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Asymptotically optimal Boolean functions

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ABSTRACT

The largest Hamming distance between a Boolean function in n variables and the set of all affine Boolean functions in n variables is known as the covering radius ρ_n of the $[2^n, n + 1]$ Reed–Muller code. This number determines how well Boolean functions can be approximated by linear Boolean functions. We prove that

$$\lim_{n \rightarrow \infty} 2^{n/2} - \rho_n / 2^{n/2-1} = 1,$$

which resolves a conjecture due to Patterson and Wiedemann from 1983.

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1. Introduction and results

The Hamming distance of two Boolean functions $F, G : \mathbb{F}_2^n \rightarrow \mathbb{F}_2$ is

$$d(F, G) = \#\{y \in \mathbb{F}_2^n : F(y) \neq G(y)\}.$$

Put

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$$\rho_n = \max_F \min_G d(F, G),$$

where the maximum is over all functions F from \mathbb{F}_2^n to \mathbb{F}_2 and the minimum is over all 2^{n+1} affine functions G from \mathbb{F}_2^n to \mathbb{F}_2 . Then ρ_n equals the covering radius of the $[2^n, n + 1]$ Reed–Muller code, whose determination is one of the oldest and most difficult open problems in coding theory [6], [14], [17]. We refer to [4] for background on the covering radius of codes in general and its combinatorial and geometric significance. The determination of ρ_n also answers the question of how well Boolean functions can be approximated by linear functions, which is of significance in cryptography [3]. One can also interpret ρ_n in terms of the Fourier coefficients of Boolean functions (see Section 2).

It is convenient to define

$$\mu_n = 2^{n/2} - \rho_n/2^{n/2-1}.$$

An averaging argument shows that $\mu_n \geq 1$ (see Section 2) and a simple recursive construction involving functions of the form $F(y) + uv$ on \mathbb{F}_2^{n+2} shows that $\mu_{n+2} \leq \mu_n$. The fact that $\mu_2 = 1$ implies that $\mu_n = 1$ for all even n ; the functions attaining the minimum are known as *bent* functions and these have been studied extensively for more than forty years [15], [12].

We are interested in the case that n is odd. Since $\mu_1 = \sqrt{2}$, we have $1 \leq \mu_n \leq \sqrt{2}$. It is known that equality holds in the upper bound for $n = 3$ (trivial), for $n = 5$ [1], and for $n = 7$ [13], [7]. It was suggested in [6] that $\mu_n = \sqrt{2}$ for all odd n , which was disproved by Patterson and Wiedemann [14], by showing that

$$\mu_n \leq \sqrt{729/512} = 1.19\dots \quad \text{for each } n \geq 15. \tag{1}$$

More recently it was shown by Kavut and Yücel [8] that

$$\mu_n \leq \sqrt{49/32} = 1.23\dots \quad \text{for each } n \geq 9.$$

Patterson and Wiedemann [14] also conjectured that $\lim_{n \rightarrow \infty} \mu_n = 1$. However no improvement of (1) for large n has been found since this conjecture has been posed in 1983. We shall prove that this conjecture is true.

Theorem 1. *We have $\lim_{n \rightarrow \infty} \mu_n = 1$.*

Several researchers (for example in [16], [5], [11]) also investigated

$$\rho'_n = \max_F \min_G d(F, G),$$

where now the maximum is over all *balanced* functions F from \mathbb{F}_2^n to \mathbb{F}_2 (which means that F takes the values 0 and 1 equally often) and the minimum is still over all affine functions G from \mathbb{F}_2^n to \mathbb{F}_2 . Put

$$\mu'_n = 2^{n/2} - \rho'_n/2^{n/2-1}.$$

Slight modifications of our proof of Theorem 1 lead to the following result, which proves a conjecture due to Dobbertin [5, Conjecture B] from 1995.

