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Tilings of hexagons with a removed triad of
bowties [☆]



Mihai Ciucu ^{a,*}, Tri Lai ^b, Ranjan Rohatgi ^c

^a Department of Mathematics, Indiana University, Bloomington, IN 47401, USA

^b Department of Mathematics, University of Nebraska – Lincoln, Lincoln, NE 68588, USA

^c Department of Mathematics and Computer Science, Saint Mary's College, Notre Dame, IN 46556, USA

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ABSTRACT

In this paper we consider arbitrary hexagons on the triangular lattice with three arbitrary bowtie-shaped holes, whose centers form an equilateral triangle. The number of lozenge tilings of such general regions is not expected — and indeed is not — given by a simple product formula. However, when considering a certain natural normalized counterpart \bar{R} of any such region R , we prove that the ratio between the number of tilings of R and the number of tilings of \bar{R} is given by a simple, conceptual product formula. Several seemingly unrelated previous results from the literature — including Lai's formula for hexagons with three dents and Ciucu and Krattenthaler's formula for hexagons with a removed shamrock — follow as immediate special cases of our result.

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* Corresponding author.

E-mail address: mciucu@indiana.edu (M. Ciucu).

1. Introduction

MacMahon's classical formula [17] stating that the number of plane partitions that fit in an $x \times y \times z$ box is equal to

$$P(x, y, z) = \prod_{i=1}^x \prod_{j=1}^y \prod_{k=1}^z \frac{i+j+k-1}{i+j+k-2} \quad (1.1)$$

has served as motivation and source of inspiration for a considerable amount of work in enumerative combinatorics for the past three decades. Following David and Tomei's [10] elegant observation that such boxed plane partitions are in one-to-one correspondence with lozenge tilings of a hexagon of sides x, y, z, x, y, z (in cyclic order) on the triangular lattice, a lot of this research has been phrased in terms of lozenge tilings.

Generalizations of MacMahon's formula include [2][4][3][18][5][6][13][16][7][14][15][1][9].

In this paper we consider a family of regions which generalizes several of the regions involved in the above mentioned previous work in the literature. We call our regions *triad hexagons* — arbitrary hexagons on the triangular lattice with three bowtie-shaped holes arranged in a triad, so that the nodes of the bowties form a lattice triangle.

The main result of this paper is not a product formula for the number of lozenge tilings of a single such triad hexagon (a simple product formula does not seem to exist in general). Instead, we define a natural equivalence relation on the set of triad hexagons based on an operation we call *bowtie squeezing*, and we prove that the ratio of the number of tilings of any two regions in the same equivalence class is given by a simple, conceptual product formula.

Several of the mentioned results from the literature, including Lai's formula [13] for the number of lozenge tilings of hexagons with three dents, and Ciucu and Krattenthaler's formula [5] concerning hexagons with a removed shamrock, follow as immediate special cases of our result. Given the simple form of our current formula, this point of view helps to understand conceptually the original formulas, which were less structured and more complicated.

2. Statement of main results

A *bowtie* is a union of two oppositely oriented, not necessarily congruent lattice triangles (called lobes) sharing a vertex, called the *node*; a bowtie with down-pointing lobe of side-length a and up-pointing lobe of side-length a' is said to have type (a, a') , and is referred to as an (a, a') -bowtie. Three bowties form a *triad* (or are in a *triad formation*) if their nodes form a lattice triangle housing at each of its three angles one bowtie lobe (see the top left picture in Fig. 1 for an example).

Suppose we remove a triad of bowties, say of types (a, a') , (b, b') and (c, c') (counterclockwise from top), from a hexagonal region. It is not hard to see that, provided the resulting region has the same number of up- and down-pointing unit triangles (a

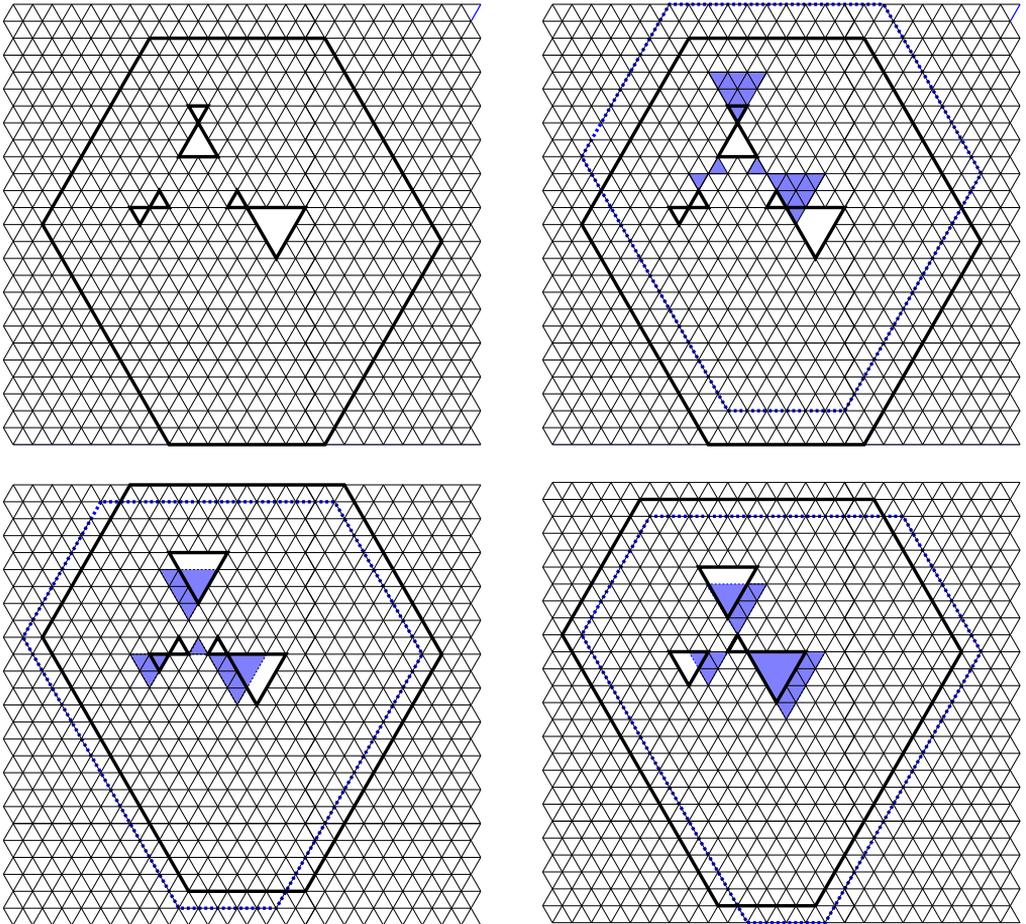


Fig. 1. A triad hexagon R with $(x, y, z) = (4, 8, 7)$, $(a, b, c) = (1, 1, 3)$ and $(a', b', c') = (2, 1, 1)$ (top left); squeezing out the top bowtie (top right); squeezing out the left bowtie (bottom left); squeezing out the right bowtie — the region \bar{R} (bottom right).

necessary condition for tileability by lozenges¹), the side-lengths of the hexagon must be of the form $x + a + b + c$, $y + a' + b' + c'$, $z + a + b + c$, $x + a' + b' + c'$, $y + a + b + c$, $z + a' + b' + c'$ (clockwise from top), with x, y, z non-negative integers.

Indeed, take a lozenge tiling of our region, and consider in it the $a + b + c$ paths of lozenges that start upward along the horizontal edges of the down-pointing lobes (see the picture on the left in Fig. 2). These must end somewhere along the top side of the hexagon; if the number of unit segments on this side where no such path ends is x , then the top side has length $x + a + b + c$ ($x = 2$ in the picture on the left in Fig. 2). An analogous argument, involving the paths of lozenges starting downward from the horizontal edges of the up-pointing lobes, shows that the bottom side of the hexagon

¹ A lozenge is the union of two unit triangles sharing an edge.

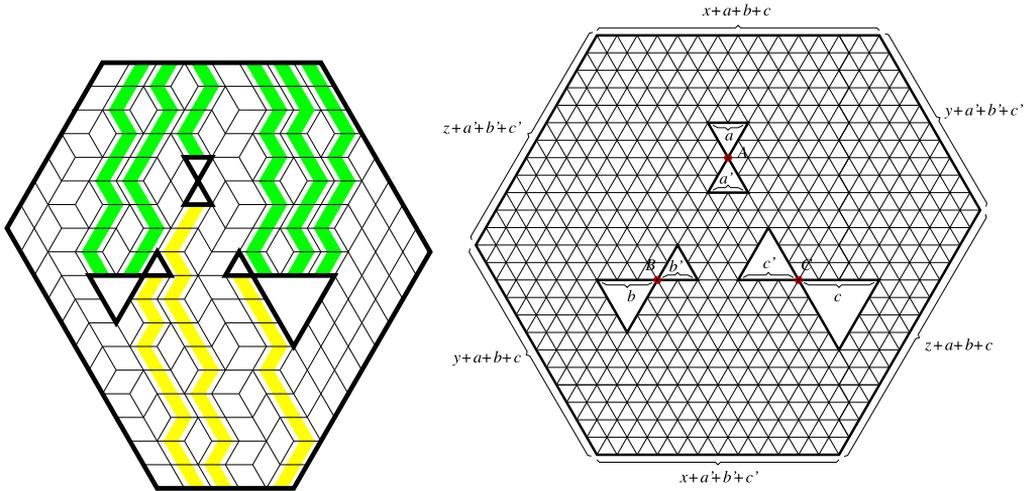


Fig. 2. Paths of lozenges in a tiling of a triad hexagon (left). The dimensions of the triad hexagon $R_{x,y,z}^{A,B,C}(a,b,c,a',b',c')$ (right).

has length $x' + a' + b' + c'$, where x' is some non-negative integer. Because the paths of lozenges that start at the bottom side and do not end at the lobes can only end at places on the top side not connected by paths of lozenges to the lobes, we must have $x' = x$. Repeating this argument for the other two pairs of opposite sides of the hexagon we obtain the claim in the previous paragraph.

If the nodes of the bowties in the triad are at points A, B and C (counterclockwise from top), we denote the resulting triad hexagon by $R_{x,y,z}^{A,B,C}(a,b,c,a',b',c')$.

We emphasize that the upper indices denote the geometrical position of the nodes, and not numbers. This hybrid notation between integer parameters and geometric positions is best suited for bringing out the conceptual form of our formulas.

For ease of future reference, we record below the definition of our triad hexagon regions.

Definition 1. The triad hexagon region $R_{x,y,z}^{A,B,C}(a,b,c,a',b',c')$ — where x, y, z, a, b, c, a', b' and c' are non-negative integers — is obtained as follows (see picture on the right in Fig. 2):

- consider the hexagon of side-lengths $x + a + b + c, y + a' + b' + c', z + a + b + c, x + a' + b' + c', y + a + b + c, z + a' + b' + c'$ (clockwise from top)
- consider bowties of types $(a, a'), (b, b')$ and (c, c') (counterclockwise from top) arranged in a triad formation (bowtie nodes form a lattice equilateral triangle)
- translate this triad formation of bowties so that it fits inside the hexagon, and the bowtie nodes are in positions A, B and C

We call the points A, B and C *focal points*, and the segments AB, AC and BC *focal edges*. The distance $|AB| = |AC| = |BC|$ (measured in unit triangle side lengths) is called the *focal distance* of the triad hexagon, and we denote it by f . Note that if

$R_{x,y,z}^{A,B,C}(a, b, c, a', b', c')$ can be tiled by lozenges, we must necessarily have $f \geq a' + b' + c'$. One can see this for instance by considering a tiling and following the paths of lozenges that start along the horizontal side of the lobe of size a' of the top bowtie (see Fig. 2): These a' paths must fit through the gap determined by the bottom two bowties, which has size $f - b' - c'$, so $a' \leq f - b' - c'$, proving our claim.

Therefore, throughout this paper we will assume that the focal distance f of our triad hexagons satisfies $f \geq a' + b' + c'$.

We now define the operation of *bowtie squeezing*, which turns a given triad hexagon into another triad hexagon, as follows. Given a triad hexagon R , the triad hexagon obtained from R by *squeezing out the (a, a') -bowtie d units*, where $d \leq a'$, is the region obtained from R by

- (i) keeping the node A fixed and replacing the (a, a') -bowtie with an $(a + d, a' - d)$ -bowtie
- (ii) translating the (b, b') - and (c, c') -bowties d units (measured in unit triangle sides) in the \overrightarrow{BA} and \overrightarrow{CA} directions, respectively
- (iii) pushing out d units (measured in lattice spacings) the top three sides of the hexagon, and pulling in d units the bottom three sides of the hexagon (relative to the fixed node A).

The top right picture in Fig. 1 illustrates the operation of squeezing out the top bowtie two units. The resulting triad hexagon has the outer boundary indicated by the thick dotted line, and its removed bowties are shaded (the inner lobe of the resulting top bowtie is empty, as that lobe was completely squeezed out).

The operation of squeezing out the other two bowties is defined by symmetry. The inverse of the described operation is called *squeezing in the (a, a') -bowtie d units*; it is defined for $d \leq a$.

Note that the difference between the focal length and the sum of the sizes of the inner lobes is invariant under bowtie squeezing: both decrease (resp. increase) by d units when a bowtie is squeezed out (resp., squeezed in) d units. This implies in particular that, since the bowties in R have disjoint interiors, so do the bowties in any triad hexagon obtained from R by a sequence of bowtie squeezings.

One special triad hexagon we get from R is the one obtained by squeezing out completely all three bowties. Fig. 1 shows an example (the top right, bottom left and bottom right pictures illustrate the operation of squeezing out successively the top, left and right bowtie, respectively). We denote the resulting region, in which all three inner lobes have shrunk to zero, by \bar{R} .

Two triad hexagons are said to be equivalent if one can be obtained from the other by a sequence of bowtie squeezing operations. This is obviously an equivalence relation on the set of triad hexagons.

Our main result is a simple product formula for the ratio of the number of tilings of any two triad hexagons in the same equivalence class. To state it, we need to define the *weight* of a triad and the *couple* of a focal point and of a focal edge.

Recall that the hyperfactorial $H(n)$ is defined by $H(0) := 1$ and

$$H(n) := 0! 1! \cdots (n - 1)!, \quad n \geq 1. \tag{2.1}$$

For a triad of bowties of types (a, a') , (b, b') , (c, c') and focal distance f , we define its *weight* w by

$$w := \frac{H(f)^4 H(a) H(b) H(c) H(a') H(b') H(c')}{H(f + a) H(f + b) H(f + c) H(f - a') H(f - b') H(f - c')}. \tag{2.2}$$

For a triad hexagon $R = R_{x,y,z}^{A,B,C}(a, b, c, a', b', c')$, we define the weight $w^{(R)}$ to be equal to the quantity w given by (2.2). Note that $w^{(R)}$ depends only on the triad of bowties, and not on the position of the triad inside the hexagon.

