

# Enthalpic Barriers Dominate the Folding and Unfolding of the Human Cu, Zn Superoxide Dismutase Monomer

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## Abstract

The rate-limiting step in the formation of the native dimeric state of human Cu, Zn superoxide dismutase (SOD1) is a very slow monomer folding reaction that governs the lifetime of its unfolded state. Mutations at dozens of sites in *SOD1* are known to cause a fatal motor neuron disease, amyotrophic lateral sclerosis, and recent experiments implicate the unfolded state as a source of soluble oligomers and histologically observable aggregates thought to be responsible for toxicity. To determine the thermodynamic properties of the transition state ensemble (TSE) limiting the folding of this high-contact-order  $\beta$ -sandwich motif, we performed a combined thermal/urea denaturation thermodynamic/kinetic analysis. The barriers to folding and unfolding are dominated by the activation enthalpy at 298 K and neutral pH; the activation entropy is favorable and reduces the barrier height for both reactions. The absence of secondary structure formation or large-scale chain collapse prior to crossing the barrier for folding led to the conclusion that dehydration of nonpolar surfaces in the TSE is responsible for the large and positive activation enthalpy. Although the activation entropy favors the folding reaction, the transition from the unfolded state to the native state is entropically disfavored at 298 K. The opposing entropic contributions to the free energies of the TSE and the native state during folding provide insights into structural properties of the TSE. The results also imply a crucial role for water in governing the productive folding reaction and enhancing the propensity for the aggregation of SOD1.

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## Introduction

Landscape Theory proposes that unfolded proteins access their native conformations by a random search over a funnel-shaped energy surface that progressively constraints the conformational entropy.<sup>1</sup> Experimental evidence consistent with an important role for the loss in chain entropy during folding can be found in the inverse correlation of the logarithm of the folding rate constants of dozens of small two-state folders with the contact order, a metric related to the proportion of short- and long-range contacts in the native conformation.<sup>2</sup> Proteins with a higher fraction of long-range contacts tend to fold more slowly, implying a more convoluted search process. However, the considerable variation in the folding rate constants for proteins with similar motifs but different sequences and the systematic differences in the rate constants between motifs ( $\alpha$ ,  $\beta$ , and  $\alpha/\beta$ ) show that the chain entropy penalty in folding

can be significantly modulated by the sequence and the motif.<sup>3</sup>

Counterbalancing almost precisely the chain entropy penalty in folding is the gain in solvent entropy when water is released from the backbone and freed from constraints imposed by exposure to nonpolar side chains in the unfolded state. The maximum in the stability, that is, the maximum free energy of folding, for many globular proteins occurs in the range 5–25°C.<sup>4</sup> The entropy change for the folding reaction at the temperature of maximum stability is, from standard thermodynamic relationships, equal to 0:  $\left(\frac{\partial \Delta G^0}{\partial T}\right)_P = -\Delta S = 0$ .<sup>5</sup> Thus, although large and opposing contributions to the entropy are involved, the free energy of folding is dominated by enthalpic contributions for most proteins near room temperature. To reconcile these potentially conflicting kinetic and thermodynamic views of the forces driving folding reactions, it is

useful to consider the thermodynamic properties of the transition state ensemble (TSE) that separates the native and unfolded states.

The thermodynamic properties of TSEs may also have profound clinical implications, a case in point being dimeric human superoxide dismutase. Dozens of mutations in the *SOD1* gene lead to the formation of soluble oligomeric and/or histologically observable aggregates of SOD1 protein that are potential sources of toxicity in amyotrophic lateral sclerosis (ALS),<sup>6</sup> an invariably fatal motor neuron disease.<sup>7,8</sup> Although the exact nature of the toxic species remains controversial,<sup>9–13</sup> a candidate of recent interest is the monomeric unfolded state. Metal loss has been implicated as a preliminary step in the aggregation pathway,<sup>14,15</sup> and the existence of disease variants with wild-type-like stability in the metal-free state<sup>16</sup> suggests that apo-SOD1 is inherently aggregation prone. Furthermore, several of the most widely studied *SOD1* mutations dramatically increase the population of the unfolded state, especially in the absence of metals,<sup>17–19</sup> and the concentration of the unfolded state has been shown to be directly correlated with the rate of aggregation *in vitro*.<sup>20</sup> Given the possibility that the unfolded state may be responsible for nucleating the aggregation reaction of ALS variants,<sup>14,19,21–23</sup> the thermodynamic properties of the TSE that governs its lifetime become relevant to disease.

SOD1 is primarily a cytosolic protein responsible for the dismutation of the highly reactive superoxide anion ( $O_2^-$ ) into peroxide and oxygen. The protein is a homodimer with one catalytic copper ion and one structural zinc ion bound to each subunit (Fig. 1a). The fold can be described as a  $\beta$ -sandwich, with eight antiparallel  $\beta$ -strands supporting two large loops that dock on the surface of the protein (Fig. 1b). Loop IV, the Zn binding loop, plays an important role in stabilizing the native conformation in the presence of Zn, and Loop VII, the electrostatic loop, guides the substrate to the active site.<sup>9</sup> In the absence of the metals, as in the present study, these loops have been shown to be intrinsically disordered by NMR spectroscopy<sup>24</sup> and X-ray crystallography.<sup>25</sup> Each subunit also features an intramolecular disulfide bond between C57 and C146 that links Loop IV and  $\beta$ 8.

