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Asymptotically optimal Boolean functions

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ABSTRACT

The largest Hamming distance between a Boolean function in n variables and the set of all affine Boolean functions in n variables is known as the covering radius ρ_n of the $[2^n, n+1]$ Reed–Muller code. This number determines how well Boolean functions can be approximated by linear Boolean functions. We prove that

$$\lim_{n \rightarrow \infty} 2^{n/2} - \rho_n / 2^{n/2-1} = 1,$$

which resolves a conjecture due to Patterson and Wiedemann from 1983.

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1. Introduction and results

The Hamming distance of two Boolean functions $F, G : \mathbb{F}_2^n \rightarrow \mathbb{F}_2$ is

$$d(F, G) = \#\{y \in \mathbb{F}_2^n : F(y) \neq G(y)\}.$$

Put

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$$\rho_n = \max_F \min_G d(F, G),$$

where the maximum is over all functions F from \mathbb{F}_2^n to \mathbb{F}_2 and the minimum is over all 2^{n+1} affine functions G from \mathbb{F}_2^n to \mathbb{F}_2 . Then ρ_n equals the covering radius of the $[2^n, n+1]$ Reed–Muller code, whose determination is one of the oldest and most difficult open problems in coding theory [6], [14], [17]. We refer to [4] for background on the covering radius of codes in general and its combinatorial and geometric significance. The determination of ρ_n also answers the question of how well Boolean functions can be approximated by linear functions, which is of significance in cryptography [3]. One can also interpret ρ_n in terms of the Fourier coefficients of Boolean functions (see Section 2).

It is convenient to define

$$\mu_n = 2^{n/2} - \rho_n / 2^{n/2-1}.$$

An averaging argument shows that $\mu_n \geq 1$ (see Section 2) and a simple recursive construction involving functions of the form $F(y) + uv$ on \mathbb{F}_2^{n+2} shows that $\mu_{n+2} \leq \mu_n$. The fact that $\mu_2 = 1$ implies that $\mu_n = 1$ for all even n ; the functions attaining the minimum are known as *bent* functions and these have been studied extensively for more than forty years [15], [12].

We are interested in the case that n is odd. Since $\mu_1 = \sqrt{2}$, we have $1 \leq \mu_n \leq \sqrt{2}$. It is known that equality holds in the upper bound for $n = 3$ (trivial), for $n = 5$ [1], and for $n = 7$ [13], [7]. It was suggested in [6] that $\mu_n = \sqrt{2}$ for all odd n , which was disproved by Patterson and Wiedemann [14], by showing that

$$\mu_n \leq \sqrt{729/512} = 1.19\dots \quad \text{for each } n \geq 15. \quad (1)$$

More recently it was shown by Kavut and Yücel [8] that

$$\mu_n \leq \sqrt{49/32} = 1.23\dots \quad \text{for each } n \geq 9.$$

Patterson and Wiedemann [14] also conjectured that $\lim_{n \rightarrow \infty} \mu_n = 1$. However no improvement of (1) for large n has been found since this conjecture has been posed in 1983. We shall prove that this conjecture is true.

Theorem 1. *We have $\lim_{n \rightarrow \infty} \mu_n = 1$.*

Several researchers (for example in [16], [5], [11]) also investigated

$$\rho'_n = \max_F \min_G d(F, G),$$

where now the maximum is over all *balanced* functions F from \mathbb{F}_2^n to \mathbb{F}_2 (which means that F takes the values 0 and 1 equally often) and the minimum is still over all affine functions G from \mathbb{F}_2^n to \mathbb{F}_2 . Put

$$\mu'_n = 2^{n/2} - \rho'_n/2^{n/2-1}.$$

Slight modifications of our proof of Theorem 1 lead to the following result, which proves a conjecture due to Dobbertin [5, Conjecture B] from 1995.