We also define the *couples of the focal points* A, B and C , by

$$k_A^{(R)} := H(d(A, N)) H(d(A, S)) \tag{2.3}$$

$$k_B^{(R)} := H(d(B, NE)) H(d(B, SW)) \tag{2.4}$$

$$k_C^{(R)} := H(d(C, NW)) H(d(C, SE)), \tag{2.5}$$

where $d(A, N)$ denotes the distance between A and the northern side of the outer boundary of R (expressed in lattice spacings), $d(B, NE)$ is the distance between B and the northeastern boundary, and so on.

Similarly, the *couples of the focal segments* BC, AC and AB are defined by

$$k_{BC}^{(R)} := H(d(BC, N)) H(d(BC, S)) \tag{2.6}$$

$$k_{AC}^{(R)} := H(d(AC, NE)) H(d(AC, SW)) \tag{2.7}$$

$$k_{AB}^{(R)} := H(d(AB, NW)) H(d(AB, SE)). \tag{2.8}$$

It will be helpful in the formulation and proof of our main result to characterize the triad hexagons that are tileable (i.e., admit at least one lozenge tiling). To this end, define the *S-depth*, *NE-depth* and *NW-depth*, of a triad hexagon to be equal to

$$d(BC, S) - b - c$$

$$d(AC, NE) - a - c$$

$$d(AB, NW) - a - b,$$

respectively. Then we have the following characterization.

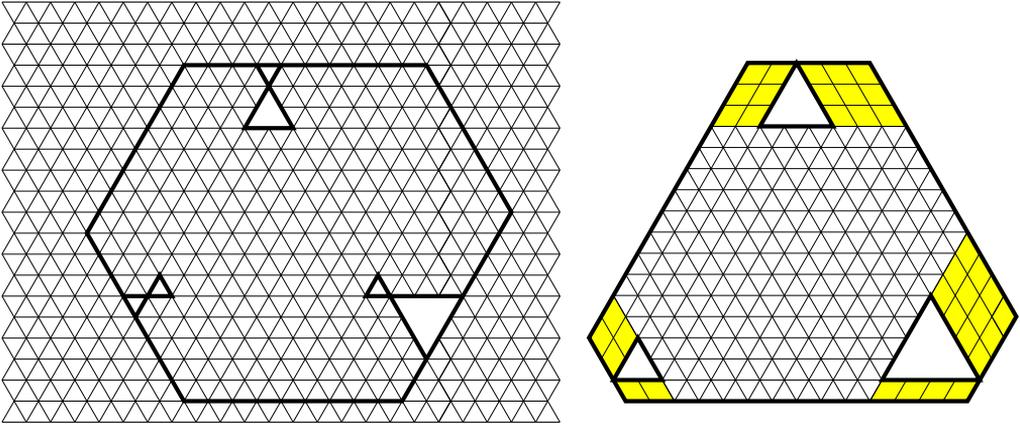


Fig. 3. A triad hexagon R with the bowties touching the edges (left); the corresponding region \bar{R} with all bowties completely squeezed in (right).

- Lemma 1.** (a). A triad hexagon is tileable if and only if its three depths are non-negative.
 (b). The depths of a triad hexagon are invariant under bowtie squeezing.
 (c). If a triad hexagon is tileable, any triad hexagon obtained from it by a sequence of bowtie squeezings is also tileable.

The proof of this lemma is presented at the end of the paper (see Section 11).

The main result of this paper is the following. Recall that for a graph G , $M(G)$ denotes the number of perfect matchings² of G . Given a region R on the triangular lattice, we denote by $M(R)$ the number of its lozenge tilings (as each of them can be viewed as a perfect matching of the planar dual graph of R).

Theorem 1. Let $R = R_{x,y,z}^{A,B,C}(a, b, c, a', b', c')$ be an arbitrary tileable triad hexagon, and let $Q = R_{x_1,y_1,z_1}^{A_1,B_1,C_1}(a_1, b_1, c_1, a'_1, b'_1, c'_1)$ be a triad hexagon obtained from R by a sequence of bowtie squeezings. Then we have

$$\frac{M(R)}{M(Q)} = \frac{w^{(R)} \frac{k_A^{(R)} k_B^{(R)} k_C^{(R)}}{k_{BC}^{(R)} k_{AC}^{(R)} k_{AB}^{(R)}}}{w^{(Q)} \frac{k_{A_1}^{(Q)} k_{B_1}^{(Q)} k_{C_1}^{(Q)}}{k_{B_1 C_1}^{(Q)} k_{A_1 C_1}^{(Q)} k_{A_1 B_1}^{(Q)}}}, \tag{2.9}$$

where the weights w and the couples k are defined by equations (2.2)–(2.8).

Remark 1. Consider the special case when R is a triad hexagon in which the bowties touch the northern, southwestern and southeastern sides of the boundary (see the left

² A perfect matching of a graph is a collection of edges with the property that each vertex is contained in precisely one edge.

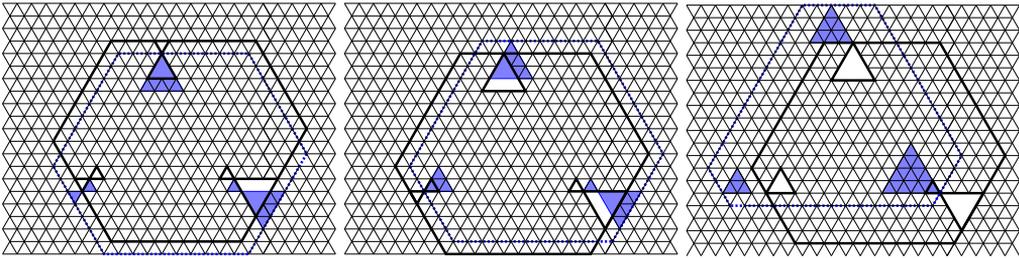


Fig. 4. Successive squeezing in of the three bowties in Fig. 3.

picture in Fig. 3 for an illustration). Let \bar{R} be the region obtained from R by completely squeezing in all three bowties. Our definition of the bowtie squeezing operation implies that \bar{R} is a hexagon with three triangular holes touching with one of their vertices alternate sides of the boundary (if R is the region on the left in Fig. 3, \bar{R} is pictured on the right in the same figure). The details of the construction are shown in Fig. 4. After removing from \bar{R} all the lozenges that are forced to be part of each of its tilings, the leftover region is a centrally symmetric hexagon, whose number of tilings is given by MacMahon’s formula (1.1). Therefore equation (2.9) yields a product formula for $M(R)$. This gives the following equivalent form of Lai’s earlier result [13].

Theorem 2. [13, Theorem 1.1] *Let $T = T_{x,y,z}^{A,B,C}(a, b, c, a', b', c')$ be the region obtained from the hexagon H of side-lengths $x + a + b + c, y + a' + b' + c', z + a + b + c, x + a' + b' + c', y + a + b + c, z + a' + b' + c'$ (clockwise from top) by removing bowties of types (a, a') , (b, b') and (c, c') from along the northern, southwestern and southeastern sides of H , so that their nodes A, B and C form a lattice equilateral triangle (see the picture on the left in Figure 3). Then*

$$M(T_{x,y,z}^{A,B,C}(a, b, c, a', b', c')) = P(x + a + a', y + b + b', z + c + c') \frac{w^{(T)} \frac{k_A^{(T)} k_B^{(T)} k_C^{(T)}}{k_{BC}^{(T)} k_{AC}^{(T)} k_{AB}^{(T)}}}{w^{(T_1)} \frac{k_{A_1}^{(T_1)} k_{B_1}^{(T_1)} k_{C_1}^{(T_1)}}{k_{B_1 C_1}^{(T_1)} k_{A_1 C_1}^{(T_1)} k_{A_1 B_1}^{(T_1)}}}, \tag{2.10}$$

where T_1 is the triad hexagon obtained from T by completely squeezing in the three bowties (see the picture on the right in Fig. 5), and A_1, B_1 and C_1 are its top, left and right focal points, respectively (recall that P is defined by (1.1), and the weights w and couples k by (2.2)–(2.8)).

Remark 2. Another interesting special case is when the bottom two bowties consist just of their outer lobes (i.e. their inner lobes are empty), and they touch the corners of the inner lobe of the top bowtie (see the left picture in Fig. 5 for an example). Let R be such a region, and let Q be the region obtained from R by completely squeezing out the top bowtie (if R is as pictured on the left in Fig. 5, the resulting region Q is illustrated

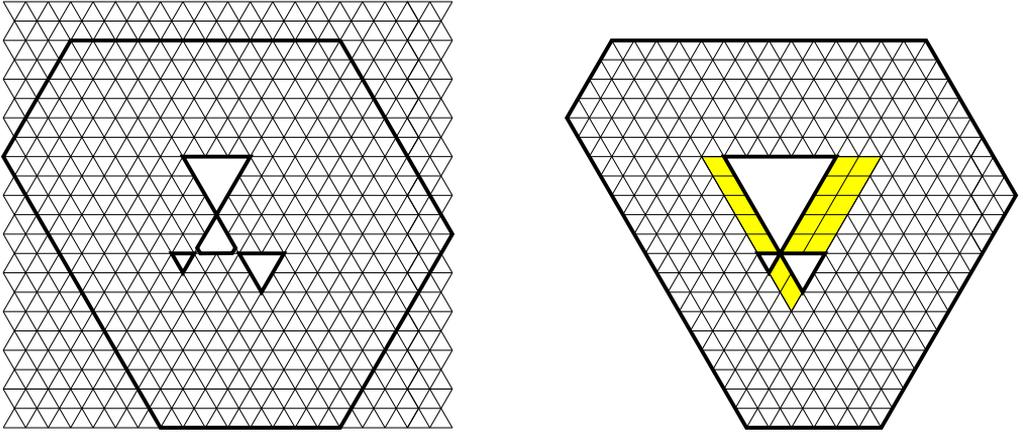


Fig. 5. A triad hexagon R with three bowties forming a shamrock (left); the corresponding region Q with the top bowtie completely squeezed out (right).

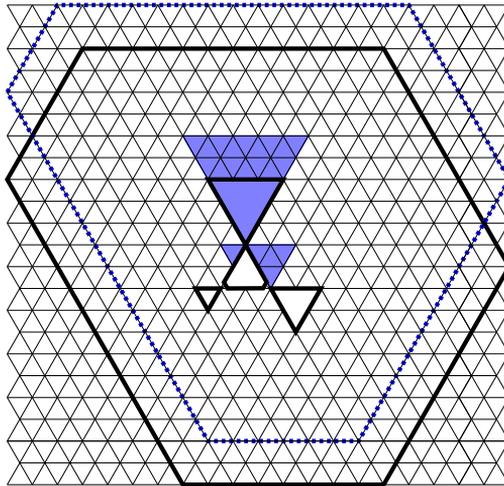


Fig. 6. Illustration of the bowtie squeezing that turns the left region into the right region in Fig. 5.

in the same figure on the right). Then the bowties in Q consist of single down-pointing lobes, sharing a common vertex. The shaded lozenges indicated in Fig. 5 are forced. Upon their removal, the leftover region is a hexagon with an equilateral triangle removed. If in addition this removed equilateral triangle is at the center of the hexagon (see [2] for the precise definition of what this central position means), then since equation (2.9) holds, and the lozenge tilings of Q (being a hexagon with an equilateral triangle removed from its center) are enumerated by Theorems 1 and 2 in [2], we obtain a simple product formula for $M(R)$. This yields Ciucu and Krattenthaler’s earlier result [5].

3. Outline of proof of Theorem 1

The proof idea is simple: First, notice that it is enough to prove Theorem 1 in the special case when $Q = \bar{R}$, the triad hexagon obtained from R by completely squeezing out all three inner lobes (see the discussion at the beginning of Section 6); then, prove this special case — in the equivalent form (9.3) — by showing that the two sides of (9.3) satisfy the same recurrence and the same initial conditions. The recurrence satisfied by the left hand side of (9.3) follows using Kuo’s graphical condensation method (see Theorem 5 in Section 5 and Fig. 21), yielding equation (9.4). Then one needs to verify that the right hand side of (9.3) satisfies the same recurrence (we do this in Section 10; the task is greatly facilitated by the conceptual form of our formula (2.9)), and that the two sides of (9.3) agree in their “initial values” (as our arguments are set up as a proof by induction, this leads to the base cases (1)-(3) listed in the third paragraph after (9.4)).

However, the details of carrying out this simple proof idea turn out to be not so simple. This is due in large part to the fact that we need to use equation (9.4) as a recurrence for expressing $M(R_{x,y,z}^{A,B,C}(a, b, c, a', b', c'))$, and that only works if its coefficient, $M(R_{x,y-1,z-1}^{A,B,C}(a, b, c, a', b', c'))$, is non-zero (this is why we needed the characterization of tileability of triad hexagon regions given in Lemma 1). Another reason is that the array of possibilities for how a triad hexagon looks — especially the way the triad of bowties is placed with respect to the vertices of the hexagon — is quite general.

Section 5 proves — using the same proof idea mentioned above — the special case when only one of the three bowties is non-empty; this case is manageable enough to be worked out conventionally. Sections 6 and 7 successively generalize this special case, and are enough to verify all but one of the base cases of our induction proof of Theorem 1. The remaining case is presented in Section 8. Section 4 recalls two known results that we use several times in our arguments. All these parts are put together in Section 9.

The proof of Lemma 1 is presented in Section 11.

4. Two known special cases

In this section we present formulas that give the number of lozenge tilings of two families of regions, both special cases of triad hexagons. We will use these formulas in our proof of Theorem 1. Both results are known from the literature. However, the form of the formulas is new — it is tailored to make our calculations in the proof of Theorem 1 easier.

The first family of regions, called *magnet bar regions*, was introduced in [5]. The picture on the left in Fig. 7 shows the magnet bar region $I_{x,y}(a, b, c, m)$.

Note that $I_{x,y}(a, b, c, m)$ is a special case of a triad hexagon, with the focal points A , B and C being the top, left and right vertices of the triangular dent of side m along the base, and bowties of types (c, m) , $(0, 0)$ and $(0, 0)$, respectively.

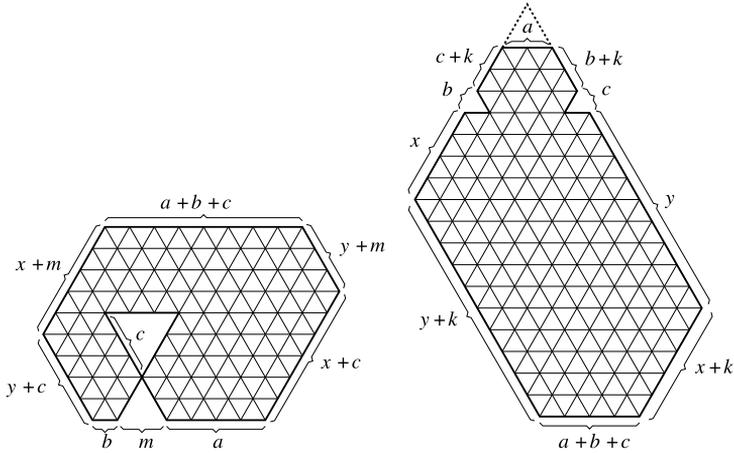


Fig. 7. The magnet bar region $I_{x,y}(a, b, c, m)$ for $a = 4, b = 1, c = 3, m = 2, x = 3$ and $y = 1$ (left) and the snowman region $S_{x,y}(a, b, c, k)$ for $a = 2, b = 1, c = 1, k = 1, x = 4$ and $y = 9$ (right).

