



Relaxation results for functions depending on polynomials changing sign on rank-one matrices

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ABSTRACT

In this paper, we are interested in computing the different convex envelopes of functions depending on polynomials, especially those having it is main part change sign on rank-one matrices. Our main result applies to functions of the type $W(F) = \varphi(P(F))$, $W(F) = \varphi(P(F)) + f(\det F)$ or $W(F) = \varphi(P(F)) + g(\text{adj}_n F)$ defined on the space of matrices, where $\varphi, f : \mathbb{R} \rightarrow \mathbb{R}$ and $g : \mathbb{R}^3 \rightarrow \mathbb{R}$ are three continuous functions, and $P = P_0 + P_1 + \dots + P_d$ is a polynomial such that P_d has the property of changing sign on rank-one matrices. Then the polyconvex, quasi-convex and rank-one convex envelopes of W are equal.

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1. Introduction

The basic problem of the calculus of variations is to minimize functionals of the type:

$$\text{Min } I(u) = \int_{\Omega} W(\nabla u(x)) \, dx$$

over a space of admissible functions, where $\Omega \subset \mathbb{R}^n$ is a smooth domain, $u : \Omega \rightarrow \mathbb{R}^m$ is the dependent variable and $\nabla u = (\frac{\partial u_i}{\partial x_j})$ denote the jacobien matrix of u . In nonlinear elasticity (see [2,8]), u stands for the displacement vector and ∇u is the deformation gradient.

To have existence of solutions to the minimization problem by using the direct method in the calculus of variations, one needs to have the weak lower semi-continuity of the functional I :

$$u_j \rightarrow u \text{ in } W^{1,p}(\Omega, \mathbb{R}^m) \Rightarrow \frac{1}{|\Omega|} \int_{\Omega} W(\nabla u(x)) \, dx \leq \frac{1}{|\Omega|} \int_{\Omega} W(\nabla u_j(x)) \, dx.$$

This property is equivalent to the quasi-convexity of the integrand W (see [3]):

$$W(F) \leq \frac{1}{|\Omega|} \int_{\Omega} W(F + \nabla \psi(x)) \, dx$$

for any matrix F and any test function $\psi \in W_0^{1,\infty}(\Omega)$. The quasi-convexity was introduced by C.B. Morrey (see [12]), but it is very difficult to check it for a given function is quasi-convex. Two other notions were introduced, one necessary and the other sufficient. The sufficient one is polyconvexity, it was introduced by J. Ball [2] when dealing with problems in nonlinear

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elasticity, the second one is known as rank-one-convexity. We say that a function is polyconvex if it can be written as a convex expression of the minors of the matrix and We say that a function W is rank-one convex if:

$$W(\lambda A + (1 - \lambda)B) \leq \lambda W(A) + (1 - \lambda)W(B)$$

provided that $(A - B)$ is a rank-one matrix.

If the quasi-convexity is not satisfied by the function W , the functional I do not satisfy the weak lower semi-continuity property, and the direct method of the calculus of variations can not be applied to the minimization problem. One way to overcome the situation is to consider the so-called relaxed problem:

$$\inf_{\Omega} \int Q W(\nabla u(x)) dx$$

where QW stands for the quasi-convex envelope of the function W , which is the largest quasi-convex function less than W . For more details, see [10]. This process is like the quasi-saddlification used for relaxation of constrained problems of optimal design in the absence of analytic description of a G-closure see [16]. The difficult part of the problem is to compute explicitly this envelope.

In the present work, we are interested in the relaxation of a class of integrands defined on the space of matrices depending on polynomial functions having the property of changing sign on the cone of rank-one matrices. We would like to compute explicitly the quasi-convex envelope of the associated functional. In Section 2 we deal with functions depending only on polynomials. Our main result in this situation is the following.

Theorem 1.1. *Let $P(X) = P_0(X) + P_1(X) + \dots + P_d(X)$ be a polynomial function defined on the space of $n \times m$ real matrices, where each P_i is the part of the polynomial of degree i , such that there exist two rank-one matrices E_1, E_2 verifying*

$$P_d(E_1) > 0, \quad P_d(-E_1) > 0, \quad P_d(E_2) < 0, \quad P_d(-E_2) < 0,$$

and let φ be a real-valued function bounded from below, $\mu = \inf \varphi > -\infty$. Then if we consider the function W defined on the space $\mathbb{R}^{n,m}$ of $n \times m$ matrices by the expression:

$$W(F) = \varphi(P(F)),$$

we have

$$CW(F) = PW(F) = QW(F) = RW(F) = \mu, \quad \forall F \in \mathbb{R}^{n,m}.$$

If the integrand is a sum of two terms, one depending on a polynomial and the other on the determinant of the matrix, then we prove in Section 3 that

Theorem 1.2. *Let $P(X) : \mathbb{R}^{n,n} \rightarrow \mathbb{R}$, $P = P_0 + P_1 + \dots + P_d$ be a polynomial function of degree d such that there exists a vector $T \in \mathbb{R}^n$ satisfying:*

$$P_d(0, T, 0, \dots, 0) < 0, \quad P_d(0, -T, 0, \dots, 0) < 0, \quad P_d(T, 0, \dots, 0) > 0, \quad P_d(-T, 0, \dots, 0) > 0,$$

and let f, φ be two real-valued functions such that f is continuous and φ is bounded from below, $\mu = \inf \varphi$. If we set

$$W(F) = \varphi(P(F)) + f(\det F),$$

then

$$PW(F) = QW(F) = RW(F) = \mu + Cf(\det F).$$

Finally, in Section 4, we take, instead of the determinant, the function $\text{adj}_n F$ and we prove that

Theorem 1.3. *Let $P = P_0 + P_1 + \dots + P_d$ be a polynomial of any degree defined on the space $\mathbb{R}^{2,3}$ such that there exists a vector $L \in \mathbb{R}^2$ satisfying the following condition*

$$P_d(L, \alpha L, 0)^T > 0, \quad P_d(-L, -\alpha L, 0)^T > 0, \quad P_d(0, \alpha L, L)^T < 0, \quad P_d(0, -\alpha L, -L)^T < 0, \quad \forall \alpha \in \mathbb{R},$$

and let $\varphi : \mathbb{R} \rightarrow \mathbb{R}$, $f : \mathbb{R}^3 \rightarrow \mathbb{R}$ be two continuous functions such that φ is bounded from below, $\mu = \inf \varphi$, and

$$W(F) = \varphi(P(F)) + f(\text{adj}_2(F)).$$

Then

$$PW(F) = QW(F) = RW(F) = \mu + Cf(\text{adj}_2(F)).$$

In the different cases, the general method consist to show that the polyconvex and the rank-one convex envelopes are equals.

Several works deal with the topic of computing these relaxed integrands.

- (1) The optimal disigh problem: The quasi-convexification of the optimal disigh problem is given in [13] and [14]. For f defined by

$$F \in \mathbb{M}^{m,n} \mapsto f(F) = \begin{cases} 1 + \|F\|^2 & \text{if } F \neq 0, \\ 0 & \text{if } F = 0, \end{cases}$$

we have

- (a) If $n = 1$, then

$$Cf(F) = Pf(F) = Qf(F) = Rf(F) = \begin{cases} 1 + \|F\|_2^2 & \text{if } \|F\|_2^2 \geq 1, \\ 2\|F\|_2 & \text{if } \|F\|_2^2 \leq 1. \end{cases}$$

- (b) If $n > 1$, then $Pf(F) = Qf(F) = Rf(F) = h(F)$, where

$$h(F) = \begin{cases} 1 + \|F\|_2^2 & \text{if } \|F\|_2^2 + 2\|\text{adj}_2 F\|_2 \geq 1, \\ 2(\|F\|_2^2 + 2\|\text{adj}_2 F\|_2)^{\frac{1}{2}} - 2\|\text{adj}_2 F\|_2 & \text{if } \|F\|_2^2 + 2\|\text{adj}_2 F\|_2 \leq 1. \end{cases}$$

- (2) In [9] and [10], B. Dacorogna has relaxed some functionals depending either on determinant, euclidean norme or the function $\text{adj}_n F$.

