



Hepatitis B surface antigen seroclearance: Immune mechanisms, clinical impact, importance for drug development

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Summary

HBsAg seroclearance occurs rarely in the natural history of chronic hepatitis B (CHB) infection and is associated with improved clinical outcomes. Many factors are associated with HBsAg seroconversion, including immune and viral factors. However, the immune mechanisms associated with HBsAg seroclearance are still difficult to elucidate. HBsAg seroclearance is the ideal aim of HBV treatment. Unfortunately, this goal is rarely achieved with current treatments. Understanding the mechanisms of HBsAg loss appears to be important for the development of curative HBV treatments. While studies from animal models give insights into the potential immune mechanisms and interactions occurring between the immune system and HBsAg, they do not recapitulate all features of CHB in humans and are subject to variability due to their complexity. In this article, we review recent studies on these immune factors, focusing on their influence on CHB progression and HBsAg seroconversion. These data provide new insights for the development of therapeutic approaches to partially restore the anti-HBV immune response. Targeting HBsAg will ideally relieve the immunosuppressive effects on the immune system and help to restore anti-HBV immune responses.

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Introduction

HBV infection represents a global health problem with approximately 257 million people chronically infected.¹ Chronic HBV infection (CHB) is a major contributor to the development of cirrhosis, hepatocellular carcinoma (HCC) and liver-related death worldwide.^{2–5} Currently, 2 approved therapeutic strategies are available, pegylated interferon (PEG-IFN) or nucleos(t)ide analogues (NAs), which suppress HBV replication and slow disease progression. However, these treatments do not generally lead to cure.^{3–5} The ultimate endpoint of chronic HBV treatment is sustained HBsAg loss with or without seroconversion to hepatitis B surface antibody (anti-HBs). Seroclearance and conversion of HBsAg represent the most important outcomes for CHB trials because they represent immunity to HBV and indicate a better prognosis.⁶ However, due to the persistence of intrahepatic, covalently closed circular HBV DNA (cccDNA),⁷ HBsAg seroclearance and conversion to anti-HBsAg is a rare event in CHB. It can occur spontaneously, but the reported rates have been variable, as they are affected by a myriad of patient characteristics such as age, cirrhosis, HBeAg status, HBV DNA level, and serum HBsAg level.^{8,9} Prior cohort studies, from Southeast Asia, showed that the annual HBsAg seroclearance rate can range from a low of 0.12% to a high of 2.38%.^{8,10} The host immune response to HBV is closely related to the natural course of HBV infection. CHB has been

associated with the exhaustion of T and B cell responses,^{11,12} with HBsAg being a major contributor to the immunopathogenesis of CHB. This review focuses on the immune factors affected by HBsAg and the immunological changes associated with HBsAg seroclearance which could be potential targets for immunotherapy.

Molecular virology and structure of HBsAg

HBV is a small hepatotropic enveloped DNA virus belonging to the *Hepadnaviridae* family.¹³ HBV virions have an icosahedral nucleocapsid formed by HBV core proteins (HBcAg) containing the partly double-stranded DNA in relaxed circular conformation (rcDNA). The nucleocapsid is surrounded by the viral envelope composed of 3 types of HBsAg: L-(large), M-(middle) and S-(small) HBsAg.¹³ HBsAg plays a crucial role in the attachment to the hepatocyte via the high affinity interaction between the 75 amino acids on the N-terminus of L-HBsAg (PreS1 domain) and the 157 to 165 residues on the HBV receptor, the human sodium taurocholate co-transporting polypeptide receptor (hNTCP, SLC10A1) that enables hepatocyte infection.^{14–16} HBV entry is followed by the translocation of the nucleocapsid into the nucleus of infected cells. Then, rcDNA is remodelled by host factors into a cccDNA minichromosome and serves as a template for the transcription of all HBV viral transcripts including HBsAg.¹⁷ Interestingly, cccDNA is not the only source

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of HBsAg production, as HBV integration into the host genome can lead to cancer development and HBsAg secretion.¹⁸ Thus, it is possible to block HBV transcription (cccDNA) without affecting HBsAg quantification. HBsAg proteins are encoded by 2 viral transcripts, PreS1 and PreS2/S mRNA (2.4 and 2.1 kb), which are transcripts from 1 of the 4 overlapping open reading frames (ORFs) in the HBV genome.¹⁹ L- and M-HBsAg proteins are produced respectively from PreS1 and PreS2/S mRNA. The presence of a second weak start codon on the PreS2/S mRNA leads to S-HBsAg translation.²⁰ HBsAg proteins differ in their N-terminus but share a common S domain with 4 putative transmembrane domains on their C-terminus. S-HBsAg is the smallest HBsAg with 227 amino acids and contains only the S domain. M-HBsAg contains on their N-terminus an additional domain, the PreS2 domain, with an extension of 55 amino acids. L-HBsAg proteins have the PreS2 and PreS1 domains at their N-terminus. Additionally, the N-terminus of M-HBsAg ends with an acetylate group and L-HBsAg with a myristate group at glycine 2.^{21,22} Fig. 1 shows the different viral transcripts as well as the structure of the 3 HBsAg proteins. Myristoylation of L-HBsAg at the N-terminus is important for NTCP receptor attachment.^{23,24} After their translation, HBsAg proteins accumulate in the endoplasmic reticulum (ER) where they form agglomerates via covalent disulfide bridges with different cysteines in their S domain.²⁵ Surface proteins are required for the capsid envelopment, except M-HBsAg which is not essential for virion morphogenesis and secretion.^{26,27} L- and M-HBsAg can act as transcriptional activators and are able to activate some promoters.²⁸ S and PreS1 domains interact with HBcAg leading to virion secretion.²⁹ The excessive production of HBsAg leads to the production of non-infectious spherical and filamentous subviral particles (SVPs) and HBV infectious particles. Fig. 2 shows the various roles of HBsAg within the HBV replication cycle.

HBsAg detection and quantification

Circulating HBsAg levels may reflect cccDNA transcription and act as an additional marker of on-treatment efficacy.³⁰ The availability of standardised

commercial assays has renewed interest in quantitative serum HBsAg as a biomarker to stratify the risk of disease progression, relapse, and predict treatment response.³¹ Two assays are currently used in HBV diagnostics to detect epitopes in the “a” determinant region of HBsAg, a highly conformational, hydrophilic domain from positions 124 to 147.³² The most widely used is the Architect HBsAg QT (Abbott Diagnostics) assay, an automated chemiluminescent microparticle immunoassay based on a calibration curve standardised by the World Health Organization.³³ It can measure, in 2 steps, HBsAg concentrations from 0.05 to 250 IU/ml with a sensitivity of 99.8% and a specificity of 95%. The other HBsAg quantification assay is the automated Roche Diagnostics Elecsys® HBsAg II screening assay, which is able to quantify HBsAg concentrations from 0.05 to 52,000 IU/ml with a high specificity (>99.8%).³⁴

