



## On the linear combinations of harmonic univalent mappings

Zhi-Gang Wang<sup>a,\*</sup>, Zhi-Hong Liu<sup>b</sup>, Ying-Chun Li<sup>b</sup>

<sup>a</sup> School of Mathematics and Statistics, Anyang Normal University, Anyang 455002, Henan, People's Republic of China

<sup>b</sup> Department of Mathematics, Honghe University, Mengzi 661100, Yunnan, People's Republic of China

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### ABSTRACT

In this paper, we derive several sufficient conditions of the linear combinations of harmonic univalent mappings to be univalent and convex in the direction of the real axis. Furthermore, some illustrative examples and image domains of the linear combinations satisfying the desired conditions are enumerated.

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### 1. Introduction and preliminaries

A complex-valued harmonic mapping  $f$  in the open unit disk  $\mathbb{D} := \{z \in \mathbb{C} : |z| < 1\}$  has a canonical decomposition  $f = h + \bar{g}$ , where  $h$  and  $g$  are analytic functions in  $\mathbb{D}$ . We call  $h$  the analytic part and  $g$  the co-analytic part of  $f$ , respectively. Throughout this paper, we will discuss harmonic mappings that are univalent and sense-preserving in  $\mathbb{D}$ . A necessary and sufficient condition for  $f$  to be locally univalent and sense-preserving in  $\mathbb{D}$  is that  $|g'| < |h'|$ , or equivalently if  $h'(z) \neq 0$  and the dilatation  $\omega = g'/h'$  has the property  $|\omega| < 1$  in  $\mathbb{D}$  (see [1,2]). For some recent investigations on planar harmonic mappings, see (for example) the earlier works [3–34] and the references cited therein.

Denote by  $\mathcal{S}_{\mathcal{H}}$  the class of functions  $f$  of the form  $f = h + \bar{g}$  which are harmonic univalent and sense-preserving in  $\mathbb{D}$ , normalized so that  $f(0) = f_{\bar{z}}(0) - 1 = 0$ . Such functions can be written as

$$f(z) = h(z) + \overline{g(z)} = z + \sum_{n=2}^{\infty} a_n z^n + \overline{\sum_{n=1}^{\infty} b_n z^n}.$$

The classical family  $\mathcal{S}$  of analytic univalent and normalized functions in  $\mathbb{D}$  is a subclass of  $\mathcal{S}_{\mathcal{H}}$  with  $g(z) \equiv 0$ . For convenience, we denote

$$\mathcal{S}_{\mathcal{H}}^0 = \{f \in \mathcal{S}_{\mathcal{H}} : b_1 = f_{\bar{z}}(0) = 0\}.$$

Clearly, we have the relationship  $\mathcal{S} \subset \mathcal{S}_{\mathcal{H}}^0 \subset \mathcal{S}_{\mathcal{H}}$ .

The shear construction is also essential to the present work as it allows one to study harmonic mappings through their related analytic functions (see [35–38]). A domain  $\Omega \in \mathbb{C}$  is said to be convex in the direction of  $e^{i\beta}$ , if for all  $a \in \mathbb{C}$ , the set  $\Omega \cap \{a + te^{i\beta} : t \in \mathbb{R}\}$  is either connected or empty. Specifically, a domain is convex in the direction of the imaginary (or real) axis if all lines parallel to the imaginary (or real) axis have a connected intersection with the domain. The shear

\* Corresponding author.

E-mail addresses: [zhigangwang@foxmail.com](mailto:zhigangwang@foxmail.com) (Z.-G. Wang), [liuzhihongmath@163.com](mailto:liuzhihongmath@163.com) (Z.-H. Liu), [liyongchunmath@163.com](mailto:liyongchunmath@163.com) (Y.-C. Li).

construction produces a harmonic univalent function which maps  $\mathbb{D}$  onto a region convex in the direction of the real axis, it relies on the following result due to Clunie and Sheil-Small [1].

**Theorem A.** *A harmonic function  $f = h + \bar{g}$  locally univalent in  $\mathbb{D}$  is a univalent mapping of  $\mathbb{D}$  onto a domain convex in the direction of the real axis if and only if  $h - g$  is a conformal univalent mapping of  $\mathbb{D}$  onto a domain convex in the direction of the real axis.*

The following result determines whether a function  $f$  maps  $\mathbb{D}$  onto a domain convex in the direction of the imaginary axis.