- (a) For $f : \mathbb{M}^{m,n} \rightarrow \mathbb{R}$ such that $f(F) = g(\Phi(F))$, where $\Phi : \mathbb{M}^{m,n} \rightarrow \mathbb{R}$ is a quasi-affine function (i.e. Φ quasi-convex and $-\Phi$ is quasi-convex), g a real function. Then

$$Pf = Qf = Rf = Cg,$$

and in general

$$Qf > Cf.$$

- (b) Let $f : \mathbb{M}^{n+1,n} \rightarrow \mathbb{R}$ and $g : \mathbb{R}^{n+1} \rightarrow \mathbb{R}$ such that $f(F) = g(\text{adj}_n F)$. Then

$$Pf = Qf = Rf = Cg,$$

and in general

$$Qf > Cf.$$

- (c) Let $f : \mathbb{M}^{2,2} \rightarrow \mathbb{R}$, g and $h : \mathbb{R} \rightarrow \mathbb{R}$ where h is a convex function such that

$$F = (F_{ij})_{1 \leq i, j \leq 2}, \quad f(F) = g(F_{11}) + h(\det F)$$

then

$$Pf = Qf = Rf = Cg + h.$$

- (d) Let $g : \mathbb{R}_+ \rightarrow \mathbb{R}$ such that

$$g(0) = \inf\{g(x) : x \geq 0\}$$

and $f : \mathbb{M}^{m,n} \rightarrow \mathbb{R}$ is such that

$$f(F) = g(\|F\|_2),$$

then in general

$$Pf > Cf = Cg.$$

If there exists a real $\alpha \geq 0$, verifying:

$$g(\alpha) = g(0) \quad \text{and} \quad Cg(x) = g(x), \quad \forall x \geq \alpha,$$

then

$$Cf = Pf.$$

- (3) The Saint Venant–Kirchhoff energy is given by:

$$F \in \mathbb{M}^{n,n} \mapsto W(F) = \frac{\lambda}{2} (\text{tr } \bar{E})^2 + \mu \text{tr}(\bar{E})^2,$$

In [15], H. Ledret and A. Raoult have computed QW in terms of the singular values of the matrix:

$$0 \leq v_1(F) \leq v_2(F) \leq v_3(F).$$

For all $x \in \mathbb{R}$ let $[x]_+^2 = x^2$ if $x \geq 0$ and $[x]_+^2 = 0$ if $x \leq 0$, we define the function Ψ over the set:

$$\Sigma = \{v = (v_1, v_2, v_3) \in \mathbb{R}^3: 0 \leq v_1 \leq v_2 \leq v_3\}$$

by:

$$\begin{aligned} \Psi(v) = & \frac{E}{8} [v_3^2 - 1]_+^2 + \frac{E}{8(1-v^2)} [v_2^2 + v v_3^2 - (1+v)]_+^2 \\ & + \frac{E}{8(1-v^2)(1-2v)} [(1-v)v_1^2 + v(v_2^2 + v_3^2) - (1+v)]_+^2, \end{aligned}$$

then the quasi-convex envelope of W is given by:

$$QW(F) = \Psi(v_1(F), v_2(F), v_3(F)).$$

In the case $n = 2$, we have:

$$\begin{aligned} QW(F) = & \frac{E}{8(1-v^2)} [(v_2(F))^2 - 1]_+^2 \\ & + \frac{E(1-v)}{8(1+v)(1-2v)} \left[(v_1(F))^2 + \frac{v}{1-v} (v_2(F))^2 - \frac{1}{1-v} \right]_+^2. \end{aligned}$$

(4) The James–Eriksen energy can be written as: $F = (F_{ij})_{1 \leq i, j \leq 2} \in \mathbb{M}^{2,2}$

$$\varphi(F) = k_1(F_{11}^2 + F_{12}^2 + F_{21}^2 + F_{22}^2 - 2)^2 + k_2(F_{11}F_{12} + F_{21}F_{22})^2 + k_3 \left(\frac{F_{11}^2 + F_{21}^2 - F_{12}^2 - F_{22}^2}{2} - \varepsilon^2 \right)^2,$$

where $k_1, k_2, k_3 \geq 0$. In [6] we have:

- if $k_1 = 0$, then

$$C\varphi = P\varphi = Q\varphi = R\varphi = 0;$$

- if $k_3 = 0$, then

$$C\varphi = P\varphi = Q\varphi = R\varphi.$$

If we let for $F \in \mathbb{M}^{2,2}$ $C = F^T F$, then:

$$\begin{cases} R\varphi(F) = 0 & \text{if } \text{Tr}(C) \leq 2 \text{ and } 2|C_{12}| \leq 2 - \text{Tr}(C), \\ R\varphi(F) = k_1(\text{Tr } C - 2)^2 + k_2 C_{12}^2 & \text{if } \text{Tr } C \geq 2 \text{ and } k_2|C_{12}| \leq 2k_1(\text{Tr } C - 2), \\ R\varphi(F) = k_1(\text{Tr } C - 2)^2 + k_2 C_{12}^2 - \frac{(2k_1(\text{Tr } C - 2) - k_2|C_{12}|)}{4k_1 + k_2} & \text{if } \begin{cases} \text{Tr } C \geq 2 \text{ and } k_2|C_{12}| \geq 2k_1(\text{Tr } C - 2), \\ \text{where} \\ \text{Tr } C \leq 2 \text{ and } 2|C_{12}| \geq 2 - \text{Tr } C. \end{cases} \end{cases}$$

(5) In [5] we have considered functions of the type:

$$W(F) = f(F^1, F^2, \dots, F^{n-1}) + \varphi(\text{adj}_n F)$$

where $f : (\mathbb{R}^n)^{n-1} \rightarrow \mathbb{R}$ is a convex function and $\varphi : \mathbb{R}^{n+1} \rightarrow \mathbb{R}$ is continuous, then

$$PW(F) = QW(F) = RW(F) = f(F^1, F^2, \dots, F^{n-1}) + C\varphi(\text{adj}_n F).$$

More relaxation results can be found in [4–7,11,17].

2. Case of functions depending only on polynomials

Let us denote by $P(X)$ a polynomial function defined on the space $\mathbb{R}^{n,m}$ of $n \times m$ matrices. $P(X)$ can be expressed as follow:

$$P(X) = P_0(X) + P_1(X) + \dots + P_d(X)$$

such that $P_0(X)$ is of degree zero (a real constant), P_1 is of degree one, and $P_d(X)$ is of degree d .

Lemma 2.1. Let $P(X) \in \mathbb{R}[X_1, X_2, \dots, X_{nm}]$ such that

$$P(X) = P_0(X) + P_1(X) + \dots + P_d(X).$$

Suppose that there exists a rank-one matrix $E \in \mathbb{R}^{n,m}$ such that

$$P_d(E) > 0, \quad P_d(-E) > 0.$$

Let $\alpha \in \mathbb{R}$ and $F \in \mathbb{R}^{n,m}$ be such that $P(F) \leq \alpha$. Then there exist two matrices $B, C \in \mathbb{R}^{n,m}$, a real $\lambda \in [0, 1]$ such that

$$\begin{aligned} F &= \lambda B + (1 - \lambda)C, \\ \text{rank}(B - C) &\leq 0, \\ P(B) &= P(C) = \alpha. \end{aligned}$$

Proof. We first choose $B_t = F + tE$. Then for $t = 0$, we have $P(B_0) = P(F) \leq \alpha$ and when t tends to $+\infty$, we get:

$$\begin{aligned} \lim_{t \rightarrow +\infty} P(B_t) &= \lim_{t \rightarrow +\infty} [P_0(B_t) + P_1(B_t) + \dots + P_d(B_t)] \\ &= \lim_{t \rightarrow +\infty} [P_0(F + tE) + P_1(F + tE) + \dots + P_d(F + tE)] \\ &= \lim_{t \rightarrow +\infty} \left[t^d \left(\frac{1}{t^d} P_0 + \frac{1}{t^{d-1}} P_1 \left(\frac{1}{t} F + E \right) + \dots + P_d \left(\frac{1}{t} F + E \right) \right) \right] \\ &= \lim_{t \rightarrow +\infty} t^d P^d(E) = +\infty. \end{aligned}$$

By continuity, there exists a real $t_0 \in]0, +\infty]$ such that $P(B_{t_0}) = \alpha$. For this value of t , we let for $\lambda \in [0, 1[$,

$$C_\lambda = F - \frac{\lambda}{1 - \lambda} t_0 E.$$

Clearly, with this choice of C_λ , we have for all $\lambda \in [0, 1[$,

$$\begin{aligned} \lambda B_{t_0} + (1 - \lambda)C_\lambda &= F, \\ \text{rank}(B_{t_0} - C_\lambda) &= \text{rank} E \leq 1. \end{aligned}$$

We just have to choose λ such that $P(C_\lambda) = \alpha$. For $\lambda = 0$, one has

$$P(C_0) = P(F) \leq \alpha$$

and

$$\begin{aligned} \lim_{t \rightarrow 1^-} P(C_\lambda) &= \lim_{t \rightarrow 1^-} [P_0(C_\lambda) + P_1(C_\lambda) + \dots + P_d(C_\lambda)] \\ &= \lim_{t \rightarrow 1^-} \left[P_0 + P_1 \left(F - \frac{\lambda}{1 - \lambda} t_0 E \right) + \dots + P_d \left(F - \frac{\lambda}{1 - \lambda} t_0 E \right) \right] \\ &= \lim_{t \rightarrow 1^-} \left(\frac{\lambda t_0}{1 - \lambda} \right)^d \left[\left(\frac{1 - \lambda}{\lambda t_0} \right)^d P_0 + \left(\frac{1 - \lambda}{\lambda t_0} \right)^{d-1} P_1 \left(\frac{1 - \lambda}{\lambda t_0} F - E \right) + \dots \right. \\ &\quad \left. + \left(\frac{1 - \lambda}{\lambda t_0} \right)^2 P_{d-1} \left(\frac{1 - \lambda}{\lambda t_0} F - E \right) + P_d \left(\frac{1 - \lambda}{\lambda t_0} F - E \right) \right] \\ &= \lim_{t \rightarrow 1^-} \left(\frac{\lambda t_0}{1 - \lambda} \right)^d (P_d(-E)) = +\infty. \end{aligned}$$

By continuity again, there exists a real $\lambda_0 \in [0, 1[$ such that $P(C_{\lambda_0}) = \alpha$ which concludes the proof of Lemma 2.1. \square

Theorem 2.1. Let $P(X) = P_0(X) + P_1(X) + \dots + P_d(X)$ a polynomial function defined on the space of $n \times m$ real matrices such that there exist two rank-one matrices E_1, E_2 verifying

$$P_d(E_1) > 0, \quad P_d(-E_1) > 0, \quad P_d(E_2) < 0, \quad P_d(-E_2) < 0,$$

and let φ be a real-valued function bounded from bellow, $\mu = \inf \varphi > -\infty$. Then if we consider the function W defined on the space $\mathbb{R}^{n,m}$ of $n \times m$ matrices by the expression:

$$W(F) = \varphi(P(F)),$$

we have

$$CW(F) = PW(F) = QW(F) = RW(F) = \mu, \quad \forall F \in \mathbb{R}^{n,m}.$$

Proof. For $\varepsilon > 0$ we take α_ε such that

$$\mu + \varepsilon \geq \varphi(\alpha_\varepsilon).$$

Let $F \in \mathbb{R}^{n,m}$.

