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Interlacing networks: Birational RSK, the octahedron recurrence, and Schur function identities



Miriam Farber¹, Sam Hopkins, Wuttisak Trongsiriwat

Massachusetts Institute of Technology, Cambridge, MA, 02139, United States

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ABSTRACT

Motivated by the problem of giving a bijective proof of the fact that the birational RSK correspondence satisfies the octahedron recurrence, we define interlacing networks, which are certain planar directed networks with a rigid structure of sources and sinks. We describe an involution that swaps paths in these networks and leads to Plücker-like three-term relations among path weights. We show that indeed these relations follow from the Plücker relations in the Grassmannian together with some simple rank properties of the matrices corresponding to our interlacing networks. The space of matrices obeying these rank properties forms the closure of a cell in the matroid stratification of the totally nonnegative Grassmannian. Not only does the octahedron recurrence for RSK follow immediately from the three-term relations for interlacing networks, but also these relations imply some interesting identities of Schur functions reminiscent of those obtained by Fulmek and Kleber. These Schur function identities lead to some results on Schur positivity for expressions of the form $s_\nu s_\rho - s_\lambda s_\mu$.

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E-mail addresses: mfarber@mit.edu (M. Farber), shopkins@mit.edu (S. Hopkins), wuttisak@mit.edu (W. Trongsiriwat).

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1. Introduction

The Robinson–Schensted (RS) correspondence is a bijection between permutations σ in the symmetric group S_n and pairs (P, Q) of standard Young tableaux of the same shape $\lambda \vdash n$. Under this correspondence, the first part λ_1 of λ has a simple interpretation as the size of the longest increasing subsequence of σ ; more generally, the partial sum $\lambda_1 + \lambda_2 + \cdots + \lambda_k$ is equal to the maximum size of a union of k disjoint increasing subsequences in σ . This description of the shape under RS of a permutation in terms of longest increasing subsequences is known as Greene’s theorem [12]. The Robinson–Schensted–Knuth (RSK) correspondence is a generalization of the RS correspondence which takes arbitrary $n \times n$ \mathbb{N} -matrices A to pairs (P, Q) of semistandard Young tableaux of the same shape λ . In the RSK correspondence, the first part λ_1 has an analogous interpretation as the maximum weight of a path in A from $(1, 1)$ to (n, n) . Here the weight of a path is just the sum of the entries of the boxes it visits. Similarly, the partial sum $\lambda_1 + \lambda_2 + \cdots + \lambda_k$ is equal to the maximum weight over k -tuples of noncrossing paths in A connecting $(1, 1), (1, 2), \dots, (1, k)$ to $(n, n - k + 1), (n, n - k + 2), \dots, (n, n)$. This extension of Greene’s theorem to RSK is apparently folklore; the best reference we have for it is [18, Theorem 12]. For general background on RSK and its importance in the theory of symmetric functions, see [32, Chapter 7].

Recently there has been significant interest in a birational lifting of RSK which takes matrices with entries in $\mathbb{R}_{>0}$ to certain three-dimensional arrays with entries in $\mathbb{R}_{>0}$; see, e.g., [17,23,5,7,3,25,24]. The noncrossing paths interpretation of RSK has a direct analogue in the birational setting (where in this process of detropicalization, maximums become sums and sums become products). Also it turns out that, subject to the proper renormalization, the output array of this birational map obeys the octahedron recurrence [6,7]. For an excellent introduction to the octahedron recurrence and its appearance in various combinatorial problems, see [31]. This paper’s main motivation is to provide a combinatorial proof of the fact that the sums over weighted tuples of noncrossing paths of the form encountered in the birational RSK correspondence obey the octahedron recurrence. Although this has been established already in [7] by algebraic means, we present a direct, bijective proof, akin to the standard proof of the famous Lindström–Gessel–Viennot lemma (see [33, Theorem 2.7.1]).

To this end, in Section 2 we define “interlacing networks”, which are certain planar directed networks with a rigid structure of sources and sinks. In Section 3 we state and prove our main result concerning these networks: the existence of an involution that swaps pairs of tuples of noncrossing paths connecting sinks and sources. This involution leads to several three-term relations between the weights of these pairs of tuples of noncrossing paths that are akin to the three-term Plücker relations. Because of the well-known correspondence between totally-positive matrices and planar directed networks, these three-term relations are equivalent to determinantal identities for matrices of a particular form. We study these matrices in Section 4, where we give an alternative algebraic proof of these determinantal identities via the Plücker relations. Indeed, the

identities follow from a simple rank property of these matrices together with the Plücker relations. The space of matrices obeying this rank property forms the closure of a cell in the matroid stratification of the positive Grassmannian. Thus we connect interlacing networks to the combinatorial theory of total positivity initiated by Postnikov [26].

In Section 5 we return to our original motivation and show how the octahedron recurrence for birational RSK follows immediately from the three-term relations. In Section 6 we give a rather different application of these three-term relations: namely, we show that they imply some interesting Schur function identities. These identities are reminiscent of those obtained by Fulmek and Kleber [11] (and earlier by Kirillov [16]). Fulmek and Kleber also give a bijective proof of their identities by an explicit procedure that swaps pairs of tuples of noncrossing paths, though their swapping procedure and their identities differ in significant ways from our own. These kind of Schur function identities are closely related to questions of Schur positivity studied, for instance, in [9,1,19,22]. In particular, we explain how these Schur function identities prove some special cases of a conjecture communicated to us by Alex Postnikov about general conditions under which symmetric functions of the form $s_\nu s_\rho - s_\lambda s_\mu$ are Schur positive. We go on to demonstrate some further Schur function identities obtained from the same involution defined in Section 2 by observing that this involution turns out to apply to a more general class of networks than the interlacing networks we were originally interested in studying. These additional Schur function identities are no longer three-term, but still lead to additional results about Schur positivity.

In short, the theory of interlacing networks lies at the intersection of many topics in modern algebraic combinatorics: RSK, the octahedron recurrence, the Lindström–Gessel–Viennot method, total positivity, Schur functions, and Schur positivity.

2. Interlacing networks: definitions and notation

For two integers $m, n \in \mathbb{Z}$ we set $[m, n] := \{m, m+1, \dots, n\}$ (which is empty if $m > n$) and $[n] := [1, n]$. For $X \subseteq \mathbb{Z}$ we set $X_{\text{even}} := X \cap 2\mathbb{Z}$. For a finite set X and $k \in \mathbb{N}$, we use $\binom{X}{k}$ to denote the set of subsets of X of cardinality k , and use $2^X := \cup_{k=0}^\infty \binom{X}{k}$ to denote the powerset of X . For a tuple $T = (t_1, \dots, t_k)$ we sometimes write $t \in T$ as though T were a set to mean $t = t_i$ for some $i \in [k]$. Finally, if A is an $m \times n$ matrix and $U \subseteq [m]$ and $W \subseteq [n]$, we denote by $A[U|W]$ the submatrix of A with rows indexed by U and columns indexed by W .

For our purposes, a *graph* $G = (V, E, \omega)$ is a finite, directed, acyclic, planar, edge-weighted graph with vertex set V , edge set $E \subseteq V \times V$, and edge-weight function $\omega: E \rightarrow \mathbb{R}_{>0}$. Let G be a graph. A *path* in G is a sequence $\pi = \{v_i\}_{i=0}^n$ of distinct elements of V with $(v_{i-1}, v_i) \in E$ for all $i \in [n]$. We say that such a path π *connects* v_0 and v_n , and that v_0 is the *start point* of π and v_n is its *end point*. We use $\text{Vert}(\pi)$ to denote the set of vertices in π . The *weight* of π is $\text{wt}(\pi) := \prod_{i=1}^n \omega(v_{i-1}, v_i)$. A *subpath* of π is a subsequence of consecutive vertices. Sometimes we view paths as simple curves embedded in the plane in the obvious way. Two paths π and σ are

noncrossing if $\text{Vert}(\pi) \cap \text{Vert}(\sigma) = \emptyset$. Let $\Pi = (\pi_1, \dots, \pi_n)$ be a tuple of paths. We say Π is noncrossing if π_i and π_j are noncrossing for all $1 \leq i \neq j \leq n$. Suppose that $\mathcal{X} = \{x_i\}_{i=1}^n$ and $\mathcal{Y} = \{y_i\}_{i=1}^n$ are two sequences of vertices in V of the same length n . Then we denote the set of all n -tuples of noncrossing paths connecting \mathcal{X} and \mathcal{Y} by $\text{NCPPath}_G(\mathcal{X}, \mathcal{Y})$. We omit the subscript G when the network is clear from context. We define the weight of the tuple Π to be $\text{wt}(\Pi) := \prod_{i=1}^n \text{wt}(\pi_i)$. Recall that we are most interested in pairs of tuples of noncrossing paths, so for a pair (Π, Σ) of tuples of paths we define $\text{wt}(\Pi, \Sigma) := \text{wt}(\Pi) \cdot \text{wt}(\Sigma)$.

Let $k \geq 2$ be some fixed constant. A network is a triple (G, S, T) , where

- $G = (V, E, \omega)$ is a graph (in the sense above);
- $S = (s_1, \dots, s_{2k-1}) \in V^{2k-1}$ is a tuple of source vertices;
- $T = (t_1, \dots, t_{2k-1}) \in V^{2k-1}$ is a tuple of sink vertices,

such that G is embedded inside a planar disc with $s_1, \dots, s_{2k-1}, t_{2k-1}, \dots, t_1$ arranged in clockwise order on the boundary of this disc. Note that both S and T are allowed to have repeated vertices. Needless to say, such networks are considered up to homeomorphism. We assume the edges of G intersect the boundary of the disc into which G is embedded only at vertices. In this section and the next we will work with a fixed network (G, S, T) ; we will refer to this network from now on as simply G with the sources and sinks implicit. Note in particular that the parameter k is therefore fixed in this section and the next. A pattern on G is just a pair (I, J) with $I, J \in \binom{[2k-1]}{k-1}$, where we think of s_i and t_j being colored red for all $i \in I$ and $j \in J$, and the other source and sink vertices being colored blue. We call I the source pattern of (I, J) , and J its sink pattern. We will use $\text{Pat}(G)$ to denote the set of patterns on G .

Let $I, J \in \binom{[2k-1]}{m}$ where the elements of I are $i_1 < \dots < i_m$ and the elements of J are $j_1 < \dots < j_m$. Define the set of tuples of noncrossing paths of type (I, J) to be $\text{NCPPath}_G(I, J) := \text{NCPPath}_G(\{s_{i_l}\}_{l=1}^m, \{t_{j_l}\}_{l=1}^m)$. Now fix a pattern $(I, J) \in \text{Pat}(G)$. Define the set of pairs of tuples of noncrossing paths of type (I, J) to be $\text{PNCPPath}_G(I, J) := \text{NCPPath}_G(I, J) \times \text{NCPPath}_G(\bar{I}, \bar{J})$, where for $K \subseteq [2k-1]$ we set $\bar{K} := [2k-1] \setminus K$. Again, we omit the subscripts of $\text{NCPPath}_G(I, J)$ and $\text{PNCPPath}_G(I, J)$ when the network is clear from context. We then define the weight of a pattern (I, J) to be $\text{wt}(I, J) := \sum_{(R, B) \in \text{PNCPPath}(I, J)} \text{wt}(R, B)$. Denote the set of all pairs of tuples of noncrossing paths of G by $\text{PNCPPath}(G) := \bigcup_{(I, J) \in \text{Pat}(G)} \text{PNCPPath}(I, J)$.

We now define what it means for a network to be interlacing, the key property that will allow us to find three-term relations among the pattern weights. This condition may at first appear ad hoc, but the later algebraic treatment of these networks will show that this definition suffices for the corresponding matrix to have a certain easily-stated rank property. Let us call $U \subseteq V$ non-returning if for all $u_1, u_2 \in U$ and paths π connecting u_1 and u_2 , we have $\text{Vert}(\pi) \subseteq U$ (this is a technical condition required for our sink-swapping algorithm to work). Then we say G is k -bottlenecked if there exists a non-returning subset $N \subseteq V$ with $|N| \leq k$ so that for all $i, j \in [2k-1]$ and paths π

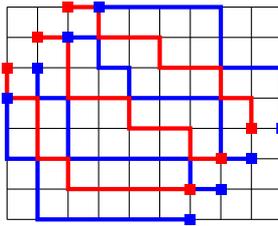


Fig. 1. $\Gamma_{8,10}^4$ and an element of $\text{PNCPath}(\{2, 4, 6\}, \{2, 4, 6\})$.

connecting s_i to t_j , there is $v \in \text{Vert}(\pi)$ for some $v \in N$. Let us call $U \subseteq V$ *sink-branching* if for all $u \in U$, $i \in [2, 2k - 2]$, $j \in \{1, 2k - 1\}$ and paths π' connecting u and t_i and π'' connecting u and t_j , we have that $\text{Vert}(\pi') \cap \text{Vert}(\pi'') = \{u\}$ (this is another technical condition). Then we say G is $(k - 1)$ -*sink-bottlenecked* if there exists a non-returning and sink-branching $N_T \subseteq V$ with $|N_T| \leq k - 1$ so that for all $i \in [2k - 1]$, $j \in [2, 2k - 2]$ and paths π connecting s_i to t_j , there is $v \in \text{Vert}(\pi)$ for some $v \in N_T$. We say G is *interlacing* if it is both k -bottlenecked and $(k - 1)$ -sink-bottlenecked.

Example 2.1. The following interlacing network, the rectangular grid $\Gamma_{m,n}^k$, will serve as our running example and will also be key for the motivating problem concerning birational RSK. Let $m, n \geq 3$ and $2 \leq k < \min(m, n)$. The graph $\Gamma_{m,n}$ has vertex set $V := \{(i, j) \in \mathbb{Z}^2 : i \in [m], j \in [n]\}$ and edge set $E := E_1 \cup E_2$ where

$$E_1 := \{((i, j), (i + 1, j)) : i \in [m - 1], j \in [n]\};$$

$$E_2 := \{((i, j), (i, j + 1)) : i \in [m], j \in [n - 1]\}.$$

We allow the weight function ω of the graph $\Gamma_{m,n}$ to be arbitrary. The network $\Gamma_{m,n}^k$ has underlying graph $\Gamma_{m,n}$ with sources and sinks

$$S := ((k, 1), (k - 1, 1), (k - 1, 2), (k - 2, 2), \dots, (1, k - 1), (1, k))$$

$$T := ((m, n - k), (m - 1, n - k), \dots, (m - k, n - 1), (m - k, n)).$$

Our term “interlacing network” derives from the fact that these sinks and sources are arranged in a zig-zag. Strictly speaking, in order to satisfy the network condition requiring our graph to lie inside a disc with the source and sink vertices on the boundary, we should restrict the vertex set of $\Gamma_{m,n}^k$ to $V' := \{v \in V : s_i \leq v \leq t_j \text{ for some } i, j \in [2k - 1]\}$. However, vertices in $V \setminus V'$ will never be used in a path connecting a source to a sink, so this technicality will not concern us from now on.

