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## Is there a symmetric version of Hindman's Theorem?

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## ABSTRACT

We show that there does not exist a symmetric version of Hindman's Theorem, or more explicitly, that the property of containing a symmetric IP-set is not divisible. We consider several related dynamics questions.

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## 1. IP and SIP sets

We will use  $\mathbb{Z}$ ,  $\mathbb{Z}_+$ ,  $\mathbb{N}$  to stand for the sets of integers, nonnegative integers and positive integers, respectively.

For  $F$  a finite subset of  $\mathbb{Z}$ , we denote by  $\sigma_F \in \mathbb{Z}$  the sum of the elements of  $F$  with the convention that  $\sigma_\emptyset = 0$ . Of course, if  $F$  is a nonempty subset of  $\mathbb{N}$ , then  $\sigma_F \in \mathbb{N}$ .

Call a subset  $A$  of  $\mathbb{Z}$  *symmetric* if  $-A = A$  where  $-A = \{-a : a \in A\}$ . For any subset  $A$  of  $\mathbb{Z}$  let  $A_\pm = A \cup -A$  so that  $A_\pm$  is the smallest symmetric set which contains  $A$ . On the other hand, let  $A_+ = A \cap \mathbb{N}$ , the positive part of  $A$ . Note that if  $A$  is symmetric then  $A = A_\pm$  and  $A \setminus \{0\} = (A_+)_\pm$ .

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For subsets  $A_1, A_2$  of  $\mathbb{Z}$  we let  $A_1 + A_2 = \{a_1 + a_2 : a_1 \in A_1, a_2 \in A_2\}$  and  $A_1 - A_2 = A_1 + (-A_2)$ . If  $A_2 = \{n\}$  we write  $A_1 - n$  for  $A_1 - A_2$ .

Let  $A$  be a nonempty subset of  $\mathbb{Z}$ . We set

$$D(A) = \{a_1 - a_2 : a_1, a_2 \in A\} = A - A$$

$$\text{IP}(A) = \{\sigma_F : F \text{ a finite subset of } A\}$$

$$\text{SIP}(A) = D(\text{IP}(A)) = \text{IP}(A) - \text{IP}(A).$$

Clearly,  $0 \in D(A)$  and  $D(A)$  is symmetric and so the same is true of  $\text{SIP}(A)$ . If  $0 \in A$  then  $A \subset D(A)$ . In general,  $D(A) \cup A \cup -A = D(A \cup \{0\})$ . In particular,  $0 = \sigma_\emptyset \in \text{IP}(A)$  implies  $\text{IP}(A) \subset \text{SIP}(A)$ .

If  $A \subset \mathbb{N}$  then  $\text{IP}(A) = \{0\} \cup \text{IP}(A)_+$  since  $0 = \sigma_\emptyset \in \text{IP}(A)$ .  $\text{IP}(A) = \text{IP}(A \cup \{0\}) = \text{IP}(A \setminus \{0\})$ .

**N.B.** We include  $0 = \sigma_\emptyset$  in  $\text{IP}(A)$  for  $A$  any nonempty subset of  $\mathbb{Z}$ . This is a convenience which ensures, for example, that  $\text{IP}(A)$  is a subset of  $\text{SIP}(A)$  for  $A \subset \mathbb{N}$ . For  $A \subset \mathbb{N}$ , our set  $\text{IP}(A)_+$  is what is more often called the IP set on  $A$ , following Definition 2.3 of [2].

**Lemma 1.1.** *If  $B$  is a nonempty subset of  $\mathbb{N}$  then*

$$\text{SIP}(B) = \text{IP}(B_\pm).$$

*If  $A$  is a symmetric subset of  $\mathbb{Z}$  with  $A \setminus \{0\}$  nonempty then*

$$\text{SIP}(A_+) = \text{IP}(A).$$

**Proof.** If  $F \subset B_\pm$  then

$$\sigma_F = \sigma_{F \cap B} + \sigma_{F \cap -B} = \sigma_{F \cap B} - \sigma_{(-F) \cap B}.$$

Hence,  $\text{IP}(B_\pm) \subset \text{SIP}(B)$ .

For the reverse inclusion, let  $F_1, F_2$  be finite subsets of  $B$ .

$$\sigma_{F_1} - \sigma_{F_2} = \sigma_{F_1 \cup -F_2},$$

since  $F_1$  and  $-F_2$  are disjoint.

If  $A$  is symmetric and  $A \setminus \{0\}$  is nonempty then  $A_+$  is nonempty and the previous result applied to  $B = A_+$  yields the second equation since  $(A_+)_\pm = A \setminus \{0\}$  and  $\text{IP}(A) = \text{IP}(A \setminus \{0\})$ .  $\square$

We say that a subset  $B \subset \mathbb{N}$  is

- a *difference set* if there exists an infinite subset  $A$  of  $\mathbb{N}$  such that  $D(A)_+ \subset B$ .
- an *IP set* if there exists an infinite subset  $A$  of  $\mathbb{N}$  such that  $\text{IP}(A)_+ \subset B$ .
- an *SIP set* if there exists an infinite subset  $A$  of  $\mathbb{N}$  such that  $\text{SIP}(A)_+ \subset B$ .

Since  $A \setminus \{0\} = (A_+)_{\pm}$  if  $A$  is a symmetric subset of  $\mathbb{Z}$ , it follows from [Lemma 1.1](#) that  $B$  is an SIP set iff there exists an infinite symmetric subset  $A$  of  $\mathbb{Z}$  such that  $\text{IP}(A)_+ \subset B$ .

We next recall two well known results.

**Theorem 1.2.** *If  $A$  is an infinite subset of  $\mathbb{N}$  and  $\phi : D(A)_+ \rightarrow \{1, \dots, r\}$ , then there exists an infinite subset  $L \subset A$  such that  $\phi$  is constant on  $D(L)_+$ .*

**Theorem 1.3.** *If  $A$  is an infinite subset of  $\mathbb{N}$  and  $\phi : \text{IP}(A)_+ \rightarrow \{1, \dots, r\}$ , then there exists an infinite subset  $L \subset \mathbb{N}$  such that  $\text{IP}(L)_+ \subset \text{IP}(A)_+$  and  $\phi$  is constant on  $\text{IP}(L)_+$ .*

The first is an immediate consequence of Ramsey's theorem, see e.g. [\[4\]](#). The latter is equivalent to Hindman's theorem, [\[5\]](#). See [\[2, Theorem 8.13\]](#).

In view of these two basic theorems it is natural to pose the following:

**Question 1.4.** *If  $A$  is an infinite subset of  $\mathbb{N}$  and  $\phi : \text{SIP}_+(A) = D(\text{IP}(A))_+ \rightarrow \{1, \dots, r\}$ , then does there necessarily exist an infinite subset  $L \subset \mathbb{N}$  such that  $\text{SIP}(L)_+ \subset \text{SIP}(A)_+$  and  $\phi$  is constant on  $\text{SIP}(L)_+$ ?*

In other words the question is: is there a symmetric version of the Hindman theorem?

In this paper we will show, as expected, that the answer to this question is negative. We show that it fails in a strong sense and, in the process, raise some related dynamics questions. For more details and background see [\[2\]](#) and [\[1\]](#).