- Suppose first that $P(F) \leq \alpha_\varepsilon$. Thanks to Lemma 2.1, we have the existence of two matrices B_ε and C_ε and a real $\lambda_\varepsilon \in [0, 1]$ such that

$$\begin{aligned} F &= \lambda_\varepsilon B_\varepsilon + (1 - \lambda_\varepsilon)C_\varepsilon, \\ \text{rank}(B_\varepsilon - C_\varepsilon) &\leq 0, \\ P(B_\varepsilon) &= P(C_\varepsilon) = \alpha_\varepsilon. \end{aligned}$$

By the rank one convexity of RW , we have:

$$\begin{aligned} RW(F) &\leq \lambda_\varepsilon RW(B_\varepsilon) + (1 - \lambda_\varepsilon)RW(C_\varepsilon) \\ &\leq \lambda_\varepsilon \varphi(P(B_\varepsilon)) + (1 - \lambda_\varepsilon)\varphi(P(C_\varepsilon)) \\ &= \varphi(\alpha_\varepsilon) \leq \mu + \varepsilon. \end{aligned}$$

As ε is arbitrary, we conclude that:

$$P(F) \leq \alpha_\varepsilon \Rightarrow RW(F) \leq \mu.$$

- Suppose now that $P(F) \geq \alpha_\varepsilon$. Then $-P(F) \leq -\alpha_\varepsilon$. By Lemma 2.1 applied to $-P$ instead of P and E_2 instead of E_1 , we can find two matrices B_ε and C_ε , a real $\lambda_\varepsilon \in [0, 1]$ such that

$$\begin{aligned} F &= \lambda_\varepsilon B_\varepsilon + (1 - \lambda_\varepsilon)C_\varepsilon, \\ \text{rank}(B_\varepsilon - C_\varepsilon) &\leq 0, \\ P(B_\varepsilon) &= P(C_\varepsilon) = \alpha_\varepsilon, \end{aligned}$$

and we conclude as in the first case. Therefore we have

$$\forall F \in \mathbb{R}^{n,m}: \mu \leq CW(F) \leq PW(F) \leq QW(F) \leq RW(F) \leq \mu.$$

Then

$$\forall F \in \mathbb{R}^{n,m}: CW(F) = PW(F) = QW(F) = RW(F) = \mu. \quad \square$$

Examples.

(1) As an application of the previous relaxation result, we take

$$P(F) = \sum_{i=1}^n (|F_1|^i - |F_2|^i), \quad F = (F_1, F_2) \in \mathbb{R}^{2,2}.$$

If we take $\varphi(x) = (x - \alpha)^2$, then $\min \varphi = 0$. Let $W(F) = \varphi(P(F))$. As a consequence of Theorem 2.1, we have

$$PW(F) = QW(F) = RW(F) = 0.$$

(2) In a more general situation we take

$$P(F) = \sum_{i,j \in K} a_{ij}(F_i \cdot F_j)^2 - \sum_{i,j \notin K} a_{ij}(F_i \cdot F_j)^2,$$

where F_i are the entries of the matrix F , $F_i \cdot F_j$ stands for the scalar product, K is any nonempty subset of $\{1, 2, \dots, n\} \times \{1, 2, \dots, n\}$ and a_{ij} are positive constants. We take $\varphi(x) = (x - \alpha)^2$. Let $W(F) = \varphi(P(F))$ then we have

$$PW(F) = QW(F) = RW(F) = 0.$$

3. Cases including determinant

Now we consider the case of functions having a term depending on determinant, of the form:

$$W(F) = \varphi(P(F)) + f(\det F).$$

In this case, we prove that the polyconvex, quasi-convex and rank-one convex envelopes are equal to the function $\inf \varphi + Cf(\det F)$, where $\inf \varphi > -\infty$ and Cf is the convexification of the function f . Before proving this, we give some preliminary results.

Lemma 3.1. For $n \in \mathbb{N}$, $F \in \mathbb{R}^{n,n}$, we denote $F = (F_1, F_2, \dots, F_n)$, where F_1, F_2, \dots, F_n are the column vectors of F . Let $P(X) : \mathbb{R}^{n,n} \rightarrow \mathbb{R}$ be a polynomial function

$$P(X) = P_0(X) + P_1(X) + \dots + P_d(X),$$

$\alpha \in \mathbb{R}$ is a real number, F is an $n \times n$ matrix such that $F_2 \neq 0$, $P(F) \leq \alpha$, and

$$P_d(F_2, 0, 0, \dots, 0) > 0; \quad P_d(-F_2, 0, 0, \dots, 0) > 0.$$

Then, there exist two matrices B and C such that

$$F = \lambda B + (1 - \lambda)C,$$

$$\text{rank}(B - C) \leq 0,$$

$$\det B = \det C = \det F,$$

$$P(B) = P(C) = \alpha.$$

Proof. We choose

$$B_t = (F_1 + tF_2, F_2, \dots, F_n), \quad C_t = \left(F_1 - \frac{\lambda}{(1-\lambda)} tF_2, F_2, \dots, F_n \right).$$

Clearly, we have $F = \lambda B + (1 - \lambda)C$, $\det B_t = \det C_t = \det F$ and $\text{rank}(B_t - C_t) = 1$, $\forall t \in \mathbb{R}$. For $t \in [0, +\infty[$ we let

$$\eta(t) = P(B_t).$$

η is a continuous function of the variable t on the interval $[0, +\infty[$, $\eta(0) = P(F) \leq \alpha$ and

$$\begin{aligned} \lim_{t \rightarrow +\infty} \mu(t) &= \lim_{t \rightarrow +\infty} [P_0 + P_1(B_t) + \dots + P_d(B_t)] \\ &= \lim_{t \rightarrow +\infty} t^d \left[\frac{1}{t^d} P_0 + \frac{1}{t^{d-1}} P_1 \left(\frac{F_1}{t} + F_2, \frac{F_2}{t}, \dots, \frac{F_n}{t} \right) + \dots + P_d \left(\frac{F_1}{t} + F_2, \frac{F_2}{t}, \dots, \frac{F_n}{t} \right) \right] \\ &= \lim_{t \rightarrow +\infty} t^d P_d(F_2, 0, \dots, 0) = +\infty. \end{aligned}$$

By continuity, there exists a real t_0 such that $\eta(t_0) = \alpha$. For this value t_0 , we consider the second equation and we let for $\lambda \in [0, 1[$:

$$\xi(\lambda) = P \left(F_1 - \frac{\lambda}{1-\lambda} t_0 F_2, F_2, \dots, F_n \right).$$

The function ξ is continuous and such that $\xi(0) = P(F) \leq \alpha$, Moreover

$$\begin{aligned} \lim_{\lambda \rightarrow 1^-} \xi(t) &= \lim_{t \rightarrow +\infty} [P_0 + P_1(C_{t_0}) + \dots + P_d(C_{t_0})] \\ &= \lim_{\lambda \rightarrow 1^-} \left(\frac{1}{\varepsilon} \right)^d \left[\varepsilon^d P_0 + \varepsilon^{d-1} P_1(\varepsilon F_1 - F_2 \varepsilon F_2, \dots, \varepsilon F_n) + \dots + P_d(\varepsilon F_1 - F_2, \varepsilon F_2, \dots, \varepsilon F_n) \right] \\ &= \lim_{\lambda \rightarrow 1^-} \varepsilon^d P_d(-F_2, 0, \dots, 0) = +\infty, \end{aligned}$$

where $\varepsilon = \frac{1-\lambda}{\lambda t_0}$ verifies $\lim_{\lambda \rightarrow 1^-} \varepsilon = 0$.