Observe that $\Gamma_{m,n}^k$ is interlacing: we take $N = \{s_1, s_3, \dots, s_{2k-1}\}$ to satisfy the k -bottlenecked condition, and $N_T = \{t_2, t_4, \dots, t_{2k-2}\}$ to satisfy the $(k - 1)$ -sink-bottlenecked condition. Fig. 1 depicts an element of $\text{PNCPath}(\{2, 4, 6\}, \{2, 4, 6\})$ for $\Gamma_{8,10}^4$. Note that vertex $(1, 1)$ is the top-leftmost vertex in this picture, $(8, 1)$ is the bottom-leftmost vertex, and $(1, 10)$ is the top-rightmost: we use “matrix coordinates”

with edges directed downwards and rightwards in $\Gamma_{m,n}^k$. We warn the reader that there are various conventions for orientation of such a grid, and we will at different times use several of them.

3. The sink-swapping involution

In this section we obtain three-term Plücker-like relations between pattern weights of an interlacing network G via an algorithmically-defined involution on $\text{PNCPath}(G)$ that swaps sink patterns. It turns out that this same involution makes sense even assuming only that G is k -bottlenecked and in this case also leads to relations among pattern weights. The relations obtained when G is k -bottlenecked are weaker than for interlacing G , but they are nevertheless interesting (and will have applications to Schur function identities in Section 6).

Definition 3.1. For $J, J' \in \binom{[2k-1]}{k-1}$, we say that J' is a *swap* of J if $J \cap J' = \emptyset$. Clearly the relation of being a swap is symmetric. If J and J' are swaps of one another, there is a unique element $j^* \in [2k-1] \setminus (J \cup J')$ and we call j^* their *pivot*. We say J' is a *balanced swap* of J if it is a swap of J and their pivot j^* is such that $|J \cap [j^*]| = |J' \cap [j^*]|$. We say that J' is an *end swap* of J if it is a swap of J and their pivot j^* is either 1 or $2k-1$. Observe that J' being an end swap of J implies it is a balanced swap of J . Let $\text{bswap}(J)$ (resp. $\text{eswap}(J)$) denote the set of balanced swaps (resp. end swaps) of J .

Our goal in this section is to prove the following theorem and corollaries:

Theorem 3.2. *Suppose G is k -bottlenecked. Then there is a weight-preserving involution $\tau: \text{PNCPath}(G) \rightarrow \text{PNCPath}(G)$ with*

$$\tau(\text{PNCPath}(I, J)) \subseteq \bigcup_{J' \in \text{bswap}(J)} \text{PNCPath}(I, J'),$$

for all $(I, J) \in \text{Pat}(G)$.

Suppose further that G is interlacing. Then for all $(I, J) \in \text{Pat}(G)$ we have

$$\tau(\text{PNCPath}(I, J)) \subseteq \bigcup_{J' \in \text{eswap}(J)} \text{PNCPath}(I, J').$$

Corollary 3.3. *Suppose G is k -bottlenecked. Fix a source pattern $I \in \binom{[2k-1]}{k-1}$. Fix some subset $K \subseteq [2k-1]_{\text{even}}$ and set $K' := [2k-1]_{\text{even}} \setminus K$. Then*

$$\tau\left(\bigcup_{\substack{(I,J) \in \text{Pat}(G) \\ J_{\text{even}}=K}} \text{PNCPath}(I, J)\right) = \bigcup_{\substack{(I,J') \in \text{Pat}(G) \\ J'_{\text{even}}=K'}} \text{PNCPath}(I, J')$$

and thus

$$\sum_{(I,J) \in \text{Pat}(G), J_{\text{even}}=K} \text{wt}(I, J) = \sum_{(I,J') \in \text{Pat}(G), J'_{\text{even}}=K'} \text{wt}(I, J').$$

Corollary 3.4. *Suppose G is interlacing. Fix a source pattern $I \in \binom{[2k-1]}{k-1}$. Suppose that the sink pattern $J \in \binom{[2k-1]}{k-1}$ is such that $\{1, 2k - 1\} \cap J = \emptyset$. Define $J' := [2, 2k - 1] \setminus J$ and $J'' := [1, 2k - 2] \setminus J$. Then*

$$\tau(\text{PNCPath}(I, J)) = \text{PNCPath}(I, J') \cup \text{PNCPath}(I, J'')$$

and thus $\text{wt}(I, J) = \text{wt}(I, J') + \text{wt}(I, J'')$.

Remark 3.5. An anonymous referee pointed out to us the paper of Danilov, Karzanov, and Koshevoy [4] in which the authors classify quadratic Plücker-like relations for functions generated by network flows using nonintersecting paths as in the above theorem and corollaries. Their approach is similar to the classification of inequalities between products of two matrix minors obtained by Skandera [30]. However, the formulae of [4] seem somewhat complicated to apply for our purposes because they are satisfied by all planar networks (or equivalently all totally nonnegative matrices); whereas by restricting to networks (or equivalently matrices) of a special interlacing form we derive much simpler formulae that directly apply to our motivating problem of showing that birational RSK satisfies the octahedron recurrence.

Before we can describe the bijection τ we need a technical result about posets. First we recall some poset terminology. Let (P, \leq) be a finite poset. For $x, y \in P$, we write $x < y$ to denote $x \leq y$ and $x \neq y$, as is standard. At some point we will require the notion of a downset; for a subset $P' \subseteq P$ the *downset* of P' is the set of all $x \in P$ with $x \leq y$ for some $y \in P'$. Recall that a *chain* in P is a subset $C \subseteq P$ such that any two elements of C are related, and an *antichain* in P is a subset $A \subseteq P$ such that no two elements of A are related. If $|A| = k$, then we say A is a *k-antichain*. Suppose that m is the maximal size of an antichain in P . In this case, we can find a partition of P into chains C_1, \dots, C_m : that is, each C_i is a chain and we have $\cup_{i=1}^m C_i = P$ and $C_i \cap C_j = \emptyset$ for $i \neq j$. (This result is known as Dilworth’s theorem; see for example Freese [10], who proves not only that the poset of maximal size antichains has a minimum, as we show below, but also that this poset is in fact a lattice.) Let $\mathcal{A}_m(P)$ denote the set of m -antichains of P . For any $A \in \mathcal{A}_m(P)$ we must have that $|A \cap C_i| = 1$ for all $i \in [m]$. Thus we can define the following partial order on $\mathcal{A}_m(P)$: for two m -antichains $X = \{x_i\}_{i=1}^m$ and $Y = \{y_i\}_{i=1}^m$ such that $X \cap C_i = \{x_i\}$ and $Y \cap C_i = \{y_i\}$ for all $i \in [m]$, we say that $X \leq Y$ if and only if $x_i \leq y_i$ for all $i \in [m]$.

Proposition 3.6. *The poset $\mathcal{A}_m(P)$ has a minimum.*

Proof. Given any $X, Y \in \mathcal{A}_m(P)$ which are incomparable, we claim there is $Z \in \mathcal{A}_m(P)$ so that $Z \leq X$ and $Z \leq Y$. Set $Z := \{z_1, \dots, z_m\}$ where $z_i := \min(x_i, y_i)$ for all $i \in [m]$. Note that Z is still an antichain: if $z_i < z_j$, then $\min(x_i, y_i) < \min(x_j, y_j)$, which means $\min(x_i, y_i) < x_j$ and $\min(x_i, y_i) < y_j$, which forces a relation in X or in Y . Because $\mathcal{A}_m(P)$ is evidently finite, and by definition nonempty, it has a minimum. \square

The order we defined on $\mathcal{A}_m(P)$ above in principle depended on the choice of chains C_1, \dots, C_m ; but in fact we can give a description of this order which does not depend on such a choice. Namely, for $X, Y \in \mathcal{A}_m(P)$, let us say $X \leq' Y$ if for each $x \in X$ there exists $y \in Y$ such that $x \leq y$. Then $X \leq' Y$ if and only if $X \leq Y$. The implication $X \leq Y \Rightarrow X \leq' Y$ is trivial. To see $X \leq' Y \Rightarrow X \leq Y$, write $X = \{x_i\}_{i=1}^m$ and $Y = \{y_i\}_{i=1}^m$ with $X \cap C_i = \{x_i\}$ and $Y \cap C_i = \{y_i\}$ for all $i \in [m]$. Then for $i \in [m]$ we have that there is some y_j such that $x_i \leq y_j$. If $i = j$, then we are okay. So suppose $i \neq j$. It cannot be that $y_i \leq x_i$ as then $y_i \leq y_j$ and Y would fail to be an antichain. But C_i is a chain, so this means $x_i < y_i$. Therefore we have $X \leq Y$.

We now define the poset of intersections of a tuple of paths in G , which will be key in defining the bijection τ of Theorem 3.2. Let $\Pi = (\pi_1, \dots, \pi_m)$ be a tuple of paths in G . Then define $\text{Int}^\Pi := \cup_{i \neq j} \text{Vert}(\pi_i) \cap \text{Vert}(\pi_j)$ to be the set of all intersections between paths in Π . First of all, we give Int^Π a labeling function $\ell^\Pi: \text{Int}^\Pi \rightarrow \mathcal{P}(\{\pi_1, \dots, \pi_m\})$, whereby $\ell^\Pi(u) := \{\pi_i: u \in \text{Vert}(\pi_i)\}$. Secondly, we give Int^Π a partial order \leq as follows. For a path $\pi_i = \{v_j\}_{j=0}^n$, if $v_i, v_j \in \text{Int}^\Pi$ for $0 \leq i \leq j \leq n$ we declare $v_j \preceq v_i$. We then define \leq to be the transitive closure of \preceq . It is routine to verify that \leq indeed defines a partial order on Int^Π (but note that here we use the acyclicity of G in an essential way).

We need just a little more terminology related to paths in order to define τ . For a tuple $\Pi = (\pi_1, \dots, \pi_n)$ of paths, let us say a vertex $v \in V$ is a *2-crossing* of Π if $|\{i: v \in \text{Vert}(\pi_i)\}| = 2$. Let v be a 2-crossing of Π and suppose that $v \in \pi_i \cap \pi_j$ for $i \neq j$. Say $\pi_i = \{u_1, \dots, u_a, v, u_{a+1}, \dots, u_b\}$ and $\pi_j = \{w_1, \dots, w_c, v, w_{c+1}, \dots, w_d\}$. Then define the *flip of Π at v* to be $\text{flip}_v(\Pi) := (\pi'_1, \dots, \pi'_n)$ where

$$\pi'_m := \begin{cases} \{u_1, \dots, u_a, v, w_{c+1}, \dots, w_d\} & \text{if } m = i; \\ \{w_1, \dots, w_c, v, u_{a+1}, \dots, u_b\} & \text{if } m = j; \\ \pi_m, & \text{otherwise.} \end{cases}$$

For any two 2-crossings u, v of Π , we have $\text{flip}_u(\text{flip}_v(\Pi)) = \text{flip}_v(\text{flip}_u(\Pi))$. Thus for a set $U = \{u_1, \dots, u_p\} \subseteq V$ of 2-crossings of Π , let us define the *flip of Π at U* to be $\text{flip}_U(\Pi) = \text{flip}_{u_1}(\text{flip}_{u_2}(\dots \text{flip}_{u_p}(\Pi) \dots))$, where the composition may be taken in any order. Finally, for two tuples of paths $\Pi = (\pi_1, \dots, \pi_m)$ and $\Sigma = (\sigma_1, \dots, \sigma_n)$, set $\Pi + \Sigma := (\pi_1, \dots, \pi_m, \sigma_1, \dots, \sigma_n)$.

We proceed to define the involution τ . So we assume from now on that G is k -bottlenecked. Let $N \subseteq V$ be the subset guaranteed by the k -bottlenecked property of G . If $|N| < k$, then $\text{PNCPath}(G) = \emptyset$; so we may assume $|N| = k$. Let $(I, J) \in \text{Pat}(G)$ and let $(R, B) \in \text{PNCPath}(I, J)$. Here we use R for “red” and B for “blue” as the example below will make clear. Say $R = (r_1, \dots, r_{k-1})$ and $B = (b_1, \dots, b_k)$ and set $\Pi := R + B$.

Because N is non-returning there is a subset of N of size $k - 1$ consisting of 2-crossings of Π which in fact is a $(k - 1)$ -antichain of Int^Π . It is also clear that there is no antichain of size greater than $k - 1$: indeed, given an antichain U of Int^Π and any $u \neq v \in U$, for each $r \in R$ we have that $r \in \ell^\Pi(u) \Rightarrow r \notin \ell^\Pi(v)$; but on the other hand, for any $u \in U$, there must be some $r \in R$ with $r \in \ell^\Pi(u)$. So by Proposition 3.6, we conclude that $\mathcal{A}_{k-1}(\text{Int}^\Pi)$ has a minimum. Starting with this minimum antichain, we define $\tau(R, B)$ by the algorithm below.

Algorithm defining τ

INITIALIZATION:
 Let $U \subseteq \text{Int}^\Pi$ be the minimum of $\mathcal{A}_{k-1}(\text{Int}^\Pi)$.
 Let $\text{FLIP}_0 := U$.
 Initialize the counter c to 0.
 Let b_{n_c} be the unique b_i with $b_{n_c} \notin \ell^\Pi(u)$ for all $u \in U$.
 If there is w in the downset of U with $b_{n_c} \in \ell^\Pi(w)$:
 Let w_{c+1} be maximal in the downset of U with $b_{n_c} \in \ell^\Pi(w_{c+1})$.
 Increment the counter c by 1.
 Enter the loop.
 Else:
 Skip the loop. Proceed directly to output.

LOOP:
 Let r_{m_c} be the unique r_i with $r_{m_c} \in \ell^\Pi(w_c)$.
 Let v_c be minimal in Int^Π with $w_c < v_c$ and $r_{m_c} \in \ell^\Pi(v_c)$.
 Let $\text{FLIP}_c := \text{FLIP}_{c-1} \Delta \{v_c, w_c\}$ (with $\Delta =$ “symmetric difference”).
 Let b_{n_c} be the unique b_i with $b_{n_c} \in \ell^\Pi(v_c)$.
 If there is w with $b_{n_c} \in \ell^\Pi(w)$ and $w < v_c$:
 Let w_{c+1} be maximal in Int^Π with $w_{c+1} < v_c$ and $b_{n_c} \in \ell^\Pi(w_{c+1})$.
 Increment the counter c by 1.
 Return to the beginning of the loop.
 Else:
 Exit the loop.

OUTPUT:
 Define $\tau(R, B) := (R', B')$ where $R' + B' := \text{flip}_{\text{FLIP}_c}(\Pi)$.

Example 3.7. Before we prove the correctness of this algorithm, we give an example run of it. Let our network be $\Gamma_{9,9}^4$ and consider the pair of tuples of noncrossing paths $(R, B) \in \text{PNCPath}(\{2, 4, 6\}, \{2, 4, 6\})$ depicted in Fig. 2. Suppose $R = (r_1, \dots, r_3)$ and $B = (b_1, \dots, b_4)$ so the paths are labeled in left-to-right order in the figure. To apply τ to (R, B) , first we find the minimum 3-antichain U in Int^Π where $\Pi := R + B$.

