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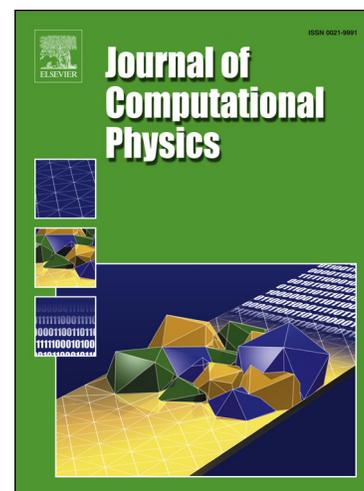
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# A split-step method to include electron-electron collisions via Monte Carlo in Multiple Rate Equation simulations

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## Abstract

A split-step numerical method for calculating ultrafast free-electron dynamics in dielectrics is introduced. The two split steps, independently programmed in C++11 and FORTRAN 2003, are interfaced via the presented open source wrapper. The first step solves a deterministic extended multi-rate equation for the ionization, electron-phonon collisions, and single photon absorption by free-carriers. The second step is stochastic and models electron-electron collisions using Monte-Carlo techniques. This combination of deterministic and stochastic approaches is a unique and efficient method of calculating the nonlinear dynamics of 3D materials exposed to high intensity ultrashort pulses. Results from simulations solving the proposed model demonstrate how electron-electron scattering relaxes the non-equilibrium electron distribution on the femtosecond time scale.

### Keywords:

Split-step method, Operator splitting, Laser-induced ionization, Free electron dynamics, Monte Carlo, Electron-electron collisions, C++11, FORTRAN 2003, interface, OpenMP

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## 1. Introduction

Ultrafast laser-material interactions play a critical role for many modern laser applications. In particular, a detailed understanding of laser-induced ionization and many-body effects in dielectrics is necessary for progress in laser machining and ablation [1], laser surgery [2], and laser-induced breakdown spectroscopy [3]. The physics of these applications is strongly nonlinear, and there are few, if any, analytical solutions to problems of general interest [4]. Simulations therefore provide the primary method of theoretical testing for new models for ultrafast laser-material dynamics, as well as for closely related experimental research involving high intensity ultrashort pulse propagation [5–7].

The research areas of laser-material dynamics and pulse propagation are rich in nonlinear physics and can require considerable computational effort to perform comprehensive simulations [6, 8]. This issue is compounded when modeling pulse propagation at high intensities, since the laser field is sufficiently high to ionize the medium through which it propagates. This fact should necessitate a simultaneous and detailed modeling of pulse propagation and free-carrier dynamics, but this is rarely done because of computational constraints. What is typically done instead are calculations of reduced dimensionality [9], or fully 3D models using a highly detailed model of pulse propagation coupled with a greatly simplified model of laser-material dynamics [10], or vice versa [11]. To couple these research areas frequently requires the collaboration of theorists with differing expertise and who program their calculations using different code languages. The occasional need to interface two programming languages for a research collaboration can also have additional

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38 computational benefits when one language is particularly well suited to certain calculations or uses scientific  
39 libraries unavailable in the language of another collaborator.

40 In this paper we provide such an interface between code written in C++11 and FORTRAN 2003 code.  
41 The simulations performed provide an efficient yet detailed approach to calculate laser-material interactions  
42 that are efficient enough to be coupled with pulse propagation simulations in the future. This necessarily  
43 involves calculating the free electron distribution as a function of time. Our calculations address this issue  
44 by using a split-step method to solve an extended multi-rate equation [12, 13] (EMRE), modeling the free  
45 electron distribution as a function of time and electron energy. This method uses the EMRE to model the  
46 conduction electron interactions with other particles (photons, phonons, and bound electrons) with simple  
47 rate equations coded in FORTRAN 2003, while using Monte-Carlo methods to model the computationally  
48 intensive electron-electron collisions coded in C++11 and utilizing freely available C++11 scientific libraries.  
49 The EMRE has been coupled previously to pulse propagation simulations [14], but this did not include direct  
50 calculation of the electron-electron scattering, which is typically the dominant influence on the relaxation  
51 of the electron distribution on the femtosecond time scale [15].

52 Using a Monte-Carlo approach to model the dominating influence of electron-electron collisions ensures  
53 a representative thermalization of the electron plasma. Our results show how one can efficiently model  
54 the evolution of the free-electron energy distribution shape from non-equilibrium to a quasi-equilibrium, *i.e.*  
55 a slowly evolving Maxwellian distribution. The results qualitatively capture the evolution occurring on the  
56 time-scale of tens of femtoseconds as demonstrated by previous calculations solving the Boltzmann scattering  
57 equation for all electron interactions [16].

## 58 2. The Extended Multi-Rate Equation

59 In this section we describe the deterministic step of the calculation. For all simulations in this work  
60 the free-electron plasma dynamics are simulated by solving an extended multi-rate equation (EMRE) [13],  
61 based on the multiple rate equation (MRE) [12, 18], developed to calculate the electron distribution  $\rho_e$  in the  
62 conduction band. The EMRE discretizes the energy range of the considered part of the conduction band.  
63 As such,  $n_i$  describes the electron density in the energy interval  $[\epsilon_i, \epsilon_i + \Delta\epsilon]$ , *i.e.*,  $n_i = \rho_e(\epsilon_i) \Delta\epsilon$ , where  $\epsilon_i$   
64 is the  $i^{\text{th}}$  represented conduction-band energy and  $\Delta\epsilon$  is the bin width of the energy discretization. Let  
65 us stress that  $\Delta\epsilon$  is chosen to be the smallest energy transition the model must account for. Finally, the  
66 extended multi-rate equation (EMRE) is expressed as

$$\frac{d}{dt}n_i = \dot{n}_i^{\text{pi}} + \dot{n}_i^{\text{imp}} + \dot{n}_i^{\text{e-pn}} + \dot{n}_i^{\text{1pht}} + \dot{n}_i^{\text{e-e}}. \quad (1)$$

67 The terms on the right hand side (RHS) of Eq. (1) describe contributions from photo-ionization, impact  
68 ionization, electron-phonon collisions, 1-photon absorption by free-carriers, and electron-electron collisions,  
69 respectively. The total electron density  $n_e$  as well as the total energy  $\epsilon_{\text{tot}}$  in the conduction-band are  
70 determined according to

$$n_e = \int_0^{\epsilon_{\text{max}}} \rho_e(\epsilon) d\epsilon \quad \text{and} \quad \epsilon_{\text{tot}} = \int_0^{\epsilon_{\text{max}}} \rho_e(\epsilon) \epsilon d\epsilon. \quad (2)$$

71 Here,  $\epsilon_{\text{max}}$  is the highest modeled conduction band energy. Below we specify models for these terms. The  
72 various energy indices used in these models are summarized in Tab. (1).

