



# Norm-linear and norm-additive operators between uniform algebras

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## ABSTRACT

Let  $A \subset C(X)$  and  $B \subset C(Y)$  be uniform algebras with Choquet boundaries  $\delta A$  and  $\delta B$ . A map  $T : A \rightarrow B$  is called *norm-linear* if  $\|\lambda Tf + \mu Tg\| = \|\lambda f + \mu g\|$ ; *norm-additive*, if  $\|Tf + Tg\| = \|f + g\|$ , and *norm-additive in modulus*, if  $\|Tf\| + \|Tg\| = \|f\| + \|g\|$  for each  $\lambda, \mu \in \mathbb{C}$  and all algebra elements  $f$  and  $g$ . We show that for any norm-linear surjection  $T : A \rightarrow B$  there exists a homeomorphism  $\psi : \delta A \rightarrow \delta B$  such that  $|(Tf)(y)| = |f(\psi(y))|$  for every  $f \in A$  and  $y \in \delta B$ . Sufficient conditions for norm-additive and norm-linear surjections, not assumed *a priori* to be linear, or continuous, to be unital isometric algebra isomorphisms are given. We prove that any unital norm-linear surjection  $T$  for which  $T(i) = i$ , or which preserves the peripheral spectra of  $\mathbb{C}$ -peaking functions of  $A$ , is a unital isometric algebra isomorphism. In particular, we show that if a linear operator between two uniform algebras, which is surjective and norm-preserving, is unital, or preserves the peripheral spectra of  $\mathbb{C}$ -peaking functions, then it is automatically multiplicative and, in fact, an algebra isomorphism.

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## 1. Introduction

The problem of characterizing algebra isomorphisms among general maps between Banach algebras has attracted considerable interest. For maps known *a priori* to be linear it has been an active area of research for over a century, particularly for the so-called linear preservers, maps that preserve some specific properties or features of algebra elements (see e.g. [11]). The classical Banach–Stone theorem, for instance, implies that any unital norm-preserving linear surjection between two spaces of type  $C(X)$ , the algebra of complex-valued, continuous functions on a compact Hausdorff space  $X$ , is an isometric algebra isomorphism. One important consequence of the celebrated theorem of Gleason–Kahane–Żelazko [16] states that if a surjective linear map  $T : A \rightarrow B$  between semisimple commutative Banach algebras preserves the spectra, namely,  $\sigma(Tf) = \sigma(f)$  for all  $f \in A$ , then  $T$  is multiplicative and thus an algebra isomorphism. Recall that the *spectrum* of an algebra element  $f \in A$  is the compact set  $\sigma(f) = \{\lambda \in \mathbb{C} : (\lambda - f) \notin A^{-1}\}$ . A result by Kowalski and Ślodkowski [6] implies that if a surjective map  $T : A \rightarrow B$  between semisimple commutative Banach algebras is weakly additive in the sense that  $\sigma(Tf - Tg) = \sigma(f - g)$  for all algebra elements  $f$  and  $g$ , and  $T(0) = 0$ , then  $T$  is an algebra isomorphism. More on the early stage of this subject can be found in [5,11]. Molnár [11,12] showed that a surjective self-map  $T$  of the algebra  $C(X)$  with first-countable compact  $X$  which is unital and weakly multiplicative in the sense that  $\sigma(TfTg) = \sigma(fg)$  for all algebra elements, is an isometric algebra isomorphism. Molnár's result was generalized for arbitrary uniform algebras by Rao and Roy [13], and was extended further in various directions (e.g. [1–3,7–9,14,15]). Recently it was realized that crucial for the isomorphism problem is not the entire spectrum, but merely some of its distinguished parts (e.g. [1,2,7–9,15]).

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Let  $A \subset C(X)$  be a uniform algebra on a compact Hausdorff set  $X$ . Recall that the *peripheral spectrum* of  $f \in A$  is the set  $\sigma_\pi(f) = \sigma(f) \cap \{z \in \mathbb{C} : |z| = \|f\|\}$  of spectral values of  $f$  with maximal modulus. Equivalently,  $\sigma_\pi(f)$  is the set of values of  $f$  with maximum modulus, i.e.  $\sigma_\pi(f) = \{f(x) : x \in X \text{ and } |f(x)| = \|f\|\}$ . Rao, Tonev and Toneva (e.g. [1]) extended the mentioned Kowalski–Słodkowski’s result to so-called *peripherally-additive maps*  $T : A \rightarrow B$  that are weakly additive in the sense that  $\sigma_\pi(Tf + Tg) = \sigma_\pi(f + g)$  for all  $f, g \in A$ , and have found sufficient conditions for such maps to be unital isometric algebra isomorphisms.

In this paper we show that the peripheral additivity property, considered in [1] and [15], is too restrictive for the isomorphism problem: In fact, it suffices the map to be only norm-additive or norm-linear (see the definitions below). In addition, it is enough the map to be either unital, or to preserve the peripheral spectra of  $\mathbb{C}$ -peaking functions, rather than of all algebra elements, as required in [15].

Below we describe the main results of the paper. The first proposition generalizes Rao–Tonev–Toneva’s additive analogue of Bishop’s Lemma [1]. In it  $\mathcal{P}_x(A)$  denotes the set of *peaking functions* of  $A$  that peak on  $x$ ,  $\mathcal{E}_x(A) = \{f \in A : |f(x)| = \|f\|\} = \{f \in A : x \in E(f)\}$  is the set of all algebra elements which take their maximum modulus at  $x$ ,  $\delta A$  is the Choquet boundary of  $A$  and  $E(f)$  is the *maximum modulus set* of  $f \in A$  (see the corresponding definitions in Section 2).

**Proposition.** (See Lemma 1, Proposition 4 and Corollary 5.) Let  $f \in A$ ,  $f \neq 0$ . For any  $x_0 \in \delta A$  and arbitrary  $r > 1$  (or,  $r \geq 1$  if  $f(x_0) \neq 0$ ), there exists an  $\mathbb{R}$ -peaking function  $h \in r\|f\| \cdot \mathcal{P}_{x_0}(A)$  such that  $|f(x)| + |h(x)| < |f(x_0)| + |h(x_0)| = |f(x_0)| + \|h\|$  for every  $x \notin E(h)$  and  $|f(x)| + |h(x)| = |f(x_0)| + |h(x_0)|$  for all  $x \in E(h)$ . Consequently,  $\|f\| + \|h\| = |f(x_0)| + |h(x_0)|$ . If  $\alpha = \exp\{i \arg f(x_0)\}$ , then  $f + \alpha h \in \mathbb{C} \cdot \mathcal{P}_{x_0}(A)$ ,  $\sigma_\pi(f + \alpha h) = \{f(x_0) + \alpha h(x_0)\}$  and  $E(f + \alpha h) = E(h)$ . Given a neighborhood  $U$  of  $x_0$ ,  $h$  can be chosen so that  $E(h) \subset U$ . Moreover,  $|f(x_0)| + |h(x_0)| = \|f\| + \|h\| = \inf_{\substack{h \in \mathcal{E}_{x_0}(A) \\ \|h\|=r\|f\|}} \|f\| + \|h\|$ .

An operator  $T : A \rightarrow B$  between Banach algebras is called *norm-preserving* if  $\|Tf\| = \|f\|$ , *norm-linear* if  $\|\lambda Tf + \mu Tg\| = \|\lambda f + \mu g\|$ , *norm-additive* if  $\|Tf + Tg\| = \|f + g\|$ , and *norm-additive in modulus* if  $\|Tf\| + \|Tg\| = \|f\| + \|g\|$  (i.e.  $\max_{\eta \in \partial B} (|(Tf)(\eta)| + |(Tg)(\eta)|) = \max_{\xi \in \partial A} (|f(\xi)| + |g(\xi)|)$ ) for all  $f, g \in A$  and  $\lambda, \mu \in \mathbb{C}$ , where  $\|\cdot\|$  is the uniform norm on  $C(\partial A)$  and  $C(\partial B)$  correspondingly. Clearly, every norm-linear operator is norm-additive and every norm-preserving linear (resp. additive) operator is automatically norm-linear (resp. norm-additive).