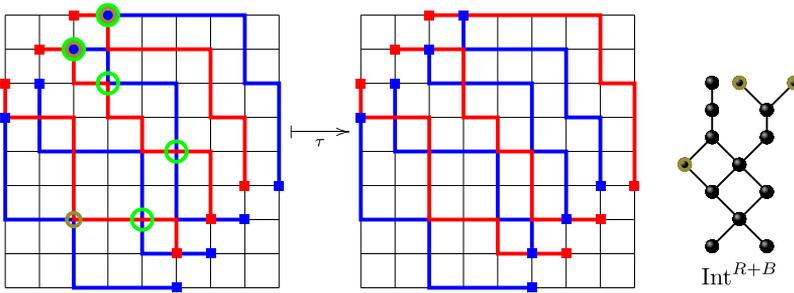


Fig. 2. Example 3.7 for the involution τ : the interlacing network is $\Gamma_{9,9}^4$; on the left is our initial element $(R, B) \in \text{PNCPath}(\{2, 4, 6\}, \{2, 4, 6\})$ and $\tau(R, B) \in \text{PNCPath}(\{2, 4, 6\}, \{3, 5, 7\})$ is on the right. The intersection poset Int^{R+B} is depicted far right. (For interpretation of the references to color in this figure, the reader is referred to the web version of this article.)

These vertices in this antichain are circled by small olive-colored circles in Fig. 2, and the intersection poset Int^Π is depicted to the right in the figure. In this case it turns out that the antichain is $U = \{(7, 3), (2, 3), (1, 4)\}$. We initialize $\text{FLIP}_0 := \{(7, 3), (2, 3), (1, 4)\}$ and find that $n_0 = 2$. There is some w in the downset of U with $b_2 \in \ell^\Pi(w)$, so we set $w_1 := (5, 5)$ and enter the loop.

1. We find $m_1 = 2$ and $v_1 = (3, 4)$ and set $\text{FLIP}_1 := \{(7, 3), (2, 3), (1, 4), (5, 5), (3, 4)\}$. We find $n_1 = 3$ and there is w with $b_3 \in \ell^\Pi(w)$ and $w < v_1$, so we set $w_2 := (5, 6)$ and enter the loop again.
2. We find $m_2 = 2$ and $v_2 = (5, 5)$ and set $\text{FLIP}_2 := \{(7, 3), (2, 3), (1, 4), (3, 4), (5, 6)\}$. We find $n_2 = 2$ and there is w with $b_2 \in \ell^\Pi(w)$ and $w < v_2$, so we set $w_3 := (7, 5)$ and enter the loop again.
3. We find $m_3 = 1$ and $v_3 = (7, 3)$ and set $\text{FLIP}_3 := \{(2, 3), (1, 4), (3, 4), (5, 6), (7, 5)\}$. We find $n_3 = 1$ and there is no w with $b_1 \in \ell^\Pi(w)$ and $w < v_3$, so we exit the loop.

Finally, we define $\tau(R, B) := (R', B')$ where $R' + B' := \text{flip}_{\text{FLIP}_3}(\text{Int}^\Pi)$. The elements of FLIP_3 are circled by large light green circles in Fig. 2 and $\tau(R, B)$ is shown to the right of (R, B) . Note that $\tau(R, B) \in \text{PNCPath}(\{2, 4, 6\}, \{3, 5, 7\})$ and this is consistent with Theorem 3.2 because $\{3, 5, 7\} \in \text{eswap}(\{2, 4, 6\})$.

We proceed to verify the correctness of the algorithm defining τ . In the following series of claims we refer to the variables defined above in the description of the algorithm. In particular, c refers to the value of the counter at the end of a run of the algorithm. Also, say $R' = (r'_1, \dots, r'_{k-1})$ and $B' = (b'_1, \dots, b'_k)$ and set $\text{Int}^\Pi := R' + B'$. Some of this analysis is tedious but it is all necessary.

Claim 3.8. For $i \in [c]$ there is a unique u_i with $w_i < u_i$ and $r_{m_i} \in \ell^\Pi(u_i)$ so that $u_i \in U$.

Proof. This claim is required for the algorithm to make sense because it shows that v_i is always well-defined. This claim also shows that v_i always belongs to the downset of U .

Observe that the uniqueness is trivial because for any $r \in R$ there is a unique $u \in U$ with $r \in \ell^\Pi(u)$. So existence is what is at issue. We prove this claim by induction on i . For $i = 1$ it is clear because $w_1 \leq u_1$ by definition, where u_1 is the unique element of U with $r_{m_1} \in \ell^\Pi(u_1)$, and $w_1 \neq u_1$ because $b_{n_0} \in \ell^\Pi(w_1)$ but $b_{n_0} \notin \ell^\Pi(u_1)$. So suppose $i > 1$ and the claim holds for smaller i . Then assume $w_i \geq u_i$ where u_i is the unique element of U with $r_{m_i} \in \ell^\Pi(u_i)$. Note that $w_i < v_{i-1}$ and $v_{i-1} \leq u_{i-1}$ by our inductive assumption. Thus we conclude $u_i < u_{i-1}$. But this contradicts the fact that U is an antichain. So in fact $w_i < u_i$. The claim follows by induction. \square

Claim 3.9. *The algorithm terminates.*

Proof. We claim it is impossible that $w_i = w_j$ for $i < j$. If $i > 1$, then $w_i = w_j$ implies that $w_{i-1} = w_{j-1}$. So suppose $i = 1$. Then $w_1 = w_j$ for some $j > 1$ implies that $v_{j-1} > w_1$ with $b_{n_0} \in \ell^\Pi(v_{j-1})$. But v_{j-1} is in the downset of U and w_1 was chosen to be maximal in the downset of U such that $b_{n_0} \in \ell^\Phi(w_1)$, which is a contradiction. So indeed $w_i \neq w_j$ for all $i \neq j$. Therefore, the algorithm terminates since Int^Π is finite. \square

Claim 3.10. *The tuples of paths R' and B' are noncrossing.*

Proof. For $0 \leq i \leq c$, let us define R'_i and B'_i by $R'_i + B'_i := \text{flip}_{\text{FLIP}_i}(\Pi)$. For such i , set $\Pi'_i := R'_i + B'_i$. For all such i we have $\text{Int}^\Pi = \text{Int}^{\Pi'_i}$ as unlabeled posets. Thus, the only way that one of R'_i or B'_i could fail to be noncrossing is if there were $w \in \text{Int}^{\Pi'_i}$ where w is a 2-crossing of R'_i or of B'_i . Let us say that such a w is *bad at step i* . We claim that if there is a w which is bad at step i , then $i < c$. Clearly this proves the claim because $R' = R'_c$, $B' = B'_c$, and $\Pi' = \Pi'_c$.

If w is bad at any step then w must belong to the downset of U as otherwise w would be above every vertex that we flip at. So we restrict our attention to w in the downset of U . For $0 \leq i \leq c$ and w in the downset of U , we claim the following:

1. $r \in \ell^\Pi(w)$ for any $r \in R$ implies $b' \in \ell^{\Pi'_i}(w)$ for some $b' \in B'_i$;
2. $b \in \ell^\Pi(w)$ for any $b \neq b_{n_i} \in B$ implies $r' \in \ell^{\Pi'_i}(w)$ for some $r' \in R'_i$;
3. if $i > 0$ and $w \geq v_i$, then $b_{n_i} \in \ell^\Pi(w)$ implies $r' \in \ell^{\Pi'_i}(w)$ for some $r' \in R'_i$.

This claim implies that if there is a w which is bad at step i , then $i < c$ as this claim shows such a w would have to have $b_{n_i} \in \ell^\Pi(w)$, with $w < v_i$ if $i > 0$. We prove this claim by induction on i . For $i = 0$, (1) and (2) hold because we flip at U to obtain Π'_0 , and (3) does not apply. So now assume that $i > 0$ and the claim holds for $i - 1$. Note that Π'_i is obtained from Π'_{i-1} by flipping at v_i and w_i . Therefore the labels of the intersection poset only change for vertices that are below w_i or v_i . The effect is that for w in the downset of U we have the following:

- $b_{n_{i-1}} \in \ell^\Pi(w)$ now implies $r' \in \ell^{\Pi'_i}(w)$ for some $r' \in R'_i$ even if $w \leq w_i$;

- if $w \leq v_i$, $b_{n_i} \in \ell^\Pi(w)$ may no longer imply $r' \in \ell^{\Pi'_i}(w)$ for any $r' \in R'_i$;
- but if $w \geq v_i$, $b_{n_i} \in \ell^\Pi(w)$ still implies $r' \in \ell^{\Pi'_i}(w)$ for some $r' \in R'_i$.

Note that $n_i = n_{i-1}$ is possible, but this is not an issue because $w_i < v_i$. So (1), (2), and (3) above hold for i , and the claim follows by induction. \square

Claim 3.11. *The map τ is an involution.*

Proof. Suppose we run the algorithm again on (R', B') . Let us use primes to denote the variables for this run of the algorithm; so we have $\text{FLIP}'_i, b'_{n'_i}, w'_i, v'_i, c'$ and so on. To show $\tau(R', B') = (R, B)$ it suffices to show $\text{FLIP}'_{c'} = \text{FLIP}_c$. We claim that in fact $c = c'$ and $\text{FLIP}'_i = \text{FLIP}_i$ for all $0 \leq i \leq c$. Note first of all that $\text{FLIP}'_0 = \text{FLIP}_0$ because both of these equal the minimum $(k - 1)$ -antichain of Int^Π and we gave a characterization earlier of this antichain just in terms of Int^Π as an abstract poset, independent of how it is labeled. It is clear that $m'_0 = m_0$. If $c = 0$, then no vertex on b_{m_0} ever flips, so no vertex in this path belongs to the downset of U and thus we get $c' = 0$ as well. If $c > 0$ we get $w_1 = w'_1$ as these are both equal to the first place where b_{m_0} intersects the downset of U . But then if $w_i = w'_i$, we get $v'_i = v_i$. This is because each element of $\text{Int}^{\Pi'}$ has two paths in Π' coming into it, and since R' and B' are noncrossing these paths must be colored differently (where by the color of the path we mean in the sense of Fig. 2). We followed one of these paths in to arrive at w'_i and thus we must follow the other out to arrive at v'_i . And if $v'_i = v_i$ and $i < c$, then similarly we have $w'_{i+1} = w_{i+1}$. If $i = c$ then both algorithms terminate on this step and so $c = c'$. The result follows by induction. \square

Claim 3.12. *There exists $J' \in \binom{[2k-1]}{k-1}$ which is a swap of J so that for all paths $\pi' \in \Pi'$ there is some $j' \in J'$ with $t_{j'}$ an end point of π' .*

Proof. Let j^* be such that t_{j^*} is the endpoint of b_{n_c} . Then we may satisfy the claim by taking $J' := [2k - 1] \setminus (J \cup \{j^*\})$. Indeed, for each $r \in R$, there are an odd number of $v \in \text{FLIP}_c$ with $r \in \ell^\Pi(v)$; this is easily seen by considering the FLIP_i inductively. Thus, as we follow the path r after having flipped at all the vertices in FLIP_c , the color of the path will be red for a while, then blue, then red, and so on, and must eventually end blue. Also, there are an even number of $v \in \text{FLIP}_c$ with $b_{n_c} \in \ell^\Pi(v)$: we can again easily prove inductively that for each $0 \leq i \leq c$ the number of $v \in \text{FLIP}_i$ with $b_{n_i} \in \ell^\Pi(v)$ is even, while the number of $v \in \text{FLIP}_i$ with $b \in \ell^\Pi(v)$ is odd for any $b \neq b_{n_i}$. Thus the end of b_{n_c} remains blue. So the endpoints of paths in R' must be among $t_{j'}$ for $j' \in J'$ as claimed. \square

Let D denote the disc into which G is embedded, and let ∂D denote its boundary. Let $b \in B$ with start point s and end point t . Denote by $\text{rt}(b)$ (resp., $\text{lt}(b)$) the compact subset of the plane whose boundary is the closed curve obtained by adjoining b with

the arc on ∂D that connects t to s clockwise (resp., counter-clockwise). It is easy to see $\text{rt}(b), \text{lt}(b) \subseteq D$ and $\text{rt}(b) \cap \text{lt}(b) = b$. Also, we have $b_j \in \text{rt}(b_i)$ if and only if $j \geq i$, and similarly $b_j \in \text{lt}(b_i)$ if and only if $j \leq i$. For $r \in R$ we define $\text{rt}(r)$ and $\text{lt}(r)$ analogously, and have the similar result that $r_j \in \text{rt}(r_i)$ if and only if $j \geq i$, and $r_j \in \text{lt}(r_i)$ if and only if $j \leq i$.

Lemma 3.13. *For two incomparable elements $x_1, x_2 \in \text{Int}^\Pi$, if $\ell^\Pi(x_1) = \{r_{i_1}, b_{j_1}\}$ and $\ell^\Pi(x_2) = \{r_{i_2}, b_{j_2}\}$ then $i_1 \leq i_2$ if and only if $j_1 \leq j_2$.*

Proof. We may assume the inequalities of the indices are strict because otherwise x_1 and x_2 would certainly be related. So let $x_1, x_2 \in \text{Int}^\Pi$ be such that $\ell^\Pi(x_1) = \{r_{i_1}, b_{j_1}\}$ and $\ell^\Pi(x_2) = \{r_{i_2}, b_{j_2}\}$ where $i_1 < i_2$ but $j_1 > j_2$. Let s_{p_1} be the start point of r_{i_1} and t_{q_1} its end point, and let s_{p_2} be the start point of b_{j_1} and t_{q_2} its end point. Assume by symmetry that $p_1 \leq p_2$, so $q_1 \leq q_2$. Let Y_1 (resp., Y_2) denote the compact subset of the plane that is bounded by the closed curve obtained by adjoining the subpath of r_{i_1} connecting s_{p_1} to x_1 (resp., the subpath of the reverse of r_{i_1} connecting t_{q_1} to x_1), the subpath of the reverse of b_{j_1} connecting x_1 to s_{p_2} (resp, the subpath of b_{j_1} connecting x_1 to t_{q_2}), and the arc on ∂D connecting s_{p_2} to s_{p_1} counter-clockwise (resp., the arc on ∂D connecting t_{q_2} to t_{q_1} clockwise). Because x_2 lies in $\text{lt}(r_{i_1}) \cap \text{rt}(b_{j_1})$, it must lie in one of Y_1 or Y_2 . Assume by symmetry that it lies in Y_1 . We claim that the subpath of r_{i_2} below x_2 cannot lie inside Y_1 : if it did, its end point would lie clockwise between s_{p_1} and s_{p_2} on ∂D , contradicting our assumption about how sources and sinks of G are arranged on this boundary. So it must exit Y_1 . When it does so, it crosses b_{j_1} above x_1 . Thus $x_2 > x_1$. \square

Lemma 3.14. *For $r \in R$, if $x_1 < \dots < x_l$ are the elements of $\text{Int}^\Pi \cap \text{Vert}(r)$ and for all $i \in [l]$ we have $b_{p_i} \in \ell^\Pi(x_i)$, then $|p_i - p_{i-1}| \leq 1$ for $i > 1$.*

Proof. This is an immediate consequence of the facts that G is planar and B is non-crossing. \square

Claim 3.15. *The sink pattern J' is a balanced swap of J .*

Proof. Claim 3.12 tells us that J' and J are swaps of one another and their pivot j^* is such that t_{j^*} is the endpoint of b_{n_c} . Define w_{c+1} to be t_{j^*} . Define v_0 to be first element that comes strictly before w_1 in b_{n_0} and belongs to Int^Π , or to be the start point of b_{n_0} if there is no such element. Then for $0 \leq i \leq c$, let \tilde{b}_i be the subpath of b_{n_i} connecting v_i to w_{i+1} . Also, for $i \in [k]$ define closed subsets X_i of D by $X_1 := \text{rt}(b_1)$, $X_i := \text{lt}(b_{i-1}) \cap \text{rt}(b_i)$ if $1 < i < k$, and $X_k := \text{lt}(b_k)$.