The following result was proved in [5].³

Theorem 3. [5, Theorem 3.1] *For non-negative integers x, y, a, b, c, m , the number of lozenge tilings of the region $I = I_{x,y}(a, b, c, m)$ is given by*

$$M(I_{x,y}(a, b, c, m)) = w^{(I)} \frac{k_A^{(I)} k_B^{(I)} k_C^{(I)}}{k_{BC}^{(I)} k_{AC}^{(I)} k_{AB}^{(I)}} P(x, y, a + b + c + m), \tag{4.1}$$

where the weight w and the couples k are given by (2.2)–(2.8) (with I viewed as a triad hexagon with the focal points A, B and C being the top, left and right vertices of the triangular dent of side m along the base, and bowties of types $(c, m), (0, 0)$ and $(0, 0)$, respectively), and P is given by (1.1).

The second family consists of the snowman regions $S_{x,y}(a, b, c, k)$ described on the right in Fig. 7. The region itself is determined by the thick solid line contour. The thick dotted lines on top indicate how $S_{x,y}(a, b, c, k)$ can be viewed as a triad hexagon: The focal points A, B, C are the top of the triangle of side a , the left vertex of the triangular dent of side b , and the right vertex of the triangular dent of side c , and the corresponding bowties are of type $(0, a), (0, b)$ and $(0, c)$, respectively.⁴

The following is a special case of Theorem 2.1 of [7]. The case $x = y, b = c$ is an earlier result of Rohatgi (see [16]). Again, the form of the formula is new, adapted for our use of it in the proof of Theorem 1.

³ In [5] we denoted these regions by the letter B ; to avoid confusion with the focal point B , we use here the letter I instead.

⁴ The top side of this triad hexagon has length zero.

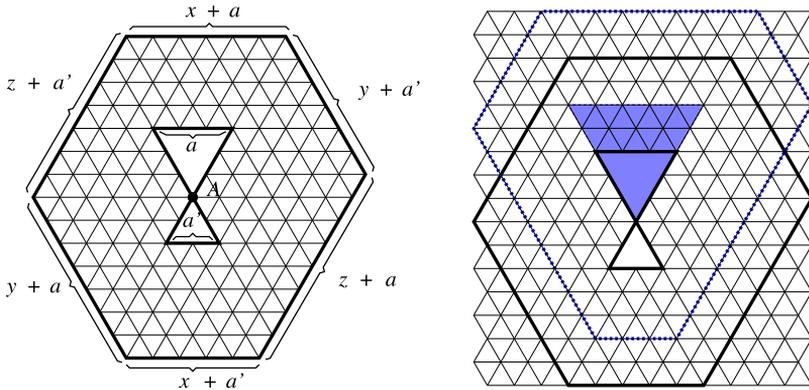


Fig. 8. The hourglass region $G = G_{x,y,z}^A(a, a')$ for $x = 3, y = 4, z = 5, a = 3$ and $a' = 2$ (left). The region G (shown in thick solid lines) and the corresponding region \bar{G} (shown in thick dotted lines and shaded bowtie) with the bowtie completely squeezed out (right).

Theorem 4. For non-negative integers x, y, a, b, c, k , the number of lozenge tilings of the region $S = S_{x,y}(a, b, c, k)$ is given by

$$M(S_{x,y}(a, b, c, k)) = w^{(S)} \frac{k_A^{(S)} k_B^{(S)} k_C^{(S)}}{k_{BC}^{(S)} k_{AC}^{(S)} k_{AB}^{(S)}} P'(x + b + k, y + c + k, k), \tag{4.2}$$

where the weight w and the couples k are given by (2.2)–(2.8) (with S viewed as a triad hexagon with the focal points A, B and C being the top of the triangle of side a , the left vertex of the triangular dent of side b , and the right vertex of the triangular dent of side c , and bowties of type $(0, a), (0, b)$ and $(0, c)$, respectively), and P' is given by

$$P'(x, y, z) = \frac{H(x) H(y) H(z) H(x + y - z)}{H(x + y) H(x - z) H(y - z)}. \tag{4.3}$$

5. Hourglass regions

Our proofs are based on Kuo’s graphical condensation method (see [12]). For ease of reference, we state below the particular instance of Kuo’s general results that we need for our proofs.

Theorem 5. [12, Theorem 2.1] Let $G = (V_1, V_2, E)$ be a plane bipartite graph in which $|V_1| = |V_2|$. Let vertices α, β, γ and δ appear cyclically on a face of G . If $\alpha, \gamma \in V_1$ and $\beta, \delta \in V_2$, then

$$M(G) M(G - \{\alpha, \beta, \gamma, \delta\}) = M(G - \{\alpha, \beta\}) M(G - \{\gamma, \delta\}) + M(G - \{\alpha, \delta\}) M(G - \{\beta, \gamma\}). \tag{5.1}$$

In this section we prove the special case of Theorem 1 in which two of the removed bowties are empty. The resulting region is shown in the picture on the left in Fig. 8. We

call it an *hourglass region*, and we denote it by $G_{x,y,z}^A(a, a')$ (as for triad hexagons, A denotes the bowtie node).

Proposition 1. *Let $G = G_{x,y,z}^A(a, a')$ be an hourglass region, and let \bar{G} be the region obtained from G by completely squeezing out the a' -lobe. Then*

$$\frac{M(G)}{M(\bar{G})} = \frac{H(a)H(a')}{H(a+a')} \frac{k_A k_B k_C}{k_{BC} k_{AC} k_{AB}}, \tag{5.2}$$

where the couples k are given by (2.2)–(2.8), with G viewed as a triad hexagon with the focal points A, B and C being the top, left and right vertices of the bottom bowtie lobe, and bowties of types $(a, a'), (0, 0)$ and $(0, 0)$, respectively.

Proof. By Lemma 1, all hourglass regions are tileable. In particular, $M(\bar{G}) \neq 0$, and the ratio on the left hand side of (5.2) is well defined.

We prove the statement by induction, using Kuo’s graphical condensation identity (5.1) at the induction step. Consider the special case of Fig. 21 when the bottom two bowties are empty — this corresponds to the hourglass regions under consideration in this section.

Choosing α, β, γ and δ as shown in the top right picture in Fig. 21, and assuming the forced lozenges come in the pattern shown in Fig. 21, we obtain

$$\begin{aligned} M(G_{x,y,z}^A(a, a')) M(G_{x,y-1,z-1}^A(a, a')) &= M(G_{x,y-1,z}^A(a, a')) M(G_{x,y,z-1}^A(a, a')) \\ &+ M(G_{x-1,y,z}^A(a, a')) M(G_{x+1,y-1,z-1}^A(a, a')), \end{aligned} \tag{5.3}$$

where the regions following $G_{x,y,z}^A(a, a')$ are obtained from it as indicated in (the special case when the bottom two bowties are empty of) Fig. 21: $G_{x,y-1,z-1}^A(a, a')$ is the hourglass region obtained from $G_{x,y,z}^A(a, a')$ by deleting four strips of width 1 as shown in the top right picture in Fig. 21, $G_{x,y-1,z}^A(a, a')$ by deleting two strips of width 1 as in the left center picture in Fig. 21, and so on (this strip deletion is the only operation being made; in particular, the triad of bowties and its position with respect to the original hourglass region $G_{x,y,z}^A(a, a')$ are left unchanged).

To be precise, in order for all the regions involved in (5.3) to be defined, we need to have $x, y, z \geq 1$. Also, in order for the forced lozenges to be indeed as shown in Fig. 21 — and therefore for the regions obtained after their removal to be hourglass regions of smaller parameters —, the bowtie must touch none of the top, bottom, northwestern or southwestern sides of the outer hexagon. Furthermore, since we will use (5.3) as a recurrence relation expressing $M(G_{x,y,z}^A(a, a'))$ in terms of the other five tiling counts involved in (5.3), we need to make sure that its coefficient in (5.3), $M(G_{x,y-1,z-1}^A(a, a'))$, is non-zero. Lemma 1 implies that any hourglass region is tileable, so the coefficient of $M(G_{x,y,z}^A(a, a'))$ in (5.3) is indeed non-zero.

Therefore, the following will be base cases of our induction: (1) x, y or z is zero; (2) the bowtie touches the top or the bottom side of the outer hexagon; and (3) the bowtie

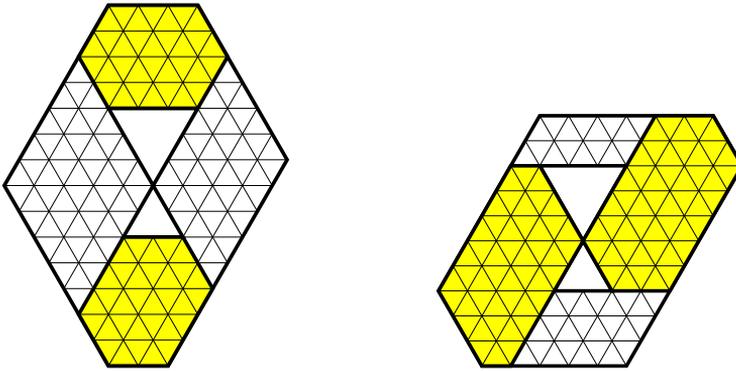


Fig. 9. The hourglass region G when $x = 0$; here $y = 4, z = 5, a = 3$ and $a' = 2$ (left). The region G when $y = 0$; here $x = 3, z = 5, a = 3$ and $a' = 2$ (right).

touches the northwestern or the southwestern side of the hexagon. Then (5.3) can be used to prove the statement by induction on $x + y + z$, as for each of the last five G -regions involved in it, the sum of the x -, y - and z -parameters is strictly less than $x + y + z$.

For case (1), if $x = 0$, the region G looks as shown on the left in Fig. 9. Due to the fact that the top side of the top bowtie lobe has the same length as the top side of the hexagon, the upper shaded hexagon must be internally tiled in any tiling of G (this follows by encoding tilings as paths of lozenges, as we did in the picture on the left in Fig. 2). For the same reason, also the bottom shaded hexagon is internally tiled. The leftover portion of G consists of two uniquely tileable parallelograms. It follows that in this case

$$\begin{aligned}
 M(G) &= M(G_{0,y,z}^A(a, a')) \\
 &= P(a, d(A, NW) - a, d(A, NE) - a) \\
 &\quad \times P(a', d(A, SW) - a', d(A, SE) - a'),
 \end{aligned}
 \tag{5.4}$$

where P is given by (1.1). Since $\bar{G} = G_{0,y,z}^A(a + a', 0)$, it follows from (5.4) (using also the picture on the right in Fig. 8 to relate the distances from A to the outer sides in G and \bar{G}) that

$$M(\bar{G}) = P(a + a', d(A, NW) - a + a', d(A, NE) - a + a').
 \tag{5.5}$$

The statement follows by combining equations (5.4) and (5.5). The base cases $y = 0$ and $z = 0$ follow by a similar argument.

It is apparent that if the bowtie touches either the top or the bottom side of the hexagon, the hourglass region becomes a magnet bar region (see the previous section). Therefore base case (2) follows from Theorem 3.

For case (3), if in the hourglass region G the bowtie touches the northwestern side of the hexagon, the situation is as pictured in Fig. 10. After removing the forced lozenges,

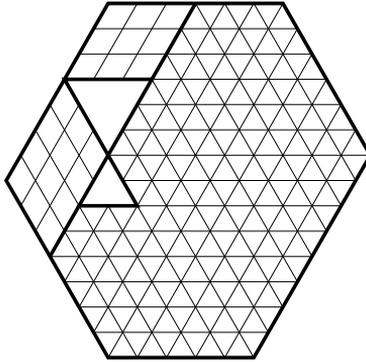


Fig. 10. The hourglass region G when the bowtie touches the northwestern side of the hexagon; here $x = 3$, $y = 4$, $z = 5$, $a = 3$ and $a' = 2$.

the leftover region is a special case of a snowman region, so its number of tilings is given by Theorem 4. Moreover, if in G the bowtie touches the northwestern side of the hexagon, then so does in any region obtained from G by bowtie squeezing, in particular in the region \bar{G} (where the bowtie degenerates into a single down-pointing lobe). In particular, $M(\bar{G})$ is also given by Theorem 4. It is not hard to check that the formula we get this way for the left hand side of (5.2) agrees with that given by the right hand side of (5.2), thus proving this base case. The case when the bowtie touches the southwestern side follows by symmetry.

For the induction step, let $x, y, z > 0$ and assume that equation (5.2) holds for any hourglass region for which the sum of the x -, y - and z -parameters is strictly less than $x + y + z$. Let $G_{x,y,z}^A(a, a')$ be an hourglass region in which the bowtie does not touch any of the top, bottom, northwestern or southwestern sides. We need to show that (5.2) holds.

By our assumptions, all the regions in (5.3) are well defined, and $M(G_{x,y-1,z-1}^A(a, a')) > 0$. We can therefore use (5.3) to express $M(G_{x,y,z}^A(a, a'))$ in terms of the number of tilings of the other five regions involved. By the induction hypothesis, we can use the equivalent restatement

$$M(G) = M(\bar{G}) \frac{H(a) H(a')}{H(a + a')} \frac{k_A k_B k_C}{k_{BC} k_{AC} k_{AB}} \tag{5.6}$$

of formula (5.2) for each of the latter. To finish the induction step, we need to verify that the resulting expression for $M(G_{x,y,z}^A(a, a'))$ is equal to the right hand side of (5.2). This is a special case of the verification at the induction step in the proof of Theorem 1, which we present in great detail in Sections 9 and 10. \square

6. Based hourglass regions

In this section we consider the family of regions $F_{d,e,f,y,z}(a, a', b', c')$ shown in Fig. 11; we call them *based hourglass regions*. The region $F_{d,e,f,y,z}(a, a', b', c')$ is defined for any

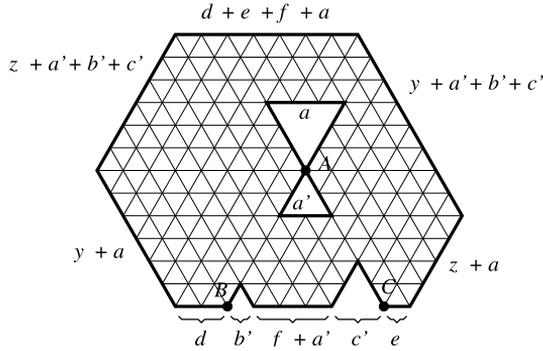


Fig. 11. The based hourglass region $F = F_{d,e,f,y,z}(a, a', b', c')$ for $d = 2, e = 1, f = 1, y = 3, z = 1, a = 3, a' = 2, b' = 1$ and $c' = 2$.

