



ELSEVIER

Contents lists available at ScienceDirect

Journal of Combinatorial Theory,  
Series A

www.elsevier.com/locate/jcta



The Eulerian distribution on involutions is indeed  $\gamma$ -positive



Danielle Wang

Department of Mathematics, Massachusetts Institute of Technology, Cambridge,  
MA 02139-4307, United States of America

ARTICLE INFO

Article history:

Received 3 September 2018  
Available online xxxx

Keywords:

Involutions  
Descent number  
 $\gamma$ -Positive  
Eulerian polynomial  
Separable permutations

ABSTRACT

Let  $\mathcal{I}_n$  and  $\mathcal{J}_n$  denote the set of involutions and fixed-point free involutions of  $\{1, \dots, n\}$ , respectively, and let  $\text{des}(\pi)$  denote the number of descents of the permutation  $\pi$ . We prove a conjecture of Guo and Zeng which states that  $I_n(t) := \sum_{\pi \in \mathcal{I}_n} t^{\text{des}(\pi)}$  is  $\gamma$ -positive for  $n \geq 1$  and  $J_{2n}(t) := \sum_{\pi \in \mathcal{J}_{2n}} t^{\text{des}(\pi)}$  is  $\gamma$ -positive for  $n \geq 9$ . We also prove that the number of (3412, 3421)-avoiding permutations with  $m$  double descents and  $k$  descents is equal to the number of separable permutations with  $m$  double descents and  $k$  descents.

© 2019 Elsevier Inc. All rights reserved.

1. Introduction

A polynomial  $p(t) = a_r t^r + a_{r+1} t^{r+1} + \dots + a_s t^s$  is called *palindromic of center  $\frac{n}{2}$*  if  $n = r + s$  and  $a_{r+i} = a_{s-i}$  for  $0 \leq i \leq \frac{n}{2} - r$ . A palindromic polynomial can be written uniquely [2] as

$$p(t) = \sum_{k=r}^{\lfloor \frac{n}{2} \rfloor} \gamma_k t^k (1+t)^{n-2k},$$

E-mail address: diwang@mit.edu.

and it is called  $\gamma$ -positive if  $\gamma_k \geq 0$  for each  $k$ . The  $\gamma$ -positivity of a palindromic polynomial implies unimodality of its coefficients (i.e., the coefficients  $a_i$  satisfy  $a_r \leq a_{r+1} \leq \dots \leq a_{\lfloor n/2 \rfloor} \geq a_{\lfloor n/2 \rfloor + 1} \geq \dots \geq a_s$ ).

Let  $\mathfrak{S}_n$  be the set of all permutations of  $[n] = \{1, 2, \dots, n\}$ . For  $\pi \in \mathfrak{S}_n$ , the *descent set* of  $\pi$  is

$$\text{Des}(\pi) = \{i \in [n - 1] : \pi(i) > \pi(i + 1)\},$$

and the *descent number* is  $\text{des}(\pi) = \#\text{Des}(\pi)$ . The *double descent set* is

$$\text{DD}(\pi) = \{i \in [n] : \pi(i - 1) > \pi(i) > \pi(i + 1)\}$$

where  $\pi(0) = \pi(n + 1) = \infty$ , and we define  $\text{dd}(\pi) = \#\text{DD}(\pi)$ .

Finally, a permutation  $\pi$  is said to *avoid* a permutation  $\sigma$  (henceforth called a *pattern*) if  $\pi$  does not contain a subsequence (not necessarily consecutive) with the same relative order as  $\sigma$ . We let  $\mathfrak{S}_n(\sigma_1, \dots, \sigma_r)$  denote the set of permutations in  $\mathfrak{S}_n$  avoiding the patterns  $\sigma_1, \dots, \sigma_r$ .

The descent polynomial  $A_n(t) = \sum_{\pi \in \mathfrak{S}_n} t^{\text{des}(\pi)}$  is called the *Eulerian polynomial*, and we have the following remarkable fact, which implies that  $A_n(t)$  is  $\gamma$ -positive.

**Theorem 1.1** (Foata–Schützenberger [4]). *For  $n \geq 1$ ,*

$$A_n(t) = \sum_{k=0}^{\lfloor \frac{n-1}{2} \rfloor} \gamma_{n,k} t^k (1+t)^{n-1-2k},$$

where  $\gamma_{n,k} = \#\{\pi \in \mathfrak{S}_n : \text{dd}(\pi) = 0, \text{des}(\pi) = k\}$ .