The folding mechanism of SOD1 can be best described as a three-state reaction,<sup>26–28</sup>  $2U \rightleftharpoons 2M \rightleftharpoons N_2$ , in which the rate-limiting monomer folding reaction from the unfolded state, U, to the folded state, M, is followed by the rapid self-association to form the dimeric native state,  $N_2$ . Previous studies on a stable monomer variant (herein referred to as mSOD1\*; see introduction to Results) have found that the folding reaction is well described by a two-state process.<sup>19,26,27</sup> Relevant to the activation free-energy barrier for the folding of the monomer, the native conformation of SOD1 is

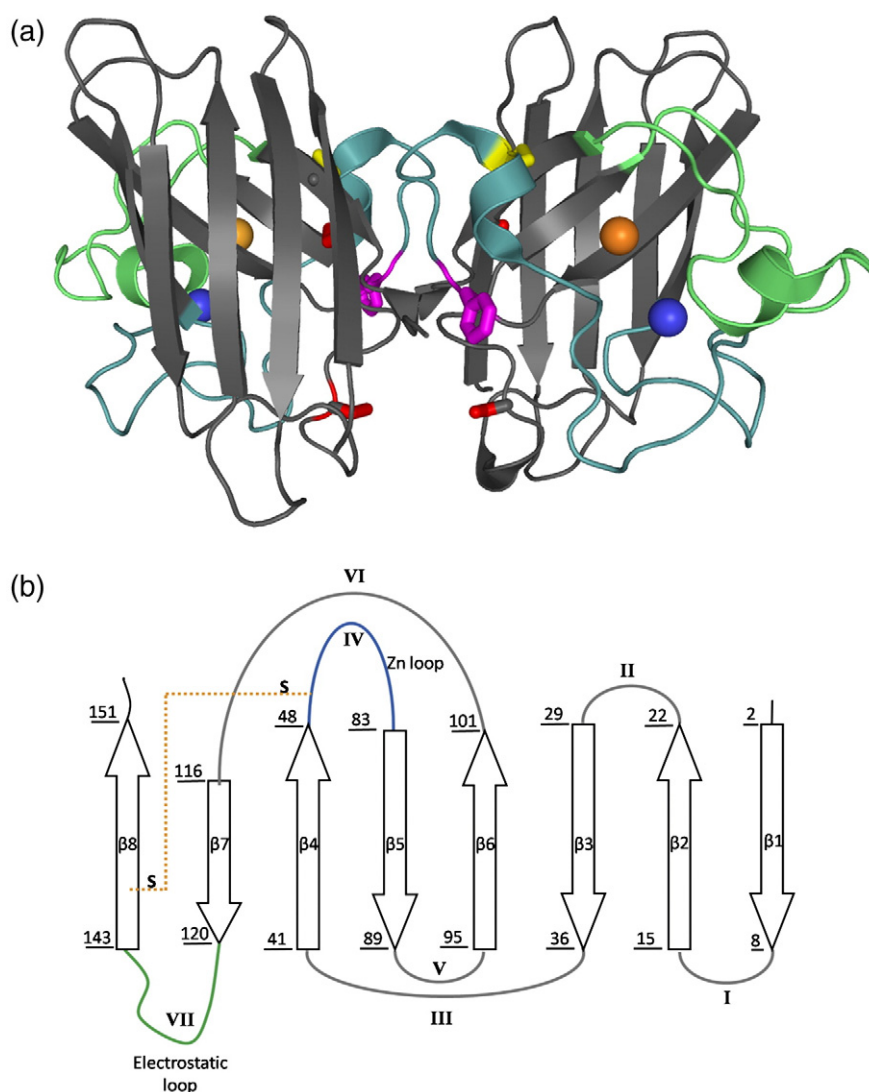
dominated by nonlocal interactions that would be expected to raise the magnitude of the entropic contribution and further enhance the propensity for aggregation by increasing the lifetime of the unfolded state. Mutational analysis of the side chains that stabilize the TSE in the absence of Zn revealed key roles for residues in  $\beta$ 1,  $\beta$ 2,  $\beta$ 3,  $\beta$ 4, and  $\beta$ 7; with the exception of  $\beta$ 1, the TSE involves the interior  $\beta$ -strands of the  $\beta$ -sandwich (Fig. 1).<sup>29</sup> Posttranslational modifications that enhance the connectivity of SOD1 also accelerate refolding. Although the disulfide bond only accelerates the folding reaction by 2-fold,<sup>19</sup> the pre-organization of the Zn binding loop,<sup>30</sup> or possibly the Cu binding site,<sup>31</sup> by Zn speeds the folding by  $\sim 100$ -fold.<sup>30</sup>

A comprehensive analysis of the thermodynamic properties of the TSE for mSOD1\* revealed that the unfolding and refolding reactions are dominated by the activation enthalpy at 298 K. However, unlike the slightly unfavorable entropy difference for folding between the unfolded and native states at this temperature, the activation entropy for folding favors the formation of the TSE. Thus, the enthalpy/entropy compensation evolves during folding so as to enhance the formation of the TSE for SOD1 and speed the formation of the native conformation. Although the barrier for the folding reaction is dominated by the activation enthalpy, the activation entropy and the attendant chain entropy penalty modulate the barrier. The long lifetime of the U state for SOD1 reflects both the inherently more complex search process for a TSE dominated by long-range interactions and, we propose, the necessity to simultaneously dehydrate a large nonpolar surface to reach the TSE. The long lifetime would also increase the opportunity for both wild-type and ALS variants to aggregate prior to folding.

## Results

### Monomeric SOD1

To avoid complications related to the dimer association/dissociation reactions in wild-type SOD1, we created a stable monomeric version of SOD1 by replacing two nonpolar interface residues with glutamic acid, F50E/G51E (Fig. 1a).<sup>32</sup> To preclude disulfide scrambling in the unfolded state, we replaced the two natural cysteines at positions 6 and 111 with alanine and serine, respectively, C6A/C111S.<sup>33</sup> The removal of the free cysteines, combined with the absence of methionine residues in the protein, also reduces the possibility of increased oxidative damage associated with folding experiments above room temperature. The quadruple mutant, C6A/F50E/G51E/C111S, is designated as mSOD1\*. All experiments were performed on



