



# The Oligomeric State of the Active Vps4 AAA ATPase

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## Abstract

The cellular ESCRT (endosomal sorting complexes required for transport) pathway drives membrane constriction toward the cytosol and effects membrane fission during cytokinesis, endosomal sorting, and the release of many enveloped viruses, including the human immunodeficiency virus. A component of this pathway, the AAA ATPase Vps4, provides energy for pathway progression. Although it is established that Vps4 functions as an oligomer, subunit stoichiometry and other fundamental features of the functional enzyme are unclear. Here, we report that although some mutant Vps4 proteins form dodecameric assemblies, active wild-type *Saccharomyces cerevisiae* and *Sulfolobus solfataricus* Vps4 enzymes can form hexamers in the presence of ATP and ADP, as assayed by size-exclusion chromatography and equilibrium analytical ultracentrifugation. The Vta1p activator binds hexameric yeast Vps4p without changing the oligomeric state of Vps4p, implying that the active Vta1p–Vps4p complex also contains a single hexameric ring. Additionally, we report crystal structures of two different archaeal Vps4 homologs, whose structures and lattice interactions suggest a conserved mode of oligomerization. Disruption of the proposed hexamerization interface by mutagenesis abolished the ATPase activity of archaeal Vps4 proteins and blocked Vps4p function in *S. cerevisiae*. These data challenge the prevailing model that active Vps4 is a double-ring dodecamer, and argue that, like other type I AAA ATPases, Vps4 functions as a single ring with six subunits.

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## Introduction

The ESCRT (endosomal sorting complexes required for transport) pathway mediates multiple cellular membrane remodeling and fission events including the abscission step of cytokinesis [1–3], formation of intraluminal vesicles that bud into the MVB (multivesicular body) [4–9], and exosome and shedding microvesicle formation [10–12]. This cellular pathway is exploited by retroviruses and many other enveloped viruses to facilitate budding and release from cells, a process that requires the same membrane topological changes as the endogenous cellular processes [13–18]. The ESCRT pathway has multiple components that act both early and late in vesiculation

and membrane fission. The leading model is that ESCRT-III subunits drive the late stages by assembling into polymeric filaments that constrict the neck of the budding virus or vesicle [19–21]. The only core enzyme in the pathway, Vps4 (vacuolar protein sorting 4), also performs an essential role by disassembling ESCRT-III filaments, a process that may be mechanistically coupled with membrane fission [22–25]. Although the ESCRT pathway has been primarily characterized in eukaryotic cells, homologs of Vps4 and its substrates have been identified in archaeal species belonging to the Sulfolobales or Desulfurococcales orders of the large crenarchaeal phylum [26,27], where they mediate cleavage furrow ingression and cytokinesis [28,29]. Thaumarchaea and

certain species of the euryarchaeal phylum also contain ESCRT-III and Vps4 homologs in addition to homologs of the bacterial cell division protein FtsZ [27]. Similar to their eukaryotic counterparts, archaeal ESCRT proteins may also serve as host factors for the release of viruses that infect these organisms [30,31].

Vps4 is a member of the meiotic clade of AAA ATPases [32–34] (ATPases associated with diverse cellular activities). These enzymes typically comprise a variable N-terminal substrate-binding domain followed by either one or two ATPase cassettes (type I and II AAA ATPases, respectively), each of which includes a large N-terminal domain and a smaller C-terminal domain. Vps4 is characterized by the presence of an N-terminal MIT (*microtubule interacting and trafficking*) domain, a canonical ATPase cassette, and a C-terminal helix that packs against the large ATPase domain (Supplementary Fig. 1). MIT domains are three-helix bundles that recognize peptide motifs, termed MIM (*MIT domain interacting motifs*) located in the C-terminal tails of their ESCRT-III substrates [35–38]. Eukaryotic Vps4 proteins also contain a three-strand insertion (termed the  $\beta$ -domain) following the third helix of the small ATPase domain. This feature contributes to Vta1p/LIP5 binding [39–41], which stimulates Vps4 assembly and ATPase activity *in vitro* [42,43], and promotes Vps4 activity *in vivo* [39,42,44]. Although the Vta1p/LIP5 activator is important in eukaryotes, crenarchaeal Vps4 proteins lack a  $\beta$ -domain [26] and also lack recognizable Vta1/LIP5 proteins.

Eukaryotic Vps4 exhibits an inactive monomer-dimer equilibrium in the absence of nucleotides and forms a functional higher-order oligomer upon binding ATP [40,45–49]. Obligate oligomerization is consistent with other AAA ATPases, which typically function as hexamers [33,50,51]. Thus far, no crystal structures reported for Vps4 show closed rings, but in most cases they show 6-fold packing about a screw axis [40,46,47,49,52]. Models for Vps4 assembly have therefore been guided by studies of its most closely related structural homologs, spastin [53] and p97 [54–57]. We have previously proposed a model for a Vps4 hexamer [40] that was generated by superposition on the D1 ring of the type II AAA ATPase p97 [55] and supported by mutagenesis of proposed interface residues [46].

However, three independent electron microscopy (EM) studies have reported models for the assembled Vps4 protein that do not conform to a single-ring hexamer. All three models feature a double-ring structure with 12 [48,58] or 14 [47] subunits but, otherwise, differ considerably from each other in subunit organization. Owing to the stabilizing effects of nucleotide binding, these structural studies were all performed using either non-hydrolyzable ATP analogs [47] or the Vps4p(E233Q) mutant [48,58]. Equivalent E-to-Q

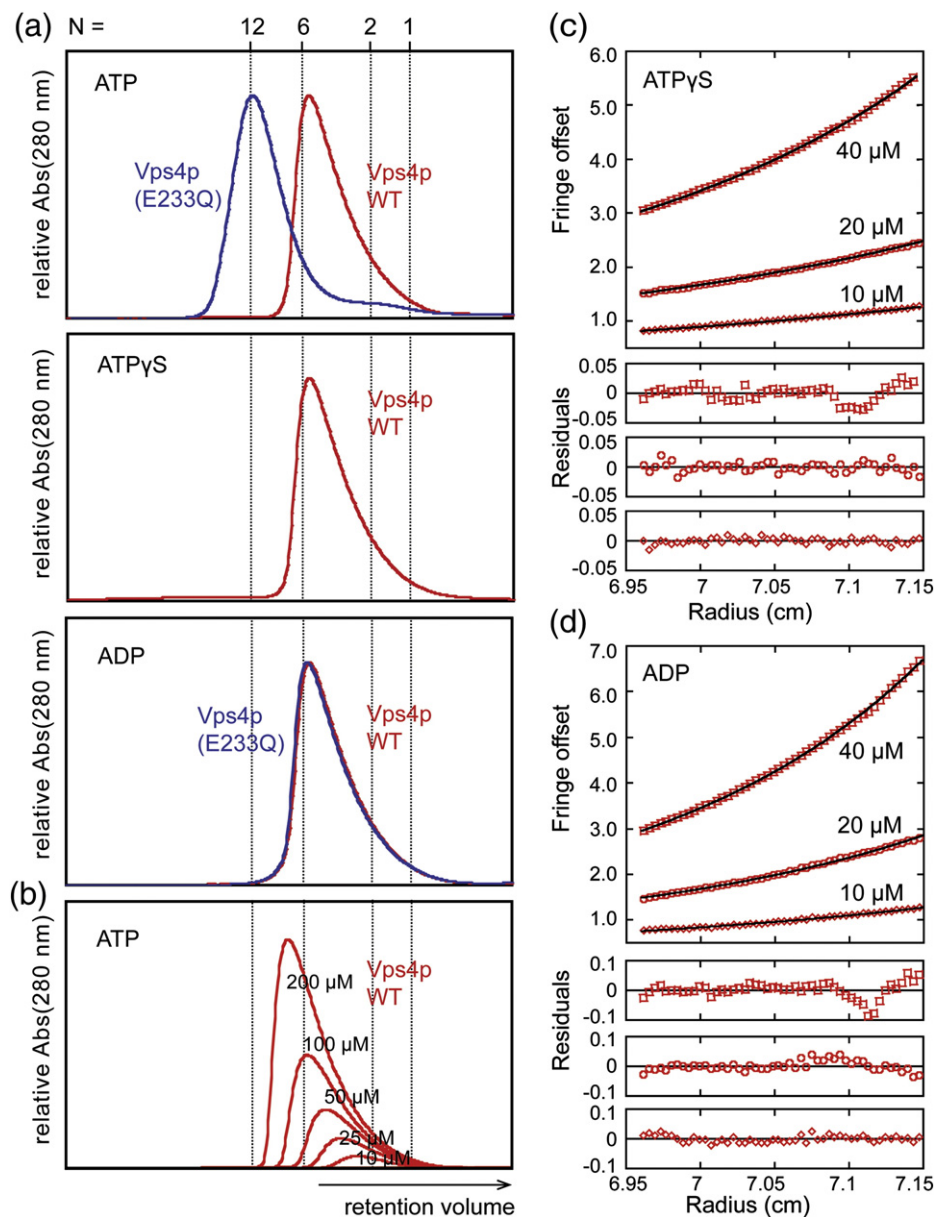
variants are commonly used for studies of AAA ATPases because they bind but do not hydrolyze ATP due to the requirement of the highly conserved glutamate to activate the water molecule that attacks the  $\gamma$ -phosphate [59,60].