**Theorem B** (See [37]). *Suppose  $f$  is analytic and non-constant in  $\mathbb{D}$ . Then*

$$\Re \left( (1 - z^2)f'(z) \right) \geq 0 \quad (z \in \mathbb{D})$$

if and only if

- (1)  $f$  is univalent in  $\mathbb{D}$ ;
- (2)  $f$  is convex in the direction of the imaginary axis;
- (3) there exists two points  $z'_n$  and  $z''_n$  converging to  $z = 1$  and  $z = -1$ , respectively, such that

$$\begin{aligned} \lim_{n \rightarrow \infty} \Re (f(z'_n)) &= \sup_{|z| < 1} \Re (f(z)), \\ \lim_{n \rightarrow \infty} \Re (f(z''_n)) &= \inf_{|z| < 1} \Re (f(z)). \end{aligned} \tag{1}$$

A common way to try to construct new functions with a given property is to take the linear combination of two functions with that property. Let  $f_1 = h_1 + \bar{g}_1$  and  $f_2 = h_2 + \bar{g}_2$  be two harmonic univalent mappings in  $\mathbb{D}$ , we construct a new harmonic mapping

$$f_3 = tf_1 + (1 - t)f_2 = [th_1 + (1 - t)h_2] + [t\bar{g}_1 + (1 - t)\bar{g}_2] = h_3 + \bar{g}_3 \tag{2}$$

with the dilatation  $\omega_3 = g'_3/h'_3$ .

In a recent monograph, by using Theorem B, Dorff [39, p. 242] proved the following sufficient condition for the linear combination  $f_3 = tf_1 + (1 - t)f_2$  to be univalent and convex in the direction of the imaginary axis. Moreover, one can find in [40] regarding the applications of this result.

**Theorem C.** *Let  $f_1 = h_1 + \bar{g}_1$  and  $f_2 = h_2 + \bar{g}_2$  be univalent harmonic mappings convex in the direction of the imaginary axis and  $\omega_1 = \omega_2$ . If  $f_1$  and  $f_2$  satisfy the conditions given by Eq. (1), then  $f_3 = tf_1 + (1 - t)f_2$  ( $0 \leq t \leq 1$ ) is univalent and convex in the direction of the imaginary axis.*

The following two lemmas are required in the proof of our main results, which are due to Pommerenke [41] and Clunie and Sheil-Small [1], respectively.

**Lemma 1.** *Let  $f$  be an analytic function in  $\mathbb{D}$  with  $f(0) = 0$  and  $f'(0) \neq 0$ . Suppose also that*

$$\varphi(z) = \frac{z}{(1 + ze^{i\theta})(1 + ze^{-i\theta})} \quad (\theta \in \mathbb{R}). \tag{3}$$

If

$$\Re \left( \frac{zf'(z)}{\varphi(z)} \right) > 0 \quad (z \in \mathbb{D}),$$

then  $f$  is convex in the direction of the real axis.

**Lemma 2.** *Let  $\Omega \subset \mathbb{C}$  be a domain convex in the direction of the real axis. Also let  $p$  be a real-valued continuous function in  $\Omega$ . Then the mapping  $\omega \mapsto \omega + p(\omega)$  is univalent in  $\Omega$  if and only if it is locally univalent. If it is univalent, then its range is convex in the direction of the real axis.*

In the present paper, we aim at deriving several sufficient conditions on  $f_1$  and  $f_2$  for the linear combination  $f_3 = tf_1 + (1 - t)f_2$  to be univalent and convex in the direction of the real axis. Some examples are also presented to demonstrate our main results.

## 2. Main results

We begin by stating the following result.