73 Equation (1) is typically solved with standard ODE methods. In this paper we take a combined approach:  
74 The last term on the RHS accounting for electron-electron collisions plays a major role in bringing the  
75 distribution  $\rho_e(\epsilon)$  from a strongly non-equilibrium configuration to a quasi-equilibrium. It is computationally  
76 impractical to simulate this term deterministically. We therefore solve this term with a stochastic method  
77 coded in C++11 and described in Sec. 3. The other processes are coded in FORTRAN and are solved with  
78 efficient deterministic calculations as described below. The algorithm for these two independent calculations  
79 is described in detail in Section 4.

Table 1: Summary of the EMRE indices. The notation  $[x]$  denotes the integer part of  $x$ .

Index	Definition	Description
$i$	$[\epsilon_i/\Delta\epsilon]$	Energy index
$j$	$[\hbar\omega/\Delta\epsilon]$	Photon energy index
$m$	$[\epsilon^{\max}/\Delta\epsilon]$	Maximum EMRE energy index
$s$	$[\epsilon^{\text{pn}}/\Delta\epsilon]$	Mean phonon energy index
$c$	$[\epsilon^{\text{crit}}/\Delta\epsilon]$	Critical energy index
$g$	$[\epsilon^{\text{gap}}/\Delta\epsilon]$	Band gap energy index
$p$	$[\epsilon^{\text{pi}}/\Delta\epsilon]$	Post photo-ionization energy index
$k_l$	$[\epsilon_l^{\text{imp}}/\Delta\epsilon]$	Post impact-ionization energy index

### 2.1. Photo-ionization

The photo-ionization term in Eq. (1) is given by [16]

$$\dot{n}_i^{\text{pi}} = W^{\text{pi}}(|E|, \omega) \delta_{ip}, \quad (3)$$

where we use the Keldysh photo-ionization formula [19] for solids to calculate the photo-ionization probability  $W^{\text{pi}}(|E(t)|, \omega)$ , which includes both multi-photon ionization and tunneling contributions. Here,  $|E|$  is the electric field amplitude and  $\omega$  is the optical frequency. The energy at which photo-ionized electrons enter the conduction band is given by

$$\epsilon^{\text{pi}} = \left[ \tilde{\Delta}/\hbar\omega + 1 \right] \hbar\omega - \tilde{\Delta},$$

where  $\tilde{\Delta} = \epsilon^{\text{gap}} + q^2 |E|^2 / 4m_r\omega^2$  is the effective band gap [19] comprising the material band gap  $\epsilon^{\text{gap}}$  and the ponderomotive energy. The reduced-effective mass of the created electron-hole pair is  $m_r$  and the charge of the electron is  $q$ .

### 2.2. Impact Ionization

The contribution of impact ionization is given by

$$\dot{n}_i^{\text{imp}} = -\alpha_i n_i + 2 \sum_{l=c}^m \delta_{ik_l} \alpha_l n_l, \quad (4)$$

where  $\alpha(\epsilon_i) = P_{\text{imp}} ((\epsilon_i - \epsilon^{\text{crit}})/\epsilon^{\text{crit}})^2 \theta_{ic}$ , is the average energy-dependent impact-ionization rate, where  $P_{\text{imp}}$  is the impact rate coefficient [16] and  $\theta_{ic} = \{1 \text{ for } i \geq c, 0 \text{ otherwise}\}$  is the step function,  $\epsilon^{\text{crit}} = \tilde{\Delta}(1 + 2\mu_r^*)/(1 + \mu_r^*)$  is the critical energy for impact ionization, and  $\mu_r^*$  is the reduced-effective mass of the conduction and valence electrons. By using a contribution of this form we are following the approach of Ref. [20], albeit extended to an EMRE framework. The approach is designed to conserve both momentum and energy during the impact process. Upon successive absorption events in the conduction band, electrons with energy exceeding the critical energy  $\epsilon_i > \epsilon^{\text{crit}}$  participate in impact ionization. It collides with a valence electron having a statistical average energy of  $-\tilde{\Delta}/6$  below the top of the valence band [20] (the conduction electrons have an energy of  $\epsilon_i + \tilde{\Delta}$  relative to the point in energy space). The resulting pair of conduction electrons are assumed to split their energy evenly between them, resulting in an energy of  $\epsilon_i^{\text{imp}} = (1/2)\epsilon_i - (7/12)\tilde{\Delta}$  when entering the conduction band.

### 2.3. Electron-Phonon Collisions

The electron-phonon collision term can be approximated by a net plasma energy relaxation into the phonon gas and is given by [13]:

$$\dot{n}_i^{\text{e-pn}} = \frac{n_{i+s}}{\tau_{i+s}^{\text{pn}}} - \frac{n_i}{\tau_i^{\text{pn}}}. \quad (5)$$

105 Here,  $\tau_i^{\text{pn}}$  is the average energy-dependent electron-phonon scattering time. The scattering time is imple-  
 106 mented in terms of the scattering rate  $\nu_i^{\text{pn}}$  and the limits of the energy discretization:  $(1/\tau_i^{\text{pn}}) = \nu_i^{\text{pn}}\theta_{is}\theta_{m,i+s}$ .  
 107 In short, the electrons at the energy  $\epsilon_i$  are assumed to lose an average phonon energy of  $\epsilon^{\text{pn}}$  during a char-  
 108 acteristic time  $\tau_i^{\text{pn}}$ .