To clarify models of Vps4 assembly, we have determined the oligomeric state of the wild-type protein in the presence of nucleotides. Surprisingly, although the *Saccharomyces cerevisiae* Vps4p(E233Q) mutant enzyme can form a dodecamer, as reported previously [40,48], we find that wild-type Vps4p assembles into a hexamer in the presence of nucleotides and remains hexameric when associated with Vta1p. In contrast to an earlier report [26], we also find that the Vps4 enzyme from the crenarchaeon *Sulfolobus solfataricus* displays ATPase activity and can assemble into a hexamer, although dodecameric assemblies can also form under non-physiological conditions. To understand crenarchaeal Vps4 better, we determined crystal structures of the ATPase domains of Vps4 proteins from *S. solfataricus* and *Acidianus hospitalis*. These structures lack  $\beta$ -domains but otherwise closely resemble the eukaryotic proteins, validating their designation as Vps4 homologs. Although these Vps4 proteins did not crystallize as discrete hexamers, they did form lattices with 6-fold screw axes that suggest models for the interfaces in the ring hexamer. Disruption of proposed interfaces prevents hexamerization in solution and abolishes ATPase activity, further supporting the p97-based homology model. Equivalent point mutations indicate that Vps4p hexamerization is required for MVB sorting in yeast, also supporting our model that Vps4 functions as a hexamer that resembles the D1 ring of p97.

## Results

### Wild-type *S. cerevisiae* Vps4p is a hexamer in the presence of nucleotides

Although wild-type Vps4p has not previously been reported to form stable assemblies, higher-order oligomerization is a prerequisite for Vps4p function [45]. The enzyme is expected to achieve high local concentrations *in vivo* when its MIT domains bind the MIM motifs on the polymeric ESCRT-III filaments, and we therefore reasoned that wild-type Vps4p would oligomerize at high protein concentrations. Indeed, wild-type Vps4p (100  $\mu$ M, 1 mM ATP) eluted from an analytical size-exclusion column as a complex with an apparent molecular mass (MM) that approximated a hexamer (apparent MM = 245 kDa, calculated MM = 289 kDa; Fig. 1a, panel 1, red curve). The peak was asymmetric, however, and tailed toward smaller species, indicating that multiple Vps4p complexes might be present in rapid exchange. Consistent with this possibility, the retention time of the Vps4p



**Fig. 1.** Oligomerization of *S. cerevisiae* Vps4p proteins. (a) Size-exclusion chromatograms of wild-type Vps4p (red) and Vps4p(E233Q) (blue), injected at a concentration of 100 μM, in the presence of 2 mM magnesium chloride and 1 mM ATP, 0.2 mM ATPγS, or 1 mM ADP. Expected retention volumes for different oligomeric states of Vps4p based on molecular mass standards are indicated with dotted lines. Note that Vps4p(E233Q) elutes as a dodecamer in the presence of ATP but as a hexamer in the presence of ADP, whereas wild-type Vps4p elutes as a hexamer in the presence of ATP, ATPγS, or ADP. (b) Size-exclusion chromatograms of wild-type Vps4p at concentrations ranging from 10 μM to 200 μM in the presence of 1 mM ATP. Note that the complex migrates more slowly at lower concentrations, indicating that Vps4p is in a rapid equilibrium between different oligomeric states. (c and d) Equilibrium AUC at 4 °C indicates that wild-type Vps4p is in a dimer–hexamer equilibrium in the presence of (c) 1 mM ATPγS or (d) 1 mM ADP. The interference signal from sedimentation data at 5000 rpm is plotted *versus* the distance from the axis of rotation (radius) as red symbols. Three different protein concentrations are displayed as follows: 40 μM (upper), 20 μM (middle), and 10 μM (lower). The global fit to data obtained for three concentrations at rotor speeds of 3000 rpm (not shown) and 5000 rpm using a dimer–hexamer model is shown in black with residuals for all three concentrations displayed below.

oligomer increased when the protein concentration was reduced (Fig. 1b). Vps4p also formed hexamer-sized complexes in the presence of the non-hydrolyzable

ATP analog ATPγS (100 μM Vps4p, 0.2 mM ATPγS; Fig. 1a, panel 2) and in the presence of ADP (100 μM Vps4p, 1 mM ADP; Fig. 1a, panel 3).

Consistent with previous reports that the hydrolysis-deficient Vps4p(E233Q) mutant dodecamerizes in the presence of ATP [40,48,58], we also found that ATP-bound Vps4p(E233Q) migrated more rapidly than the wild-type protein (Fig. 1a, panel 1, compare red and blue curves). In the presence of ADP, however, both the wild-type and hydrolysis-deficient Vps4p proteins eluted as hexamer-sized complexes (Fig. 1a, panel 3). These observations indicate the following: (1) wild-type yeast Vps4p oligomerizes reversibly into a higher-order complex that migrates primarily as an apparent hexamer on size-exclusion chromatography, (2) hexamerization is favored by high protein concentrations; (3) unlike Vps4p(E233Q), wild-type Vps4p does not form a stable dodecamer under our experimental conditions; and (4) both Vps4p and Vps4p(E233Q) can form hexamer-sized complexes in the presence of ADP.

Equilibrium analytical ultracentrifugation (AUC) experiments were performed to obtain shape-independent estimates of the mass of the nucleotide-bound Vps4p complexes and to determine their relative stabilities (Fig. 1c). The non-hydrolyzable ATP analog, ATP $\gamma$ S, was used in these experiments to avoid complications associated with ATP hydrolysis over the multiday centrifugation period. Importantly, ATP $\gamma$ S-bound and ATP-bound Vps4p have indistinguishable size-exclusion chromatography profiles (Fig. 1a, compare panels 1 and 2). The AUC data could not be adequately fit using single-species models for Vps4p dimers, hexamers, or dodecamers but were adequately described by a dimer–hexamer equilibrium model with an equilibrium dissociation constant of 3.7 nM<sup>2</sup> when subunit concentrations up to 80  $\mu$ M were used. This dissociation constant implies that there will be equimolar concentrations of dimer and hexamer at a dimer concentration of 61  $\mu$ M, in reasonable agreement with the size-exclusion chromatography data shown in Fig. 1. At higher concentrations (100–120  $\mu$ M), the appearance of higher-molecular-mass species led to significant residual bias. Our attempts to globally fit all data using a dimer–dodecamer or a dimer–hexamer–dodecamer equilibrium did not produce satisfactory residuals, but the fits did indicate that the fraction of Vps4p forming higher-molecular-mass species was small under these conditions. Based on the  $K_D$  of 3.7 nM<sup>2</sup> estimated from centrifugation, we conclude that the nucleotide-bound Vps4p size-exclusion chromatography peaks represent rapidly equilibrating mixtures that initially consist of an approximately equimolar distribution of Vps4p subunits between hexamers and dimers in a hexamer:dimer ratio of 41:59 at the initial concentration of Fig. 1a, panel 2, and that small amounts of larger species appear at higher concentrations.

We also used equilibrium AUC to analyze the oligomeric state of Vps4p in the presence of ADP to determine if there is a difference in the stability of the complex in the presence of different nucleotides

(Fig. 1d). Again, the radial distribution is best described by a dimer–hexamer equilibrium, this time, with a much tighter dissociation constant of 0.04 nM<sup>2</sup>. This result challenges the concept that Vps4p oligomers only form in the presence of ATP.