Similarly, let  $\mathcal{I}_n$  be the set of all involutions in  $\mathfrak{S}_n$ , and let  $\mathcal{J}_n$  be the set of all fixed-point free involutions in  $\mathfrak{S}_n$ . Define

$$I_n(t) = \sum_{\pi \in \mathcal{I}_n} t^{\text{des}(\pi)}, \quad J_n(t) = \sum_{\pi \in \mathcal{J}_n} t^{\text{des}(\pi)}.$$

Note that  $J_n(t) = 0$  for  $n$  odd. Strehl [15] first showed that the polynomials  $I_n(t)$  and  $J_{2n}(t)$  are palindromic. Guo and Zeng [6] proved that  $I_n(t)$  and  $J_{2n}(t)$  are unimodal and conjectured that they are in fact  $\gamma$ -positive. Our first two theorems, which we prove in Sections 2 and 3, confirm their conjectures.

**Theorem 1.2.** *For  $n \geq 1$ , the polynomial  $I_n(t)$  is  $\gamma$ -positive.*

**Theorem 1.3.** *For  $n \geq 9$ , the polynomial  $J_{2n}(t)$  is  $\gamma$ -positive.*

Theorem 1.1 and many variations of it have been proved by many methods, see for example [8,13]. One of these methods uses the *Modified Foata–Strehl (MFS) action* on

$\mathfrak{S}_n$  [1,11,12]. In fact it follows from [1, Theorem 3.1] that the same property holds for all subsets of  $\mathfrak{S}_n$  which are invariant under the MFS action. The (2413, 3142)-avoiding permutations are the *separable permutations*, which are permutations that can be built from the trivial permutation through *direct sums* and *skew sums* [7, Theorem 2.2.36]. These are not invariant under the MFS action. However, in 2017, Fu, Lin, and Zeng [5], using a bijection with di-sk trees, and Lin [9], using an algebraic approach, proved that the separable permutations also satisfy the following theorem.

**Theorem 1.4** ([5, Theorem 1.1]). For  $n \geq 1$ ,

$$\sum_{\pi \in \mathfrak{S}_n(2413,3142)} t^{\text{des}(\pi)} = \sum_{k=0}^{\lfloor \frac{n-1}{2} \rfloor} \gamma_{n,k}^S t^k (1+t)^{n-1-2k},$$

where  $\gamma_{n,k}^S = \#\{\pi \in \mathfrak{S}_n(2413, 3142) : \text{dd}(\pi) = 0, \text{des}(\pi) = k\}$ .

Two sets of patterns  $\Pi_1$  and  $\Pi_2$  are *des-Wilf* equivalent if

$$\sum_{\pi \in \mathfrak{S}_n(\Pi_1)} t^{\text{des}(\pi)} = \sum_{\pi \in \mathfrak{S}_n(\Pi_2)} t^{\text{des}(\pi)},$$

and are *Des-Wilf* equivalent if

$$\sum_{\pi \in \mathfrak{S}_n(\Pi_1)} \prod_{i \in \text{Des}(\pi)} t_i = \sum_{\pi \in \mathfrak{S}_n(\Pi_2)} \prod_{i \in \text{Des}(\pi)} t_i.$$

We also say that the permutation classes  $\mathfrak{S}_n(\Pi_1)$  and  $\mathfrak{S}_n(\Pi_2)$  are *des-Wilf* or *Des-Wilf* equivalent.

In 2018, Lin and Kim [10, Theorem 5.1] determined all permutation classes avoiding two patterns of length 4 which are *des-Wilf* equivalent to the separable permutations, all of which are *Des-Wilf* equivalent to each other but not to the separable permutations.

One such class is the (3412, 3421)-avoiding permutations, which is invariant under the MFS action. A byproduct of this is that the number of (3412, 3421)-avoiding permutations with no double descents and  $k$  descents is also equal to  $\gamma_{n,k}^S$ . In Section 4, we prove the following more general fact.

**Theorem 1.5.** For  $n \geq 1$ ,

$$\sum_{\pi \in \mathfrak{S}_n(3412,3421)} x^{\text{des}(\pi)} y^{\text{dd}(\pi)} = \sum_{\pi \in \mathfrak{S}_n(2413,3142)} x^{\text{des}(\pi)} y^{\text{dd}(\pi)}.$$

## 2. Proof of the $\gamma$ -positivity of $I_n(t)$

In this section we prove Theorem 1.2, restated below for clarity. Let the  $\gamma$ -expansion of  $I_n(t)$  be

$$I_n(t) = \sum_{k=0}^{\lfloor \frac{n-1}{2} \rfloor} a_{n,k} t^k (1+t)^{n-2k-1}.$$

We have the following recurrence relation for the coefficients  $a_{n,k}$ .

**Theorem 2.1** ([6, Theorem 4.2]). For  $n \geq 3$  and  $k \geq 0$ ,

$$\begin{aligned} na_{n,k} &= (k+1)a_{n-1,k} + (2n-4k)a_{n-1,k-1} + [k(k+2) + n-1]a_{n-2,k} \\ &\quad + [(k-1)(4n-8k-14) + 2n-8]a_{n-2,k-1} \\ &\quad + 4(n-2k)(n-2k+1)a_{n-2,k-2}, \end{aligned}$$

where  $a_{n,k} = 0$  if  $k < 0$  or  $k > (n-1)/2$ .