Theorem 2. *We have $\lim_{n \rightarrow \infty} \mu'_n = 1$.*

2. Proof of main result

In what follows, we identify \mathbb{F}_2^n with \mathbb{F}_{2^n} and consider functions $f : \mathbb{F}_{2^n} \rightarrow \mathbb{C}$. Let $\psi : \mathbb{F}_{2^n} \rightarrow \mathbb{C}$ be the canonical additive character of \mathbb{F}_{2^n} , which is given by $\psi(y) = (-1)^{\text{Tr}(y)}$, where Tr is the absolute trace function on \mathbb{F}_{2^n} . The *Fourier transform* of f is the function $\hat{f} : \mathbb{F}_{2^n} \rightarrow \mathbb{C}$ given by

$$\hat{f}(a) = \frac{1}{2^{n/2}} \sum_{y \in \mathbb{F}_{2^n}} f(y)\psi(ay).$$

It is well known [3] and readily verified that

$$\mu_n = \min_f \max_{a \in \mathbb{F}_{2^n}} |\hat{f}(a)|,$$

where the minimum is over all functions $f : \mathbb{F}_{2^n} \rightarrow \{-1, 1\}$. From Parseval’s identity

$$\sum_{a \in \mathbb{F}_{2^n}} |\hat{f}(a)|^2 = \sum_{y \in \mathbb{F}_{2^n}} |f(y)|^2$$

it follows now that $\mu_n \geq 1$.

We shall construct functions f with image $\{-1, 1\}$ for which $|\hat{f}(a)|$ is small for all $a \in \mathbb{F}_{2^n}$. Let H be a (multiplicative) subgroup of $\mathbb{F}_{2^n}^*$ of index v and define the indicator function of H on \mathbb{F}_{2^n} by

$$\mathbb{1}_H(y) = \begin{cases} 1 & \text{for } y \in H \\ 0 & \text{otherwise.} \end{cases}$$

Let $h : H \rightarrow \{-1, 1\}$ be a function to be specified later. Let T be a complete system of coset representatives of H in $\mathbb{F}_{2^n}^*$ and let $g : T \rightarrow \{0, -1, 1\}$ be a function satisfying $g(z) = 0$ if and only if $z \in H$ and such that g is balanced, which means that the images -1 and 1 occur equally often. We define $f : \mathbb{F}_{2^n} \rightarrow \{-1, 1\}$ by $f(0) = 1$ and

$$f(y) = \mathbb{1}_H(y) h(y) + \sum_{z \in T} \mathbb{1}_H(y/z) g(z) \quad \text{for } y \in \mathbb{F}_{2^n}^*.$$

Note that f is constant on the cosets of H , except for H itself. Such functions were also used by Patterson and Wiedemann [14] in their proof of (1) and have been also studied in several other papers, for example in [2].

Recall that $\text{ord}_v(a)$ for integers v and a with $v > 0$ and $\text{gcd}(a, v) = 1$ is the smallest positive integer t such that $v \mid a^t - 1$. Note that for every multiple n of $\text{ord}_v(2)$, there exists a subgroup of $\mathbb{F}_{2^n}^*$ of index v .

Proposition 3. *Let e be a positive integer and let $v = 7^e$. Then there exists an odd multiple n of $\text{ord}_v(2)$ and a function $h : H \rightarrow \{-1, 1\}$ such that the function $f : \mathbb{F}_{2^n} \rightarrow \{-1, 1\}$, defined above, satisfies*

$$\max_{a \in \mathbb{F}_{2^n}} |\hat{f}(a)| \leq 1 + 12\sqrt{\frac{\log(2v)}{v}}.$$

Since $\text{ord}_7(2) = 3$ and 2 is a square modulo 7^e , Euler’s theorem can be used to show that $\text{ord}_{7^e}(2)$ equals $\phi(7^d)/2 = 3 \cdot 7^{d-1}$ for some positive integer d (where ϕ is the Euler totient function). Indeed, using $\text{ord}_{7^2}(2) = 21$, a routine induction involving the binomial theorem shows that $\text{ord}_{7^e}(2)$ equals $\phi(7^e)/2$.

Therefore $\text{ord}_{7^e}(2)$ is odd for all positive integers e . Now let e tend to infinity in Proposition 3 and use $\mu_n = 1$ for all even n and the inequality $1 \leq \mu_{n+2} \leq \mu_n$ for all n to deduce Theorem 1 from Proposition 3.

Remark. Proposition 3 remains true if 7 is replaced by an arbitrary prime q satisfying $q \equiv 3 \pmod{4}$ and $\text{ord}_{q^e}(2) = \phi(q^e)/2$ for each $e \in \{1, 2\}$ (as for $q = 7$, this ensures that this identity holds for all positive integers e). The first primes of this form are $7, 23, 47, 71, 79$, but it is not known whether there are infinitely many such primes. We choose $q = 7$ to keep our proof simple.