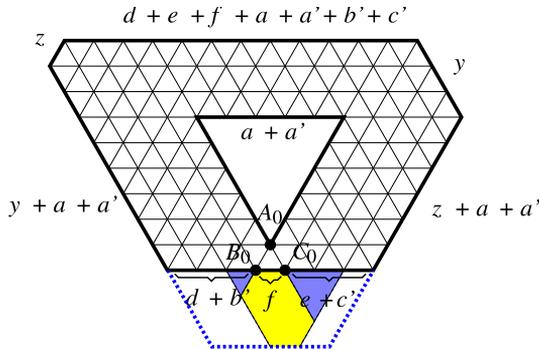


Fig. 12. The companion cored hexagon F_0 (bounded by the thick solid lines) of the based hourglass region F in Fig. 11. It is obtained from the region \bar{F} by removing the bottom two holes, an internally tiled hexagon and two parallelograms along which the tiling is forced.

non-negative integers d, e, f, y, z, a, a', b' and c' satisfying $f \leq y + z$ (a condition equivalent to the statement that the top of the bowtie is weakly below the top of the outer hexagon, as the distance from the upper bowtie to the top is $y + z - f$).

Given a based hourglass region $F = F_{d,e,f,y,z}(a, a', b', c')$, its *companion cored hexagon* F_0 is the region described in Fig. 12. It is obtained from the region \bar{F} by removing the portion under the line containing the bottom focal edge.

Proposition 2. *Let d, e, f, y, z, a, a', b' and c' be non-negative integers with $f \leq y + z$, and consider the based hourglass region $F = F_{d,e,f,y,z}(a, a', b', c')$ and its companion cored hexagon F_0 . Then*

$$\frac{M(F)}{M(F_0)} = \frac{H(a)H(a')H(b')H(c')}{H(a+a')H(b'+c')} \frac{H(f+a'+b'+c')^2 H(f+a+a')}{H(f+a+a'+b'+c')H(f+a'+b')H(f+a'+c')}$$

$$\times \frac{\frac{k_A^{(F)} k_B^{(F)} k_C^{(F)}}{k_{BC}^{(F)} k_{AC}^{(F)} k_{AB}^{(F)}}}{\frac{k_{A_0}^{(\bar{F})} k_{B_0}^{(\bar{F})} k_{C_0}^{(\bar{F})}}{k_{B_0 C_0}^{(\bar{F})} k_{A_0 C_0}^{(\bar{F})} k_{A_0 B_0}^{(\bar{F})}}}, \tag{6.1}$$

where the couples⁵ k in the numerator fraction refer to the region F with focal points A, B, C as indicated in Figure 11, and the couples k in the denominator fraction refer to the region \bar{F} with focal points A_0, B_0, C_0 as indicated in Figure 12. Explicitly, we have

$$k_A^{(F)} = H(f + a' + b' + c')H(y + z + a - f) \tag{6.2}$$

$$k_B^{(F)} = H(d)H(z + e + f + a + a' + b' + c') \tag{6.3}$$

$$k_C^{(F)} = H(e)H(y + d + f + a + a' + b' + c') \tag{6.4}$$

$$k_{BC}^{(F)} = H(0)H(y + z + a + a' + b' + c') \tag{6.5}$$

$$k_{AC}^{(F)} = H(d + f + a' + b' + c')H(z + e + a) \tag{6.6}$$

$$k_{AB}^{(F)} = H(e + f + a' + b' + c')H(y + d + a) \tag{6.7}$$

and

$$k_{A_0}^{(\bar{F})} = H(f + b' + c')H(y + z + a + a' - f) \tag{6.8}$$

$$k_{B_0}^{(\bar{F})} = H(d + b')H(z + e + f + a + a' + c') \tag{6.9}$$

$$k_{C_0}^{(\bar{F})} = H(e + c')H(y + d + f + a + a' + b') \tag{6.10}$$

$$k_{B_0 C_0}^{(\bar{F})} = H(b' + c')H(y + z + a + a') \tag{6.11}$$

$$k_{A_0 C_0}^{(\bar{F})} = H(d + f + b')H(z + e + a + a' + c') \tag{6.12}$$

$$k_{A_0 B_0}^{(\bar{F})} = H(e + f + c')H(y + d + a + a' + b'). \tag{6.13}$$

Remark 3. Note that in the region \bar{F} the hexagon indicated by a shading in Fig. 12 is internally tiled, and that each tiling is forced along the parallelograms to its left and right. Using this and formula (1.1) to express $M(\bar{F})$ in terms of $M(F_0)$, one readily sees that equation (6.1) can be stated equivalently as

$$\frac{M(F)}{M(\bar{F})} = \frac{w^{(F)}}{w^{(\bar{F})}} \frac{\frac{k_A^{(F)} k_B^{(F)} k_C^{(F)}}{k_{BC}^{(F)} k_{AC}^{(F)} k_{AB}^{(F)}}}{\frac{k_{A_0}^{(\bar{F})} k_{B_0}^{(\bar{F})} k_{C_0}^{(\bar{F})}}{k_{B_0 C_0}^{(\bar{F})} k_{A_0 C_0}^{(\bar{F})} k_{A_0 B_0}^{(\bar{F})}}}, \tag{6.14}$$

⁵ Recall that the couples k are defined by equations (2.3)–(2.8).

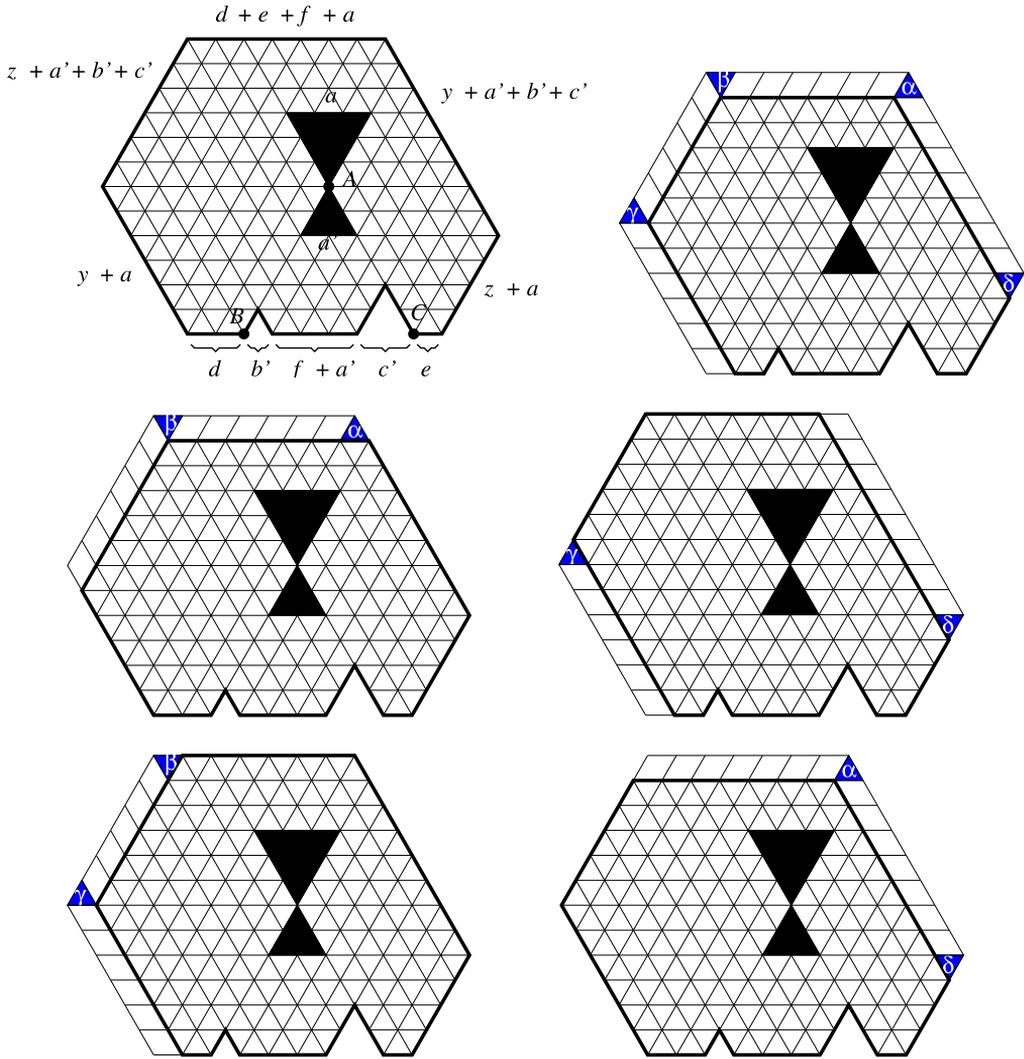


Fig. 13. Obtaining the recurrence (6.15) for the based hourglass regions.

where the weights w are given by (2.2).

Proof of Proposition 2. By Lemma 1, all based hourglass regions are tileable. In particular, $M(F_0) \neq 0$, so the ratio on the left hand side of (6.1) is well defined.

We prove the statement by induction, using Kuo condensation at the induction step. The picture on the top left in Fig. 13 shows the region $F_{d,e,f,y,z}(a, a', b', c')$. Choosing α, β, γ and δ as shown in the top right picture in Fig. 13, and assuming the forced lozenges come in the pattern shown in Fig. 13, we obtain

$$M(F_{d,e,f,y,z}(a, a', b', c')) M(F_{d-1,e,f,y,z-1}(a, a', b', c'))$$

$$\begin{aligned}
 &= M(F_{d,e,f,y-1,z}(a, a', b', c')) M(F_{d-1,e,f,y+1,z-1}(a, a', b', c')) \\
 &\quad + M(F_{d-1,e,f,y,z}(a, a', b', c')) M(F_{d,e,f,y,z-1}(a, a', b', c')). \tag{6.15}
 \end{aligned}$$

In order for all the regions involved in (6.15) to be defined, we need to have $d, y, z \geq 1$ (note that these imply that the lengths of the northwestern, northern, northeastern and southeastern sides in $F_{d,e,f,y,z}(a, a', b', c')$ are all positive, and thus there is room on them to accommodate the unit triangles α, β, γ and δ indicated in Fig. 13), and also $f < y + z$ (equivalently, the bowtie does not touch the top side). In order for the pattern of forced lozenges to be indeed as shown in Fig. 13 — and thus to obtain equality (6.15) —, the bowtie must touch none of the top, northwestern, northeastern or southwestern sides of $F_{d,e,f,y,z}(a, a', b', c')$. Furthermore, since we will use (6.15) as a recurrence relation expressing $M(F_{d,e,f,y,z}(a, a', b', c'))$ in terms of the other five tiling counts involved in (6.15), we need to make sure that its coefficient in (6.15), $M(F_{d-1,e,f,y,z-1}(a, a', b', c'))$, is non-zero. Lemma 1 implies that any based hourglass region is tileable, so for $d, z \geq 1$ the coefficient of $M(F_{d,e,f,y,z}(a, a', b', c'))$ in (6.15) is indeed non-zero.

If we take the mirror image of the region $F_{d,e,f,y,z}(a, a', b', c')$ across the vertical, we obtain the region $F_{e,d,f,z,y}(a, a', c', b')$. It follows from the discussion in the previous paragraph that if $e, y, z \geq 1$ and the bowtie touches none of the sides above the base, we can still use identity (6.15) at the induction step.

Therefore, the base cases for our induction will be the following: (1) y or z is zero; (2) $d = e = 0$; (3) the bowtie touches the top side; (4) the bowtie touches the northwestern or the northeastern side; and (5) the bowtie touches the southwestern or southeastern side.

Consider the hexagon H cut out by the western angle of the outer hexagon and the western outside angle of the bowtie from the horizontal strip bounded by the base of the based hourglass region and the top of the bowtie. Suppose the bowtie touches the northwestern side. Then the top side of H shrinks to a point, and since opposite sides of H differ by the same amount, we obtain that $d - 0 = a - (y + a)$, which implies $y = 0$. Therefore base case (4) reduces to base case (1). Base case (5) follows from Section 4, as the only way the bowtie can touch the southwestern or southeastern side is if it sits along the base and $b' = c' = 0$.

For base case (2), suppose $d = e = 0$. Since the region $F_{0,0,f,x,y}(a, a', b', c')$ is tileable (as all based hourglass regions are, by Lemma 1), it follows that the upward extension of the northeastern side of the b' -lobe crosses the northwestern side of the boundary (indeed, otherwise it would cross the interior of the top side, and lozenges forced by the forced lozenge at focal point B would eventually leave a unit triangle in the northwestern corner that cannot be covered by a non-overlapping lozenge). An analogous statement holds for the c' -lobe. Therefore, the pattern of forced lozenges is as shown on the left in Fig. 14, and upon their removal one is left with an hourglass region. It is then not hard to see that equation (6.1) follows from Proposition 1.

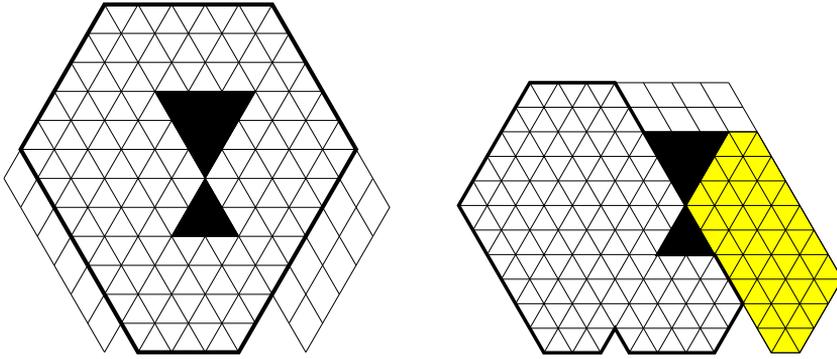


Fig. 14. The base cases $d = e = 0$ (left) and $z = 0$ (right).

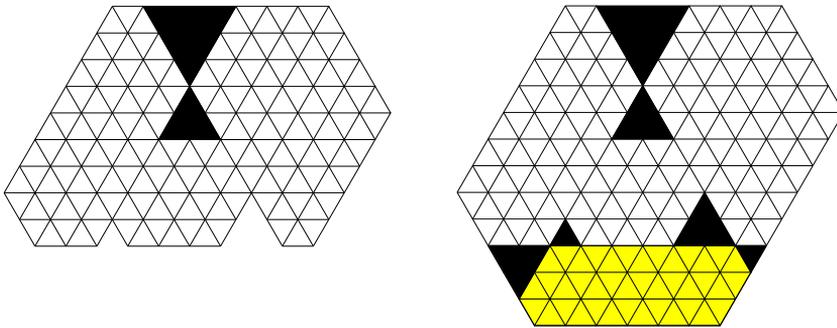


Fig. 15. The base case when the bowtie touches the top side (left). Extension to a region with three bowties touching alternate sides of a hexagon (right); the shaded hexagon is always internally tiled.

Consider now the base case (1). By symmetry, it is enough to treat the case $z = 0$. It follows from the dimensions in Fig. 11 that in this case the shaded hexagon shown on the right in Fig. 14 is always internally tiled. This in turn forces the indicated lozenges to be present in every tiling. The region obtained after removing the shaded hexagon and these forced tiles is a snowman region. Thus, the number of lozenge tilings of the based hourglass region is in this case equal to the product of the number of tilings of the shaded hexagon (which is given by (1.1)) and the number of tilings of a snowman region (which is given by Theorem 4). Using the resulting formula for both regions on the left hand side of (6.1), one readily verifies that the resulting expression agrees with the formula on the right hand side of (6.1).