Recently, serum HBV pregenomic (pg)RNA (henceforth referred to as HBV RNA) has been proposed as a new biomarker for cccDNA,³⁵ especially in virally suppressed patients with low detectable HBV DNA under NA therapy. Methods for the quantitative detection of HBsAg have been widely used in antiviral efficacy prediction. However, many factors may make it difficult to use serum HBsAg as a surrogate for the transcriptional activity of cccDNA, including HBV DNA integration¹³ and HBsAg retention related to long-term NA treatment.³⁶ Unlike HBsAg, HBV RNA is derived only from cccDNA, and its quantification is not affected by viral antigens or antibody complexes and therefore, it may more accurately reflect the transcriptional activity of cccDNA. A study of 291 treatment-naïve patients with CHB showed the “superiority” of serum HBV RNA compared to HBV DNA and HBsAg for differentiating the ‘HBeAg-negative reactive’ phase, as serum HBV RNA levels increased in case of reactivation.³⁷ Numerous studies showed a strong to moderate correlation between HBsAg and serum HBV RNA, except in HBeAg-negative patients, where the correlation was weak.^{37,38}

Finally, serum hepatitis B core-related antigen (HBcrAg) is a surrogate marker of both intrahepatic cccDNA and its transcriptional activity.³⁹

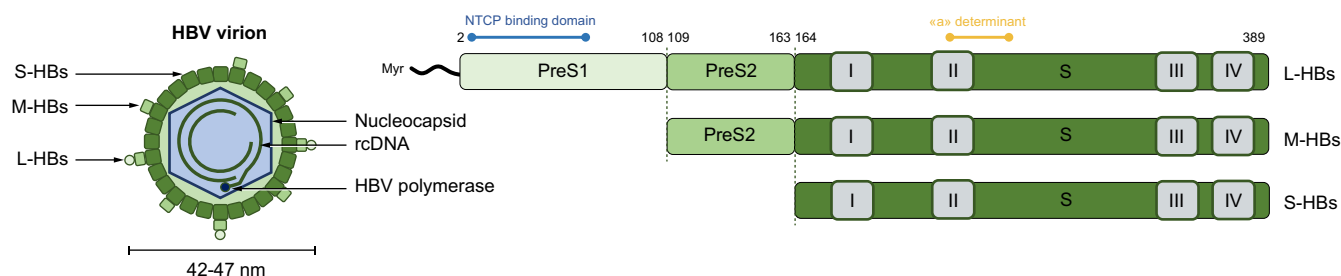


Fig. 1. Schematic model of Dane particle and HBsAg proteins. HBV genome is housed in a capsid structure formed by core (HBcAg) proteins surrounded by 3 different HBsAg, L-, M- and S-HBsAg. All HBsAg share the S domain which contains 4 putative transmembrane domains. M-HBsAg contains an additional PreS2 domain and L-HBsAg have both PreS1 and PreS2 domains. The NTCP binding domain is present in the PreS1 domain and is important for HBV infection. The “a” determinant is immunogenic in its S domain which is important for antibody neutralisation. NTCP, sodium taurocholate co-transporting polypeptide.

HBsAg seroclearance: prevalence and clinical significance

Spontaneous HBsAg seroclearance, defined as the loss of serum HBsAg on 2 occasions at least 6 months apart and remaining absent up to the last visit,⁴⁰ is a rare event in the natural history of CHB infection. Interestingly, HBsAg seroclearance is less common in cases of perinatally acquired HBV infection than in immune-competent adults who are infected later in life. HBsAg seroclearance is rarely observed when CHB is established, probably due to the induction of tolerance. In a systematic review and pooled meta-analysis, the annual incidence of HBsAg seroclearance was 1–2% worldwide.⁴¹ Similarly, another meta-analysis found a low rate of HBsAg seroclearance in untreated and treated patients (pooled annual rate approximately 1%).⁴²

The natural course of CHB infection is described by 5 distinct phases.^{3–5} First, the “HBeAg-positive chronic HBV infection” phase consisting of high HBV DNA levels, HBeAg positivity, and normal alanine aminotransferase (ALT) levels. The second phase “HBeAg-positive chronic hepatitis B” is characterised by high levels of HBV DNA and ALT and moderate to severe liver necroinflammation. Most patients can achieve HBeAg seroconversion and enter the third phase, “HBeAg-negative chronic HBV infection”, with positive anti-HBe, undetectable/low (<2,000 IU/ml) HBV DNA levels and normal ALT levels.² Other patients can progress to the fourth phase, “HBeAg-negative chronic hepatitis B” (reactivation), characterised by moderate to high levels of serum HBV DNA and ALT. The fifth phase, the HBsAg-negative phase is characterised by serum negative HBsAg with or without anti-HBs.

Of note, spontaneous HBsAg loss occurred rarely^{40,43} and mainly in inactive carriers^{43–45} usually more than 10 years after they had entered inactive phase.⁴⁶ Interestingly, HBsAg seroclearance was associated with a lower baseline HBV DNA level (6.61 log₁₀ IU/ml vs. 7.71 log₁₀ IU/ml) and a lower baseline HBsAg level (2.74 log₁₀ IU/ml vs. 3.90 log₁₀ IU/ml). HBsAg seroclearance was not associated with gender, HBV genotype or treatment history. Heterogeneity was substantial across the studies. HBsAg seroclearance is associated with a reduced risk of HCC compared with patients who are HBsAg-persistent carriers.⁴⁷ In a population-based prospective study, 1–2% (8/652) of participants with HBsAg seroclearance developed HCC over a 9-year surveillance programme.⁴⁷ The cumulative HCC incidence rate was significantly lower in patients with HBsAg seroclearance than in HBsAg-persistent carriers but was slightly higher than in HBV-uninfected controls. In another study, among 20,263 patients with CHB, those with NA-induced HBsAg seroclearance with complete viral suppression had a lower risk of HCC (but not

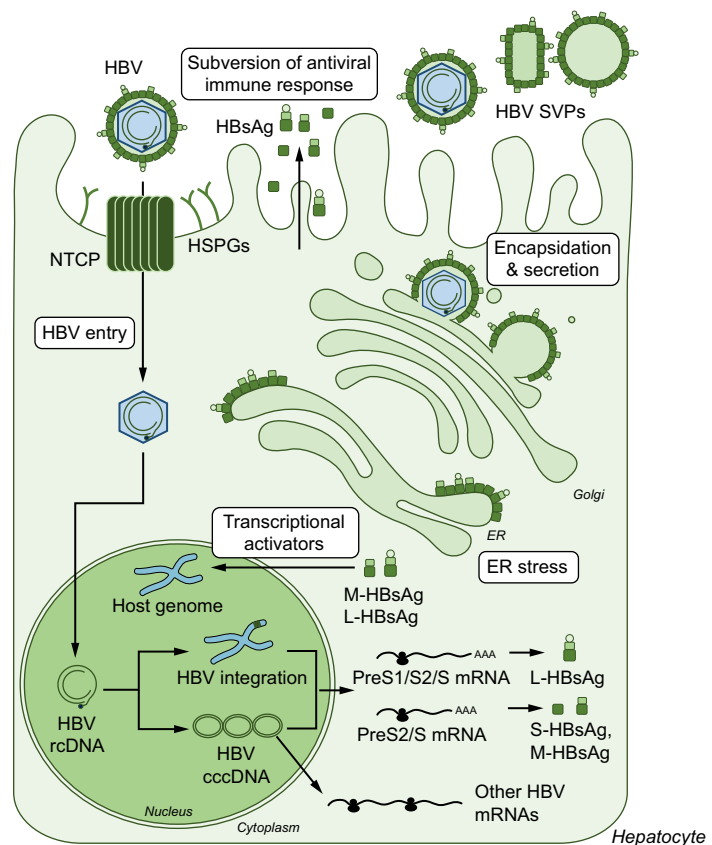


Fig. 2. HBV replication cycle and main effects in infected hepatocytes. HBsAg is produced from both cccDNA transcripts and HBV integration in the host genome. M- and L-HBsAg can act as transcriptional activators for host genes. HBsAg proteins are accumulated in ER and can induce the activation of cellular stress pathways. HBsAg plays a crucial role in HBV encapsidation and virions secretion. Secreted HBsAg can subvert the antiviral immune response. cccDNA, covalently closed circular DNA; ER, endoplasmic reticulum; HSPG, heparan sulphate proteoglycan; L-HBsAg, large HBsAg; M-HBsAg, medium HBsAg; NTCP, sodium taurocholate co-transporting polypeptide; rcDNA, relaxed circular DNA; S-HBsAg, small HBsAg; SVP, sub-viral particle.