Theorem 2. *We have $\lim_{n \rightarrow \infty} \mu'_n = 1$.*

2. Proof of main result

In what follows, we identify \mathbb{F}_2^n with \mathbb{F}_{2^n} and consider functions $f : \mathbb{F}_{2^n} \rightarrow \mathbb{C}$. Let $\psi : \mathbb{F}_{2^n} \rightarrow \mathbb{C}$ be the canonical additive character of \mathbb{F}_{2^n} , which is given by $\psi(y) = (-1)^{\text{Tr}(y)}$, where Tr is the absolute trace function on \mathbb{F}_{2^n} . The *Fourier transform* of f is the function $\hat{f} : \mathbb{F}_{2^n} \rightarrow \mathbb{C}$ given by

$$\hat{f}(a) = \frac{1}{2^{n/2}} \sum_{y \in \mathbb{F}_{2^n}} f(y) \psi(ay).$$

It is well known [3] and readily verified that

$$\mu_n = \min_f \max_{a \in \mathbb{F}_{2^n}} |\hat{f}(a)|,$$

where the minimum is over all functions $f : \mathbb{F}_{2^n} \rightarrow \{-1, 1\}$. From Parseval's identity

$$\sum_{a \in \mathbb{F}_{2^n}} |\hat{f}(a)|^2 = \sum_{y \in \mathbb{F}_{2^n}} |f(y)|^2$$

it follows now that $\mu_n \geq 1$.

We shall construct functions f with image $\{-1, 1\}$ for which $|\hat{f}(a)|$ is small for all $a \in \mathbb{F}_{2^n}$. Let H be a (multiplicative) subgroup of $\mathbb{F}_{2^n}^*$ of index v and define the indicator function of H on \mathbb{F}_{2^n} by

$$\mathbb{1}_H(y) = \begin{cases} 1 & \text{for } y \in H \\ 0 & \text{otherwise.} \end{cases}$$

Let $h : H \rightarrow \{-1, 1\}$ be a function to be specified later. Let T be a complete system of coset representatives of H in $\mathbb{F}_{2^n}^*$ and let $g : T \rightarrow \{0, -1, 1\}$ be a function satisfying $g(z) = 0$ if and only if $z \in H$ and such that g is balanced, which means that the images -1 and 1 occur equally often. We define $f : \mathbb{F}_{2^n} \rightarrow \{-1, 1\}$ by $f(0) = 1$ and

$$f(y) = \mathbb{1}_H(y) h(y) + \sum_{z \in T} \mathbb{1}_H(y/z) g(z) \quad \text{for } y \in \mathbb{F}_{2^n}^*.$$

Note that f is constant on the cosets of H , except for H itself. Such functions were also used by Patterson and Wiedemann [14] in their proof of (1) and have been also studied in several other papers, for example in [2].

Recall that $\text{ord}_v(a)$ for integers v and a with $v > 0$ and $\gcd(a, v) = 1$ is the smallest positive integer t such that $v \mid a^t - 1$. Note that for every multiple n of $\text{ord}_v(2)$, there exists a subgroup of $\mathbb{F}_{2^n}^*$ of index v .

Proposition 3. *Let e be a positive integer and let $v = 7^e$. Then there exists an odd multiple n of $\text{ord}_v(2)$ and a function $h : H \rightarrow \{-1, 1\}$ such that the function $f : \mathbb{F}_{2^n} \rightarrow \{-1, 1\}$, defined above, satisfies*

$$\max_{a \in \mathbb{F}_{2^n}} |\hat{f}(a)| \leq 1 + 12 \sqrt{\frac{\log(2v)}{v}}.$$

Since $\text{ord}_7(2) = 3$ and 2 is a square modulo 7^e , Euler's theorem can be used to show that $\text{ord}_{7^e}(2)$ equals $\phi(7^d)/2 = 3 \cdot 7^{d-1}$ for some positive integer d (where ϕ is the Euler totient function). Indeed, using $\text{ord}_{7^2}(2) = 21$, a routine induction involving the binomial theorem shows that $\text{ord}_{7^e}(2)$ equals $\phi(7^e)/2$.

Therefore $\text{ord}_{7^e}(2)$ is odd for all positive integers e . Now let e tend to infinity in Proposition 3 and use $\mu_n = 1$ for all even n and the inequality $1 \leq \mu_{n+2} \leq \mu_n$ for all n to deduce Theorem 1 from Proposition 3.

Remark. Proposition 3 remains true if 7 is replaced by an arbitrary prime q satisfying $q \equiv 3 \pmod{4}$ and $\text{ord}_{q^e}(2) = \phi(q^e)/2$ for each $e \in \{1, 2\}$ (as for $q = 7$, this ensures that this identity holds for all positive integers e). The first primes of this form are 7, 23, 47, 71, 79, but it is not known whether there are infinitely many such primes. We choose $q = 7$ to keep our proof simple.

