



# Dirichlet and VMOA domains via Schwarzian derivative <sup>☆</sup>

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## ABSTRACT

Short proofs of the following results concerning a bounded conformal map  $g$  of the unit disc  $\mathbb{D}$  are presented: (1)  $\log g'$  belongs to the Dirichlet space if and only if the Schwarzian derivative  $S_g$  of  $g$  satisfies  $S_g(z)(1 - |z|^2) \in L^2(\mathbb{D})$ ; (2)  $\log g' \in VMOA$  if and only if  $|S_g(z)|^2(1 - |z|^2)^3$  is a vanishing Carleson measure on  $\mathbb{D}$ . Analogous results for Besov and  $Q_{p,0}$  spaces are also given.

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## 1. Introduction and results

Let  $\mathcal{H}(\mathbb{D})$  denote the space of all analytic functions in the unit disc  $\mathbb{D}$ . The Besov space  $B_p$  consists of those  $f \in \mathcal{H}(\mathbb{D})$  for which

$$\|f\|_{B_p}^p := \int_{\mathbb{D}} |f'(z)|^p (1 - |z|^2)^{p-2} dA(z) < \infty, \quad 1 < p < \infty,$$

in particular,  $B_2$  is the Dirichlet space  $\mathcal{D}$ . Moreover,  $f \in \mathcal{H}(\mathbb{D})$  belongs to the  $Q_{s,0}$  space if

$$\lim_{|a| \rightarrow 1^-} \int_{\mathbb{D}} |f'(z)|^2 (1 - |\varphi_a(z)|^2)^s dA(z) = 0, \quad 0 < s < \infty,$$

in particular,  $Q_{1,0}$  is VMOA, the space of analytic functions in the Hardy space  $H^1$  whose boundary values have vanishing mean oscillation on the unit circle  $\mathbb{T}$ . Here  $\varphi_a(z) := (a - z)/(1 - \bar{a}z)$  is the automorphism of  $\mathbb{D}$  which satisfies  $\varphi_a(\varphi_a(z)) = z$  for all  $a, z \in \mathbb{D}$ . Both  $B_p$  and VMOA are subspaces of the little Bloch space

$$\mathcal{B}_0 := \left\{ f \in \mathcal{H}(\mathbb{D}) : \lim_{|z| \rightarrow 1^-} f'(z)(1 - |z|^2) = 0 \right\},$$

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which is in turn a subspace of the Bloch space

$$\mathcal{B} := \left\{ f \in \mathcal{H}(\mathbb{D}) : \|f\|_{\mathcal{B}} := \sup_{z \in \mathbb{D}} |f'(z)|(1 - |z|^2) < \infty \right\}.$$

A positive Borel measure on  $\mathbb{D}$  is a vanishing (or compact)  $s$ -Carleson measure if

$$\lim_{|I| \rightarrow 0} \frac{\mu(S(I))}{|I|^s} = 0, \quad 0 < s < \infty,$$

where  $I$  is an interval on  $\mathbb{T}$  and  $S(I) := \{z \in \mathbb{D} : 1 - |I| \leq |z|, z/|z| \in I\}$ . It is well known that  $\mu$  is a vanishing  $s$ -Carleson measure if and only if

$$\lim_{|a| \rightarrow 1^-} \int_{\mathbb{D}} |\varphi'_a(z)|^s d\mu(z) = 0, \quad 0 < s < \infty, \tag{1}$$

see [3]. The Schwarzian derivative of a locally univalent function  $g : \mathbb{D} \rightarrow \Omega$  is defined as

$$S_g(z) := \left( \frac{g''(z)}{g'(z)} \right)' - \frac{1}{2} \left( \frac{g''(z)}{g'(z)} \right)^2 = f''(z) - \frac{1}{2} (f'(z))^2, \quad f := \log g'. \tag{2}$$

Adopting the terminology from [7] for a subspace  $X$  of  $\mathcal{H}(\mathbb{D})$ , we say that  $\Omega$  is an  $X$  domain if  $\log g' \in X$ . Many such domains have been characterized in terms of the Schwarzian derivative of  $g$  in the case when  $g$  is a conformal map of  $\mathbb{D}$ . Namely, Becker and Pommerenke [4] characterized bounded  $\mathcal{B}_0$  domains in 1978, and in 1991 Astala and Zinsmeister [1] gave a description of  $BMOA$  domains. Moreover,  $Q_p$  domains were characterized by Pau and Peláez [9] in 2009 by using a method developed by Bishop and Jones [5] in 1994. For geometric characterizations of bounded  $VMOA$  and  $\mathcal{B}_0$  domains we refer to a work by Pommerenke [10,11], and in the case of  $BMOA$  the reader is invited to see the monograph [7] or the original paper by Bishop and Jones [5].

The purpose of this note is to present short proofs of the following two results which complete in part [7, Theorem 8.1(e)] and [9, Theorems 1 and 2].