**Theorem 1.** Let  $f_j = h_j + \bar{g}_j \in \mathcal{S}_{\mathcal{H}} (j = 1, 2)$  with  $\omega_1 = \omega_2$ . Suppose also that  $F_j = h_j - g_j (j = 1, 2)$  satisfy the conditions  $\Re \left( \frac{zF'_j(z)}{\varphi(z)} \right) > 0$  for all  $z \in \mathbb{D}$ , where  $\varphi$  is given by Eq. (3). Then  $f_3 = tf_1 + (1 - t)f_2 (0 \leq t \leq 1)$  is univalent and convex in the direction of the real axis.

**Proof.** By noting that  $g'_1 = \omega_1 h'_1$  and  $g'_2 = \omega_2 h'_2 = \omega_1 h'_2$ , we have

$$\omega_3 = \frac{tg'_1 + (1 - t)g'_2}{th'_1 + (1 - t)h'_2} = \frac{t\omega_1 h'_1 + (1 - t)\omega_1 h'_2}{th'_1 + (1 - t)h'_2} = \omega_1,$$

which implies that  $f_3$  is locally univalent.

Next, we show that  $f_3$  is convex in the direction of the real axis. Since  $f_1, f_2 \in \mathcal{S}_{\mathcal{H}}$ , then  $F_j = h_j - g_j (j = 1, 2)$  are analytic functions in  $\mathbb{D}$ . By virtue of

$$\Re \left( \frac{z(h'_j - g'_j)}{\varphi(z)} \right) > 0 \quad (z \in \mathbb{D}; j = 1, 2),$$

we know that

$$\begin{aligned} \Re \left( \frac{z(h'_3 - g'_3)}{\varphi(z)} \right) &= \Re \left( \frac{z}{\varphi(z)} [t(h'_1 - g'_1) + (1 - t)(h'_2 - g'_2)] \right) \\ &= t\Re \left( \frac{z}{\varphi(z)} (h'_1 - g'_1) \right) + (1 - t)\Re \left( \frac{z}{\varphi(z)} (h'_2 - g'_2) \right) > 0. \end{aligned}$$

Hence, by Lemma 1, we know that  $h_3 - g_3$  is convex in the direction of the real axis. Moreover, by Theorem A, we deduce that  $f_3$  is univalent and convex in the direction of the real axis.  $\square$

Next, we generalize Theorem 1 as follows.

**Corollary 1.** Let  $f_j = h_j + \bar{g}_j (j = 1, 2, \dots, n)$  be harmonic univalent mappings in  $\mathbb{D}$  with  $\omega_1 = \omega_2 = \dots = \omega_n$ . Suppose also that  $F_j(z) = h_j - g_j (j = 1, 2, \dots, n)$  satisfy the conditions  $\Re \left( \frac{zF'_j(z)}{\varphi(z)} \right) > 0$  for all  $z \in \mathbb{D}$ , where  $\varphi$  is given by Eq. (3). Then  $F = t_1f_1 + \dots + t_nf_n$  is univalent and convex in the direction of the real axis, where  $0 \leq t_j \leq 1 (j = 1, 2, \dots, n)$  and  $t_1 + t_2 + \dots + t_n = 1$ .

**Theorem 2.** Let  $f_j = h_j + \bar{g}_j \in \mathcal{S}_{\mathcal{H}} (j = 1, 2)$  be harmonic univalent mappings convex in the direction of the real axis. Suppose also that  $\Re \left( (1 - \omega_1\bar{\omega}_2)h'_1\bar{h}'_2 \right) \geq 0$ . Then  $f_3 = tf_1 + (1 - t)f_2 \in \mathcal{S}_{\mathcal{H}} (0 \leq t \leq 1)$  is convex in the direction of the real axis.

**Proof.** For  $g'_1 = \omega_1 h'_1$  and  $g'_2 = \omega_2 h'_2$  satisfy the conditions  $|\omega_j| < 1 (j = 1, 2)$ , we have

$$|\omega_3| = \left| \frac{tg'_1 + (1 - t)g'_2}{th'_1 + (1 - t)h'_2} \right| = \frac{|t\omega_1 h'_1 + (1 - t)\omega_2 h'_2|}{|th'_1 + (1 - t)h'_2|}. \tag{4}$$