hepatic events) than those only achieving complete viral suppression with long-term NA treatment.⁴⁸

Immune cells and HBsAg

In CHB, liver necroinflammation which is the driver for fibrosis, is affected by a dynamic imbalance of HBV, liver cells, and the host's immune system. Although many useful immunological insights into HBV pathogenesis have been made by studying peripheral blood, a large proportion of relevant responses are enriched in the liver as tissue-resident immune subsets play vital roles in front-line immunosurveillance in the liver and other organs. Liver biopsies and fine needle aspiration have enabled the identification of tissue- and liver-resident immune cells, not seen in the peripheral blood, including HBV-specific programmed cell death protein 1 (PD-1)^{hi} T cells and natural killer (NK) cells, together with PD-L1 (or CD274)-expressing hepatocytes. A preferential accumulation of PD-1^{hi} Tbet^{hi} atypical memory B cells

Key point

HBsAg seroclearance is a rare event in the natural history of CHB.

Key point

HBsAg seroclearance is associated with a reduced risk of HCC.

(atMBCs) in the liver was shown compared to the peripheral blood.¹² The liver is also enriched with several innate-like populations such as mucosal-associated invariant T cells and liver sinusoidal endothelial cells.

HBV particles can inhibit innate immune responses in hepatocytes, leading to decreased expression of antiviral cytokines.⁴⁹ HBsAg is involved in immune evasion processes which are presented in Table 1. Many immune cells contribute to the immunopathogenesis of HBV infection, such as NK cells, cytotoxic T lymphocytes (CTLs), dendritic cells (DCs), memory and plasma B cells, and myeloid-derived suppressor cells (MDSCs), among others.^{50–56} However, the immune mechanisms underlying HBsAg loss have not been studied in detail. Understanding the cellular basis of these immune interactions may help in the development of improved strategies for viral clearance. We will discuss the role of innate and adaptive immune cells in CHB, as well as reviewing their interactions and correlations with HBsAg (Table 1).

Innate immune cells

Kupffer cells

Kupffer cells (KCs) are the resident macrophages of the liver,⁶⁸ accounting for approximately 20% of liver parenchymal cells. Their most important function is the removal of toxins from the circulating blood, but KCs can also effectively remove viruses, bacteria, and other pathogens mostly via tumour necrosis factor- α (TNF- α), interleukin-1 (IL)-1, IL-6, oxygen free radicals and the inflammasome. HBV and HBsAg can abrogate absent in melanoma 2 (AIM2) inflammasome responses by deregulating IRF7 (interferon regulatory factor 7) expression and binding on the AIM2 promoter in human KCs.⁵⁷

Furthermore, KCs directly interacted with HBsAg *in vivo* and *in vitro*⁵⁸ which induced the

production of pro-inflammatory cytokines, such as TNF- α , IL-6, and CXCL8 (C-X-C motif chemokine ligand 8).

HBsAg-induced cytokine production by KCs and monocyte-derived macrophages and subsequent NK cell activation may be an early event in viral containment, potentially supporting the induction of HBV-specific immunity upon HBV infection.

Dendritic cells

DCs are crucial immune sentinels which orchestrate antiviral immunity. They can detect viruses and their components through multiple pattern recognition receptors (PRRs). DCs then produce large quantities of antiviral cytokines, especially type I and type III IFNs, and cooperate with other immune effectors, as well as performing cross-presentation and priming virus-specific cytotoxic T cells.⁶⁹ Myeloid or conventional dendritic cells (cDCs) that express Toll-like receptor (TLR)3, TLR4 and TLR8 can be distinguished from plasmacytoid dendritic cells (pDCs) which express mainly TLR7 and TLR9.^{70–72} In CHB infection, functional perturbations in DCs have been described in numerous studies.^{51,60–63,73,74} Moreover, two recent studies showed that HBsAg can affect the maturation of DCs⁷⁵ and that HBV subverts DCs in both the blood and liver.⁷⁶ A study on the peripheral blood mononuclear cells of patients undergoing HBsAg seroclearance showed increased DC frequencies with enhanced expression of TLRs, as well as increased CD8⁺ T cell and plasma B cell frequencies, suggesting that DCs may play a crucial role in HBsAg seroclearance.⁷⁷

NK cells

NK cells are important immune lymphocytes in the liver, accounting for approximately one-third of intrahepatic lymphocytes.⁷⁸ NK cell receptors have activating or inhibitory properties upon engagement by molecules on the surface of target cells.

Table 1. HBsAg effects on immune cells.

Immune cell type	HBsAg effects	Reference(s)
Kupffer cell	Directly interacts with KCs <i>in vivo</i> and <i>in vitro</i>	57,58
Monocyte/macrophage	↓ the AIM2-inflammasome and ↓ the production of IL-1 β ↓ TLR2 and c-Jun N-terminal protein kinase (JNK), thus ↓ production of IL-12	59
mDC	↓ frequency and function	60,61
pDC	↓ TLR9-mediated activation and IFN- α production	51,52,62,63
PBMC	↓ the interaction of MVP with MyD88 in infected cells, thus ↓ type I IFN responses	56
NK cell	↓ cytolytic activity	51
T cell	Exhaustion of CD4 ⁺ and CD8 ⁺ T cells by ↑ of PD-1 and Lag-3 expression	64,65
B cell	↓ TLR9 expression and B cell related functions	66
Monocyte/MDSC	↑ the differentiation and ↑ the expansion of monocytes into MDSCs	67

AIM2, absent in melanoma 2; IFN, interferon; IL-, interleukin; KC, Kupffer cell; LAG-3, lymphocyte-activation gene 3; mDC, myeloid dendritic cell; MDSC, myeloid-derived suppressor cell; MVP, major vault protein; Myd88, Myeloid differentiation primary response 88; NK cell, natural killer cell; PBMC, peripheral blood mononuclear cell; PD-1, programmed cell death protein 1; pDC, plasmacytoid dendritic cell; TLR, Toll-like receptor.

The balance between these signals controls immediate effector functions: cytotoxicity and IFN γ secretion. They have an important role in the defence against intracellular pathogens and tumours⁷⁹ and they play a crucial antiviral role during HBV infection.^{80–82} During CHB, NK cells have been shown to express an inhibitory phenotype with blunt functional responses⁸³ and to mediate virus-specific CD8⁺ T cell depletion through a death receptor pathway.⁸⁴ Furthermore, HBV can generate suppressive monocytes, which initiate regulatory NK cell differentiation resulting in T cell inhibition.⁸⁵ Changes in the NK cell phenotype may predict efficient immune reconstitution before anti-HBsAg seroconversion. Furthermore, induction of the proliferation and expansion of CD56 bright NK cell numbers in peripheral blood and increased cytotoxicity and IFN γ expression were associated with decreased serum HBsAg levels.^{86–88} Increased NK cell function is associated with active hepatitis and HBsAg seroclearance following structured NA cessation.⁸⁹ However, the role of NK cells in the process of HBsAg loss is still unknown.