**Theorem 1.2.** For  $n \geq 1$ , the polynomial  $I_n(t)$  is  $\gamma$ -positive.

**Proof.** We will prove by induction on  $n$  the slightly stronger claim that  $a_{n,k} \geq 0$  for  $n \geq 1$ ,  $k \geq 0$ , and  $a_{n,k} \geq \frac{2}{n}a_{n-1,k-1}$  if  $n = 2k+1$  and  $k \geq 4$ . Assume the claim is true whenever the first index is less than  $m$ . We want to prove the claim for all  $a_{m,k}$ . If  $m \leq 2000$ , we can check the claim directly (this has been done by the author using Sage). Thus, we may assume that  $m > 2000$ . If  $m \geq 2k+3$ , then all the coefficients in the recursion are nonnegative, so we are done by induction. Thus, assume that  $(m, k) = (2n+1, n)$  or  $(2n+2, n)$  with  $n \geq 1000$ .

*Case 1:*  $(m, k) = (2n+2, n)$ . We wish to show that  $a_{2n+2,n} \geq 0$ . We apply the recurrence in Theorem 2.1, noting that  $a_{2n,n} = 0$  since  $n > (2n-1)/2$ , to get

$$\begin{aligned} (2n+2)a_{2n+2,n} &= (n+1)a_{2n+1,n} + 4a_{2n+1,n-1} + 24a_{2n,n-2} - (2n-2)a_{2n,n-1} \\ &\geq 4a_{2n+1,n-1} + 24a_{2n,n-2} - 2na_{2n,n-1} \\ &\geq 4a_{2n+1,n-1} + 24a_{2n,n-2} - na_{2n-1,n-1} - 4a_{2n-1,n-2} \\ &\quad - 24a_{2n-2,n-3}, \end{aligned} \tag{†}$$

where the last inequality comes from applying the recurrence once again to obtain

$$2na_{2n,n-1} \leq na_{2n-1,n-1} + 4a_{2n-1,n-2} + 24a_{2n-2,n-3}.$$

Note that since  $2n+1 \geq 2(n-1)+3$ , when we apply the recurrence relation for  $a_{2n+1,n-1}$  all terms in the sum are positive. We drop all terms but the  $a_{2n-1,n-1}$  term and multiply by  $4/(2n+1)$  to get

$$4a_{2n+1,n-1} \geq \frac{4}{2n+1} [(n-1)(n+1) + 2n] a_{2n-1,n-1} \geq na_{2n-1,n-1}.$$

Similarly, since  $2n \geq 2(n - 2) + 3$ , when we use the recursion to calculate  $a_{2n,n-2}$ , we can drop all terms but the  $a_{2n-1,n-2}$  term, which after multiplying by  $12/(2n)$  gives

$$12a_{2n,n-2} \geq \frac{12}{2n}[(n - 1)a_{2n-1,n-2}] \geq 4a_{2n-1,n-2}.$$

Alternatively, we could have dropped all but the  $a_{2n-2,n-3}$  term to get

$$12a_{2n,n-2} \geq \frac{12}{2n}[(n - 3) \cdot 2 + 4n - 8]a_{2n-2,n-3} \geq 24a_{n-2,n-3}.$$

Plugging the previous three inequalities into (†) proves that  $a_{2n+2,n} \geq 0$ , as desired.

*Case 2:  $(m, k) = (2n + 1, n)$ .* We want to show  $(2n + 1)a_{2n+1,n} \geq 2a_{2n,n-1}$ . By the recurrence relation, we have

$$(2n + 1)a_{2n+1,n} = 2a_{2n,n-1} + 8a_{2n-1,n-2} - (6n - 4)a_{2n-1,n-1}.$$

Thus, it suffices to show that  $8a_{2n-1,n-2} - (6n - 3)a_{2n-1,n-1} \geq 0$ . Note that

$$\begin{aligned} 8a_{2n-1,n-2} - (6n - 3)a_{2n-1,n-1} \\ \geq 8a_{2n-1,n-2} - 6a_{2n-2,n-2} - 24a_{2n-3,n-3} \end{aligned} \tag{*}$$

because, by applying the same recurrence relation for  $a_{2n-1,n-1}$  and dropping the  $-(6n - 10)a_{2n-3,n-2}$  term, which is negative, we see that

$$\begin{aligned} (6n - 3)a_{2n-1,n-1} &= 3(2n - 1)a_{2n-1,n-1} \\ &\leq 3(2a_{2n-2,n-2} + 8a_{2n-3,n-3}) \\ &= 6a_{2n-2,n-2} + 24a_{2n-3,n-3}. \end{aligned}$$