Our key subclaim is that for $0 \leq i \leq c$, the curve \tilde{b}_i lies in X_{n_i} . We prove this by induction on i . First of all, for any i it is clear that each \tilde{b}_i must lie in one of the X_j because if it did not it would have to intersect too many paths in R (only the start point and end point of \tilde{b}_i can belong to Int^Π). So each \tilde{b}_i intersects the interior of at most

one of the X_j . First we deal with the base case $i = 0$. Assume that $n_0 \in [2, 2k - 2]$; the cases $n_0 = 1$ or $n_0 = 2k - 1$ are very similar to what follows. By Lemma 3.13, there exist $u_1, u_2 \in U$ with $\ell^\Pi(u_1) = \{b_{n_0-1}, r_{n_0-1}\}$ and $\ell^\Pi(u_2) = \{b_{n_0} + 1, r_{n_0}\}$. Let s_{j_1} be the start point of b_{n_0-1} , s_{j_2} the start point of b_{n_0+1} , s_{j_3} the start point of r_{n_0-1} , and s_{j_4} the start point of r_{n_0} . Assume that $j_1 \leq j_3$ and $j_2 \leq j_4$ for simplicity of the following exposition; the other cases are symmetric. Let Y_1 (resp., Y_2) denote the compact subset of the plane that is bounded by the closed curve obtained by adjoining the subpath of b_{n_0-1} (resp., b_{n_0+1}) connecting s_{j_1} to u_1 (resp., s_{j_2} to u_2), the subpath of the reverse of r_{n_0-1} (resp., r_{n_0}) connecting u_1 to s_{j_3} (resp., u_2 to s_{j_4}), and the arc on ∂D connecting s_{j_3} to s_{j_1} (resp., s_{j_4} to s_{j_2}) counter-clockwise. We claim that \tilde{b}_0 cannot intersect the interior of Y_1 or of Y_2 . Suppose to the contrary; by symmetry, assume that \tilde{b}_0 enters the interior of Y_1 . First of all, if $c = 0$, that means the end point of b_{n_0} lies on ∂D clockwise between s_{j_1} and s_{j_3} , but because $j_1 < j_3$, this contradicts our assumption of how the sources and sinks of G are arranged on this boundary. (Note $j_1 = j_3$ is impossible in this case because that would force the end point of b_{n_0} to be s_{j_1} as well, creating a cycle.) So suppose $c > 0$. Then w_1 is in Y_1 . It cannot be on r_{n_0-1} because that would put it at or above u_1 . But because the path b_{n_0} must exit Y_1 , it must cross r_{n_0-1} above u_1 after it visits w_1 , and this means that $u_1 < w_1$. But because w_1 belongs to the downset of U , this contradicts the fact that U is an antichain. So indeed \tilde{b}_0 does not enter the interior of Y_1 or of Y_2 . But b_{n_0} lies in $\text{lt}(b_{n_0-1}) \cap \text{rt}(b_{n_0+1})$; therefore \tilde{b}_{n_0} lies in $\text{lt}(r_{n_0-1}) \cap \text{rt}(r_{n_0}) = X_{n_0}$.

Now assume $i > 0$ and the key subclaim holds for smaller values of i . We know that \tilde{b}_{i-1} lies in $X_{n_{i-1}}$, so either $r_{n_{i-1}-1}$ or $r_{n_{i-1}}$ is in $\ell^\Pi(w_i)$; let us assume by symmetry that it is $r_{n_{i-1}}$. Lemma 3.14 gives $n_i = n_{i-1} + \delta$ for $\delta \in \{-1, 0, 1\}$. First suppose that $\delta = 0$. Then we claim that \tilde{b}_i cannot enter the interior of X_{n_i+1} ; in particular, the subpath of b_{n_i} connecting v_i to w_i cannot enter the interior of X_{n_i+1} . Suppose that it did. This subpath must eventually enter the interior of X_{n_i} by our inductive supposition and so it would have to cross r_{n_i} at some point to do so. However, if it crossed r_{n_i} above v_i this would cause a cycle in G , and if it crossed below w_i this would also cause a cycle. So it would have to cross between v_i and w_i ; but this is also impossible because there are no elements of Int^Π that lie on r_{n_i} between v_i and w_i . So indeed \tilde{b}_i lies in X_{n_i} .

Now suppose that $\delta \neq 0$. Let s_{j_1} be the start point of $r_{n_{i-1}}$ and s_{j_2} be the start point of $b_{n_{i-1}}$. Assume $j_1 \leq j_2$ for simplicity of the following exposition; the other case is symmetric. Let Y denote the compact subset of the plane that is bounded by the closed curve obtained by adjoining the subpath of $b_{n_{i-1}}$ connecting s_{j_1} to w_i , the subpath of the reverse of $r_{n_{i-1}}$ connecting w_i to s_{j_2} , and the arc on ∂D connecting s_{j_2} to s_{j_1} counter-clockwise. We claim that the subpath of b_{n_i} below v_i cannot enter the interior of Y . Suppose it did. Then it could not exit Y because it cannot intersect $b_{n_{i-1}}$ at all, and it cannot intersect $r_{n_{i-1}}$ above w_i without creating a cycle. Thus the end point of b_{n_i} lies on ∂D clockwise between s_{j_1} and s_{j_2} . But because $j_1 < j_2$, this contradicts our assumption of how the sources and sinks of G are arranged on this boundary. (Note that $j_1 = j_2$ is impossible in this case because then the end point of b_{n_i} would be s_{j_1} as well, creating a cycle.) So \tilde{b}_i does not enter the interior of Y . Thus \tilde{b}_i lies in $X_{n_{i-1}+1}$.

To finish the proof of the key subclaim, we need to show that $\delta \neq -1$. First consider the case $i = 1$. Let u be the unique element of U such that $r_{n_0} \in \ell^\Pi(u)$. Note $b_{n_0+1} \in \ell^\Pi(u)$ by Lemma 3.13. Also note that w_1 is the maximal element below u with $\ell^\Pi(w_1) = \{r_{n_0}, b_{n_0}\}$. So by Lemma 3.14, as we look at the b_{p_j} intersecting the vertices of r_{n_0} we encounter below u but above w_1 we can never see b_{n_0-1} . Thus $\delta = 1$ as claimed. Now consider the case $i > 1$. Then either $r_{n_{i-1}-1}$ or $r_{n_{i-1}}$ is in $\ell^\Pi(v_{i-1})$. Suppose first that $r_{n_{i-1}-1}$ is in $\ell^\Pi(v_{i-1})$. Then v_{i-1} and v_i are unrelated and so by Lemma 3.13 we get that $\delta \neq -1$. Suppose next that $r_{n_{i-1}} \in \ell^\Pi(v_{i-1})$. Then note that w_i is the maximal element below v_{i-1} with $\ell^\Pi(w_i) = \{r_{n_{i-1}}, b_{n_{i-1}}\}$. Also, the element of Int^Π below v_{i-1} on $r_{n_{i-1}}$ is w_{i-1} and has $b_{n_{i-2}} \in w_{i-1}$, so again by Lemma 3.14 we get $b_{n_{i-2}} \in v_i$. So $n_i = n_{i-2}$ and by induction we obtain $\delta = 1$ again. The key subclaim is thus proved by induction.

To conclude, note that \tilde{b}_{n_c} is in X_{n_c} which means t_{j^*} is clockwise between the end point of r_{n_c} and r_{n_c+1} on ∂D . Together with Claim 3.12, this means $|J \cap [j^*]| = |J' \cap [j^*]|$. \square

Claim 3.16. *If G is interlacing then J' is an end swap of J .*

Proof. Let Π_T be the subtuple of Π consisting of paths whose end points are among t_j for $j \in [2, 2k - 2]$. Let N_T be the subset of V guaranteed by the $(k - 1)$ -sink-bottlenecked property of G . There is a subset of N_T of size $k - 2$ consisting of 2-crossings of Π_T . This subset is a $(k - 2)$ antichain of Int^{Π_T} because N_T is non-returning. There are no antichains of Int^{Π_T} of greater cardinality. So $\mathcal{A}_{k-2}(\text{Int}^{\Pi_T})$ has a minimum; call that minimum U_T . We claim that U_T belongs to the downset of U . To see this, let $U^T \subseteq U$ be the set of those $u \in U$ for which $\ell^\Pi(u) \subseteq \Pi_T$. Note that because N_T is sink-branching, it also must be that U_T is sink-branching. So no element of U_T is greater than an element of $U \setminus U^T$; but also, every element of U_T is comparable to some element of U . Thus if we let U_{\min} be the set of minimal elements of $U \cup U_T$, there is a subset of U_{\min} that belongs to $\mathcal{A}_{k-2}(\text{Int}^{\Pi_T})$ and is in the downset of U . But U_T is minimal among all such antichains; so U_T must be in the downset of U .

Let j^* be the pivot of J and J' . We want to show that $j^* \notin [2, 2k - 2]$. Suppose to the contrary. Recall the paths \tilde{b}_i and regions X_i defined in the proof of Claim 3.15. Let π^* be the unique element of Π_T not among the labels of elements of U_T . If $j^* \in [2, 2k - 2]$, it must be that there is i such that $\tilde{b}_i = \pi^*$ and either w_{i+1} is in the downset of U_T or $w_{i+1} = t_{j^*}$. This is because if \tilde{b}_i passes through some $u \in U_T$, then that u must either be v_i or w_{i+1} . If that u is a w_{i+1} , then v_{i+1} will not belong to the downset of U_T so we will have to pass through U_T again at some later step. On the other hand, if that u is a v_i , then we must have $i > 0$ and w_{i-1} already belongs to the downset of U_T and is strictly below an element of U_T . Thus indeed there exists i such that $\tilde{b}_i = \pi^*$ and with w_{i+1} as described above. But then by the same logic as the second paragraph of the proof of Claim 3.15, we conclude that either \tilde{b}_i lies in $X_{n_{i+1}}$ (if $1 \in J$) or \tilde{b}_i lies in X_{n_i-1} (if $1 \notin J$). At any rate, we get that \tilde{b}_i does not lie in X_{n_i} which is a contradiction with the key subclaim in the proof of Claim 3.15. So indeed $j^* \notin [2, 2k - 2]$. \square

Proof of Theorem 3.2. Claims 3.8 and 3.9 establish that τ is well-defined, and Claim 3.10 shows that τ maps into $\text{PNCPath}(G)$. The map τ is weight-preserving because the multisets of edges visited by paths in Π and in Π' are identical. Claim 3.11 shows τ is an involution. Claim 3.15 describes the image $\tau(\text{PNCPath}(I, J))$, and Claim 3.16 gives a more refined estimate on $\tau(\text{PNCPath}(I, J))$ when G is interlacing. \square

Proof of Corollary 3.3. For any $J, J' \in \binom{[2k-1]}{k-1}$ with J' a balanced swap of J , their pivot j^* cannot be even, so we have $J'_{\text{even}} = [2k-1]_{\text{even}} \setminus J_{\text{even}}$. Thus with K as in the statement of the corollary, by Theorem 3.2 we have

$$\tau\left(\bigcup_{\substack{(I,J) \in \text{Pat}(G) \\ J_{\text{even}}=K}} \text{PNCPath}(I, J)\right) \subseteq \bigcup_{\substack{(I,J') \in \text{Pat}(G) \\ J'_{\text{even}}=K'}} \text{PNCPath}(I, J').$$

But the reverse inclusion follows for the same reason. \square

Proof of Corollary 3.4. For $J, J', J'' \in \binom{[2k-1]}{k-1}$ as in the statement of the corollary, we have $\text{eswap}(J) \subseteq J' \cup J''$, but we also have $\text{eswap}(J') \subseteq J$ and $\text{eswap}(J'') \subseteq J$. Then Theorem 3.2 tells us that

$$\tau(\text{PNCPath}(I, J)) \subseteq \text{PNCPath}(I, J') \cup \text{PNCPath}(I, J'')$$

and also the reverse inclusion. \square

Remark 3.17. The definition of k -bottlenecked is symmetric with respect to sources and sinks, so we can easily obtain from τ a source-swapping involution as well. We define the opposite network of G , denoted $(G^{\text{op}}, S^{\text{op}}, T^{\text{op}})$, as follows: G^{op} is the same graph as G but with edge directions reversed, and the sources and sinks are $S^{\text{op}} := (t_{2k-1}, \dots, t_1)$ and $T^{\text{op}} := (s_{2k-1}, \dots, s_1)$. For $I \subseteq [2k-1]$ set $I^\circ := \{2k-i : i \in I\}$. There is a weight-preserving bijection $\Psi: \text{PNCPath}(G) \rightarrow \text{PNCPath}(G^{\text{op}})$ such that $\Psi(\text{PNCPath}(I, J))$ is $\text{PNCPath}(I^\circ, J^\circ)$ for $(I, J) \in \text{Pat}(G)$ whereby Ψ just reverses all paths. Suppose that G is k -bottlenecked. Then so is G^{op} . So we may define the source-swapping involution $\sigma: \text{PNCPath}(G) \rightarrow \text{PNCPath}(G)$ by $\sigma := \Psi^{-1} \circ \tau_{G^{\text{op}}} \circ \Psi$ and it will satisfy

$$\sigma(\text{PNCPath}(I, J)) \subseteq \bigcup_{I' \in \text{bswap}(I)} \text{PNCPath}(I', J),$$

for all $(I, J) \in \text{Pat}(G)$. Here $\tau_{G^{\text{op}}}$ denotes the involution τ defined above in this section but applied to the opposite network. The involution σ leads to a source-swapping analogue of Corollary 3.3. However, G being interlacing does not in general imply that G^{op} is interlacing, so we do not in general get a source-swapping analogue of Corollary 3.4.

4. Interlacing matrices and Plücker relations

In this section, we provide an alternative algebraic proof to the second part of [Corollary 3.4](#) using certain rank properties of matrices associated with interlacing networks. Here and throughout all matrices are real. A matrix is called totally nonnegative if all its minors are nonnegative. We define $\text{Mat}(m, n)$ to be the set of $m \times n$ matrices and $\text{Mat}^{\geq 0}(m, n)$ the set of $m \times n$ totally nonnegative matrices. We also use $\text{Mat}_*(m, n)$ to denote the set of $m \times n$ matrices of full rank, and $\text{Mat}_*^{\geq 0}(m, n)$ for the totally nonnegative matrices of full rank. The Lindström–Gessel–Viennot (LGV) [\[20,35\]](#) lemma provides a correspondence between totally nonnegative matrices and planar networks, and we would like to examine the properties of the class of totally nonnegative matrices that correspond to interlacing networks. Let (G, S, T) be a network. Define P_G to be the matrix whose entry at i, j is equal to $\sum_{\pi \in \text{NCPATH}(\{s_i\}, \{t_j\})} \text{wt}(\pi)$. By the LGV lemma, since G is a planar, the matrix P_G is totally nonnegative. Moreover, we have that $\det P_G[U|W] = \sum_{\Pi \in \text{NCPATH}(U,W)} \text{wt}(\Pi)$ for $m \in [2k - 1]$ and $U, W \in \binom{[2k-1]}{m}$.