### ***S. cerevisiae* Vps4p remains hexameric upon binding of Vta1p**

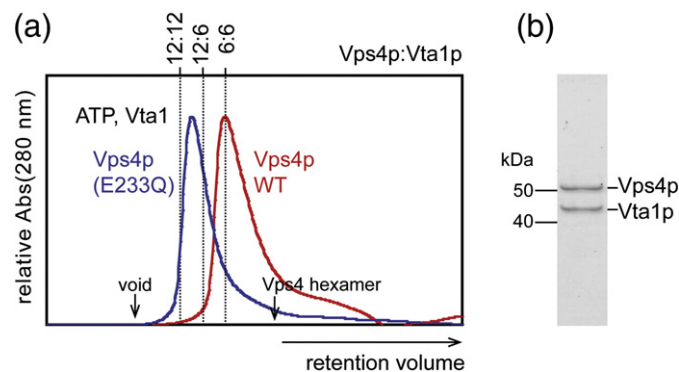
Vta1p stimulates Vps4p ATPase activity *in vitro* at least in part by promoting Vps4p assembly [39]. We therefore tested the effect of adding equimolar concentrations of Vta1p to Vps4p (100  $\mu$ M, 1 mM ATP). The Vps4p–Vta1p–ATP complex eluted as a single major peak (Fig. 2a, red curve) that contained both proteins (Fig. 2b) and had an apparent molecular mass of 520 kDa. We have previously shown that Vps4p(E233Q) and Vta1p form a stable 12:6 complex [58] (expected MM  $\approx$  800 kDa). We verified this finding (Fig. 2a, blue curve, apparent MM  $\approx$  910 kDa), confirming that the ATP-bound complex of wild-type Vps4p–Vta1p is substantially smaller than the ATP-bound complex of Vps4p(E233Q)–Vta1p. These observations indicate that wild-type Vps4p remains hexameric when bound to Vta1p because the complex is significantly smaller than the Vps4p(E233Q)–Vta1p complex.

Our efforts to determine the stoichiometry of the Vps4p–Vta1p complex were complicated by the poor separation of complex and unbound protein. Moreover, the relatively weak affinity of Vta1p for its binding site on Vps4p ( $K_D = 17 \mu$ M [41]), combined with the Vps4p self-association, produced a complicated mixture of species during the size-exclusion chromatography. Therefore, although both elution volume and band intensity suggest a 6:6 stoichiometry, we cannot rule out the possibility that the Vps4p hexamer can bind up to six Vta1p dimers, resulting in a 6:12 subunit stoichiometry [41].

### **Oligomeric state of crenarchaeal Vps4 homologs**

Owing to the complexity of *S. cerevisiae* Vps4p oligomerization, we also examined the oligomerization behavior of simpler Vps4 proteins from the hyperthermophilic crenarchaeal species, *S. solfataricus* (SsoVps4; 36% amino acid identity with human VPS4B, 35% identity with *S. cerevisiae* Vps4p) and *A. hospitalis* (AhoVps4; 36% identity with human VPS4B, 37% identity with *S. cerevisiae* Vps4p). Primary sequences indicate that the crenarchaeal homologs lack a  $\beta$ -domain and do not associate with Vta1/LIP5 activators. Curiously, an earlier study concluded that recombinant SsoVps4 does not oligomerize [26]. This prompted us to consider the possibility that these thermophilic proteins might need to be heated in order to oligomerize. We found that this is indeed the case, and therefore, we incubated the Sso





**Fig. 2.** Wild-type Vps4p remains hexameric and Vps4p(E233Q) remains dodecameric in the presence of Vta1p. (a) ATP-bound wild-type and E233Q Vps4p size-exclusion chromatograms. Calculated retention volumes for 6:6, 6:12, and 12:12 Vps4p–Vta1p complexes are indicated. The void volume and the expected elution volume for the Vps4p hexamer are indicated by arrows. (b) SDS-PAGE analysis of the peak fraction of wild-type Vps4p (48 kDa) in the presence of Vta1p (37 kDa) confirms that the peak represents a complex of both proteins.

and AhoS proteins for 15 min at 75 °C during the purification procedure (see [Materials and Methods](#)).

In the absence of nucleotide, both wild-type SsoVps4 and the hydrolysis mutant SsoVps4(E206Q) appeared to be dodecameric as analyzed by size-exclusion chromatography (Fig. 3a, panel 1, compare red and blue traces). This is similar to a report of the Vps4 homolog CdvC from *Metallosphaera sedula*, which forms dodecamer-sized oligomers in the absence of nucleotides [61]. In the presence of ATP or ADP, however, both wild-type and E206Q SsoVps4 eluted as lower-molecular-mass complexes, whose retention times were close to those expected for hexamers (Fig. 3a, panels 2 and 3). SsoVps4(E206Q) eluted slightly earlier than the wild-type protein, and both proteins migrated slightly more rapidly when bound to ADP than ATP. AhoS Vps4 behaved similarly to SsoVps4, both in the absence and in the presence of nucleotide (Supplementary Fig. 2 and data not shown).

Like *S. cerevisiae* Vps4p, the archaeal proteins eluted in asymmetric peaks, suggesting that the protein is rapidly interconverting between multiple oligomeric states. Consistent with this hypothesis, the retention volume of ATP-bound SsoVps4 decreased as the concentration increased (Fig. 3b, panel 1). Note that the peak maximum shifted to retention volumes of larger apparent molecular mass than a hexamer when concentrations higher than 100  $\mu$ M were used. Because SsoVps4 will only oligomerize if the protein is exposed to elevated temperatures at least once during the purification procedure, we tested the effect of temperature on the migration behavior of SsoVps4 by performing similar size-exclusion chromatography experiments at room temperature (Fig. 3c, panels 2 and 3). For each concentration analyzed, the protein migrated more rapidly at room temperature than at 4 °C, indicating that oligomerization is entropically driven.