#### 109 2.4. Free-Carrier Absorption

110 The one-photon absorption term on the right hand side of Eq. (1) is given by [20]:

$$\dot{n}_i^{\text{1pht}} = \beta_{i-j}I(t)n_{i-j} - \beta_iI(t)n_i. \quad (6)$$

111 These terms represent the carriers per volume per time entering and leaving the  $\epsilon_{i-j}$  and  $\epsilon_i$  energy bins by  
 112 one-photon absorption, respectively. The optical intensity is  $I(t)$  and the formula for the energy dependent  
 113 coefficient of inverse Bremsstrahlung absorption  $\beta_i$  is [7]

$$\beta_i = \frac{e^2\tau_i^c}{m_r n_0 c \epsilon_0 (1 + (\omega\tau_i^c)^2)},$$

114 where  $n_0$  is the linear index of refraction,  $\omega$  is the optical frequency, and  $\tau_i^c$  is the energy-dependent  
 115 momentum relaxation time.

### 116 3. Electron-electron collisions

117 In this section we describe how to simulate electron-electron scattering, i.e., the term  $\dot{n}_i^{\text{e-e}}$  in Eq. (1),  
 118 with the Monte Carlo (MC) method.

119 In this approach we follow classical kinetic theory and describe the electron electron interaction as  
 120 collision of two neutral, hard spheres each with radius  $r$ . To account for the charge of the electrons, and  
 121 thus Coulomb interaction, we set the radii of our considered hard spheres equal to the screening length.

122 In the following sections, we describe the assumptions and involved physics in more detail, i.e., the  
 123 average interaction time  $\tau$ , the total cross section  $\sigma_{\text{tot}}$  as well as the energy transfer.

#### 124 3.1. Average interaction time

125 According to kinetic theory [21], the average interaction time  $\tau$  between two successive interactions is  
 126 computed via

$$\tau = \frac{\lambda}{\langle g \rangle} = \frac{1}{\langle g \rangle n_e \sigma_{\text{tot}}} \quad \text{with} \quad \lambda = \frac{1}{n_e \sigma_{\text{tot}}}, \quad (7)$$

127 with  $\lambda$  being the mean free path,  $n_e$  the total electron density and  $\sigma_{\text{tot}}$  the total cross section, corresponding  
 128 to electron-electron interactions. As we assume all electrons to be moving, we apply the average velocity  
 129 difference  $\langle g \rangle$ , with  $g = |\vec{v}_1 - \vec{v}_2|$ , where  $\vec{v}_1$  and  $\vec{v}_2$  denote pre-collisional velocities of two different electrons.

#### 130 3.2. Total cross section

131 In classical kinetic theory, the total cross section for the collision of two hard spheres, each with radius  
 132  $r$ , is given by  $\sigma_{\text{tot}} = 4\pi r^2$  [21]. Usually, the cross section for the collision of two charged particles is infinite  
 133 due to the infinite range of the Coulomb potential. To tame this problem, screening is taken into account,  
 134 which leads to a finite interaction range and thus a finite total cross section [22]. In our case, we apply as  
 135 screening length the inverse of the so-called screening parameter  $\kappa$  [23]

$$\kappa^2 = \frac{e^2 m_e}{\pi^2 \epsilon_0 \hbar^2} \int_0^\infty f(k) dk . \quad (8)$$

In Equation (8)  $f$  denotes the distribution of the average occupancy of state  $k$ , usually called distribution function in statistical physics. 136  
137

To bridge the gap to the electron energy distribution  $\rho_e(\epsilon)$  in the framework of the EMRE, we take into account a dispersion relation  $\epsilon(k)$  and density of states  $D(\epsilon)$  of a three dimensional free electron gas [24] 138  
139

$$\epsilon(k) = \frac{\hbar^2 k^2}{2m_e} \quad \text{and} \quad D(\epsilon) = \frac{m_e^{3/2}}{\pi^2 \hbar^3} \sqrt{2\epsilon} .$$

As a consequence, we can rewrite  $\kappa^2$  from Equation (8) as

$$\kappa^2 = \frac{e^2}{2\epsilon_0} \int_0^\infty \frac{\rho_e(\epsilon)}{\epsilon} d\epsilon . \quad (9)$$

The total cross section is then calculated as  $\sigma_{\text{tot}} = 4\pi/\kappa^2$ . 140

### 3.2.1. Energy Transfer 141

In the case of a collision the transferred energy  $\Delta\epsilon_{\text{tr}}$  is computed according to

$$\Delta\epsilon_{\text{tr}} = \epsilon'_1 - \epsilon_1 = \frac{1}{2} m_e \vec{v}_1'^2 - \frac{1}{2} m_e \vec{v}_1'^2 , \quad (10)$$

where  $\vec{v}_1$  and  $\vec{v}_1'$  denote the pre- and post-collisional velocities. Moreover, the post-collisional velocities of both colliding electrons are determined according to

$$\begin{aligned} \vec{v}_1' &= \frac{1}{2} (\vec{v}_1 + \vec{v}_2 + g \hat{n}) \\ \vec{v}_2' &= \frac{1}{2} (\vec{v}_1 + \vec{v}_2 - g \hat{n}) , \end{aligned} \quad (11)$$

where  $\hat{n}$  is a point on the unit sphere  $S^2$ . As such, Eq. 11 determines the set of all allowed post-collisional velocities  $\vec{v}_1'$  and  $\vec{v}_2'$  regarding energy and momentum conservation. Finally, in accordance with a MC simulation, the post-collisional velocities are chosen randomly, which will be explained in Sec. 4.2. 142  
143  
144

## 4. Algorithm 145

The method we use to solve Eq. (1) is based on the concept of operator splitting. As such, neglecting the term of electron-electron collisions  $\dot{n}_i^{e-e}$  on the RHS of Eq. (1), we solve

$$\frac{d}{dt} n_i = \dot{n}_i^{\text{pi}} + \dot{n}_i^{\text{imp}} + \dot{n}_i^{\text{e-pn}} + \dot{n}_i^{\text{1pht}}$$

deterministically via a traditional ODE time-step. Then the electron-electron collisions are solved stochastically in a separate time-step. These two different steps represent the different contributions from the collaborating authors. The deterministic step was programmed in FORTRAN while the stochastic step was programmed in C++11. The two steps passed information during each run via a wrapper (see Fig. 1) as described in Sec. 5. To obtain an extra order of accuracy, initial and final times steps of  $\Delta t/2$  are taken with the deterministic method before taking the alternating stochastic and deterministic steps of  $\Delta t$ . 146  
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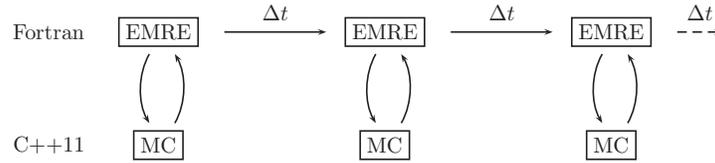


Figure 1: Sketch of the computational interface between the EMRE and MC part programmed in FORTRAN and C++11, respectively.