The primary results of the paper, which follow, reveal the structure of norm-additive and norm-linear operators between uniform algebras and provide sufficient conditions for such operators to be unital isomorphic algebra isomorphisms.

**Theorem.** (See Theorem 13.) Any norm-linear surjection  $T : A \rightarrow B$  between uniform algebras induces an associated homeomorphism  $\psi : \delta A \rightarrow \delta B$  so that  $|(Tf)(y)| = |f(\psi(y))|$  for every  $f \in A$  and  $y \in \delta B$ .

The following theorem provides sufficient conditions for a norm-additive operator to be an algebra isomorphism.

**Theorem** (Norm-Additive Operators). (See Theorem 16.) A norm-additive surjection  $T : A \rightarrow B$  between uniform algebras which is norm-additive in modulus induces an associated homeomorphism  $\psi : \delta B \rightarrow \delta A$  such that  $|(Tf)(y)| = |f(\psi(y))|$  for each  $f \in A$  and all  $y \in \delta B$ . If, in addition,  $T(1) = 1$  and  $T(i) = i$ , or if  $T$  preserves the peripheral spectra of all  $\mathbb{C}$ -peaking functions of  $A$ , then  $T$  is an isometric unital algebra isomorphism.

As a corollary we obtain the following criteria for a norm-additive operator to be norm-linear.

**Corollary.** (See Corollary 17.) Let  $T : A \rightarrow B$  be a norm-additive surjection for which  $T(1) = 1$  and  $T(i) = i$ , or which preserves the peripheral spectra of  $\mathbb{C}$ -peaking functions of  $A$ . Then  $T$  is norm-linear if and only if it is norm-additive in modulus.

The next theorem gives sufficient conditions for a norm-linear operator to be an algebra isomorphism. Namely,

**Theorem** (Norm-Linear Operators). (See Theorem 20.) A norm-linear surjection  $T : A \rightarrow B$  between two uniform algebras for which  $T(1) = 1$  and  $T(i) = i$ , or which preserves the peripheral spectra of all  $\mathbb{C}$ -peaking functions of  $A$ , induces an associated homeomorphism  $\psi : \delta B \rightarrow \delta A$  such that  $T$  is a  $\psi$ -composition operator on  $B$ , and therefore, is an isometric unital algebra isomorphism.

This theorem yields the following corollary, which extends in a certain sense the corollaries of Banach–Stone’s theorem and Gleason–Kahane–Żelazko’s theorem mentioned above.

**Corollary** (Linear Operators). (See Corollary 21.) If a linear operator between two uniform algebras, which is surjective and norm-preserving, is unital, or preserves the peripheral spectra of  $\mathbb{C}$ -peaking functions, then it is automatically multiplicative and, in fact, an algebra isomorphism.

## 2. Preliminaries

In this section  $A \subset C(X)$  will be a uniform algebra on a compact Hausdorff space  $X$ . For an  $f \in A$  the set  $E(f)$  of all  $x$  in  $X$  at which  $f$  attains its maximum modulus is called the *maximum modulus set* of  $f$ , i.e.  $E(f) = \{x \in X: |f(x)| = \|f\| = \max_{x \in X} |f(x)|\}$ . An element  $h \in A$  is called a *peaking function* of  $A$  if  $\sigma_\pi(h) = \{1\}$ , i.e., if  $\|h\| = 1$  and  $|h(x)| < 1$  whenever  $h(x) \neq 1$ . In this case, the maximum modulus set  $E(h) = \{x \in X: h(x) = 1\} = h^{-1}\{1\}$  is called the *peak set* of  $h$ . If  $E$  is a subset of  $X$  such that  $E \subset E(h)$  for some peaking function  $h$ , we say that  $h$  *peaks on*  $E$ . The set of all peaking functions in  $A$  we denote by  $\mathcal{P}(A)$ . Clearly,  $\mathbb{C} \cdot \mathcal{P}(A)$  is the set of all  $f \in A$  with singleton peripheral spectra. The elements of  $\mathbb{C} \cdot \mathcal{P}(A)$  (resp.  $\mathbb{R} \cdot \mathcal{P}(A)$ ) we call  $\mathbb{C}$ -peaking functions (resp.  $\mathbb{R}$ -peaking functions) of  $A$ . A point  $x \in X$  is called a *generalized peak point*, or *p-point*, of  $A$  if for every neighborhood  $V$  of  $x$  there is a peaking function  $h$  with  $x \in E(h) \subset V$ . Recall that the set  $\delta A$  of all generalized peak points of  $A$  is the *Choquet* (or the *strong*) *boundary* of  $A$ , and  $\bar{\delta} A = \partial A$ , the Shilov boundary of  $A$ . Given an  $x \in X$ , we denote by  $\mathcal{P}_x(A)$  the set of all peaking functions of  $A$  which peak on  $x$  and by  $\mathbb{C} \cdot \mathcal{P}_x(A)$  the set of  $\mathbb{C}$ -peaking functions of  $A$  that peak on  $x$ .

The following lemma, which we use on several occasions further, strengthens and generalizes the additive version of Bishop's Lemma from [15].

**Lemma 1** (Strong version of the additive Bishop's Lemma). *For any nonzero  $f \in A$ ,  $x_0 \in \delta A$  and arbitrary  $r > 1$  (or,  $r \geq 1$  if  $f(x_0) \neq 0$ ) there exists an  $\mathbb{R}$ -peaking function  $h \in r\|f\| \cdot \mathcal{P}_{x_0}(A)$  such that*

$$|f(x)| + |h(x)| < |f(x_0)| + |h(x_0)| \quad (1)$$

for every  $x \notin E(h)$  and  $|f(x)| + |h(x)| = |f(x_0)| + |h(x_0)|$  for all  $x \in E(h)$ . Consequently,  $\max_{x \in X} (|f(x)| + |h(x)|) = |f(x_0)| + |h(x_0)|$ . Given a neighborhood  $U$  of  $x_0$ ,  $h$  can be chosen such that  $E(h) \subset U$ .

**Proof.** Consider first the case when  $f(x_0) \neq 0$ . For every  $n \in \mathbb{N}$  we define the open set  $U_n = \{x \in X: |f(x) - f(x_0)| < \frac{|f(x_0)|}{2^{n+1}}\}$ . Clearly,  $U_n \subset U_{n-1}$  and  $x_0 \in U_n$  for every  $n \in \mathbb{N}$ . Let  $r \geq 1$ . For each  $n$ , choose a peaking function  $k_n \in \mathcal{P}_{x_0}(A)$  such that  $E(k_n) \subset U_n$ , and let  $h_n \in \mathcal{P}_{x_0}(A)$  be a large enough power of  $k_n$  such that  $|h_n(x)| < \frac{|f(x_0)|}{2^n r \|f\|}$  on  $X \setminus U_n$ . One can see that  $\bigcap_{n=1}^\infty U_n = f^{-1}(f(x_0))$ . Indeed, it is clear that every  $x \in f^{-1}(f(x_0))$  belongs to  $\bigcap_{n=1}^\infty U_n$ ; conversely, if  $x \in \bigcap_{n=1}^\infty U_n$  then  $|f(x) - f(x_0)| < \frac{|f(x_0)|}{2^{n+1}}$  for every  $n \in \mathbb{N}$ , thus  $f(x) = f(x_0)$ , i.e.  $x \in f^{-1}(f(x_0))$ . We claim that the  $\mathbb{R}$ -peaking function  $h = r\|f\| \cdot \sum_{n=1}^\infty \frac{h_n}{2^n}$  satisfies inequality (1). Clearly,  $h \in r\|f\| \cdot \mathcal{P}_{x_0}(A)$  and hence  $\|h\| = r\|f\| = |h(x_0)|$ . In addition,  $E(h) \subset \bigcap_{n=1}^\infty E(h_n) \subset \bigcap_{n=1}^\infty U_n = f^{-1}(f(x_0))$ .