By assumption, we know that

$$\begin{aligned} |th'_1 + (1 - t)h'_2|^2 - |t\omega_1 h'_1 + (1 - t)\omega_2 h'_2|^2 &= (th'_1 + (1 - t)h'_2)\overline{(th'_1 + (1 - t)h'_2)} \\ &\quad - (t\omega_1 h'_1 + (1 - t)\omega_2 h'_2)\overline{(t\omega_1 h'_1 + (1 - t)\omega_2 h'_2)} \\ &= t^2(1 - |\omega_1|^2)|h'_1|^2 + (1 - t)^2(1 - |\omega_2|^2)|h'_2|^2 \\ &\quad + 2t(1 - t)\Re((1 - \omega_1\bar{\omega}_2)h'_1\bar{h}'_2) > 0, \end{aligned}$$

hence  $|\omega_3| < 1$ , which implies that  $f_3$  is locally univalent.

Now, we show that  $f_3 \in \mathcal{S}_{\mathcal{H}}$ . For

$$f_1(z) = z + \sum_{n=2}^{\infty} a_n z^n + \sum_{n=1}^{\infty} b_n \bar{z}^n \in \mathcal{S}_{\mathcal{H}}$$

and

$$f_2(z) = z + \sum_{n=2}^{\infty} A_n z^n + \sum_{n=1}^{\infty} B_n \bar{z}^n \in \mathcal{S}_{\mathcal{H}},$$

we get

$$\begin{aligned} f_3(z) &= tf_1(z) + (1-t)f_2(z) \\ &= t \left( z + \sum_{n=2}^{\infty} a_n z^n + \sum_{n=1}^{\infty} b_n \bar{z}^n \right) + (1-t) \left( z + \sum_{n=2}^{\infty} A_n z^n + \sum_{n=1}^{\infty} B_n \bar{z}^n \right) \\ &= z + \sum_{n=2}^{\infty} [ta_n + (1-t)A_n] z^n + \sum_{n=1}^{\infty} [tb_n + (1-t)B_n] \bar{z}^n \in \mathcal{S}_{\mathcal{H}}. \end{aligned}$$

By **Theorem A**, we know that  $F_j = h_j - g_j$  ( $j = 1, 2$ ) is univalent in  $\mathbb{D}$  and that  $\Omega_j = F_j(\mathbb{D})$  are domains convex in the direction of the real axis. Then  $f_j = F_j + 2\Re(g_j)$  and

$$f_j [F_j^{-1}(w)] = w + 2\Re(g_j(F_j^{-1}(w))) = w + q_j(w) \quad (j = 1, 2),$$

where  $q_j(w)$  ( $j = 1, 2$ ) are real-valued continuous functions. Thus, we know that

$$\begin{aligned} f_3 [F_3^{-1}(w)] &= tf_1 [F_1^{-1}(w)] + (1-t)f_2 [F_2^{-1}(w)] \\ &= t[w + q_1(w)] + (1-t)[w + q_2(w)] \\ &= w + [tq_1(w) + (1-t)q_2(w)] \\ &= w + q_3(w) \end{aligned}$$

is univalent in  $\Omega$ . Moreover, by **Lemma 2**, we conclude that  $f_3$  is univalent in  $\Omega$  and its range is a domain convex in the direction of the real axis.  $\square$

**Theorem 3.** Let  $f_j = h_j + \bar{g}_j \in \mathcal{S}_{\mathcal{H}}$  with  $h_j + g_j = \frac{z}{1-z}$  for  $j = 1, 2$ . Then  $f_3 = tf_1 + (1-t)f_2$  ( $0 \leq t \leq 1$ ) is univalent and convex in the direction of the real axis.

**Proof.** Since  $h_j + g_j = \frac{z}{1-z}$  and  $g'_j = \omega_j h'_j$  for  $j = 1, 2$ , we get

$$h'_j = \frac{1}{(1 + \omega_j)(1 - z)^2} \quad (j = 1, 2).$$

It follows that

$$|\omega_3| = \left| \frac{tg'_1 + (1-t)g'_2}{th'_1 + (1-t)h'_2} \right| = \left| \frac{t\omega_1 h'_1 + (1-t)\omega_2 h'_2}{th'_1 + (1-t)h'_2} \right| = \frac{|t\omega_1 + (1-t)\omega_2 + \omega_1\omega_2|}{|1 + (1-t)\omega_1 + t\omega_2|}. \tag{5}$$