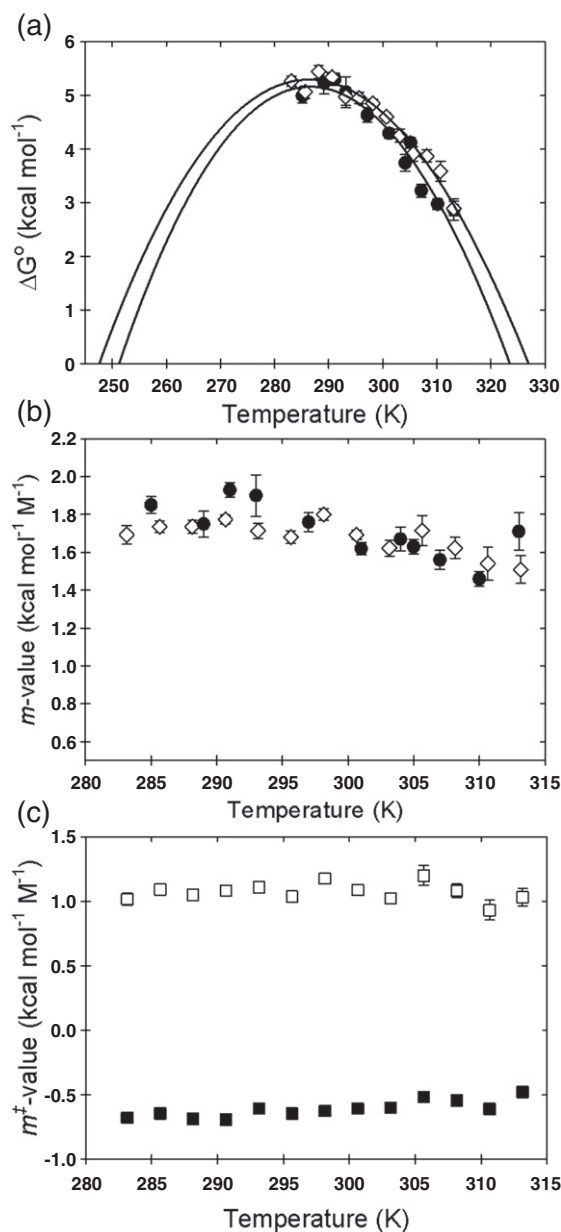
**Fig. 1.** Crystal structure and topology of SOD1. (a) SOD1 is a dimeric  $\beta$ -sandwich protein consisting of eight antiparallel  $\beta$ -stands supporting two catalytic loops (Protein Data Bank ID: 2C9V). The electrostatic loop is depicted in green, while the Zn binding loop is depicted in cyan. Each monomeric subunit also contains a Zn ion (blue sphere) and a Cu ion (orange sphere), which were not present for this study, as well as an intramolecular disulfide bond (yellow). The free cysteines, C6/C111 (red), were replaced with alanine and serine, respectively. Additionally, a pair of glutamic acid residues were introduced in the dimer interface, replacing F50/G51 (violet), in order to obtain the obligate monomer, mSOD1\*. (b) The topology of SOD1 has the immunoglobulin fold. It is made up of two  $\beta$ -sheets consisting of strands  $\beta 1\beta 2\beta 3\beta 6$  and  $\beta 5\beta 4\beta 7\beta 8$  and contains a Greek-key motif. The loops have been labeled with sequential Roman numerals.

mSOD1\* where the native disulfide bond between C57 and C146 was intact. The disulfide-containing species was chosen to enable measurement of the folding properties over a temperature range sufficient to insure the accuracy of the extracted thermodynamic parameters. Because the structures of oxidized and reduced SOD1 are very similar by NMR<sup>34</sup> and the presence of the disulfide bond only accelerates the refolding reaction by 2-fold,<sup>19</sup> we presume that the results for the oxidized protein will be pertinent to its reduced counterpart.

### Thermodynamic properties of the mSOD1\* folding reaction

#### Free-energy change

To provide a framework for the thermodynamic analysis of the TSE, we investigated the thermodynamic properties of the equilibrium unfolding transition between the M and U states of mSOD1\*. The strategy involved performing reversible urea titrations at a series of temperatures between 285 K and



**Fig. 2.** Temperature dependence of the  $\Delta G^\circ$ ,  $m$ -values, and  $m^\ddagger$ -values. (a) The free energy of folding in the absence of denaturant and (b) the  $m$ -values of folding are shown as a function of temperature as derived from kinetic (open diamonds) and equilibrium (filled circles) experiments. (c) The refolding (open squares) and unfolding (filled squares) kinetic  $m^\ddagger$ -values are shown as a function of temperature. The error bars represent the standard deviation of the fit. The continuous lines in (a) represent the best fit to the Gibbs-Helmholtz equation [Eq. (1)].

313K (Fig. S1a and b) and fitting the ellipticity changes at 215–240nm to a two-state model that assumes a linear dependence of the free energy of folding on the denaturant concentration.<sup>30</sup> The

change in ellipticity at 230nm, chosen to avoid the absorbance of light by urea at the typical minimum for  $\beta$ -sandwich proteins, 216–218nm, reveals a cooperative unfolding transition (Fig. S1). It has previously been shown that the amplitude of the small positive band at 230nm reflects the integrity of the native conformation for mSOD1\*.<sup>27</sup> The free energy of folding in the absence of urea as a function of temperature is shown in Fig. 2a.

The stability increases from 5.26 kcal mol<sup>-1</sup> at 285 K to a maximum value of 5.45 kcal mol<sup>-1</sup> at ~291 K and then decreases to 2.9 kcal mol<sup>-1</sup> at 313 K. This behavior is typical of globular proteins and reflects the interplay among enthalpic, entropic, and heat capacity changes accompanying unfolding reactions.<sup>4</sup> Within the estimated errors, the  $m$ -values change little across this temperature range (Fig. 2b), implying that the buried surface area changes are not sensitive to temperature. By inference, the heat capacity change, proportional to the change in buried surface area,<sup>35</sup> is also independent of temperature across this range.