Definition 4.1. Let $k \geq 2$. An *interlacing matrix* of order $2k - 1$ is a $2k - 1 \times 2k - 1$ totally nonnegative matrix A whose rank is at most k , such that the rank of $A[[2k - 1] | [2, 2k - 2]]$ is at most $k - 1$.

Proposition 4.2. Let (G, S, T) be an interlacing network. Then P_G is interlacing.

Proof. In order to prove that the rank of P_G is at most k , it is enough to show that every $(k + 1) \times (k + 1)$ minor of P_G equals 0. Let $U, W \in \binom{[2k-1]}{k+1}$. Since G is k -bottlenecked, $\text{NCPATH}(U, W) = \emptyset$ and hence by the LGV lemma we have $\det P_G[U|W] = \sum_{\Pi \in \text{NCPATH}(U,W)} \text{wt}(\Pi) = 0$. Similarly, since G is $(k - 1)$ -sink-bottlenecked, after removing the first and the last column we get a matrix in which every $k \times k$ minor equals 0. \square

We therefore can reformulate the second part of [Corollary 3.4](#) in terms of interlacing matrices as follows.

Theorem 4.3. Let $k \geq 2$ and let M be an interlacing matrix of order $2k - 1$. Fix some $I, J \in \binom{[2k-1]}{k-1}$ such that $\{1, 2k - 1\} \cap J = \emptyset$. Set $J' := [2, 2k - 1] \setminus J$ and $J'' = [1, 2k - 2] \setminus J$. Then

$$\det M[\bar{I}|\bar{J}] \det M[I|J] = \det M[\bar{I}|\bar{J}'] \det M[I|J'] + \det M[\bar{I}|\bar{J}''] \det M[I|J'']$$

where for $K \subseteq [2k - 1]$ we define $\bar{K} = [2k - 1] \setminus K$.

The proof of [Theorem 4.3](#) relies on the Plücker relations between minors of certain types of Grassmannians. For $n \geq l \geq 0$, the *Grassmannian* $\text{Gr}(l, n)$ is the space of l -dimensional linear subspaces of \mathbb{R}^n . Another way to view the Grassmannian is

as $\text{Gr}(l, n) = \text{GL}(l) \setminus \text{Mat}_*(l, n)$, where $\text{GL}(l)$ is the group of invertible $l \times l$ matrices. In other words, we can identify $\text{Gr}(l, n)$ with the space of $l \times n$ real matrices of rank l modulo row operations, where the $l \times l$ minors of the matrices form projective coordinates on the Grassmannian, called Plücker coordinates. We denote those coordinates by $\Delta_I(A)$ for $I \in \binom{[n]}{l}$ and $A \in \text{Gr}(l, n)$; that is, $\Delta_I(A)$ represents the minor of A defined by the columns $I = \{i_1, i_2, \dots, i_l\}$ with $i_1 < i_2 < \dots < i_l$. When A is clear from context we write simply Δ_I for $\Delta_I(A)$. In order to simplify notation when swapping columns of minors, we use the conventions on these coordinates that $\Delta_{(i_1, i_2, \dots, i_l)} := \Delta_{\{i_1, i_2, \dots, i_l\}}$ for $i_1 < \dots < i_l$ and $\Delta_{(i_1, \dots, i_j, i_{j+1}, \dots, i_l)} := -\Delta_{(i_1, \dots, i_{j+1}, i_j, \dots, i_l)}$. The following set of relations on Plücker coordinates for any choice of $m \in [l]$ are called the Plücker relations [26]:

$$\Delta_{(p_1, \dots, p_l)} \Delta_{(q_1, \dots, q_l)} = \sum_{i_1 < \dots < i_m} \Delta_{(p_1, \dots, q_{l-m+1}, \dots, q_l, \dots, p_l)} \Delta_{(q_1, q_2, \dots, q_{l-m}, p_{i_1}, \dots, p_{i_m})}. \tag{4.1}$$

Here $(p_1, \dots, q_{l-m+1}, \dots, q_l, \dots, p_l)$ denotes the tuple (p_1, \dots, p_l) where we have replaced the entries p_{i_1}, \dots, p_{i_m} by q_{l-m+1}, \dots, q_l and vice versa for the other factor.

In a manner analogous to the above description of the Grassmannian as a quotient of a matrix space by a general linear group action, we define the *totally nonnegative Grassmannian* to be $\text{Gr}^{\geq 0}(l, n) := \text{GL}^+(l) \setminus \text{Mat}_*^{\geq 0}(l, n)$, where $\text{GL}^+(l)$ is the group of invertible $l \times l$ matrices with positive determinant. In other words, the totally nonnegative Grassmannian is the subset of the Grassmannian for which Plücker coordinates are all nonnegative (or rather all of the same sign, since these coordinates are projective). So $\text{Gr}^{\geq 0}(l, n) \subseteq \text{Gr}(l, n)$. There is a tight correspondence between totally nonnegative matrices and the totally nonnegative Grassmannian. In fact, there exists an embedding $\phi: \text{Mat}^{\geq 0}(l, n) \rightarrow \text{Gr}^{\geq 0}(l, l+n)$ of the form

$$\phi: A \mapsto \begin{pmatrix} 1 & 0 & \cdots & 0 & 0 & 0 & (-1)^{l-1}a_{l1} & (-1)^{l-1}a_{l2} & \cdots & (-1)^{l-1}a_{ln} \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 1 & 0 & 0 & a_{31} & a_{32} & \cdots & a_{3n} \\ 0 & 0 & \cdots & 0 & 1 & 0 & -a_{21} & -a_{22} & \cdots & -a_{2n} \\ 0 & 0 & \cdots & 0 & 0 & 1 & a_{11} & a_{12} & \cdots & a_{1n} \end{pmatrix}$$

such that

$$\det A[I|J] = \Delta_{([l] \setminus \{l+1-i_r, \dots, l+1-i_1\}) \cup \{j_1+l, \dots, j_r+l\}}(\phi(A)) \tag{4.2}$$

for $A = (a_{ij}) \in \text{Mat}^{\geq 0}(l, n)$, $I = \{i_1, \dots, i_r\} \subseteq [l]$ and $J = \{j_1, \dots, j_r\} \subseteq [n]$.

For a number a and a set $B = \{b_1, b_2, \dots, b_r\}$ such that $b_1 < b_2 < \dots < b_r$, denote by $\{a + B\}$ (resp., $\{a - B\}$) the set $\{a + b_1, a + b_2, \dots, a + b_r\}$ (resp., $\{a - b_r, a - b_{r-1}, \dots, a - b_1\}$). Let us set $l = 2k - 1$ and $n = 2k - 1$ in the last paragraph to get $\phi: \text{Mat}^{\geq 0}(2k - 1, 2k - 1) \rightarrow \text{Gr}^{\geq 0}(2k - 1, 4k - 2)$. Then the conclusion of [Theorem 4.3](#) is equivalent to the following equation on the Plücker coordinates of $\phi(M)$:

$$\begin{aligned}
 &\Delta_{[2k-1]\setminus\{2k-\bar{I}\}\cup\{2k-1+\bar{J}\}}\Delta_{[2k-1]\setminus\{2k-I\}\cup\{2k-1+J\}} \\
 &= \Delta_{[2k-1]\setminus\{2k-\bar{I}\}\cup\{2k-1+\bar{J}'\}}\Delta_{[2k-1]\setminus\{2k-I\}\cup\{2k-1+J'\}} \\
 &\quad + \Delta_{[2k-1]\setminus\{2k-\bar{I}\}\cup\{2k-1+\bar{J}''\}}\Delta_{[2k-1]\setminus\{2k-I\}\cup\{2k-1+J''\}}. \tag{4.3}
 \end{aligned}$$

We are now ready to present the proof of [Theorem 4.3](#).

Proof of Theorem 4.3. Because M is interlacing, we have $\det M[W|V] = 0$ if $|W| > k$ or if $|W| > k - 1$ and $1, 2k - 1 \notin V$. Using [\(4.2\)](#), we get that the Plücker coordinates of $\phi(M)$ satisfy

(a) $\Delta_U = 0$ for any $U = \{u_1, \dots, u_{2k-1}\}$ such that $u_1 < \dots < u_{2k-1}$ and $u_{k-1} > 2k - 1$;

(b) $\Delta_U = 0$ for any $U = \{u_1, \dots, u_{2k-1}\}$ such that $u_1 < \dots < u_{2k-1}$, $2k, 2(2k - 1) \notin U$ and $u_k > 2k - 1$.

Now, note that since $\bar{J}' = \{1\} \cup J$ and $\bar{J}'' = J \cup \{2k - 1\}$, [Eq. \(4.3\)](#) is equivalent to

$$\begin{aligned}
 &\Delta_{\{2k-I\}\cup[2k,2(2k-1)]\setminus\{2k-1+J\}}\Delta_{[2k-1]\setminus\{2k-I\}\cup\{2k-1+J\}} \\
 &= \Delta_{\{2k-I\}\cup\{2k\}\cup\{2k-1+J\}}\Delta_{[2k-1]\setminus\{2k-I\}\cup\{2k-1+J'\}} \\
 &\quad + \Delta_{\{2k-I\}\cup\{2k-1+J\}\cup\{2(2k-1)\}}\Delta_{[2k-1]\setminus\{2k-I\}\cup\{2k-1+J''\}}. \tag{4.4}
 \end{aligned}$$

To show that [\(4.4\)](#) holds, we will use [\(4.1\)](#) with $l = 2k - 1$ and $m = k - 1$. According to the formula, we are summing over all the $\binom{2k-1}{k-1}$ ways in which we can put the $k - 1$ elements of $\{2k - 1 + J\}$ in place of some $k - 1$ elements of $\{2k - I\} \cup [2k, 2(2k - 1)] \setminus \{2k - 1 + J\}$. We will show that only two summands among the $\binom{2k-1}{k-1}$ summands appearing on the right side of [\(4.1\)](#) may be nonzero, and they are equal to the right side of [\(4.4\)](#). First, consider the summands in which at least one element from $\{2k - 1 + J\}$ is placed instead of an element in $\{2k - I\}$. Since all of the elements in $[2k, 2(2k - 1)] \setminus \{2k - 1 + J\}$ are bigger than $2k - 1$ and $|\{2k - I\}| = k - 1$ we are in the case (a), which means that the resulting summand equals zero. Thus in order to obtain a nonzero summand, all the $k - 1$ elements from $\{2k - 1 + J\}$ must be placed instead of some $k - 1$ elements from $[2k, 2(2k - 1)] \setminus \{2k - 1 + J\}$. There are exactly $\binom{k}{k-1} = k$ such summands since $|[2k, 2(2k - 1)] \setminus \{2k - 1 + J\}| = k$, and in each of the summands exactly one element from the set $[2k, 2(2k - 1)] \setminus \{2k - 1 + J\}$ is not replaced by an element from $\{2k - 1 + J\}$, and all the other are replaced. Note $2k, 2(2k - 1) \in [2k, 2(2k - 1)] \setminus \{2k - 1 + J\}$ since $1, 2k - 1 \notin J$. We may choose one of the following to be the element that is not replaced: $2k; 2(2k - 1)$; or an element from $[2k, 2(2k - 1)] \setminus \{2k - 1 + J\}$ not equal to $2k$ or $2(2k - 1)$. If we choose $2k$, the resulting summand is

$$\Delta_{\{2k-I\}\cup\{2k\}\cup\{2k-1+J\}}\Delta_{[2k-1]\setminus\{2k-I\}\cup\{2k-1+J'\}},$$

and if we choose $2(2k - 1)$ the resulting summand is

$$\Delta_{\{2k-I\} \cup \{2k-1+J\} \cup \{2(2k-1)\}} \Delta_{[2k-1] \setminus \{2k-I\} \cup \{2k-1+J''\}}.$$

If we choose an element which is not equal to $2k$ or $2(2k - 1)$, then (b) implies that the $k - 2$ resulting summands equal zero. Thus we showed that (4.4) holds and so we are done. \square

Because interlacing matrices are defined by certain rank conditions on submatrices, they can also be characterized as those totally nonnegative matrices for which some explicit set of minors is zero. This simple observation connects interlacing matrices to the combinatorial theory of total positivity developed by Postnikov in [26], which can be seen as an “elementary” approach to the general theory of total positivity initiated by Lusztig [21]. We very briefly recap the matroid stratification of the totally nonnegative Grassmannian, without even defining exactly what a matroid is. For any $\mathcal{M} \subseteq \binom{[n]}{l}$, define $\mathcal{S}_{\mathcal{M}} \subseteq \text{Gr}(l, n)$ by $\mathcal{S}_{\mathcal{M}} := \{A \in \text{Gr}(l, n) : \Delta_I(A) = 0 \text{ if and only if } I \in \mathcal{M}\}$. Then define $\mathcal{S}_{\mathcal{M}}^{\geq 0} := \mathcal{S}_{\mathcal{M}} \cap \text{Gr}^{\geq 0}(l, n)$. The $\mathcal{S}_{\mathcal{M}}^{\geq 0}$ stratify $\text{Gr}^{\geq 0}(l, n)$ in the sense that $\text{Gr}^{\geq 0}(l, n) = \cup_{\mathcal{M} \in \binom{[n]}{l}} \mathcal{S}_{\mathcal{M}}^{\geq 0}$ and $\mathcal{S}_{\mathcal{M}}^{\geq 0} \cap \mathcal{S}_{\mathcal{M}'}^{\geq 0} = \emptyset$ if $\mathcal{M} \neq \mathcal{M}'$. This stratification is called the *matroid stratification* of the totally nonnegative Grassmannian. Also it turns out [26] that each $\mathcal{S}_{\mathcal{M}}$ is either empty or a cell and conjecturally this stratification gives a regular CW decomposition of $\text{Gr}^{\geq 0}(l, n)$. Define $\mathcal{M}^* \subseteq \binom{[4k-2]}{2k-1}$ by

$$\mathcal{M}^* := \left\{ I \in \binom{[4k-2]}{2k-1} : \left(|I \cap [2k, 4k-2]| \geq k \text{ or } |I \cap [2k, 4k-2]| \geq k-1 \text{ and } \{2k, 4k-2\} \cap I = \emptyset \right) \right\}.$$

Then the image of the space of interlacing matrices of order $2k - 1$ under the map $\phi : \text{Mat}^{\geq 0}(2k - 1, 2k - 1) \rightarrow \text{Gr}^{\geq 0}(2k - 1, 4k - 2)$ is $\cup_{\mathcal{M}^* \subseteq \mathcal{M}} \mathcal{S}_{\mathcal{M}}^{\geq 0}$.