For any  $x \in E(h)$  we have  $|f(x)| + |h(x)| = |f(x_0)| + \|h\|$ , while  $|f(x)| + |h(x)| = |f(x_0)| + |h(x)| < |f(x_0)| + \|h\|$  holds for any  $x \in f^{-1}(f(x_0)) \setminus E(h)$ . If  $x \notin f^{-1}(f(x_0)) = \bigcap_{n=1}^\infty U_n$ , there are two possibilities.

*Case 1:*  $x \notin U_1$ . In this case  $x \notin U_n$  for every  $n \in \mathbb{N}$ , and hence  $|h_n(x)| < \frac{|f(x_0)|}{2^n r \|f\|}$  for every  $n \in \mathbb{N}$ . Therefore,  $|h(x)| < r\|f\| \cdot \sum_{n=1}^\infty \frac{|f(x_0)|}{4^n r \|f\|} < |f(x_0)|$ , and consequently,  $|f(x)| + |h(x)| < r\|f\| + |f(x_0)| = |f(x_0)| + \|h\|$ .

*Case 2:*  $x \in U_{n-1} \setminus U_n$  for some  $n > 1$ . In this case  $x \in U_i$  for  $1 \leq i \leq n-1$  and  $x \notin U_i$  for every  $i \geq n$ . Therefore,  $|h_i(x)| < \frac{|f(x_0)|}{2^i r \|f\|}$  for every  $i \geq n$ . Since  $x \in U_{n-1}$ , we have  $|f(x) - f(x_0)| < \frac{|f(x_0)|}{2^n}$  and hence

$$|f(x)| + |h(x)| \leq |f(x_0)| + |f(x) - f(x_0)| + |h(x)| < |f(x_0)| + \frac{|f(x_0)|}{2^n} + r\|f\| \cdot \sum_{i=1}^{n-1} \frac{|h_i(x)|}{2^i} + r\|f\| \cdot \sum_{i=n}^\infty \frac{|h_i(x)|}{2^i}.$$

Since each  $h_n$  is a peaking function of  $A$ , it follows that  $|h_n(x)| \leq 1$  for any  $x \in X$ , and therefore,  $\sum_{i=1}^{n-1} \frac{|h_i(x)|}{2^i} \leq \sum_{i=1}^{n-1} \frac{1}{2^i} = 1 - \frac{1}{2^{n-1}}$ . Moreover,  $\sum_{i=n}^\infty \frac{|h_i(x)|}{2^i} < \sum_{i=n}^\infty \frac{|f(x_0)|}{4^i r \|f\|} \leq \sum_{i=n}^\infty \frac{1}{4^i} = \frac{1}{3 \cdot 4^{n-1}}$ . Hence,

$$\begin{aligned} |f(x)| + |h(x)| &\leq |f(x_0)| + \frac{|f(x_0)|}{2^n} + \left(1 - \frac{1}{2^{n-1}}\right)r\|f\| + \frac{1}{3 \cdot 4^{n-1}}r\|f\| \\ &< |f(x_0)| + \left(1 - \frac{1}{2^{n-1}} + \frac{1}{2^n} + \frac{1}{3 \cdot 4^{n-1}}\right)r\|f\| \\ &= |f(x_0)| + \left(1 - \frac{1}{2^{n-1}} \left(1 - \frac{1}{2} - \frac{1}{3 \cdot 2^{n-1}}\right)\right)\|h\| < |f(x_0)| + \|h\|. \end{aligned}$$

We have obtained that  $|f(x)| + |h(x)| < |f(x_0)| + \|h\|$  for every  $x \notin f^{-1}(f(x_0))$ .

If  $f(x_0) = 0$ , we must show that  $|f(x)| + |h(x)| < |h(x_0)| = \|h\|$  for all  $x \notin E(h)$ . Let  $r > 1$ . For any  $n \in \mathbb{N}$ , define the open set  $V_n = \{x \in X: |f(x)| < \frac{(r-1)\|f\|}{2^{n+1}}\}$ . Clearly,  $V_n \subset V_{n-1}$  and  $x_0 \in V_n$  for every  $n \in \mathbb{N}$ . As before, for each  $n$  we choose a peaking function  $k_n \in \mathcal{P}_{x_0}(A)$  such that  $E(k_n) \subset V_n$ , and let  $h_n \in \mathcal{P}_{x_0}(A)$  be a large enough power of  $k_n$  such that  $|h_n(x)| < \frac{r-1}{2^n r}$  on  $X \setminus V_n$ . We claim that in this case the  $\mathbb{R}$ -peaking function  $h = r\|f\| \cdot \sum_{n=1}^\infty \frac{h_n}{2^n}$  satisfies inequality (1). As before,

one can see that  $E(h) \subset f^{-1}(0) = \bigcap_{n=1}^{\infty} V_n$ . Note that  $\|h\| = r\|f\|$  since  $h \in r\|f\| \cdot \mathcal{P}_{x_0}(A)$ . It is clear that if  $x \in E(h)$  then  $|f(x)| + |h(x)| = \|h\|$ , while  $|f(x)| + |h(x)| = |h(x)| < \|h\|$  for any  $x \in f^{-1}(0) \setminus E(h)$ .

Suppose now that  $x \notin f^{-1}(0)$ . If in addition  $x \notin V_1$ , then we obtain, as before, that  $|h(x)| < r\|f\| \cdot \sum_{i=1}^{\infty} \frac{r-1}{4^{n-1}} < (r-1)\|f\|$ , and therefore,  $|f(x)| + |h(x)| < \|f\| + (r-1)\|f\| = r\|f\| = \|h\|$ . If  $x \in V_{n-1} \setminus V_n$  for some  $n > 1$ , then  $x \in V_i$  for  $1 \leq i \leq n-1$  and  $x \notin V_i$  for every  $i \geq n$ . Therefore,  $|h_i(x)| < \frac{r-1}{2^i r}$  for every  $i \geq n$ . Because of  $x \in V_{n-1}$  we see that  $|f(x)| < \frac{(r-1)\|f\|}{2^n} < \frac{r\|f\|}{2^n}$  and hence  $|f(x)| + |h(x)| < \frac{r\|f\|}{2^n} + r\|f\| \sum_{i=1}^{n-1} \frac{|h_i(x)|}{2^i} + r\|f\| \sum_{i=n}^{\infty} \frac{|h_i(x)|}{2^i}$ . Since each  $h_n$  is a peaking function of  $A$ , it follows that  $|h_n(x)| \leq 1$  for every  $x \in X$  and therefore  $\sum_{i=1}^{n-1} \frac{|h_i(x)|}{2^i} \leq \sum_{i=1}^{n-1} \frac{1}{2^i} = 1 - \frac{1}{2^{n-1}}$ . In addition,  $\sum_{i=n}^{\infty} \frac{|h_i(x)|}{2^i} < \sum_{i=n}^{\infty} \frac{(r-1)}{4^i r} < \sum_{i=n}^{\infty} \frac{1}{4^i} = \frac{1}{3 \cdot 4^{n-1}}$ . Therefore, we have

$$|f(x)| + |h(x)| \leq \frac{r\|f\|}{2^n} + \left(1 - \frac{1}{2^{n-1}}\right)r\|f\| + \frac{1}{3 \cdot 4^{n-1}}r\|f\| \leq \left(1 - \frac{1}{2^{n-1}} + \frac{1}{2^n} + \frac{1}{3 \cdot 4^{n-1}}\right)r\|f\| < r\|f\| = \|h\|.$$

Consequently,  $|f(x)| + |h(x)| < \|h\|$  for every  $x \notin f^{-1}(0)$ .