#### Enthalpy and heat capacity changes

The dependence of the free energy of unfolding on the temperature for a two-state process can be described by the modified Gibbs-Helmholtz equation in order to extract the enthalpy and heat capacity changes for unfolding<sup>36,37</sup>:

$$\Delta G^\circ(T) = \Delta H_m \left(1 - \frac{T}{T_m}\right) - \Delta C_p^\circ \left[ (T_m - T) + T \ln \left( \frac{T}{T_m} \right) \right] \quad (1)$$

where  $T_m$  corresponds to the melting temperature,  $\Delta H_m$  corresponds to the enthalpy of unfolding at the melting temperature, and  $\Delta C_p^\circ$  is the heat capacity change upon unfolding at the standard state. The fit of the data in Fig. 2a to Eq. (1), with the assumption of a temperature-independent heat capacity change and interpolating to the standard state, yields a  $\Delta H^\circ$  of  $31.2 \pm 4.3$  kcal mol<sup>-1</sup> and a  $\Delta C_p^\circ$  of  $2.27 \pm 0.69$  kcal mol<sup>-1</sup> K<sup>-1</sup> for the unfolding of the M state to the U state at 298 K (Table 1). The entropy change at the standard state can be calculated by carrying out a secondary calculation:  $\Delta S^\circ = (\Delta H^\circ - \Delta G^\circ)/T^\circ = 89 \pm 14$  cal mol<sup>-1</sup> K<sup>-1</sup> (Table 1).

For a two-state reaction, the thermodynamic properties can also be extracted from kinetic folding experiments and are expected to match those from the equilibrium experiments. Urea-induced kinetic folding and unfolding experiments were performed on mSOD1\* at 2.5 K intervals between 283 K and 313 K. As observed previously, the folding and unfolding circular dichroism (CD) traces were well described by single-exponential relaxation kinetics without any change in ellipticity in the dead time of the



**Table 1.** Thermodynamic parameters of folding and the TSE of mSOD1\* at 298K and pH7.2

	$\Delta H^0$ (kcal mol <sup>-1</sup> )	$\Delta S^0$ (cal mol <sup>-1</sup> K <sup>-1</sup> )	$-T\Delta S^0$ (kcal mol <sup>-1</sup> K <sup>-1</sup> )	$\Delta C_p^0$ (kcal mol <sup>-1</sup> K <sup>-1</sup> )	$\Delta G^0$ (kcal mol <sup>-1</sup> )
M $\rightleftharpoons$ U: equilibrium	31.2 $\pm$ 4.3	89 $\pm$ 14	-26.5 $\pm$ 4.5	2.27 $\pm$ 0.69	4.64 $\pm$ 0.14 <sup>a</sup>
M $\rightleftharpoons$ U: kinetics	28.0 $\pm$ 1.9	78 $\pm$ 6	-23.3 $\pm$ 1.8	1.93 $\pm$ 0.27	4.84 $\pm$ 0.08 <sup>b</sup>
	$\Delta H^{0\dagger}$ (kcal mol <sup>-1</sup> )	$\Delta S^{0\dagger}$ (cal mol <sup>-1</sup> K <sup>-1</sup> )	$-T\Delta S^{0\dagger}$ (kcal mol <sup>-1</sup> K <sup>-1</sup> )	$\Delta C_p^{0\dagger}$ (kcal mol <sup>-1</sup> K <sup>-1</sup> )	$\Delta G^{0\dagger}$ (kcal mol <sup>-1</sup> )
U $\rightarrow$ TSE	16.7 $\pm$ 0.6	12 $\pm$ 2	-3.6 $\pm$ 0.6	-0.85 $\pm$ 0.12	13.1 $\pm$ 0.1 <sup>c</sup>
N $\rightarrow$ TSE	45.6 $\pm$ 1.7	93 $\pm$ 6	-27.7 $\pm$ 1.8	1.06 $\pm$ 0.37	18.0 $\pm$ 0.1 <sup>c</sup>
M $\rightleftharpoons$ U <sup>d</sup>	28.9 $\pm$ 1.8	81 $\pm$ 7	-24.1 $\pm$ 2.1	1.91 $\pm$ 0.39	4.9 $\pm$ 0.1

The thermodynamic parameters were calculated by fitting the observed stabilities or folding rates as a function of temperature, in the absence of denaturant, unless otherwise stated. The choice of prefactor in the Kramers formalism was  $k_a = 5 \times 10^8 \text{ s}^{-1}$ .

<sup>a</sup> Calculated from the interpolation of the equilibrium stability measurements.

<sup>b</sup> Calculated from the interpolation of the kinetic stability measurements.

<sup>c</sup> Calculated from the kinetic data at 298K.

<sup>d</sup>  $\Delta H^0 = \Delta H_u^0 - \Delta H_f^0$ ,  $\Delta S^0 = \Delta S_u^0 - \Delta S_f^0$ ,  $\Delta C_p^0 = \Delta C_{pu}^0 - \Delta C_{pf}^0$ ,  $\Delta G^0 = \Delta G_u^0 - \Delta G_f^0$ .

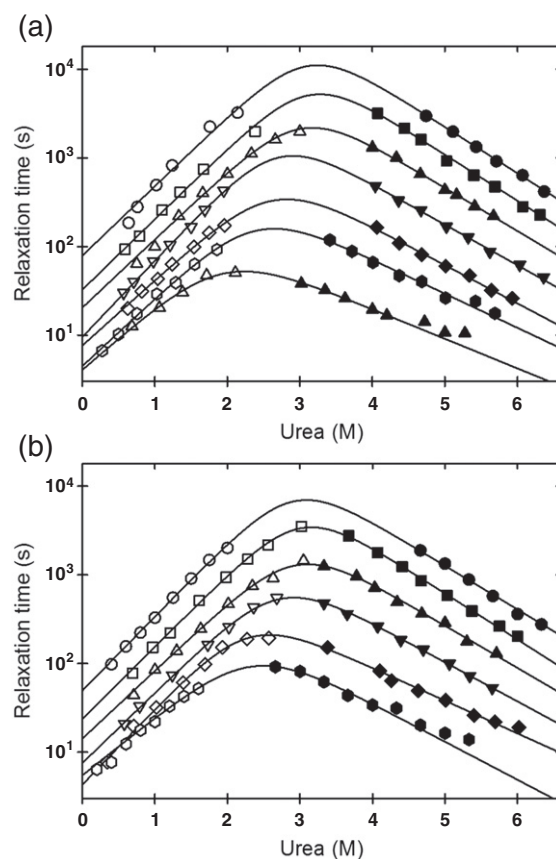
experiment,  $\sim 5 \text{ s}$  (Fig. S2).<sup>19,27</sup> Plots of the relaxation times at a given temperature as a function of the final denaturant concentration, the chevron plot, are shown in Fig. 3. The reciprocals of the relaxation times, the observed rate constants, were fit to an exponential dependence of the unfolding and refolding rate constants on the denaturant concentration:

$$k_{\text{obs}} = k_f^0 e^{-m_f^\ddagger [\text{urea}]/RT} + k_u^0 e^{-m_u^\ddagger [\text{urea}]/RT} \quad (2)$$

where  $k_{\text{obs}}$  is the observed rate constant at a given denaturant concentration, [urea], and temperature,  $T$ ;  $k_f^0$  and  $k_u^0$  are the folding and unfolding rate constants in the absence of denaturant; and  $m_f^\ddagger$  and  $m_u^\ddagger$  are the denaturant dependence of the folding and unfolding rate constants, respectively. The best-fit values for  $k_f^0$ ,  $k_u^0$ ,  $m_f^\ddagger$ , and  $m_u^\ddagger$  as a function of temperature are tabulated in Table S1.

The temperature dependence of the stability can be calculated from the rate constants in the absence of denaturant via  $\Delta G^0 = -RT \ln(k_u/k_f)$ , and the temperature dependence of the equilibrium  $m$ -value,  $m_{\text{eq}}$ , can be determined from the kinetic  $m^\ddagger$ -values by  $m_{\text{eq}} = m_f^\ddagger - m_u^\ddagger$ . The very good agreement between the stabilities (Fig. 2a) and  $m$ -values (Fig. 2b) determined from the equilibrium and kinetic experiments supports the choice of the two-state model and lends credence to the parameters extracted from the fits of the data. As above, the enthalpy and the heat capacity change between the M and U states for mSOD1\* can be extracted from the temperature dependence of the stability calculated from the kinetic folding data using the Gibbs-Helmholtz equation [Eq. (1)]. The estimates of  $\Delta H^0$  and  $\Delta C_p^0$  at 298K,  $28.0 \pm 1.9 \text{ kcal mol}^{-1}$  and  $1.93 \pm 0.27 \text{ kcal mol}^{-1} \text{ K}^{-1}$  (Table 1), are in very good agreement with the values derived from the equilibrium experiments and are of higher precision. The larger uncertainties in  $\Delta C_p^0$  and  $\Delta H^0$  obtained from the analysis of equilibrium experiments reflect the low intrinsic stability of mSOD1\* and, as a consequence, the diminished native baseline regions at

higher temperatures. The magnitude of the errors from the equilibrium experiment is also exacerbated by the limited temperature range accessible below



**Fig. 3.** Temperature dependence of mSOD1\* folding kinetics. The folding (open symbols) and unfolding (filled symbols) relaxation times of mSOD1\*, as a function of denaturant concentration, are shown at every 5K: (a) 283K (circles), 288K (squares), 293K (up triangle), 298K (down triangle), 303K (diamond), 308K (hexagon), and 313K (up triangle); (b) 285.5K (circles), 290.5K (squares), 295.5K (up triangle), 300.5K (down triangle), 305.5K (diamond), and 310.5K (hexagon).

the temperature of maximum stability (Fig. 2a). The temperature of maximum stability for mSOD1\* ( $\delta\Delta G/\delta T=0$ ), calculated from the more reliable parameters extracted from the kinetic analysis, is 291 K.

### Thermodynamic properties of the TSE for mSOD1\*

The temperature dependence of the unfolding and refolding rate constants can also be used to calculate the thermodynamic properties of the TSE. From transition state theory,

$$\begin{aligned} k_{\text{obs}} &= k_a \cdot \exp\left(\frac{-\Delta G^{0\dagger}}{RT}\right) \\ &= k_a \cdot \exp\left(\frac{-\Delta H^{0\dagger}}{RT}\right) \cdot \exp\left(\frac{\Delta S^{0\dagger}}{R}\right) \end{aligned} \quad (3)$$

where  $k_a$  is the prefactor,  $T$  is the absolute temperature,  $R$  is the gas constant, and  $\Delta S^{0\dagger}$  and  $\Delta H^{0\dagger}$  are the change in activation entropy and activation enthalpy, respectively, at standard state. When the effects of the heat capacity change,  $\Delta C_p^{0\dagger}$ , on the activation enthalpy and activation entropy are also considered,

$$\Delta H^{0\dagger} = \Delta H^{0\dagger}(T_0) + \Delta C_p^{0\dagger} \cdot (T - T_0) \quad (4)$$

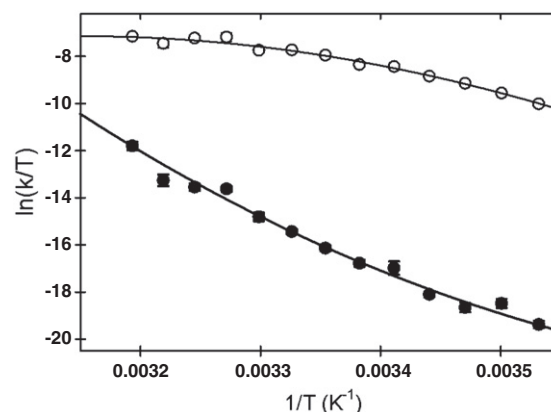
$$\Delta S^{0\dagger} = \Delta S^{0\dagger}(T_0) + \Delta C_p^{0\dagger} \cdot \ln\left(\frac{T}{T_0}\right) \quad (5)$$

the rate constants in the absence of denaturant at a given temperature can be parameterized from Eq. (3) as:

$$\begin{aligned} \ln\left(\frac{k}{T}\right) &= \frac{\Delta S^{0\dagger} - \Delta C_p^{0\dagger}}{R} + \ln\left(\frac{k_a}{T}\right) + \left(\frac{T}{T^0}\right) \frac{\Delta C_p^{0\dagger} - \frac{\Delta H^{0\dagger}}{T^0}}{R} \\ &\quad - \frac{\Delta C_p^{0\dagger}}{R} \ln \frac{T}{T^0} \end{aligned} \quad (6)$$

where  $T^0$  is the reference temperature, 298 K. The value for  $k_a$ ,  $5 \times 10^8 \text{ s}^{-1}$ , was chosen based on experiments performed on peptide models.<sup>38,39</sup> The fits of the temperature dependence of the folding and unfolding rate constants, in the absence of denaturant, to Eq. (6) are shown in Fig. 4a and b.