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## 2. Families of sets

For an infinite set  $Q$  a *family*  $\mathcal{F}$  on  $Q$  is a collection of subsets of  $Q$  which is hereditary upwards. That is,  $\mathcal{F} \subset \mathcal{P}$ , where  $\mathcal{P}$  is the power set of  $Q$  and  $A \in \mathcal{F}$  and  $A \subset B$  implies  $B \in \mathcal{F}$ . For any collection  $\mathcal{F}_1$  of subsets of  $Q$ , the family  $\mathcal{F} = \{B : A \subset B \text{ for some } A \in \mathcal{F}_1\}$  is the *family generated by*  $\mathcal{F}_1$ .

The *dual family*

$$\mathcal{F}_1^* = \{B : B \cap A \neq \emptyset \text{ for all } A \in \mathcal{F}_1\}$$

is indeed a family, and when  $\mathcal{F}_1$  is a family we have

$$\mathcal{F}_1^* = \{B : Q \setminus B \notin \mathcal{F}_1\}.$$

A family  $\mathcal{F}$  is *proper* when  $\mathcal{F} \neq \emptyset$  and  $\emptyset \notin \mathcal{F}$ , or, equivalently, when it is a proper subset of  $\mathcal{P}$ .

If  $\mathcal{P}_+$  is the collection of all nonempty subsets of  $Q$  then  $\mathcal{P}_+$  is the largest proper family and its dual is  $(\mathcal{P}_+)^* = \{Q\}$ , the smallest proper family. The collection  $\mathcal{B}$  of all infinite subsets of  $Q$  is a proper family and the dual  $\mathcal{B}^*$  is the family of all cofinite subsets of  $Q$ .

Given families  $\mathcal{F}_1, \mathcal{F}_2$  the *join* is defined to be  $\mathcal{F}_1 \cdot \mathcal{F}_2 = \{A_1 \cap A_2 : A_1 \in \mathcal{F}_1, A_2 \in \mathcal{F}_2\}$ . If  $\mathcal{F}_1$  and  $\mathcal{F}_2$  are nonempty, then the heredity condition implies  $\mathcal{F}_1 \cup \mathcal{F}_2 \subset \mathcal{F}_1 \cdot \mathcal{F}_2$ . Clearly,  $\mathcal{F}_1 \cdot \mathcal{F}_2$  is proper iff  $\mathcal{F}_2 \subset \mathcal{F}_1^*$  and  $\mathcal{F}_1, \mathcal{F}_2$  are nonempty. We say that two proper families *meet* when the join is proper.

It is easy to check that for families  $\mathcal{F}, \mathcal{F}_1, \mathcal{F}_2$

- $(\mathcal{F}^*)^* = \mathcal{F}$ .
- $\mathcal{F}_1 \subset \mathcal{F}_2$  implies  $\mathcal{F}_2^* \subset \mathcal{F}_1^*$ . More generally,  $\mathcal{F} \cdot \mathcal{F}_1 \subset \mathcal{F}_2$  implies  $\mathcal{F} \cdot \mathcal{F}_2^* \subset \mathcal{F}_1^*$ .
- $\mathcal{F}^*$  is proper if  $\mathcal{F}$  is proper.

A family is a *filter* when it is proper and closed under finite intersection. That is,  $A_1, A_2 \in \mathcal{F}$  implies  $A_1 \cap A_2 \in \mathcal{F}$ , or equivalently,  $\mathcal{F} \cdot \mathcal{F} \subset \mathcal{F}$  and so  $\mathcal{F} \cdot \mathcal{F} = \mathcal{F}$ . Thus, a proper family  $\mathcal{F}$  is a filter iff  $\mathcal{F} \cdot \mathcal{F}^* \subset \mathcal{F}^*$ . In particular, if  $\mathcal{F}$  is a filter, then  $\mathcal{F} \subset \mathcal{F}^*$ .

The dual of a filter is called a *filterdual*. It is sometimes called a *divisible family*. A family is a filterdual when it is proper and satisfies what Furstenberg dubbed the *Ramsey Property*:

$$A_1 \cup A_2 \in \mathcal{F} \implies A_1 \in \mathcal{F} \text{ or } A_2 \in \mathcal{F}. \quad (2.1)$$

A family  $\mathcal{F}$  on  $Q$  is *full* if it is proper and  $B \in \mathcal{F}$  implies  $B \setminus F \in \mathcal{F}$  for any finite  $F \subset Q$  and so a proper family  $\mathcal{F}$  is full exactly when  $\mathcal{F} \cdot \mathcal{B}^* = \mathcal{F}$ . A filter  $\mathcal{F}$  is full iff  $\mathcal{B}^* \subset \mathcal{F}$ . In particular,  $\mathcal{B}^*$  is the smallest full filter while  $\mathcal{P}_+^* = \{Q\}$  is the smallest filter.

If a family  $\mathcal{F}$  is full then

$$\mathcal{F}^* = \{B : B \cap A \text{ is infinite, for all } A \in \mathcal{F}\},$$

and  $\mathcal{F}^*$  is full.

If  $\mathcal{F}$  is a filterdual then, by induction, for all positive integers  $k$ ,  $A_1 \cup \dots \cup A_k \in \mathcal{F}$  implies  $A_i \in \mathcal{F}$  for some  $i = 1, \dots, k$ .

Thus, the Ramsey [Theorem 1.2](#) implies that the family of difference sets is a filterdual on  $\mathbb{N}$  and the Hindman [Theorem 1.3](#) says exactly that the family of IP sets is a filterdual on  $\mathbb{N}$ . Our [Question 1.4](#) asks whether the family of SIP sets is a filterdual as well.

Assume  $A \subset \mathbb{N}$  is infinite and  $K$  is a positive integer. If  $B_1 = A \setminus [1, K]$ , then  $B_1$  is infinite, with  $\text{IP}(B_1)$  disjoint from  $[1, K]$  and contained in  $\text{IP}(A)$ . It follows that  $\mathcal{I}$ , the family of IP sets, is a full family. If  $B_2 = \{a_k : k = 1, 2, \dots\} \subset A$  with  $a_{k+1} > K + a_k$  for all  $k$ , then  $D(B_2)_+$  is disjoint from  $[1, K]$ . So the family of difference sets is full as well. Finally, if  $B_3 = \{a_k : k = 1, 2, \dots\} \subset A$  with  $a_{k+1} > K + \sum_{i=1}^{k-1} a_i$  for all  $k$ , then distinct members of  $\text{IP}(B_3)$  differ by more than  $K$  and so  $\text{SIP}(B_3)_+$  is disjoint from  $[1, K]$ . It follows that  $\mathcal{S}$ , the family of SIP sets, is a full family.

Restricting to  $Q = \mathbb{N}$  we consider translation by elements of  $\mathbb{Z}$  upon subsets of  $\mathbb{N}$  and so upon families on  $\mathbb{N}$ .