Now, we show that  $|\omega_3| < 1$ . Let

$$\omega_j := \rho_j(\cos \theta_j + i \sin \theta_j) \quad (0 \leq \rho_j < 1; j = 1, 2).$$

Suppose also that

$$\begin{aligned} \phi(t) &= |1 + (1-t)\omega_1 + t\omega_2|^2 - |t\omega_1 + (1-t)\omega_2 + \omega_1\omega_2|^2 \\ &= \left| [1 + (1-t)\rho_1 \cos \theta_1 + t\rho_2 \cos \theta_2] + i[(1-t)\rho_1 \sin \theta_1 + t\rho_2 \sin \theta_2] \right|^2 - \left| [t\rho_1 \cos \theta_1 + (1-t)\rho_2 \cos \theta_2 \right. \\ &\quad \left. + \rho_1\rho_2 \cos(\theta_1 + \theta_2)] + i[t\rho_1 \sin \theta_1 + (1-t)\rho_2 \sin \theta_2 + \rho_1\rho_2 \sin(\theta_1 + \theta_2)] \right|^2 \\ &= [1 + (1-t)\rho_1 \cos \theta_1 + t\rho_2 \cos \theta_2]^2 + [(1-t)\rho_1 \sin \theta_1 + t\rho_2 \sin \theta_2]^2 \\ &\quad - \{ [t\rho_1 \cos \theta_1 + (1-t)\rho_2 \cos \theta_2 + \rho_1\rho_2 \cos(\theta_1 + \theta_2)]^2 \\ &\quad + [t\rho_1 \sin \theta_1 + (1-t)\rho_2 \sin \theta_2 + \rho_1\rho_2 \sin(\theta_1 + \theta_2)]^2 \} \\ &= [1 + (1-t)^2\rho_1^2 + t^2\rho_2^2 + 2t(1-t)\rho_1\rho_2 \cos(\theta_1 - \theta_2) + 2(1-t)\rho_1 \cos \theta_1 + 2t\rho_2 \cos \theta_2] \\ &\quad - [t^2\rho_1^2 + (1-t)^2\rho_2^2 + \rho_1^2\rho_2^2 + 2t(1-t)\rho_1\rho_2 \cos(\theta_1 - \theta_2) + 2(1-t)\rho_1\rho_2^2 \cos \theta_1 + 2t\rho_1^2\rho_2 \cos \theta_2] \\ &= [2\rho_2 \cos \theta_2(1 - \rho_1^2) - 2\rho_1 \cos \theta_1(1 - \rho_2^2) + 2(\rho_2^2 - \rho_1^2)]t + (1 - \rho_2^2)(\rho_1^2 + 2\rho_1 \cos \theta_1 + 1). \end{aligned}$$

Then, we know that  $\phi(t)$  is a continuous and monotone function of  $t$  in the interval  $[0, 1]$ .

Moreover, we observe that

$$\phi(0) = (1 - \rho_2^2)(\rho_1^2 + 2\rho_1 \cos \theta_1 + 1) = (1 - \rho_2^2)[(\rho_1 + \cos \theta_1)^2 + \sin^2 \theta_1] > 0,$$

and

$$\phi(1) = (1 - \rho_1^2)[(\rho_2 + \cos \theta_2)^2 + \sin^2 \theta_2] > 0,$$

which implies that  $\phi(t) > 0$  for all  $t \in [0, 1]$ . It follows that  $|\omega_3| < 1$ , and  $f_3$  is locally univalent.