The activation free energy for folding,  $\Delta G^{0\dagger}$ ,  $13.1 \pm 0.1 \text{ kcal mol}^{-1}$ , is primarily dictated by the activation enthalpy,  $\Delta H^{0\dagger}$ ,  $16.7 \pm 0.6 \text{ kcal mol}^{-1}$  (Table 1). Interestingly, the activation entropy contribution favors access to the TSE by lowering the activation free energy by  $3.6 \text{ kcal mol}^{-1}$ . The barrier for the unfolding reaction is also dominated by the activation enthalpy,  $45.6 \pm 1.7 \text{ kcal mol}^{-1}$ . Similar to folding, the activation entropy favors access to the TSE by decreasing the activation free energy by  $27.7 \pm 1.8 \text{ kcal mol}^{-1}$  at 298 K. The equilibrium enthalpy, entropy, heat capacity, and free-energy changes between the M and U states can also be calculated



**Fig. 4.** Fit of the kinetic data to the Kramers model. The natural log of the folding (open circles) and unfolding (filled circles) rate constants divided by the temperature was plotted as a function of the inverse of the temperature and fit to the Kramers model as described in Results to obtain the thermodynamic parameters of the transition state,  $\Delta S^{0\dagger}$ ,  $\Delta H^{0\dagger}$ , and  $\Delta C_p^{0\dagger}$  (Table 1).

from the difference of the activation counterparts,  $\Delta H^0 = \Delta H_u^{0\dagger} - \Delta H_f^{0\dagger}$ ,  $\Delta S^0 = \Delta S_u^{0\dagger} - \Delta S_f^{0\dagger}$ ,  $\Delta C_p^0 = \Delta C_{pu}^{0\dagger} - \Delta C_{pf}^{0\dagger}$ ,  $\Delta G^0 = \Delta G_u^{0\dagger} - \Delta G_f^{0\dagger}$ ,  $\Delta H^0$  (Table 1). These parameters are of similar or greater precision and within the ranges estimated from the equilibrium experiments (Table 1). Using these parameters, one finds that the free energy of unfolding ( $M \rightarrow U$ ) is  $4.9 \pm 0.1 \text{ kcal mol}^{-1}$ , the enthalpy of unfolding is  $28.9 \pm 1.8 \text{ kcal mol}^{-1}$ , and the entropy of unfolding is  $81 \pm 7 \text{ cal mol}^{-1} \text{ K}^{-1}$  at 298 K. Above the temperature of maximum stability, the entropy change disfavors the folding of globular proteins.

### Position of the TSE on folding reaction coordinates

Insights into the relative position of the TSE on folding reaction coordinates, with respect to the native and unfolded states, can be obtained by comparing the ratio of the  $m_f^\ddagger$ -value and the  $\Delta C_{pf}^{0\dagger}$  to the  $m$ -value and the  $\Delta C_p^0$  for the  $M \rightleftharpoons U$  reaction. The  $\alpha$ -parameter is defined as:

$$\alpha = \frac{X_{U \rightarrow M}^\ddagger}{X_{U \rightarrow M}^\ddagger - X_{M \rightarrow U}^\ddagger} \quad (7)$$

where  $X^\ddagger$  is either the  $m^\ddagger$  or the  $\Delta C_p^{0\dagger}$  value. An  $\alpha$ -value near 1 suggests a very native like transition state, whereas an  $\alpha$ -value near 0 suggests an unfolded-like transition state. The  $\alpha$ -values obtained from the  $m_f^\ddagger$ -value and the  $\Delta C_{pf}^{0\dagger}$ -value are  $0.65 \pm 0.02$  and  $0.44 \pm 0.11$ , respectively. The differing  $\alpha$ -values reflect the fact that  $m$ -value is sensitive to the total change in buried surface area,<sup>35</sup> while  $\Delta C_p^{0\dagger}$  is positive for the exposure of nonpolar surface area to water and negative for exposure of polar surfaces to

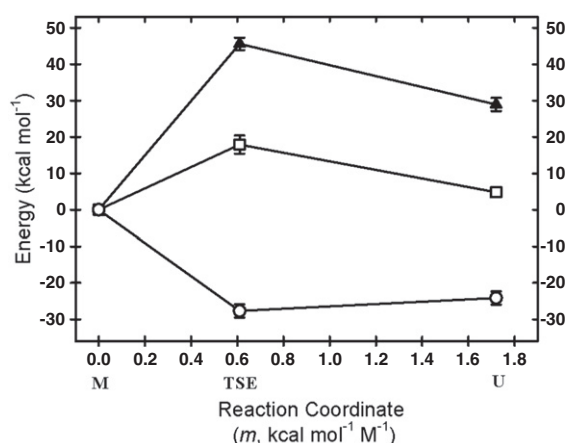
water.<sup>40,41</sup> Although the burial of nonpolar surface dominates the change in the heat capacity when the U state accesses the TSE (Table 1), the rank order of these  $\alpha$ -values demonstrates that the burial of polar surface area modulates position of the TSE for these two different views of the folding reaction coordinate.

## Discussion

The refolding relaxation time of mSOD1\* at the standard state,  $\tau \sim 9.5$  s, is much slower than folding reactions observed for many other two-state proteins, which can fold in milliseconds or even in microseconds.<sup>42</sup> The potential relationship between the slow folding reaction and propensity for a toxic aggregation reaction motivated a study of the thermodynamic properties of the TSE separating the folded and unfolded states of this  $\beta$ -sandwich protein.