#### 152 4.1. The Deterministic Step

153 For the deterministic step we use an adaptive step-size Runge-Kutta method to integrate the EMRE  
 154 over each time step  $\Delta t$ . This returns the distribution  $\rho_e(t, \epsilon)$  at distinct time steps  $t$ , evolved by ioniza-  
 155 tion, electron-phonon collisions, and single photon absorption events. The ionization processes increase the  
 156 number of conduction electrons while the single-photon absorption events drive the system into a strongly  
 157 non-equilibrium configuration. The alternating Monte-Carlo step, consisting only of electron-electron colli-  
 158 sions which change neither the total number of electrons nor the energy of the plasma, plays the major role  
 159 of driving the system into a quasi-equilibrium state.

#### 160 4.2. The Stochastic Step

161 According to the previously described MC method we present the way in which these schemes are  
 162 combined with the EMRE. The basic idea is that after each time step  $\Delta t$  the EMRE algorithm sends the  
 163 density distribution  $\rho_e(E)$  to the MC algorithm, which performs the electron electron collisions during  $\Delta t$   
 164 and sends the changed distribution back to the deterministic EMRE algorithm.

165 Initially, the MC algorithm computes the average interaction time  $\tau$  via the equations (7) and (9). Since  
 166 the EMRE part provides the electron distribution  $\rho_e$ , the total density  $n_e$  can be computed according to  
 167 Eq. (2). Furthermore, the average velocity difference  $\langle g \rangle$  is approximated by neglecting the distinction  
 168 between root mean square (rms) and mean values [25]

$$\begin{aligned} \langle g \rangle &\approx g_{\text{rms}} = \sqrt{\langle g^2 \rangle} = \sqrt{\langle (\vec{v}_1 - \vec{v}_2)^2 \rangle} \\ &= \sqrt{\langle \vec{v}_1^2 \rangle - 2\langle \vec{v}_1 \cdot \vec{v}_2 \rangle + \langle \vec{v}_2^2 \rangle}, \end{aligned}$$

169 where we assume the direction to be isotropic and identically distributed. Thus  $\langle \vec{v}_1 \cdot \vec{v}_2 \rangle = 0$  and  $\langle \vec{v}_1^2 \rangle = \langle \vec{v}_2^2 \rangle = \langle v^2 \rangle$ .  
 170 As a consequence, we proceed with

$$\langle g \rangle \approx \sqrt{2\langle v^2 \rangle} = 2\sqrt{\frac{\langle \epsilon \rangle}{m_e}}, \quad (12)$$

171 where  $\langle \epsilon \rangle = \epsilon_{\text{tot}}/n_e$  is the average kinetic energy.

172 The MC algorithm repetitively generates random energies  $\epsilon_1$  and  $\epsilon_2$  weighted according to the electron  
 173 distribution  $\rho_e(\epsilon)$  provided by the EMRE part. This is achieved due to inverse transform sampling [26,  
 174 Chap. 4]. Based on a random energy  $\epsilon$ , we assume the direction of an electron to be isotropic and thus  
 175 determine the pre-collisional velocity  $\vec{v}$  according to

$$\vec{v} = \sqrt{\frac{2\epsilon}{m_e}} \begin{pmatrix} \cos \varphi \sin \vartheta \\ \sin \varphi \sin \vartheta \\ \cos \vartheta \end{pmatrix} \quad \text{with} \quad \begin{aligned} \varphi &= u_1 \cdot 2\pi \\ \vartheta &= \cos^{-1}(2u_2 - 1) \end{aligned} \quad (13)$$

**Algorithm 1** Overview of the combined EMRE and MC methods.

---

```

1: while  $t \leq t_{\max}$  do
2:                                     ▷ start EMRE step
3:   advance (1) by  $\Delta t/2$  via standard ODE integrator
4:   calculate contributions to (1) by (3), (4), (5), (6)
5:   ODE integrator provides new  $\rho_e(\epsilon)$ 
6:
7:                                     ▷ stop EMRE step
7:                                     ▷ start MC step
8:   advance  $\rho_e(\epsilon)$  by  $\Delta t$  via electron-electron collisions
9:   apply inverse transform sampling to  $\rho_e(\epsilon)$ 
10:  compute  $n_e$ ,  $\sigma_{\text{tot}}$  and  $\langle g \rangle$  with (2), (9) and (12)
11:  compute  $\tau$  with (7)
12:  for number of runs  $N$  do
13:    sample  $t_{\text{int}}$ ,  $\vec{v}_1$  and  $\vec{v}_2$  according to (13)
14:    if  $t_{\text{int}} \leq \tau$  then
15:      compute  $\vec{v}'_1$ ,  $\vec{v}'_2$  and  $E'_1$  and  $E'_2$  via (11)
16:      compute energy transfer according to (10)
17:    else
18:       $E'_1 \leftarrow E_1$  and  $E'_2 \leftarrow E_2$ 
19:       $\vec{v}'_1 \leftarrow \vec{v}_1$  and  $\vec{v}'_2 \leftarrow \vec{v}_2$ 
20:    end if
21:  end for
22:                                     ▷ end MC step
23:                                     ▷ continue EMRE step
24:  advance (1) by  $\Delta t/2$  via standard ODE integrator
25:  calculate contributions to (1) by (3), (4), (5), (6)
26:  ODE integrator provides new  $\rho_e(\epsilon)$ 
27:                                     ▷ end EMRE step
28:   $t \leftarrow t + \Delta t$ 
29: end while

```

---

where  $u_1, u_2 \in (0, 1)$  are uniformly distributed random numbers. Summarized, we sample the direction as points from the unit sphere  $S^2$  [27].