If  $\mathcal{F}$  is a family on  $\mathbb{N}$  then  $\mathcal{F}$  is *invariant* if  $A \in \mathcal{F}$  implies  $(A+n)_+ = (A+n) \cap \mathbb{N} \in \mathcal{F}$  for all  $n \in \mathbb{Z}$ . A proper, invariant family is full since for any  $A \subset \mathbb{N}$  and  $n \in \mathbb{N}$

$$A \setminus [1, n] = ((A-n)_+ + n)_+. \quad (2.2)$$

Observe that for subsets  $A, B$  of  $\mathbb{N}$  and  $n \in \mathbb{Z}$ ,

$$B \cap (A+n)_+ \neq \emptyset \iff B \cap (A+n) \neq \emptyset \iff (B-n) \cap A \neq \emptyset. \quad (2.3)$$

It follows that the dual of an invariant family is invariant.

For any family  $\mathcal{F}$  on  $\mathbb{N}$  we define

$$\begin{aligned} \gamma\mathcal{F} &= \{A \subset \mathbb{N} : \text{there exist } n_1, n_2 \in \mathbb{Z}_+, B \in \mathcal{F} \\ &\quad \text{such that } (A-n_1)_+ \supset (B-n_2)_+\}, \\ \tilde{\gamma}\mathcal{F} &= (\gamma(\mathcal{F}^*))^*. \end{aligned} \quad (2.4)$$

**Proposition 2.1.** *Let  $\mathcal{F}$  be a family on  $\mathbb{N}$ .*

- (a)  $\gamma\mathcal{F}$  is the smallest invariant family which contains  $\mathcal{F}$ . It is proper, and so is full, if and only if  $\mathcal{F}$  is proper and  $\mathcal{F} \subset \mathcal{B}$ , i.e. the elements of  $\mathcal{F}$  are infinite sets.
- (b)  $\tilde{\gamma}\mathcal{F}$  is the largest invariant family which is contained in  $\mathcal{F}$ . It is proper, and so is full, if and only if  $\mathcal{F}$  is proper and  $\mathcal{F} \supset \mathcal{B}^*$ , i.e. the cofinite sets are contained in  $\mathcal{F}$ .
- (c) If  $\mathcal{F}$  is a full family, then

$$\gamma\mathcal{F} = \{A : (A+n)_+ \in \mathcal{F}, \text{ for some } n \in \mathbb{Z}\}, \quad (2.5)$$

and  $\gamma\mathcal{F}$  is the family generated by  $\{(A+n)_+ : A \in \mathcal{F}, n \in \mathbb{Z}\}$ .

$$\tilde{\gamma}\mathcal{F} = \{A : (A+n)_+ \in \mathcal{F}, \text{ for all } n \in \mathbb{Z}\}. \quad (2.6)$$

(d) If  $\mathcal{F}$  is a full filter then  $\tilde{\gamma}\mathcal{F}$  is a full filter.

(e) If  $\mathcal{F}$  is a full filterdual then  $\gamma\mathcal{F}$  is a full filterdual.

**Proof.** (a) It is clear that  $\gamma\mathcal{F}$  is a family which contains  $\mathcal{F}$ . Now assume  $n_1, n_2 \in \mathbb{Z}_+$ ,  $B \in \mathcal{F}$  and  $(A-n_1)_+ \supset (B-n_2)_+$ . Let  $n \geq 0$ . Then  $((A+n)_+ - (n+n_1))_+ = (A-n_1)_+ \supset (B-n_2)_+$  and  $((A-n)_+ - n_1)_+ \supset (B-(n+n_2))_+$ . Thus,  $(A \pm n)_+ \in \gamma\mathcal{F}$  and so  $\gamma\mathcal{F}$  is invariant.

If  $\mathcal{F}$  is proper, and so is nonempty, and every  $B$  in  $\mathcal{F}$  is infinite then every  $A$  in  $\gamma\mathcal{F}$  is nonempty and so  $\gamma\mathcal{F}$  is proper. If  $\mathcal{F}$  is empty then  $\gamma\mathcal{F}$  is empty. If  $\mathcal{F}$  contains a finite set  $B$  then there exists  $n_2 \in \mathbb{N}$  so that  $(B-n_2)_+ = \emptyset$  and so  $\gamma\mathcal{F} = \mathcal{P}$ .

As mentioned above, a proper invariant family is full.

(b) Since the dual of an invariant family is invariant, this follows from (a) and the properties of the dual.

(c) Let  $n \geq 0$ . If  $(A - n)_+ \in \mathcal{F}$ , then let  $B = (A - n)_+$ ,  $n_1 = n$ ,  $n_2 = 0$  to get  $(A - n_1)_+ = (B - n_2)_+$ . If  $(A + n)_+ = A + n \in \mathcal{F}$ , then let  $B = A + n$ ,  $n_1 = 0$ ,  $n_2 = n$  to get  $A = (A - n_1)_+ = (B - n_2)_+$ . In either case,  $A \in \gamma\mathcal{F}$ .

Conversely, assume that  $\mathcal{F}$  is full and let  $A \in \gamma\mathcal{F}$ . There exist  $n_1, n_2 \in \mathbb{Z}_+$  and  $B \in \mathcal{F}$  so that  $(A - n_1)_+ \supset (B - n_2)_+$ . Since  $\mathcal{F}$  is full,  $B \setminus [1, n_2] \in \mathcal{F}$  and so we may assume that  $B \cap [1, n_2] = \emptyset$  and so that  $B - n_2 \subset \mathbb{N}$  and so  $A - n_1 \supset B - n_2$ . Hence,  $A - n_1 + n_2 \supset B$  and so  $B_1 = (A - n_1 + n_2)_+ \in \mathcal{F}$ . That is, with  $n = n_2 - n_1$  in  $\mathbb{Z}$  we have  $(A + n)_+ \in \mathcal{F}$ . This proves equation (2.5) when  $\mathcal{F}$  is full.

Now assume that  $A \in \mathcal{F}$  and  $n \geq 0$ . Let  $n_1 = n$ ,  $n_2 = 0$  and  $B = A$ .  $((A + n)_+ - n_1)_+ = A = (B - n_2)_+$ . Thus,  $(A + n)_+ \in \gamma\mathcal{F}$ . Now let  $n_1 = 0$ ,  $n_2 = n$  and  $B = A$ .  $((A - n)_+ - n_1)_+ = (A - n)_+ = (B - n_2)_+$ . Hence,  $(A - n)_+ \in \gamma\mathcal{F}$ . It follows that  $\gamma\mathcal{F}$  contains all  $(A + n)_+$  for  $A \in \mathcal{F}$  and  $n \in \mathbb{Z}$ .

On the other hand, if  $A \in \gamma\mathcal{F}$  then by (2.5)  $B = (A + n)_+ \in \mathcal{F}$  for some  $n \in \mathbb{Z}$ . Then  $A \supset (B - n)_+$ . Thus, the family  $\gamma\mathcal{F}$  is generated by  $\{(A + n)_+ : A \in \mathcal{F}, n \in \mathbb{Z}\}$ .

Since  $\mathcal{F}^*$  is then full, equation (2.5) holds for  $\gamma(\mathcal{F}^*)$  as well.