### Enthalpy-controlled barriers for folding and unfolding

The greater conformational entropy cost required to access the TSE for mSOD1\* does not account for its slow folding reaction. A combined thermal/urea denaturation analysis of the reversible unfolding of mSOD1\* revealed that, in the absence of denaturant, the barriers for both folding and unfolding are dominated by the activation enthalpy (Fig. 5 and Table 1). For the unfolding reaction, this observation implies that the disruption of secondary and tertiary structures required to access the TSE outweighs the gain in entropy from those segments of mSOD1\*



**Fig. 5.** Reaction coordinate diagram for mSOD1\*. The enthalpic,  $\Delta H^0$  (filled triangle), and entropic,  $-\Delta S^0$  (open circle), contributions to the free energies (open square) of the M, TSE, and U states at the standard state of 298 K and using the M state as the reference state are shown. The placement of the TSE and U states relative to M was based on  $m^\ddagger$ -values and  $m$ -values extracted from the kinetic folding data analysis.

that become unstructured. The expected gain in entropy from main-chain and side-chain dynamics, however, is mitigated by the loss in entropy when nonpolar surface is exposed to solvent in the TSE. The observation that 56% of the heat capacity gain for the M $\rightleftharpoons$ U reaction occurs in the M $\rightarrow$ TSE step (Table 1) is consistent with the exposure of extensive nonpolar surface to water. Large enthalpic barriers, that is, high Arrhenius activation energies, for unfolding have been observed for many monomeric and dimeric proteins (see Liu and Chan<sup>43</sup> and references therein), demonstrating that escape from the native thermodynamic state involves the substantial disruption of structure in a variety of motifs.

The significant enthalpic barrier for the folding of mSOD1\*,  $16.7 \pm 0.6$  kcal mol<sup>-1</sup>, rules out biased chain diffusion through solvent predicted by Landscape Theory and its expected 4.5 kcal mol<sup>-1</sup> activation energy as the source. The excellent fit of both the equilibrium and the kinetic data to a two-state model implies that transient or stable intermediates are not measurably populated during the folding reaction. The absence of intermediates is also supported by the full recovery of the native ellipticity in the sole kinetic phase (Fig. S2) and by the lack of a large-scale collapse of the unfolded chain by small-angle X-ray scattering.<sup>27</sup> The presence of small, localized, and nonnative clusters of side chains in the nominally unfolded state under native conditions cannot be ruled out by these experiments. However, the disruption of nonnative nonpolar contacts would be exothermic and expected to lower the enthalpic barrier, as would the stabilizing hydrogen bonds presumably formed between the  $\beta$ -strands in the TSE.<sup>44–46</sup> These results imply that the disruption of neither hydrogen bonding networks in partially folded states nor van der Waals-stabilized hydrophobic clusters of nonpolar side chains in the unfolded state ensemble can explain the enthalpic barrier for folding. What then could be the source of the enthalpic barrier?

The  $\alpha$ -values calculated from the  $m$ -value analysis and the  $\Delta C_p^0$  analysis, 0.65 and 0.44, respectively, show that a substantial fraction of the nonpolar surface area is buried in the TSE. Studies on model compounds have revealed that the exposure of nonpolar side chains to water is accompanied by negative changes in both enthalpy and entropy and large positive changes in the heat capacity.<sup>4,47</sup> Therefore, the most likely source of the enthalpic barrier is the dehydration of nonpolar surfaces required to form the TSE. A similar conclusion was reached for the TSE of the association reaction for the dimeric core domain of the Trp repressor,<sup>48</sup> as well as the TSE in the two-state folding reactions of cold shock protein from *Bacillus subtilis*<sup>49</sup> and lysine motif domain from *Escherichia coli*.<sup>50</sup> Supporting this view are the results of theoretical analyses by Chan and colleagues in which the cooperativity of

folding and the associated enthalpy changes can be explained as a consequence of the cooperative desolvation of hydrophobic surfaces for a large set of proteins.<sup>43,51,52</sup> Thus, the dehydration required to form compact structures stabilized by weak van der Waals interactions between carbon-based moieties in the TSE would be expected to yield positive changes in the activation enthalpy for folding.

### Enthalpy/entropy compensation and folding

The well-known small magnitude of the folding free energy of many proteins is a consequence of large and opposing changes in the enthalpy and entropy of the reaction. Enthalpy/entropy compensation has been the subject of discussion for many years, but a satisfactory molecular explanation has been elusive.<sup>53–58</sup> Although the enthalpy change dominates the stability and the refolding barrier of mSOD1\* at 298 K, the entropic contributions differentially modulate the free energies of the TSE and the native state in an informative fashion. The observation that the TSE, relative to the unfolded state, is entropically favored while the native state is disfavored offers insights into the interplay among chain organization, side-chain packing, and dehydration that differentiate the TSE and the native state.

Crystal structures of globular proteins invariably show well-organized main chains stabilized by networks of hydrogen bonds, side chains constrained in strongly preferred rotamer positions, and tight side-chain packing reminiscent of organic solids; water is largely absent in the interior. The net decrease in entropy when the unfolded state is converted to the folded state for mSOD1\* at 298 K shows that the penalty incurred by constraints on the main chain and side chains is greater than the gain in entropy from the release of water. By contrast, the favorable entropy change observed as the unfolded state accesses the TSE implies that the gain from solvent release exceeds the penalty paid for organizing segments of the main chain and bringing at least a fraction of the side chains into contact. Mutational analysis of mSOD1\* found that only a few nonpolar side chains in  $\beta$ 1 (Cys6),  $\beta$ 2 (Ile18),  $\beta$ 3 (Ile35), and  $\beta$ 7 (Leu117) play a major role in defining the free energy of the TSE; the contribution of neighboring side chains diminishes with the distance from this cluster.<sup>29</sup>

The picture that emerges from the present thermodynamic study and the mutational analysis is a TSE in which local and distant segments of the chain coalesce into a structure of varying packing density. Presumably, the more tightly packed regions exclude water from their interiors as a consequence of constraining their main chains and side chains. The more loosely packed regions, however, exclude or at least reduce their exposure

to water while retaining a dynamic character for main chains and side chains. The entropy gain from the release of water exceeds the entropic cost of bringing several local and distant segments of the unfolded chain together in the TSE. The conversion of the TSE to the native state, however, requires a further entropic cost for ordering the main chains and side chains that is not entirely compensated for by the release of water. As a result, the activation entropy change favors the formation of the TSE but the equilibrium entropy change disfavors the formation of the native state at 298 K. The entropy/enthalpy compensation observed for SOD1 folding is compellingly similar to explicit solvent simulations of the association of polyalanine and polyleucine helices, where the desolvation of the hydrophobic helix–helix contact area is also the sole contributor to the enthalpic barrier of association.<sup>59</sup> This transient “steric dewetting”<sup>59</sup> would amplify the enthalpic and entropic consequences of the hydrophobic effect for the large surface area buried in this TSE to the extent that the TSE involves the docking of preformed elements of secondary structure.