Adaptive immune cells

Cytotoxic CD8⁺ T cells

HBV-specific CD8⁺ T cell responses have been suggested to play an important role in viral clearance. In contrast to CD4⁺ T cells, CD8⁺ T cell responses have been studied more widely. The presence of strong and multiple CTL responses in the peripheral blood of patients with acute hepatitis B reinforced this concept,^{90,91} while such responses were barely detectable in the peripheral blood of patients with CHB.⁹² T cell exhaustion has been documented in the literature: CTLs are unable to mediate complete eradication of the virus, and they subsequently recruit HBV-non-specific inflammatory cells, including bystander T cells, NK cells, and neutrophils, that inevitably cause the immunopathology of CHB.⁹³ The low clearance rate of HBsAg is possibly related to a weak CTL response to HBsAg. CD8⁺ T cell exhaustion in chronic HBV infection mirrors that described in other chronic viral infections in mice and humans, with the sustained expression of inhibitory receptors, such as PD-1, TIM-3 (T cell immunoglobulin and mucin-domain containing-3) and CD244 (2B4), reduced proliferative capacity and poor effector functions (reduced IFN γ and IL-2 secretion).^{64,94} Inhibition of indoleamine 2,3-dioxygenase activity enhances the HBsAg-specific Tc1 immune response and induction of CTLs after immunisation with HBsAg, α -GalCer, IL-2, and IL-12 β , which are vital cytokines for inducing the antigen-specific Tc1 response.^{95,96} A genome-wide expression profiling of exhausted HBV-specific CD8⁺ T cells from patients with CHB revealed an extensively downregulated gene-expression programme when compared to functionally competent CD8⁺ T cells from patients who

spontaneously resolved infection.⁹⁷ Among the various dysregulated processes, mitochondrial function seemed to be extensively defective, and its restoration by mitochondria-targeted antioxidants elicited functional T cell reconstitution. These results may lead to novel strategies for improving HBsAg clearance.

Th CD4⁺ cells

Virus-specific CD4⁺ T cells are key regulators of both efficient B cell/antibody and CD8⁺ T cell responses.^{98,99} However, in CHB infection, T cell responses are described as hardly detectable and display a functionally exhausted phenotype.^{65,100} T cells of patients with subsequent HBsAg loss, following cessation of NA treatment, had a less exhausted and more activated phenotype, compared to patients with retained HBsAg.¹⁰¹ T cells expressed low levels of PD-1 and KLRG1 (killer cell lectin like receptor G1). Furthermore, PD-1⁺ CD8⁺ T cells positively correlated with HBsAg levels at baseline. In HBV-infected mice and blood from patients with CHB, a T follicular helper (TFH)-cell response to HBsAg is required for HBV clearance, and blocking regulatory T (Treg) cell activity restored the ability of TFH cells to clear HBV infection.¹⁰² Circulating PD-1^{hi} CXCR5⁺ CD4⁺ T cells were associated with decreased HBsAg levels in patients with CHB receiving PEG-IFN α therapy.¹⁰³ Indeed, HBV-specific IFN γ producing CD4⁺ T cells are associated with viral clearance in patients with CHB and their frequency was positively correlated with the decrease of HBsAg.¹⁰⁴ Finally, in a cohort of 209 patients with CHB, there was a strong negative correlation between IL-4-secreting CD4⁺ T cells and quantitative HBsAg levels.¹⁰⁵

B cells

B cells are major contributors in the humoral immune response and have dual roles: being professional antigen-presenting cells, they recognise antigens and prime T cells. They also differentiate into memory cells and antibody-producing plasma cells which are responsible for the production of antibodies. B cells play a vital role during HBV infection by secreting anti-HBsAg, a sign of the resolution of the infection. Conversely, HBsAg could disrupt the mechanisms of innate and adaptive immunity and result in the suppression of immune responses against HBV.⁶⁶ However, while the quantity and function of HBV-specific T cells have been clearly defined in patients with CHB,^{97,106} less attention has been paid to the role of neutralising anti-HBsAg and detailed characterisation of the anti-HBV-specific B cell response is lacking. In addition, B cell-depleting drugs, such as the anti-CD20 antibody rituximab, induce HBV reactivation in HBV carriers and even in patients with “resolved” HBV in whom nuclear HBV cccDNA persists as a viral reservoir for decades.¹⁰⁷ B cells from patients with CHB had a reduced proliferative capacity and were incapable of

Key point

HBsAg contributes to the deregulation of both innate and adaptive immune cells.

producing anti-HBsAg upon stimulation. This functional defect reverted after HBsAg loss.^{54,108} Recently, two studies were able to characterise HBsAg-specific B cells. While B cells were present in similar frequencies in chronic and resolved infections, they were unable to mature into anti-HBsAg-secreting cells in CHB. Instead, they presented functional alterations that resemble atMBCs, with low expression of CD21 and CD27 and high expression of PD-1 and T-bet.^{12,55} The function of these HBsAg-specific B cells can be partially restored *in vitro* by PD-1 blockade.⁵⁵ These results suggest that B cell dysfunction rather than antibody depletion is the main reason for the lack of anti-HBsAg; thus, B cells are a possible target for novel antiviral strategies.

Regulatory cells

Treg cells are a specialised subpopulation of T cells that act to suppress T cell proliferation and cytokine production, thereby maintaining homeostasis. HBsAg can enhance Treg cell activity and mDC costimulatory molecules and can suppress monocyte activation and pDC function.¹⁰⁹ Monocytic MDSCs are strong inhibitors of the T cell response.¹¹⁰ A recent study found that HBsAg levels were positively correlated with monocytic MDSC frequency in patients with CHB and that HBsAg can maintain HBV persistence by increasing the differentiation of monocytes into monocytic MDSCs.⁶⁷ The polarisation of MDSCs by HBsAg can restrain the activation of T cells in CHB infection.⁶⁷ Despite these data, several key questions remain unanswered. The ideal would be to determine immune parameters associated with persistence, clearance and recurrence of HBV and to study the function and phenotype of both peripheral and intrahepatic lymphocyte populations, as well as hepatocytes, which may aid in the rational design of immunotherapeutic strategies.