Furthermore, the interaction time  $t_{\text{int}}$  is assumed to be exponentially distributed. As a consequence, we sample according to  $t_{\text{int}} = -\tau \ln u$ , where  $u \in (0, 1)$  is a uniformly distributed random number [26, Chap. 4]. Thus, if the sampled interaction time  $t_{\text{int}}$  is smaller or equal than the provided time step  $\Delta t$ , the two randomly determined electrons collide with each other. In this case, the energy transfer is computed according with Eq. (10) and Eq. (11). Again, in Eq. (11) the point  $\hat{n}$  on the unit sphere is uniformly sampled. This describes the fact that, in the case of a collision of hard spheres, the scattering angle is uniformly distributed in  $(0, \pi)$  [28].

Let us stress again that we consider a simplified model computing the collision of two neutral hard spheres and account for Coulomb interaction via the screening number  $\kappa$ . As such, the scattering angle is not governed by the differential cross section of Coulomb scattering, *i.e.*, the Rutherford formula.

#### 4.3. The combined steps

To obtain an extra order of accuracy, initial and final time steps of  $\Delta t/2$  are taken with the deterministic method before taking the first stochastic step of  $\Delta t$ . After that the steps are taken alternately each over  $\Delta t$ , until the ending deterministic step of  $\Delta t/2$ . Algorithm 1 provides an overview of the combined EMRE and MC methods presented as a pseudo code.

## 193 5. Interface

194 In this section, the interface between the two programming languages C++11 and FORTRAN 2003 is described.  
 195 Here the main program to be executed is written in FORTRAN 2003 and calls code from C++11 via a wrapper  
 196 interface. As has been pointed out above, the Monte Carlo part is written in C++11. A major constituent of  
 197 a Monte Carlo simulation is a random number generator (RNG), which provides random numbers according  
 198 to a required distribution. Note that the C++11 standard provides several random number generators as  
 199 well as distributions. In our case, we choose the Meresenne Twister random number generator [17].

200 Moreover, the sequence of random numbers is initialized by a seed, which determines the initial state of  
 201 the RNG engine. This state is updated after each call of a random number. For the sake of good programming  
 202 style, the RNG shall be initialized once with a fixed seed. This allows reproducing the results corresponding  
 203 to the Monte Carlo part. As a consequence, we avoid initializing the RNG each time the FORTRAN 2003 part  
 204 calls the MC part by initializing the RNG only once at the beginning of the first calculation. Thus the same  
 205 random number generator is available throughout the simulation.

206 In the following, the `intrinsic ISO_C_BINDING` module serves as communication between FORTRAN and  
 207 C/C++ by binding each FORTRAN subroutine to an `external C` function. In our FORTRAN 2003 module, a  
 208 derived data type is initialized, which contains a pointer, able to store the address of a C/C++ object. In the  
 209 next step, an external C function is called, which initializes a C++ class, comprising the RNG. The address  
 210 of this object is stored in the previously denoted pointer. As a consequence, the RNG is initialized with a  
 211 fixed seed.

212 From now on, FORTRAN 2003 can repeatedly invoke the electron-electron collisions by calling the corre-  
 213 sponding C++ member function via an external C function and the stored pointer address. Example code for  
 214 this interface is provided in the supplementary material.

## 215 6. Simulations

216 We solve Eq. (1) using the algorithm described in Sec. 4. These calculations are performed for ultrashort  
 217 pulses of three different pulse durations of 15 fs, 30 fs, and 60 fs, as measured by the full width at half the  
 218 maximum intensity,  $\tau_w$ . The central wavelength of each pulse is taken to be 400 nm with a peak intensity of  
 219  $I_0 = 4 \times 10^{17} \text{ W m}^{-2}$ . The pulse shape is assumed to be Gaussian and the intensity in each case is given by  
 220  $I(t) = I_0 \exp(-4 \ln(2) t^2 / \tau_w^2)$ . Note that by keeping the same peak intensity for each pulse while changing  
 221 the pulse width for each, we are changing the total pulse energy for each simulation.

222 The material is assumed to have a band gap of 9 eV and a linear refractive index of  $n_0 = 1.47$ , like fused  
 223 silica glass. The electron and hole masses are approximated as equal to the free electron mass. The electron-  
 224 phonon collision time  $\tau_i^{\text{pn}}$  and free-carrier momentum scattering time  $\tau_i^c$  are calculated from the energy and  
 225 momentum scattering rates as functions of electron energy from Ref. [29]. The average phonon energy  
 226 is assumed to be  $\epsilon^{\text{pn}} = 33 \text{ meV}$  [30]. In our calculations we set the energy discretization  $\Delta\epsilon$  equal to  $\epsilon^{\text{pn}}$ ,  
 227 yielding a value of  $j = 93$  for the photon energy index in Table. 1, and set the maximum EMRE energy index  
 228 to  $m = 1500$ . The value for the impact ionization parameter rate is estimated to be  $P_{\text{imp}} = 21.2 \text{ fs}^{-1}$  which  
 229 was calculated from a Boltzmann collision integral for energies near the critical energy  $\epsilon_c$  in Ref. [16]. During  
 230 the simulation, the conduction electron distribution as a function of energy,  $\rho_e(\epsilon)$ , and the total ionization  
 231 yield,  $n_e$ , are recorded at each time step. Each simulation was performed once with the electron-electron  
 232 collisions included and then performed again without this contribution.