**Theorem 1.** *Let  $1 < p < \infty$ , and let  $g : \mathbb{D} \rightarrow \Omega$  be a conformal map such that  $g(\mathbb{T})$  is a closed Jordan curve. Then  $\Omega$  is a  $B_p$  domain if and only if*

$$I(g) := \int_{\mathbb{D}} |S_g(z)|^p (1 - |z|^2)^{2p-2} dA(z) < \infty. \tag{3}$$

In particular,  $\Omega$  is a Dirichlet domain if and only if  $S_g(z)(1 - |z|^2) \in L^2(\mathbb{D})$ .

**Theorem 2.** *Let  $0 < s \leq 1$ , and let  $g : \mathbb{D} \rightarrow \Omega$  be a conformal map such that  $g(\mathbb{T})$  is a closed Jordan curve. Then  $\Omega$  is a  $Q_{s,0}$  domain if and only if  $|S_g(z)|^2(1 - |z|^2)^{2+s} dA(z)$  is a vanishing  $s$ -Carleson measure. In particular,  $\Omega$  is a  $VMOA$  domain if and only if  $|S_g(z)|^2(1 - |z|^2)^3 dA(z)$  is a vanishing Carleson measure.*

The rest of this note is devoted to proofs. But before presenting them, few words about the notation. We write  $a \lesssim b$ , if  $a \leq Cb$  for some positive constant  $C$ , independent of  $a$  and  $b$ , and  $a \gtrsim b$  is understood in an analogous manner. In particular, if  $a \lesssim b$  and  $a \gtrsim b$ , then we write  $a \simeq b$ . We will repeatedly use the following well-known result on the norm of the weighted Bergman space  $A_{\alpha}^p$ :

$$\|h\|_{A_{\alpha}^p}^p := \int_{\mathbb{D}} |h(z)|^p (1 - |z|^2)^{\alpha} dA(z) \simeq \int_{\mathbb{D}} |h'(z)|^p (1 - |z|^2)^{p+\alpha} dA(z) + |h(0)|^p, \tag{4}$$

valid for all  $h \in \mathcal{H}(\mathbb{D})$ ,  $0 < p < \infty$  and  $-1 < \alpha < \infty$ .

**2. Proof of Theorem 1**

If  $\Omega$  is a  $B_p$  domain, i.e.,  $f = \log g' \in B_p$ , then (2) and (4) yield

$$I(g) \lesssim \|f''\|_{A_{2p-2}^p}^p + \|f'\|_{A_{2p-2}^{2p}}^p \lesssim \|f\|_{B_p}^p + \|f\|_{\mathcal{B}}^p \|f\|_{B_p}^p$$

from which (3) follows since  $B_p \subset \mathcal{B}$  with  $\|f\|_{\mathcal{B}} \lesssim \|f\|_{B_p}$ .

If (3) is satisfied, then the subharmonicity of  $|S_g(z)|^2$  yields  $S_g(z)(1 - |z|^2)^2 \rightarrow 0$ , as  $|z| \rightarrow 1^-$ , and hence  $f \in \mathcal{B}_0$  by [10, Theorem 11.1]. Therefore for a given  $\varepsilon > 0$ , there exists  $\delta \in (0, 1)$  such that  $|f'(z)|(1 - |z|^2) \leq \varepsilon$  whenever  $|z| \geq \delta/(2 - \delta)$ .

Let  $r \in (\frac{1}{2-\delta}, 1)$ , and denote  $f_r(z) := f(rz)$ . Since all polynomials belong to  $B_p$ , we may assume  $f'(0) = 0$ . Then the identities (2) and (4) imply

$$\begin{aligned} \|f_r\|_{B_p}^p &\simeq \|f_r''\|_{A_{2p-2}^p}^p \lesssim \|S_{g_r}\|_{A_{2p-2}^p}^p + \|f_r'\|_{A_{2p-2}^{2p}}^{2p} \\ &\lesssim \|S_{g_r}\|_{A_{2p-2}^p}^p + \varepsilon^p \|f_r\|_{B_p}^p + \int_{D(0,\delta)} |f_r'(z)|^{2p} (1 - |z|^2)^{2p-2} dA(z), \end{aligned}$$

where  $D(0, \delta) := \{z: |z| < \delta\}$ . This and Hardy's convexity theorem [6, Theorem 1.5] show that

$$\|f_r\|_{B_p}^p \leq C \left( \|S_{g_r}\|_{A_{2p-2}^p}^p + \varepsilon^p \|f_r\|_{B_p}^p + \int_{D(0,\delta)} |f_r'(z)|^{2p} (1 - |z|^2)^{2p-2} dA(z) \right)$$

for some positive constant  $C$ . Choosing  $\varepsilon^p = 1/(2C)$  and fixing  $\delta$  accordingly, re-arranging terms, applying Fatou's lemma and [8, Proposition 1.3], we obtain

$$\|f\|_{B_p}^p \leq \liminf_{r \rightarrow 1^-} \|f_r\|_{B_p}^p \leq 2C \left( \|S_g\|_{A_{2p-2}^p}^p + \int_{D(0,\delta)} |f'(z)|^{2p} (1 - |z|^2)^{2p-2} dA(z) \right).$$

Therefore  $f \in B_p$  and thus  $\Omega$  is a  $B_p$  domain as desired.