Cytokines and HBsAg

Cytokines act as key coordinators of the inflammatory responses involved in HBV pathogenesis. IL-6 is produced by a variety of cells and is involved in many biological processes, including induction of cell differentiation, generation of B immunoglobulin, promotion of T cell proliferation. A recent study showed that IL-6 polymorphisms 572G/C and -597G/A are significantly associated with CHB risk.¹¹¹ Furthermore, Bouezzedine *et al.* show that IL-6 can strongly inhibit HBsAg secretion which was confirmed by observation of a severe reduction in cccDNA after IL-6 treatment.¹¹² IL-12 also has numerous roles: promoting the differentiation of Th1 cells, enhancing NK cell cytotoxicity and activation of IFN γ pathways, and rescuing the antiviral function of exhausted HBV-specific T cells.¹¹³ Direct interaction of HBsAg with human monocytes and macrophages regulates the production of IL-12.⁶⁷

TLRs and HBsAg

The early and non-specific detection of pathogens generally occurs at subcellular/molecular levels, via the recognition of pathogen-associated molecular patterns by innate immunity sensors, also called PRRs expressed in various types of epithelial/endothelial cells, as well as professional and non-professional immune cells.¹¹⁴ Among PRRs, TLRs belong to a conserved family of transmembrane glycoprotein receptors capable of sensing a wide variety of pathogen- and damage-associated molecular patterns. Viral proteins have been suggested to interfere with innate signalling pathways in hepatocytes and immune cells. In fact, HBsAg is capable of impairing the activation of all TLR pathways.¹¹⁵ HBsAg downregulates TLR9 in pDCs leading to the inhibition of IFN α production.^{74,116} In B cells, HBsAg has been shown to downregulate TLR9 expression and function, leading to deficient TLR9-mediated B cell responses.⁶⁶ Moreover, HBsAg can selectively inhibit TLR2 ligand-induced IL-12 production in monocytes/macrophages by interfering with JNK (c-Jun N-terminal protein kinase) activation.⁵⁹ These data may suggest that strategies targeting HBsAg production or secretion may lead to the restoration of an efficient immune response in patients with CHB and therefore combination therapy should be considered. Fig. 3 represents the proposed immune mechanisms of HBsAg seroclearance, from decreased HBsAg levels to the potential restoration of anti-HBV immune responses.

HBsAg seroclearance in animal models

Animal models are important tools to explore mechanisms of HBV immunopathogenesis and to evaluate new therapies. For example, chimpanzees chronically infected with HBV were used to test the efficacy of GS-9620, a TLR7 agonist.¹¹⁷ A 100-fold decline in viral load and a dramatic drop in the number of HBsAg-positive cells was observed during therapy. A phase II study with GS-9620, in virally suppressed patients on NAs showed evidence of immune activation but no significant decline in HBsAg.¹¹⁸ Another study assessed the efficacy of ARC-520, an RNAi antiviral targeting HBV transcripts including HBsAg, in combination with NAs, and reported a profound reduction in HBsAg, with a maximum reduction of more than 2 logs.¹⁸ Additionally, a novel long-acting modified IFN α (PASylated-IFN α) induced anti-HBsAg seroconversion in HBV-transgenic mice after 3 weeks.¹¹⁹ Recently, GLP-26, a capsid assembly modulator, was tested in a humanised mouse model in combination with entecavir (ETV) and induced a decrease in viral load and viral antigens that was sustained for up to 12 weeks after treatment cessation.¹²⁰

Key point

The decrease of HBsAg can potentially restore anti-HBV immune responses.

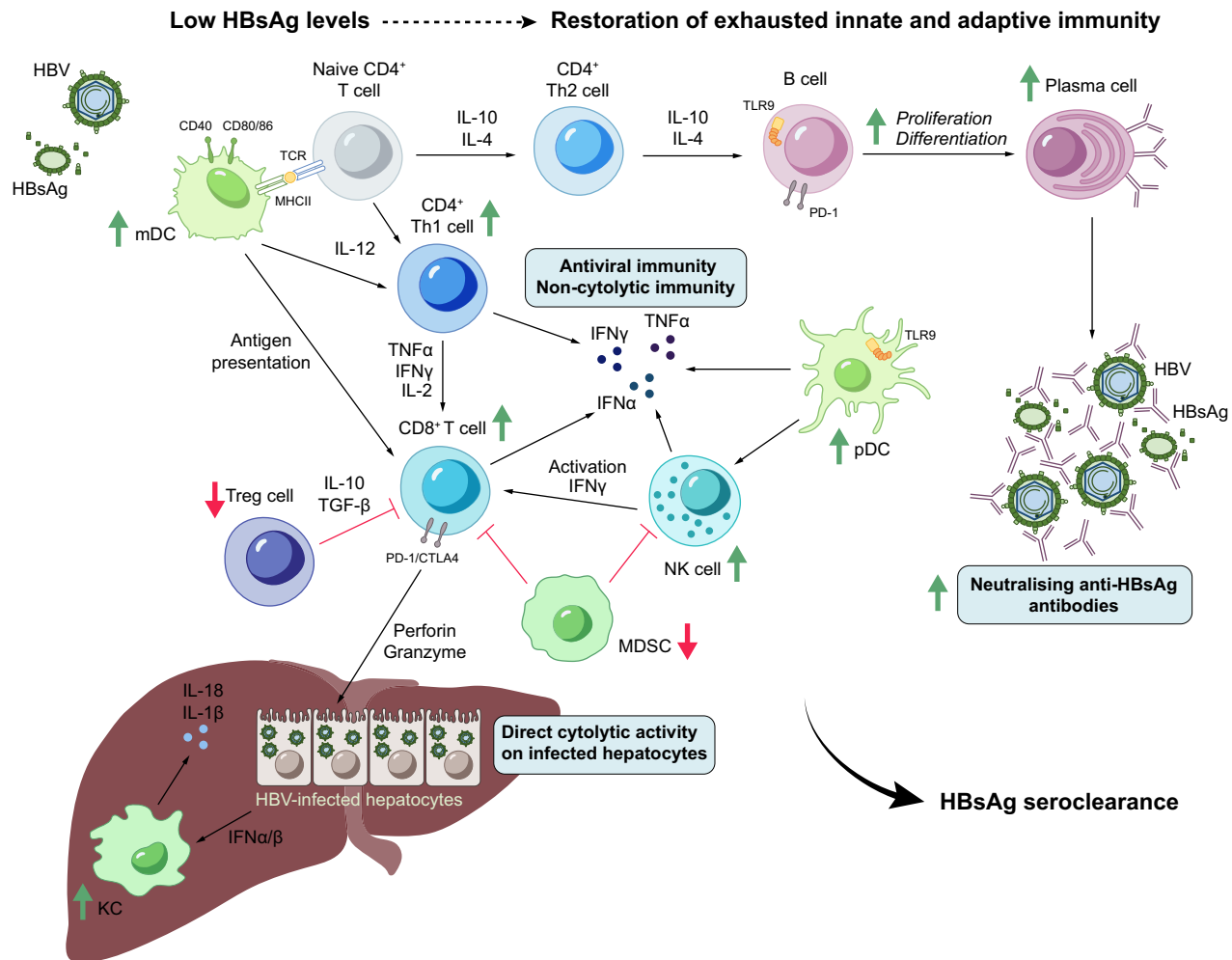


Fig. 3. Proposed immune mechanisms for HBsAg seroclearance. Decreased HBsAg levels could facilitate the recovery of the host's immune system. mDCs regain their antigen-presenting capacities to activate T cells, as well as TNF α secretion. pDCs restore TNF α and IFN γ secretion and activate NK cells. Restoration of NK cell effector functions: cytotoxicity and IFN γ secretion, activation of T cells. Recovery from T cell exhaustion: restored proliferation, increase in HBsAg-specific CTLs, direct cytolytic activity on infected hepatocytes and IFNs secretion. Suppression of excessive functions of Treg cells and MDSCs. KCs induce cytokine production, have restored inflammasome functions and activate NK cells. Restoration of functional HBsAg-specific plasmacytes secreting neutralising anti-HBsAg. Upward green arrows signify a restoration of function and/or frequency while downward red arrows signify a decrease in function and/or frequency. Anti-HBsAg, hepatitis B surface antigen antibodies; CTLA4, cytotoxic T lymphocyte-associated protein 4; IFN, interferon; IL-, interleukin; KC, Kupffer cell; mDC, myeloid dendritic cell; MDSC, myeloid-derived suppressor cell; NK cell, natural killer cell; PD-1, programmed cell death protein 1; pDC, plasmacytoid dendritic cell; TCR, T cell receptor; TGF- β , transforming growth factor- β ; Th, T helper; TNF- α , tumour necrosis factor alpha; Treg, regulatory T.