To prove Proposition 3, we define functions $f_1, f_2 : \mathbb{F}_{2^n} \rightarrow \{0, -1, 1\}$ by

$$\begin{aligned} f_1(y) &= \mathbb{1}_H(y) h(y), \\ f_2(y) &= \sum_{z \in T} \mathbb{1}_H(y/z) g(z), \end{aligned}$$

so that $f(y) = f_1(y) + f_2(y)$ for all $y \in \mathbb{F}_{2^n}^*$ and $\hat{f}(a) = 2^{-n/2} + \hat{f}_1(a) + \hat{f}_2(a)$ for all $a \in \mathbb{F}_{2^n}$. We shall see that bounding $|\hat{f}_1(a)|$ is not difficult using known results from probabilistic combinatorics. Bounding $|\hat{f}_2(a)|$ requires a little more work.

For a multiplicative character χ of \mathbb{F}_{2^n} , the *Gauss sum* $G(\chi)$ is defined to be

$$G(\chi) = \sum_{y \in \mathbb{F}_{2^n}^*} \psi(y)\chi(y).$$

It is well known that $|G(\chi)| = 2^{n/2}$ if χ is nontrivial (which means that $\chi(y) \neq 1$ for some $y \in \mathbb{F}_{2^n}^*$) [10, Theorem 5.11].

We begin with the following elementary lemma.

Lemma 4. *Let $\epsilon > 0$ and suppose that, for all nontrivial multiplicative characters χ of \mathbb{F}_{2^n} of order dividing v , we have*

$$\left| \frac{G(\chi)}{2^{n/2}} - 1 \right| \leq \epsilon.$$

Then we have

$$\max_{a \in \mathbb{F}_{2^n}} |\hat{f}_2(a)| \leq 1 + \epsilon v.$$

Proof. Since g is balanced, we have $\hat{f}_2(0) = 0$, so let $a \in \mathbb{F}_{2^n}^*$. Let χ be a multiplicative character of \mathbb{F}_{2^n} of order v . Then the indicator function $\mathbb{1}_H$ satisfies

$$\mathbb{1}_H(y) = \frac{1}{v} \sum_{j=0}^{v-1} \chi^j(y) \quad \text{for each } y \in \mathbb{F}_{2^n}^*. \tag{2}$$

Therefore we have

$$\begin{aligned} \sum_{y \in \mathbb{F}_{2^n}} \mathbb{1}_H(y) \psi(ay) &= \frac{1}{v} \sum_{j=0}^{v-1} \sum_{y \in \mathbb{F}_{2^n}^*} \psi(ay) \chi^j(y) \\ &= \frac{1}{v} \sum_{j=0}^{v-1} \chi^j(a^{-1}) \sum_{y \in \mathbb{F}_{2^n}^*} \psi(y) \chi^j(y) \\ &= \frac{1}{v} \sum_{j=0}^{v-1} \bar{\chi}^j(a) G(\chi^j), \end{aligned}$$

which we use to obtain

$$\begin{aligned} 2^{n/2} \hat{f}_2(a) &= \sum_{y \in \mathbb{F}_{2^n}} \sum_{z \in T} \mathbb{1}_H(y/z) g(z) \psi(ay) \\ &= \sum_{z \in T} g(z) \sum_{y \in \mathbb{F}_{2^n}} \mathbb{1}_H(y) \psi(ayz) \\ &= \frac{1}{v} \sum_{z \in T} g(z) \sum_{j=0}^{v-1} \bar{\chi}^j(az) G(\chi^j) \\ &= \frac{1}{v} \sum_{j=0}^{v-1} G(\chi^j) \bar{\chi}^j(a) \sum_{z \in T} g(z) \bar{\chi}^j(z). \end{aligned}$$

Now write $G(\chi^j) = 2^{n/2}(1 + \gamma_j)$, so that $|\gamma_j| \leq \epsilon$ for all $j \in \{1, \dots, v - 1\}$ by our assumption. Since $G(\chi^0) = -1$, we obtain $\hat{f}_2(a) = M(a) + E(a)$, where

$$\begin{aligned}
 M(a) &= \frac{1}{v} \sum_{j=1}^{v-1} \bar{\chi}^j(a) \sum_{z \in T} g(z) \bar{\chi}^j(z) - \frac{1}{2^{n/2} v} \sum_{z \in T} g(z) \\
 &= \frac{1}{v} \sum_{z \in T} g(z) \sum_{j=1}^{v-1} \bar{\chi}^j(az) \\
 &= \frac{1}{v} \sum_{z \in T} g(z) \sum_{j=0}^{v-1} \bar{\chi}^j(az) - \frac{1}{v} \sum_{z \in T} g(z) \\
 &= g(b) \quad \text{for } b \in T \text{ such that } ab \in H,
 \end{aligned}$$

using that g is balanced and (2) again, and

$$|E(a)| = \left| \frac{1}{v} \sum_{j=1}^{v-1} \gamma_j \bar{\chi}^j(a) \sum_{z \in T} g(z) \bar{\chi}^j(z) \right| \leq \epsilon v.$$

This gives the required result. \square

The following explicit evaluation of certain Gauss sums [9, Proposition 4.2] (see also [20, Theorem 4.1]) will help us to control the error term in Lemma 4.