The remaining base case of our induction is case (3), when the bowtie touches the top side. Then the based hourglass region is as pictured on the left in Fig. 15. Extend it downwards by including below it a hexagon of side-lengths $f + a' + b' + c'$, c , b , $f + a' + b' + c'$, c , b (clockwise from top), as shown on the right in Fig. 15. The resulting region is a triad hexagon with the bowties sharing an edge with the boundary, and its tilings are therefore enumerated by Lai’s formula [13] presented here in Theorem 2. Since the added hexagon is necessarily internally tiled, and the number of its tilings is given by formula (1.1), this yields an explicit product formula for the number of lozenge tilings

of the based hourglass region in this base case. Using this formula for the numerator and denominator on the left hand side of (6.1), one readily checks that the resulting expression agrees with the one on the right hand side of (6.1).

For the induction step, let d, e, f, y, z be non-negative integers with $y, z \geq 1$, at least one of d and e positive and $f < y + z$ (as mentioned at the beginning of this section, the latter condition is equivalent to stating that the bowtie does not touch the top side), so that the bowtie does not touch any of the sides above the base. Assume that (6.1) holds for all based hourglass regions whose d -, e -, x - and y -parameters add up to strictly less than $d + e + y + z$. We need to deduce that (6.1) holds for $F_{d,e,f,y,z}(a, a', b', c')$.

By the second paragraph following (6.15), we may assume without loss of generality that $d \geq 1$. Under our assumptions, $F_{d-1,e,f,y,z-1}(a, a', b', c')$ is a well-defined based hourglass region. Therefore, as we have seen in the first paragraph of this proof, $M(F_{d-1,e,f,y,z-1}(a, a', b', c')) > 0$, and we can use identity (6.15) to express $M(F_{d,e,f,y,z}(a, a', b', c'))$ in terms of tiling counts of five smaller based hourglass regions, for which the induction hypothesis applies. Using formula (6.1) for each of these five regions, we obtain this way an expression for $M(F_{d,e,f,y,z}(a, a', b', c'))$. We need to show that this expression agrees with the one provided by equality (6.1).

Using Remark 3 (see equation (6.14)), after clearing denominators, the equality we need to check becomes an equality very similar to equation (10.1). The argument that proves (10.1) (presented in detail in Section 10) is readily seen to prove the needed equality as well. \square

7. Sphinx regions

In this section we consider the family of regions $X_{d,x,y,z}(a, b, c, a', b', c')$ shown in Fig. 16; we call them *sphinx regions*. The region $X_{d,x,y,z}(a, b, c, a', b', c')$ is defined for any non-negative integers $d, x, y, z, a, b, c, a', b'$ and c' satisfying $x \leq y + z$ (this is equivalent to the statement that the top of the bowtie is weakly below the top of the outer hexagon, as its distance to the top is $y + z - x$; this follows from the readily checked fact that the focal distance is $x + d + a' + b' + c'$).

Given a sphinx region $X = X_{d,x,y,z}(a, b, c, a', b', c')$, by our definition in Section 2, its corresponding region $\bar{X} = X_{d,x,y,z}(a + a', b + b', c + c', 0, 0, 0)$ is the region described in Fig. 17.

Proposition 3. *Let $d, x, y, z, a, b, c, a', b'$ and c' be non-negative integers with $x \leq y + z$, and consider the sphinx region $X = X_{d,x,y,z}(a, b, c, a', b', c')$ and its corresponding region \bar{X} . Then*

$$\frac{M(X)}{M(\bar{X})} = \frac{w(X) \frac{k_A^{(X)} k_B^{(X)} k_C^{(X)}}{k_{BC}^{(X)} k_{AC}^{(X)} k_{AB}^{(X)}}}{w(\bar{X}) \frac{k_{A_0}^{(\bar{X})} k_{B_0}^{(\bar{X})} k_{C_0}^{(\bar{X})}}{k_{B_0 C_0}^{(\bar{X})} k_{A_0 C_0}^{(\bar{X})} k_{A_0 B_0}^{(\bar{X})}}}, \tag{7.1}$$

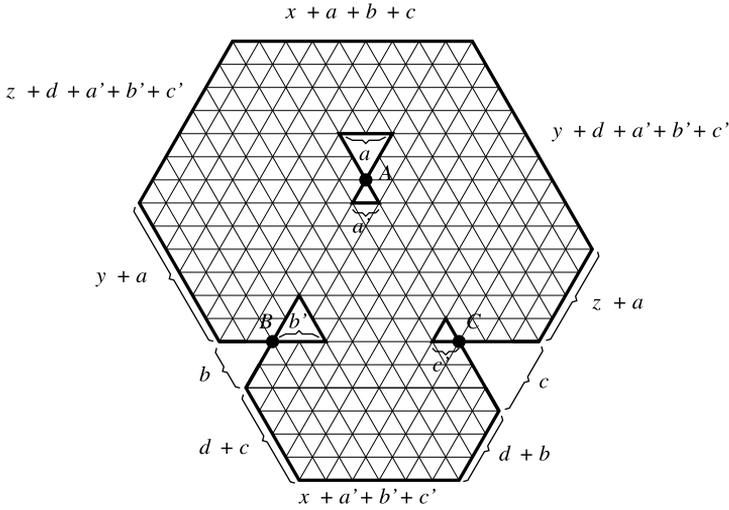


Fig. 16. The sphinx region $X = X_{d,x,y,z}(a, b, c, a', b', c')$ for $d = 1, x = 2, y = 4, z = 2, a = 2, b = 2, c = 3, a' = 1, b' = 2, c' = 1$.

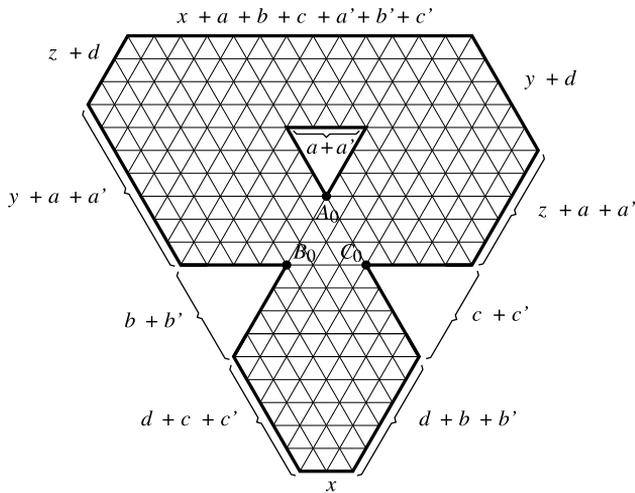


Fig. 17. The region \bar{X} corresponding to the sphinx region X in Fig. 16.

where the couples⁶ k in the numerator fraction refer to the region X with focal points A, B, C as indicated in Figure 16, and the ones in the denominator fraction refer to the region \bar{X} with focal points A_0, B_0, C_0 as indicated in Figure 17. Explicitly, we have

$$k_A^{(X)} = H(a + y + z - x)H(x + b + c + 2d + a' + b' + c') \tag{7.2}$$

$$k_B^{(X)} = H(b)H(x + z + d + a + c + a' + b' + c') \tag{7.3}$$

⁶ Recall that the couples k are defined by equations (2.3)–(2.8).

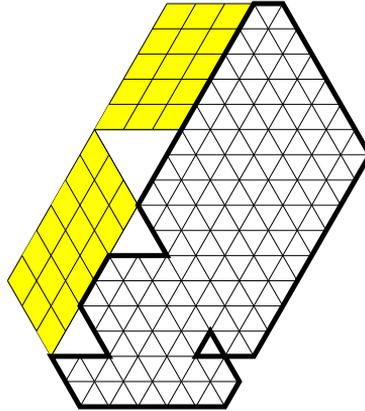


Fig. 18. Base case (5).

$$k_C^{(X)} = H(c)H(x + y + d + a + b + a' + b' + c') \tag{7.4}$$

$$k_{BC}^{(X)} = H(y + z + d + a + a' + b' + c')H(d + b + c) \tag{7.5}$$

$$k_{AC}^{(X)} = H(x + d + b + a' + b' + c')H(z + a + c) \tag{7.6}$$

$$k_{AB}^{(X)} = H(x + d + c + a' + b' + c')H(y + a + b) \tag{7.7}$$

and

$$k_{A_0}^{(\bar{X})} = H(x + 2d + b + b' + c + c')H(y + z + a + a' - x) \tag{7.8}$$

$$k_{B_0}^{(\bar{X})} = H(b + b')H(x + z + d + a + a' + c + c') \tag{7.9}$$

$$k_{C_0}^{(\bar{X})} = H(c + c')H(x + y + d + a + a' + b + b') \tag{7.10}$$

$$k_{B_0C_0}^{(\bar{X})} = H(d + b + b' + c + c')H(y + z + d + a + a') \tag{7.11}$$

$$k_{A_0C_0}^{(\bar{X})} = H(x + d + b + b')H(z + a + a' + c + c') \tag{7.12}$$

$$k_{A_0B_0}^{(\bar{X})} = H(x + d + c + c')H(y + a + a' + b + b'). \tag{7.13}$$

Proof. When regarded as a triad hexagon, all three depths of a sphinx region are non-negative. Therefore, by Lemma 1, all sphinx regions are tileable. In particular, $M(\bar{X}) \neq 0$, so the ratio on the left hand side of (7.1) is well defined.

We prove the statement by induction, using Kuo condensation at the induction step. The picture on the top left in Fig. 19 shows the region $X_{d,x,y,z}(a, b, c, a', b', c')$. Choosing α, β, γ and δ as shown in the top right picture in Fig. 19, and assuming the forced lozenges come in the pattern shown in Fig. 19, we obtain

$$M(X_{d,x,y,z}(a, b, c, a', b', c')) M(X_{d-1,x,y-1,z}(a, b, c, a', b' + 1, c'))$$

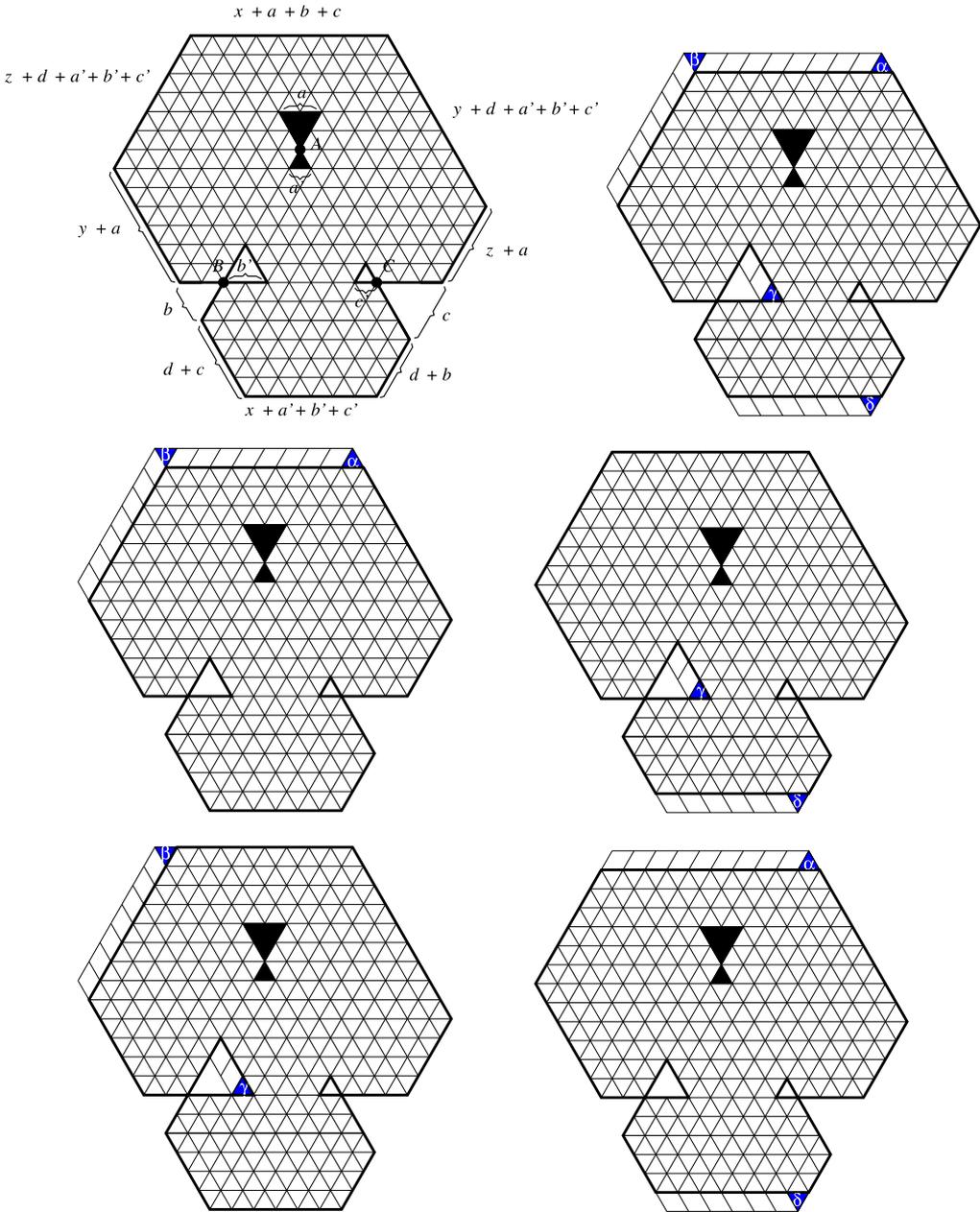


Fig. 19. Obtaining the recurrence (7.14) for the sphinx regions.

$$\begin{aligned}
 &= M(X_{d,x,y-1,z}(a, b, c, a', b', c')) M(X_{d-1,x,y,z}(a, b, c, a', b' + 1, c')) \\
 &\quad + M(X_{d,x-1,y-1,z}(a, b, c, a', b' + 1, c')) \\
 &\quad \times M(X_{d-1,x+1,y,z}(a, b, c, a', b', c')).
 \end{aligned} \tag{7.14}$$

Note that if $d, x, y, z \geq 1$ and $x < y + z$, then all the regions involved in (7.14) are well defined. Moreover, these inequalities imply that “there is room” for the unit triangles α, β, γ and δ shown in Fig. 19: The sides of X along which the unit triangles α, β and δ are taken are all positive under the assumed inequalities, and so is the distance between the b' - and c' -lobes (indeed, the latter is readily seen to be equal to $x + d + a'$). Furthermore, if we assume in addition that the bowtie does not touch the northwestern side, it is easy to see that these inequalities also imply that the pattern of forced lozenges is as shown in Fig. 19, and therefore identity (7.14) holds. Since $X_{d-1,x,y-1,z}(a, b, c, a', b' + 1, c')$ is a well defined sphinx region, and since all sphinx regions are tileable (see the first paragraph in this proof), it follows that we can use (7.14) to express $M(X_{d,x,y,z}(a, b, c, a', b', c'))$ in terms of tiling counts of the other five sphinx regions. As the sum of the d - and y -parameters is strictly less in these five regions than in $X_{d,x,y,z}(a, b, c, a', b', c')$, we proceed by induction on $d + y$.

