrate the isomorphism

$$\bigcup_{\pi \in \mathfrak{S}_n} \mathfrak{P}(\text{Cyc}(\pi)) \simeq \mathcal{P}_n \times \mathfrak{S}_n, \quad (2)$$

where  $\text{Cyc}(\pi)$  is the set of (disjoint) cycles of  $\pi$  and  $\mathfrak{P}(\text{Cyc}(\pi))$  is the set of  $(2+2)$ -free posets on those cycles. As an illustration we consider the case  $n = 3$ . On the right-hand side we have  $|\mathcal{P}_3 \times \mathfrak{S}_3| = |\mathcal{P}_3| |\mathfrak{S}_3| = 5 \cdot 6 = 30$  plane  $(2+2)$ -free posets. Taking the cardinality of the left-hand side we get

$$\begin{aligned} & |\mathfrak{P}\{(1), (2), (3)\}| + |\mathfrak{P}\{(1), (23)\}| + |\mathfrak{P}\{(12), (3)\}| + |\mathfrak{P}\{(2), (13)\}| + |\mathfrak{P}\{(123)\}| + |\mathfrak{P}\{(132)\}| \\ &= |\mathfrak{P}_3| + 3|\mathfrak{P}_2| + 2|\mathfrak{P}_1| = 19 + 3 \cdot 3 + 2 \cdot 1 = 30. \end{aligned}$$

Bousquet-Mélou et al. [2] gave a bijection  $\psi$  from  $\mathcal{P}_n$  to  $\mathcal{A}_n$ , the set of ascent sequences of length  $n$ . Recall also that in Theorem 18 we gave a bijection  $\phi$  from  $\mathfrak{P}_n$  to  $\mathfrak{A}_n$ . Of course, there is nothing special about the ground set being  $[1, n]$  in Theorem 18; so, for any finite set  $X$ , the map  $\phi$  can be seen as a bijection from  $(2+2)$ -free posets on  $X$  to the set

$$\mathfrak{A}(X) = \bigcup_{\alpha \in \mathcal{A}_{|X|}} \{\alpha\} \times \binom{X}{\text{RLR}(\alpha)}.$$

In addition, the fundamental transformation [3] is a bijection between permutations with exactly  $k$  cycles and permutations with exactly  $k$  left-to-right minima. Putting these observations together it is clear that to show (2) it suffices to show

$$\bigcup_{\pi \in \mathfrak{S}_n} \mathfrak{A}(\text{LMin}(\pi)) \simeq \mathcal{A}_n \times \mathfrak{S}_n, \quad (3)$$

where  $\text{LMin}(\pi)$  is the set of segments obtained by breaking  $\pi$  apart at each left-to-right minima. For instance, the left-to-right minima of  $\pi = 5731462$  are 5, 3 and 1; so  $\text{LMin}(\pi) = \{57, 3, 1462\}$ .

Let us now prove (3) by giving a bijection  $h$  from the left-hand side to the right-hand side. To this end, fix a permutation  $\pi \in \mathfrak{S}_n$  and let  $k = |\text{LMin}(\pi)|$  be the number of left-to-right minima in  $\pi$ . Assume that  $\alpha = (a_1, \dots, a_k)$  is an ascent sequence in  $\mathcal{A}_k$  and that  $\chi = (X_1, \dots, X_r)$  is an ordered set partition in  $\binom{\text{LMin}(\pi)}{\text{RLR}(\alpha)}$ . To specify the bijection  $h$  let

$$h(\alpha, \chi) = (\beta, \tau)$$

where  $\beta \in \mathcal{A}_n$  and  $\tau \in \mathfrak{S}_n$  are defined in the next paragraph.

For each  $i \in [1, r]$ , first order the blocks of  $X_i$  decreasingly with respect to first (and thus minimal) element, then concatenate the blocks to form a word  $\hat{X}_i$ . Define the permutation  $\tau$  as the concatenation of the words  $\hat{X}_i$ :

$$\tau = \hat{X}_1 \cdots \hat{X}_k.$$

Let  $i_1 = 1$ ,  $i_2 = i_1 + |X_1|$ ,  $i_3 = i_2 + |X_2|$ , etc. By definition, these are the indices where the ascent sequence  $\alpha$  changes in value. Define  $\beta$  by

$$\text{RLE}(\beta) = (a_{i_1}, x_1) \cdots (a_{i_k}, x_k), \quad \text{where } x_i = |\hat{X}_i|.$$