Multiplying the right hand side of (\*) by  $2n - 1$  and applying the recurrence relation for  $a_{2n-1,n-2}$  we get

$$\begin{aligned} (2n - 1) \cdot (*) &= 8(2n - 1)a_{2n-1,n-2} - (12n - 6)a_{2n-2,n-2} - (48n - 24)a_{2n-3,n-3} \\ &= 8[(n - 1)a_{2n-2,n-2} + 6a_{2n-2,n-3} + (n^2 - 2)a_{2n-3,n-2} \\ &\quad + (2n - 4)a_{2n-3,n-3} + 48a_{2n-3,n-4}] \\ &\quad - (12n - 6)a_{2n-2,n-2} - (48n - 24)a_{2n-3,n-3} \\ &= 48a_{2n-2,n-3} + (8n^2 - 16)a_{2n-3,n-2} + 384a_{2n-3,n-4} \\ &\quad - (4n + 2)a_{2n-2,n-2} - (32n + 8)a_{2n-3,n-3}. \end{aligned} \tag{**}$$

Now, since  $2n - 2 \geq 2(n - 3) + 3$ , we use the recursion to calculate  $a_{2n-2,n-3}$ , drop some terms, and multiply by  $48/(2n - 2)$  to get

$$48a_{2n-2,n-3} \geq \frac{48}{2n-2}[(n-4) \cdot 2 + 4n - 12]a_{2n-4,n-4} \geq 120a_{2n-4,n-4}.$$

Now we apply the recursion for  $a_{2n-2,n-2}$  and multiply by  $(4n+2)/(2n-2)$ , which is less than 5, to obtain

$$\begin{aligned} (4n+2)a_{2n-2,n-2} &= \frac{4n+2}{2n-2}[(n-1)a_{2n-3,n-2} + 4a_{2n-3,n-3} \\ &\quad + 24a_{2n-4,n-4} - (2n-6)a_{2n-4,n-3}] \\ &< (5n-5)a_{2n-3,n-2} + 20a_{2n-3,n-3} + 120a_{2n-4,n-4} \\ &\quad - (10n-30)a_{2n-4,n-3}. \end{aligned}$$

We substitute the above two bounds on  $48a_{2n-2,n-3}$  and  $(4n+2)a_{2n-2,n-2}$  for the corresponding terms in (\*\*\*) to get

$$\begin{aligned} (***) &\geq 120a_{2n-4,n-4} + (8n^2 - 16)a_{2n-3,n-2} + 384a_{2n-3,n-4} \\ &\quad - (5n-5)a_{2n-3,n-2} - 20a_{2n-3,n-3} - 120a_{2n-4,n-4} \\ &\quad + (10n-30)a_{2n-4,n-3} - (32n+8)a_{2n-3,n-3} \\ &= (8n^2 - 5n - 11)a_{2n-3,n-2} + 384a_{2n-3,n-4} + (10n-30)a_{2n-4,n-3} \\ &\quad - (32n+28)a_{2n-3,n-3}. \end{aligned} \tag{***}$$

Since  $2n-3 = 2(n-2)+1$ , by the  $a_{2k+1,k} \geq \frac{2}{2k+1}a_{2k,k-1}$  part of the induction hypothesis, we have

$$(8n^2 - 5n - 11)a_{2n-3,n-2} \geq \frac{2(8n^2 - 5n - 11)}{2n-3}a_{2n-4,n-3} \geq (8n+6)a_{2n-4,n-3}.$$

Plugging the previous inequality into (\*\*\*) gives

$$(***) \geq (18n-24)a_{2n-4,n-3} + 384a_{2n-3,n-4} - (32n+28)a_{2n-3,n-3}.$$

Since  $2n-3 \geq 2(n-4) \geq 3$ , when apply the recursion for  $a_{2n-3,n-4}$ , we can drop the  $a_{2n-5,n-6}$  term which is positive, to get (after multiplying by  $384/(2n-3)$ ),

$$\begin{aligned} 384a_{2n-3,n-4} &\geq \frac{384}{2n-3}[(n-3)a_{2n-4,n-4} + 10a_{2n-4,n-5} \\ &\quad + (n^2 - 4n + 4)a_{2n-5,n-4} + (10n-44)a_{2n-5,n-5}]. \end{aligned}$$

Apply the recursion for  $a_{2n-3,n-3}$  directly and multiply by  $32n+28/(2n-3)$  to get

$$\begin{aligned} (32n+28)a_{2n-3,n-3} &= \frac{32n+28}{2n-3}[(n-2)a_{2n-4,n-3} + 6a_{2n-4,n-4} \\ &\quad + (n^2 - 2n - 1)a_{2n-5,n-3} + (2n-6)a_{2n-5,n-4} + 48a_{2n-5,n-5}]. \end{aligned}$$

Now, we will check that each of the terms in the expansion for  $(32n + 28)a_{2n-3,n-3}$  is less than one of the terms in the expansion of  $(18n - 24)a_{2n-4,n-3} + 384a_{2n-3,n-4}$ .