### Is the slow folding of mSOD1\* unusual?

The refolding relaxation time of mSOD1\*, ~10 s at 298 K and neutral pH, is 4 orders of magnitude slower than predicted for a relative contact order of 0.13 (based on Protein Data Bank ID: 1RK7) in the original formulation of the metric<sup>2</sup> and is 10-fold slower when using the subsequently proposed absolute contact order, 20.6.<sup>60</sup> A recent re-analysis of the correlation between folding rates and topological complexity by Istomin *et al.* has shown that differentiating proteins by secondary structure content, all- $\alpha$ , all- $\beta$ , and mixed- $\alpha/\beta$ , leads to much improved correlations.<sup>3</sup> Indeed, when considering only all- $\beta$  proteins, the folding rate constant for mSOD1\* lies within the expected range for its absolute contact order (Fig. S3).

This latter reappraisal of folding rate constants and contact order points to distinct differences between all- $\beta$  proteins and those that are wholly or in part helical. One might speculate that, unlike  $\alpha$ -helices,  $\beta$ -strands are only stable in association with one or more partners. The probability that a pair of nascent  $\beta$ -strand segments would simultaneously be in the proper format to form an antiparallel  $\beta$ -hairpin is very likely to be smaller than the same opportunity for a pair of  $\alpha$ -helices. The probability of assembling at least five  $\beta$ -strands to create the TSE for mSOD1\* would be correspondingly diminished and, therefore, an inherently slow reaction compared to all- $\alpha$  or mixed- $\alpha/\beta$  proteins of the same contact order. This problem would only be compounded by a preponderance of nonlocal interactions in this high-contact-order protein.



## Slow folding of SOD1 monomers and ALS

A potentially deleterious consequence of the high enthalpic barrier for mSOD1\* is the predisposition toward aggregation of the long-lived unfolded state and, thereby, a role in toxicity for ALS.<sup>17–19,61</sup> Following synthesis on the ribosome, wild-type SOD1 spontaneously folds to an immature monomeric species that lacks an intact intramolecular disulfide bond. Interestingly, an intact disulfide bond only accelerates the folding reaction by a factor of ~2, showing that its presence in the disulfide-containing species does not differentially affect the free energies of the TSE and unfolded states to a major extent.<sup>19</sup> A number of ALS variants remain unfolded after synthesis and only achieve the mature native dimeric form after the formation of the disulfide bond and the addition of zinc and copper.<sup>17,19</sup> When the significantly increased population of the unfolded state for these variants is combined with the intrinsically slow folding reaction for SOD1, the potential for self-association and, eventually, large-scale aggregation is enhanced. Thus, perturbations of the biophysical properties of protein folding reactions by amino acid replacements can have devastating pathological consequences.

## Materials and Methods

### Protein purification

Recombinant mSOD1\* was expressed in BL21-Gold(DE3) cells (Stratagene®, Inc., Cedar Creek, TX) and purified according to the procedure previously described.<sup>30</sup> Protein mass and purity were determined by liquid chromatography/electrospray ionization mass spectrometry. Protein concentrations were determined by absorbance measurements performed on a Jasco UV-580 UV/Vis spectrophotometer (Jasco, Inc., Easton, MD) using an extinction coefficient of  $5400 \text{ M}^{-1} \text{ cm}^{-1}$  at 280 nm.

### Kinetic analysis of folding

The unfolding and refolding kinetics were all initiated by manual mixing with a dead time of ~3 s and monitored by CD utilizing a Jasco J-810 CD spectrophotometer (Jasco, Inc.). The data were collected at 230 nm in a 1-cm<sup>2</sup> cuvette with continual mixing and a total volume of 1.9 mL. The standard buffer for all experiments was 20 mM 2-[4-(2-hydroxyethyl)piperazin-1-yl]ethanesulfonic acid and 1 mM ethylenediaminetetraacetic acid (pH 7.2) at 293 K. Refolding jumps were initiated from 5 M urea while unfolding jumps were initiated from the absence of denaturant. The final denaturant concentration for each kinetic point was determined by index of refraction measurements. The final concentration of protein for all experiments was 5–15  $\mu\text{M}$ . Individual kinetic traces were fit independently using Savuka 6.2 in-house software utilizing the Marquardt–Levenberg nonlinear least-squares algorithm.<sup>62</sup> The relaxation kinetics were well described by a single exponential.

## Equilibrium analysis of folding

The urea-induced unfolding curves of 15  $\mu\text{M}$  mSOD1\* were monitored from 215 nm to 240 nm in a 0.2-cm-pathlength quartz cuvette using a scan rate of  $20 \text{ nm min}^{-1}$  and a response time of 8 s. The samples were prepared from concentration-matched stocks of folded protein in buffer and unfolded protein at 6 M urea and mixed precisely using a Hamilton Microlab 500 titrator. The samples were incubated in a water bath at the appropriate temperature overnight, and the final denaturant concentrations were determined by refractive index after the CD spectra were measured. The titration data were fit to a two-state model, assuming a linear dependence of the free energy of folding on the urea concentration.<sup>27</sup>

Supplementary materials related to this article can be found online at <http://dx.doi.org/10.1016/j.jmb.2012.09.009>

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† See <http://alsod.iop.kcl.ac.uk/> for a complete list of known mutations.

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### Abbreviations used:

ALS, amyotrophic lateral sclerosis;  
TSE, transition state ensemble.

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