HBsAg seroclearance and current therapies

The goal of treatment is to improve survival, by preventing the risk of end-stage liver disease and HCC, and to improve quality of life. However, it is difficult to demonstrate improvements in survival and surrogate markers are needed. HBsAg seroclearance is a surrogate marker of survival and is therefore the ideal endpoint for treatment. Current treatments include PEG-IFN, which have been shown to exert dual actions, including an immunomodulatory effect and minimal direct antiviral activity against HBV or NAs such as lamivudine, telbivudine, adefovir dipivoxil, ETV, tenofovir disoproxil fumarate (TDF), and tenofovir alafenamide (TAF), which directly target the reverse

transcription functions of the viral polymerase, and thus suppress HBV replication effectively, when used as monotherapy. Both approaches offer limited efficacy in achieving HBsAg loss.¹²¹ PEG-IFN has the advantage of inducing sustained response after a defined course of treatment (usually 48 weeks), although response rates and tolerability are poor. NAs require life-long administration because they do not eliminate the persistent cccDNA within the infected hepatocytes. Among NAs, ETV, TDF and TAF are preferred as first choice therapy because of their high antiviral potency and the low risk of resistance. A significantly greater proportion of patients receiving TDF plus PEG-IFN for 48 weeks had HBsAg loss than those receiving TDF or PEG-IFN alone, and this combination is

suitable in a subset of patients.¹²² However, the observed rate of HBsAg loss in the study was lower than that assumed in the study design, reducing the power for comparison between groups. Finally, prolonged follow-up of patients who had not restarted TDF treatment would be required to determine the long-term benefits of response and durability of outcome. Further studies are required to identify the optimal combination regimen that would allow more patients to achieve and sustain HBsAg loss.

HBsAg seroclearance during IFN therapy

A 48-week treatment with PEG-IFN has the potential to elicit immune control of HBV infection, leading to higher rates of HBeAg seroconversion (than achieved with NAs) and the possibility of viral suppression after stopping treatment, with HBsAg loss in a proportion of patients who maintain undetectable HBV DNA. After PEG-IFN, sustained off-therapy virological response (SVR) is defined as serum HBV DNA levels <2,000 IU/ml after the end of therapy. In HBeAg-negative patients with CHB, a phase III trial evaluating PEG-IFN monotherapy reported SVR rates of 44% at 6 months and 28% at 3 years after the end of therapy.¹²³ In HBeAg-negative patients with genotype D or E, PEG-IFN was less effective with an SVR of around 20%. The rate of HBsAg loss progressively increased after PEG-IFN α discontinuation, from 3% at month 6, to 9% at year 3, and to 12% at year 5 in the registrational trial. Overall, among sustained responders, approximately 30% clear HBsAg in the long-term.

HBsAg loss rates increase after the end of PEG-IFN α therapy in initially HBeAg-positive patients with SVR.¹²⁴ Of the patients with an initial HBeAg seroclearance, 30% experienced HBsAg seroclearance after 3 years of follow-up. The sustainability of HBsAg loss and seroconversion after PEG-IFN α have been documented.¹²²

HBsAg loss was evaluated in patients receiving the combination of TDF and PEG-IFN α -2a for a finite duration in a randomised trial, and compared to TDF monotherapy and PEG-IFN monotherapy.¹²² A total of 740 patients with CHB were randomly assigned to receive TDF plus PEG-IFN for 48 weeks, TDF plus PEG-IFN for 16 weeks followed by TDF for

32 weeks, TDF for 120 weeks, or PEG-IFN for 48 weeks. At week 72, 9% of patients in the group receiving TDF plus PEG-IFN for 48 weeks had HBsAg loss compared with less than 3% in the other groups.

HBsAg seroclearance during NA therapy

ETV and TDF are potent HBV inhibitors with a high barrier to resistance and should be used as first-line monotherapies.¹²⁵ More than 95% of patients treated with the highly potent TDF and ETV achieve virological undetectability. NAs are administered orally, tolerability is favourable and efficacy is good.¹²⁶ In a mainly Caucasian population of HBeAg-positive patients, HBsAg loss was around 10% after 5 years of TDF and was more likely to occur in Caucasian patients.¹²⁷ No HBsAg loss was observed after 2 years of TDF or ETV. Table 2 represents the spontaneous and after treatment HBsAg seroclearance rates reported to date (Table 2).

HBsAg decrease as a predictor of treatment response

To identify responders in the early phase of PEG-IFN α -2a therapy, decreased serum quantitative HBsAg is a validated on-treatment marker predicting sustained off-treatment response.¹³⁸ In a proof of concept study of 48 HBeAg-negative patients receiving PEG-IFN α -2a, a decrease of 0.5 and 1 log₁₀ IU/ml of serum HBsAg levels at weeks 12 and 24 of therapy had a 90% negative predictive value and a 89% positive predictive value for week 12 and 97% negative predictive value and a 92% positive predictive value for sustained response at week 24, respectively.¹³⁹ This was the first study to suggest that the early kinetics (week 12) of HBsAg might differentiate sustained responders from non-responders to PEG-IFN.

The role of HBsAg in NA cessation

Interestingly, in a retrospective study, Chen *et al.* evaluated the role of HBsAg quantification in predicting HBsAg loss and HBV relapse.¹⁴⁰ End of treatment HBsAg levels of <300 IU/ml, 300–1,000 IU/ml and >1000 IU/ml in HBeAg-positive patients were associated with sustained HBeAg loss in 55.6%, 7.7% and 3.3%, respectively. End of treatment

Key point

Combination of antivirals and immune therapy is crucial for drug development.

Table 2. Spontaneous and after treatment HBsAg seroclearance.

Mode	Treatment	Therapy duration (weeks)	Follow-up duration (weeks)	Number of participants (n)	HBsAg seroclearance (%)	Reference(s)
Spontaneous	n.a.	n.a.	260–520	1,965–42,588	4.03–8.1	8,41
Under current treatment (monotherapy)	ETV	52–260	52–260	146–709	1.4–5.1	128–130
	TDF	48–260	48–260	266–585	3–11	127,131,132
	TAF	96	96	576	1	133
	PEG-IFN	48–52	48–52	136–177	4–7	123,134
Combination therapy	ETV+TDF	92–96	96	57–197	1.7–3.6	135,136
	PEG-IFN+ETV	48	48	85	1.17	137
	PEG-IFN+TDF	48	72	186	9.1	122

ETV, entecavir; n.a., not applicable; PEG-IFN, pegylated interferon; TAF, tenofovir alafenamide; TDF, tenofovir disoproxil fumarate.