Lemma 5 ([9, Proposition 4.2]). *Let $q > 3$ be a prime satisfying $q \equiv 3 \pmod{4}$. Let d be a positive integer, write $k = \phi(q^d)/2$, and let p be a prime such that $\text{ord}_{q^d}(p) = k$. Let τ be a multiplicative character of \mathbb{F}_{p^k} of order q^d and let h be the class number of $\mathbb{Q}(\sqrt{-q})$. Then*

$$G(\tau) = \frac{1}{2} (a + b\sqrt{-q}) p^{(k-h)/2},$$

where a and b are integers satisfying $a, b \not\equiv 0 \pmod{p}$, $a^2 + b^2q = 4p^h$, and $ap^{(k-h)/2} \equiv -2 \pmod{q}$.

We shall apply Lemma 5 with $p = 2$ and $q = 7$. Since the class number of $\mathbb{Q}(\sqrt{-7})$ equals 1 and

$$2^{(\phi(7^d)/2-1)/2} \equiv 2 \pmod{7}$$

for all positive integers d , we find that $a = -1$ and $b^2 = 1$ in this case. As noted after Proposition 3, we have $\text{ord}_{7^d}(2) = \phi(7^d)/2$ for all positive integers d , so that the hypothesis in Lemma 5 is satisfied for $p = 2$ and $q = 7$.

As a corollary to Lemma 5, we obtain the following lemma.

Lemma 6. *Let e and d be integers satisfying $1 \leq d \leq e$ and write $m = \text{ord}_{7^e}(2)$. Let χ be a multiplicative character of $\mathbb{F}_{2^{sm}}$ of order 7^d . Then*

$$\frac{G(\chi)}{2^{sm/2}} = -(-1)^s \left(\frac{-1 \pm \sqrt{-7}}{2^{3/2}} \right)^{7^{e-d} s},$$

where the sign depends on χ .

Proof. Write $k = \text{ord}_{7^d}(2)$ and let τ be the multiplicative character of \mathbb{F}_{2^k} such that χ is the lifted character of τ , by which we mean that $\chi = \tau \circ N$, where N is the field norm from $\mathbb{F}_{2^{sm}}$ to \mathbb{F}_{2^k} . Lemma 5 and the preceding discussion implies that

$$G(\tau) = 2^{(k-3)/2}(-1 \pm \sqrt{-7}).$$

From the Davenport–Hasse theorem [10, Theorem 5.14] we find that

$$G(\chi) = -(-1)^{sm/k} \left[2^{(k-3)/2}(-1 \pm \sqrt{-7}) \right]^{sm/k},$$

and the lemma follows since $m/k = \phi(7^e)/\phi(7^d) = 7^{e-d}$. \square

The next lemma gives the desired control for the error term in Lemma 4.

Lemma 7. *Let e be a positive integer, let $v = 7^e$, and write $m = \text{ord}_v(2)$. Let $\epsilon > 0$. Then there is an infinite set S of odd positive integers such that, for all $s \in S$ and all nontrivial multiplicative characters χ of $\mathbb{F}_{2^{sm}}$ of order dividing v , we have*

$$|\arg G(\chi)| \leq \epsilon.$$

Here, $\arg(\xi) \in (-\pi, \pi]$ is the principal angle of a nonzero complex number ξ .

Proof. Let τ be a multiplicative character of \mathbb{F}_{2^m} of order v . Since the units of the ring of algebraic integers in $\mathbb{Q}(\sqrt{-7})$ are ± 1 , we find from Lemma 6 that $G(\tau)/2^{m/2}$ is not a root of unity. Therefore Weyl’s uniform distribution theorem [19, Satz 2] implies that $([G(\tau)/2^{m/2}]^{2i})_{i \in \mathbb{N}}$, and therefore also $([G(\tau)/2^{m/2}]^{2i+1})_{i \in \mathbb{N}}$, is uniformly distributed on the complex unit circle. Hence there is an infinite set S of odd positive integers such that

$$|\arg(G(\tau)^s)| \leq \frac{\epsilon}{7^{e-1}}$$

for all $s \in S$.