If  $A$  and  $B$  are infinite sets, then  $B$  meets  $(A + n)_+$  for every  $n \in \mathbb{Z}$  iff  $(B + m)_+$  meets every  $(A + n)_+$  for every  $n, m \in \mathbb{Z}$  and iff  $A$  meets  $(B + m)_+$  for every  $m \in \mathbb{Z}$ . It follows that  $B$  is in  $(\gamma\mathcal{F})^*$  iff  $(B + m)_+$  is in  $\mathcal{F}^*$  for every  $m \in \mathbb{Z}$ . This proves equation (2.6) with  $\mathcal{F}$  replaced by  $\mathcal{F}^*$ . Apply the resulting equation to  $\mathcal{F}^*$  to get (2.6).

(d) If  $\mathcal{F}$  is a full filter and  $A_1, A_2 \in \tilde{\gamma}\mathcal{F}$  then for all  $n \in \mathbb{Z}$ ,  $(A_1 + n)_+, (A_2 + n)_+ \in \mathcal{F}$  by (c) and so  $((A_1 \cap A_2) + n)_+ = (A_1 + n)_+ \cap (A_2 + n)_+ \in \mathcal{F}$  since  $\mathcal{F}$  is a filter. It follows that  $\tilde{\gamma}\mathcal{F}$  is a filter when  $\mathcal{F}$  is a full filter. It is full because it is invariant.

(e) This follows from (d) and the properties of the dual.  $\square$

**Remark.** Since  $\mathcal{I}$  and  $\mathcal{S}$  are full families, part (c) implies that  $\gamma\mathcal{I}$  and  $\gamma\mathcal{S}$  are generated by the collection  $\{(A + n)_+\}$  with  $n \in \mathbb{Z}$  and  $A$  in  $\mathcal{I}$  or  $\mathcal{S}$ , respectively. So we will refer to the elements of  $\gamma\mathcal{I}$  or  $\gamma\mathcal{S}$  as *translations of IP sets*, or *translations of SIP sets*, respectively.

### 3. Dynamics

We call  $(X, T)$  a *dynamical system* when  $X$  is a compact metric space and  $T$  is a homeomorphism on  $X$ . We review some well-known definitions and results about such systems, see e.g. Chapter 4 of [1].

If  $A, B \subset X$  then the *hitting-time set* is

$$N(A, B) = \{n \in \mathbb{N} : T^n(A) \cap B \neq \emptyset\} = \{n \in \mathbb{N} : A \cap T^{-n}(B) \neq \emptyset\}.$$

If  $A = \{x\}$  then we write  $N(x, B)$  for  $N(A, B)$ . Observe that for  $k \in \mathbb{N}$

$$N(A, T^{-k}(B)) = (N(A, B) - k)_+. \quad (3.1)$$

Since  $B = T^{-k}(T^k(B))$  it follows that

$$\begin{aligned}
 N(A, B) &= (N(A, T^k(B)) - k)_+, \\
 N(A, B) + k &= N(A, T^k(B)) \setminus [1, k].
 \end{aligned}
 \tag{3.2}$$

The system  $(X, T)$  is *topologically transitive* if whenever  $U, V \subset X$  are nonempty and open,  $N(U, V)$  is nonempty. In that case, all such  $N(U, V)$ 's are infinite. A point  $x \in X$  is called a *transitive point* if  $N(x, U)$  is nonempty for every open and nonempty  $U$  in which case, again, the  $N(x, U)$ 's are infinite. We denote by  $\text{Trans}_T$  the set of transitive points in  $X$ . The system is topologically transitive iff  $\text{Trans}_T$  is nonempty in which case it is a dense  $G_\delta$  subset of  $X$ . The system is *minimal* when  $\text{Trans}_T = X$ .

**Proposition 3.1.** *If  $U, V \subset X$  are nonempty and open and  $x$  is a transitive point for  $(X, T)$ , then*

$$N(U, V) = (N(x, V) - N(x, U))_+. \tag{3.3}$$

**Proof.** If  $n > m$  and  $T^n(x) \in V$ ,  $T^m(x) \in U$  then  $T^{n-m}(T^m(x)) = T^n(x)$  implies  $n - m \in N(U, V)$ . On the other hand, suppose that  $k \in N(U, V)$ . Then  $U \cap T^{-k}(V)$  is a nonempty open set and so there exists  $m \in \mathbb{N}$  such that  $T^m(x) \in U \cap T^{-k}(V)$ . Hence,  $T^m(x) \in U$  and  $T^n(x) \in V$  with  $n - m = k$ .  $\square$

For completeness, we recall the proof of the following well-known result [2, Theorem 2.17].

**Proposition 3.2.** *Let  $U$  be an open set with  $x \in U$  where  $x$  is a transitive point for  $(X, T)$ . The hitting time set  $N(x, U)$  is an IP set.*

**Proof.** Let  $F_1 = \{k\}$  for some  $k \in N(x, U)$  and proceed by induction.

Suppose that  $F_N \subset \mathbb{N}$  of cardinality  $N$  such that  $\text{IP}(F_N)_+ \subset N(x, U)$ . That is, for every  $n \in \text{IP}(F_N)$ ,  $T^n(x) \in U$ . Let  $V = \bigcap_{n \in \text{IP}(F_N)} T^{-n}(U)$ . Since  $N(x, V)$  is infinite, there exists  $m \in N(x, V)$  which is larger than any element of  $\text{IP}(F_N)$ . The set  $F_{N+1} = F_N \cup \{m\} \subset \mathbb{N}$  has cardinality  $N + 1$  and  $\text{IP}(F_{N+1})_+ \subset N(x, U)$ .

Let  $F = \bigcup_{k \in \mathbb{N}} F_k$ . Since we go from  $F$  to  $\text{IP}(F)$  via finite sums, it follows that  $\text{IP}(F)_+ = \bigcup_{k \in \mathbb{N}} \text{IP}(F_k)_+ \subset N(x, U)$ .  $\square$

**Corollary 3.3.** *If  $(X, T)$  is topologically transitive and  $U, V \subset X$  are nonempty and open then  $N(U, U)$  is an SIP set and  $N(U, V)$  is the translation of an SIP set.*

**Proof.** Let  $x$  be a transitive point contained in  $U$ . By Proposition 3.1  $N(U, U) = (N(x, U) - N(x, U))_+$  and by Proposition 3.2  $N(x, U)$  is an IP set.

Now let  $n \in N(U, V)$  and let  $U_0 = U \cap T^{-n}(V)$ .  $N(U, T^{-n}(V))$  contains the SIP set  $N(U_0, U_0)$ . From (3.1) it follows that  $N(U, V)$  is the translate of an SIP set.  $\square$

We now use a dynamics construction to provide the negative answer to our Question 1.4.

**Theorem 3.4.** *The family  $\mathcal{S}$  of SIP sets is not a filterdual.*

**Proof.** We consider the case where  $X$  is the circle  $\mathbb{R}/\mathbb{Z}$  and with  $a$  a fixed irrational let  $T(x) = x + a$ , the *irrational rotation on the circle*. This is a minimal system and so every point is a transitive point.

We can regard the circle as  $X = [-\frac{1}{2}, +\frac{1}{2}]$  with  $-\frac{1}{2}$  identified with  $\frac{1}{2}$ .