Our base cases will be (1) $d = 0$; (2) $x = 0$; (3) $y = 0$ or $z = 0$, (4) $x = y + z$; and (5) the case when the bowtie touches the northwestern side of the hexagon.

In base case (1), we have $d = 0$. Then the hexagonal sub-region of X under the line BC has opposite sides of equal lengths, and therefore must be internally tiled. Since the leftover region is a based hourglass region, this case follows from Proposition 2 and formula (1.1).

Base case (2) will be treated in Section 8, where the case $x = 0$ is proved for all triad hexagons.

For base case (3), suppose $y = 0$. Then the side of X of length $y + a$ matches the length of the left side of the a -lobe. This creates a hexagonal sub-region of X in its western corner that must be internally tiled. In turn, this determines a parallelogram of forced lozenges (this situation is similar to the one in the picture on the right in Fig. 14). The region left after removing the internally tiled hexagon and the forced parallelogram is a special case of based hourglass regions. Thus this case also follows from Proposition 2 and formula (1.1). The case $z = 0$ follows by symmetry.

Base case (4) follows by Theorem 2.

In case (5), one readily sees that tileability implies that $b = 0$ (this follows using the now familiar idea of encoding a tiling by paths of lozenges). Thus, the sphinx region looks as shown in Fig. 18. After removing the forced lozenges, the leftover region is a special case of a based hourglass region. Therefore this case follows from Proposition 2.

For the induction step, let $d, x, y, z \geq 1$ be integers with $x < y + z$ (as mentioned at the beginning of this section, the latter condition is equivalent to stating that the bowtie does not touch the top side). Assume that (7.1) holds for all sphinx regions for which the sum of their d - and y -parameters is strictly less than $d + y$. We need to deduce that (7.1) holds for the region $X_{d,x,y,z}(a, b, c, a', b', c')$ in which the bowtie does not touch the northwestern side. As mentioned before, these assumptions guarantee that the pattern of forced lozenges is as indicated in Fig. 19.

As described in the paragraph following (7.14), express $M(X_{d,x,y,z}(a, b, c, a', b', c'))$ in terms of tiling counts of five smaller sphinx regions, for which the induction hypothesis

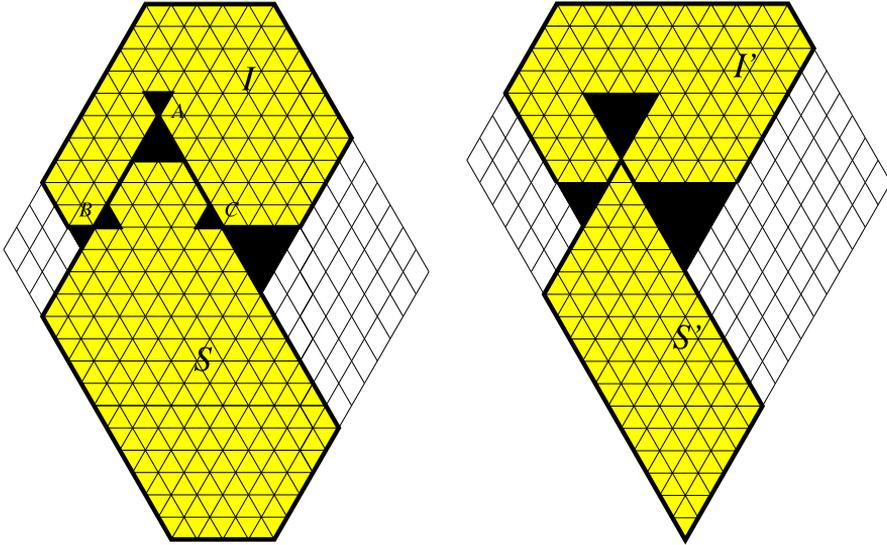


Fig. 20. An example of a triad hexagon R with $x = 0$ (left) and the corresponding region \bar{R} (right).

applies. Using formula (7.1) for each of these five regions, we obtain this way an explicit expression for $M(X_{d,x,y,z}(a, b, c, a', b', c'))$. We need to show that this expression agrees with the one provided by equality (7.1).

After clearing denominators, the equality we need to check becomes an equality very similar to equation (10.1). The argument that proves (10.1) (presented in detail in Section 10) is readily seen to prove the needed equality as well. \square

8. Theorem 1, case $x = 0$

In this section we prove the special case of Theorem 1 when $x = 0$ and $Q = \bar{R}$ (recall that this means that in any tiling there are no paths of lozenges connecting the top and bottom sides). We need to prove that

$$\frac{M(R)}{M(\bar{R})} = \frac{w^{(R)} \frac{k_A^{(R)} k_B^{(R)} k_C^{(R)}}{k_{BC}^{(R)} k_{AC}^{(R)} k_{AB}^{(R)}}}{w^{(\bar{R})} \frac{k_{A_0}^{(\bar{R})} k_{B_0}^{(\bar{R})} k_{C_0}^{(\bar{R})}}{k_{B_0 C_0}^{(\bar{R})} k_{A_0 C_0}^{(\bar{R})} k_{A_0 B_0}^{(\bar{R})}}}, \tag{8.1}$$

where \bar{R} is obtained from R by squeezing out completely all three bowties (see Section 2 for the definition), and A_0, B_0 and C_0 are its focal points.

Suppose $x = 0$, and consider the triad hexagon $R = R_{0,y,z}^{A,B,C}(a, b, c, a', b', c')$ (an example is shown on the left in Fig. 20). Consider also the region \bar{R} obtained from R by completely squeezing out its three bowties (see the picture on the right in Fig. 20).

Due to the fact that in this case the length of the top side of R is $a + b + c$, in any tiling of R , the paths of lozenges that start upward from the lobes of sizes a , b and c are all the paths that end on the top side. This implies that the top shaded region I on the left in Fig. 20 — which notice is a magnet bar region (see Section 4) — is always internally tiled.

Since when $x = 0$ the length of the bottom side of R is $a' + b' + c'$, the same argument shows that the bottom shaded region S on the left in Fig. 20 (which is a snowman region, see Section 4) must also be internally tiled. Since the lozenge tiling is forced on the leftover portion of R (see Fig. 20), it follows that

$$M(R) = M(I) M(S). \tag{8.2}$$

The same argument shows that

$$M(\bar{R}) = M(I') M(S'), \tag{8.3}$$

where S' and I' are the top and bottom shaded regions on the right in Fig. 20, respectively.

Combining the above two equations gives

$$\frac{M(R)}{M(\bar{R})} = \frac{M(I)}{M(I')} \frac{M(S)}{M(S')}. \tag{8.4}$$

Express both $M(I)$ and $M(I')$ using the formula of Theorem 3. We claim that the resulting P -parts from the right hand side of (4.1) are equal, and thus cancel out in the fraction $M(I)/M(I')$ in (8.4).

To see this, note that, when I is obtained from R as the top shaded region on the left in Fig. 20, we have the following interpretation for the quantities in the arguments of P in (4.1):

$$x = (\ell(NW) - f) - d(b\text{-lobe}, SW) \tag{8.5}$$

$$y = (\ell(NE) - f) - d(c\text{-lobe}, SE) \tag{8.6}$$

$$a + b + c + m = \ell(N) + f, \tag{8.7}$$

where $\ell(s)$ denotes the length of the side s of the outer hexagon, and all things on the right hand sides refer to the region R .

The same realization shows that, when the region I in (4.1) is obtained from \bar{R} as the top shaded region on the right in Fig. 20, the quantities x , y and $a + b + c + m$ in the resulting arguments of P have the same interpretation (8.5)–(8.7), with the only difference that now the things on the right hand sides of (8.5)–(8.7) refer to the region \bar{R} .

However, it is a consequence of our definition of the bowtie squeezing operation that the quantities $\ell(NW) - f$, $\ell(N) + f$, $\ell(NE) - f$, as well as the distance of an outer

lobe to the side facing it away from the other two bowties are invariant under bowtie squeezing. This proves our claim.

A similar argument proves the analogous claim that, when we express both $M(S)$ and $M(S')$ using the formula of Theorem 4, the resulting P' -parts from the right hand side of (4.2) are equal, and thus cancel out in the fraction $M(S)/M(S')$ in (8.4). To see this, the needed analogs of (8.5)–(8.7) are

$$k = f - a' - b' - c' \tag{8.8}$$

$$x + b = d(b'\text{-lobe}, SW) \tag{8.9}$$

$$y + c = d(c'\text{-lobe}, SE). \tag{8.10}$$

Since both the difference between the focal length and the sum of the inner lobes and the distance between an inner lobe and the side facing it away from the other two bowties are invariant under bowtie squeezing, this proves our second claim.

Using the above two claims, we obtain from (8.4) and Theorems 3 and 4 that

$$\frac{M(R)}{M(\bar{R})} = \frac{w^{(I)} \frac{k_A^{(I)} k_B^{(I)} k_C^{(I)}}{k_{BC}^{(I)} k_{AC}^{(I)} k_{AB}^{(I)}}}{w^{(I')} \frac{k_{A_0}^{(I')} k_{B_0}^{(I')} k_{C_0}^{(I')}}{k_{B_0 C_0}^{(I')} k_{A_0 C_0}^{(I')} k_{A_0 B_0}^{(I')}}} \frac{w^{(S)} \frac{k_A^{(S)} k_B^{(S)} k_C^{(S)}}{k_{BC}^{(S)} k_{AC}^{(S)} k_{AB}^{(S)}}}{w^{(S')} \frac{k_{A_0}^{(S')} k_{B_0}^{(S')} k_{C_0}^{(S')}}{k_{B_0 C_0}^{(S')} k_{A_0 C_0}^{(S')} k_{A_0 B_0}^{(S')}}} \tag{8.11}$$

By the definition (2.2) of the weight w , we have from the picture on the left in Fig. 20

$$w^{(I)} w^{(S)} = \frac{H(f)^4 H(a) H(0) H(0) H(f) H(0) H(0)}{H(f+a) H(f+0) H(f+0) H(f-f) H(f-0) H(f-0)} \tag{8.12}$$

$$\times \frac{H(f)^4 H(0) H(0) H(0) H(a') H(b') H(c')}{H(f+0) H(f+0) H(f+0) H(f-a') H(f-b') H(f-c')} \tag{8.13}$$

$$= \frac{H(f)^2 H(a) H(a') H(b') H(c')}{H(f+a) H(f-a') H(f-b') H(f-c')}. \tag{8.14}$$

Similarly, we obtain

$$\frac{k_A^{(I)} k_A^{(S)}}{k_{BC}^{(I)} k_{BC}^{(S)}} = \frac{H(d(A, N)) H(f)}{H(d(BC, N)) H(0)} \frac{H(0) H(d(A, S))}{H(f) H(d(BC, S))} \tag{8.15}$$

$$\frac{k_B^{(I)} k_B^{(S)}}{k_{AC}^{(I)} k_{AC}^{(S)}} = \frac{H(d(B, NE)) H(b)}{H(d(AC, NE)) H(f+b)} \frac{H(f) H(d(B, SW))}{H(0) H(d(AC, SW))} \tag{8.16}$$

$$\frac{k_C^{(I)} k_C^{(S)}}{k_{AB}^{(I)} k_{AB}^{(S)}} = \frac{H(d(C, NW)) H(c)}{H(d(AB, NW)) H(f + c)} \frac{H(f) H(d(C, SE))}{H(0) H(d(AB, SE))}. \tag{8.17}$$

Thus we get

$$\begin{aligned} & w^{(I)} \frac{k_A^{(I)} k_B^{(I)} k_C^{(I)}}{k_{BC}^{(I)} k_{AC}^{(I)} k_{AB}^{(I)}} w^{(S)} \frac{k_A^{(S)} k_B^{(S)} k_C^{(S)}}{k_{BC}^{(S)} k_{AC}^{(S)} k_{AB}^{(S)}} \\ &= \frac{H(f)^4 H(a) H(b) H(c) H(a') H(b') H(c')}{H(f + a) H(f + b) H(f + c) H(f - a) H(f - b) H(f - c)} \\ &\quad \times \frac{H(d(A, N)) H(d(A, S))}{H(d(BC, N)) H(d(BC, S))} \frac{H(d(B, NE)) H(d(B, SW))}{H(d(AC, NE)) H(d(AC, SW))} \\ &\quad \times \frac{H(d(C, NW)) H(d(C, SE))}{H(d(AB, NW)) H(d(AB, SE))} \\ &= w^{(R)} \frac{k_A^{(R)} k_B^{(R)} k_C^{(R)}}{k_{BC}^{(R)} k_{AC}^{(R)} k_{AB}^{(R)}}. \end{aligned} \tag{8.18}$$

This shows that the product of the numerators on the right hand side of (8.11) is equal to the numerator on the right hand side of (8.1). The very same argument implies that also the product of the denominators on the right hand side of (8.11) is equal to the denominator on the right hand side of (8.1). Therefore (8.1) follows from (8.11).

9. Proof of Theorem 1

We claim that in order to prove the theorem, it suffices to show that for any triad hexagon R we have

$$\frac{M(R)}{M(\bar{R})} = \frac{w^{(R)} \frac{k_A^{(R)} k_B^{(R)} k_C^{(R)}}{k_{BC}^{(R)} k_{AC}^{(R)} k_{AB}^{(R)}}}{w^{(\bar{R})} \frac{k_{A_0}^{(\bar{R})} k_{B_0}^{(\bar{R})} k_{C_0}^{(\bar{R})}}{k_{B_0 C_0}^{(\bar{R})} k_{A_0 C_0}^{(\bar{R})} k_{A_0 B_0}^{(\bar{R})}}}, \tag{9.1}$$

where \bar{R} is obtained from R by squeezing out completely all three bowties (see Section 2 for the definition), and A_0 , B_0 and C_0 are its focal points.

Indeed, assume (9.1) holds for any triad hexagon. Then it holds in particular for R replaced by Q . Crucially, since Q is obtained from R by a sequence of bowtie squeezing operations (see Section 2 for their definition), the region obtained from Q by completely squeezing out its bowties is also \bar{R} (i.e. $\bar{Q} = \bar{R}$).

Therefore, we obtain

$$\frac{M(Q)}{M(\bar{R})} = \frac{w^{(Q)} \frac{k_{A_1}^{(Q)} k_{B_1}^{(Q)} k_{C_1}^{(Q)}}{k_{B_1 C_1}^{(Q)} k_{A_1 C_1}^{(Q)} k_{A_1 B_1}^{(Q)}}}{w^{(\bar{R})} \frac{k_{A_0}^{(\bar{R})} k_{B_0}^{(\bar{R})} k_{C_0}^{(\bar{R})}}{k_{B_0 C_0}^{(\bar{R})} k_{A_0 C_0}^{(\bar{R})} k_{A_0 B_0}^{(\bar{R})}}}. \tag{9.2}$$

Combining equations (9.1) and (9.2) yields (2.9), proving our claim.

