

NOTE

A Simple Proof of a Conjecture of Simion

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Simion had a unimodality conjecture concerning the number of lattice paths in a rectangular grid with the Ferrers diagram of a partition removed. Hildebrand recently showed the stronger result that these numbers are log concave. Here we present a simple proof of Hildebrand's result. © 2002 Elsevier Science (USA)

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1. INTRODUCTION

Let $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_r)$ be an integer partition where $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_r \geq 0$ and λ' the conjugate of λ . Let $R(m, n)$ denote the rectangular grid with m rows and n columns where $m \geq \lambda'_1$ and $n \geq \lambda_1$. Consider the grid with the Ferrers diagram of λ removed from the upper left corner of $R(m, n)$. Let $N(m, n, \lambda)$ denote the number of paths in $R(m, n)$ such that the path starts at the lower left corner, the path ends at the upper right-hand corner, and at each step the path goes up one unit or to the right one unit but never inside the removed Ferrers diagram of λ . It is well known that there would be $\binom{m+n}{n}$ such paths if there were no Ferrers diagram removed. Simion [5] proposed a unimodality conjecture for $N(m, n, \lambda)$. This conjecture is also described in [2, 4]. The description in here is based on that in [4].

Conjecture 1 (Simion). For each integer ℓ and each partition λ , the sequence

$$N(\lambda'_1, \lambda_1 + \ell, \lambda), N(\lambda'_1 + 1, \lambda_1 + \ell - 1, \lambda), \dots, N(\lambda'_1 + \ell, \lambda_1, \lambda)$$

is unimodal.

A sequence of positive numbers x_0, x_1, \dots, x_ℓ is unimodal if $x_0 \leq x_1 \leq \dots \leq x_k \geq \dots \geq x_\ell$ for some k and is log concave in i if $x_{i-1}x_{i+1} \leq x_i^2$ for $0 < i < \ell$.



It is well known that a log-concave sequence is also unimodal. Very recently, Hildebrand [3] showed the following stronger result.

THEOREM 1 (Hildebrand). *The sequence in Simion's conjecture is log concave.*

The key idea behind Hildebrand's proof is to show

$$N(m, n+1, \lambda)N(m+1, n, \lambda) \leq N(m, n, \lambda)N(m+1, n+1, \lambda) \quad (1)$$

and

$$N(m-1, n+1, \lambda)N(m+1, n+1, \lambda) \leq N^2(m, n+1, \lambda). \quad (2)$$

Note that (1) and (2) yield

$$N(m-1, n+1, \lambda)N(m+1, n, \lambda) \leq N(m, n, \lambda)N(m, n+1, \lambda). \quad (3)$$

By symmetry, this implies

$$N(m+1, n-1, \lambda)N(m, n+1, \lambda) \leq N(m, n, \lambda)N(m+1, n, \lambda). \quad (4)$$

Further, (3) and (4) yield

$$N(m+1, n-1, \lambda)N(m-1, n+1, \lambda) \leq N^2(m, n, \lambda),$$

the desired result. So, to show Theorem 1, it suffices to show (1) and (2).

2. PROOF OF (1) AND (2)

A matrix A is said to be totally positive of order 2 (or a TP_2 matrix, for short) if all the minors of order 2 of A have nonnegative determinants. A sequence of positive numbers $x_0, x_1, x_2, \dots, x_\ell$ is log concave if and only if the matrix

$$\begin{pmatrix} x_0 & x_1 & x_2 & \cdots & x_\ell \\ 0 & x_0 & x_1 & \cdots & x_{\ell-1} \end{pmatrix}$$

is TP_2 (see, e.g., [1, Proposition 2.5.1]). The following lemma is a special case of [1, Theorem 2.2.1].

LEMMA 1. *The product of two finite TP_2 matrices is also TP_2 .*

COROLLARY 1. *Let a_0, a_1, \dots, a_ℓ be nonnegative and x_0, x_1, \dots, x_ℓ positive. Denote $A_m = \sum_{i=0}^m a_i$ and $X_m = \sum_{i=0}^m x_i$ for $m = 0, 1, \dots, \ell$.*

(i) *Assume $a_i x_{i+1} \leq a_{i+1} x_i$ for all i . Then $A_m X_{m+1} \leq A_{m+1} X_m$ for all m .*

(ii) *If the sequence x_0, x_1, \dots, x_ℓ is log concave, then so is the sequence X_0, X_1, \dots, X_ℓ .*

Proof. Note that

$$\begin{pmatrix} x_0 & x_1 & x_2 & \cdots & x_\ell \\ a_0 & a_1 & a_2 & \cdots & a_\ell \end{pmatrix} \begin{pmatrix} 1 & 1 & 1 & \cdots & 1 \\ & 1 & 1 & \cdots & 1 \\ & & 1 & \cdots & 1 \\ & & & \ddots & \vdots \\ & & & & 1 \end{pmatrix} = \begin{pmatrix} X_0 & X_1 & X_2 & \cdots & X_\ell \\ A_0 & A_1 & A_2 & \cdots & A_\ell \end{pmatrix}$$

and

$$\begin{pmatrix} x_0 & x_1 & x_2 & \cdots & x_\ell \\ 0 & x_0 & x_1 & \cdots & x_{\ell-1} \end{pmatrix} \begin{pmatrix} 1 & 1 & 1 & \cdots & 1 \\ & 1 & 1 & \cdots & 1 \\ & & 1 & \cdots & 1 \\ & & & \ddots & \vdots \\ & & & & 1 \end{pmatrix} = \begin{pmatrix} X_0 & X_1 & X_2 & \cdots & X_\ell \\ 0 & X_0 & X_1 & \cdots & X_{\ell-1} \end{pmatrix}.$$

The statement follows immediately from Lemma 1. ■

We now prove (1) and (2) by induction on λ_1 , the largest part of λ . If $\lambda_1 = 0$, i.e., $\lambda = \emptyset$, then both (1) and (2) are easily verified since $N(m, n, \lambda) = \binom{m+n}{n}$, so we proceed to the induction step. Let $\lambda_1 \geq 1$ and $r = \lambda'_1$. Denote by μ the partition $(\lambda_1 - 1, \dots, \lambda_r - 1)$. Then

$$N(m, n, \lambda) = \sum_{k=\lambda'_1}^m N(k, n-1, \mu).$$

However, the sequence $N(k, n-1, \mu)$ is log concave in k by the induction hypothesis. Hence $N(m, n, \lambda)$ is log concave in m by Corollary 1(ii). This proves (2). On the other hand, we have by the induction hypothesis

$$N(k, n, \mu)N(k+1, n-1, \mu) \leq N(k+1, n, \mu)N(k, n-1, \mu).$$

Thus by Corollary 1(i),

$$\sum_{k=\lambda'_1}^m N(k, n, \mu) \sum_{k=\lambda'_1}^{m+1} N(k, n-1, \mu) \leq \sum_{k=\lambda'_1}^m N(k, n-1, \mu) \sum_{k=\lambda'_1}^{m+1} N(k, n, \mu).$$

This gives (1).

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