Let

$$\begin{aligned} U_0 &= (-\frac{1}{16}, \frac{1}{16}), & U &= (-\frac{1}{8}, \frac{1}{8}), \\ U_+ &= [0, \frac{1}{8}), & U_- &= (-\frac{1}{8}, 0]. \end{aligned}$$

By Proposition 3.2,  $N(0, U_0)$  is an IP set. Since translation on  $\mathbb{R}$  is an isometry,  $n, m \in N(0, U_0)$  implies that, when positive,  $n \pm m \in N(0, U)$ . Hence,  $N(0, U)$  is an SIP set.

The SIP set  $N(0, U)$  is the union  $N(0, U_+) \cup N(0, U_-)$  and we will show that neither  $N(0, U_+)$  nor  $N(0, U_-)$  is an SIP set. Replacing  $a$  by  $-a$  interchanges the two sets and so it suffices to focus on  $N(0, U_+)$ . We have to show that there is no infinite subset  $A$  of  $\mathbb{N}$  such that  $\text{SIP}(A)_+ \subset N(0, U_+)$ .

Assume such  $A$  exists. Let

$$M = \sup \{T^t(0) = ta : t \in \text{SIP}(A)_+\}.$$

Thus,  $0 < M \leq \frac{1}{8}$ . Given any  $\epsilon > 0$  there is a finite subset  $F \subset A_\pm$  with  $0 < \sigma_F$  and such that  $M - \epsilon < T^{\sigma_F}(0) = \sigma_F a \leq M \leq \frac{1}{8}$ . Since  $A$  is infinite, there exists  $t \in A$  larger than all the elements of  $\text{SIP}(F)_+$  and so with  $t > \sigma_F$ . Thus,  $t - \sigma_F, t, t + \sigma_F \in \text{SIP}(A)_+ \subset N(0, U_+)$ . Thus,  $0 < (t - \sigma_F)a \leq \frac{1}{8}$ . Since  $2\sigma_F a \leq 2M \leq \frac{1}{4}$ , we have  $(t + \sigma_F)a = (t - \sigma_F)a + 2\sigma_F a > 2(M - \epsilon)$ . If  $\epsilon$  is chosen less than  $\frac{M}{2}$  then  $t + \sigma_F \in \text{SIP}(A)_+$  with  $(t + \sigma_F)a > M$ . This contradicts the definition of  $M$ .  $\square$

The dynamics suggests a further conjecture. A dynamical system  $(X, T)$  is called  $\mathcal{F}$  topologically transitive for a full family  $\mathcal{F}$  of subsets of  $\mathbb{N}$  if for all  $U, V \subset X$  open and nonempty  $N(U, V) \in \mathcal{F}$ . From (3.1) and (3.2) it follows that every translate of  $N(U, V)$  is also in  $\mathcal{F}$  and so  $N(U, V) \in \tilde{\gamma}\mathcal{F}$ . That is, an  $\mathcal{F}$  topologically transitive family is automatically a  $\tilde{\gamma}\mathcal{F}$  topologically transitive family.

A system  $(X, T)$  is called *mild mixing* if it is  $\mathcal{S}^*$  topologically transitive. Glasner and Weiss [3, Theorem 4.11, p. 614] (and also, independently, Huang and Ye [6]) proved the following.

**Theorem 3.5.**  *$(X, T)$  is mild mixing iff for every topologically transitive system  $(Y, S)$  the product system  $(X \times Y, T \times S)$  is topologically transitive.*

**Proof.** Suppose  $U_1, V_1 \subset X$  and  $U_2, V_2 \subset Y$  are open and nonempty. Fix  $n \in N(U_2, V_2)$  so that  $U_3 = U_2 \cap S^{-n}(V_2) \subset Y$  is open and nonempty. By Corollary 3.3  $N(U_2, S^{-n}(V_2)) \supset N(U_3, U_3)$  is an SIP set. Because  $(X, T)$  is mild mixing



$N(U_1, T^{-n}(V_1))$  is an SIP\* set. Because  $\mathcal{S}$  is a full family the intersection is infinite. The intersection is  $N(U_1 \times U_2, (T \times S)^{-n}(V_1 \times V_2))$  and so by (3.1),  $N(U_1 \times U_2, V_1 \times V_2)$  is infinite. Thus, the product is topologically transitive.

If  $(X, T)$  is not mild mixing then there exist  $U, V \subset X$  open and nonempty and an SIP set  $A \subset \mathbb{N}$  such that  $N(U, V) \cap A = \emptyset$ . The result then follows a construction of Glasner and Weiss [3] which shows that if  $A \subset \mathbb{N}$  is an SIP set then there exists a topologically transitive system  $(Y, S)$  and  $G \subset Y$  a nonempty open set such that  $N(G, G) \subset A$ .  $\square$

**Corollary 3.6.** *The product of any collection of mild mixing systems is mild mixing.*

**Proof.** If  $T_1$  and  $T_2$  are mild mixing homeomorphisms and  $S$  is topologically transitive, then  $T_2 \times S$  is transitive and so  $T_1 \times T_2 \times S$  is transitive. Hence,  $T_1 \times T_2$  is mild mixing.

By induction a finite product of mild mixing systems is mild mixing.

An infinite product times  $S$  is the inverse limit of finite products times  $S$  and the inverse limit of transitive systems is transitive. It follows that the infinite product is mild mixing.  $\square$

Let  $\mathcal{M}$  be the family on  $\mathbb{N}$  generated by  $\{N(U, V) : (X, T) \text{ mild mixing and } U, V \subset X \text{ open and nonempty}\}$ . From Corollary 3.6 it follows that  $\mathcal{M}$  is a filter. Because  $\mathcal{S}^*$  transitivity implies  $\tilde{\gamma}(\mathcal{S}^*)$  transitivity it follows that  $\mathcal{M} \subset \tilde{\gamma}(\mathcal{S}^*)$ .

By the Hindman Theorem,  $\mathcal{I}$  the family of IP sets is a filterdual and so  $\mathcal{I}^*$  is a filter. Since  $\mathcal{I}$ , and hence  $\mathcal{I}^*$ , are full, it follows that  $\tilde{\gamma}(\mathcal{I}^*)$  is a filter.

We know from the above example that  $\mathcal{S}^*$  is not a filter, but it might still be true that  $\tilde{\gamma}(\mathcal{S}^*)$  is a filter. This would be true if  $\mathcal{M} = \tilde{\gamma}(\mathcal{S}^*)$ . In that case,  $\gamma\mathcal{S}$  would be a filterdual. In the example itself,  $N(0, U_+)$  is not an SIP set, but if  $T^k(0) \in (-\frac{1}{8}, 0)$  then 0 is in the interior of  $T^k(U_+)$  and so  $N(0, T^k(U_+))$  is an SIP set. From (3.2) it follows that  $N(0, U_+)$  is the translation of an SIP set.