Proposition 4.4. *The space of interlacing matrices of order $2k - 1$, viewed inside the Grassmannian via the map ϕ , forms the closure of a cell in the matroid stratification of $\text{Gr}^{\geq 0}(2k - 1, 4k - 2)$.*

Proof. If $\mathcal{M} \subseteq \binom{[4k-2]}{2k-1}$ is such that the cell $\mathcal{S}_{\mathcal{M}}^{\geq 0}$ is nonempty, then the closure of this cell is given by $\text{clo}(\mathcal{S}_{\mathcal{M}}^{\geq 0}) = \cup_{\mathcal{M} \subseteq \mathcal{M}'} \mathcal{S}_{\mathcal{M}'}^{\geq 0}$. Thus in order to prove the proposition we need only to show that $\mathcal{S}_{\mathcal{M}^*}^{\geq 0}$ is nonempty. To do so, we construct an interlacing network $G = (G, S, T)$ such that $\phi(P_G) \in \mathcal{S}_{\mathcal{M}^*}^{\geq 0}$. We use two auxiliary networks $G' = (G', S', T')$ and $G'' = (G'', S'', T'')$ to build G . The network G' has underlying graph $\Gamma_{k,4k-3}$ with

$$S' = (s'_1, \dots, s'_{2k-1}) := ((1, 1), (1, 2), \dots, (1, 2k - 1));$$

$$T' = (t'_1, \dots, t'_{2k-1}) := ((k, 2k - 1), (k, 2k), \dots, (k, 4k - 3)).$$

Observe that G' is k -bottlenecked: we may take

$$N' := ((1, 2k - 1), (2, 2k - 1), \dots, (k, 2k - 1))$$

as our bottleneck. The network G'' has underlying graph $\Gamma_{k-1, 4k-7}$ with

$$S'' = (s''_1, \dots, s''_{2k-3}) := ((1, 1), (1, 2), \dots, (1, 2k - 3));$$

$$T'' = (t''_1, \dots, t''_{2k-3}) := ((k - 1, 2k - 3), \dots, (k - 1, 4k - 7)).$$

Similarly G'' is $(k - 1)$ -bottlenecked: we may take

$$N'' := ((1, 2k - 3), (2, 2k - 3), \dots, (k - 1, 2k - 3))$$

as our bottleneck. The network G has underlying graph $G' \sqcup G'' / \sim$, the disjoint union of the graphs G' and G'' where we mod out by the equivalence relation \sim , where $t'_{i+1} \sim s''_i$ for $i \in [2k - 3]$ and all other vertices are inequivalent. The sources and sinks of G are given by $S := (s'_1, \dots, s'_{2k-1})$ and $T := (t'_1, t''_1, \dots, t''_{2k-3}, t'_{2k-1})$. We can witness that G is k -bottlenecked by taking $N = N'$ and can witness that G is $(k - 1)$ -sink-bottlenecked by taking $N_T = N''$. The edge-weight function ω of G is defined to be 1 for all edges. From our construction we get $\text{NCPATH}_G(I, J) = \emptyset$ for $I, J \in \binom{[2k-1]}{m}$ if and only if either $m \geq k + 1$, or $m = k$ and $\{1, 2k - 1\} \cap J = \emptyset$. This translates exactly to $\phi(P_G) \in \mathcal{S}_{\mathcal{M}^*}^{\geq 0}$, as desired. \square

5. Birational RSK and the octahedron recurrence

We now return to our original motivation. Let $X = (x_{ij})$ be an $m \times n$ matrix with entries in $\mathbb{R}_{>0}$. This matrix will be our input to birational RSK. Our output will be a three-dimensional array that, subject to the proper normalization, will obey the octahedron recurrence. Recall that we are interested in the weights of tuples of noncrossing paths in X . Therefore, in this section we will work with the rectangular grid $\Gamma_{m,n}$. We set the edge-weight function $\omega: E \rightarrow \mathbb{R}_{>0}$ of $\Gamma_{m,n}$ to be $\omega((i, j), (i', j')) := \sqrt{x_{ij}x_{i'j'}}$. For a path π in $\Gamma_{m,n}$, we define a modified weight by $\widehat{\text{wt}}(\pi) := \sqrt{x_{ij}x_{i'j'}} \cdot \text{wt}(\pi)$ where (i, j) is the start point of π and (i', j') is its endpoint. And for a tuple $\Pi = (\pi_1, \dots, \pi_k)$ of paths we define $\widehat{\text{wt}}(\Pi) := \prod_{i=1}^k \widehat{\text{wt}}(\pi_i)$. Similarly for a pair of tuples of paths (Π, Σ) we define $\widehat{\text{wt}}(\Pi, \Sigma) := \widehat{\text{wt}}(\Pi) \cdot \widehat{\text{wt}}(\Sigma)$. This modified weight is defined in this way so that $\widehat{\text{wt}}(\pi) = \prod_{(i,j) \in \text{Vert}(\pi)} x_{ij}$.

Now we define a three-dimensional array $\bar{Y} = (\bar{y}_{i,j,k})$ indexed by all $i, j, k \in \mathbb{Z}$ which satisfy $0 \leq k \leq \min(i, j)$ and for which there exist $a, b \in \{0, 1\}$ so that $(i+a, j+b) \in \Gamma_{m,n}$, where the entries of \bar{Y} are given by $\bar{y}_{i,j,k} := \sum_{\Pi \in \text{RSKPath}(i,j,k)} \widehat{\text{wt}}(\Pi)$ with

$$\text{RSKPath}(i, j, k) := \text{NCPATH}_{\Gamma_{m,n}}(\{(1, 1), \dots, (1, k)\}, \{(i, j - k + 1), \dots, (i, j)\}).$$

If this sum is empty (which happens when any of i, j , or k is zero) we treat it as 1. From the array \bar{Y} we define a normalized array $\tilde{Y} = (\tilde{y}_{i,j,k})$ whose indices run over the

same set as the indices of Y . For $(i, j) \in \Gamma_{m,n}$, let us define the *rectangular product at (i, j)* to be $\text{rect}(i, j) := \prod_{r \leq i, s \leq j} x_{rs}$. If $(i, j) \notin \Gamma_{m,n}$ we set $\text{rect}(i, j) := 1$. Then the entries of \tilde{Y} are given by $\tilde{y}_{i,j,k} := \bar{y}_{i,j,k} / \text{rect}(i, j)$. **Theorem 5.2** will show that \tilde{Y} satisfies the octahedron recurrence, but first let us spell out the exact connection with birational RSK.

Greene’s theorem says that if $\text{mis}_\sigma(k)$ is the maximal size of a union of k disjoint increasing subsequences in $\sigma \in S_n$, then $\text{mis}_\sigma(k) = \lambda_1 + \dots + \lambda_k$ where λ is the shape of the output of RSK applied to σ . Thus in order to obtain the λ_k from sizes of increasing subsequences in σ , we see $\lambda_k = \text{mis}_\sigma(k) - \text{mis}_\sigma(k - 1)$. In the birational setting, this means that to move from sums of weights of tuples of noncrossing paths back to RSK, we should take quotients of successive entries. So we define another three-dimensional array $Y_{i,j,k} = (y_{i,j,k})$ indexed by all $i, j, k \in \mathbb{Z}$ which satisfy $0 \leq k \leq \min(i, j) + 1$ and for which there exist $a, b \in \{0, 1, 2\}$ such that $(i + a, j + b) \in \Gamma_{m,n}$, with entries $y_{i,j,0} := 1$, $y_{i,j,\min(i,j)+1} := 1$, and $y_{i,j,k} := \bar{y}_{i,j,k} / \bar{y}_{i,j,k-1}$ for $0 < k < \min(i, j) + 1$. The map $X \mapsto Y$ could be called birational RSK. Alternatively, we might want RSK to map X to another $m \times n$ matrix. In that case, define $Z = (z_{ij})$ to be the $m \times n$ matrix with entries in $\mathbb{R}_{>0}$ as follows: for $(i, j) \in \Gamma_{m,n}$, let us set $l(i, j) := \min(m - i, n - j)$; then $z_{ij} := y_{i+l(i,j),j+l(i,j),l(i,j)+1}$. In other words, Z is obtained by flattening the outer border of Y . Then the map $X \mapsto Z$ is birational RSK as a map between matrices. Note that the array Y can also be computed as follows: the boundary conditions are given by $y_{i,j,0} = 1$ and $y_{i,j,\min(i,j)+1} = 1$, and for $1 \leq k \leq \min(i, j)$ we have the recursive formula

$$y_{ijk} = \frac{x_{ijk}(y_{i-1,j,k} + y_{i,j-1,k})}{y_{i-1,j-1,k-1} \left(\frac{1}{y_{i-1,j,k-1}} + \frac{1}{y_{i,j-1,k-1}} \right)}$$

where $x_{ijk} := x_{ij}$ if $k = 1$ and $x_{ijk} := 1$ otherwise. We will not prove this recursive formula as it is tangential to our aims, but at any rate it follows immediately from **Theorem 5.2** below. For those used to thinking about classical RSK in terms of insertion and bumping it may be rather unclear where this formula comes from. See [15] for an expository development of the tropicalized version of this formula for classical RSK motivated by certain important properties of RSK such as symmetry with respect to transposition.

Example 5.1. Suppose $X = (x_{ij})_{1 \leq i,j \leq 2}$. Observe that we use standard matrix notation with x_{11} in the upper-left corner. Then the three-dimensional arrays associated with X are

$$\bar{Y} = \begin{array}{|c|cc|cc|c|} \hline 1 & 1 & 1 & & & \\ \hline 1 & 1 & 1 & x_{11} & x_{11}x_{12} & \\ \hline 1 & 1 & 1 & x_{11}x_{21} & x_{11}x_{22}(x_{12} + x_{21}) & x_{11}x_{12}x_{21}x_{22} \\ \hline k = 0 & & & k = 1 & & k = 2 \\ \hline \end{array}$$

$$\tilde{Y} = \begin{array}{|c|c|c|} \hline 1 & 1 & 1 \\ \hline 1 & \frac{1}{x_{11}} & \frac{1}{x_{11}x_{12}} \\ \hline 1 & \frac{1}{x_{11}x_{21}} & \frac{1}{x_{11}x_{12}x_{21}x_{22}} \\ \hline & k=0 & \\ \hline \end{array} \quad \begin{array}{|c|c|} \hline 1 & 1 \\ \hline 1 & \frac{1}{x_{12}} + \frac{1}{x_{21}} \\ \hline & k=1 \\ \hline \end{array} \quad \begin{array}{|c|} \hline 1 \\ \hline & k=2 \\ \hline \end{array}$$

$$Y = \begin{array}{|c|c|c|c|} \hline 1 & 1 & 1 & 1 \\ \hline 1 & 1 & 1 & 1 \\ \hline 1 & 1 & 1 & 1 \\ \hline 1 & 1 & 1 & 1 \\ \hline & k=0 & & \\ \hline \end{array} \quad \begin{array}{|c|c|c|} \hline 1 & 1 & 1 \\ \hline 1 & x_{11} & x_{11}x_{12} \\ \hline 1 & x_{11}x_{21} & x_{11}x_{22}(x_{12} + x_{21}) \\ \hline & k=1 & \\ \hline \end{array} \quad \begin{array}{|c|c|} \hline 1 & 1 \\ \hline 1 & \frac{x_{12}x_{21}}{x_{12}+x_{21}} \\ \hline & k=2 \\ \hline \end{array} \quad \begin{array}{|c|} \hline 1 \\ \hline & k=3 \\ \hline \end{array}$$

Here the bottom-right corner of each level in each array is aligned. Also,

$$Z = \begin{pmatrix} \frac{x_{12}x_{21}}{x_{12}+x_{21}} & x_{11}x_{12} \\ x_{11}x_{21} & x_{11}x_{22}(x_{12} + x_{21}) \end{pmatrix}.$$

Theorem 5.2. *The three-dimensional array $\tilde{Y} = (\tilde{y}_{i,j,k})$ can be computed as follows: the boundary conditions are $\tilde{y}_{i,j,0} = 1/\text{rect}(i, j)$ and $\tilde{y}_{i,j,\min(i,j)} = 1$, and we have the recursive formula*

$$\tilde{y}_{i,j,k}\tilde{y}_{i-1,j-1,k-1} = \tilde{y}_{i-1,j,k}\tilde{y}_{i,j-1,k-1} + \tilde{y}_{i-1,j,k-1}\tilde{y}_{i,j-1,k}$$

for $1 \leq k \leq \min(i, j) - 1$. In other words, \tilde{Y} satisfies the (bounded) octahedron recurrence.

Proof. Of course $\bar{y}_{i,j,0} = 1$ and $\bar{y}_{i,j,\min(i,j)} = \text{rect}(i, j)$ are equivalent boundary conditions. We have $\bar{y}_{i,j,0} = 1$ by definition. We have $\bar{y}_{i,j,\min(i,j)} = \text{rect}(i, j)$ because there is a single tuple of paths in $\text{RSKPath}(i, j, \min(i, j))$ and it covers exactly those vertices in $\Gamma_{m,n}$ that are less than or equal to (i, j) .

Now let $1 \leq k \leq \min(i, j) - 1$. The key to proving the recursive condition is to show that

$$\bar{y}_{ijk}\bar{y}_{i-1,j-1,k-1} = (\bar{y}_{i-1,j,k}\bar{y}_{i,j-1,k-1} + \bar{y}_{i-1,j,k-1}\bar{y}_{i,j-1,k})x_{ij}. \tag{*}$$

For $k = 1$, we have $\bar{y}_{i-1,j-1,k-1} = \bar{y}_{i,j-1,k-1} = \bar{y}_{i-1,j,k-1} = 1$ and (*) follows from the fact that every path connecting $(1, 1)$ to (i, j) goes through exactly one of $(i - 1, j)$ or $(i, j - 1)$, and conversely any path to either $(i - 1, j)$ or $(i, j - 1)$ can be uniquely extended to a path to (i, j) . Assume $k \geq 2$. For $(i, j) \in \Gamma_{m,n}$, define the *increasing* and *decreasing triangular products* of length l at (i, j) as

$$\text{tri}^+(i, j, l) := \prod_{r=i}^{i+l-1} \prod_{s=j}^{j+i+l-r-1} x_{rs} \text{ and } \text{tri}^-(i, j, l) := \prod_{r=i-l+1}^i \prod_{s=j+i-l-r+1}^j x_{rs}.$$

The first equation makes sense for $1 \leq l \leq \min(m - i + 1, n - j + 1)$, and the second equation makes sense for $1 \leq l \leq \min(i, j)$. Consider the network $\Gamma_{i,j}^k$ with edge-weight

function ω the same as for $\Gamma_{m,n}$ above. Set $I, J := \{2, 4, \dots, 2k - 2\}$ and define the constant $\kappa := \text{tri}^+(1, 1, k - 2) \cdot \text{tri}^+(1, 1, k - 1)$. Then there is a bijection

$$\varphi: \text{PNCPath}_{\Gamma_{i,j}^k}(I, J) \rightarrow \text{RSKPath}(i - 1, j - 1, k - 1) \times \text{RSKPath}(i, j, k)$$

such that

$$\widehat{\text{wt}}(R, B) \cdot \kappa \cdot \text{tri}^-(i - 1, j - 1, k - 2) \cdot \text{tri}^-(i, j, k - 1) = \widehat{\text{wt}}(\varphi(R, B)).$$