Let  $U$  be a neighborhood of  $x_0$ . If  $h_* \in \mathcal{P}_{x_0}(A)$  is a peaking function of  $A$  with  $E(h_*) \subset U$ , then  $|h_*(x)| < 1$  on  $X \setminus U$ , the function  $hh_*$  satisfies inequality (1) and, in addition,  $E(hh_*) \subset U$ .  $\square$

As noted above, Lemma 1 implies the additive version of Bishop's Lemma [15] stated below, which neither specifies the points where  $\max_{\xi \in E} |f(\xi)| + \|h\|$  is attained nor treats the case when  $f \equiv 0$  on  $E$ :

**Corollary 2** (Additive Bishop's Lemma). (See [15].) Let  $f \in A$  and  $E$  be a peak set for  $A$  such that  $f \not\equiv 0$  on  $E$ . For any  $r \geq 1$  there exists an  $\mathbb{R}$ -peaking function  $h \in r\|f\| \cdot \mathcal{P}(A)$  with  $E(h) \subset E$  such that  $|f(x)| + |h(x)| < \max_{\xi \in E} |f(\xi)| + \|h\|$  for all  $x \notin E$ .

The next corollary of Lemma 1 strengthens Corollary 2.

**Corollary 3.** Let  $f \in A$ ,  $f \not\equiv 0$ . If  $E$  is a peak set for  $A$  and  $r \geq 1$  is arbitrary, then for any  $x_0 \in E \cap \delta A$  with  $f(x_0) \neq 0$  there exists an  $\mathbb{R}$ -peaking function  $h \in r\|f\| \cdot \mathcal{P}_{x_0}(A)$  with  $E(h) \subset E$  such that  $|f(x)| + |h(x)| < |f(x_0)| + |h(x_0)| = \max_{\xi \in E} |f(\xi)| + \|h\|$  for every  $x \notin E$ .

Lemma 1 implies also the next proposition, which we use on several occasions further.

**Proposition 4.** Let  $f \in A$ ,  $f \not\equiv 0$ . If  $x_0 \in \delta A$ ,  $\alpha = \exp[i \arg(f(x_0))]$  and  $r > 1$  (or,  $r \geq 1$  if  $f(x_0) \neq 0$ ), then there exists an  $\mathbb{R}$ -peaking function  $h \in r\|f\| \cdot \mathcal{P}_{x_0}(A)$  such that  $E(f + \alpha h) = E(h)$ ,  $|f(x_0) + \alpha h(x_0)| = \|f + \alpha h\|$  and

$$|f(x) + \alpha h(x)| < \|f + \alpha h\| \quad (2)$$

whenever  $f(x) + \alpha h(x) \neq f(x_0) + \alpha h(x_0)$ . Consequently,  $f + \alpha h \in \mathbb{C} \cdot \mathcal{P}_{x_0}(A)$  and  $\sigma_{\pi}(f + \alpha h) = \{f(x_0) + \alpha h(x_0)\}$ . Given a neighborhood  $U$  of  $x_0$ ,  $h$  can be chosen to be such that  $E(f + \alpha h) \subset U$ .

**Proof.** Let the function  $h$  be as in Lemma 1. If  $\alpha = \exp[i \arg(f(x_0))]$ , then  $|f(x_0) + \alpha h(x_0)| = |f(x_0)| + |h(x_0)|$  and therefore,  $\|f + \alpha h\| = \max_{\xi \in X} |f(\xi) + \alpha h(\xi)| \leq \max_{\xi \in X} (|f(\xi)| + |h(\xi)|) = |f(x_0)| + |h(x_0)| = |f(x_0) + \alpha h(x_0)| \leq \|f + \alpha h\|$ . Hence  $\|f + \alpha h\| = |f(x_0)| + |h(x_0)| = |f(x_0) + \alpha h(x_0)|$  and therefore,  $f(x_0) + \alpha h(x_0) \in \sigma_{\pi}(f + \alpha h)$ . Inequality (1) implies that for any  $x \notin E(h)$ , we have  $|f(x) + \alpha h(x)| \leq |f(x)| + |h(x)| < |f(x_0)| + |h(x_0)| = \|f + \alpha h\|$ , thus  $f(x) + \alpha h(x) \notin \sigma_{\pi}(f + \alpha h)$  and hence  $E(f + \alpha h) \subset E(h)$ . Since  $E(h) \subset f^{-1}(f(x_0))$ , for any  $x \in E(h)$  we have  $f(x) + \alpha h(x) = f(x_0) + \alpha h(x_0) \in \sigma_{\pi}(f + \alpha h)$ , and therefore,  $E(h) \subset E(f + \alpha h)$ . Consequently,  $E(h) = E(f + \alpha h)$  and  $\sigma_{\pi}(f + \alpha h) = \{f(x_0) + \alpha h(x_0)\}$ , as claimed. If  $U$  is a neighborhood of  $x_0$ , then any function  $h$  from Lemma 1 with  $E(h) \subset U$  satisfies the inequality (2).  $\square$

Given an  $x \in X$ , we denote by  $\mathcal{E}_x(A) = \{f \in A : |f(x)| = \|f\|\} = \{f \in A : x \in E(f)\}$  the set of all algebra elements which take their maximum modulus at  $x$ . If  $h \in \mathcal{P}_x(A)$ , then, clearly,  $x$  is in the maximum modulus set  $E(h)$  of  $h$ , so  $h \in \mathcal{E}_x(A)$ , and therefore,  $\mathcal{P}_x(A) \subset \mathcal{E}_x(A)$ . One can see that  $\mathbb{C} \cdot \mathcal{P}_x(A) = \mathbb{C} \cdot \mathcal{P}(A) \cap \mathcal{E}_x(A) \subset \mathcal{E}_x(A)$ . Note that in the case of algebra  $C(X)$ , families of sets similar to  $\mathcal{E}_x(A)$  have been considered by Holsztyński [4] in his proof of Banach–Stone's theorem.

The next result, which we will use on several occasions further in this paper, is a consequence of Lemma 1.

**Corollary 5.** Let  $f \in A$ ,  $f \not\equiv 0$ . If  $x_0 \in \delta A$ ,  $r > 1$  (or,  $r \geq 1$  if  $f(x_0) \neq 0$ ), and  $h_0 \in r\|f\| \cdot \mathcal{P}_{x_0}(A)$  is as in Lemma 1, then

$$|f(x_0)| + r\|f\| = |f(x_0)| + |h_0(x_0)| = \|f\| + \|h_0\| = \inf_{\substack{h \in \mathcal{E}_{x_0}(A) \\ \|h\| = r\|f\|}} \|f\| + \|h\|. \quad (3)$$

**Proof.** Let  $h_0 \in r\|f\| \cdot \mathcal{P}_{x_0}(A)$  be a function as in Lemma 1. For any  $h \in \mathcal{E}_{x_0}(A)$  with  $\|h\| = r\|f\|$ , we have that  $\|f\| + \|h\| = \max_{\xi \in X} (|f(\xi)| + |h(\xi)|) \geq |f(x_0)| + |h(x_0)| = |f(x_0)| + |h_0(x_0)| = \max_{\xi \in X} (|f(\xi)| + |h_0(\xi)|) = \|f\| + \|h_0\|$ . Consequently,  $\inf_{\substack{h \in \mathcal{E}_{x_0}(A) \\ \|h\| = r\|f\|}} \|f\| + \|h\| = \|f\| + \|h_0\| = |f(x_0)| + |h_0(x_0)| = |f(x_0)| + \|h\|$ , according to Lemma 1.  $\square$

### 3. The associated homeomorphism

In this section  $A \subset C(X)$  and  $B \subset C(Y)$  will be uniform algebras on compact sets  $X$  and  $Y$ , respectively. We show that under certain conditions any surjective operator  $T : A \rightarrow B$  between uniform algebras induces in a natural way an associated homeomorphism between  $\delta A$  and  $\delta B$ .