We have  $(32n + 28)/(2n - 3) \leq 17$ , and we see that

$$\begin{aligned} 17 \cdot (n - 2)a_{2n-4,n-3} &\leq (18n - 24)a_{2n-4,n-3}, \\ 17 \cdot 6a_{2n-4,n-4} &\leq \frac{384(n - 3)}{2n - 3}a_{2n-4,n-4}, \\ 17 \cdot (2n - 6)a_{2n-5,n-4} &\leq \frac{68(n^2 - 4n + 4)}{2n - 3}a_{2n-5,n-4}, \\ 17 \cdot 48a_{2n-5,n-5} &\leq \frac{384(10n - 44)}{2n - 3}a_{2n-5,n-5}. \end{aligned}$$

Now, it suffices to show

$$17 \cdot (n^2 - 2n - 1)a_{2n-5,n-3} \leq \frac{316(n^2 - 4n + 4)}{2n - 3}a_{2n-5,n-4}.$$

It suffices to show that

$$9a_{2n-5,n-4} \geq na_{2n-5,n-3}.$$

By the recurrence relation we have

$$\begin{aligned} na_{2n-5,n-3} &\leq \frac{n}{(2n - 5)}(2a_{2n-6,n-4} + 8a_{2n-7,n-5}) \\ 4.5a_{2n-5,n-4} &\geq \frac{4.5(n - 3)}{(2n - 5)}a_{2n-6,n-4} \\ 4.5a_{2n-5,n-4} &\geq \frac{4.5(2n - 8)}{(2n - 5)}a_{2n-7,n-5}. \end{aligned}$$

Combining these gives the desired inequality.  $\square$

### 3. Proof of the $\gamma$ -positivity of $J_{2n}(t)$

In this section we prove Theorem 1.3, restated below. Let the  $\gamma$ -expansion of  $J_{2n}(t)$  be

$$J_{2n}(t) = \sum_{k=1}^n b_{2n,k} t^k (1 + t)^{2n-2k}.$$

We have the following recurrence relation for the coefficients  $b_{2n,k}$ .

**Theorem 3.1** ([6, Theorem 4.4]). *For  $n \geq 2$  and  $k \geq 1$ , we have*

$$2nb_{2n,k} = [k(k + 1) + 2n - 2]b_{2n-2,k} + [2 + 2(k - 1)(4n - 4k - 3)]b_{2n-2,k-1} + 8(n - k + 1)(2n - 2k + 1)b_{2n-2,k-2},$$

where  $b_{2n,k} = 0$  if  $k < 1$  or  $k > n$ .

**Theorem 1.3.** *For  $n \geq 9$ , the polynomial  $J_{2n}(t)$  is  $\gamma$ -positive.*

**Proof.** We will prove by induction on  $n$  the slightly stronger claim that for  $b_{2n,k} \geq 0$  for  $n \geq 9, k \geq 1$ , and  $b_{2n,n} \geq b_{2n-2,n-1}$  for  $n \geq 11$ . Assume the claim is true whenever the first index is less than  $m$ . We want to prove the claim for all  $b_{m,k}$ . If  $m \leq 2000$ , we can check the claim directly (this has been checked using Sage). Thus, we may assume  $m > 2000$ . If  $m > 2k$ , then all of the coefficients in the recursion are nonnegative, so we are done by induction. Thus, we can assume that  $(m, k) = (2n, n)$  with  $n > 1000$ .

By the recurrence relation, we have

$$2nb_{2n,n} = 8b_{2n-2,n-2} - (6n - 8)b_{2n-2,n-1}.$$

We want to show that  $8b_{2n-2,n-2} - (8n - 8)b_{2n-2,n-1} \geq 0$ . We have

$$8b_{2n-2,n-2} - (8n - 8)b_{2n-2,n-1} = 8b_{2n-2,n-2} - 32b_{2n-4,n-3} + 4(6n - 14)b_{2n-4,n-2}.$$

Multiplying by  $(2n - 2)/8$ , it suffices to show

$$(2n - 2)b_{2n-2,n-2} - (8n - 8)b_{2n-4,n-3} + (6n^2 - 20n + 14)b_{2n-4,n-2} \geq 0.$$

By expanding  $(2n - 2)b_{2n-2,n-2}$  using the recursion, we find that the above is equivalent to

$$(7n^2 - 21n + 12)b_{2n-4,n-2} + 48b_{2n-4,n-4} - (6n - 4)b_{2n-4,n-3} \geq 0. \tag{†}$$

By the induction hypothesis,

$$(7n^2 - 21n + 12)b_{2n-4,n-2} \geq (7n^2 - 21n + 12)b_{2n-6,n-3}.$$

By the recurrence in Theorem 3.1,

$$(2n - 4)b_{2n-4,n-4} \geq (n^2 - 5n + 6)b_{2n-6,n-4} + (10n - 48)b_{2n-6,n-5}.$$