Specifically, if $(R, B) = ((r_1, \dots, r_{k-1}), (b_1, \dots, b_k)) \in \text{PNCPath}_{\Gamma_{i,j}^k}(I, J)$ then we define $\varphi(R, B) := ((\pi_1, \dots, \pi_{k-1}), (\sigma_1, \dots, \sigma_k))$ where

$$\begin{aligned} \pi_s &:= \{(t, s)\}_{t=1}^{k-1-s} \cdot r_s \cdot \{(i - s + t, j - k + s)\}_{t=1}^{s-1} \\ \sigma_s &:= \{(t, s)\}_{t=1}^{k-s} \cdot b_s \cdot \{(i - s + t, j - k + s)\}_{t=2}^s. \end{aligned}$$

(Here \cdot denotes concatenation of sequences.) In other words, φ just extends the paths vertically to connect to the appropriate start and end points for paths which belong to $\text{RSKPath}(i - 1, j - 1, k - 1)$ and $\text{RSKPath}(i, j, k)$; there is a unique way to do this. Similarly, if we set $J' := \{1, 3, \dots, 2k - 3\}$ then there is a bijection

$$\varphi': \text{PNCPath}_{\Gamma_{i,j}^k}(I, J') \rightarrow \text{RSKPath}(i, j - 1, k - 1) \times \text{RSKPath}(i - 1, j, k)$$

such that

$$\frac{\widehat{\text{wt}}(R', B')}{x_{i,j-k+1}} \cdot \kappa \cdot \text{tri}^-(i, j - 1, k - 2) \cdot \text{tri}^-(i - 1, j, k - 1) = \widehat{\text{wt}}(\varphi'(R', B')).$$

Here for $(R', B') = ((r'_1, \dots, r'_{k-1}), (b'_1, \dots, b'_k)) \in \text{PNCPath}_{\Gamma_{i,j}^k}(I, J')$ we can define $\varphi'(R', B') := ((\pi'_1, \dots, \pi'_{k-1}), (\sigma'_1, \dots, \sigma'_k))$ where

$$\begin{aligned} \pi'_s &:= \{(t, s)\}_{t=1}^{k-1-s} \cdot r'_s \cdot \{(i - s + t, j - k + s)\}_{t=2}^s \\ \sigma'_s &:= \{(t, s)\}_{t=1}^{k-s} \cdot b'_s \cdot \{(i - s + t, j - k + s)\}_{t=1}^{s-1}. \end{aligned}$$

Again, φ' just extends paths vertically. And if we set $J'' := \{3, 5, \dots, 2k - 1\}$ then there is a bijection

$$\varphi'': \text{PNCPath}_{\Gamma_{i,j}^k}(I, J'') \rightarrow \text{RSKPath}(i - 1, j, k - 1) \times \text{RSKPath}(i, j - 1, k)$$

such that

$$\frac{\widehat{\text{wt}}(R'', B'')}{x_{i-k+1,j}} \cdot \kappa \cdot \text{tri}^-(i - 1, j, k - 2) \cdot \text{tri}^-(i, j - 1, k - 1) = \widehat{\text{wt}}(\varphi''(R'', B'')).$$

For $(R'', B'') = ((r''_1, \dots, r''_{k-1}), (b''_1, \dots, b''_k)) \in \text{PNCPPath}_{\Gamma_{i,j}^k}(I, J'')$ with $b''_1 = \{v_t\}_{t=0}^l$ we define $\varphi''(R'', B'') := ((\pi''_1, \dots, \pi''_{k-1}), (\sigma''_1, \dots, \sigma''_k))$ where

$$\begin{aligned} \pi_s &:= \{(t, s)\}_{t=1}^{k-1-s} \cdot r_s \cdot \{(i-s+t, j-k+s+1)\}_{t=1}^{s-1} \\ \sigma_s &:= \begin{cases} \{(t, 1)\}_{t=1}^{k-1} \cdot \{v_t\}_{t=0}^{l-1} & \text{if } s = 1 \\ \{(t, s)\}_{t=1}^{k-s} \cdot b_s \cdot \{(i-s+t, j-k+s-1)\}_{t=2}^s & \text{otherwise.} \end{cases} \end{aligned}$$

Now φ'' has to slide the end point of b_1 to the left, but the other paths it again just extends vertically. Corollary 3.4 tells us that

$$\begin{aligned} \sum_{(R,B) \in \text{PNCPPath}(I,J)} \widehat{\text{wt}}(R, B) &= \sum_{(R',B') \in \text{PNCPPath}(I,J')} \widehat{\text{wt}}(R', B') \\ &+ \sum_{(R'',B'') \in \text{PNCPPath}(I,J'')} \widehat{\text{wt}}(R'', B'') \end{aligned}$$

and together with

$$\begin{aligned} x_{ij} &= \frac{\text{tri}^-(i-1, j-1, k-2) \cdot \text{tri}^-(i, j, k-1) \cdot x_{i,j-k+1}}{\text{tri}^-(i-1, j, k-2) \cdot \text{tri}^-(i, j-1, k-1)} \\ &= \frac{\text{tri}^-(i-1, j-1, k-2) \cdot \text{tri}^-(i, j, k-1) \cdot x_{i-k+1,j}}{\text{tri}^-(i, j-1, k-2) \cdot \text{tri}^-(i-1, j, k-1)} \end{aligned}$$

we conclude that indeed Eq. (*) holds. To finish, we compute

$$\begin{aligned} \tilde{y}_{ijk} &= \frac{\bar{y}_{ijk}}{\text{rect}(i, j)} = \frac{x_{ij}(\bar{y}_{i-1,j,k}\bar{y}_{i,j-1,k-1} + \bar{y}_{i,j-1,k}\bar{y}_{i-1,j,k-1})}{\text{rect}(i, j) \cdot \bar{y}_{i-1,j-1,k-1}} \\ &= \frac{\text{rect}(i-1, j-1) \cdot (\bar{y}_{i-1,j,k}\bar{y}_{i,j-1,k-1} + \bar{y}_{i,j-1,k}\bar{y}_{i-1,j,k-1})}{\text{rect}(i-1, j) \cdot \text{rect}(i, j-1) \cdot \bar{y}_{i-1,j-1,k-1}} \\ &= \frac{\tilde{y}_{i-1,j,k}\tilde{y}_{i,j-1,k-1} + \tilde{y}_{i,j-1,k}\tilde{y}_{i-1,j,k-1}}{\tilde{y}_{i-1,j-1,k-1}}. \end{aligned}$$

Thus, \tilde{Y} satisfies the octahedron recurrence [31,14]. □

6. Schur functions and Schur positivity

In this section we apply our network path weight relations to the problem of finding identities for products of Schur functions. The identities we obtain are reminiscent of those obtained by Fulmek and Kleber [11], who also used path swapping. We then apply the identities to demonstrate Schur positivity for certain expressions involving products of Schur functions. Here we assume familiarity with partitions, Young tableaux, and the ring of symmetric functions. A reference is Stanley [32, §7] and we will generally follow Stanley’s notation. One notational remark is that we use c^r to denote the

rectangular partition with r rows of length c , and we also sometimes write expressions like $(c_1^{r_1}, c_2^{r_2}, c_3^{r_3})$ to denote the partition with r_1 rows equal to c_1 , r_2 rows equal to c_2 , and r_3 rows equal to c_3 , where $c_1 > c_2 > c_3$. For T a semistandard Young tableau (SSYT) let us define the monomial $x^T := \prod_{i=1}^{\infty} x_i^{m(i,T)}$ where $m(i,T)$ is the number of entries equal to i in T . Recall that the Schur function s_{λ} can be defined combinatorially by $s_{\lambda}(x) := \sum_T x^T$ where the sum is over all SSYTs T of shape λ . We will need various specializations of Schur functions to state our results. We define s_{λ}^X , where $X \subseteq \mathbb{Z}_{>0}$, to be $s_{\lambda}(x_1, x_2, \dots)$ with specializations $x_i = 0$ for $i \notin X$. Let us call an SSYT whose entries are among $[n]$ an n -tableau. Then it is clear from the combinatorial definition of Schur functions that $s_{\lambda}^{[n]} = \sum_T x^T$ where the sum is over all n -tableaux T of shape λ .

We now recall an equivalent definition of Schur functions in terms of nonintersecting paths. Already Gessel and Viennot [35] were aware of the connection between tableaux and nonintersecting lattice paths in \mathbb{Z}^2 . Let us make \mathbb{Z}^2 into a graph with horizontal edges $((i, j), (i - 1, j))$ and vertical edges $((i, j), (i, j - 1))$. We now use Cartesian coordinates for \mathbb{Z}^2 so $(-\infty, -\infty)$ will be in the bottom-left corner. Although \mathbb{Z}^2 is infinite, this is no problem for us as we will only ever use a finite portion of it. We set the edge-weight function ω of \mathbb{Z}^2 to be $\omega((i, j), (i - 1, j)) := x_j$ for horizontal edges and $\omega((i, j), (i, j - 1)) := 1$ for vertical edges. Let $\lambda = (\lambda_1, \dots, \lambda_k)$ be a partition. For $n \geq 1$ let

$$\text{SPath}(\lambda, n) := \text{NCPath}_{\mathbb{Z}^2}(\{(\lambda_{k+1-i} + i, n)\}_{i=1}^k, \{(i, 1)\}_{i=1}^k).$$

Then $s_{\lambda}^{[n]} = \sum_{\Pi \in \text{SPath}(\lambda, n)} \text{wt}(\Pi)$, which follows from a simple bijection between n -tableaux of shape λ and paths in $\text{SPath}(\lambda, n)$ (see [32, Theorem 7.16.1]). In fact, we obtain the following by translation:

Proposition 6.1. For $a, b, c \in \mathbb{Z}$ with $1 \leq a \leq b$ let

$$\text{SPath}^c(\lambda, a, b) := \text{NCPath}_{\mathbb{Z}^2}(\{(\lambda_{k+1-i} + i + c, b)\}_{i=1}^k, \{(i + c, a)\}_{i=1}^k).$$

Then $s_{\lambda}^{[a,b]} = \sum_{\Pi \in \text{SPath}^c(\lambda, a, b)} \text{wt}(\Pi)$.

Our main result in this section is the following identity of Schur functions:

Theorem 6.2. Let $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k)$ and $0 \leq t \leq k - 1$. Then

$$\begin{aligned} s_{\lambda} s_{(\lambda_1, \dots, \lambda_t, \lambda_{t+2}-1, \dots, \lambda_k-1)}^{[2, \infty]} &= s_{\lambda}^{[2, \infty]} s_{(\lambda_1, \dots, \lambda_t, \lambda_{t+2}-1, \dots, \lambda_k-1)} \\ &\quad + x_1 s_{(\lambda_1-1, \dots, \lambda_k-1)} s_{(\lambda_1+1, \dots, \lambda_{t+1}, \lambda_{t+2}, \dots, \lambda_k)}^{[2, \infty]}. \end{aligned}$$

Proof. In order to prove this identity we use an interlacing network G . Fix some $n \geq k$. For $i \in [k]$ define $v_i := (\lambda_{k+1-i} + i, n) \in \mathbb{Z}^2$. Define G to be the network whose underlying graph is the subgraph of \mathbb{Z}^2 with vertices in the rectangle between $(1, 1)$ and $(\lambda_1 + k, n)$ and with sources

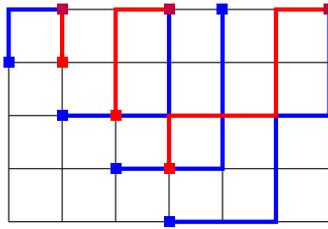


Fig. 3. For $\lambda = (3, 2, 2, 1)$, $t = 1$, and $n = 5$: the network G and an element of $\text{PNCPath}_G(\{2, 4, 6\}, \{2, 4, 6\})$.

$$S = (s_1, \dots, s_{2k-1}) := (v_1, v_1, v_2, v_2, \dots, v_{k-t}, \overline{v_{k-t}}, \dots, v_k, v_k)$$

(where the overline denotes omission) and sinks

$$T = (t_1, \dots, t_{2k-1}) := ((1, k), (2, k), (2, k - 1), (3, k - 1), \dots, (k, 2), (k, 1)).$$

To witness that G is interlacing we may take $N = \{s_1, s_3, \dots, s_{2k-1}\}$ as a k -bottleneck and $N_T = \{t_2, t_4, \dots, t_{2k-2}\}$ as a $(k - 1)$ -sink bottleneck. Fig. 3 illustrates G together with an element of $\text{PNCPath}(G)$ for some specific parameters λ , t and n .

To simplify notation, set

$$\begin{aligned} \mu &:= (\lambda_1, \dots, \lambda_t, \lambda_{t+2} - 1, \dots, \lambda_k - 1) \\ \nu &:= (\lambda_1 + 1, \dots, \lambda_t + 1, \lambda_{t+2}, \dots, \lambda_k) \\ \rho &:= (\lambda_1 - 1, \dots, \lambda_k - 1). \end{aligned}$$

Let $I, J := \{2, 4, \dots, 2k - 2\}$ and $J' := [1, 2k - 2] \setminus J$ and $J'' := [2, 2k - 1] \setminus J$. Then there are bijections

$$\begin{aligned} \varphi: \text{PNCPath}_G(I, J) &\rightarrow \text{SPath}^1(\mu, 2, n) \times \text{SPath}^0(\lambda, 1, n) \\ \varphi': \text{PNCPath}_G(I, J') &\rightarrow \text{SPath}^0(\nu, 2, n) \times \text{SPath}^1(\rho, 1, n) \\ \varphi'': \text{PNCPath}_G(I, J'') &\rightarrow \text{SPath}^0(\mu, 1, n) \times \text{SPath}^0(\lambda, 2, n) \end{aligned}$$

such that

$$\begin{aligned} \text{wt}(R, B) &= \text{wt}(\varphi(R, B)) \\ \text{wt}(R', B') &= x_1 \cdot \text{wt}(\varphi'(R', B')) \\ \text{wt}(R'', B'') &= \text{wt}(\varphi''(R'', B'')) \end{aligned}$$

for all appropriate $(R, B), (R', B'), (R'', B'') \in \text{PNCPath}(G)$. These bijections have a very similar description to those in the proof of Theorem 5.2: the maps φ and φ'' merely extend the paths vertically to reach the necessary start and end points; φ' also just

extends paths vertically, except for b'_{2k-1} (the rightmost blue path) which it moves to the right, thus accounting for the factor of x_1 . [Corollary 3.4](#) tells us that

$$\begin{aligned} \sum_{(R,B) \in \text{PNCPPath}(I,J)} \widehat{\text{wt}}(R,B) &= \sum_{(R',B') \in \text{PNCPPath}(I,J')} \widehat{\text{wt}}(R',B') \\ &+ \sum_{(R'',B'') \in \text{PNCPPath}(I,J'')} \widehat{\text{wt}}(R'',B'') \end{aligned}$$

and together with [Proposition 6.1](#) we conclude $s_\mu^{[2,n]} s_\lambda^{[n]} = x_1 s_\nu^{[2,n]} s_\rho^{[n]} + s_\mu^{[n]} s_\lambda^{[2,n]}$. Taking the limit $n \rightarrow \infty$ gives us the result. \square

By taking $t = k - 1$ in [Theorem 6.2](#), we get the following corollaries.