In what follows, we prove that  $f_3$  is convex in the direction of the real axis. Note that

$$h'_j - g'_j = (h'_j + g'_j) \left( \frac{h'_j - g'_j}{h'_j + g'_j} \right) = (h'_j + g'_j) \left( \frac{1 - \omega_j}{1 + \omega_j} \right) = \frac{p_j}{(1 - z)^2} \quad (j = 1, 2),$$

where  $p_j = \frac{1 - \omega_j}{1 + \omega_j}$  ( $j = 1, 2$ ) satisfy the conditions  $\Re(p_j) > 0$ . Thus, by setting

$$\varphi(z) := \frac{z}{(1 - z)^2},$$

we have

$$\begin{aligned} \Re \left( \frac{z(h'_3 - g'_3)}{\varphi(z)} \right) &= \Re \left( \frac{z}{\varphi(z)} [t(h'_1 - g'_1) + (1 - t)(h'_2 - g'_2)] \right) \\ &= t\Re((1 - z)^2(h'_1 - g'_1)) + (1 - t)\Re((1 - z)^2(h'_2 - g'_2)) \\ &= t\Re(p_1) + (1 - t)\Re(p_2) > 0. \end{aligned}$$

Therefore, by Lemma 1, we know that  $h_3 - g_3$  is convex in the direction of the real axis. Furthermore, by Theorem A, we deduce that  $f_3$  is univalent and convex in the direction of the real axis. The proof of Theorem 3 is thus completed.  $\square$

We observe that  $f = h + \bar{g}$  is an asymmetric vertical strip mapping if

$$h + g = \frac{1}{2i \sin \theta} \log \left( \frac{1 + ze^{i\theta}}{1 + ze^{-i\theta}} \right) \quad (0 < \theta < \pi).$$

Thus, Theorem 3 can be stated in terms of the asymmetric vertical strip mappings instead of the right half-plane mappings.

**Corollary 2.** Let  $f_j = h_j + \bar{g}_j \in \mathcal{S}_{\mathcal{H}}$  ( $j = 1, 2$ ) with

$$h_j + g_j = \frac{1}{2i \sin \theta} \log \left( \frac{1 + ze^{i\theta}}{1 + ze^{-i\theta}} \right) \quad (j = 1, 2; 0 < \theta < \pi).$$

Then  $f_3 = tf_1 + (1 - t)f_2$  ( $0 \leq t \leq 1$ ) is univalent and convex in the direction of the real axis.

### 3. Two examples

In this section, we give two examples to illuminate our main results.

**Example 1.** Consider the functions

$$f_1 = z - \frac{1}{2}\bar{z}^2, \quad f_2 = z + \frac{1}{3}\bar{z}^3,$$

and

$$f_3 = tf_1 + (1 - t)f_2.$$

Obviously,  $f_1, f_2 \in \mathcal{S}_{\mathcal{H}}$ ,  $\omega_1 = -z$ ,  $\omega_2 = z^2$  and  $\omega_3 = -tz + (1 - t)z^2$ . Then we have

$$|\omega_3| \leq t|z| + (1 - t)|z|^2 < t|z| + (1 - t)|z| < |z| < 1,$$

and

$$\Re \left( (1 - \omega_1\bar{\omega}_2)h'_1\bar{h}'_2 \right) = \Re(1 + |z|^2\bar{z}) \geq 0,$$

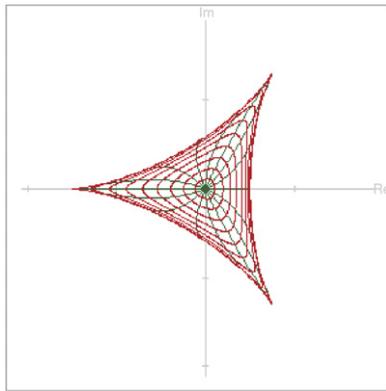
which are satisfied by the conditions of Theorem 2. So  $f_3$  is univalent and convex in the direction of the real axis. The images of  $\mathbb{D}$  under  $f_1$  and  $f_2$  are shown in Figs. 1 and 2, respectively, the image of  $\mathbb{D}$  under  $f_3$  with  $t = 1/3$  is presented in Fig. 3.