Recall that an operator  $T : A \rightarrow B$  is  $\mathbb{R}_+$ -homogeneous if  $T(rf) = rTf$  for every  $f \in A$  and  $r \geq 0$ . For instance, if  $T$  is norm-additive, or more generally, peripherally-additive, then  $T$  is  $\mathbb{R}$ -linear (see e.g. Lemma 12 in the next section) and therefore,  $\mathbb{R}_+$ -homogeneous. The operator  $T$  is *monotone increasing in modulus* (see [1]) if the inequality  $|f(x)| \leq |g(x)|$  on  $\partial A$  implies  $|(Tf)(y)| \leq |(Tg)(y)|$  on  $\partial B$  for all  $f, g \in A$ . For example, surjections  $T : A \rightarrow B$  that are *norm-additive in modulus* in the sense that  $\|Tf\| + \|Tg\| = \|f\| + \|g\|$  for all  $f, g \in A$ , are necessarily monotone increasing in modulus (cf. [1]) and also norm-preserving.

**Lemma 6.** *If a norm-preserving operator  $T : A \rightarrow B$  is  $\mathbb{R}_+$ -homogeneous and monotone increasing in modulus, then for any generalized peak point  $x \in \delta A$ , the set*

$$E_x = \bigcap_{f \in \mathcal{E}_x(A)} E(Tf) \quad (4)$$

*is nonempty and  $E_x \cap \delta B \neq \emptyset$ .*

**Proof.** Let  $x \in \delta A$ . We will show that the family  $\{E(Tf) : f \in \mathcal{E}_x(A)\}$  has the finite intersection property. Let  $f_1, \dots, f_n \in \mathcal{E}_x(A)$  and define  $f = f_1 \cdots f_n$ . Then

$$\|f_1 \cdots f_n\| = \|f\| \geq |f(x)| = |(f_1 \cdots f_n)(x)| = |f_1(x) \cdots f_n(x)| = |f_1(x)| \cdots |f_n(x)| = \|f_1\| \cdots \|f_n\| \geq \|f_1 \cdots f_n\|,$$

so  $|f(x)| = \|f\| = \prod_{j=1}^n \|f_j\|$  and hence  $f \in \mathcal{E}_x(A)$ . For any  $\xi \in \partial A$  and every fixed  $k = 1, \dots, n$ , we have

$$|f(\xi)| = |f_1(\xi)| \cdots |f_n(\xi)| \leq \left( \prod_{j \neq k} \|f_j\| \right) \cdot |f_k(\xi)| = \left| \left( \prod_{j \neq k} \|f_j\| \right) \cdot f_k(\xi) \right|.$$

Since  $T$  is monotone increasing in modulus and  $\mathbb{R}_+$ -homogeneous,  $|(Tf)(\eta)| \leq |T((\prod_{j \neq k} \|f_j\|) \cdot f_k)(\eta)| = (\prod_{j \neq k} \|f_j\|) \cdot |(Tf_k)(\eta)| \leq \prod_{j=1}^n \|f_j\| = \|f\| = \|Tf\|$  for all  $\eta \in \partial B$ . If  $y \in E(Tf) \cap \partial B$  then  $\|Tf\| = |(Tf)(y)| \leq (\prod_{j \neq k} \|f_j\|) \cdot |(Tf_k)(y)| \leq \|Tf\|$ , thus  $(\prod_{j \neq k} \|f_j\|) \cdot |(Tf_k)(y)| = \|Tf\| = \prod_{j=1}^n \|f_j\|$  and hence  $|(Tf_k)(y)| = \|f_k\| = \|Tf_k\|$ . Hence  $y \in E(Tf_k)$  and therefore,  $E(Tf) \cap \partial B \subset E(Tf_k)$ . Since this holds for every  $k = 1, \dots, n$  we conclude that  $E(Tf) \cap \partial B \subset \bigcap_{j=1}^n E(Tf_j)$ . Consequently, the family  $\{E(Tf) : f \in \mathcal{E}_x(A)\}$  has the finite intersection property, as claimed. Since each  $E(Tf)$  is a closed subset of  $Y$ , a compact set, the above family must have nonempty intersection.

Observe that the set  $E(Tf) = (Tf)^{-1}(\sigma_\pi(Tf))$  is a union of peak sets of  $B$  since  $(Tf)^{-1}(u)$  is a peak set for any  $u \in \sigma_\pi(Tf)$ . Hence, every  $y \in E_x$  belongs to an intersection  $F_y = \bigcap_{f \in \mathcal{E}_x(A)} F_{y,f} \subset E_x$  of peak sets  $F_{y,f} \subset E(Tf)$  of  $B$ . Therefore,  $F_y$  meets  $\delta B$  (cf. [10, p. 165]), and so does  $E_x$ .  $\square$

If, in addition,  $T$  preserves the peripheral spectra of algebra elements, sets similar to (4), involving only peaking functions, are considered in [15].

**Lemma 7.** *Let  $T : A \rightarrow B$  be  $\mathbb{R}_+$ -homogeneous and norm-additive in modulus surjection. If  $x \in \delta A$  and  $y \in E_x \cap \delta B$ , then  $T^{-1}(\mathcal{E}_y(B)) \subset \mathcal{E}_x(A)$ .*

**Proof.** Since  $T$  is norm-additive in modulus, then it is norm-preserving and, as noted above, monotone increasing in modulus. Therefore,  $E_x \neq \emptyset$  by Lemma 6. Fix a  $k \in \mathcal{E}_y(B)$  and let  $h \in T^{-1}(k)$ . To prove that  $h \in \mathcal{E}_x(A)$ , it suffices to show that  $|h(x)| = \|h\|$ . Take an open neighborhood  $V$  of  $x$  and a  $\mathbb{C}$ -peaking function  $p \in \|h\| \cdot \mathcal{P}_x(A)$  such that  $E(p) \subset V$ . Since  $y \in E_x = \bigcap_{f \in \mathcal{E}_x(A)} E(Tf) \subset E(Tp)$  it follows that  $|(Tp)(y)| = \|Tp\|$ . Hence,  $Tp \in \mathcal{E}_y(B)$ . Since  $T$  is norm-additive in modulus, it preserves the norms and therefore,  $|k(y)| = \|k\| = \|h\| = \|p\| = \|Tp\|$ . Hence,

$$\|h\| + \|p\| \geq \| |h| + |p| \| = \| |k| + |Tp| \| \geq |k(y)| + |(Tp)(y)| = \|k\| + \|Tp\| = \|h\| + \|p\|.$$

Consequently,  $\| |h| + |p| \| = \|h\| + \|p\|$  and there must be an  $x_V \in \partial A$  such that  $|h(x_V)| = \|h\|$  and  $|p(x_V)| = \|p\|$ . Therefore,  $x_V \in E(p) \subset V$  and any neighborhood  $V$  of  $x$  must contain a point  $x_V$  with  $|h(x_V)| = \|h\|$ . The continuity of  $h$  implies that  $|h(x)| = \|h\|$ , so  $h \in \mathcal{E}_x(A)$ . Hence,  $T^{-1}(\mathcal{E}_y(B)) \subset \mathcal{E}_x(A)$ , as claimed.  $\square$

**Corollary 8.** *If  $T : A \rightarrow B$  is as in Lemma 7, then the set  $E_x$  is a singleton and belongs to  $\delta B$  for any generalized peak point  $x \in \delta A$ .*

**Proof.** Let  $y \in E_x$ . If there exists a  $z \in E_x \setminus \{y\}$  then there is a function  $k \in \mathcal{E}_y(B)$  such that  $|k(z)| < \|k\|$ . For any  $h \in T^{-1}(k) \subset \mathcal{E}_x(A)$ , we have  $E(k) = E(Th) \supset E_x$ . Hence, the function  $|k| = |Th|$  is identically equal to  $\|k\|$  on  $E_x$ , in contradiction with  $|k(z)| < \|k\|$ . Therefore, the set  $E_x$  contains no points other than  $y$ .  $\square$

Let  $T : A \rightarrow B$  be as in Lemma 7 and  $x \in \delta A$ . Define  $\tau(x)$  to be the single element of the set  $E_x$ , i.e.,

$$\{\tau(x)\} = E_x = \bigcap_{h \in \mathcal{E}_x(A)} E(Th). \quad (5)$$

Hence  $T$  induces an associated mapping  $\tau : x \mapsto \tau(x)$  from  $\delta A$  to  $\delta B$ . Lemma 7 shows that  $\mathcal{E}_{\tau(x)}(B) = \mathcal{E}_y(B) \subset T(\mathcal{E}_x(A))$ . If  $h \in \mathcal{E}_x(A)$ , then (4) implies  $E(Th) \supset E_x = \{\tau(x)\}$ . Consequently,

$$|(Th)(\tau(x))| = \|Th\| = \|h\| = |h(x)| \quad (6)$$

for any  $h \in \mathcal{E}_x(A)$ .