## 233 7. Results

234 The laser pulse intensity and resulting ionization yields for each simulation are shown in Fig. 2 as functions  
 235 of time. Note that although the pulse shape is the same for each plot, the time axes are normalized to the  
 236 respective pulse width  $\tau_w$ . Note also that the maximum yields (measured on the left axes) increase with  
 237 increasing pulse width. Comparison between the curves including (solid blue lines) and excluding (dotted  
 238 red lines) electron-electron collisions show that for each pulse width the evolution of  $n_e(t)$  prior to the pulse  
 239 peak is not significantly changed by these collisions. At those earlier times the influence of photo-ionization

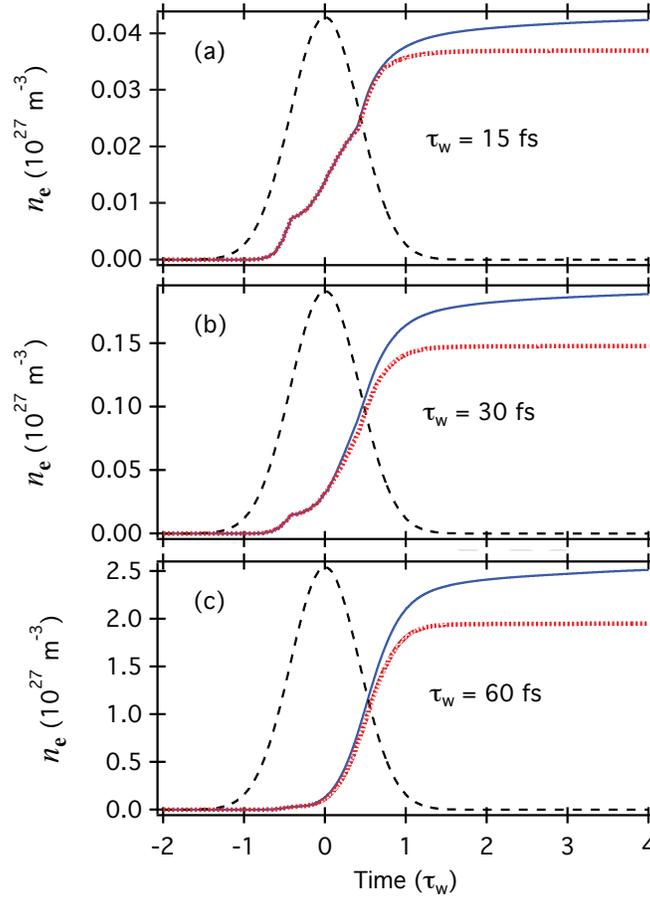


Figure 2: The normalized laser intensity (dashed black line) and total ionization yield,  $n_e$ , with (solid blue line) and without (dotted red line) electron-electron collisions, shown as functions time for pulses with durations of (a) 15 fs, (b) 30 fs, and (c) 60 fs. The peak intensity of each run was  $I_0 = 4 \times 10^{17} \text{ W m}^{-2}$ . Note that the time axis is normalized to the pulse width  $\tau_w$ , while the density axes are rescaled for each panel.

is dominant. Thus one finds a characteristic feature in  $n_e(t)$  occurring approximately at  $t = -\tau_w/2$  for each simulation. This feature comprises a sudden shift in  $dn_e/dt$  resulting from an increase of the number of photons required to overcome the effective band gap during photo-ionization, as described by the Keldysh model. The opposite of this feature occurs in Fig. 2a at time  $t = +\tau_w/2$  when one less photon is required for photo-ionization. Such behavior is also present in Fig. 2b and Fig. 2c, but it is hidden in the data due to the dominating influence of impact ionization over longer times.

Another feature in each plot of Fig. 2 is a continued increase of the conduction band population after the pulse has gone that only occurs when electron-electron collisions are included. This post-pulse increase of the ionization yield stabilizes on the order of a picosecond and increased the total yield between 10 % - 20 %.

For times  $t > +\tau_w$  the pulse intensity becomes small. Therefore photo-ionization plays a negligible role at these later times and any significant increase of the ionization yield comes from impact ionization. A more detailed explanation of this behavior is provided by the data in Fig. 3 and Fig. 4. These figures show the corresponding numerical solutions to Eq. (1) (divided by the discretization energy  $\Delta\epsilon$ ) as functions of electron energy and time including (Fig. 3) and excluding (Fig. 4) electron-electron collisions. During these electron-electron collisions the total electron density and energy are conserved. However, the thermalization

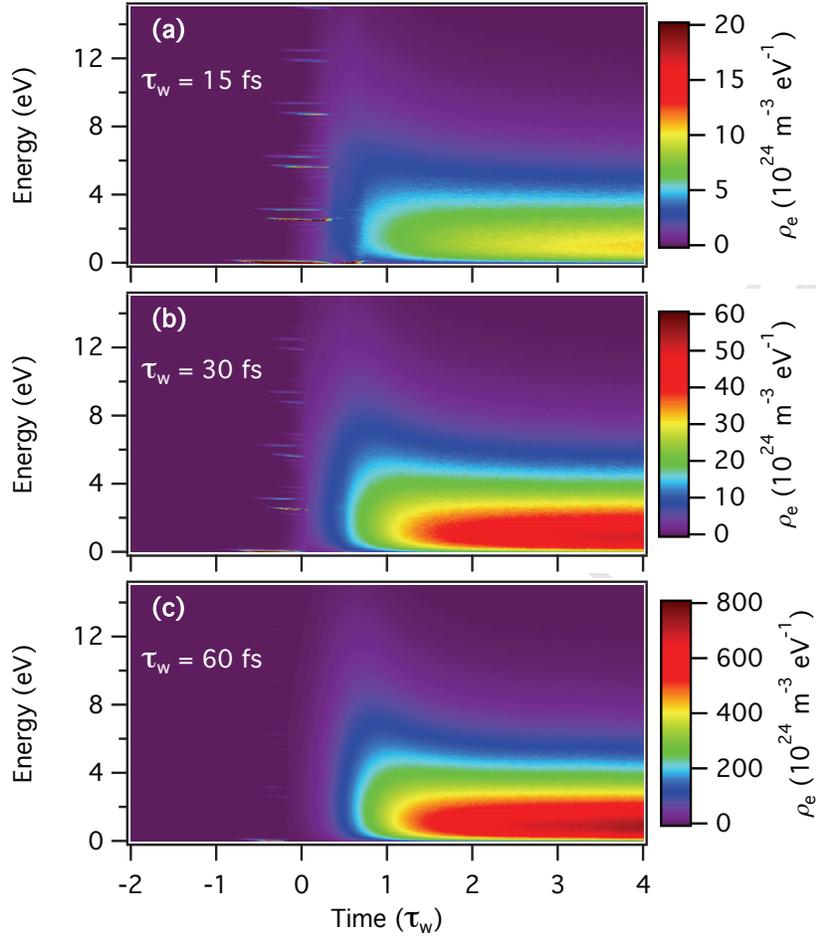


Figure 3: Conduction band electron distributions as functions of energy and time for pulses with durations of (a) 15 fs, (b) 30 fs, and (c) 60 fs. These results include electron-electron collisions.