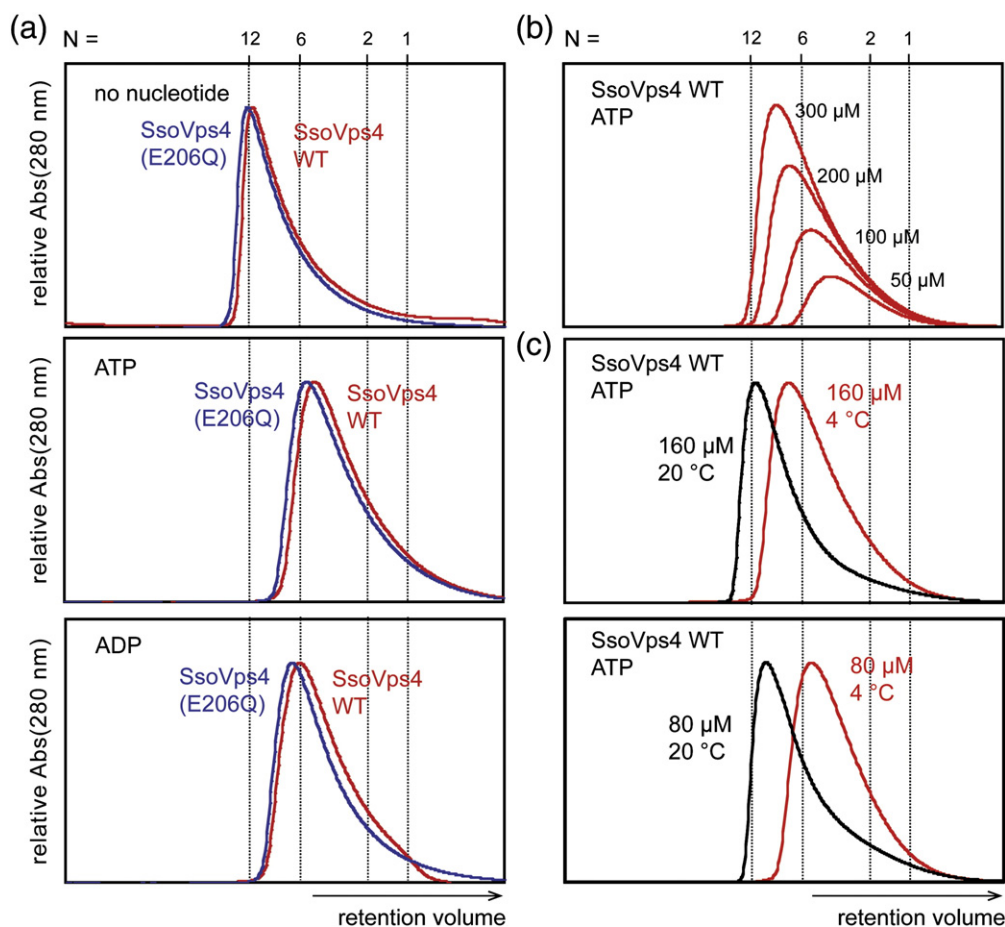
### AUC analyses of SsoVps4

AUC was used to obtain shape-independent measures of the sizes and equilibria of SsoVps4. In the absence of nucleotide, the radial distribution fits a single-species dodecamer model (Fig. 4a) for the E206Q mutant. This analysis was also performed in the presence of 1 mM ATP, which was possible because ATPase activity was negligible at 4 °C. The equilibrium distribution of SsoVps4 in the presence of ATP could not be adequately fit by a single-species model, and the best fit was obtained using a dimer–hexamer model with a  $K_D$  of 0.01 nM<sup>2</sup> (Fig. 4b). We therefore conclude that the nucleotide-bound SsoVps4 size-exclusion chromatography peaks represent rapidly interconverting mixtures of hexamers and dimers, although in this case, the hexamer predominates because the estimated  $K_D$  implies that SsoVps4 subunits partition into a hexamer:dimer ratio of 89:11 at the initial concentration of size-exclusion chromatography (Fig. 3a, panel 2). Thus, despite their evolutionary divergence, Vps4 proteins from both yeast and crenarchaea can form stable dodecamers under some conditions, but in both cases, the wild-type Vps4 enzymes interconvert between dimers and hexamers in the presence of adenosine nucleotides.

We performed similar sedimentation equilibrium experiments on AhoS Vps4. However, this protein was more polydisperse and tended to form higher-order aggregates, and we were therefore not able to fit to random residuals.

### ATPase activity of archaeal Vps4 proteins

Although sequence alignments show the presence of Walker A, Walker B, and sensor 1 motifs that are typical of authentic AAA ATPases (Supplementary Fig. 1), there is a report that SsoVps4 lacks ATPase activity [26]. The results of an ATPase activity assay



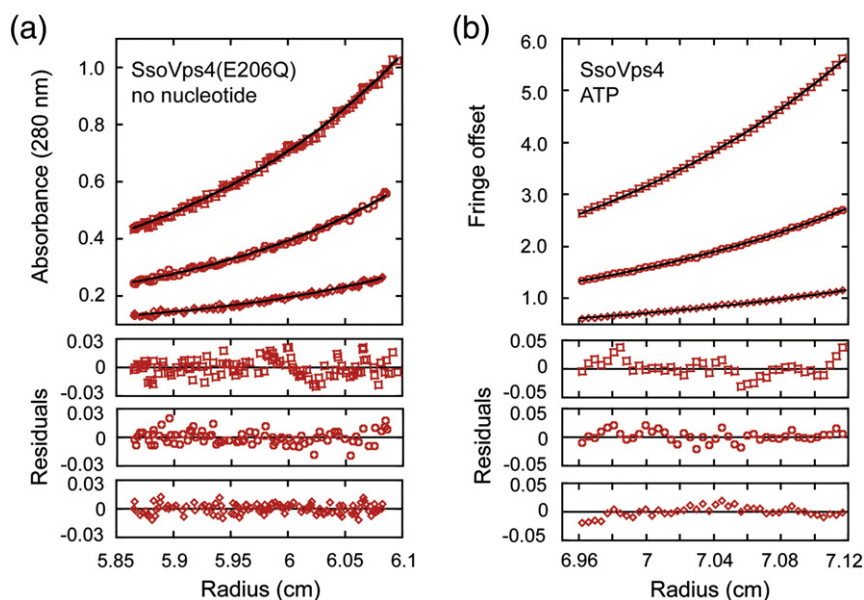
**Fig. 3.** Characterization of SsoVps4 oligomerization by size-exclusion chromatography. (a) Chromatograms of SsoVps4 (red) and SsoVps4(E206Q) (blue) injected at concentrations of 100  $\mu$ M. Both proteins eluted as dodecamers in the absence of nucleotide but eluted as hexamers when the buffer was supplemented with 2 mM magnesium chloride and 1 mM ATP or ADP. (b) Chromatograms of different concentrations of SsoVps4. The shift in retention volume following injection at different protein concentrations indicates that SsoVps4 rapidly interconverts between multiple oligomeric states. (c) A shift of temperature from 4  $^{\circ}$ C (red) to 20  $^{\circ}$ C (black) reduces the elution volume: 160  $\mu$ M (upper) and 80  $\mu$ M (lower). Standard molecular mass markers displayed very similar retention volumes at the two temperatures.

have not been reported for AhoVps4. Our observation that the recombinant Vps4 homologs of *S. solfataricus* and *A. hospitalis* form higher-order oligomers upon heat treatment prompted us to test whether these proteins are functional ATPases at different temperatures. As shown in Fig. 5, ATP hydrolysis was not detected at 4  $^{\circ}$ C or 37  $^{\circ}$ C, but both SsoVps4 and AhoVps4 displayed strong ATPase activity at 60  $^{\circ}$ C. The rate of ATP hydrolysis was 16.8 ATP/min/subunit for SsoVps4 at an enzyme concentration of 0.5  $\mu$ M and was 67 ATP/min/subunit for AhoVps4 at 0.2  $\mu$ M. As expected, mutation of the Walker B glutamate abolished enzymatic activity in the point mutants SsoVps4(E206Q) and AhoVps4(E200Q) (Fig. 5). Consistent with our results, a previous study found a maximal ATP hydrolysis rate of 210 ATP/min/subunit (reported as 0.5  $\mu$ mol ATP/min/mg protein) for the Vps4 homolog

from the hyperthermophilic archaeon *M. sedula* [61] at the same temperature. The requirement for high temperature is consistent with the observation that increasing temperature drives oligomerization (above), presumably because these proteins have been evolutionarily optimized to function at elevated temperature [62].

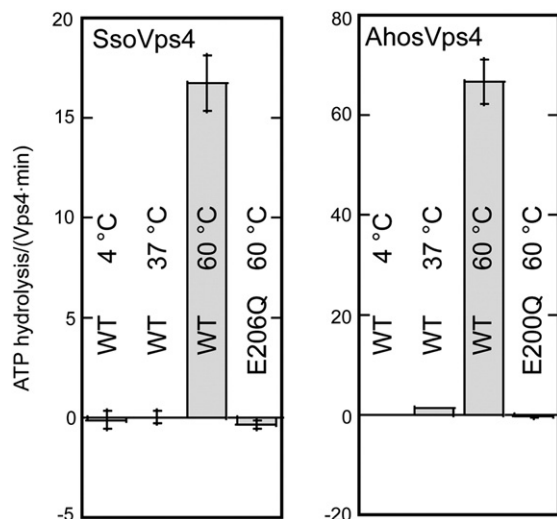
### Crystal structures of Vps4 proteins from *S. solfataricus* and *A. hospitalis*

Motivated by the observation that crenarchaeal Vps4 proteins oligomerize more tightly without the requirement for an activator protein, we determined crystal structures of SsoVps4 and AhoVps4 in the absence of nucleotide. Unfortunately, neither structure appears to capture an active arrangement. Data collection and refinement statistics are listed in Table 1. SsoVps4



**Fig. 4.** Characterization of SsoVps4 oligomerization by equilibrium AUC. (a) SsoVps4(E206Q) in the absence of nucleotide. Data are shown from a 3500-rpm spin at three protein concentrations: 10 μM (upper), 5 μM (middle), and 2.5 μM (lower). The black line corresponds to an ideal species fit for dodecameric SsoVps4. Residuals are displayed below. (b) Wild-type SsoVps4 in the presence of 1 mM ATP at 5000 rpm. Three different protein concentrations are displayed as follows: 47 μM (upper), 24 μM (middle), and 12 μM (lower). Interference scans at 3000 rpm for all concentrations were also included in the fit but are not shown here. The fit obtained using a dimer-hexamer model is shown in black.

crystals were grown from a construct spanning the ATPase domain (residues 85–372) containing the E206Q mutation. Diffraction data were collected to a resolution of 2.8 Å, the structure was determined by the single-wavelength anomalous diffraction method,