By continuity again, there exists a real $\lambda \in [0, 1[$ such that $\xi(\lambda) = \alpha$, and hence

$$P(B) = P(C) = \alpha.$$

The proof of the lemma is finished. \square

Lemma 3.2. Let $P(X) : \mathbb{R}^{n,n} \rightarrow \mathbb{R}$ be a polynomial function

$$P(X) = P_0(X) + P_1(X) + \dots + P_d(X),$$

$\alpha \in \mathbb{R}$ is a real number, F is an $n \times n$ matrix such that $F_1 \neq 0$, $P(F) \geq \alpha$, and

$$P_d(0, F_1, 0, \dots, 0) < 0, \quad P_d(0, -F_1, 0, \dots, 0) < 0.$$

Then, there exist two matrices B and C such that

$$F = \lambda B + (1 - \lambda)C,$$

$$\text{rank}(B - C) \leq 1,$$

$$\det B = \det C = \det F,$$

$$P(B) = P(C) = \alpha.$$

The proof of the lemma is the same as in the above case. We have just to take $-P$ instead of P , $-\alpha$ instead of α , and then choose B_t and C_t as follows

$$B_t = (F_1, F_2 + tF_1, F_3, \dots, F_n), \quad C_t = \left(F_1, F_2 - \frac{\lambda}{(1-\lambda)}tF_1, F_3, \dots, F_n \right).$$

Lemma 3.3. Let $P(X) : \mathbb{R}^{n,n} \rightarrow \mathbb{R}$, $P = P_0 + P_1 + \dots + P_d$ be a polynomial function of degree d such that there exists a vector $T \in \mathbb{R}^n$ satisfying:

$$P_d(0, T, 0, \dots, 0) < 0, \quad P_d(0, -T, 0, \dots, 0) < 0, \quad P_d(T, 0, \dots, 0) < 0, \quad P_d(-T, 0, \dots, 0) < 0.$$

Then, for every $n \times n$ matrix F such that $\det(F_1, T, F_3, \dots, F_n) \neq 0$ there exist two real matrices $B, C \in \mathbb{R}^{n,n}$, and a real $\lambda \in [0, 1]$ such that

$$F = \lambda B + (1 - \lambda)C,$$

$$\text{rank}(B - C) \leq 1,$$

$$\det B = \det C = \det F,$$

$$P_d(B_2, 0, \dots, 0) > 0, \quad P_d(0, B_1, 0, \dots, 0) < 0, \quad P_d(-B_2, 0, \dots, 0) > 0, \quad P_d(0, -B_1, 0, \dots, 0) < 0,$$

$$P_d(C_2, 0, \dots, 0) > 0, \quad P_d(0, C_1, 0, \dots, 0) < 0, \quad P_d(-C_2, 0, \dots, 0) > 0, \quad P_d(0, -C_1, 0, \dots, 0) < 0,$$

$$B_1(x) \neq 0, \quad B_2(x) \neq 0, \quad C_1(x) \neq 0, \quad C_2(x) \neq 0.$$

Proof. We choose $B(x)$ and $C(x)$ as follows

$$B(x) = (F_1 - (1 - \lambda)xT, F_2 - (1 - \lambda)\alpha xT, F_3, \dots, F_n),$$

$$C(x) = (F_1 + \lambda xT, F_2 + \lambda\alpha xT, F_3, \dots, F_n),$$

with

$$\alpha = -\det \frac{(T, F_2, F_3, \dots, F_n)}{\det(F_1, T, F_3, \dots, F_n)}.$$

Clearly, with this choice we have $F = \lambda B(x) + (1 - \lambda)C(x)$, $\text{rank}(B(x) - C(x)) \leq 0$, $\det B(x) = \det C(x) = \det F$, and

$$\lim_{x \rightarrow +\infty} P_d(0, F_1 - (1 - \lambda)xT, 0, \dots, 0) = \lim_{x \rightarrow +\infty} (1 - \lambda)^d x^d P_d(0, -T, 0, \dots, 0) = -\infty,$$

$$\lim_{x \rightarrow +\infty} P_d(0, -(F_1 - (1 - \lambda)xT), 0, \dots, 0) = \lim_{x \rightarrow +\infty} (1 - \lambda)^d x^d P_d(0, T, 0, \dots, 0) = -\infty,$$

$$\lim_{x \rightarrow +\infty} P_d(F_2 - (1 - \lambda)\alpha xT, 0, \dots, 0) = \lim_{x \rightarrow +\infty} (1 - \lambda)^d \alpha^d x^d P_d(-T, 0, \dots, 0) = +\infty,$$

$$\lim_{x \rightarrow +\infty} P_d(-(F_2 - (1 - \lambda)\alpha xT), 0, \dots, 0) = \lim_{x \rightarrow +\infty} (1 - \lambda)^d \alpha^d x^d P_d(T, 0, \dots, 0) = +\infty.$$

If $\alpha \leq 0$ and d is odd we consider the following equations:

$$\lim_{x \rightarrow +\infty} P_d(F_2 - (1 - \lambda)\alpha xT, 0, \dots, 0) = \lim_{x \rightarrow +\infty} (1 - \lambda)^d (-1)^d \alpha^d x^d P_d(T, 0, \dots, 0) = +\infty,$$

$$\lim_{x \rightarrow +\infty} P_d(-(F_2 - (1 - \lambda)\alpha xT), 0, \dots, 0) = \lim_{x \rightarrow +\infty} (1 - \lambda)^d (-1)^d \alpha^d x^d P_d(-T, 0, \dots, 0) = +\infty.$$

Hence, we can choose a real x_1 such that for all $x \geq x_1$, we get $B_1(x) \neq 0$, $B_2(x) \neq 0$ and:

$$\begin{aligned} P_d(B_2(x), 0, \dots, 0) &> 0, & P_d(-B_2(x), 0, \dots, 0) &> 0, \\ P_d(0, B_1(x), 0, \dots, 0) &< 0, & P_d(0, -B_1(x), 0, \dots, 0) &< 0. \end{aligned}$$

In the same way, we can choose another real x_2 such that $\forall x \geq x_2$, we get $C_1(x) \neq 0$, $C_2(x) \neq 0$ and:

$$\begin{aligned} P_d(C_2(x), 0, \dots, 0) &> 0, & P_d(-C_2(x), 0, \dots, 0) &> 0, \\ P_d(0, C_1(x), 0, \dots, 0) &< 0, & P_d(0, -C_1(x), 0, \dots, 0) &< 0. \end{aligned}$$

To finish the proof, we have to choose $x \geq \max(x_1, x_2)$. \square

The main result of this section is the following:

Theorem 3.1. Let $P(X) : \mathbb{R}^{n,n} \rightarrow \mathbb{R}$, $P = P_0 + P_1 + \dots + P_d$ be a polynomial function of degree d such that there exists a vector $T \in \mathbb{R}^n$ satisfying:

$$P_d(0, T, 0, \dots, 0) < 0, \quad P_d(0, -T, 0, \dots, 0) < 0, \quad P_d(T, 0, \dots, 0) > 0, \quad P_d(-T, 0, \dots, 0) > 0,$$

and let f, φ be two real-valued functions such that f is continuous and φ is bounded from below, $\mu = \inf \varphi$. If we set

$$W(F) = \varphi(P(F)) + f(\det F),$$

then

$$PW(F) = QW(F) = RW(F) = \mu + Cf(\det F).$$

Proof. The function $F \mapsto \mu + Cf(\det F)$ is a polyconvex function less than W , then

$$\mu + Cf(\det F) \leq PW(F), \quad \forall F \in \mathbb{R}^{n,n}.$$

Let $F \in \mathbb{R}^{n,n}$, $\varepsilon > 0$ be fixed.

(1) *Step one.* We show that if

$$P_d(0, F_1, 0, \dots, 0) < 0, \quad P_d(0, -F_1, 0, \dots, 0) > 0, \quad P_d(F_2, 0, \dots, 0) < 0, \quad P_d(-F_2, 0, \dots, 0) > 0,$$

then

$$RW(F) \leq \mu + f(\det F).$$

- We suppose first that $F_1 \neq 0$ and $F_2 \neq 0$. There exists a real constant α such that

$$\mu + \varepsilon \geq \varphi(\alpha).$$

Then, using Lemma 3.1 if $P(F) \leq \alpha$, or Lemma 3.2 if $P(F) \geq \alpha$, there exist two matrices B and C so that

$$\begin{aligned} F &= \lambda B + (1 - \lambda)C, \\ \text{rank}(B - C) &\leq 1, \\ \det B &= \det C = \det F, \\ P(B) &= P(C) = \alpha. \end{aligned}$$

Therefore we have

$$\begin{aligned} RW(F) &\leq \lambda RW(B) + (1 - \lambda)RW(C) \\ &\leq \lambda \varphi(P(B)) + \lambda f(\det B) + (1 - \lambda)(\varphi(P(C)) + f(\det C)) \\ &= \varphi(\alpha) + \lambda f(\det F) + (1 - \lambda)f(\det F) \leq \mu + f(\det F) + \varepsilon. \end{aligned}$$

As ε is arbitrary we conclude that

$$RW(F) \leq \mu + f(\det F).$$

- We assume now that $F_1 = 0$ or $F_2 = 0$. Let F^ε be a sequence of matrices such that $F^\varepsilon \rightarrow F$ and $F_i^\varepsilon \neq 0, i = 1, 2$. This choice is possible since we can choose $F^\varepsilon = (\varepsilon T, F_2, \dots, F_n)$ if $F_1 = 0, F_2 \neq 0, F^\varepsilon = (F_1, \varepsilon T, F_3, \dots, F_n)$ if $F_2 = 0, F_1 \neq 0$, and $F^\varepsilon = (\varepsilon T, \varepsilon T, F_3, \dots, F_n)$ if $F_1 = F_2 = 0$. where T is the same vector as in Theorem 3.1. By hypothesis and continuity of P_d , the part of P of degree d , we can have for ε sufficiently small

$$P_d(F_2^\varepsilon, 0, \dots, 0) > 0, \quad P_d(0, F_1^\varepsilon, 0, \dots, 0) < 0,$$

$$P_d(-F_2^\varepsilon, 0, \dots, 0) > 0, \quad P_d(0, -F_1^\varepsilon, 0, \dots, 0) < 0.$$

We then conclude as in the first case that

$$RW(F^\varepsilon) \leq \mu + f(\det F^\varepsilon).$$

Letting ε go to 0, we get:

$$RW(F) \leq \mu + f(\det F).$$

(2) Step two. In this case we suppose that the hypothesis of the first step is not satisfied.