To prove Proposition 3, we define functions $f_1, f_2 : \mathbb{F}_{2^n} \rightarrow \{0, -1, 1\}$ by

$$\begin{aligned} f_1(y) &= \mathbb{1}_H(y) h(y), \\ f_2(y) &= \sum_{z \in T} \mathbb{1}_H(y/z) g(z), \end{aligned}$$

so that $f(y) = f_1(y) + f_2(y)$ for all $y \in \mathbb{F}_{2^n}^*$ and $\hat{f}(a) = 2^{-n/2} + \hat{f}_1(a) + \hat{f}_2(a)$ for all $a \in \mathbb{F}_{2^n}$. We shall see that bounding $|\hat{f}_1(a)|$ is not difficult using known results from probabilistic combinatorics. Bounding $|\hat{f}_2(a)|$ requires a little more work.

For a multiplicative character χ of $\mathbb{F}_{2^n}^*$, the *Gauss sum* $G(\chi)$ is defined to be

$$G(\chi) = \sum_{y \in \mathbb{F}_{2^n}^*} \psi(y) \chi(y).$$

It is well known that $|G(\chi)| = 2^{n/2}$ if χ is nontrivial (which means that $\chi(y) \neq 1$ for some $y \in \mathbb{F}_{2^n}^*$) [10, Theorem 5.11].

We begin with the following elementary lemma.

Lemma 4. Let $\epsilon > 0$ and suppose that, for all nontrivial multiplicative characters χ of \mathbb{F}_{2^n} of order dividing v , we have

$$\left| \frac{G(\chi)}{2^{n/2}} - 1 \right| \leq \epsilon.$$

Then we have

$$\max_{a \in \mathbb{F}_{2^n}} |\hat{f}_2(a)| \leq 1 + \epsilon v.$$

Proof. Since g is balanced, we have $\hat{f}_2(0) = 0$, so let $a \in \mathbb{F}_{2^n}^*$. Let χ be a multiplicative character of \mathbb{F}_{2^n} of order v . Then the indicator function $\mathbb{1}_H$ satisfies

$$\mathbb{1}_H(y) = \frac{1}{v} \sum_{j=0}^{v-1} \chi^j(y) \quad \text{for each } y \in \mathbb{F}_{2^n}^*. \quad (2)$$

Therefore we have

$$\begin{aligned} \sum_{y \in \mathbb{F}_{2^n}} \mathbb{1}_H(y) \psi(ay) &= \frac{1}{v} \sum_{j=0}^{v-1} \sum_{y \in \mathbb{F}_{2^n}^*} \psi(ay) \chi^j(y) \\ &= \frac{1}{v} \sum_{j=0}^{v-1} \chi^j(a^{-1}) \sum_{y \in \mathbb{F}_{2^n}^*} \psi(y) \chi^j(y) \\ &= \frac{1}{v} \sum_{j=0}^{v-1} \bar{\chi}^j(a) G(\chi^j), \end{aligned}$$

which we use to obtain

$$\begin{aligned} 2^{n/2} \hat{f}_2(a) &= \sum_{y \in \mathbb{F}_{2^n}} \sum_{z \in T} \mathbb{1}_H(y/z) g(z) \psi(ay) \\ &= \sum_{z \in T} g(z) \sum_{y \in \mathbb{F}_{2^n}} \mathbb{1}_H(y) \psi(ayz) \\ &= \frac{1}{v} \sum_{z \in T} g(z) \sum_{j=0}^{v-1} \bar{\chi}^j(az) G(\chi^j) \\ &= \frac{1}{v} \sum_{j=0}^{v-1} G(\chi^j) \bar{\chi}^j(a) \sum_{z \in T} g(z) \bar{\chi}^j(z). \end{aligned}$$

Now write $G(\chi^j) = 2^{n/2}(1 + \gamma_j)$, so that $|\gamma_j| \leq \epsilon$ for all $j \in \{1, \dots, v-1\}$ by our assumption. Since $G(\chi^0) = -1$, we obtain $\hat{f}_2(a) = M(a) + E(a)$, where

$$\begin{aligned}
M(a) &= \frac{1}{v} \sum_{j=1}^{v-1} \bar{\chi}^j(a) \sum_{z \in T} g(z) \bar{\chi}^j(z) - \frac{1}{2^{n/2} v} \sum_{z \in T} g(z) \\
&= \frac{1}{v} \sum_{z \in T} g(z) \sum_{j=1}^{v-1} \bar{\chi}^j(az) \\
&= \frac{1}{v} \sum_{z \in T} g(z) \sum_{j=0}^{v-1} \bar{\chi}^j(az) - \frac{1}{v} \sum_{z \in T} g(z) \\
&= g(b) \quad \text{for } b \in T \text{ such that } ab \in H,
\end{aligned}$$

using that g is balanced and (2) again, and

$$|E(a)| = \left| \frac{1}{v} \sum_{j=1}^{v-1} \gamma_j \bar{\chi}^j(a) \sum_{z \in T} g(z) \bar{\chi}^j(z) \right| \leq \epsilon v.$$

This gives the required result. \square

The following explicit evaluation of certain Gauss sums [9, Proposition 4.2] (see also [20, Theorem 4.1]) will help us to control the error term in Lemma 4.