**Fig. 5.** ATPase activity of SsoVps4 and AhoVps4 at 4 °C, 37 °C, and 60 °C. Both proteins are active at 60 °C. Mutation of the Walker B glutamate in SsoVps4(E206Q) or AhoVps4(E200Q) abolishes ATPase activity.

and the model was refined to an  $R_{\text{free}}$  value of 25%. Most of the sequence for residues 99–369 is ordered and reveals an AAA ATPase cassette that closely resembles previously reported structures of eukaryotic Vps4 proteins (Fig. 6a). Superposition of the SsoVps4(E206Q) ATPase domain (green) with yeast Vps4p (PDB ID: 3EIE [46], blue) yields a root-mean-square deviation of 1.62 Å on 237 pairs of C $^{\alpha}$  atoms. We also crystallized wild-type, full-length AhoVps4, determined the structure by molecular replacement using our refined SsoVps4 structure as a search model, and refined the model to an  $R_{\text{free}}$  value of 26% against data to a resolution of 2.1 Å. Although SDS-PAGE analysis of washed crystals confirmed that the crystals contained the intact protein (Supplementary Fig. 3), the MIT domain and linker are not visible in electron density maps, presumably due to disorder. Most of the sequence corresponding to residues 93–362, which covers the ATPase cassette of AhoVps4, is well ordered. Consistent with their 75% amino acid sequence identity, the AhoVps4 and SsoVps4 ATPase cassette structures superimpose closely, with a root-mean-square deviation of 0.49 Å on 206 pairs of C $^{\alpha}$  atoms (Fig. 6b).

All five helices of the eukaryotic large AAA ATPase domain and four helices of the small AAA ATPase domain have counterparts in the crenarchaeal proteins. We found a minor difference, in that the human, mouse, and yeast Vps4 ATPase cassette

**Table 1.** X-ray data collection and refinement statistics.

	SsoVps4(E206Q, 85–372)	AhosVps4
<i>Data collection</i>		
Beamline	SSRL 7-1	SSRL 11-1
Wavelength (Å)	0.97945	0.9795
Resolution range (Å)	23–2.73 (2.83–2.73)	28–2.1 (2.18–2.1)
Space group	$P6_4$	$P6_5$
Cell parameters (Å)		
<i>a</i>	101.3	96.0
<i>c</i>	64.7	79.5
Total observations	113,696	229,024
Unique observations	19,379	24,622
Completeness (%)	98.6 (92.0)	98.9 (98.4)
$\langle I/\sigma(I) \rangle$	25.2 (7.8)	13.5 (4.5)
$R_{\text{sym}}$	0.073 (0.657)	0.083 (0.673)
<i>Refinement</i>		
$R_{\text{work}}/R_{\text{free}}$ (%)	21.6/25.1	21.4/25.6
RMSD from ideal geometry		
Bond lengths (Å)	0.008	0.017
Bond angles (°)	1.2	1.4
Average <i>B</i> -factor (Å <sup>2</sup> )	91.9	46.7
Ramachandran	98.7	99.6
favored (%)		
Ramachandran	0	0
outliers (%)		

Values in parentheses refer to the high-resolution shell.

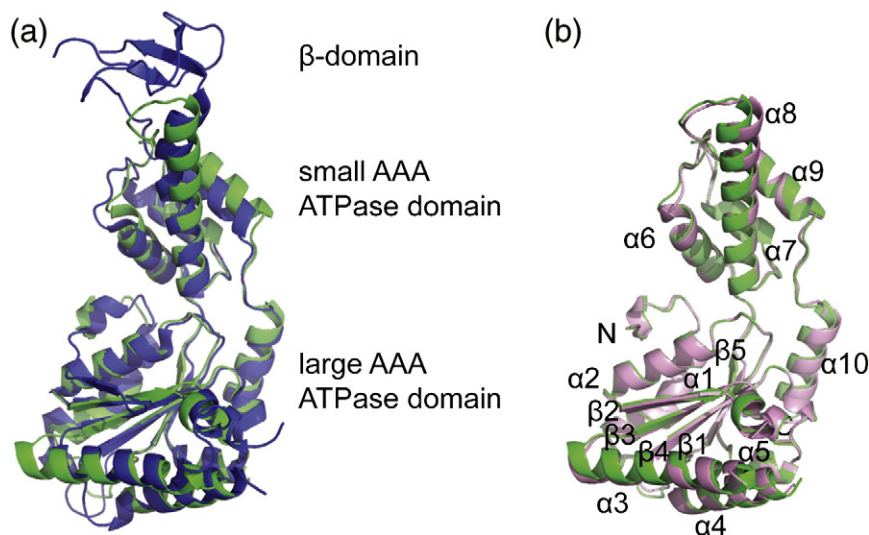
structures each contain six  $\beta$ -strands in the large AAA ATPase domain, whereas both of our archaeal Vps4 structures display only five  $\beta$ -strands, and the residues that correspond to the eukaryotic  $\beta'$ -strand are disordered in archaea. Also, as anticipated from sequence alignments (Supplementary Fig. 1), the  $\sim 45$ -residue  $\beta$ -domain that is inserted between helix 8 and helix 9 in eukaryotic Vps4 proteins is missing

from the SsoVps4 and AhosVps4 AAA ATPase cassettes. Instead, the two helices are connected by a well-ordered loop.

### Analysis of the crystallographic interface

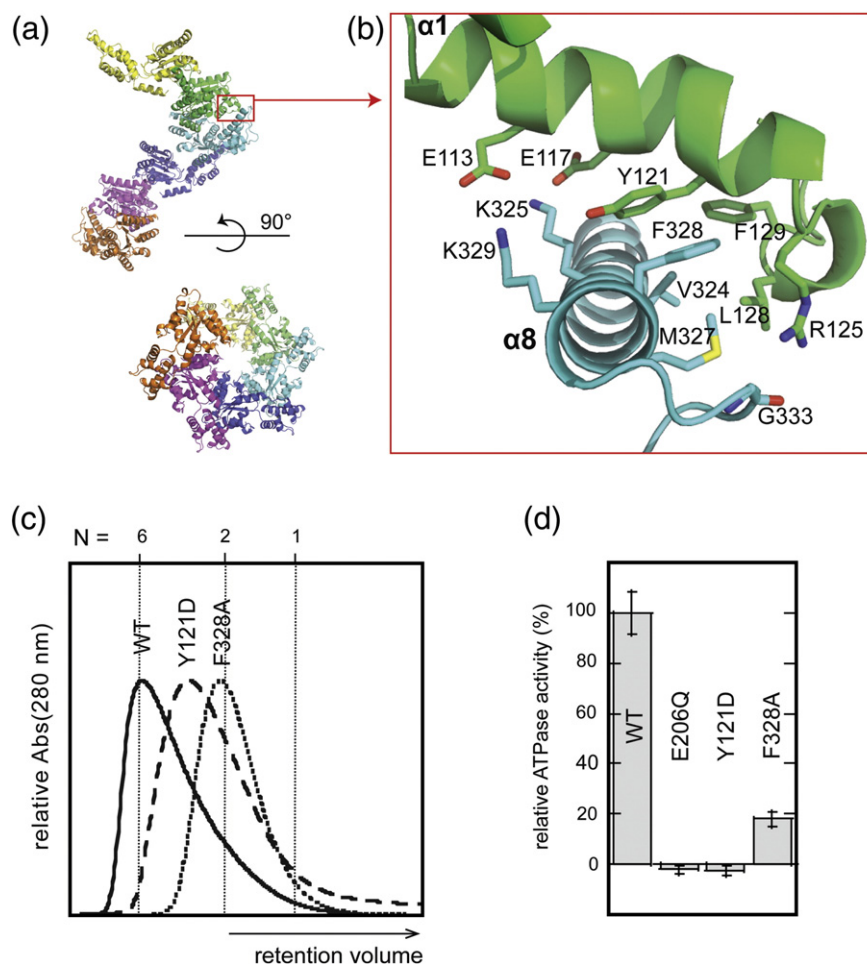
None of the crystal structures reported to date directly reveals how the active Vps4 oligomer is assembled. We have previously presented mutagenesis data that implicate an interface seen in crystal forms of yeast Vps4p as being important for assembly [46]. Remarkably, this interface, which propagates the crystallographic or non-crystallographic  $6_5$  screw axis seen in all published yeast Vps4p crystal structures [43,46,47] and all reported structures of human and murine VPS4B [40,52], is also found in SsoVps4 and AhosVps4 crystals, where it propagates  $6_4$  and  $6_5$  screw axes, respectively. Despite the difference in helical pitch, the  $\sim 600$ -Å<sup>2</sup> interfaces are highly similar in SsoVps4 and AhosVps4 crystals. The majority of the interface is formed by residues in helix 1 of the large AAA ATPase domain packing against helix 8 of the small AAA ATPase domain, and superposition of 13 pairs of C $\alpha$  atoms from helices 1 and 8 across the SsoVps4 and AhosVps4 interfaces yields an RMSD of 1.2 Å. The interface residues are highly conserved throughout crenarchaea, and as seen in many functional interfaces [63], central hydrophobic residues are flanked by polar interactions.