Multiplying by  $48/(2n - 4)$  yields

$$48b_{2n-4,n-4} \geq \frac{48}{2n-4} [(n^2 - 5n + 6)b_{2n-6,n-4} + (10n - 48)b_{2n-6,n-5}].$$

Also, multiplying the recurrence for  $b_{2n-4,n-3}$  by  $(6n - 4)/(2n - 4)$  yields

$$(6n - 4)b_{2n-4,n-3} = \frac{6n - 4}{2n - 4} [(n^2 - 3n)b_{2n-6,n-3} + (2n - 6)b_{2n-6,n-4} + 48b_{2n-6,n-5}].$$

We check that each term in this sum is less than one of the terms in the expansion of  $(7n^2 - 21n + 12)b_{2n-6,n-3} + 48b_{2n-4,n-4}$ . We have  $(6n - 4)/(2n - 4) \leq 4$  and

$$\begin{aligned} 4(n^2 - 3n)b_{2n-6,n-3} &\leq (7n^2 - 21n + 12)b_{2n-6,n-3} \\ 4(2n - 6)b_{2n-6,n-4} &\leq \frac{48(n^2 - 5n + 6)}{2n - 4} b_{2n-6,n-4} \\ 4 \cdot 48b_{2n-6,n-5} &\leq \frac{48(10n - 48)}{2n - 4} b_{2n-6,n-5}. \end{aligned}$$

Thus (‡) is true, as desired.  $\square$

#### 4. (3412, 3421)-avoiding permutations and separable permutations

In this section we prove Theorem 1.5, restated below.

**Theorem 1.5.** For  $n \geq 1$ ,

$$\sum_{\pi \in \mathfrak{S}_n(3412, 3421)} x^{\text{des}(\pi)} y^{\text{dd}(\pi)} = \sum_{\pi \in \mathfrak{S}_n(2413, 3142)} x^{\text{des}(\pi)} y^{\text{dd}(\pi)}.$$

For convenience we define the following variants of the double descent set. Let

$$\text{DD}_0(\pi) = \{i \in [n] : \pi(i - 1) > \pi(i) > \pi(i + 1)\}$$

where  $\pi(0) = 0, \pi(n + 1) = \infty$ , and

$$\text{DD}_\infty(\pi) = \{i \in [n] : \pi(i - 1) > \pi(i) > \pi(i + 1)\}$$

where  $\pi(0) = \infty, \pi(n + 1) = 0$ . Similarly define  $\text{dd}_0(\pi)$  and  $\text{dd}_\infty(\pi)$ . Finally, let

$$\text{des}'(\pi) = \#(\text{Des}(\pi) \setminus \{n - 1\}), \quad \text{dd}'(\pi) = \#(\text{DD}(\pi) \setminus \{n - 1\}).$$

Let  $\mathfrak{S}_n^1 = \mathfrak{S}_n(2413, 3142)$  and  $\mathfrak{S}_n^2 = \mathfrak{S}_n(3412, 3421)$ . For  $i = 1, 2$ , define

$$S_i(x, y, z) = \sum_{n \geq 1} \sum_{\pi \in \mathfrak{S}_n^i} x^{\text{des}(\pi)} y^{\text{dd}(\pi)} z^n.$$

Moreover, define

$$\begin{aligned}
 F_1(x, y, z) &= \sum_{n \geq 1} \sum_{\pi \in \mathfrak{S}_n^1} x^{\text{des}(\pi)} y^{\text{dd}_0(\pi)} z^n \\
 R_1(x, y, z) &= \sum_{n \geq 1} \sum_{\pi \in \mathfrak{S}_n^1} x^{\text{des}(\pi)} y^{\text{dd}_\infty(\pi)} z^n \\
 T_2(x, y, z) &= \sum_{n \geq 1} \sum_{\pi \in \mathfrak{S}_n^2} x^{\text{des}'(\pi)} y^{\text{dd}'(\pi)}.
 \end{aligned}$$

We will also use  $S_i$ ,  $F_1$ , etc. to denote  $S_i(x, y, z)$ ,  $F_1(x, y, z)$ , etc.

The proof of the following lemma is very similar to the proof of [9, Lemma 3.4], so it is omitted. The essence of the proof is Stankova’s block decomposition [14].