- If $\det(F_1, T, F_3, \dots, F_n) \neq 0$, thanks to Lemma 3.3, there exist two matrices B, C , and a real $\lambda \in [0, 1]$ such that

$$F = \lambda B + (1 - \lambda)C,$$

$$\text{rank}(B - C) \leq 1,$$

$$\det B = \det C = \det F,$$

$$P_d(B_2, 0, \dots, 0) > 0, \quad P_d(-B_2, 0, \dots, 0) > 0,$$

$$P_d(0, B_1, 0, \dots, 0) < 0, \quad P_d(0, -B_1, 0, \dots, 0) < 0,$$

$$P_d(C_2, 0, \dots, 0) > 0, \quad P_d(-C_2, 0, \dots, 0) > 0,$$

$$P_d(0, C_1, 0, \dots, 0) < 0, \quad P_d(0, -C_1, 0, \dots, 0) < 0,$$

$$B_1 \neq 0, \quad B_2 \neq 0, \quad C_1 \neq 0, \quad C_2 \neq 0.$$

Then by using the first step for the matrices B and C , we have

$$RW(F) \leq \lambda RW(B) + (1 - \lambda)RW(C)$$

$$\leq \lambda[\mu + f(\det B)] + (1 - \lambda)[\mu + f(\det C)]$$

$$= \lambda[\mu + f(\det F)] + (1 - \lambda)[\mu + f(\det F)]$$

$$= \mu + f(\det F).$$

- Let $\det(F_1, T, F_3, \dots, F_n) = 0$. Consider the sequence F^ε such that $\lim_{\varepsilon \rightarrow 0} F^\varepsilon = F$, and $\det(F_1^\varepsilon, T, F_3^\varepsilon, \dots, F_n^\varepsilon) \neq 0$.

By making use of the first step, we have

$$RW(F^\varepsilon) \leq \mu + f(\det F^\varepsilon).$$

Letting ε go to 0, we get:

$$RW(F) \leq \mu + f(\det F).$$

Finally, we have proved that

$$\forall F \in \mathbb{R}^{n,n}: RW(F) \leq \mu + f(\det F).$$

The function $F \rightarrow RW(F) - \mu$ is rank-one convex and bounded from above by the function $f(\det F)$, so that

$$RW(F) - \mu = R(RW(F) - \mu) \leq R(f(\det F)) = Cf(\det F),$$

then

$$RW(F) = Cf(\det F) + \mu.$$

Which end the proof. \square

In the general case $n \geq 3$, by using the same techniques, we prove the following corollary:

Corollary 3.1. Let $P(X) : \mathbb{R}^{n,n} \rightarrow \mathbb{R}$, $P = P_0 + P_1 + \dots + P_d$ be a polynomial function of degree d such that there exists a vector $T \in \mathbb{R}^n$ satisfying:

$$P_d(0, T, 0, \dots, 0) < 0, \quad P_d(0, -T, 0, \dots, 0) < 0,$$

and

$$P_d(T, 0, 0, \dots, 0) > 0, \quad P_d(-T, 0, 0, \dots, 0) < 0,$$

and let f, φ be two real-valued functions such that f is continuous and φ is bounded from below, $\mu = \inf \varphi$, and $h : \mathbb{R}^{n,n-2} \rightarrow \mathbb{R}$ be a convex function. If we set

$$W(F) = \varphi(P(F)) + f(\det F) + h(F_3, F_4, \dots, F_n),$$

then

$$PW(F) = QW(F) = RW(F) = \mu + Cf(\det F) + h(F_3, F_4, \dots, F_n).$$

The proof of the corollary is the same as the proof of Theorem 3.1 by using in addition the following result:

Theorem 3.2. Let f be a real-valued continuous function and $h : \mathbb{R}^{n,n-1} \rightarrow \mathbb{R}$ a convex function. $W : \mathbb{R}^{n,n} \rightarrow \mathbb{R}$ a function defined by

$$W(F) = f(\det F) + h(F_2, F_3, \dots, F_n),$$

then

$$PW(F) = QW(F) = RW(F) = Cf(\det F) + h(F_2, F_3, \dots, F_n).$$

The proof of Theorem 3.2 is essentially based on the following decomposition lemma.

Lemma 3.4. Let b, c be real constants, $\lambda \in]0, 1[$, and $F \in \mathbb{R}^{n,n}$ such that

$$\text{rank}(F_2, F_3, \dots, F_n) = n - 1,$$

and

$$\det F = \lambda b + (1 - \lambda)c.$$

Then, there exist two real matrices B and C in $\mathbb{R}^{n,n}$ such that

$$F = \lambda B + (1 - \lambda)C, \quad \text{rank}(B - C) \leq 1, \quad \det B = b, \quad \det C = c,$$

and

$$(B_2, B_3, \dots, B_n) = (C_2, C_3, \dots, C_n) = (F_2, F_3, \dots, F_n).$$

Proof. Let T be a vector in \mathbb{R}^n such that $T \notin \langle F_2, F_3, \dots, F_n \rangle$, where $\langle F_2, F_3, \dots, F_n \rangle$ denote the vector space generated by the vectors F_2, F_3, \dots, F_n . We choose

$$B = (F_1 + \alpha T, F_2, F_3, \dots, F_n)^t, \quad C = \left(F_1 - \frac{\lambda}{1 - \lambda} \alpha T, F_2, F_3, \dots, F_n \right)^t,$$

then, it is sufficient to choose $\alpha = \frac{b - \det F}{\det(T, F_2, F_3, \dots, F_n)^t}$ to have the result. \square

Proof of Theorem 3.2.

- Suppose first that $\text{rank}(F_2, F_3, \dots, F_n) = n - 1$.

Let $\varepsilon > 0$, $F \in \mathbb{R}^{n,n}$ and $b, c \in \mathbb{R}$, $\lambda \in [0, 1]$ be such that

$$\det F = \lambda b + (1 - \lambda)c,$$

and

$$Cf(\det F) + \varepsilon \geq \lambda f(b) + (1 - \lambda)f(c).$$

Let B and C two matrices in $\mathbb{R}^{n,n}$ as in Lemma 3.4, then

$$RW(F) \leq \lambda RW(B) + (1 - \lambda)RW(C) \leq Cf(\det F) + \varepsilon + h(F_2, F_3, \dots, F_n).$$

Since ε is arbitrary we conclude that

$$RW(F) \leq Cf(\det F) + h(F_2, F_3, \dots, F_n).$$

- Suppose now that $\text{rank}(F_2, F_3, \dots, F_n) < n - 1$, let F_2, F_3, \dots, F_m such that

$$\text{rank}(F_2, F_3, \dots, F_m) = m - 1,$$

and

$$\forall i \in \{m + 1, m + 2, \dots, n\}: F_i \in \langle F_2, F_3, \dots, F_m \rangle.$$

Let $X_1, X_2, \dots, X_{n-m}, n - m$ vectors in \mathbb{R}^n such that

$$\text{rank}(F_2, F_3, \dots, F_m, X_{m+1}, X_{m+2}, \dots, X_n) = n - 1.$$

Consider the sequence of matrices F^ε defined by

$$F^\varepsilon = (F_1, \dots, F_m, F_{m+1} + \varepsilon X_1, F_{m+2} + \varepsilon X_2, \dots, F_n + \varepsilon X_{n-m}),$$

the matrices F^ε satisfy, $\text{rank}(F_2^\varepsilon, F_3^\varepsilon, \dots, F_n^\varepsilon) = n - 1$.

By using the first step we get

$$RW(F^\varepsilon) \leq Cf(\det F^\varepsilon) + h(F_2^\varepsilon, F_3^\varepsilon, F_n^\varepsilon),$$

letting ε go to 0 we obtain

$$RW(F) \leq Cf(\det F) + h(F_2, F_3, \dots, F_n).$$

The function $F \rightarrow Cf(\det F) + h(F_2, F_3, \dots, F_n)$ is polyconvex and less than W , then

$$Cf(\det F) + h(F_2, F_3, \dots, F_n) \leq PW(F),$$

which finishes the proof. \square

Examples. As a nontrivial application of Theorem 3.1 we take the following example:

$$W(F) = (F_{11} + F_{12} - F_{21} - F_{22} + |F|^p - 1)^2 + \det F,$$

where $p > 1$ is any integer. Then,

$$PW(F) = QW(F) = RW(F) = \det F.$$

4. Situations including the function $\text{adj}_n F$

In this situation, we consider functions of the form:

$$W(F) = \varphi(P(F)) + f(\text{adj}_n(F)),$$

where $F \in \mathbb{R}^{n,n+1}$ and $\text{adj}_n(F)$ is the vector of all n -minors of F . In the sequel we will use the following notation.