Guided by our earlier analysis of yeast Vps4p interfaces and the observation that packing of SsoVps4 and AhosVps4 resembles packing of the closed hexameric ring of p97 D1 when viewed along the screw axis (Fig. 7a), we propose a Vps4 hexamer model that resembles p97 D1. The conserved subunit



**Fig. 6.** Crystal structures of the ATPase cassettes of SsoVps4 and AhosVps4. (a) Superposition of SsoVps4 (green) and Vps4p (PDB ID: 3EIE [46], blue) yields an RMSD of 1.62 Å over 237 pairs of C $\alpha$  atoms. (b) The structures of SsoVps4 (green) and AhosVps4 (pink) overlay with an RMSD of 0.49 Å on 206 pairs of C $\alpha$  atoms.

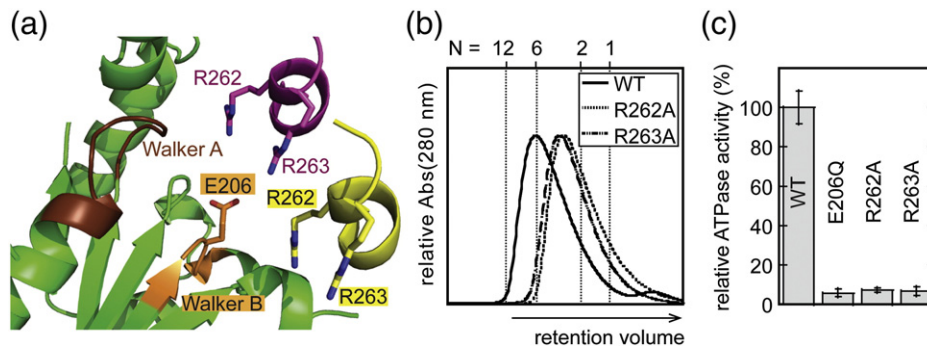




**Fig. 7.** The crystallographic interface between SsoVps4 molecules related by a 6<sub>4</sub> screw axis provides a model for the hexamer interface in solution. (a) Six symmetry-related molecules shown in separate colors viewed along (lower) and perpendicular to (upper) the 6<sub>4</sub> screw axis. (b) Detailed view of the contact boxed in (a). Contacting residues are labeled and shown as sticks. Y121 and F328 form a  $\pi$ -stacking interaction at the center of the interface. (c) Size-exclusion chromatograms of wild-type SsoVps4, SsoVps4(Y121D), and SsoVps4(F328A) in the presence of 1 mM ATP. (d) SsoVps4 ATPase activity assays performed at 60 °C.

interfaces are very similar to those seen in the crystal, differing only in a combination of subunit rotation (approximately 15–20°) and slight adjustment of interdomain angles (~6°). This model is very similar to the model we proposed earlier for yeast and human Vps4 [40,46]. To test the proposal that the crystallographic interface resembles the hexamer interface of crenarchaeal Vps4 proteins, we mutated SsoVps4 residues Tyr121 and Phe328, which form a  $\pi$ -stacking interaction at the core of the interface, to Asp and Ala, respectively. Size-exclusion chromatography revealed that both of these variant proteins migrated more slowly than wild-type Vps4p in the presence of ATP (Fig. 7c). SsoVps4(F328A) eluted as a dimer, whereas SsoVps4(Y121D) eluted in a broad peak with a maximum between the expected retention volumes of a dimer and a hexamer. Consistent with its

diminished self-association, SsoVps4(F328A) retains only 20% of wild-type ATPase activity, whereas SsoVps4(Y121D) has negligible ATPase activity, which suggests that the residual assembly may be non-native (Fig. 7d). As shown in Fig. 8a, the packing generates a complete AAA ATPase active-site geometry, including SsoVps4 Arg262, which is structurally equivalent to the arginine finger residue of many AAA ATPases [60]. Consistent with this model, the mutant SsoVps4(R262A) protein displays diminished hexamerization and fails to hydrolyze ATP (Fig. 8b and c). The adjacent residue, Arg263, is also a candidate for the arginine finger, and its mutation to alanine similarly diminishes assembly and activity. This reflects a similar situation to p97, where both AAA ATPase cassettes contain two arginine residues in close proximity to the active site [54,64].



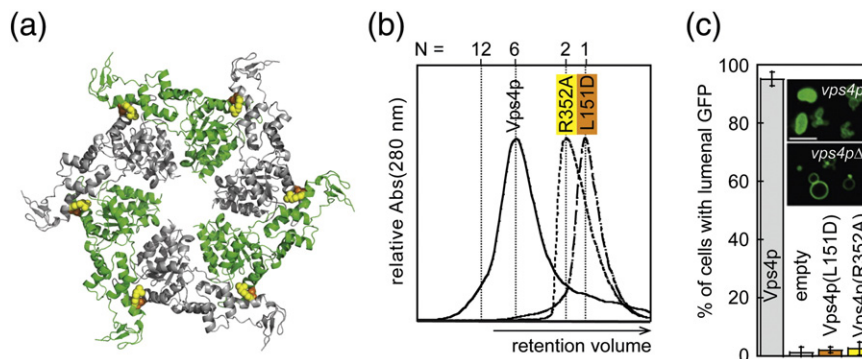
**Fig. 8.** Arginine finger residues contribute to Vps4 oligomerization and are important for ATPase activity. (a) The nucleotide binding site of SsoVps4 (green) contains the conserved Walker A (brown) and Walker B (orange) motifs. The neighboring subunit in the crystal is shown in yellow, and its position in the p97-like hexamer model is shown in magenta. Glutamate 206 and the potential arginine finger residues 262 and 263 are shown in stick representation. (b) Point mutations R262A and R263A destabilize the oligomer and (c) abolish ATPase activity.

### Functional relevance of the p97-like hexamer in *S. cerevisiae*

We have previously described mutations that disrupt higher-order assembly of *S. cerevisiae* Vps4p(E233Q). Specifically, each of the Vps4p(E233Q) L151D, I351A, and I354D point mutant proteins are monomeric [46], and the Vps4p(E233Q, R352A) mutant is dimeric in solution [40]. All of these mutations map to the interface between neighboring subunits in our p97-based model of the assembled Vps4p hexamer [40] (Fig. 9a). As shown in Fig. 9b, we found that the L151D and R352A mutations also disrupted higher-order oligomerization of wild-type Vps4p.

We tested these two hexamerization mutants for the ability to support the ESCRT-dependent sorting of a model green fluorescent protein (GFP)-

carboxypeptidase S (CPS) cargo into yeast MVBs [36] (Fig. 9c). When the ESCRT pathway is functional, GFP-CPS is sorted into MVB vesicles and concentrates within the vacuole, and the lumen fluoresces green (Fig. 9c, inset, upper panel). In the absence of ESCRT pathway activity, the GFP-CPS cargo is not sorted into MVB vesicles, resulting in green fluorescent labeling of the limiting vacuolar membrane (Fig. 9c, inset, lower panel). The ESCRT pathway requires Vps4p activity, and these two different phenotypes are therefore observed in yeast cells that either express or lack wild-type Vps4p. Each of the phenotypes was highly penetrant, as reflected in the scoring of  $3 \times 100$  cells that either expressed wild-type Vps4p ( $95.1 \pm 2.4\%$  of cells exhibited luminal GFP staining) or lacked Vps4p ( $1.1 \pm 1.9\%$  of cells exhibited luminal staining). To determine the importance of hexamerization, we



**Fig. 9.** Mutational analysis of the hexamer interface in yeast Vps4p. (a) L151 (orange spheres) and R352 (yellow spheres) map to the hexamer interface in the p97-like ring. (b) Vps4p(R352A) and Vps4p(L151D) do not form higher-order oligomers as shown by size-exclusion chromatography in the presence of 1 mM ATP. (c) Vacuolar protein sorting of the model cargo CPS-GFP into the vacuole lumen is severely impaired in yeast cells expressing interface mutants Vps4p(L151D) or Vps4p(R352A) as compared to cells containing wild-type Vps4p. Insets show control examples of successful (upper) and unsuccessful (lower) CPS-GFP sorting. Error bars are defined in the text.

scored GFP-CPS sorting in cells that expressed either monomeric Vps4p(L151D) ( $2.0 \pm 1.0\%$  of cells exhibiting vacuolar membrane staining) or dimeric Vps4p(R352A) ( $2.5 \pm 2.1\%$  of cells exhibiting vacuolar membrane staining). The expression level of Vps4p was not significantly affected by the point mutations and was similar to the level of endogenous Vps4p (data not shown). Thus, two different point mutations that disrupt the formation of the p97-like Vps4p hexamer *in vitro* also inhibit Vps4p function in MVB protein sorting in yeast.