We mention that if, in addition,  $\sigma_\pi(Th) = \sigma_\pi(h)$  for some  $h \in \mathbb{C} \cdot \mathcal{P}_x(A)$ , then  $(Th)(\tau(x)) = h(x)$ .

**Corollary 9.** If  $T : A \rightarrow B$  is as in Lemma 7 then  $T(\mathcal{E}_x(A)) = \mathcal{E}_{\tau(x)}(B)$ .

**Proof.** Let  $h \in \mathcal{E}_x(A)$  for some  $x \in \delta A$  and let  $k = Th$ . By Eq. (6) we have  $|k(\tau(x))| = |(Th)(\tau(x))| = |h(x)| = \|h\| = \|k\|$ . Consequently,  $k \in \mathcal{E}_{\tau(x)}(B)$  and therefore  $T(\mathcal{E}_x(A)) \subset \mathcal{E}_{\tau(x)}(B)$ . The opposite inclusion follows from Lemma 7.  $\square$

The next proposition shows that Eq. (6) in fact holds for every  $f \in A$  and  $x \in \delta A$ .

**Proposition 10.** If  $T : A \rightarrow B$  is an  $\mathbb{R}_+$ -homogeneous and norm-additive in modulus surjection between uniform algebras, then the induced associated mapping  $\tau$  is continuous and the equation

$$|(Tf)(\tau(x))| = |f(x)| \quad (7)$$

holds for every  $x \in \delta A$  and all  $f \in A$ . If, in addition,  $T$  is bijective, then  $\tau$  is a homeomorphism from  $\delta A$  onto  $\delta B$ , and then

$$|(Tf)(y)| = |f(\psi(y))| \quad (8)$$

for every  $y \in \delta B$ , where  $\psi : \delta B \rightarrow \delta A$  is the inverse mapping of  $\tau$ .

**Proof.** First we will show that  $|(Tf)(\tau(x))| = |f(x)|$  for every  $x \in \delta A$  and for all  $f \in A$ . Let  $x \in \delta A$ ,  $f \in A$  and  $r > 1$ . If  $h_0 \in r\|f\| \cdot \mathcal{P}_x(A)$  is a function as in Lemma 1, then  $\|Th_0\| = \|h_0\| = r\|f\| = r\|Tf\|$  and  $Th_0 \in r\|Tf\| \cdot \mathcal{E}_{\tau(x)}(B)$ . Since  $T$  is norm-additive in modulus, the strong version of Bishop's Lemma 1, (3) and Corollary 9 imply

$$|f(x)| + r\|f\| = \inf_{\substack{h \in \mathcal{E}_x(A) \\ \|h\| = r\|f\|}} \| |f| + |h| \| = \inf_{\substack{h \in \mathcal{E}_x(A) \\ \|h\| = r\|f\|}} \| |Tf| + |Th| \| = \inf_{\substack{k \in \mathcal{E}_{\tau(x)}(B) \\ \|k\| = r\|Tf\|}} \| |Tf| + |k| \| = |(Tf)(\tau(x))| + r\|Tf\|.$$

Consequently,  $|(Tf)(\tau(x))| = |f(x)|$ , as claimed.

To show the continuity of  $\tau$  let  $x \in \delta A$  and  $p \in (0, 1)$ . Choose an open set  $V$  of  $\tau(x)$  in  $\delta B$  and a peaking function  $k \in \mathcal{P}_{\tau(x)}(B)$  such that  $E(k) \subset V$  and  $|k(y)| < p$  on  $\delta B \setminus V$ . If  $h \in T^{-1}(k)$ , then  $h \in \mathcal{E}_x(A)$ , and according to Eq. (6),  $|h(x)| = |(Th)(\tau(x))| = |k(\tau(x))| = 1 > p$ . Therefore, the open set  $W = \{\xi \in \delta A : |h(\xi)| > p\}$  contains  $x$ . The first part of the proof shows that for every  $\xi \in W$ , we have  $|k(\tau(\xi))| = |(Th)(\tau(\xi))| = |h(\xi)| > p$ , which implies that  $\tau(\xi) \in V$  since  $|k(\eta)| < p$  on  $\delta B \setminus V$ . Consequently,  $\tau(W) \subset V$ , and thus  $\tau$  is continuous.

Now suppose that  $T$  is bijective. It is easy to see that  $T^{-1} : B \rightarrow A$  is also an  $\mathbb{R}_+$ -homogeneous operator. Since the equation  $\| |Tf| + |Tg| \| = \| |f| + |g| \|$  is symmetric with respect to  $f$  and  $Tf$ , the operator  $T^{-1} : B \rightarrow A$  is also norm-additive in modulus. By the first part of the proof,  $T^{-1}$  induces its own associated continuous map  $\psi : \delta B \rightarrow \delta A$  such that  $|(T^{-1}k)(\psi(\eta))| = |k(\eta)|$  for all  $\eta \in \delta B$  and for any  $k \in \mathcal{E}_{\psi(\eta)}(B)$ . Let  $x \in \delta A$  and  $y = \tau(x) \in \delta B$ . If  $h \in \mathcal{E}_x(A)$ , then  $k = Th \in \mathcal{E}_y(B)$  by Corollary 9; Thus  $|h(\psi(y))| = |(T^{-1}k)(\psi(y))| = |k(y)| = |(Th)(y)| = |(Th)(\tau(x))| = |h(x)| = \|h\|$ . Hence  $\psi(y) \in E(h)$  for any  $h \in \mathcal{E}_x(A)$ . Since  $\bigcap_{h \in \mathcal{E}_x(A)} E(h) = \{x\}$ , we deduce that  $\psi(\tau(x)) = \psi(y) = x$  for every  $x \in \delta A$ . Similar arguments show that  $\tau(\psi(y)) = y$  for all  $y \in \delta B$ . Consequently,  $\tau$  and  $\psi$  are both bijective and  $\psi = \tau^{-1}$ . Therefore,  $\tau$  is a homeomorphism. Eq. (8) follows immediately from Eq. (7).  $\square$

A version of Proposition 10 for norm-multiplicative operators  $T$  is given in [9]. Proposition 10 yields one of the basic results in [15] stated below, where, in particular,  $T$  is assumed to be peripherally-additive.

**Corollary 11.** (See [15, Lemma 14 and Corollary 6].) For any peripherally-additive and norm-additive in modulus surjection  $T : A \rightarrow B$  there exists a homeomorphism  $\tau : \delta A \rightarrow \delta B$  such that the equation  $|(Tf)(\tau(x))| = |f(x)|$  holds for every  $f \in A$  and  $x \in \delta A$ .

In general, the moduli in Eqs. (7) and (8) cannot be omitted, since if so, then  $T$  would also be multiplicative. For instance, the operator  $Tf = if$  satisfies the hypotheses of Proposition 10 without being multiplicative. However, if  $T$  preserves the peripheral spectra of all  $\mathbb{C}$ -peaking functions, then, by the remark prior to Corollary 9,  $(Th)(\tau(x)) = h(x)$  for every  $x \in \delta A$  and all  $h \in \mathbb{C} \cdot \mathcal{P}_X(A)$ .

Note that a mapping similar to  $\tau$ , but involving only peaking functions, is considered in [1] and [15], where  $T$  is assumed in addition to be peripherally-additive in the sense that  $\sigma_\pi(Tf + Tg) = \sigma_\pi(f + g)$  for all  $f, g \in A$  and consequently, to preserve the peripheral spectra of all  $f \in A$ .