**Example 2.** Let  $f_1 = h_1 + \bar{g}_1$ , where  $h_1 + g_1 = \frac{z}{1 - z}$  and  $\omega_1 = z$ . Then we have

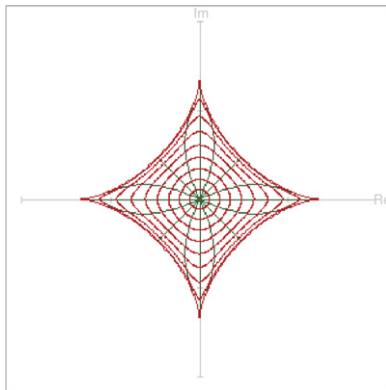
$$h_1 = \frac{1}{4} \log \frac{1 + z}{1 - z} + \frac{1}{2} \frac{z}{1 - z},$$

and

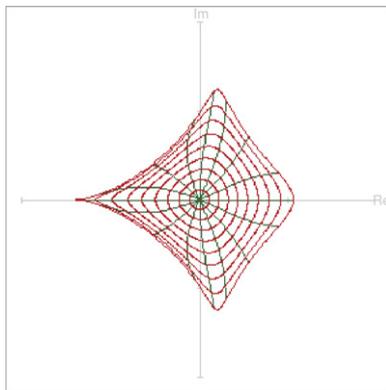
$$g_1 = -\frac{1}{4} \log \frac{1 + z}{1 - z} + \frac{1}{2} \frac{z}{1 - z}.$$



**Fig. 1.** Image of  $\mathbb{D}$  under  $f_1 = z - \frac{1}{2}z^2$ .



**Fig. 2.** Image of  $\mathbb{D}$  under  $f_2 = z + \frac{1}{3}z^3$ .



**Fig. 3.** Image of  $\mathbb{D}$  under  $f_3 = \frac{1}{3}f_1 + \frac{2}{3}f_2$ .

Suppose also that  $f_2 = h_2 + \overline{g_2}$ , where  $h_2 + g_2 = \frac{z}{1-z}$  and  $\omega_2 = -z^2$ . Then we get

$$h_2 = \frac{1}{8} \log \frac{1+z}{1-z} + \frac{\frac{3}{4}z - \frac{1}{2}z^2}{(1-z)^2},$$

and

$$g_2 = -\frac{1}{8} \log \frac{1+z}{1-z} + \frac{\frac{1}{4}z - \frac{1}{2}z^2}{(1-z)^2}.$$

Since  $f_1$  and  $f_2$  satisfy the conditions of [Theorem 3](#) ([Figs. 4 and 5](#)), we know that  $f_3 = tf_1 + (1-t)f_2$  ( $0 \leq t \leq 1$ ) is convex in the direction of the real axis, the image of  $\mathbb{D}$  under  $f_3$  with  $t = 1/2$  is shown in [Fig. 6](#).

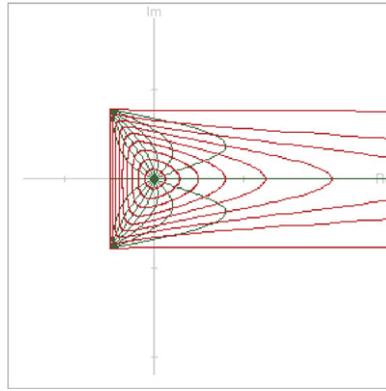


Fig. 4. Image of  $\mathbb{D}$  under  $f_1$ .

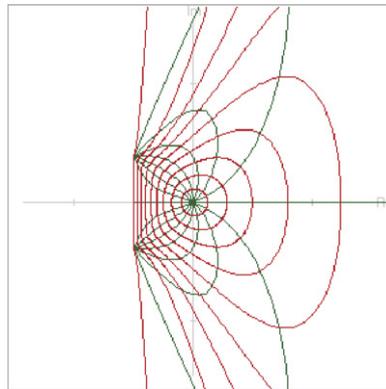


Fig. 5. Image of  $\mathbb{D}$  under  $f_2$ .

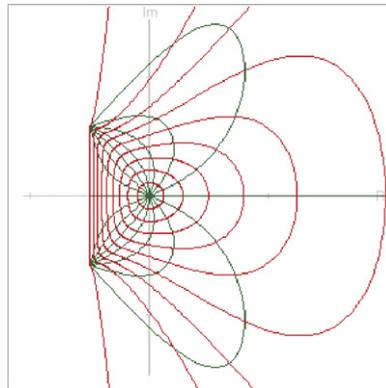


Fig. 6. Image of  $\mathbb{D}$  under  $f_3 = \frac{1}{2}f_1 + \frac{1}{2}f_2$ .

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