**Lemma 4.1.** *We have the system of equations*

$$\begin{aligned}
 S_1 &= z + (z + xyz)S_1 + \frac{2xzS_1^2}{1 - xR_1F_1} + \frac{xzS_1^2(F_1 + xR_1)}{1 - xR_1F_1}, \\
 F_1 &= z + (xzS_1 + zF_1) + \frac{2xzF_1S_1}{1 - xR_1F_1} + \frac{xzF_1S_1(F_1 + xR_1)}{1 - xR_1F_1}, \\
 R_1 &= yz + zS_1 + xyzR_1 + \frac{2xzR_1S_1}{1 - xR_1F_1} + \frac{xzR_1S_1(F_1 + xR_1)}{1 - xR_1F_1}.
 \end{aligned}$$

Combining the first equation multiplied by  $F_1$  and the second equation multiplied by  $S_1$ , and combining the first equation multiplied by  $R_1$  and the third equation multiplied by  $S_1$ , respectively, gives us

$$F_1 = \frac{S_1 + xS_1^2}{1 + xyS_1}, \quad R_1 = \frac{yS_1 + S_1^2}{1 + S_1}.$$

Plugging these values into the first equation and expanding yields the following.

**Corollary 4.2.** *We have*

$$S_1(x, y, z) = xS_1^3(x, y, z) + xzS_1^2(x, y, z) + (z + xyz)S_1(x, y, z) + z.$$

We will show that  $S_2$  satisfies the same equation.

**Lemma 4.3.** *We have the system of equations*

$$\begin{aligned}
 S_2 &= z + zS_2 + (xy - x)zS_2 + xT_2S_2, \\
 T_2 &= z + (x - xy)z^2 + zS_2 + (xyz - 2xz + z)T_2 + xT_2^2.
 \end{aligned}$$

**Proof.** By considering the position of  $n$ , we see that every permutation  $\pi \in \mathfrak{S}_n^2$  can be uniquely written as either  $\pi_1 n$  where  $\pi_1 \in \mathfrak{S}_{n-1}^2$  or  $\pi_1 * \pi_2$  where  $\pi_1 \in \mathfrak{S}_k^2$ ,  $\pi_2 \in \mathfrak{S}_{n-k}^2$ ,  $1 \leq k \leq n - 1$ , and  $\pi_1 * \pi_2 = AnB$  where

$$A = \pi_1(1) \cdots \pi_1(k - 1)$$

$$B = (\pi_2(1) + \ell) \cdots (\pi_2(j - 1) + \ell) \pi_1(k) (\pi_2(j + 1) + \ell) \cdots (\pi_2(n - k) + \ell),$$

where  $\pi_2(j) = 1$  and  $\ell = k - 1$ . Furthermore,

$$\begin{aligned} \text{des}(\pi_1 n) &= \text{des}(\pi_1) & \text{des}(\pi_1 * \pi_2) &= \text{des}'(\pi_1) + \text{des}(\pi_2) + 1 \\ \text{dd}(\pi_1 n) &= \text{dd}(\pi_1) & \text{dd}(\pi_1 * \pi_2) &= \text{dd}'(\pi_1) + \text{dd}(\pi_2) \\ \text{des}'(\pi_1 n) &= \text{des}(\pi_1) & \text{des}'(\pi_1 * \pi_2) &= \text{des}'(\pi_1) + \text{des}'(\pi_2) + 1 \\ \text{dd}'(\pi_1 n) &= \text{dd}(\pi_1) & \text{dd}'(\pi_1 * \pi_2) &= \text{dd}'(\pi_1) + \text{dd}'(\pi_2), \end{aligned}$$

with the exceptions  $\text{dd}(1 * \pi_2) = \text{dd}(\pi_2) + 1$ ,  $\text{des}'(\pi_1 * 1) = \text{des}'(\pi_1)$ ,  $\text{dd}'(1 * \pi_2) = \text{dd}'(\pi_2) + 1$ , and  $\text{des}'(1 * \pi_2) = \text{des}'(\pi_2)$  if  $n \leq 2$ . With the initial conditions

$$S_2(x, y, z) = z + \cdots, \quad T_2(x, y, z) = z + 2z^2 + \cdots,$$

the above implies the stated equations.  $\square$

**Proof of Theorem 1.5.** Solving the equations in Lemma 4.3 shows that  $S_2$  satisfies the same equation as  $S_1$ .  $\square$

### 5. Concluding remarks and open problems

Our proofs of the  $\gamma$ -positivity of  $I_n(t)$  and  $J_{2n}(t)$  are purely computational. Guo and Zeng first suggested the following question.

**Problem 5.1** (Guo–Zeng [6]). Give a combinatorial interpretation of the coefficients  $a_{n,k}$ .

Dilks [3] conjectured the following  $q$ -analog of the  $\gamma$ -positivity of  $I_n(t)$ . Here  $\text{maj}(\pi)$  denotes the major index of  $\pi$ , which is the sum of the descents of  $\pi$ .

**Conjecture 5.2** (Dilks [3]). For  $n \geq 1$ ,

$$\sum_{\pi \in \mathcal{I}_n} t^{\text{des}(\pi)} q^{\text{maj}(\pi)} = \sum_{k=0}^{\lfloor \frac{n-1}{2} \rfloor} \gamma_{n,k}^{(I)} t^k q^{\binom{k+1}{2}} \prod_{i=k+1}^{n-1-k} (1 + tq^i),$$

where  $\gamma_{n,k}^{(I)}(q) \in \mathbb{N}[q]$ .