Lemma 5 ([9, Proposition 4.2]). *Let $q > 3$ be a prime satisfying $q \equiv 3 \pmod{4}$. Let d be a positive integer, write $k = \phi(q^d)/2$, and let p be a prime such that $\text{ord}_{q^d}(p) = k$. Let τ be a multiplicative character of \mathbb{F}_{p^k} of order q^d and let h be the class number of $\mathbb{Q}(\sqrt{-q})$. Then*

$$G(\tau) = \frac{1}{2}(a + b\sqrt{-q})p^{(k-h)/2},$$

where a and b are integers satisfying $a, b \not\equiv 0 \pmod{p}$, $a^2 + b^2q = 4p^h$, and $ap^{(k-h)/2} \equiv -2 \pmod{q}$.

We shall apply Lemma 5 with $p = 2$ and $q = 7$. Since the class number of $\mathbb{Q}(\sqrt{-7})$ equals 1 and

$$2^{(\phi(7^d)/2-1)/2} \equiv 2 \pmod{7}$$

for all positive integers d , we find that $a = -1$ and $b^2 = 1$ in this case. As noted after Proposition 3, we have $\text{ord}_{7^d}(2) = \phi(7^d)/2$ for all positive integers d , so that the hypothesis in Lemma 5 is satisfied for $p = 2$ and $q = 7$.

As a corollary to Lemma 5, we obtain the following lemma.

Lemma 6. *Let e and d be integers satisfying $1 \leq d \leq e$ and write $m = \text{ord}_{7^e}(2)$. Let χ be a multiplicative character of $\mathbb{F}_{2^{sm}}$ of order 7^d . Then*

$$\frac{G(\chi)}{2^{sm/2}} = -(-1)^s \left(\frac{-1 \pm \sqrt{-7}}{2^{3/2}} \right)^{7^{e-d}s},$$

where the sign depends on χ .

Proof. Write $k = \text{ord}_{7^d}(2)$ and let τ be the multiplicative character of \mathbb{F}_{2^k} such that χ is the lifted character of τ , by which we mean that $\chi = \tau \circ N$, where N is the field norm from $\mathbb{F}_{2^{sm}}$ to \mathbb{F}_{2^k} . Lemma 5 and the preceding discussion implies that

$$G(\tau) = 2^{(k-3)/2}(-1 \pm \sqrt{-7}).$$

From the Davenport–Hasse theorem [10, Theorem 5.14] we find that

$$G(\chi) = -(-1)^{sm/k} \left[2^{(k-3)/2}(-1 \pm \sqrt{-7}) \right]^{sm/k},$$

and the lemma follows since $m/k = \phi(7^e)/\phi(7^d) = 7^{e-d}$. \square

The next lemma gives the desired control for the error term in Lemma 4.

Lemma 7. *Let e be a positive integer, let $v = 7^e$, and write $m = \text{ord}_v(2)$. Let $\epsilon > 0$. Then there is an infinite set S of odd positive integers such that, for all $s \in S$ and all nontrivial multiplicative characters χ of $\mathbb{F}_{2^{sm}}$ of order dividing v , we have*

$$|\arg G(\chi)| \leq \epsilon.$$

Here, $\arg(\xi) \in (-\pi, \pi]$ is the principal angle of a nonzero complex number ξ .

Proof. Let τ be a multiplicative character of \mathbb{F}_{2^m} of order v . Since the units of the ring of algebraic integers in $\mathbb{Q}(\sqrt{-7})$ are ± 1 , we find from Lemma 6 that $G(\tau)/2^{m/2}$ is not a root of unity. Therefore Weyl’s uniform distribution theorem [19, Satz 2] implies that $([G(\tau)/2^{m/2}]^{2i})_{i \in \mathbb{N}}$, and therefore also $([G(\tau)/2^{m/2}]^{2i+1})_{i \in \mathbb{N}}$, is uniformly distributed on the complex unit circle. Hence there is an infinite set S of odd positive integers such that

$$|\arg(G(\tau)^s)| \leq \frac{\epsilon}{7^{e-1}}$$

for all $s \in S$.