#### 4. When are norm-linear or norm-additive operators algebra isomorphisms?

Here we provide sufficient conditions for norm-linear and norm-additive operators between uniform algebras to be isometric algebra isomorphisms.

**Lemma 12.** *If  $T : A \rightarrow B$  is norm-additive operator and  $f, g \in A$ , then*

- (a)  $T0 = 0$ ,
- (b)  $T(-f) = -Tf$ ,
- (c)  $T$  is norm-preserving,
- (d)  $T$  preserves the distances, i.e.  $\|Tf - Tg\| = \|f - g\|$ ,
- (e)  $T$  is injective, and
- (f)  $T$  is continuous.

*If  $T$  is also surjective, then  $T$  is  $\mathbb{R}$ -linear, thus additive and  $\mathbb{R}_+$ -homogeneous.*

**Proof.** Let  $f = g = 0$ . Then  $0 = \|f + g\| = \|Tf + Tg\| = \|2T0\| = 2\|T0\|$ , so  $T0 = 0$ . For property (b) note that  $\|Tf + T(-f)\| = \|f + (-f)\| = \|0\| = 0$ , so  $Tf + T(-f) = 0$ , which implies that  $T(-f) = -Tf$ . If  $g = 0$ , then  $\|Tf + T0\| = \|Tf\| = \|f\|$ . Property (d) follows from (b) since  $\|f - g\| = \|Tf + T(-g)\| = \|Tf - Tg\|$ . The injectivity of  $T$  follows from property (d) since if  $Tf = Tg$ , then  $\|f - g\| = \|Tf - Tg\| = 0$  and thus  $f = g$ . The continuity of  $T$  is a direct consequence of (d). Finally, the  $\mathbb{R}$ -linearity of  $T$  follows from the Mazur-Ulam theorem.  $\square$

As shown in [15], any norm-linear operator is norm-additive in modulus. Proposition 10 and Lemma 12 imply the following

**Theorem 13.** *If  $T : A \rightarrow B$  is a norm-linear surjection between uniform algebras, then the induced associated mapping  $\psi : \delta A \rightarrow \delta B$  is a homeomorphism and  $T$  is a  $\psi$ -composition operator in modulus on  $\delta B$ , i.e.  $|(Tf)(y)| = |f(\psi(y))|$  for every  $f \in A$  and  $y \in \delta B$ .*

Let  $T : A \rightarrow B$  be a bijection,  $\tau : \delta A \rightarrow \delta B$  be as in Proposition 10, and  $\psi = \tau^{-1}$ . We call  $T$  a  $\psi$ -composition operator in modulus on  $\delta B$  if  $|(Tf)(y)| = |f(\psi(y))|$ , and  $\psi$ -composition operator on  $\delta B$  if  $(Tf)(y) = f(\psi(y))$  for all  $f \in A$  and  $y \in \delta B$ . Eq. (8) shows that  $T$  is a  $\psi$ -composition operator in modulus on  $\delta B$ .

The following lemma provides sufficient conditions under which the moduli in Eq. (8) can be omitted.

**Lemma 14.** *Let the  $\psi$ -composition operator in modulus  $T : A \rightarrow B$  on  $\delta B$  from Proposition 10 be additive. If  $T(1) = 1$  and  $T(i) = i$ , or if  $T$  preserves the peripheral spectra of all  $\mathbb{C}$ -peaking functions of  $A$ , (i.e.  $\sigma_\pi(Tf) = \sigma_\pi(f)$  for all  $f \in \mathbb{C} \cdot \mathcal{P}(A)$ ), then  $(Tf)(y) = f(\psi(y))$  for every  $f \in A$  and all  $y \in \delta B$ , thus  $T$  is a  $\psi$ -composition operator on  $\delta B$ .*

**Proof.** Suppose first that  $T(1) = 1$  and  $T(i) = i$ . Fix an  $f \in A$  and  $y_0 \in \delta B$  such that  $f(\psi(y_0)) \neq 0$ . Since  $T$  is  $\psi$ -composition operator in modulus, then  $|(T(1 + f))(y_0)| = |(1 + f)(\psi(y_0))| = |1 + f(\psi(y_0))|$ . On the other hand, the additivity of  $T$  implies  $|(T(1 + f))(y_0)| = |1 + (Tf)(y_0)|$ . Hence,  $|1 + f(\psi(y_0))| = |1 + (Tf)(y_0)|$ . Consequently, either  $(Tf)(y_0) = f(\psi(y_0))$ , or,  $(Tf)(y_0) = \overline{f(\psi(y_0))}$ , which holds for every  $f \in A$  and  $y_0 \in \delta B$ . We claim that  $(Tf)(y_0) = \overline{f(\psi(y_0))}$  and suppose, in addition, that  $(T(i + f))(y_0) = \overline{(i + f)(\psi(y_0))} = -i + \overline{f(\psi(y_0))}$ . Then  $|i + (Tf)(y_0)| = |(T(i + f))(y_0)| = |-i + \overline{f(\psi(y_0))}| = |-i + (Tf)(y_0)| = |i + (Tf)(y_0)|$ . Therefore,  $(Tf)(y_0) = \overline{(Tf)(y_0)}$ , thus  $\text{Im}\{(Tf)(y_0)\} = 0$  which contradicts the assumption for  $f$ . If  $(T(i + f))(y_0) = (i + f)(\psi(y_0)) = i + f(\psi(y_0))$ , then  $|i + (Tf)(y_0)| = |(T(i + f))(y_0)| = |(i + f)(\psi(y_0))| = |i + f(\psi(y_0))| = |i + \overline{(Tf)(y_0)}|$ , which is impossible. Consequently,  $(Tf)(y_0) = f(\psi(y_0))$  for every  $f \in A$ , as claimed.

Suppose now that  $T$  preserves the peripheral spectra of  $\mathbb{C}$ -peaking functions. Then  $T(1) = 1$  and  $T(i) = i$  since  $|T(1)| = |T(i)| = 1$  by (7), and the first part of the proof applies, but we will provide also an alternative proof. Fix an  $y_0 \in \delta A$  and let  $f \in A$ . Since  $T$  is a  $\psi$ -composition operator in modulus on  $\delta B$ , without loss of generality we can assume that  $f(x_0) \neq 0$ , where  $x_0 = \tau^{-1}(y_0)$ . The strong version of the additive Bishop's Lemma, and more precisely its consequence Proposition 4 with  $r = 1$ , implies that there is an  $h \in \|f\| \cdot \mathcal{P}_{x_0}(A)$  such that  $\sigma_\pi(f + \alpha h) = f(x_0) + \alpha h(x_0)$ , where  $\alpha = \exp[i \arg(f(x_0))]$ . If  $T$  preserves the peripheral spectra of all  $\mathbb{C}$ -peaking functions, then as noted at the end of the previous section,  $(Th)(\tau(x_0)) =$

$h(x_0)$ ,  $(T(\alpha h))(\tau(x_0)) = \alpha h(x_0)$ , and  $(T(f + \alpha h))(\tau(x_0)) = f(x_0) + \alpha h(x_0)$ , since  $h$ ,  $\alpha h$  and  $f + \alpha h$  belong to  $\mathbb{C} \cdot \mathcal{P}_{x_0}(A)$ . Since  $T$  is additive,  $(T(f + \alpha h))(\tau(x_0)) = (Tf)(\tau(x_0)) + (T(\alpha h))(\tau(x_0)) = (Tf)(\tau(x_0)) + \alpha h(x_0)$ . Consequently,  $f(x_0) + \alpha h(x_0) = (T(f + \alpha h))(\tau(x_0)) = (Tf)(\tau(x_0)) + \alpha h(x_0)$ , and thus  $(Tf)(\tau(x_0)) = f(x_0)$ . Hence  $(Tf)(y_0) = f(\psi(y_0))$ , where  $\psi = \tau^{-1}$ .  $\square$

Since the elements in a uniform algebra are uniquely determined by their restrictions on the Choquet boundary, uniform algebras are isometrically and algebraically isomorphic to the restriction algebras on their Choquet boundaries. Therefore, any map  $T : A \rightarrow B$  induces automatically an associated map  $T^\dagger : A|_{\delta A} \rightarrow B|_{\delta B}$  between the restriction algebras on their corresponding Choquet boundaries.