For $F \in \mathbb{R}^{n,n+1}$, $F = (F_1, F_2, \dots, F_{n+1})^T$ where $F_1, F_2, \dots, F_{n+1} \in \mathbb{R}^n$ are the rows of the matrix F , we denote

$$\det \hat{F}_i = \det(F_1, F_2, \dots, F_{i-1}, F_{i+1}, \dots, F_{n+1}).$$

For example, in the case $n = 2$, we have $F = (F_1, F_2, F_3)^T$ and then the vector $\text{adj}_2(F)$ has the following expression:

$$(\det \hat{F}_1, \det \hat{F}_2, \det \hat{F}_3) = (\det(F_2, F_3))^T, \det(F_1, F_3)^T, \det(F_2, F_3)^T).$$

Before proceeding, let us give some preliminary results.

Lemma 4.1. Let $P = P_0 + P_1 + \dots + P_d$ be a polynomial defined on the space $\mathbb{R}^{2,3}$ and $F \in \mathbb{R}^{2,3}$ is a real matrix, $\det \hat{F}_2 \neq 0$, such that

$$P_d\left(-F_3, -\frac{\det \hat{F}_1}{\det \hat{F}_2} F_3, 0t\right)^T > 0, \quad P_d\left(F_3, \frac{\det \hat{F}_1}{\det \hat{F}_2} F_3, 0\right)^T > 0.$$

If α is a real number such that $P(F) \leq \alpha$, then there exist two real matrices B, C , and a real $\lambda \in [0, 1]$ such that

$$F = \lambda B + (1 - \lambda)C,$$

$$\text{rank}(B - C) \leq 1,$$

$$\text{adj}_2 B = \text{adj}_2 C = \text{adj}_2 F,$$

$$P(B) = P(C) = \alpha.$$

Proof. We choose B and C as follows

$$B_a = \left(F_1 + aF_3, F_2 + a \frac{\det \hat{F}_1}{\det \hat{F}_2} F_3, F_3 \right)^T,$$

$$C_\lambda = \left(F_1 - \frac{\lambda}{1-\lambda} aF_3, F_2 - \frac{\lambda}{1-\lambda} a \frac{\det \hat{F}_1}{\det \hat{F}_2} F_3, F_3 \right)^T.$$

The matrices B_a and C_λ verifies $F = \lambda B_a + (1 - \lambda)C_\lambda$, $\text{adj}_2 B_a = \text{adj}_2 C_\lambda = \text{adj}_2 F$, and $\text{rank}(B_a - C_\lambda) \leq 1$, for each value of a and $\lambda \in]0, 1[$. For $a = 0$, we have $P(B_0) = P(F) \leq \alpha$ and

$$\lim_{a \rightarrow +\infty} P(B_a) = \lim_{a \rightarrow +\infty} a^d P_d \left(F_3, \frac{\det \hat{F}_1}{\det \hat{F}_2} F_3, 0 \right)^T = +\infty.$$

Then, there exists a real a_0 such that $P(B_{a_0}) = \alpha$. We consider the matrix

$$C_\lambda = \left(F_1 - \frac{\lambda}{1-\lambda} a_0 F_3, F_2 - \frac{\lambda}{1-\lambda} a_0 \frac{\det \hat{F}_1}{\det \hat{F}_2} F_3, F_3 \right)^T.$$

For $\lambda = 0$, $P(C_0) = P(F) \leq \alpha$ and

$$\lim_{\lambda \rightarrow 1^-} P(C_\lambda) = \lim_{\lambda \rightarrow 1^-} \left(\frac{\lambda}{1-\lambda} \right)^d a_0^d P_d \left(-F_3, -\frac{\det \hat{F}_1}{\det \hat{F}_2} F_3, 0 \right)^T = +\infty.$$

By continuity, there exist a real $\lambda \in [0, 1[$ such that $P(C_\lambda) = \alpha$. \square

Lemma 4.2. Let $F \in \mathbb{R}^{2,3}$ be a real matrix, $\det \hat{F}_2 \neq 0$, $P = P_0 + P_1 + \dots + P_d$ a polynomial defined on the space $\mathbb{R}^{2,3}$ such that

$$P_d \left(0, \frac{\det \hat{F}_3}{\det \hat{F}_2} F_1, F_1 \right)^T < 0, \quad P_d \left(0, -\frac{\det \hat{F}_3}{\det \hat{F}_2} F_1, -F_1 \right)^T < 0.$$

If α is a real number such that $P(F) \geq \alpha$, then there exist two real matrices B, C , and a real $\lambda \in [0, 1]$ so that

$$F = \lambda B + (1 - \lambda)C,$$

$$\text{rank}(B - C) \leq 1,$$

$$\text{adj}_2 B = \text{adj}_2 C = \text{adj}_2 F,$$

$$P(B) = P(C) = \alpha.$$

The proof is the same as in the previous lemma. We have just to take $-P$ instead of P , $-\alpha$ instead of α , and to choose the matrices B and C as follows

$$B = \left(F_1, F_2 + a \frac{\det \hat{F}_3}{\det \hat{F}_2} F_1, F_3 + aF_1 \right)^T,$$

$$C = \left(F_1, F_2 - \frac{\lambda}{1-\lambda} a \frac{\det \hat{F}_3}{\det \hat{F}_2} F_1, F_3 - \frac{\lambda}{1-\lambda} aF_1 \right)^T.$$

Lemma 4.3. Let $P(X)$ be a polynomial of degree d , defined as above such that there exists a vector $L \in \mathbb{R}^2$ satisfying for each $\alpha \in \mathbb{R}$:

$$P_d(L, \alpha L, 0)^T > 0, \quad P_d(0, \alpha L, L)^T < 0, \quad P_d(-L, -\alpha L, 0)^T > 0, \quad P_d(0, -\alpha L, -L)^T < 0.$$

If $F \in \mathbb{R}^{2,3}$ is such that $\det \begin{pmatrix} F_1 \\ L \end{pmatrix} \neq 0$, then there exist two matrices $B, C \in \mathbb{R}^{2,3}$, and a real $\lambda \in [0, 1]$ such that

$$F = \lambda B + (1 - \lambda)C,$$

$$\text{rank}(B - C) \leq 1,$$

$$\text{adj}_2 B = \text{adj}_2 C = \text{adj}_2 F,$$

$$P_d \left(B_3, \frac{\det \hat{B}_1}{\det \hat{B}_2} B_3, 0 \right)^T > 0, \quad P_d \left(C_3, \frac{\det \hat{C}_1}{\det \hat{C}_2} C_3, 0 \right)^T > 0,$$

$$P_d \left(-B_3, -\frac{\det \hat{B}_1}{\det \hat{B}_2} B_3, 0 \right)^T > 0, \quad P_d \left(-C_3, -\frac{\det \hat{C}_1}{\det \hat{C}_2} C_3, 0 \right)^T > 0,$$

$$P_d\left(0, \frac{\det \hat{B}_3}{\det \hat{B}_2} B_1, B_1\right)^T < 0, \quad P_d\left(0, \frac{\det \hat{C}_3}{\det \hat{C}_2} C_1, C_1\right)^T < 0,$$

$$P_d\left(0, -\frac{\det \hat{B}_3}{\det \hat{B}_2} B_1, -B_1\right)^T < 0, \quad P_d\left(0, -\frac{\det \hat{C}_3}{\det \hat{C}_2} C_1, -C_1\right)^T < 0.$$

Proof. We choose B and C as

$$B(a) = \left(F_1 + aL, F_2 + a \frac{\det(F_2, L)^T}{\det(F_1, L)^T} L, F_3 + a \frac{\det(F_3, L)^T}{\det(F_1, L)^T} L\right)^T,$$

$$C(a) = \left(F_1 - aL, F_2 - a \frac{\det(F_2, L)^T}{\det(F_1, L)^T} L, F_3 - a \frac{\det(F_3, L)^T}{\det(F_1, L)^T} L\right)^T.$$

Then

$$F = \frac{1}{2}B + \frac{1}{2}C, \quad \text{rank}(B - C) \leq 1,$$

and for all $a \in \mathbb{R}$

$$\text{adj}_2 B = \text{adj}_2 C = \text{adj}_2 F.$$

If we denote by L_1^3 the number $\frac{\det(F_3, L)^T}{\det(F_1, L)^T}$, then

$$P_d\left(B_3(a), \frac{\det \hat{B}_1(a)}{\det \hat{B}_2(a)} B_3(a), 0\right)^T = P_d\left(F_3 + aL_1^3 L, \frac{\det \hat{F}_1}{\det \hat{F}_2}(F_3 + aL_1^3 L), 0\right)^T.$$