Since the (3412, 3421)-avoiding permutations are invariant under the MFS action, it would be interesting to find a combinatorial proof of Theorem 1.5, since this would lead to a group action on  $\mathfrak{S}_n(2413, 3142)$  such that each orbit contains exactly one element of

$$\{\pi \in \mathfrak{S}_2(2413, 3142) : \text{dd}(\pi) = 0, \text{des}(\pi) = k\}$$

(cf. [5, Remark 3.9]).

**Problem 5.3.** Give a bijection between (3412, 3421)-avoiding permutations with  $m$  double descents and  $k$  descents and separable permutations with  $m$  double descents and  $k$  descents.

Note that there does not exist a bijection preserving descent sets because the separable permutations are not Des-Wilf equivalent to any permutation classes avoiding two patterns.

Finally, Lin [9] proved that the only permutations  $\sigma$  of length 4 which satisfy

$$\sum_{\pi \in \mathfrak{S}_n(\sigma, \sigma^r)} t^{\text{des}(\pi)} = \sum_{k=0}^{\lfloor \frac{n-1}{2} \rfloor} \gamma_{n,k} t^k (1+t)^{n-1-2k}$$

where

$$\gamma_{n,k} = \#\{\pi \in \mathfrak{S}_n(\sigma, \sigma^r) : \text{dd}(\pi) = 0, \text{des}(\pi) = k\}$$

are the permutations  $\sigma = 2413, 3142, 1342, 2431$ . Here  $\sigma^r$  denotes the reverse of  $\sigma$ . We can similarly ask the following.

**Problem 5.4.** Which permutations  $\sigma$  of length  $\ell \geq 6$  satisfy the above property?

**Remark 5.5.** For  $\ell = 5$ , the answer to Problem 5.4 is  $\sigma = 13254, 15243, 15342, 23154, 25143$  and their reverses. We have verified using Sage that these are the only permutations which satisfy the property for  $n = 5, 6, 7$ , and these permutation classes are all invariant under the MFS action because in these patterns, every index  $i \in [5]$  is either a valley or a peak.

**Acknowledgments**

This research was conducted at the University of Minnesota Duluth REU and was supported by NSF / DMS grant 1650947 and NSA grant H98230-18-1-0010. I would like to thank Joe Gallian for suggesting the problem, and Brice Huang for many careful comments on the paper.

## References

- [1] P. Brändén, Actions on permutations and unimodality of descent polynomials, *European J. Combin.* 29 (2) (2008) 514–531.
- [2] P. Brändén, Unimodality, log-concavity, real-rootedness and beyond, in: *Handbook of Enumerative Combinatorics*, vol. 87, 2015, p. 437.
- [3] K. Dilks,  $q$ -gamma nonnegativity, preprint, 2014.
- [4] D. Foata, M.-P. Schützenberger, *Théorie géométrique des polynômes eulériens*, vol. 138, Springer, 2006.
- [5] S. Fu, Z. Lin, J. Zeng, On two unimodal descent polynomials, *Discrete Math.* 341 (9) (2018) 2616–2626.
- [6] V.J. Guo, J. Zeng, The Eulerian distribution on involutions is indeed unimodal, *J. Combin. Theory Ser. A* 113 (6) (2006) 1061–1071.
- [7] S. Kitaev, *Patterns in Permutations and Words*, Springer Science & Business Media, 2011.
- [8] Z. Lin, Proof of Gessel’s  $\gamma$ -positivity conjecture, *Electron. J. Combin.* 23 (3) (2016) 3–15.
- [9] Z. Lin, On  $\gamma$ -positive polynomials arising in pattern avoidance, *Adv. in Appl. Math.* 82 (2017) 1–22.
- [10] Z. Lin, D. Kim, A sextuple equidistribution arising in pattern avoidance, *J. Combin. Theory Ser. A* 155 (2018) 267–286.
- [11] Z. Lin, J. Zeng, The  $\gamma$ -positivity of basic Eulerian polynomials via group actions, *J. Combin. Theory Ser. A* 135 (2015) 112–129.
- [12] A. Postnikov, V. Reiner, L. Williams, Faces of generalized permutohedra, *Doc. Math.* 13 (207–273) (2008) 51.
- [13] H. Shin, J. Zeng, The symmetric and unimodal expansion of Eulerian polynomials via continued fractions, *European J. Combin.* 33 (2) (2012) 111–127.
- [14] Z.E. Stankova, Forbidden subsequences, *Discrete Math.* 132 (1–3) (1994) 291–316.
- [15] V. Strehl, Symmetric Eulerian distributions for involutions, *Sém. Lothar. Combin.* 1 (1981).