We now consider whether  $\tilde{\gamma}(\mathcal{S}^*)$  is a filter or equivalently, the following

**Question 3.7.** *If  $A$  is an infinite subset of  $\mathbb{N}$  and  $\phi : \text{SIP}_+(A) = D(\text{IP}(A))_+ \rightarrow \{1, \dots, r\}$ , then does there necessarily exist an infinite subset  $L \subset \mathbb{N}$  and  $m \in \mathbb{Z}$  such that  $(\text{SIP}(L) + m)_+ \subset \text{SIP}_+(A)$  and  $\phi$  is constant on  $(\text{SIP}(L) + m)_+$ ?*

#### 4. SIP sets and their refinements

Let  $e \in \mathbb{N}$  and  $b = 2e + 1$  so that  $b$  is an odd number greater than 1. Define  $\alpha_b : \mathbb{N} \rightarrow \mathbb{N}$  by  $\alpha_b(n) = b^{n-1}$ . The  $b$ -expansion of an integer  $t$  is the sum  $\sum_{n \in \mathbb{N}} \epsilon_n \alpha_b(n) = t$  such that:

- $|\epsilon_n| \leq e$  for all  $n \in \mathbb{N}$ .
- $\epsilon_n = 0$  for all but finitely many  $n$ .

**Proposition 4.1.** *Every integer in  $\mathbb{Z}$  has a unique  $b$ -expansion.*

**Proof.** By the Euclidean Algorithm every integer  $t$  can be expressed uniquely as  $\epsilon + bs$  with  $|\epsilon| \leq e$ . It follows by induction that every integer  $t$  with  $|t| < \frac{1}{2}(b^k - 1)$  has an expansion with  $\epsilon_n = 0$  for  $n \geq k$ . There are  $b^k$  such integers and the same number of expansions. So by the pigeonhole principle the expansions are unique.  $\square$

We will only need the  $b = 3$  expansions with  $e = 1$  so that each  $\epsilon_n = -1, +1$  or  $0$ . We will write  $\alpha$  for  $\alpha_3$  so that  $\alpha(n) = 3^{n-1}$ . From [Proposition 4.1](#) we obviously have

$$\mathbb{Z} = \text{SIP}(\alpha(\mathbb{N})).$$

The *length*  $r(t)$  of  $t$  is the number of nonzero  $\epsilon_i$ 's in the expansion of  $t$ . With  $r = r(t)$  we let  $j_1(t), \dots, j_r(t)$  be the corresponding indices written in increasing order. That is,

- $j_1(t) < \dots < j_r(t)$  and  $\epsilon_{j_i(t)} = \pm 1$  for  $i = 1, \dots, r$ .
- $t = \sum_{i=1}^r \epsilon_{j_i(t)} \alpha(j_i(t))$ .

We call this representation the *reduced expansion* and  $j_1(t), \dots, j_r(t)$  the *indices* of  $t$ . That is, the indices of the reduced expansion of  $t$  list the finite number of places where the terms of the expansion are nonzero. For example, with  $t = 235 = 1 - 9 + 243$ ,  $r(t) = 3$  with  $j_1 = 1$ ,  $j_2 = 3$ ,  $j_3 = 6$ . Notice that  $0$  has length  $0$  and equals the empty sum.

Because  $3^{n+1} > 1 + 3 + \dots + 3^n$  it follows that

$$t > 0 \iff \epsilon_{j_r(t)} = 1. \quad (4.1)$$

**Definition 4.2.** Assume that  $j_1(t), \dots, j_r(t)$  and  $j_1(s), \dots, j_r(s)$  are the indices of the reduced expansions for  $t, s \in \mathbb{Z}$ .

- The integer  $t$  is of *positive type* (or of *negative type*) if  $\epsilon_{j_1(t)}\epsilon_{j_r(t)}$  is positive (resp. is negative). So  $t$  is of positive type if coefficients of its first and last indices have the same sign. By convention we will say that  $0$  is of positive type.
- For integers  $t, s$ ,  $t \succ s$  if  $j_1(t) > j_r(s)$ , that is, the indices for  $t$  are larger than all of the indices of  $s$ . We will say that  $t$  is *beyond*  $s$  when  $t \succ s$ . By convention,  $t \succ 0$  if  $t \neq 0$ .

If  $t > 0$  then  $\epsilon_{j_r(t)} = 1$ , and so  $t$  is of positive type (or negative type) if  $\epsilon_{j_1(t)}$  is positive (resp.  $\epsilon_{j_1(t)}$  is negative).

Notice that if  $j_{r(s)}(s) = n + 1$ , then

$$t \succ s \iff t \succ 3^n \iff t \equiv 0 \pmod{3^{n+1}}. \quad (4.2)$$

Now we turn to SIP sets.

**Definition 4.3.**

- (a) We call a strictly increasing function  $k : \mathbb{N} \rightarrow \mathbb{N}$  a *+function*.  
 (b) If  $k_1$  and  $k_2$  are +functions we say that  $k_2$  *directly refines*  $k_1$  if  $k_2(\mathbb{N}) \subset k_1(\mathbb{N})$ . We say that  $k_2$  *refines*  $k_1$  if  $\text{IP}(k_2(\mathbb{N})) \subset \text{IP}(k_1(\mathbb{N}))$ .

Clearly, direct refinement implies refinement and each relation is transitive.

For an infinite subset  $A \subset \mathbb{N}$  there is a unique +function  $k_A$  such that  $k_A(\mathbb{N}) = A$ , i.e. the function which counts the elements of  $A$  in increasing order.

The following is essentially Lemma 2.2 of [5] with 2 replaced by 3. We review the brief proof.

**Lemma 4.4.** *If  $k$  is a +function, then for any  $N \in \mathbb{N}$  there exists a +function  $k_1$  such that*

- $k_1$  *refines*  $k$ .
- $k_1(n) \succ 3^{N-1}$  for all  $n \in \mathbb{N}$ .

**Proof.** Let  $M = 3^{2N}$ . By the pigeonhole principle we can choose for each  $n \in \mathbb{N}$  a subset  $F_n \subset \{nM+1, nM+2, \dots, (n+1)M\}$  of cardinality  $3^N$  such that  $k(i) \equiv k(j) \pmod{3^N}$  for all  $i, j \in F_n$ . Let  $k_1(n) = \sum_{i \in F_n} k(i)$ .  $\square$

**Theorem 4.5.** *If  $A \subset \mathbb{N}$  is a translate of an SIP set then there exists  $t_0 \in A$  and +function  $k$  such that*

- (i)  $k(1) \succ t_0$ .
- (ii)  $k(n+1) \succ k(n)$  for all  $n \in \mathbb{N}$ .
- (iii) *Either  $k(n)$  is of positive type for all  $n \in \mathbb{N}$ , or else  $k(n)$  is of negative type for all  $n \in \mathbb{N}$ .*
- (iv)  $t_0 + \text{SIP}(k(\mathbb{N}))_+ = (t_0 + \text{SIP}(k(\mathbb{N})))_+ \subset A$ .

**Proof.** There exists  $u \in \mathbb{Z}$  and a +function  $k_0$  such that  $(\text{SIP}(k_0(\mathbb{N})) + u)_+ \subset A$ .

For sufficiently large  $N_0$ ,  $t_0 = u + \sum_{n=1}^{N_0} k_0(n) > 0$  and so lies in  $A$ .

Let  $k_0^+$  be the direct refinement of  $k_0$  with  $k_0^+(\mathbb{N}) = k_0([N_0+1, \infty))$ . Hence,

$$(t_0 + \text{SIP}(k_0^+(\mathbb{N})))_+ \subset A. \quad (4.3)$$

Now we repeatedly apply Lemma 4.4.