Let $s \in S$ and let τ' be the lifted character of τ to $\mathbb{F}_{2^{sm}}$, namely $\tau' = \tau \circ N$, where N is the field norm from $\mathbb{F}_{2^{sm}}$ to \mathbb{F}_{2^m} . Then τ' has order $v = 7^e$ and the Davenport–Hasse theorem [10, Theorem 5.14] states $G(\tau') = G(\tau)^s$, so that

$$|\arg G(\tau')| \leq \frac{\epsilon}{7^{e-1}}.$$

Now let χ be a multiplicative character of $\mathbb{F}_{2^{sm}}$ of order 7^d , where $1 \leq d \leq e$. Then by Lemma 6 we have

$$|\arg G(\chi)| \leq 7^{e-d} |\arg G(\tau')|,$$

which completes the proof. \square

We need one more classical result from probabilistic combinatorics due to Spencer [18].

Lemma 8 ([18, Theorem 7]). *Let A be a matrix of size $M \times N$ satisfying $M \geq N$ with real-valued entries of absolute value at most 1. Then, for all sufficiently large N , there exists $u \in \{-1, 1\}^N$ such that*

$$\|Au\| \leq 11\sqrt{N \log(2M/N)},$$

where $\|\cdot\|$ is the maximum norm on \mathbb{R}^M .

We now prove Proposition 3.

Proof of Proposition 3. Write $m = \text{ord}_v(2)$. Lemma 7 implies that, for all $\epsilon > 0$, there is an infinite set S of odd positive integers such that

$$\left| \frac{G(\chi)}{2^{sm/2}} - 1 \right| \leq \epsilon$$

for all $s \in S$ and all nontrivial multiplicative characters χ of $\mathbb{F}_{2^{sm}}$ of order dividing v . Writing $n = sm$ and taking $\epsilon = \frac{1}{2}\sqrt{\log(2v)/v^3}$, Lemma 4 then implies that

$$\max_{a \in \mathbb{F}_{2^n}} |\hat{f}_2(a)| \leq 1 + \frac{1}{2}\sqrt{\frac{\log(2v)}{v}}$$

for infinitely many odd positive integers n .

It remains to consider \hat{f}_1 . Since

$$\hat{f}_1(a) = \frac{1}{2^{n/2}} \sum_{y \in H} h(y)\psi(ay),$$

we find from Lemma 8 with $M = 2^n$ and $N = (2^n - 1)/v$ that, for all sufficiently large n , there exists $h : H \rightarrow \{-1, 1\}$ such that

$$\max_{a \in \mathbb{F}_{2^n}} |\hat{f}_1(a)| \leq 11\sqrt{\frac{\log(2v)}{v}}.$$

Since $\hat{f}(a) = 2^{-n/2} + \hat{f}_1(a) + \hat{f}_2(a)$ for all $a \in \mathbb{F}_{2^n}$, there is an odd integer n that is a multiple of $m = \text{ord}_v(2)$ such that

$$\max_{a \in \mathbb{F}_{2^n}} |\hat{f}(a)| \leq 1 + 12\sqrt{\frac{\log(2v)}{v}},$$

as required. \square

We now comment on the required modifications of our proof to prove Theorem 2. The function h identified in the proof of Proposition 3 satisfies

$$\left| \sum_{y \in H} h(y) \right| \leq 11 \sqrt{2^n \frac{\log(2v)}{v}}.$$

Therefore we have to change at most $6\sqrt{2^n \log(2v)/v}$ values of the function h to get

$$\sum_{y \in H} h(y) = -1.$$

This increases $|\hat{f}_1(a)|$ by at most $12\sqrt{\log(2v)/v}$. The resulting function f is balanced and satisfies

$$\max_{a \in \mathbb{F}_{2^n}} |\hat{f}(a)| \leq 1 + 24\sqrt{\frac{\log(2v)}{v}}.$$

Using $1 \leq \mu'_{n+2} \leq \mu'_n$, this shows that $\lim_{i \rightarrow \infty} \mu'_{2i+1} = 1$. We combine this with $\lim_{i \rightarrow \infty} \mu'_{2i} = 1$, which was already shown in [5], but also follows from our argument using further slight modifications, to obtain a proof of Theorem 2.

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