255 does cause a small fraction of initially lower-energy electrons to suddenly gain energies high enough for  
 256 impact ionization ( $\epsilon^{\text{crit}} \geq 13.5$  eV in our case) without the need of absorbing additional photons from the  
 257 laser field. This effect keeps increasing the ionization yield after the pulse has passed.

258 Figure 3a and 3b, as well as all of Fig. 4 show how the sharp-peaked distribution, prior to the pulse peak  
 259 for the shortest pulses, thermalizes. These early peaks in the distribution arise from photo-ionization into low  
 260 energies followed by single photon absorption events to higher energies as described by the MRE. However,  
 261 the peaks are thermalized rapidly (on the order of 10 fs or less) by the electron-electron collision contributions.  
 262 Therefore, if one includes electron-electron collisions in the EMRE for the pulses under consideration, only  
 263 for the 15 fs pulse do these initial distribution peaks remain throughout the pulse duration and thermalize  
 264 after the pulse is gone (see Fig. 3).

265 In the results without electron-electron collisions shown in Fig. 4 the distribution maintains a non-  
 266 equilibrium shape throughout the simulations. Even so, some spreading of the sharp distribution peaks over  
 267 time still occurs. This thermalization is primarily due to energy loss of electrons into the phonon gas and our  
 268 EMRE's largely artificial placement of post-impact ionization electrons. If more realistic models of electron-  
 269 phonon collisions or impact ionization were used, the thermalization in Fig. 4 would likely be greater.

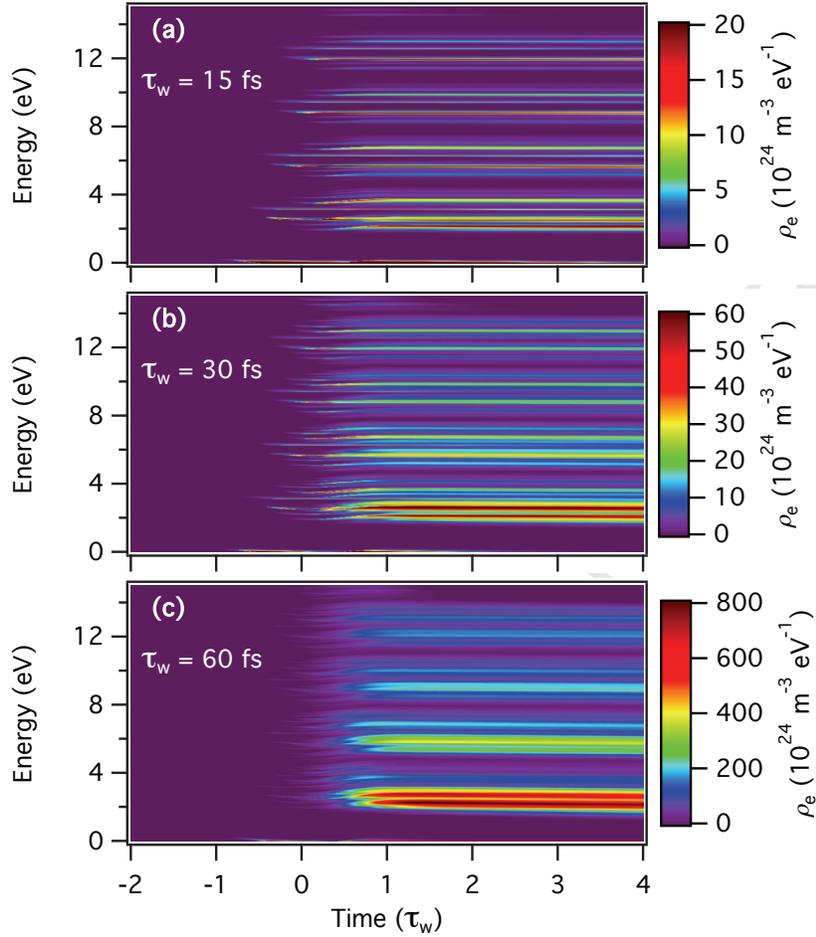


Figure 4: Conduction band electron distributions as functions of energy and time for pulses with durations of (a) 15 fs, (b) 30 fs, and (c) 60 fs. These results neglect electron-electron collisions.

Regardless, comparison of Fig. 3 and Fig. 4 demonstrate that the role of electron-electron collisions in determining the distribution shape is decisive, at least for time scales greater than a few tens of femtoseconds.

In comparing the distribution shapes on the leading and trailing pulse edges, it is helpful to look at 1D plots of the distribution at specific times prior to and after the pulse peak. Numerical solutions to Eq. (1) (again divided by the discretization energy  $\Delta\epsilon$ ) are shown in Figs. 5 and Fig. 6 as functions of electron energy for times surrounding the peak of the laser intensity. Note here, as in the earlier figures, that the maximum distribution values change with changing pulse widths so as to better contrast the respective distribution shapes at the various times. These specific times are also given in units of the pulse width,  $\tau_w$ .

The important conclusion to draw from these results is that at the peak of the 60 fs pulse, see the  $t = 0$  fs plot in Fig. 5(c), the distribution is already thermalized by electron-electron collisions. This is not the case for the 15 fs and 30 fs pulses in Fig. 5a and Fig. 5b. In many calculations of laser-induced ionization, particularly those used in pulse propagation simulations, the ionization yield is used in combination with a Drude model to simulate the entire response of free charges [6, 7]. Although the peak ionization yields shown in Fig. 2 are of the same order of magnitude whether or not electron-electron collisions are included, comparison of Fig. 5 and Fig. 6 demonstrates the significance of electron-electron collisions to the electron