Because an additive and norm-additive in modulus operator is norm-additive, Lemma 12, Proposition 10 and Lemma 14 imply the following

**Corollary 15.** *Any additive and norm-additive in modulus surjection  $T : A \rightarrow B$  is a  $\psi$ -composition operator in modulus on  $\delta B$ . If, in addition,  $T(1) = 1$  and  $T(i) = i$ , or if  $T$  preserves the peripheral spectra of all  $\mathbb{C}$ -peaking functions of  $A$ , then  $T$  is a  $\psi$ -composition operator on  $\delta B$ , hence, the induced by  $T$  operator  $T^\dagger : A|_{\delta A} \rightarrow B|_{\delta B}$  is an algebraic isomorphism and the restricted algebras  $A|_{\delta A}$  and  $B|_{\delta B}$  are algebraically isomorphic.*

Note that, since the operator  $T$  in Corollary 15 is  $\mathbb{R}$ -homogeneous, it preserves the peripheral spectra of all  $\mathbb{C}$ -peaking functions if it preserves the peripheral spectra of  $\mathbb{T}$ -peaking functions, where  $\mathbb{T}$  is the unit circle. Since, by Lemma 12, every surjective norm-additive operator is additive, Proposition 10 and Corollary 15 yield:

**Theorem 16** (Norm-Additive Operators). *Any norm-additive and norm-additive in modulus surjection  $T : A \rightarrow B$  between uniform algebras is a  $\psi$ -composition operator in modulus on  $\delta B$ . If, in addition,  $T(1) = 1$  and  $T(i) = i$ , or if  $T$  preserves the peripheral spectra of all  $\mathbb{C}$ -peaking functions of  $A$ , then  $T$  is an isometric unital algebra isomorphism.*

Note that the operator  $T$  in Theorem 16 is not assumed *a priori* to be linear or continuous. Theorem 16 holds also for surjective norm-additive in modulus isometries  $T$  (i.e. such that  $\|Tf - Tg\| = \|f - g\|$ ) with  $T(0) = 0$ , and therefore extends in a certain sense to the case of uniform algebras the corollary of Banach–Stone’s theorem, mentioned in the beginning. Theorem 16 implies the following criteria for a norm-additive operator to be norm-linear.

**Corollary 17.** *Let  $T : A \rightarrow B$  be a norm-additive surjection for which  $T(1) = 1$  and  $T(i) = i$ , or which preserves the peripheral spectra of  $\mathbb{C}$ -peaking functions of  $A$ . Then  $T$  is norm-linear if and only if it is norm-additive in modulus.*

Indeed, by Theorem 16,  $T$  is a  $\psi$ -composition operator in modulus, and therefore,  $\|\lambda Tf + \mu Tg\| = \sup_{y \in \delta B} |\lambda(Tf)(y) + \mu(Tg)(y)| = \sup_{y \in \delta B} |\lambda f(\psi(y)) + \mu g(\psi(y))| = \sup_{x \in \delta A} |\lambda f(x) + \mu g(x)| = \|\lambda f + \mu g\|$ . Consequently,  $T$  is norm-linear. Conversely, any norm-linear operator is norm-additive and norm-additive in modulus, as shown in [1] and [15].

The second part of Theorem 16 generalizes the main result in [15] stated below, where, in particular,  $T$  is assumed to preserve the peripheral spectra of all algebra elements.

**Corollary 18.** (See [1,15].) *Any peripherally-additive and norm-additive in modulus surjection  $T : A \rightarrow B$  is an isometric algebra isomorphism.*

As shown in [15], if  $T$  satisfies the equation  $\|Tf + \alpha Tg\| = \|f + \alpha g\|$  for all  $f, g \in A$  and every  $\alpha \in \mathbb{T}$ , then  $T$  is norm-additive and norm-additive in modulus. Therefore, Theorem 16 implies also the following

**Corollary 19.** *Any surjection  $T : A \rightarrow B$  which satisfies the equation  $\|Tf + \alpha Tg\| = \|f + \alpha g\|$  for every  $f, g \in A$  and all  $\alpha \in \mathbb{T}$ , is a  $\psi$ -composition operator in modulus on  $\delta B$ . If, in addition,  $T(1) = 1$  and  $T(i) = i$ , or if  $T$  preserves the peripheral spectra of all  $\mathbb{C}$ -peaking functions of  $A$ , then  $T$  is an isometric unital algebra isomorphism.*

Since, according to Corollary 17, every norm-linear operator is norm-additive and norm-additive in modulus, Theorem 16 yields:

**Theorem 20** (Norm-Linear Operators). *A norm-linear surjection  $T : A \rightarrow B$  between uniform algebras for which  $T(1) = 1$  and  $T(i) = i$ , or which preserves the peripheral spectra of all  $\mathbb{C}$ -peaking functions of  $A$ , is a  $\psi$ -composition operator on  $\delta B$ , and therefore, an isometric unital algebra isomorphism.*

Note that the operator  $T$  in Theorem 20 is not assumed *a priori* to be linear or continuous. Multiplicative analogues of Corollary 18 are proven in [7] and [9].

Both the norm-linearity and the preservation of peripheral spectra of all  $\mathbb{C}$ -peaking functions are necessary conditions for  $T$  in Theorem 20. Indeed, the operator  $Tf = -f$  is norm-linear but does not preserve the peripheral spectra and also is



not multiplicative, while the operator  $Tf = \frac{f|f|}{\|f\|}$ ,  $f \neq 0$ , on  $C(X)$  preserves the peripheral spectra of algebra elements but is not norm-linear.

As noted before, a linear operator which preserves the norms of algebra elements is norm-linear. Therefore, Theorem 20 implies the next characterization of algebra isomorphisms, which extends in a certain sense the corollaries of Banach–Stone’s theorem and Gleason–Kahane–Żelazko’s theorem mentioned at the beginning.

**Corollary 21** (Linear Operators). *If a linear operator between two uniform algebras, which is surjective and norm-preserving, is unital, or preserves the peripheral spectra of  $\mathbb{C}$ -peaking functions, then it is automatically multiplicative and, in fact, an algebra isomorphism.*

Since weakly peripherally-linear operators, in the sense that  $\sigma_\pi(\lambda Tf + \mu Tg) \cap \sigma_\pi(\lambda f + \mu g) \neq \emptyset$  for all  $f, g \in A$  and  $\lambda, \mu \in \mathbb{C}$ , are norm-linear, Theorem 20 also implies the following:

**Corollary 22.** *Any weakly peripherally-linear surjection  $T : A \rightarrow B$  is a  $\psi$ -composition operator in modulus on  $\delta B$ . If, in addition,  $T(1) = 1$  and  $T(i) = i$ , or if  $T$  preserves the peripheral spectra of all  $\mathbb{C}$ -peaking functions of  $A$ , then  $T$  is an isometric unital algebra isomorphism.*

Corollary 19 implies that the weak peripheral linearity of  $T$  in Corollary 22 can be replaced by the more relaxed property  $\sigma_\pi(Tf + \alpha Tg) \cap \sigma_\pi(f + \alpha g) \neq \emptyset$  for every  $f, g \in A$  and all  $\alpha \in \mathbb{T}$ . A version of Corollary 22 for weakly peripherally-multiplicative operators in the sense that  $\sigma_\pi(TfTg) \cap \sigma_\pi(fg) \neq \emptyset$  is proven in [9]. Corollaries 21 and 22 improve previous results from [15], where, in particular,  $T$  is assumed to preserve the peripheral spectra of all  $f \in A$ .

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