We prove the equivalent form

$$M(R) = M(\bar{R}) \frac{w^{(R)} \frac{k_A^{(R)} k_B^{(R)} k_C^{(R)}}{k_{BC}^{(R)} k_{AC}^{(R)} k_{AB}^{(R)}}}{w^{(\bar{R})} \frac{k_{A_0}^{(\bar{R})} k_{B_0}^{(\bar{R})} k_{C_0}^{(\bar{R})}}{k_{B_0 C_0}^{(\bar{R})} k_{A_0 C_0}^{(\bar{R})} k_{A_0 B_0}^{(\bar{R})}}}. \tag{9.3}$$

of (9.1) by arguments that parallel those in Sections 3 and 4 of [6]. Namely, we prove (9.3) by showing that both sides satisfy the same recurrence. The formal proof is set up as a proof by induction.

The recurrence satisfied by the left hand side of (9.3) is obtained by applying Kuo condensation as follows.

Let G be the planar dual graph of the region $R_{x,y,z}^{A,B,C}(a, b, c, a', b', c')$, choose the vertices α, β, γ and δ of G to be the duals of the unit triangles indicated on the top right in Fig. 21, and apply Theorem 5. Then all six graphs in the equation resulting from (5.1) are planar duals of regions that become triad hexagons once all forced lozenges are removed from them (this is illustrated in Fig. 21).

The change in the x -, y - and z -parameters of the resulting triad hexagons is easily read off from Fig. 21. As the lobe sizes a, b, c, a', b', c' and the geometrical position of the focal points A, B and C remain unchanged for all resulting regions, one sees that (5.1) becomes

$$\begin{aligned} &M(R_{x,y,z}^{A,B,C}(a, b, c, a', b', c')) M(R_{x,y-1,z-1}^{A,B,C}(a, b, c, a', b', c')) \\ &= M(R_{x,y-1,z}^{A,B,C}(a, b, c, a', b', c')) M(R_{x,y,z-1}^{A,B,C}(a, b, c, a', b', c')) \\ &\quad + M(R_{x-1,y,z}^{A,B,C}(a, b, c, a', b', c')) M(R_{x+1,y-1,z-1}^{A,B,C}(a, b, c, a', b', c')). \end{aligned} \tag{9.4}$$

We use this recurrence to prove (9.3) by induction on $x + y + z$. As $x, y, z \geq 1$ is a necessary condition in order for all the regions in (9.4) to be defined, the cases when $x = 0, y = 0$ or $z = 0$ will be base cases of our induction.

In fact, in order for (9.4) to hold, an additional condition needs to hold: The pattern of forced lozenges needs to be as indicated in Fig. 21. Assuming $x, y, z \geq 1$ (so that there

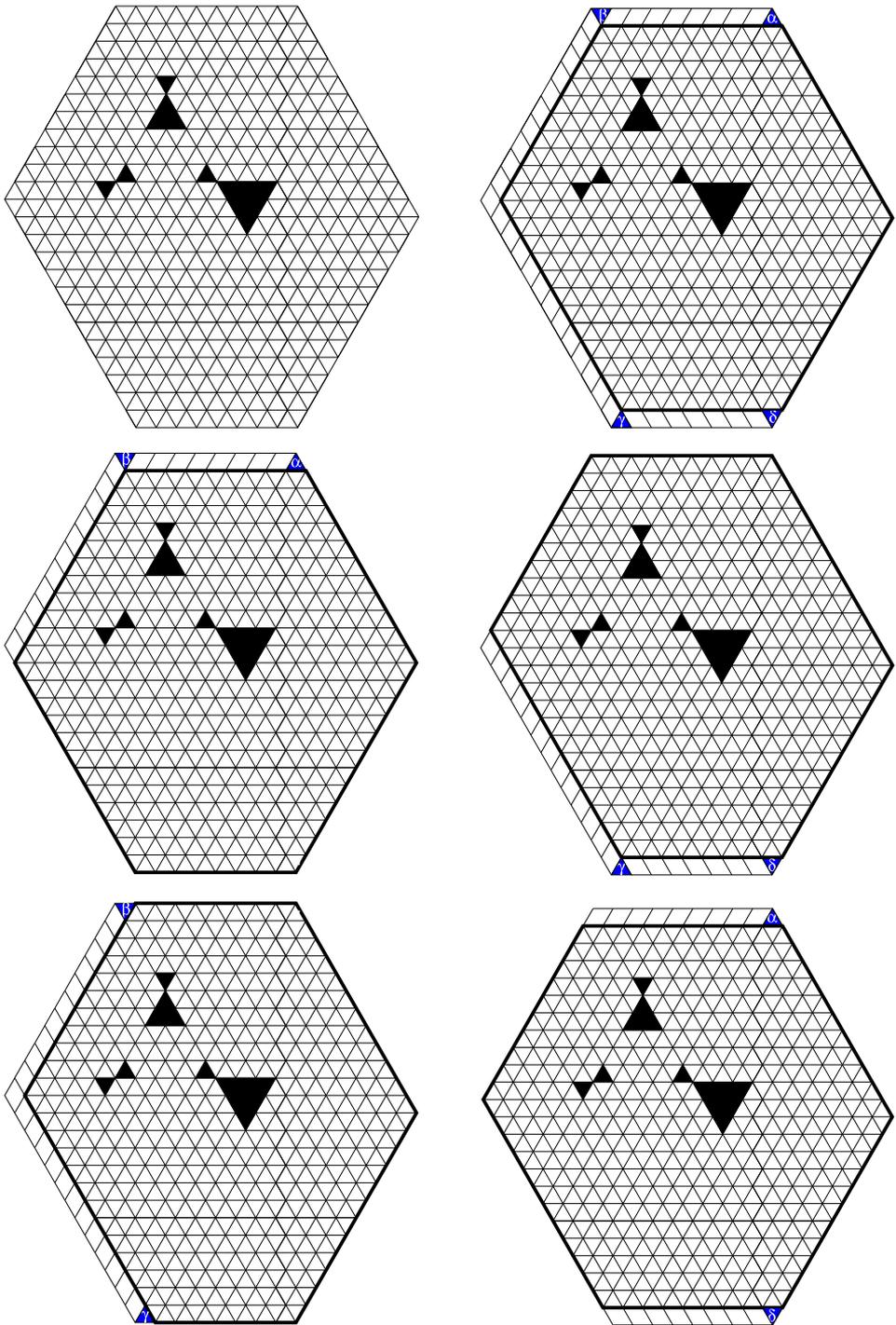


Fig. 21. Obtaining the recurrence for the left hand side of (9.3). Recall the triad hexagon dimensions are as shown in the picture on the right in Fig. 2.

is room for the indicated unit triangles along the corresponding edges), this happens provided (i) the distance between the a -lobe and the northern side of the hexagon is positive, (ii) the distance between the b -lobe and the southwestern side of the hexagon is positive, (iii) the NW-depth of the triad is positive, and (iv) the S-depth of the triad is positive.

Our base cases will be the following: (1) $x = 0, y = 0$ or $z = 0$; (2) at least two of the bowties touch the hexagon side they are facing; and (3) at least one of the three triad depths is equal to zero.

Each of these base cases is handled separately. If none of them occurs, then $x, y, z \geq 1$, all three triad depths are positive, and at most one bowtie touches the hexagon side it is facing. By symmetry, we may assume that the (a, a') - and (b, b') -bowtie do not touch the hexagon side they are facing, and therefore conditions (i)–(iv) in the paragraph before the previous one are met, and equation (9.4) holds.

For base case (1), the details of the case $x = 0$ were presented in the previous section. The cases when $y = 0$ or $z = 0$ follow by symmetry.

Base case (2) is the object of Section 7. Finally, base case (3) follows by Section 6. Indeed, assume without loss of generality that the S-depth is zero. Then considering Fig. 12, one sees (in the special case $b' = c' = 0$, but the argument works in general) that the shaded hexagon must be internally tiled, while the parallelograms flanking it are clearly completely tiled by forced tiles. Therefore, base case (3) follows by Proposition 2 and formula (1.1).

For the induction step, let $x, y, z \geq 1$ and assume that (9.3) holds for all triad hexagons with the sum of their x -, y - and z -parameters strictly less than $x + y + z$. Consider the triad hexagon $R_{x,y,z}^{A,B,C}(a, b, c, a', b', c')$, and assume that conditions (i)–(iv) above hold. We need to deduce that (9.3) holds also for $R_{x,y,z}^{A,B,C}(a, b, c, a', b', c')$.

As $x, y, z \geq 1$ and conditions (i)–(iv) hold, we can apply (9.4). Since for the last five triad hexagons in (9.4) the sum of the x -, y - and z -parameters is strictly less than $x + y + z$, by the induction hypothesis, their number of lozenge tilings can be expressed as indicated by formula (9.3). Do this for each of these five regions in (9.4). This yields a certain expression for $M(R_{x,y,z}^{A,B,C}(a, b, c, a', b', c'))$, as its coefficient in (9.4) is non-zero under our assumptions (by Lemma 1). To complete the proof, we need to verify that this expression agrees with the right hand side of (9.3). This amounts to checking that the right hand side of (9.3) satisfies recurrence (9.4). We carry out this verification in Section 9. This completes the proof. \square

10. Verifying that the right hand side of (9.3) satisfies recurrence (9.4)

Fig. 21 illustrates the six regions in equation (9.4). On the top left is the triad hexagon $R = R_{x,y,z}^{A,B,C}(a, b, c, a', b', c')$. For ease of reference, denote the top right, center left, center right, bottom left and bottom right regions in Fig. 21 by R_2, R_3, R_4, R_5 and R_6 , respectively.

Then in order to verify that the right hand side of (9.3) satisfies recurrence (9.4), we need to prove that

$$\begin{aligned}
 & M(\bar{R}) \frac{w^{(R)} \frac{k_A^{(R)} k_B^{(R)} k_C^{(R)}}{k_{BC}^{(R)} k_{AC}^{(R)} k_{AB}^{(R)}}}{w^{(\bar{R})} \frac{k_{A_0}^{(\bar{R})} k_{B_0}^{(\bar{R})} k_{C_0}^{(\bar{R})}}{k_{B_0 C_0}^{(\bar{R})} k_{A_0 C_0}^{(\bar{R})} k_{A_0 B_0}^{(\bar{R})}}} M(\bar{R}_2) \frac{w^{(R_2)} \frac{k_A^{(R_2)} k_B^{(R_2)} k_C^{(R_2)}}{k_{BC}^{(R_2)} k_{AC}^{(R_2)} k_{AB}^{(R_2)}}}{w^{(\bar{R}_2)} \frac{k_{A_0}^{(\bar{R}_2)} k_{B_0}^{(\bar{R}_2)} k_{C_0}^{(\bar{R}_2)}}{k_{B_0 C_0}^{(\bar{R}_2)} k_{A_0 C_0}^{(\bar{R}_2)} k_{A_0 B_0}^{(\bar{R}_2)}}} \\
 &= M(\bar{R}_3) \frac{w^{(R_3)} \frac{k_A^{(R_3)} k_B^{(R_3)} k_C^{(R_3)}}{k_{BC}^{(R_3)} k_{AC}^{(R_3)} k_{AB}^{(R_3)}}}{w^{(\bar{R}_3)} \frac{k_{A_0}^{(\bar{R}_3)} k_{B_0}^{(\bar{R}_3)} k_{C_0}^{(\bar{R}_3)}}{k_{B_0 C_0}^{(\bar{R}_3)} k_{A_0 C_0}^{(\bar{R}_3)} k_{A_0 B_0}^{(\bar{R}_3)}}} M(\bar{R}_4) \frac{w^{(R_4)} \frac{k_A^{(R_4)} k_B^{(R_4)} k_C^{(R_4)}}{k_{BC}^{(R_4)} k_{AC}^{(R_4)} k_{AB}^{(R_4)}}}{w^{(\bar{R}_4)} \frac{k_{A_0}^{(\bar{R}_4)} k_{B_0}^{(\bar{R}_4)} k_{C_0}^{(\bar{R}_4)}}{k_{B_0 C_0}^{(\bar{R}_4)} k_{A_0 C_0}^{(\bar{R}_4)} k_{A_0 B_0}^{(\bar{R}_4)}}} \\
 &+ M(\bar{R}_5) \frac{w^{(R_5)} \frac{k_A^{(R_5)} k_B^{(R_5)} k_C^{(R_5)}}{k_{BC}^{(R_5)} k_{AC}^{(R_5)} k_{AB}^{(R_5)}}}{w^{(\bar{R}_5)} \frac{k_{A_0}^{(\bar{R}_5)} k_{B_0}^{(\bar{R}_5)} k_{C_0}^{(\bar{R}_5)}}{k_{B_0 C_0}^{(\bar{R}_5)} k_{A_0 C_0}^{(\bar{R}_5)} k_{A_0 B_0}^{(\bar{R}_5)}}} M(\bar{R}_6) \frac{w^{(R_6)} \frac{k_A^{(R_6)} k_B^{(R_6)} k_C^{(R_6)}}{k_{BC}^{(R_6)} k_{AC}^{(R_6)} k_{AB}^{(R_6)}}}{w^{(\bar{R}_6)} \frac{k_{A_0}^{(\bar{R}_6)} k_{B_0}^{(\bar{R}_6)} k_{C_0}^{(\bar{R}_6)}}{k_{B_0 C_0}^{(\bar{R}_6)} k_{A_0 C_0}^{(\bar{R}_6)} k_{A_0 B_0}^{(\bar{R}_6)}}}, \tag{10.1}
 \end{aligned}$$

where for $i = 2, \dots, 6$, \bar{R}_i is the region obtained from R_i by completely squeezing out each of its three bowties. Note that the triad of bowties (and in particular the focal points) in the regions R_i are the same⁷ as in R , and a similar statement relates the regions \bar{R}_i and \bar{R} , for $i = 2, \dots, 6$.

It turns out that the products of the two fractions in each of the three terms in (10.1) have the same value. Indeed, all six weights w of the unbarred regions are clearly equal, as these six regions share the same triad of bowties, and w only depends on the geometry of this triad (see (2.2)); for the same reason, the six weights w of the barred regions are also equal. Furthermore, one readily sees from equation (2.3) and Fig. 21 that

$$k_A^{(R)} k_A^{(R_2)} = k_A^{(R_3)} k_A^{(R_4)} = k_A^{(R_5)} k_A^{(R_6)}. \tag{10.2}$$

This is because in each of the six regions, the distance from the focal point A to the northern boundary is equal to either d or $d + 1$, and its distance to the southern boundary is either e or $e + 1$, for some non-negative integers d and e . Then by (2.3), it follows from Fig. 21 that each of the three quantities in (10.2) is equal to $H(d) H(e) H(d + 1) H(e + 1)$.

⁷ By Definition 1 in Section 2, a triad hexagon region consists of an outer hexagon, a triad of bowties, and a placement of the latter inside the former. What we mean by the focal points in R_i being the same as those in R is that, while the outer hexagons in the R_i 's are obtained by “peeling off” unit width strips from R as indicated in Fig. 21, they all involve the same bowtie triad as R , and its placement is not changed as these peeling operations are carried out.