Let $s \in S$ and let τ' be the lifted character of τ to $\mathbb{F}_{2^{sm}}$, namely $\tau' = \tau \circ N$, where N is the field norm from $\mathbb{F}_{2^{sm}}$ to \mathbb{F}_{2^m} . Then τ' has order $v = 7^e$ and the Davenport–Hasse theorem [10, Theorem 5.14] states $G(\tau') = G(\tau)^s$, so that

$$|\arg G(\tau')| \leq \frac{\epsilon}{7^{e-1}}.$$

Now let χ be a multiplicative character of $\mathbb{F}_{2^{sm}}$ of order 7^d , where $1 \leq d \leq e$. Then by Lemma 6 we have

$$|\arg G(\chi)| \leq 7^{e-d} |\arg G(\tau')|,$$

which completes the proof. \square

We need one more classical result from probabilistic combinatorics due to Spencer [18].

Lemma 8 ([18, Theorem 7]). *Let A be a matrix of size $M \times N$ satisfying $M \geq N$ with real-valued entries of absolute value at most 1. Then, for all sufficiently large N , there exists $u \in \{-1, 1\}^N$ such that*

$$\|Au\| \leq 11\sqrt{N \log(2M/N)},$$

where $\|\cdot\|$ is the maximum norm on \mathbb{R}^M .

We now prove Proposition 3.

Proof of Proposition 3. Write $m = \text{ord}_v(2)$. Lemma 7 implies that, for all $\epsilon > 0$, there is an infinite set S of odd positive integers such that

$$\left| \frac{G(\chi)}{2^{sm/2}} - 1 \right| \leq \epsilon$$

for all $s \in S$ and all nontrivial multiplicative characters χ of $\mathbb{F}_{2^{sm}}$ of order dividing v . Writing $n = sm$ and taking $\epsilon = \frac{1}{2}\sqrt{\log(2v)/v^3}$, Lemma 4 then implies that

$$\max_{a \in \mathbb{F}_{2^n}} |\hat{f}_2(a)| \leq 1 + \frac{1}{2}\sqrt{\frac{\log(2v)}{v}}$$

for infinitely many odd positive integers n .

It remains to consider \hat{f}_1 . Since

$$\hat{f}_1(a) = \frac{1}{2^{n/2}} \sum_{y \in H} h(y)\psi(ay),$$

we find from Lemma 8 with $M = 2^n$ and $N = (2^n - 1)/v$ that, for all sufficiently large n , there exists $h : H \rightarrow \{-1, 1\}$ such that

$$\max_{a \in \mathbb{F}_{2^n}} |\hat{f}_1(a)| \leq 11\sqrt{\frac{\log(2v)}{v}}.$$

Since $\hat{f}(a) = 2^{-n/2} + \hat{f}_1(a) + \hat{f}_2(a)$ for all $a \in \mathbb{F}_{2^n}$, there is an odd integer n that is a multiple of $m = \text{ord}_v(2)$ such that

$$\max_{a \in \mathbb{F}_{2^n}} |\hat{f}(a)| \leq 1 + 12\sqrt{\frac{\log(2v)}{v}},$$

as required. \square

We now comment on the required modifications of our proof to prove Theorem 2. The function h identified in the proof of Proposition 3 satisfies

$$\left| \sum_{y \in H} h(y) \right| \leq 11 \sqrt{2^n \frac{\log(2v)}{v}}.$$

Therefore we have to change at most $6\sqrt{2^n \log(2v)/v}$ values of the function h to get

$$\sum_{y \in H} h(y) = -1.$$

This increases $|\hat{f}_1(a)|$ by at most $12\sqrt{\log(2v)/v}$. The resulting function f is balanced and satisfies

$$\max_{a \in \mathbb{F}_{2^n}} |\hat{f}(a)| \leq 1 + 24\sqrt{\frac{\log(2v)}{v}}.$$

Using $1 \leq \mu'_{n+2} \leq \mu'_n$, this shows that $\lim_{i \rightarrow \infty} \mu'_{2i+1} = 1$. We combine this with $\lim_{i \rightarrow \infty} \mu'_{2i} = 1$, which was already shown in [5], but also follows from our argument using further slight modifications, to obtain a proof of Theorem 2.

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