**3. Proof of Theorem 2**

If  $f \in Q_{s,0}$ , i.e.,  $|f'(z)|^2(1 - |z|^2)^s dA(z)$  is a vanishing  $s$ -Carleson measure by (1), then so is  $|f''(z)|^2(1 - |z|^2)^{2+s} dA(z)$  by [2, Theorem 2]. The identity (2) yields

$$|S_g(z)|^2(1 - |z|^2)^{2+s} \lesssim |f''(z)|^2(1 - |z|^2)^{2+s} + \|f\|_{\mathcal{B}}^2 |f'(z)|^2(1 - |z|^2)^s,$$

and since  $Q_{s,0} \subset \mathcal{B}$ , it follows that  $|S_g(z)|^2(1 - |z|^2)^{2+s} dA(z)$  is a vanishing  $s$ -Carleson measure.

To see the converse, note first that, by (1),  $|S_g(z)|^2(1 - |z|^2)^{2+s} dA(z)$  is a vanishing  $s$ -Carleson measure if and only if

$$\lim_{|a| \rightarrow 1^-} T(g, a) := \lim_{|a| \rightarrow 1^-} \int_{\mathbb{D}} |S_g(z)|^2(1 - |z|^2)^2(1 - |\varphi_a(z)|^2)^s dA(z) = 0.$$

Again the subharmonicity of  $|S_g|^2$  yields  $S_g(z)(1 - |z|^2)^2 \rightarrow 0$ , as  $|z| \rightarrow 1^-$ , and therefore  $f \in \mathcal{B}_0$  by [10, Theorem 11.1]. Since all polynomials belong to  $Q_{s,0}$ , we may assume  $f'(0) = 0$ . Then (4), with  $h(z) := f'(z)/(1 - \bar{a}z)^s$ , and (2) yield

$$\begin{aligned} I_1(f, a) &:= \int_{\mathbb{D}} |f'(z)|^2(1 - |\varphi_a(z)|^2)^s dA(z) \\ &\lesssim \int_{\mathbb{D}} |f''(z)|^2(1 - |z|^2)^2(1 - |\varphi_a(z)|^2)^s dA(z) + \int_{\mathbb{D}} \left| \frac{f'(z)}{1 - \bar{a}z} \right|^2 (1 - |z|^2)^2(1 - |\varphi_a(z)|^2)^s dA(z) \\ &\lesssim T(g, a) + \int_{\mathbb{D}} |f'(z)|^4(1 - |z|^2)^2(1 - |\varphi_a(z)|^2)^s dA(z) \\ &\quad + \int_{\mathbb{D}} \left| \frac{f'(z)}{1 - \bar{a}z} \right|^2 (1 - |z|^2)^2(1 - |\varphi_a(z)|^2)^s dA(z). \end{aligned} \tag{5}$$

Since  $f \in \mathcal{B}_0$ , for  $\varepsilon_1 > 0$ , there exists  $\delta \in (0, 1)$  such that  $|f'(z)|(1 - |z|^2) \leq \varepsilon_1$  whenever  $|z| \geq \delta$ . This and the triangular inequality applied to the denominator of the last term in (5) implies

$$\begin{aligned} I_1(f, a) &\lesssim T(g, a) + \int_{D(0,\delta)} |f'(z)|^4(1 - |z|^2)^2(1 - |\varphi_a(z)|^2)^s dA(z) \\ &\quad + \varepsilon_1^2 I_1(f, a) + \int_{D(0,\delta)} |f'(z)|^2(1 - |\varphi_a(z)|^2)^s dA(z) \\ &\quad + \varepsilon_1^2 \int_{\mathbb{D}} \frac{(1 - |a|^2)^s(1 - |z|^2)^s}{|1 - \bar{a}z|^{2s+2}} dA(z). \end{aligned} \tag{6}$$

The last integral in (6) is uniformly bounded for all  $a \in \mathbb{D}$  by the Forelli–Rudin estimates [8, Theorem 1.7], and therefore

$$\begin{aligned}
 I_1(f, a) &\lesssim T(g, a) + \int_{D(0, \delta)} |f'(z)|^4 (1 - |z|^2)^2 (1 - |\varphi_a(z)|^2)^s dA(z) \\
 &+ \varepsilon_1^2 I_1(f, a) + \int_{D(0, \delta)} |f'(z)|^2 (1 - |\varphi_a(z)|^2)^s dA(z) + \varepsilon_1^2.
 \end{aligned} \tag{7}$$

Let now  $\varepsilon > 0$  be given. Choosing  $\varepsilon_1$  sufficiently small and fixing  $\delta$  accordingly, and re-arranging terms in (7) we obtain  $I_1(f, a) < \varepsilon$  for all  $a \in \mathbb{D}$  sufficiently close to the boundary. Thus  $f \in \mathcal{Q}_{s,0}$  as desired.

Note that there is no need to use dilatations as in the proof of Theorem 1 since  $I_1(f, a)$  is uniformly bounded by [9, Theorem 1].

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