## Discussion

Vps4 is a key component of the cellular ESCRT pathway. To understand how conformational changes during the ATP hydrolysis cycle translate into remodeling of the ESCRT-III polymer, it is critical to define the quaternary structure of the active Vps4 enzyme. Previous reports have described Vps4p as a double-ring structure because biophysical characterization of the *S. cerevisiae* Vps4p(E233Q) mutant suggested that the assembled enzyme contained 10–14 subunits. This hypothesis was supported by three independent EM reconstructions that all contained two stacked rings with 6-fold [48,58] or 7-fold [47] symmetry, although in other regards, the EM reconstructions were dramatically different from one another. The suggested double-ring structure also differed from other type I AAA ATPases such as spastin, which form a single hexameric ring [53]. Type II AAA ATPases such as p97 do have two stacked rings, but the double-ring architecture results from a hexameric subunit arrangement with each subunit contributing two AAA ATPase domains [57].

The data presented here show that wild-type yeast and archaeal Vps4 proteins exist in equilibrium between oligomeric states, and at sufficiently high concentration in the presence of nucleotide, they assemble into hexamers and other higher-order oligomers. These findings are consistent with the concentration dependence of ATP hydrolysis rates that we and others [39,45,65] have observed *in vitro* and support a model for Vps4 activation in which the high local concentration that results from recruitment to ESCRT-III polymers, together with activation by LIP5/Vta1, drives assembly into an active complex [44,66]. The distribution of oligomeric states that we observe for wild-type Vps4 *in vitro* raises the question: Which one represents the biologically active species? AAA ATPases most commonly function as hexameric assemblies [50,51,67] but can sometimes also exist in oligomeric states other than that of their functional unit. For example, (1) the ClpB AAA ATPase switches from a predominantly heptameric assembly to a hexamer upon nucleotide

binding [68], (2) the archaeal proteasome activating nucleosidase PAN exists as a dodecamer when overexpressed in bacteria [69] but functions as a hexamer [70], and (3) RuBisCO activase exists in a concentration-dependent distribution of oligomeric states [71], but a point mutation can convert the enzyme into functional hexamers [72].

In the case of yeast Vps4p, the hydrolysis-deficient protein, Vps4p(E233Q), is dodecameric in the presence of ATP. We suggest that this assembly may be artifactual, however, because the oligomerization behavior of Vps4p(E233Q) in the presence of ATP differs from that of the wild-type protein. Replacing the conserved Walker B glutamate by a glutamine is common in studies of ATPases because it allows ATP binding and assembly while preventing hydrolysis [60]. Indeed, there are no significant differences between the crystal structures of unassembled wild-type and hydrolysis-deficient Vps4p enzymes nor do these proteins differ in dimerization behavior [46]. Moreover, mixed complexes of wild-type Vps4p and Vps4p(E233Q) retain ATPase activity [45,65]. Nevertheless, our data indicate that ATP stabilizes Vps4p(E233Q) in a dodecameric assembly under conditions where the wild-type protein is predominantly hexameric.

Crystal structures of RecA-like and AAA+ hexameric helicases in complex with substrates indicate that ring asymmetry is fundamental to mechanism [73,74]. Similarly, we propose that wild-type Vps4 forms a closed hexameric ring, with ring closure accommodated by different conformations within the subunits around the ring. In this model, the conformational variability results from alternative flexing between large and small ATPase domains that is induced by different ATP/ADP nucleotide binding states and therefore varies throughout the reaction cycle. This hypothesis is supported by crystal structures of Vps4p that suggest a nucleotide-dependent change in the angle between the large and small AAA ATPase domains [46,49]. When ATP is bound to hydrolysis-deficient Vps4p(E233Q), subunits may be forced into the same nucleotide state, thereby artificially restricting its ability to assume the active asymmetric assembly. The resulting aberrant hexamer may present surfaces that stabilize non-native ring stacking. The second acidic residue in the Walker B motif, which corresponds to Glu233 in Vps4p, forms an inter-subunit salt bridge in p97 and NSF [75] except when this interaction is displaced by the  $\gamma$ -phosphate of bound ATP. Given the assumption that native assembly requires different nucleotide states, Vps4p(E233Q) may form aberrant assemblies because it cannot adopt the required range of conformations. A requirement for different nucleotide states could also explain why we have not observed dodecamer formation upon binding of non-hydrolyzable ATP $\gamma$ S to the wild-type enzyme. Interestingly, the equivalent mutation does not seem to significantly affect oligomerization in archaeal proteins.



In short, while we cannot fully explain the assembly of Vps4p(E233Q), we have focused our studies on the more relevant wild-type protein.

Our data support the model that the hexamer is the smallest functional unit of the Vps4 enzyme. The following observations support the relevance of a p97-like hexameric ring structure: (1) wild-type Vps4 proteins from *S. cerevisiae*, *S. solfataricus*, and *A. hospitalis* assemble into hexamers in the presence of nucleotide; (2) wild-type Vps4p remains hexameric in complex with Vta1p activators; and (3) conservation of the hexamer interface is suggested by crystal structures and by analyses of Vps4 point mutations that disrupt assembly *in vitro* and block ESCRT pathway function in yeast cells. Although we have not definitively determined a stoichiometry for the Vps4–Vta1 complex, structural studies indicate that each  $\beta$ -domain associates with a Vta1 dimer [41]. Our data do not indicate that binding of the Vta1 dimer to the  $\beta$ -domains at the periphery of Vps4 rings stabilizes interactions between hexamers as suggested by previous studies [41,43] insofar as we do not observe such assemblies in our size-exclusion chromatography experiments (Fig. 2a). Nevertheless, we cannot exclude the possibility that such clustering of hexamers may occur at the high local concentrations expected at the ESCRT-III lattice.

In addition to hexamers, we also observed a small fraction of larger complexes of *S. cerevisiae* and crenarchaeal wild-type Vps4. We do not know how the hexamers assemble further or whether these structures are biologically relevant. The size of higher-order assemblies continues to increase with increasing protein concentration. One possible explanation for this observation is that non-native ring structures that form in the presence of a single type of nucleotide are prone to stacking interactions and give rise to double-ring structures as described for Vps4p(E233Q). Alternatively, if ring closure cannot be accomplished, subunits may be added to the ends of a spiral that may resemble the helical packing in the crystal lattice. A limitation of our *in vitro* studies is that they were performed in the absence of the ESCRT-III substrate, and further studies are needed to understand the effects of cofactor and substrate binding on the oligomerization state. The leading model is that substrates bind to the pore loops at the center of the Vps4 hexamer and, in doing so, impart asymmetry upon the hexamer. Visualizing the Vps4 structure that binds substrate and learning how its asymmetry is coupled to progression of ATP binding and hydrolysis in the different subunits will be fundamental in understanding Vps4 mechanism. Nevertheless, our finding that the yeast and archaeal Vps4 proteins oligomerize in a concentration-dependent manner using a conserved hexamerization interface and that the wild-type proteins form hexamers in the presence of ATP supports the model that Vps4 functions as a spastin-like [53] six-membered ring.