Let  $N_1 > j_r(t_0)$ .

Choose  $k_1$  a +function which refines  $k_0^+$  and with  $k_1(n) \succ 3^{N_1}$  for all  $n \in \mathbb{N}$ . In particular,  $k_1(1) \succ t_0$ . So from (4.3) we have

$$(t_0 + \text{SIP}(k_1(\mathbb{N})))_+ \subset A. \quad (4.4)$$

Let  $k_1^+$  be the direct refinement of  $k_1$  with  $k_1^+(\mathbb{N}) = k_1([2, \infty))$ .

Let  $N_2 > j_r(k_1(1))$  and choose  $k_2$  a  $+$ -function which refines  $k_1^+$  and with  $k_2(n) \succ 3^{N_2}$  for all  $n \in \mathbb{N}$ . In particular,  $k_2(1) \succ k_1(1)$ . Furthermore,

$$\text{IP}[\{k_1(1)\} \cup k_2(\mathbb{N})] \subset \text{IP}[\{k_1(1)\} \cup k_1^+(\mathbb{N})] = \text{IP}(k_1(\mathbb{N})). \quad (4.5)$$

Inductively, let  $k_q^+$  be the direct refinement of  $k_q$  with  $k_q^+(\mathbb{N}) = k_q([2, \infty))$  and let  $N_{q+1} > j_r(k_q(1))$ . Choose  $k_{q+1}$  a refinement of  $k_q^+$  with  $k_{q+1}(n) \succ 3^{N_{q+1}}$  for all  $n \in \mathbb{N}$ . Hence,  $k_{q+1}(1) \succ k_q(1)$  and

$$\begin{aligned} \text{IP}[\{k_q(1)\} \cup k_{q+1}(\mathbb{N})] &\subset \text{IP}[\{k_q(1)\} \cup k_q^+(\mathbb{N})] = \text{IP}(k_q(\mathbb{N})), \\ \text{IP}[\{k_1(1), \dots, k_q(1)\} \cup k_{q+1}(\mathbb{N})] &\subset \text{IP}(k_1(\mathbb{N})). \end{aligned} \quad (4.6)$$

Now define  $\tilde{k}(n) = k_n(1)$  for  $n \in \mathbb{N}$ . Either  $\tilde{k}(n)$  is of positive type infinitely often or of negative type infinitely often (or both). So we can choose a direct refinement  $k$  of  $\tilde{k}$  so that, (i), (ii) and (iii) hold. In addition,

$$\text{IP}(k(\mathbb{N})) \subset \text{IP}(\tilde{k}(\mathbb{N})) \subset \text{IP}(k_1(\mathbb{N})).$$

Clearly,  $k(n+1) \succ k(n) \succ t_0$  and from (4.6) it follows that  $\text{IP}(\tilde{k}(\mathbb{N})) \subset \text{IP}(k_1(\mathbb{N}))$  and so from (4.4)  $[t_0 + \text{SIP}(k(\mathbb{N}))]_+ \subset A$ .

Since  $k(n) \succ t_0$  for all  $n$  it follows from (4.2) that  $t \succ t_0$  for all  $t \in \text{SIP}(k(\mathbb{N}))$ . Hence, if  $t \in \text{SIP}(k(\mathbb{N}))$  is negative then  $t_0 + t$  is negative. Thus,  $[t_0 + \text{SIP}(k(\mathbb{N}))]_+ = t_0 + [\text{SIP}(k(\mathbb{N}))]_+$ , completing the proof of (iv).  $\square$

For two distinct numbers  $n, m \in \mathbb{Z} \setminus \{0\}$  define

$$\delta(n, m) = \begin{cases} 0 & \text{if } nm > 0, \\ 1 & \text{if } nm < 0. \end{cases} \quad (4.7)$$

Now we define the *sign change count* to be the function  $z : \mathbb{N} \rightarrow \mathbb{Z}_+$  so that if  $t \in \mathbb{N}$  has reduced expansion with indices  $j_1(t), \dots, j_{r(t)}(t)$  then

$$z(t) = \sum_{i=1}^{r(t)-1} \delta(\epsilon_{j_i}, \epsilon_{j_{i+1}}). \quad (4.8)$$

In particular, if the length is one then the sum is empty and so  $z(3^{n-1}) = 0$  for all  $n \in \mathbb{N}$ .

For a positive integer  $K$  let  $\pi_K : \mathbb{Z} \rightarrow \mathbb{Z}/K\mathbb{Z}$  be the quotient map mod  $K$ .

**Theorem 4.6.** *If  $A \subset \mathbb{N}$  is a translate of an SIP set then for every odd number  $K$ ,  $\pi_K \circ z : A \rightarrow \mathbb{Z}/K\mathbb{Z}$  is surjective.*

**Proof.** Fix  $K$ . Since it is odd, 2 and  $-2$  generate the cyclic group  $\mathbb{Z}/K\mathbb{Z}$ .

By Theorem 4.5 we can choose  $t_0 \in A$  and a  $+$ -function  $k$  which satisfy the four conditions of the theorem.

Let  $s_0 = t_0 + \sum_{n=1}^{2K+1} k(n)$ . Since  $k(n+1) \succ k(n) \succ t_0$  for all  $n$ , we can regard the sequence  $\{k(n) : n \in \mathbb{N}\}$  as a sequence of disjoint ascending blocks in  $\text{IP}(\alpha(\mathbb{N}))$  (with  $\alpha(n) = 3^{n-1}$ ).

Since each  $k(n)$  is positive, each  $\epsilon_{j_{r_n}(k(n))}$  is positive, where  $r_n = r(k(n))$ . For  $i = 1, \dots, K$  let

$$s_i = t_0 + \sum_{n=1}^{2i} (-1)^{n+1} k(n) + \sum_{n=2i+1}^{2K+1} k(n).$$

That is, moving from  $s_{i-1}$  to  $s_i$  we reverse the sign of the block  $k(2i)$  keeping the remaining blocks fixed. Clearly  $s_i \in A$  for  $i = 0, \dots, K$ .

**Case 1.** Every  $k(n)$  is of positive type. Each  $\epsilon_{j_1(k(n))}$  is positive.

For  $i = 1, \dots, K$ , moving from  $s_{i-1}$  to  $s_i$  increases  $z$  by exactly 2 because the  $++$  transition from  $j_{r_{2i-1}}(k(2i-1))$  to  $j_1(k(2i))$  is replaced by a  $+-$  transition and the  $++$  transition from  $j_{r_{2i}}(k(2i))$  to  $j_1(k(2i+1))$  is replaced by a  $-+$  transition. Thus,  $\pi_K(z(s_i)) = \pi_K(z(s_{i-1})) + 2 \pmod{K}$ . Since 2 generates the cyclic group,  $\pi_K \circ z$  is surjective.