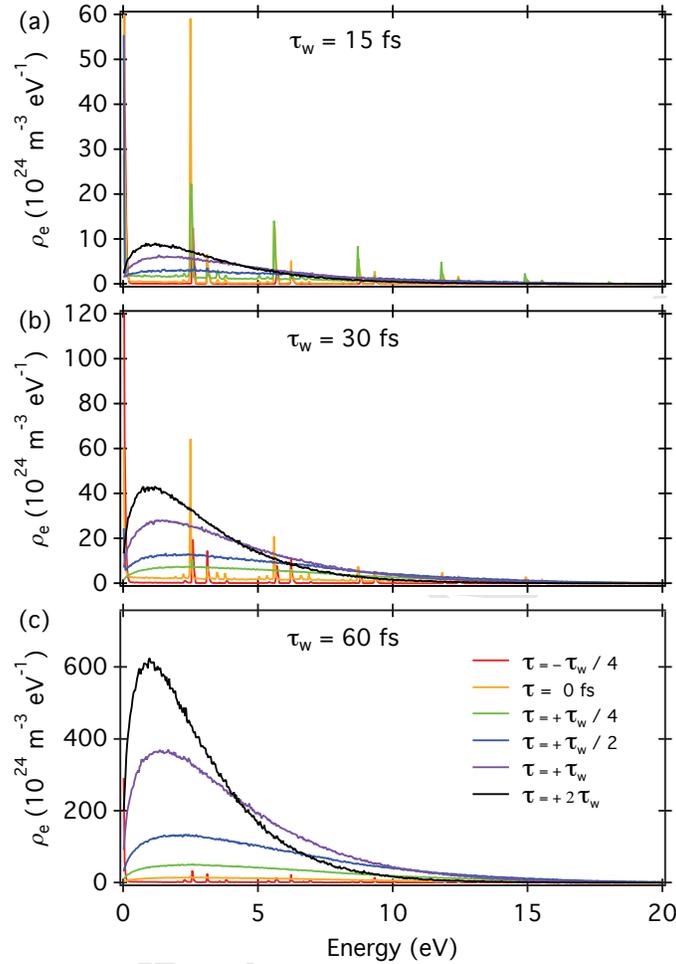


Figure 5: Conduction band electron distributions as functions of energy at various times for pulses with durations of (a) 15 fs, (b) 30 fs, and (c) 60 fs. These results include electron-electron collisions.

285 distribution shape even for the shortest pulses under consideration. The distinction is not a trivial one  
 286 for those wishing to interface the laser-material response to pulse propagation simulations. The shape of  
 287 the electron distribution has a definite effect on the optical properties of the carriers due to the energy  
 288 dependence of quantities such as momentum and energy relaxation times. This consequence has been  
 289 demonstrated by theoretical works [14, 29] as well as experimental validation of theoretical treatments using  
 290 time-dependent reflectivity and absorption measurements [31]. The primary driver of this effect in each case  
 291 was the non-equilibrium state of the free carriers. Therefore, since many ubiquitous models of free-carrier  
 292 optical properties assume a thermalized distribution in quasi-equilibrium, they are highly questionable for  
 293 pulse durations under 50 fs. The presented approach addresses this issue by separating the fast calculations  
 294 of the EMRE from the more cumbersome thermalizing calculations required for classical electron-electron  
 295 collision modeling. By doing so, each calculation may be optimized separately from the other.

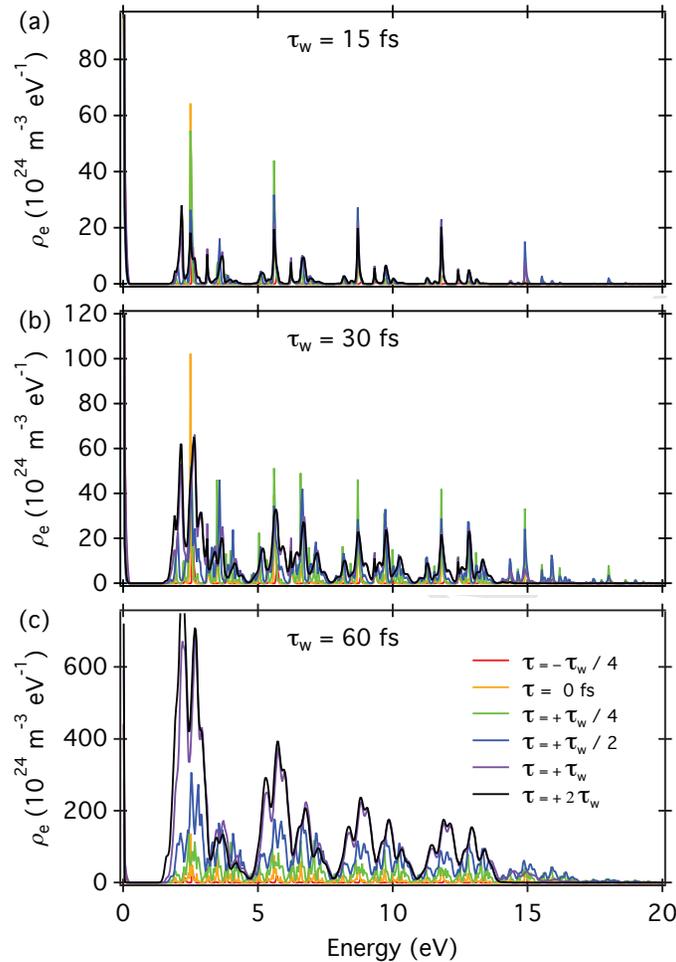


Figure 6: Conduction band electron distributions as functions of energy at various times for pulses with durations of (a) 15 fs, (b) 30 fs, and (c) 60 fs. These results neglect electron-electron collisions.

## 8. Conclusion

A C++11 / FORTRAN 2003 interface is presented and used to combine deterministic and stochastic methods of modeling electron collisions in solids exposed to ultrashort laser pulses. The presented simulations using this interface evolve the conduction band energy-dependent electron distribution in a dielectric solid exposed to an ultrashort high-intensity laser pulse. The simulations take a split-step approach comprising a stochastic Monte-Carlo step to simulate electron-electron collisions, and a deterministic step solving an extended multi-rate equation with standard ODE solvers for all other collisions. The results show that the model of electron-electron scattering plays a dominant role in the evolution of the electron energy distribution. This has, for instance, consequences on the free-carrier dependence of optical properties.

This approach is advantageous because it separates the fast calculations of the EMRE (typically coupled to the field evolution) from the more demanding calculations required for proper electron-electron collision modeling. Therefore, each calculation may be optimized separately from the other. This technique is ideal for simulations of high-intensity, long distance pulse propagation in solids, which requires simultaneous modeling of the field and material evolution.

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