Consider the permutation  $\pi = \text{A9B68D4F32C175E} \in \mathfrak{S}_{15}$  (in hexadecimal notation). Then  $\text{LMin}(\pi) = \{\text{A}, \text{9B}, \text{68D}, \text{4F}, \text{3}, \text{2C}, \text{175E}\}$ . Assume that

$$\alpha = (0, 0, 1, 2, 2, 2, 0);$$

$$\chi = \{\text{2C}, \text{68D}\}\{\text{9B}\}\{\text{3}, \text{175E}, \text{4F}\}\{\text{A}\}.$$

Then we have  $\hat{X}_1 = \text{68D2C}$ ,  $\hat{X}_2 = \text{9B}$ ,  $\hat{X}_3 = \text{4F3175E}$  and  $\hat{X}_4 = \text{A}$ . Also,  $i_1 = 1$ ,  $i_2 = 1 + 2 = 3$ ,  $i_3 = 3 + 1 = 4$  and  $i_4 = 4 + 3 = 7$ . Consequently,

$$\beta = (0, 0, 0, 0, 0, 1, 1, 2, 2, 2, 2, 2, 2, 2, 0);$$

$$\tau = 6 \ 8 \ D \ 2 \ C \ 9 \ B \ 4 \ F \ 3 \ 1 \ 7 \ 5 \ E \ A.$$

It is clear how to reverse this procedure: Split  $\tau$  into segments according to where  $\beta$  changes in value when reading from left to right. With  $\tau$  as above we get

$$(\text{68D2C}, \text{9B}, \text{4F3175E}, \text{A}) = (\hat{X}_1, \hat{X}_2, \hat{X}_3, \hat{X}_4).$$

We have thus recovered  $\hat{X}_1$ ,  $\hat{X}_2$ , etc. Now  $X_i = \text{LMin}(\hat{X}_i)$ , and we thus know  $\chi$ . It only remains to recover  $\alpha$ . Assume that  $\text{RLE}(\beta) = (b_1, x_1) \cdots (b_k, x_k)$ , then  $\text{RLE}(\alpha) = (b_1, |X_1|) \cdots (b_k, |X_k|)$ . This concludes the proof of (3). Let us record this result.

**Theorem 21.** The map  $h: \bigcup_{\pi \in \mathfrak{S}_n} \mathfrak{A}(\text{LMin}(\pi)) \rightarrow \mathcal{A}_n \times \mathfrak{S}_n$  is a bijection.

As previously explained, (2) also follows from this proposition. Let us now use (2) to derive an exponential generating function  $L(t)$  for the number of  $(2+2)$ -free posets on  $[1, n]$ . Bousquet-Mélou et al. [2] gave the following ordinary generating function for *unlabeled*  $(2+2)$ -free posets on  $n$  nodes:

$$\begin{aligned} P(t) &= \sum_{n \geq 0} \prod_{i=1}^n (1 - (1-t)^i) \\ &= 1 + t + 2t^2 + 5t^3 + 15t^4 + 53t^5 + 217t^6 + 1014t^7 + 5335t^8 + O(t^9). \end{aligned}$$

This is, of course, also the exponential generating function for plane  $(2+2)$ -free posets on  $[1, n]$ . Moreover, the exponential generating function for cyclic permutations is  $\log(1/(1-t))$ . On taking the union over  $n \geq 0$  of both sides of (2) it follows that  $L(\log(1/(1-t))) = P(t)$ ; so  $L(t) = P(1 - e^{-t})$ .

**Corollary 22.** The exponential generating function for  $(2+2)$ -free posets is

$$\begin{aligned} L(t) &= \sum_{n \geq 0} \prod_{i=1}^n (1 - e^{-ti}) \\ &= 1 + t + 3 \frac{t^2}{2!} + 19 \frac{t^3}{3!} + 207 \frac{t^4}{4!} + 3451 \frac{t^5}{5!} + 81\,663 \frac{t^6}{6!} + 2\,602\,699 \frac{t^7}{7!} + O(t^8). \end{aligned}$$

This last result also follows from a result of Zagier [11, Eq. 24] and a bijection, due to Bousquet-Mélou et al. [2], between unlabeled  $(2 + 2)$ -free posets and certain matchings. See also Exercises 14 and 15 in Chapter 3 of the second edition of *Enumerative Combinatorics* volume 1 (available on R. Stanley's homepage).

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