**Case 2.** Every  $k(n)$  for  $n > 1$  is of negative type. Each  $\epsilon_{j_1(k(n))}$  is negative. This time the  $+-$  transition from  $j_{r_{2i-1}}(k(2i-1))$  to  $j_1(k(2i))$  is replaced by a  $++$  transition and the  $+-$  transition from  $j_{r_{2i}}(k(2i))$  to  $j_1(k(2i+1))$  is replaced by a  $--$  transition. Thus, in this case,  $\pi_K(z(s_i)) = \pi_K(z(s_{i-1})) - 2 \pmod{K}$ . Again  $\pi_K \circ z$  is surjective.  $\square$

We can now deduce the following strong negative answer to [Question 3.7](#).

**Theorem 4.7.** *If  $A$  is any SIP subset of  $\mathbb{N}$  (including  $\mathbb{N}$  itself), then  $A$  can be partitioned by two sets neither of which contains a translate of an SIP set. Thus, the family of translated SIP sets in  $\mathbb{N}$  is not a filterdual.*

**Proof.** With  $K = 3$ , the sign count map  $z : \mathbb{N} \rightarrow \mathbb{Z}/3\mathbb{Z}$  determines a coloring of  $\mathbb{N}$  and in any translated SIP set all three colors occur.

In particular, let

$$\begin{aligned} A_0 &= \{ t \in \mathbb{N} : z(t) \equiv 0 \pmod{3} \}, \\ A_1 &= \mathbb{N} \setminus A_0 = \{ t \in \mathbb{N} : z(t) \not\equiv 0 \pmod{3} \}. \end{aligned} \tag{4.9}$$

Neither  $A_0$  nor  $A_1$  contains a translate of an SIP set and so neither has a complement in  $\gamma\mathcal{S}$ . Thus, each is an element of  $(\gamma\mathcal{S})^* = \tilde{\gamma}(\mathcal{S}^*)$ . For any translated SIP set  $B$ , the pair  $\{A_0 \cap B, A_1 \cap B\}$  is a partition of  $B$  by nonempty sets.  $\square$

In general the congruence classes mod  $K$  of  $z(t)$  (for  $K$  odd) define a decomposition of  $\mathbb{N}$  into  $K$  elements, each a member of  $\tilde{\gamma}(\mathcal{S}^*) \subset \mathcal{S}^*$ . Thus,  $\mathcal{S}^*$  and  $\tilde{\gamma}(\mathcal{S}^*)$  fail to be filters in a very strong way.

## 5. Dynamics again

We defined the family  $\mathcal{M}$  generated by the sets  $N(U, V)$  with  $(X, T)$  mild mixing and  $U, V \subset X$  open and nonempty. We saw that  $\mathcal{M}$  is an invariant filter contained in  $\tilde{\gamma}(\mathcal{S}^*)$ . Now that we know that the latter is not a filter, we see that the inclusion is proper. Can we find another possible description of the sets in  $\mathcal{M}$ ?

For a proper family  $\mathcal{F}$  on an infinite set  $Q$  we define the *sharp dual*  $\mathcal{F}^\#$  by

$$\mathcal{F}^\# = \{ A \subset Q : A \cap B \in \mathcal{F} \text{ for all } B \in \mathcal{F} \}. \quad (5.1)$$

**Proposition 5.1.** *Let  $\mathcal{F}$  be a proper family on an infinite set  $Q$ .*

- (a)  $\mathcal{F}^\#$  is a filter contained in  $\mathcal{F} \cap (\mathcal{F}^*)$ . It is full if  $\mathcal{F}$  is full.
- (b)  $\mathcal{F}^\# = (\mathcal{F}^*)^\#$ .
- (c) If  $\mathcal{F}$  is a filter, then  $\mathcal{F}^\# = \mathcal{F}$ . In particular,  $(\mathcal{F}^\#)^\# = \mathcal{F}^\#$ .
- (d) If  $\mathcal{F}$  is a filterdual then  $\mathcal{F}^\# = \mathcal{F}^*$ .

**Proof.** (a) Since  $\mathcal{F}$  is proper,  $\emptyset \notin \mathcal{F}$  and so  $A \in \mathcal{F}^\#$  implies  $A \cap B \neq \emptyset$  for all  $B \in \mathcal{F}$ . Thus,  $A \in \mathcal{F}^*$ . Also,  $Q \in \mathcal{F}$  and so  $A = A \cap Q \in \mathcal{F}$ . Thus,  $A \in \mathcal{F}$ .

If  $A_1, A_2 \in \mathcal{F}^\#$  and  $B \in \mathcal{F}$  then  $(A_1 \cap A_2) \cap B = A_1 \cap (A_2 \cap B) \in \mathcal{F}$ . Thus,  $A_1 \cap A_2 \in \mathcal{F}^\#$ .

Assume  $\mathcal{F}$  is full. If  $A$  is a cofinite set and  $B \in \mathcal{F}$  then  $A \cap B \in \mathcal{F}$  since  $\mathcal{F}$  is full. Thus,  $A \in \mathcal{F}^\#$ . That is,  $B^* \subset \mathcal{F}^\#$ . Since the latter is a filter, it is full.

(b) If  $A \in \mathcal{F}^\#$ ,  $B_1 \in \mathcal{F}^*$ ,  $B \in \mathcal{F}$ , then  $(A \cap B_1) \cap B = (A \cap B) \cap B_1 \neq \emptyset$ . Since  $B$  was arbitrary,  $A \cap B_1 \in \mathcal{F}^*$ . Since  $B_1$  was arbitrary,  $A \in (\mathcal{F}^*)^\#$ . The reverse inclusion follows from  $(\mathcal{F}^*)^* = \mathcal{F}$ .

(c) If  $\mathcal{F}$  is a filter, then  $\mathcal{F} \cdot \mathcal{F} = \mathcal{F}$  and so  $\mathcal{F} \subset \mathcal{F}^\#$ . From (a) it follows that  $\mathcal{F}^\# \subset \mathcal{F}$ .

(d) This follows from (b) and (c).  $\square$

**Theorem 5.2.**  $\mathcal{M} \subset (\gamma\mathcal{S})^\# = (\tilde{\gamma}(\mathcal{S}^*))^\#$ .

**Proof.** The equation follows from Proposition 5.1 (b).

Now let  $(X, T)$  be mild mixing and  $U, V \subset X$  be open and nonempty. Let  $A \subset \mathbb{N}$  be a translation of an SIP set. We show that  $N(U, V) \cap A$  is the translation of an SIP set.

By the Glasner–Weiss construction described in the proof of Theorem 3.5, there exists a topologically transitive system,  $(Y, S)$ ,  $G \subset Y$  open and nonempty and  $n \in \mathbb{Z}$  so that  $N(G, S^{-n}(G)) \setminus [1, |n|]$  is contained in  $A$ . It follows that  $N(U, V) \cap A$  contains  $N(U, V) \cap N(G, T^{-n}(G) \setminus [1, |n|])$ . Because  $(X \times Y, T \times S)$  is topologically transitive,  $N(U, V) \cap N(G, T^{-n}(G)) = N(U \times G, V \times T^{-n}(G))$  is the translation of an SIP set by Corollary 3.3. As  $\gamma\mathcal{S}$  is a full family, it follows that  $N(U, V) \cap A$  is in  $\gamma\mathcal{S}$ .  $\square$

Our final question is whether  $\mathcal{M} = (\gamma\mathcal{S})^\#$ ?

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