In a similar way, one sees that

$$k_B^{(R)} k_B^{(R_2)} = k_B^{(R_3)} k_B^{(R_4)} = k_B^{(R_5)} k_B^{(R_6)}, \tag{10.3}$$

$$k_C^{(R)} k_C^{(R_2)} = k_C^{(R_3)} k_C^{(R_4)} = k_C^{(R_5)} k_C^{(R_6)}, \tag{10.4}$$

and also that

$$k_{BC}^{(R)} k_{BC}^{(R_2)} = k_{BC}^{(R_3)} k_{BC}^{(R_4)} = k_{BC}^{(R_5)} k_{BC}^{(R_6)}, \tag{10.5}$$

$$k_{AC}^{(R)} k_{AC}^{(R_2)} = k_{AC}^{(R_3)} k_{AC}^{(R_4)} = k_{AC}^{(R_5)} k_{AC}^{(R_6)}, \tag{10.6}$$

and

$$k_{AB}^{(R)} k_{AB}^{(R_2)} = k_{AB}^{(R_3)} k_{AB}^{(R_4)} = k_{AB}^{(R_5)} k_{AB}^{(R_6)}. \tag{10.7}$$

Therefore, the products of the couples k at the numerators in (10.1) are equal across the three terms. Since the barred regions at the denominators are special cases of regions at the numerators, the same conclusion holds also for the denominators in (10.1).

Thus, (10.1) simplifies to

$$M(\bar{R})M(\bar{R}_2) = M(\bar{R}_3)M(\bar{R}_4) + M(\bar{R}_5)M(\bar{R}_6). \tag{10.8}$$

However, this holds by Kuo’s condensation identity (5.1).

11. Proof of Lemma 1

Part (b) follows directly from the definition of bowtie squeezing. Part (c) is an immediate consequence of parts (a) and (b).

To prove part (a), consider a triad hexagon $R = R_{x,y,z}^{A,B,C}(a, b, c, a', b', c')$ whose S-, NE- and NW-depths are non-negative. Note that if we translate eastward the two sides of R making up its western boundary so that the translated sides still meet the top and bottom sides, but do not cross the bowtie triad, and we denote by Q the smaller region obtained this way (which is also a triad hexagon), then R is tileable if Q is (indeed, simply tile the portion of R that is in excess of Q by its unique tiling). Analogous statements hold for the union of any two consecutive sides of the outer boundary of R .

Let $R^{(i)}$ be the region obtained from R by translating its western boundary eastward, until it either touches the bowtie triad,⁸ or the NW-depth becomes zero, or the x -parameter becomes zero. Let $R^{(ii)}$ be the region obtained from $R^{(i)}$ by translating its eastern boundary westward, until it either touches the bowtie triad, or the NE-depth becomes zero, or the x -parameter becomes zero. Let $R^{(iii)}$ be the region obtained from

⁸ We say that the boundary touches the bowtie triad if at least one of the outermost sides of the three bowties is contained in the boundary hexagon (in other words, at least one bowtie is touching the hexagon side it is facing).

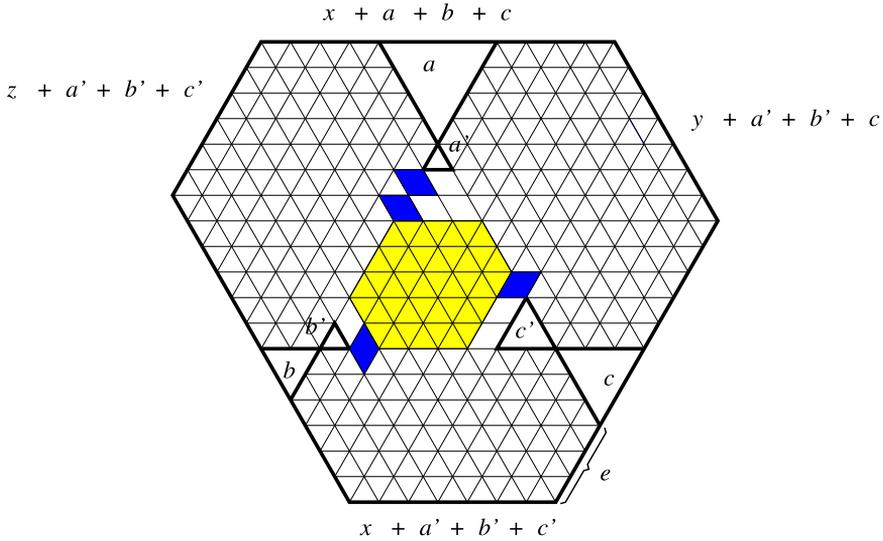


Fig. 22. The case when all three bowties touch the hexagon side they face.

$R^{(ii)}$ by translating its northeastern boundary southwestward, until it either touches the bowtie triad, or the NE-depth becomes zero, or the z -parameter becomes zero. Finally, let $R^{(iv)}$ be the region obtained from $R^{(iii)}$ by translating its southwestern boundary northeastward, until it either touches the bowtie triad, or the S-depth becomes zero, or the z -parameter becomes zero.

By the observation in the second paragraph of this proof, it suffices to show that $R^{(iv)}$ is tileable. By construction, $R^{(iv)}$ is a triad hexagon in which at least one of the following holds: (1) the three bowties touch the hexagon sides they are facing, or (2) one of the S-, NE- or NW-depths is equal to zero, or (3) one of x and z is zero. The latter case follows by equation (8.2) and case (1), as both the magnet bar and the snowman regions are special cases of the instance when each of the three bowties touches the facing hexagon side. We handle cases (1) and (2) below.

If the three bowties touch the hexagon sides they are facing, the situation is as pictured in Fig. 22. Letting the focal distance⁹ be $f + a' + b' + c'$, we claim that $x \leq f$. Indeed, consider the hexagonal subregion below the bottom focal line. Since in any lattice hexagon the length difference of opposite sides is the same, we have that

$$(f + a' + b' + c') - (x + a' + b' + c') = e - b, \tag{11.1}$$

where e is the length of the portion of the southeastern side below the c -lobe. Since the S-depth is non-negative, we have $e \geq b$, and we obtain $x \leq f$. By symmetry, we also get $y, z \leq f$.

⁹ In this section we find it convenient to write the focal distance — denoted by f in the rest of the paper — as $a' + b' + c'$ plus some non-negative integer; in order to avoid introducing another letter, we write it as $f + a' + b' + c'$.

Consider $f - x$ consecutive vertical lozenges straddling the bottom focal segment, next to the b' -lobe (the distance between the b' - and c' -lobes is $f + a' \geq f - x$, so there is room for these vertical lozenges). Consider analogous runs of $f - y$ and $f - z$ consecutive lozenges on the northeastern and northwestern focal segments, respectively, as indicated in Fig. 22. Remove the indicated $3f - x - y - z$ lozenges, and regard the leftover region as being made up of four parts: the part inside the focal triangle, and the three hexagonal regions outside it.

We claim that upon removing forced lozenges, the hexagonal region left over inside the focal triangle has equal opposite sides, and is therefore tileable. Indeed, the length of the southwestern side of this hexagon is $f - x + b'$, while the length of the side opposite it is $f + b' - (f - y) - (f - z)$, so the two are equal precisely if $2f = x + y + z$. However, this follows by noticing that the sum of the lengths of the southwestern and northwestern sides of the outer hexagon is equal to $d(A, N) + d(A, S)$. Indeed, the former is clearly $y + z + a + b + c + a' + b' + c'$, while the latter is

$$\begin{aligned} d(A, N) + d(A, S) &= a + (f + a' + b' + c') + c + e \\ &= a + (f + a' + b' + c') + c + (f - x + b) \\ &= 2f - x + a + b + c + a' + b' + c', \end{aligned}$$

where we used (11.1) at the second equality.

Furthermore, the hexagonal region under the bottom focal line, with the dents created by the considered $f - x$ vertical lozenges, is balanced,¹⁰ and this readily implies that it is tileable.¹¹ By symmetry, so are the two dented hexagonal regions above the other two focal lines. It follows that the region R itself is tileable.

In the remaining case (2), we may assume without loss of generality that the S-depth of R is zero. There are eight cases to be distinguished here, depending on which side of the dotted lines L_1, L_2 and L_3 in Fig. 23 the western, southeastern and northeastern vertices of the hexagon are, respectively. The arguments in all cases are analogous. We discuss therefore only the case pictured in Fig. 23.

The three shown dotted lines L_1, L_2 and L_3 divide R into four subregions: R_1, R_2, R_3 and the focal triangle. We show that six non-negative integers $n_1, n_2, n_3, n'_1, n'_2, n'_3$ exist so that if one takes a run of n_1 consecutive vertical lozenges straddling L_1 immediately to the right of the southwestern side, n'_1 consecutive vertical lozenges straddling L_1 immediately to the right of the b' -lobe, and four analogous runs of consecutive lozenges straddling L_2 and L_3 , then the portions R'_1, R'_2, R'_3 and T' of R_1, R_2, R_3 and the focal

¹⁰ I.e., has the same number of up- and down-pointing unit triangles.

¹¹ The quickest way to see this is to notice that this region is a trapezoid with unit triangles removed from along its long base, and therefore its number of lozenge tilings is given, as noticed by Cohn, Larsen and Propp [8], by a simple product formula of Gelfand and Tsetlin [11] on monotone triangles; this product is clearly non-zero, so a tiling exists. Another way is to think in terms of the encoding of a tiling by paths of lozenges, and see that for instance the unit segments on the trapezoid side with dents on it can be connected by non-intersecting paths of lozenges to the unit segments on the opposite side.

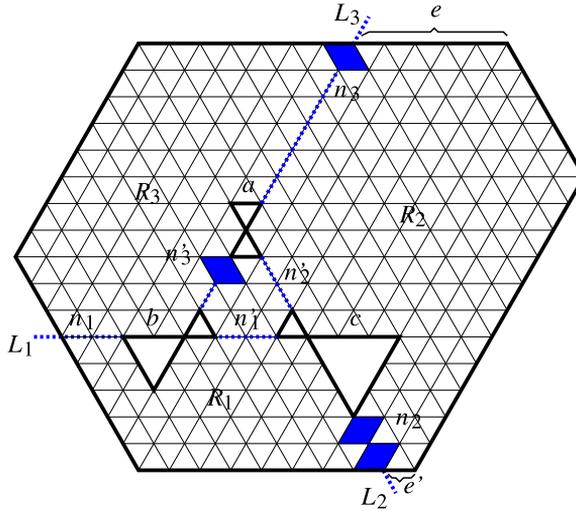


Fig. 23. The case when the southern depth is 0.

triangle left over after removing these $n_1 + n_2 + n_3 + n'_1 + n'_2 + n'_3$ lozenges are each tileable. This will imply that R itself is tileable.

It is easy to see that R'_1, R'_2, R'_3 and T' are tileable if and only if they are balanced (this follows by footnote 12 for the first three regions, and by noticing that the region obtained from T' after removing the forced lozenges is a lattice hexagon).

Choose $n_1 = n'_1 = 0$, and $n_2 = b$. This choice makes R'_1 balanced, hence tileable. A straightforward comparison of the number of up- and down-pointing unit triangles¹² in R'_2 (alternatively, counting the horizontal edges on the border and using the paths of lozenges interpretation of a tiling) shows that, with this choice of n_2 , R'_2 is balanced precisely if

$$n'_2 = e - e' - c - b + n_3, \tag{11.2}$$

where e and e' are the lengths indicated in Fig. 23.

We claim that to finish the proof of the tileability of R , it suffices to show that there exists a solution n'_2 and n_3 of (11.2) satisfying

$$0 \leq n'_2 \leq f \tag{11.3}$$

$$0 \leq n_3 \leq \delta, \tag{11.4}$$

where as before the focal length is $f = a + a' + b + c'$, and δ is the distance (measured in lattice spacings) between the a -lobe and the top side of R . Indeed, with these values of n'_2 and

¹² Using also the fact that the difference between the number of unit triangles of the two orientations in a lattice hexagon is equal to the difference between the lengths of any two opposite sides.

n_3 , by (11.2), R'_2 is balanced, hence tileable. Choose $n'_3 = f - n'_2$. This guarantees that T' is balanced, so it is tileable. Furthermore, since R, R'_1, R'_2 and T' are all balanced, it follows that R'_3 is also balanced, hence tileable. This will then indeed complete the proof for case (2).

We now show that (11.2) has solutions satisfying (11.3) and (11.4). We have

$$\begin{aligned} n'_2 \leq f &\Leftrightarrow e - e' - c - b + n_3 \leq f \\ &\Leftrightarrow (f - y + b + c) - c - b + n_3 \leq f \\ &\Leftrightarrow n_3 \leq y, \end{aligned} \tag{11.5}$$

where we used that $e - e' = (f + a' + b' + c' + b + c) - (y + a' + b' + c') = f - y + b + c$ (which holds due to the fact that in the lattice hexagon R_2 the difference between the lengths of opposite sides is the same; the southwestern side has length $f + a' + b' + c' + b + c$ because we are assuming that the S -depth of the triad hexagon is zero).

Similarly, we have

$$\begin{aligned} n'_2 \geq 0 &\Leftrightarrow e - e' - c - b + n_3 \geq 0 \\ &\Leftrightarrow n_3 \geq y - f. \end{aligned} \tag{11.6}$$

Therefore, in order to prove that (11.2) has solutions satisfying (11.3) and (11.4), we need to show that the intervals $[y - f, y]$ and $[0, \delta]$ are not disjoint. For this it suffices to prove that we cannot have $\delta < y - f$. Since in the hexagon R_2 the difference between the lengths of opposite sides is the same, have that

$$\begin{aligned} f - y + b + c &= (f + a' + b' + c' + b + c) - (y + a' + b' + c') \\ &= (z + a + b + c) - (\delta + a) \\ &= z - \delta + b + c, \end{aligned} \tag{11.7}$$

and therefore $f - y = z - \delta$. But then $y - f = \delta - z \leq \delta$, and the proof is complete.

12. Concluding remarks

In this paper we presented a simple product formula that relates the number of lozenge tilings of two triad hexagons (hexagonal regions with a triad of bowties removed from them) that can be obtained from one another by a sequence of bowtie squeezing operations. One new aspect of this formula is that the number of tilings of the two involved regions is not given in general by a simple product formula, but their ratio always is (see [15], [14] and [1] for another similar phenomenon). Several previous results from the literature readily follow from our result, including Lai’s formula [13] for the number of lozenge tilings of hexagons with three dents, and Ciucu and Krattenthaler’s formula [5] concerning hexagons with a removed shamrock (see Section 2).

Another new aspect is that our formula is conceptual — it is determined by the geometry of the triad of bowties and the distances from the focal points to the sides of the hexagon. This provided us with three advantages: (i) we were able to avoid the somewhat tedious splitting into the cases when x , y and z do or do not have the same parity, (ii) the base cases and the verification that the claimed formula satisfies the recurrence could be handled essentially with no calculations, just looking at the relevant figures, and (iii) we were able to present the full details of the calculations in the relatively short sections 8 and 10.

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