Corollary 6.3. For $\lambda = (\lambda_1, \dots, \lambda_k)$,

$$s_\lambda s_{(\lambda_1, \dots, \lambda_{k-1})}^{[2,\infty]} = s_\lambda^{[2,\infty]} s_{(\lambda_1, \dots, \lambda_{k-1})} + x_1 s_{(\lambda_1-1, \dots, \lambda_{k-1})} s_{(\lambda_1+1, \dots, \lambda_{k-1}+1)}^{[2,\infty]}.$$

Corollary 6.4. For any $c, r \geq 1$, $s_{c^r} s_{c^{r-1}}^{[2,\infty]} = s_{c^{r-1}} s_{c^r}^{[2,\infty]} + x_1 s_{(c-1)^r} s_{(c+1)^{r-1}}^{[2,\infty]}.$

Compare [Corollary 6.4](#) to the following result of Kirillov [\[16\]](#):

Theorem 6.5 (Kirillov). For any $c, r \geq 1$, $(s_{c^r})^2 = s_{c^{r-1}} s_{c^{r+1}} + s_{(c-1)^r} s_{(c+1)^r}.$

Fulmek and Kleber [\[11\]](#) give a bijective proof of this identity; indeed, they prove a more general identity, which we state below. Their proof also goes through a certain algorithm that swaps pairs of tuples of nonintersecting paths. In fact, their notion of *changing tail* is quite similar to the path visiting the vertices $v_0, w_1, v_1, \dots, v_c, w_c$ we build as a part of the algorithm defining τ in [Section 3](#). However, there are significant differences: for one, their networks are not interlacing (and so they never use bottlenecks); also, their procedure changes the size of each tuple, whereas ours does not. The result is that our identities oddly involve Schur functions in different sets of variables.

We now explain how these three-term Schur function identities, those due to Kirillov, Fulmek–Kleber, and our own, lead to some results about Schur positivity. Recall that we say that a symmetric function is *Schur positive* if it has all nonnegative coefficients in the basis of Schur functions. For two symmetric functions f and g , we write $f \geq_s g$ if the difference $f - g$ is Schur positive. There has been some interest in understanding when we have $s_\nu s_\rho \geq_s s_\lambda s_\mu$ for partitions ν, ρ, λ, μ . If we let $c_{\lambda, \mu}^\alpha$ be the Littlewood–Richardson coefficients given by $s_\lambda s_\mu = \sum_\alpha c_{\lambda, \mu}^\alpha s_\alpha$, this question is equivalent to the question of when we have $c_{\nu, \rho}^\alpha \geq c_{\lambda, \mu}^\alpha$ for all α . Research on this problem has focused on the case where the partitions ν and ρ are thought of as “functions” of λ and μ as in [\[9,19,1,34\]](#) (see also related works [\[28,29,27\]](#) for q -analogues of this problem). We will now state a Schur positivity conjecture of this form. This conjecture was communicated to us privately by

Alex Postnikov, who discovered it in collaboration with Pavlo Pylyavskyy and Thomas Lam (see also the papers [8,2] which investigate this conjecture).

Conjecture 6.6 (Lam–Postnikov–Pylyavskyy). Let $\nu = (\nu_1, \dots, \nu_n)$ be a partition. For all $\sigma \in \mathfrak{S}_n$, $i \in [n]$, and $\pm \in \{+, -\}$, define $\nu^\pm(\sigma, i) = (\nu^\pm(\sigma, i)_1, \dots, \nu^\pm(\sigma, i)_n)$ by

$$\nu^\pm(\sigma, i)_j := \begin{cases} \nu_j \pm 1 & \text{if } \sigma^{-1}(j) \in [i] \\ \nu_j & \text{otherwise.} \end{cases}$$

Let $\lambda = (\lambda_1, \dots, \lambda_n)$ and $\mu = (\mu_1, \dots, \mu_n)$ be two partitions and let their difference vector be $\delta = (\delta_1, \dots, \delta_n) := (\lambda_1 - \mu_1, \dots, \lambda_n - \mu_n)$. Let $\sigma \in \mathfrak{S}_n$ be the unique permutation so that $\delta_{\sigma(1)} \geq \dots \geq \delta_{\sigma(n)}$ and $\delta_{\sigma(i)} = \delta_{\sigma(j)}$ for $i < j$ implies that $\sigma(i) < \sigma(j)$. Set $\mathcal{D} := \{i \in [n] : \delta_{\sigma(i)} > 0 \text{ and } (i = n \text{ or } \delta_{\sigma(i)} > \delta_{\sigma(i+1)})\}$. Then for all $i \in \mathcal{D}$ we have that $s_{\lambda^-(\sigma, i)} s_{\mu^+(\sigma, i)} \geq_s s_\lambda s_\mu$.

That $\lambda^-(\sigma, i)$ and $\mu^+(\sigma, i)$ remain partitions for all $i \in \mathcal{D}$ in Conjecture 6.6 just requires checking some cases. The three-term Schur function identities we have been studying in this section resolve some special cases of this conjecture. For instance, by applying $\frac{\partial}{\partial x_1}$ to both sides and setting $x_1 = 0$ in Theorem 6.2, we get the following identity of Schur functions that all use the same set of variables, albeit involving skew Schur functions.

Corollary 6.7. For $\lambda = (\lambda_1, \dots, \lambda_k)$ and $0 \leq t \leq k - 1$,

$$s_{\lambda/1} s_{(\lambda_1, \dots, \lambda_t, \lambda_{t+2-1}, \dots, \lambda_{k-1})} = s_{(\lambda_1, \dots, \lambda_t, \lambda_{t+2-1}, \dots, \lambda_{k-1})/1} s_\lambda + s_{(\lambda_1-1, \dots, \lambda_{k-1})} s_{(\lambda_1+1, \dots, \lambda_t+1, \dots, \lambda_k)}.$$

Here $\nu/1$ denotes the skew shape of ν minus its top-leftmost box.

But we can in fact obtain the following Schur positivity result that concerns only regular Schur functions.

Proposition 6.8. Let $c, r \geq 1$ and $0 \leq t \leq r - 1$. Then

$$s_{(c^{r-1}, c-1)} s_{(c^t, (c-1)^{r-t-1})} - s_{(c-1)^r} s_{((c+1)^t, c^{r-t-1})}$$

is Schur positive.

This proposition is a special case of Conjecture 6.6. In order to see why, we can take $\lambda = ((c+1)^t, c^{r-t-1})$ and $\mu = (c-1)^r$. Then $\delta = (2^t, 1^{r-t-1}, -(c-1))$ and so σ is the identity permutation. Note $\lambda^-(\sigma, r-1) = (c^t, (c-1)^{r-t-1})$ and $\mu^+(\sigma, r-1) = (c^{r-1}, c-1)$. Since $r - 1 \in \mathcal{D}$, the conjecture says we should have $s_{\lambda^-(\sigma, r-1)} s_{\mu^+(\sigma, r-1)} \geq_s s_\lambda s_\mu$, which is exactly what Proposition 6.8 asserts.

Proof of Proposition 6.8. Applying [Corollary 6.7](#) to the case in which λ is the rectangular partition c^r , and using the skew version of Pieri’s rule [[32, Corollary 7.5.19](#)] lead us to the following three cases:

1. If $1 \leq t \leq r - 2$ then $s_{(c^{r-1}, c-1)} s_{(c^t, (c-1)^{r-t-1})}$ is equal to

$$\left[s_{(c^{t-1}, (c-1)^{r-t})} + s_{(c^t, (c-1)^{r-t-2}, c-2)} \right] s_{c^r} + s_{(c-1)^r} s_{((c+1)^t, c^{r-t-1})}.$$

2. If $t = 0$ then $s_{(c^{r-1}, c-1)} s_{(c-1)^{r-1}} = s_{((c-1)^{r-2}, c-2)} s_{c^r} + s_{(c-1)^r} s_{c^{r-1}}$.
3. If $t = r - 1$ then $s_{(c^{r-1}, c-1)} s_{c^{r-1}} = s_{(c^{r-2}, c-1)} s_{c^r} + s_{(c-1)^r} s_{(c+1)^{r-1}}$.

Thus, because products of Schur functions are Schur positive (in other words, because Littlewood–Richardson coefficients are nonnegative) we are done. \square

Another example of a special case of [Conjecture 6.6](#) is obtained from the following identity of Fulmek and Kleber [[11](#)] (which as we mentioned earlier implies [Theorem 6.5](#)).

Theorem 6.9 (Fulmek and Kleber). *Let $\nu = (\nu_1, \nu_2, \dots, \nu_{k+1})$ be a partition with $k \geq 1$. Then $s_{(\nu_1, \dots, \nu_k)} s_{(\nu_2, \dots, \nu_{k+1})} = s_{(\nu_2, \dots, \nu_k)} s_{(\nu_1, \dots, \nu_{k+1})} + s_{(\nu_2-1, \dots, \nu_{k+1}-1)} s_{(\nu_1+1, \dots, \nu_k+1)}$.*

Setting $\lambda = (\nu_1 + 1, \dots, \nu_k + 1)$ and $\mu = (\nu_2 - 1, \dots, \nu_{k+1} - 1)$ in [Conjecture 6.6](#), we get that all the elements of δ are positive. Let σ be as in the conjecture. Then we see $\lambda^-(\sigma, k) = (\nu_1, \dots, \nu_k)$ and $\mu^+(\sigma, k) = (\nu_2, \dots, \nu_{r+1})$. Since $k \in \mathcal{D}$, the conjecture says we should have $s_{\lambda^-(\sigma, k)} s_{\mu^+(\sigma, k)} \geq_s s_{\lambda} s_{\mu}$, which indeed follows from [Theorem 6.9](#).

Because the involution τ of [Section 3](#) makes sense not just for interlacing networks, but also more generally for k -bottlenecked networks, it can actually be applied in a different way to obtain another result about Schur positivity. In fact, τ leads to the proof of a different special case of [Conjecture 6.6](#). First we prove another (multi-term) Schur function identity. The following identity appeared earlier in [[13](#)] ([Proposition 3.1](#) and [Corollary 3.2](#)). It is also a consequence of [Lemma 16](#) in [[11](#)]. Our proof is independent of the above and uses the properties of our involution τ .

Theorem 6.10. *Let $\lambda = (\lambda_1, \dots, \lambda_k)$ and $\mu = (\mu_1, \dots, \mu_{k-1})$ be partitions that interlace in the sense that $\lambda_i \geq \mu_i \geq \lambda_{i+1}$ for $i \in [k - 1]$. For $1 \leq i \leq k$, define $\lambda^i = (\lambda_1^i, \dots, \lambda_k^i)$ and $\mu^i = (\mu_1^i, \dots, \mu_{k-1}^i)$ to be*

$$\lambda_j^i := \begin{cases} \mu_j - 1 & \text{if } j < i \\ \lambda_j & \text{if } j = i \\ \mu_{j-1} & \text{if } j > i \end{cases} \quad \text{and} \quad \mu_j^i := \begin{cases} \lambda_j + 1 & \text{if } j < i \\ \lambda_{j+1} & \text{if } j \geq i. \end{cases}$$

Then we have $s_{\lambda} s_{\mu} = \sum_{i=1}^k s_{\lambda^i} s_{\mu^i}$ where s_{ν} is taken to be 0 if ν is not a partition.

Proof. In order to prove this identity we use a k -bottlenecked network G . Fix $n \geq k$. For $i \in [k]$ define $v_i := (\lambda_{k+1-i} + i, n) \in \mathbb{Z}^2$ and for $i \in [k-1]$ define $u_i := (\mu_{k-i} + i, n)$. Define G to be the network whose underlying graph is the subgraph of \mathbb{Z}^2 with vertices in the rectangle between $(1, 1)$ and $(\lambda_1 + k, n)$ and with sources and sinks

$$S = (s_1, \dots, s_{2k-1}) := (v_1, u_1, v_2, u_2, \dots, v_{k-1}, u_{k-1}, v_k)$$

$$T = (t_1, \dots, t_{2k-1}) := ((1, 1), (1, 1), (2, 1), (2, 1), \dots, (k-1, 1), (k-1, 1), (k-1, 1)).$$

To witness that G is k -bottlenecked we may take $N = \{t_1, t_3, \dots, t_{2k-1}\}$.

Let $I, J := \{2, 4, \dots, 2k-2\}$ and define $I^i := [2k-1] \setminus (\{2i-1\} \cup I)$ for all $i \in [k]$. Then we have

$$\text{PNCP}_{\text{Path}_G}(I, J) = \text{SP}_{\text{Path}}(\mu, n) \times \text{SP}_{\text{Path}}(\lambda, n)$$

$$\text{PNCP}_{\text{Path}_G}(I^i, J) = \text{SP}_{\text{Path}}(\mu^i, n) \times \text{SP}_{\text{Path}}(\lambda^i, n)$$

for all $i \in [k]$. Also, Remark 3.17 tells us that $\text{wt}(I, J) = \sum_{i=1}^k \text{wt}(I^i, J)$. So we conclude that $s_\lambda^{[n]} s_\mu^{[n]} = \sum_{i=1}^k s_{\lambda^i}^{[n]} s_{\mu^i}^{[n]}$. Taking $n \rightarrow \infty$ gives us the result. \square

Note that Theorem 6.10 implies Theorem 6.9 by taking $\lambda = (\nu_1, \dots, \nu_k, 0)$ and $\mu = (\nu_2, \dots, \nu_{k+1})$ (most terms are 0). Similarly, taking $\lambda = (\nu_1, \dots, \nu_k)$ and $\mu = (\nu_1, \dots, \nu_{t-1}, \nu_{t+1}, \dots, \nu_k)$ in Theorem 6.10 for some $1 \leq t \leq k$ yields the following.

Corollary 6.11. *Let $\nu = (\nu_1, \dots, \nu_k)$ be a partition and let $1 \leq t \leq k$. Then*

$$s_\nu s_{(\nu_1, \dots, \nu_{t-1}, \nu_{t+1}, \dots, \nu_k)} - s_{(\nu_1-1, \dots, \nu_{t-1}-1, \nu_t, \dots, \nu_k)} s_{(\nu_1+1, \dots, \nu_{t-1}+1, \nu_{t+1}, \dots, \nu_k)}$$

is Schur positive.

Again, Corollary 6.11 is a special case of Conjecture 6.6. To see why, we can take $\lambda = (\nu_1 + 1, \dots, \nu_{t-1} + 1, \nu_{t+1}, \dots, \nu_k)$ and $\mu = (\nu_1 - 1, \dots, \nu_{t-1} - 1, \nu_t, \dots, \nu_k)$. Let σ be as in that conjecture. Note that $\delta_i = 2$ for $i \leq t-1$ and $\delta_i < 0$ for $i \geq t$, so $\sigma(i) = i$ for $i \leq t-1$ and $t-1 \in \mathcal{D}$. Then the conjecture predicts $s_{\lambda^-}(\sigma, t-1) s_{\mu^+}(\sigma, t-1) \geq_s s_\lambda s_\mu$. But $\lambda^- (\sigma, t-1) = (\nu_1, \dots, \nu_{t-1}, \nu_{t+1}, \dots, \nu_k)$ and $\mu^+ (\sigma, t-1) = \nu$ so Corollary 6.11 indeed verifies this Schur inequality.

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