We define the function $\mu_{i+}, i = 1, 2, \mu_{i-}, i = 1, 2$ and $\eta_{i+}, i = 1, 2, \eta_{i-}, i = 1, 2$ as follows:

$$\mu_{1+}(a) = P_d\left(B_3(a), \frac{\det \hat{B}_1(a)}{\det \hat{B}_2(a)} B_3(a), 0\right)^T, \quad \eta_{1+}(a) = P_d\left(0, \frac{\det \hat{B}_3(a)}{\det \hat{B}_2(a)} B_1(a), B_1(a)\right)^T,$$

$$\mu_{2+}(a) = P_d\left(C_3(a), \frac{\det \hat{C}_1(a)}{\det \hat{C}_2(a)} C_3(a), 0\right)^T, \quad \eta_{2+}(a) = P_d\left(0, \frac{\det \hat{C}_3(a)}{\det \hat{C}_2(a)} C_1(a), C_1(a)\right)^T,$$

$$\mu_{1-}(a) = P_d\left(-B_3(a), -\frac{\det \hat{B}_1(a)}{\det \hat{B}_2(a)} B_3(a), 0\right)^T, \quad \eta_{1-}(a) = P_d\left(0, -\frac{\det \hat{B}_3(a)}{\det \hat{B}_2(a)} B_1(a), -B_1(a)\right)^T,$$

$$\mu_{2-}(a) = P_d\left(-C_3(a), -\frac{\det \hat{C}_1(a)}{\det \hat{C}_2(a)} C_3(a), 0\right)^T, \quad \eta_{2-}(a) = P_d\left(0, -\frac{\det \hat{C}_3(a)}{\det \hat{C}_2(a)} C_1(a), -C_1(a)\right)^T.$$

Then we have:

$$\mu_{1+}(a) = P_d\left(F_3 + a \frac{\det(F_3, L)^T}{\det(F_1, L)^T} L, \frac{\det \hat{F}_1}{\det \hat{F}_2}(F_3 + a \frac{\det(F_3, L)^T}{\det(F_1, L)^T} L), 0\right),$$

$$\mu_{2+}(a) = P_d\left(F_3 - a \frac{\det(F_3, L)^T}{\det(F_1, L)^T} L, \frac{\det \hat{F}_1}{\det \hat{F}_2}(F_3 - a \frac{\det(F_3, L)^T}{\det(F_1, L)^T} L), 0\right),$$

$$\eta_{1+}(a) = P_d\left(0, \frac{\det \hat{F}_1}{\det \hat{F}_2}(F_1 + aL), F_1 + aL\right)^T,$$

$$\eta_{2+}(a) = P_d\left(0, \frac{\det \hat{F}_1}{\det \hat{F}_2}(F_1 - aL), F_1 - aL\right)^T.$$

Hence

$$\mu_{1+}(a) = (L_1^3)^d a^d P_d\left(\frac{1}{aL_1^3} F_3 + L, \frac{\det \hat{F}_1}{\det \hat{F}_2} \left(\frac{1}{aL_1^3} F_3 + L\right), 0\right),$$

and

$$\lim_{a \rightarrow +\infty} \mu_{1+}(a) = \lim_{a \rightarrow \infty} \left(\frac{\det(F_3, L)^T}{\det(F_1, L)^T}\right)^d a^d P_d\left(L, \frac{\det \hat{F}_1}{\det \hat{F}_2} L, 0\right) = +\infty.$$

If $\frac{\det(F_3, L)^T}{\det(F_1, L)^T} < 0$, and the degree d of P_d is odd, then:

$$\lim_{a \rightarrow +\infty} \mu_{1+}(a) = \lim_{a \rightarrow \infty} \left(-\frac{\det(F_3, L)^T}{\det(F_1, L)^T}\right)^d a^d P_d\left(-L, -\frac{\det \hat{F}_1}{\det \hat{F}_2} L, 0\right) = +\infty.$$

In the same way, we have

$$\begin{aligned} \lim_{a \rightarrow +\infty} \mu_{2+}(a) &= \lim_{a \rightarrow +\infty} \left(\frac{\det(F_3, L)^T}{\det(F_1, L)^T} \right)^d a^d P_d \left(-L, -\frac{\det \hat{F}_1}{\det \hat{F}_2} L, 0 \right) = +\infty, \\ \lim_{a \rightarrow +\infty} \eta_{1+}(a) &= \lim_{a \rightarrow +\infty} a^d P_d \left(0, \frac{\det \hat{F}_1}{\det \hat{F}_2} L, L \right)^T = -\infty, \\ \lim_{a \rightarrow +\infty} \eta_{2+}(a) &= \lim_{a \rightarrow +\infty} a^d P_d \left(0, -\frac{\det \hat{F}_1}{\det \hat{F}_2} L, -L \right)^T = -\infty. \end{aligned}$$

Similarly, we can have

$$\lim_{a \rightarrow +\infty} \mu_{1-}(a) = \lim_{a \rightarrow +\infty} \mu_{2-}(a) = +\infty \quad \text{and} \quad \lim_{a \rightarrow +\infty} \eta_{1-}(a) = \lim_{a \rightarrow +\infty} \eta_{2-}(a) = -\infty.$$

So, we can choose a constant a_1 such that $\forall a \geq a_1: \mu_{1+}(a) > 0, \mu_{2+}(a) > 0, \eta_{1+}(a) < 0, \eta_{2+}(a) < 0, \mu_{1-}(a) > 0, \mu_{2-}(a) > 0, \eta_{1-}(a) < 0$ and $\eta_{2-}(a) < 0$, and this leads to the result. \square

Theorem 4.1. Let $P = P_0 + P_1 + \dots + P_d$ be a polynomial of any degree defined on the space $\mathbb{R}^{2,3}$ such that there exists a vector $L \in \mathbb{R}^2$ satisfying the following condition

$$P_d(L, \alpha L, 0)^T > 0, \quad P_d(-L, -\alpha L, 0)^T > 0, \quad P_d(0, \alpha L, L)^T < 0, \quad P_d(0, -\alpha L, -L)^T < 0, \quad \forall \alpha \in \mathbb{R},$$

and let $\varphi : \mathbb{R} \rightarrow \mathbb{R}, f : \mathbb{R}^3 \rightarrow \mathbb{R}$ be two continuous functions such that φ is bounded from below, $\mu = \inf \varphi$, and

$$W(F) = \varphi(P(F)) + f(\text{adj}_2(F)).$$

Then

$$PW(F) = QW(F) = RW(F) = \mu + Cf(\text{adj}_2(F)).$$

Remark 4.1. The result remains true in the general case of $n \times (n + 1)$ matrices. The hypothesis on the polynomial is:

$$P_d(0, \alpha L, L, 0, \dots, 0)^t < 0, \quad P_d(0, -\alpha L, -L, 0, \dots, 0)^t < 0,$$

and

$$P_d(L, \alpha L, 0, \dots, 0)^t > 0, \quad P_d(-L, -\alpha L, 0, \dots, 0)^t > 0.$$

For the decomposition lemmas, we take the same form of the matrices B, C , we just keep the rows F_4, F_5, \dots, F_n of the matrices.

Proof. • Let is begin by proving that if $\det \hat{F}_2 \neq 0$, then $RW(F) \leq \mu + f(\text{adj}_2(F))$.

(1) Suppose first that

$$\begin{aligned} P_d \left(F_3, \frac{\det \hat{F}_1}{\det \hat{F}_2} F_3, 0 \right)^T &> 0, & P_d \left(-F_3, -\frac{\det \hat{F}_1}{\det \hat{F}_2} F_3, 0 \right)^T &> 0, \\ P_d \left(0, \frac{\det \hat{F}_3}{\det \hat{F}_2} F_1, F_1 \right)^T &< 0, & P_d \left(0, -\frac{\det \hat{F}_3}{\det \hat{F}_2} F_1, -F_1 \right)^T &< 0. \end{aligned}$$

Let $\varepsilon > 0$. There exists $\alpha_\varepsilon \in \mathbb{R}$ such that

$$\mu + \varepsilon \geq \varphi(P(F)).$$

Thanks to Lemma 4.1 (if $P(F) \leq \alpha_\varepsilon$) or Lemma 4.2 (if $P(F) \geq \alpha_\varepsilon$), there are two real matrices B, C , and a real $\lambda \in [0, 1]$ so that

$$\begin{aligned} F &= \lambda B + (1 - \lambda)C, \\ \text{rank}(B - C) &\leq 1, \\ \text{adj}_2 B &= \text{adj}_2 C = \text{adj}_2 F, \\ P(B) &= P(C) = \alpha_\varepsilon. \end{aligned}$$

Then

$$\begin{aligned} RW(F) &\leq \lambda RW(B) + (1 - \lambda)RW(C) \leq \lambda W(B) + (1 - \lambda)W(C) \\ &= \lambda[\varphi(P(B)) + f(\text{adj}_2(B))] + (1 - \lambda)[\varphi(P(C)) + f(\text{adj}_2(C))] \\ &= \lambda[\varphi(\alpha_\varepsilon) + f(\text{adj}_2(F))] + (1 - \lambda)[\varphi(\alpha_\varepsilon) + f(\text{adj}_2(F))] \\ &= \varphi(\alpha_\varepsilon) + f(\text{adj}_2(F)) \leq \mu + \varepsilon + f(\text{adj}_2(F)), \end{aligned}$$

as ε is arbitrary, we conclude that $RW(F) \leq \mu + f(\text{adj}_2(F))$.