HBsAg cut-offs <120 IU/ml, 120–1,000 IU/ml and >1,000 IU/ml in HBeAg-negative patients were associated with HBsAg loss in 79.2%, 14.3% and 0%, respectively, and HBsAg cut-offs <200 IU/ml, 200–1,000 IU/ml and >1,000 IU/ml were associated with an SVR in 93%, 11.1% and 15.4%, respectively. Similar results were reported in patients whose treatment was discontinued; an end of treatment HBsAg level <100 IU/ml was associated with high SVR, while >1,000 IU/ml was associated with a 1-year post-treatment relapse in 70%.¹⁴¹ A systemic review to summarise the role of HBsAg in NA cessation among Asian patients with CHB showed that HBsAg loss ranged between 21.1–58.8% in patients with HBsAg <100 IU/ml, compared to 3.3–7.4% in patients with HBsAg >100 IU/ml.¹⁴²

HBsAg seroclearance and novel therapies

Several strategies, including antivirals targeting various stages of the HBV replication cycle (HBV entry, viral replication, cccDNA production, and viral protein expression), as well as immunotherapeutic agents, are being explored in experimental models or have reached clinical testing, which may have the potential to complement PEG-IFN- and NA-based therapy.^{17,143–145} Strategies inhibiting

viral gene expression either through cccDNA transcription or viral mRNA translation can decrease serum HBsAg levels. Nucleic acid-based polymers (NAPs) are a new class of broad-spectrum antiviral compounds which act against HBV infection by blocking the release of HBsAg from infected hepatocytes.¹⁴⁶ This pharmacological activity blocks replenishment of HBsAg in the circulation, enabling host-mediated clearance and has important clinical significance as it may potentiate the ability of immunotherapies (resumed in Fig. 4) to restore functional control of HBV infection. Removal of HBsAg would enhance the effect of PEG-IFN α -2a and could lead to favourable immunological activation, the appearance of free anti-HBsAg and the clearance of HBV virions in the blood. Among HBsAg-targeting antiviral strategies (Fig. 5), NAPs are being investigated in preclinical evaluations and in several clinical trials that have evaluated the activity of REP 2139, REP 2055 and REP 2165 in monotherapy and in combination with immunotherapy.^{146–149} Out of 12 patients, a substantial reduction of HBsAg and seroconversion to anti-HBsAg was observed in response to REP 2139-Ca in 9 patients (NCT02646189).¹⁴⁷ Recently, an open label, randomised, controlled, phase II study

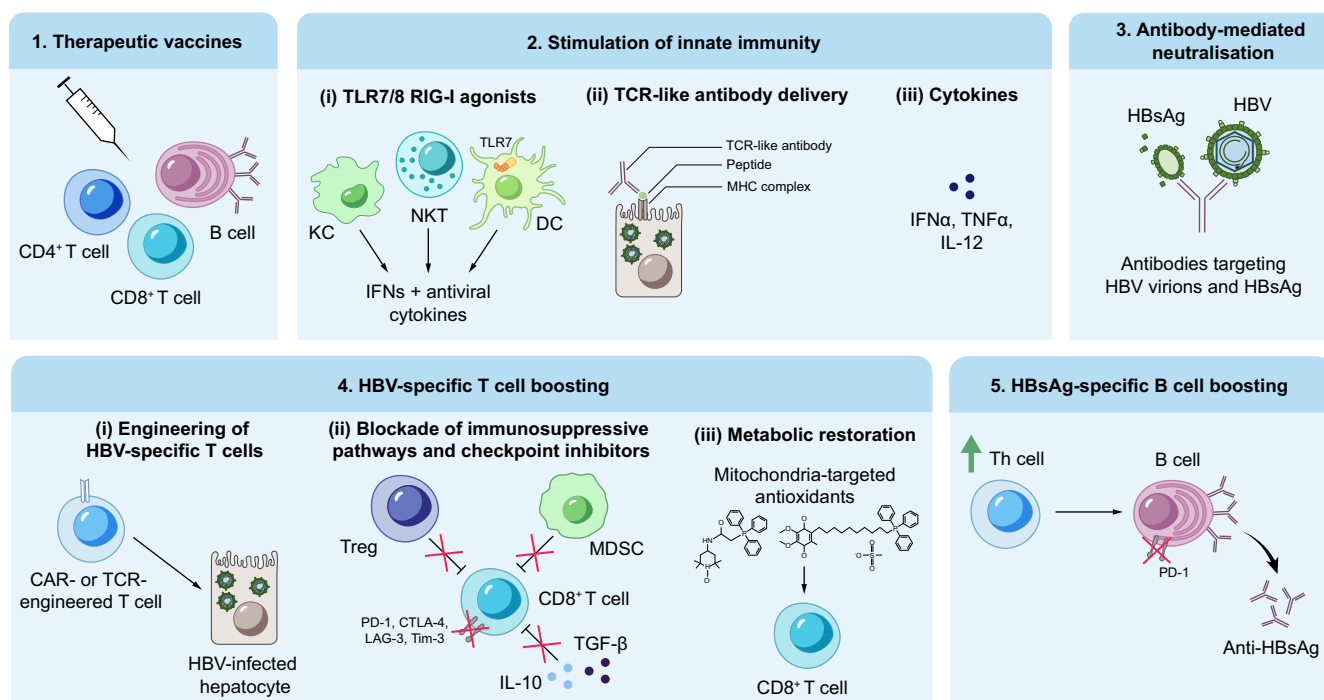


Fig. 4. Developed immune-based approaches to clear HBV. (1) Therapeutic vaccination could restore dysfunctional T and B cell responses during CHB. (2) Stimulation of innate immunity by (i) TLRs and RIG-I agonists leading to the activation of hepatocytes, intrahepatic dendritic, NK and mucosal-associated invariant T cells. (ii) TCR-like antibodies allow direct recognition of HBV-infected hepatocytes. (iii) Cytokines inhibit HBV replication. (3) Antibody-mediated neutralisation could prevent HBV infection of hepatocytes and reduce HBsAg circulating levels. (4) Anti-HBV T cell boosting by (i) T cell engineering. (ii) and (iii) Checkpoint blockade, modulation of regulatory cells and metabolic modulation. (5) Functional maturation of dysfunctional HBsAg-specific B cells by boosting Th cells or anti-PD-1 therapy. CTLA4, cytotoxic T lymphocyte-associated protein 4; DC, dendritic cell; IFN, interferon; IL-, interleukin; KC, Kupffer cell; LAG-3, lymphocyte-activation gene 3; NKT cell, natural killer T cell; PD-1, programmed cell death protein 1; RIG-1, retinoic acid-inducible gene 1; TCR, T cell receptor; TGF- β , transforming growth factor- β ; Th, T helper; Tim-3, T cell immunoglobulin and mucin-domain containing-3; TNF- α , tumour necrosis factor alpha; Treg, regulatory T.