## Materials and Methods

### Protein expression and purification

*S. cerevisiae* Vps4p and Vta1p were expressed and purified as previously described [40,46]. The Vps4 gene (SSO0909) from *S. solfataricus* was amplified from genomic DNA (ATCC 35092D-5) and cloned into a pET151 vector (Invitrogen) encoding an N-terminal 6 $\times$  His-tag followed by a PreScission protease (GE Healthcare) cleavage site. The Vps4 gene from *A. hospitalis* was synthesized by DNA2.0 (Menlo Park, CA, USA) with the same cleavable N-terminal 6 $\times$  His-tag and cloned into pJexpress414 (DNA2.0). Mutations were introduced by QuikChange mutagenesis (Stratagene). Plasmids for protein expression were deposited at the DNASU Plasmid Repository<sup>†</sup> [76] (Supplementary Table 1).

SsoVps4 and AhoVps4 were expressed in *Escherichia coli* BL21(DE3) RIL cells (Stratagene) grown in ZY autoinduction media at 37 °C for 6 h and then at 19 °C overnight. Cells were pelleted by centrifugation, resuspended in lysis buffer [25 mM Tris–HCl (pH 7.4), 450 mM NaCl, 20 mM imidazole, 1 mg/ml lysozyme, and protease inhibitors], and incubated for 45 min on ice followed by sonication and clarification by centrifugation (15,000 rpm, 45 min, 4 °C). The supernatant was incubated at 75 °C for 15 min, precipitated protein was removed by centrifugation, and the soluble fraction was bound to Ni-NTA agarose equilibrated with 25 mM Tris–HCl (pH 7.4), 450 mM NaCl, and 20 mM imidazole. Following a wash with the same buffer, protein was eluted with 200 mM imidazole; dialyzed into 25 mM Tris–HCl (pH 7.4), 150 mM NaCl, 1 mM ethylenediaminetetraacetic acid, and 1 mM DTT; and incubated with 1 mg His-tagged PreScission protease per 100 mg of protein overnight at 4 °C to remove the N-terminal His-tag. Uncleaved protein and the protease were removed by batch-binding to Ni-NTA agarose. Cleaved Vps4 was further purified by size-exclusion chromatography in 25 mM Tris–HCl (pH 7.4) and 100 mM NaCl using a Superdex S200 column. Yields were typically 25 mg of pure protein per liter of bacterial culture.

### Structure determination

Crystals were grown in the absence of nucleotide by sitting-drop vapor diffusion at 20 °C using protein in the size-exclusion chromatography buffer. Selenomethionine-substituted SsoVps4(85–372, E206Q) crystals grew in drops composed of 1  $\mu$ l of a 7 mg/ml protein stock solution and 2  $\mu$ l of reservoir solution [70% (v/v) methyl-2,4-pentanediol and 100 mM Hepes (pH 7.5)]. AhoVps4 crystals grew in drops composed of 1.5  $\mu$ l of 10 mg/ml protein mixed with 1.5  $\mu$ l of reservoir solution [0.2 M MgCl<sub>2</sub>, 0.1 M Hepes (pH 7.5), and 30% (v/v) polyethylene glycol 400]. In both cases, crystals were cryo-cooled for data collection by plunging into liquid nitrogen directly from the mother liquor.

Diffraction data were collected at beamlines 11-1 (AhoVps4) and 7-1 [SsoVps4(85–372, E206Q)] of the Stanford Synchrotron Radiation Lightsource (SSRL) and processed using the HKL2000 suite [77]. Phases for SsoVps4(85–372, E206Q) were determined by the single-wavelength anomalous diffraction method using SOLVE [78]. The AhoVps4 structure was determined by molecular



replacement using PHASER [79] and the refined structure of SsoVps4(85–372, E206Q) as a search model. Both models were refined using Refmac [80] and Phenix with rounds of rebuilding in Coot [81].

Interfaces were analyzed using PISA [82] and structures superimposed using secondary structure matching [83] as implemented in the CCP4 package [84]. Figures of molecular structures were prepared in PyMOL [85].

### Analytical size-exclusion chromatography

Size-exclusion chromatography was performed at 4 °C unless otherwise stated. A Superdex 200 size-exclusion column (GE Healthcare) was calibrated with molecular mass standards (Biorad). Protein samples at a concentration of 100 µM (unless indicated otherwise) were used for size-exclusion chromatography in 25 mM Tris–HCl (pH 7.4) and 100 mM NaCl or in the same buffer supplemented with 2 mM magnesium chloride and 1 mM ATP, 1 mM ADP, or 0.2 mM ATPyS. For yeast proteins, the buffer was supplemented with 1 mM DTT. Archaeal proteins were analyzed in the absence of reductant because they contain only a single cysteine that is not exposed on the surface. Vps4 proteins were preincubated with the respective nucleotide at the concentration used in the size-exclusion chromatography buffer for 5 min on ice prior to analysis.

### Analytical ultracentrifugation

AUC experiments were performed using absorbance optics for nucleotide-free experiments and Rayleigh interference optics in the presence of nucleotides to avoid complications from nucleotide absorbance. Equilibrium sedimentation experiments on SsoVps4(E206Q) in the absence of nucleotide were performed at 4 °C using an XL-A analytical ultracentrifuge (Beckman Coulter). Sample cells with a six-channel centerpiece were filled with 120 µl of the protein sample at concentrations ranging from 2.5 µM to 10 µM, and 125 µl of size-exclusion chromatography buffer was loaded into the reference sectors. Absorbance scans at 280 nm were taken at equilibrium after centrifugation at 3500 rpm and 5000 rpm.

Sedimentation equilibrium experiments in the presence of nucleotides were performed using an XL-I analytical ultracentrifuge (Beckman Coulter). External loading cells with a two-channel centerpiece were loaded with 140 µl of water and aged by alternate cycles of centrifugation at 8000 rpm and re-torquing until the cells were mechanically stable, after which blank scans were then taken at all speeds used for the experiment following a procedure described by Cole *et al.* [86]. The water was then exchanged for 120 µl of protein samples at concentrations ranging from 10 to 50 µM in 25 mM Tris–HCl (pH 7.4), 100 mM NaCl, and 2 mM magnesium chloride supplemented with 1 mM ATP, ATPyS, or ADP with the corresponding buffer in the reference cell. Interference data were collected at 4 °C and rotor speeds of 3000 and 5000 rpm and were analyzed using the Heteroanalysis Software (version 1.1.56) [87]. Theoretical molecular masses and partial specific volumes were calculated in SEDNTERP (version 1.09) [88] based on the amino acid sequence. To fit interference data, we converted the

extinction coefficient at 280 nm to a refractive index increment using a factor of 2.75.

### ATPase activity

ATPase activity of Vps4 proteins was measured as described for human VPS4A [66]. Reactions containing 0.1–0.5 µM Vps4 in 25 mM Tris–HCl (pH 7.4), 100 mM NaCl, 5 mM MgCl<sub>2</sub>, and 1 mM ATP in a total volume of 50 µl proceeded for at least 5 min at the temperature indicated (4, 37, or 60 °C). The reaction was then placed on ice and quenched with 100 µl of malachite green color reagent [14 mM ammonium molybdate, 1.3 M HCl, 0.1% (v/v) Triton X-100, and 1.5 mM malachite green] and 50 µl of 21% (w/v) citric acid. The green complex formed by malachite green, molybdate, and free phosphate was detected by absorbance at 650 nm using a plate reader. A sodium phosphate standard curve was used to estimate the amount of phosphate released during ATP hydrolysis. Error bars denote standard deviations from three independent samples.

### GFP-CPS sorting in yeast cells

Vacuolar cargo sorting assays were performed as previously described [36]. Confocal microscopy was used to image *vps4pΔ* yeast cells in a SEY6210 genetic background harboring pRS415MET + GFP-CPS and either pRS416 + Vps4p (wild type or mutant) or an empty control plasmid. For each data set, 100 cells were scored for GFP fluorescence in the vacuolar lumen in three independent experiments. Limiting vacuolar membranes were stained with FM4-64.

### Accession numbers

Atomic coordinates and structure factors have been deposited in the Protein Data Bank with accession codes 4LGM for SsoVps4(E206Q, 85–372) and 4LCB for AhoVps4.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <http://dx.doi.org/10.1016/j.jmb.2013.09.043>.

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### Abbreviations used:

EM, electron microscopy; AUC, analytical ultracentrifugation; GFP, green fluorescent protein; CPS, carboxypeptidase S; SSRL, Stanford Synchrotron Radiation Lightsource.

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