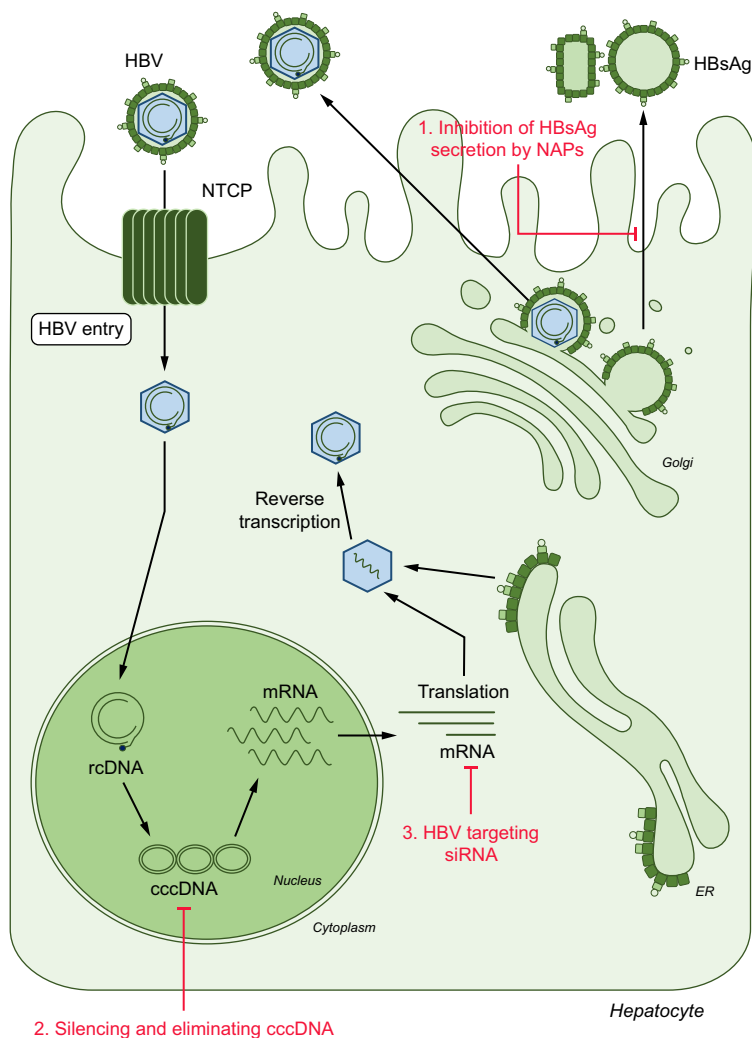


Fig. 5. HBsAg-targeting antiviral approaches. Schematic representation of HBsAg-targeting strategies. HBV replication cycle is shown, and different approaches are developed to reduce high levels of HBsAg in patients with CHB. (1) Inhibition of HBsAg release by NAPs. (2) Silencing and eliminating cccDNA. Targets against cccDNA include antiviral cytokines (PEG-IFN), blockade of rcDNA and epigenetic regulation to undergo its degradation. Technologies such as CRISPR/Cas9 are being utilised to eliminate cccDNA along with the use of histone deacetylase inhibitors. (3) Suppression of HBsAg expression by HBV-targeting siRNA. cccDNA, covalently closed circular DNA; CHB, chronic hepatitis B; ER, endoplasmic reticulum; NAPs, nucleic acid polymers; NTCP, sodium taurocholate co-transporting polypeptide; PEG-IFN, pegylated interferon; rcDNA, relaxed circular DNA; siRNA, small interfering RNA.

reported on the use of 2 different NAPs, REP 2139-Mg or REP 2165-Mg in association with PEG-IFN and TDF in 40 patients with CHB. No severe adverse events were reported. Efficacy was impressive with around half of the patients achieving HBsAg seroconversion.¹⁴⁹ These interesting results need to be confirmed in larger studies. Furthermore, the potential for cytotoxicity resulting from intracellular retention of HBsAg should be investigated and the problem of NAPs accumulating in the liver should be addressed.

Conclusion

HBsAg seroclearance is a rare event in the natural history of HBV and it is associated with reduced

risk of HCC. Current antiviral therapies using PEG-IFN and NAs can suppress HBV replication and improve the prognosis of CHB, but they fail to clear HBsAg. Several guidelines proposed HBsAg seroclearance as a crucial surrogate marker of thorough HBV clearance. HBsAg may contribute to the impairment of innate and adaptive immunity and the exhaustion of T cell and B cell responses. Therefore, a reduced serum HBsAg level could facilitate the recovery of the host's immune system. Numerous immune cells have been shown to interact with HBsAg, contributing to the immunopathogenesis of CHB, but the immune mechanisms underlying HBsAg seroclearance are still unclear. A better understanding of the interaction between HBsAg and these immune factors could contribute to the development of effective immunotherapies.

The presence of cccDNA and integrated DNA on one hand, and the particular liver microenvironment and immune deregulations induced by chronic infection on another hand, are key challenges for antiviral approaches.¹⁴⁴ Overall, the safety and efficacy of the newly developed strategies, whether antivirals or immune based, need to be tested, and their ability to remove HBsAg needs to be investigated. Strategies focusing on reducing HBsAg by siRNA, NAPs or antibody-mediated neutralisation could be crucial in the restoration of effective immune responses. Developing effective combination therapies that target HBsAg may further induce the appearance of an anti-HBV-specific immune response and lead to a functional cure for CHB.

Abbreviations

ALT, alanine aminotransferase; anti-HBsAg, hepatitis B surface antigen antibodies; AST, aspartate aminotransferase; AIM2, absent in melanoma 2; atMBC, atypical memory B cells; cccDNA, covalently closed circular DNA; CHB, chronic hepatitis B; CTL, cytotoxic T cell; CTLA4, cytotoxic T lymphocyte-associated protein 4; DCs, dendritic cells; ETV, entecavir; HCC, hepatocellular carcinoma; hNTCP, human sodium taurocholate co-transporting polypeptide; HSPG, heparan sulphate proteoglycan; IFN, interferon-; IL-, interleukin-; KC, Kupffer cells; LAG-3, lymphocyte-activation gene 3; L-HBsAg, large HBsAg; mDC, myeloid dendritic cell; MDSCs, myeloid-derived suppressor cells; M-HBsAg, medium HBsAg; MVP, major vault protein; MyD88, myeloid differentiation primary response 88; NA, nucleoside analogue; NAP, nucleic acid polymer; NK cells, natural killer cells; ORF, open reading frames; PBMCs, peripheral blood mononuclear cells; PD-1, programmed cell death protein 1; pDC, plasmacytoid dendritic cell; PEG-IFN, pegylated-interferon; pgRNA, pregenomic RNA; PRRs, pattern recognition receptors; rcDNA, relaxed circular DNA; RIG-1, retinoic acid-inducible gene 1; S-HBsAg, small HBsAg; siRNA, small interfering RNA; SVPs, sub-viral particles; TAF, tenofovir

alafenamide; T-bet, T-box protein expressed in T cells; TCR, T cell receptor; TDF, tenofovir disoproxil fumarate; TFH, T follicular helper cell; TFV, tenofovir; TGF- β , transforming growth factor- β ; Th, T helper; Tim-3, T cell immunoglobulin and mucin-domain containing-3; TLR, toll-like receptor; TNF- α , tumour necrosis factor alpha; Treg, regulatory T.

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Conflict of interest

Tarik Asselah has acted as a speaker and investigator for Janssen, Gilead, Roche, and Merck. Nathalie Boyer has acted as a speaker and investigator for Janssen, Gilead, Roche and Merck. Issam

Tout, Dimitri Loureiro, Abdellah Mansouri and Vassili Soumelis declare no competing interests.

Please refer to the accompanying ICMJE disclosure forms for further details.

Authors' contributions

TA designed and supervised the manuscript. IT and TA prepared the manuscript. All the authors contributed to the drafting of the review, the critical revision of the manuscript and the final approval of the version.

Supplementary data

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