(2) Suppose that the hypothesis in the first case is not satisfied. Then, thanks to Lemma 4.3, we have the existence of two matrices B, C , and a real $\lambda \in [0, 1]$ such that

$$\begin{aligned} F &= \lambda B + (1 - \lambda)C, \\ \text{rank}(B - C) &\leq 1, \\ \text{adj}_2 B &= \text{adj}_2 C = \text{adj}_2 F, \\ P_d\left(B_3, \frac{\det \hat{B}_1}{\det \hat{B}_2} B_3, 0\right)^T &> 0, \quad P_d\left(C_3, \frac{\det \hat{C}_1}{\det \hat{C}_2} C_3, 0\right)^T > 0, \\ P_d\left(-B_3, -\frac{\det \hat{B}_1}{\det \hat{B}_2} B_3, 0\right)^T &> 0, \quad P_d\left(-C_3, -\frac{\det \hat{C}_1}{\det \hat{C}_2} C_3, 0\right)^T > 0, \\ P_d\left(0, \frac{\det \hat{B}_3}{\det \hat{B}_2} B_1, B_1\right)^T &< 0, \quad P_d\left(0, \frac{\det \hat{C}_3}{\det \hat{C}_2} C_1, C_1\right)^T < 0, \\ P_d\left(0, -\frac{\det \hat{B}_3}{\det \hat{B}_2} B_1, -B_1\right)^T &< 0, \quad P_d\left(0, -\frac{\det \hat{C}_3}{\det \hat{C}_2} C_1, -C_1\right)^T < 0. \end{aligned}$$

Then, by applying the first step to the matrices B and C , we get:

$$\begin{aligned} RW(F) &\leq \lambda RW(B) + (1 - \lambda)RW(C) \\ &\leq \lambda(\mu + f(\text{adj}_2(B))) + (1 - \lambda)(\mu + f(\text{adj}_2(C))) \\ &= \mu + f(\text{adj}_2(F)). \end{aligned}$$

- Now, we suppose that $\det \hat{F}_2 = 0$. That means that the vectors F_1 and F_3 are collinear. Let $\alpha \in \mathbb{R}$ be such that $F_3 = \alpha F_1$, and let T be a vector not collinear with them. Let F^ε a sequence defined by

$$F_1^\varepsilon = F_1 + \varepsilon\beta T, \quad F_2^\varepsilon = F_2, \quad F_3^\varepsilon = F_3 + \varepsilon T.$$

If we choose β such that $1 - \alpha\beta \neq 0$, then

$$\det \hat{F}_2^\varepsilon = \varepsilon(1 - \alpha\beta) \det(F_1, T) \neq 0.$$

By using the first step, we have

$$RW(F^\varepsilon) \leq \mu + f(\text{adj}_n(F^\varepsilon)).$$

Letting ε go to 0 we get

$$RW(F) \leq \mu + f(\text{adj}_n(F)).$$

So we have proved that

$$\forall F \in \mathbb{R}^{2,3}: RW(F) \leq \mu + f(\text{adj}_2(F)).$$

Then $\forall F \in \mathbb{R}^{2,3}$

$$RW(F) - \mu = R(RW(F) - \mu) \leq Rf(\text{adj}_2(F)) = Cf(\text{adj}_2(F)).$$

In other words, the function $F \rightarrow \mu + Cf(\text{adj}_2(F))$ is polyconvex and less than W . Therefore $\forall F \in \mathbb{R}^{2,3}$

$$\mu + Cf(\text{adj}_2(F)) \leq PW(F) \leq QW(F) \leq RW(F) \leq \mu + Cf(\text{adj}_2(F)). \quad \square$$

Examples.

(1) As examples we take first a function $W : \mathbb{R}^{2,3} \rightarrow \mathbb{R}$ defined by:

$$W(F) = (F_{11} - F_{12} + F_{21} - F_{12} + F_{31} - F_{13} + (F_1 \cdot F_2)^2 + (F_1 \cdot F_3)^2 - (F_2 \cdot F_3)^2 - 1)^2 + (\|\text{adj}_3(F)\|^2),$$

where $F = (F_1, F_2, F_3)^T$ and $F_i, i = 1, 2, 3$, are the rows of the matrix F then our result asserts that:

$$PW(F) = Q(F) = RW(F) = (\|\text{adj}_3(F)\|^2).$$

(2) We take now a second example

$$W(F) = (\|F_1 + F_2\|^2 - \|F_2 + F_3\|^2 - 1)^2 + (\|\text{adj}_3 F\|^2).$$

Then we obtain:

$$PW(F) = QW(F) = RW(F) = (\|\text{adj}_3 F\|^2).$$

In the general case $n \geq 3$, by using the same techniques, we prove the following corollary:

Corollary 4.1. Let $P(X) : \mathbb{R}^{n,n+1} \rightarrow \mathbb{R}$, $P = P_0 + P_1 + \dots + P_d$ be a polynomial function of degree d such that there exists a vector $T \in \mathbb{R}^n$ satisfying for each $\alpha \in \mathbb{R}$:

$$P_d(0, \alpha L, L, 0, \dots, 0)^t < 0, \quad P_d(0, -\alpha L, -L, 0, \dots, 0)^t < 0,$$

and

$$P_d(L, \alpha L, 0, \dots, 0)^t > 0, \quad P_d(-L, -\alpha L, 0, \dots, 0)^t > 0,$$

and let $f : \mathbb{R} \rightarrow \mathbb{R}$, $\varphi : \mathbb{R}^{n+1} \rightarrow \mathbb{R}$ be two real functions such that f is continuous and φ is bounded from below, $\mu = \inf \varphi$, and $h : \mathbb{R}^{n,n-2} \rightarrow \mathbb{R}$ be a convex function. If we set

$$W(F) = \varphi(P(F)) + f(\text{adj}_n F) + h(F_4, F_5, \dots, F_{n+1}),$$

then

$$PW(F) = QW(F) = RW(F) = \mu + Cf(\text{adj}_n F) + h(F_3, F_4, \dots, F_n).$$

The proof of the corollary is the same as the proof of Theorem 4.1 by using in addition the following result:

Theorem 4.2. Let $f : \mathbb{R}^{n+1} \rightarrow \mathbb{R}$ be a continuous function and $h : \mathbb{R}^{n,n-1} \rightarrow \mathbb{R}$ a convex function. $W : \mathbb{R}^{n,n+1} \rightarrow \mathbb{R}$ a function defined by

$$W(F) = f(\text{adj}_n F) + h(F_3, F_4, \dots, F_{n+1}),$$

then

$$PW(F) = QW(F) = RW(F) = Cf(\text{adj}_n F) + h(F_3, F_4, \dots, F_{n+1}).$$

For the proof of Theorem 4.2 we refer the reader to [5].

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Appendix A

We recall now some basics notions of convexity.

Let φ be a real-valued Borel measurable function defined on the space $\mathbb{R}^{n \times m}$ of $n \times m$ matrices.

- We say that φ is convex if:

$$\varphi(\lambda F_1 + (1 - \lambda)F_2) \leq \lambda\varphi(F_1) + (1 - \lambda)\varphi(F_2)$$

for every real $\lambda \in [0, 1]$ and all matrices $F_1, F_2 \in \mathbb{R}^{n \times m}$.

- We say that φ is quasi-convex if:

$$\frac{1}{|\Omega|} \int_{\Omega} \varphi(F + \nabla u(x)) dx \geq \varphi(F)$$

for every F and every test function $u \in W_0^{1,\infty}(\Omega, \mathbb{R}^m)$.

- φ is said to be polyconvex if there exists a convex function ψ such that

$$\varphi(F) = \psi(T(F))$$

for every matrix $F \in \mathbb{R}^{n \times m}$. $T(F)$ stands for the vector of all minors of F .

- φ is said to be rank-one convex if:

$$\varphi(\lambda F_1 + (1 - \lambda)F_2) \leq \lambda \varphi(F_1) + (1 - \lambda)\varphi(F_2)$$

for every $\lambda \in [0, 1]$ and $F_1, F_2 \in \mathbb{R}^{n,m}$ such that $\text{rank}(F_1 - F_2) \leq 1$.

For more details on these notions one can see [10].

It is well known that

$$\varphi \text{ convex} \Rightarrow \varphi \text{ polyconvex} \Rightarrow \varphi \text{ quasi-convex} \Rightarrow \varphi \text{ rank-one convex.}$$

However the converses are false in general (see [1,10]). The last one has been established by V. Sverak in the case $m \geq 3$, [18], but the case $m = 2$ and $n \geq 2$ is still open. In the scalar case $n = 1$ or $m = 1$ all these notions are equivalents.

We define now the different envelopes associated with different notions of convexity by setting:

$$C\varphi(F) = \{ \sup f(F): f \leq \varphi, f \text{ convex} \},$$

$$P\varphi(F) = \{ \sup f(F): f \leq \varphi, f \text{ polyconvex} \},$$

$$Q\varphi(F) = \{ \sup f(F): f \leq \varphi, f \text{ quasi-convex} \},$$

$$R\varphi(F) = \{ \sup f(F): f \leq \varphi, f \text{ rank-one convex} \}.$$

As a direct result of the implications above, we have

$$C\varphi \leq P\varphi \leq Q\varphi \leq R\varphi$$

and all the envelopes coincide in the case $n = 1$ or $m = 1$. For a characterizations of the different envelopes see [10]. Let us recall that:

$$Cf(F) = \inf \left\{ \sum_{i=1}^{n,m+1} \lambda_i f(F_i): \sum_{i=1}^{n,m+1} \lambda_i F_i = F \right\},$$

$$Pf(F) = \inf \left\{ \sum_{i=1}^{\tau(n,m)+1} \lambda_i f(F_i): \sum_{i=1}^{\tau(n,m)+1} \lambda_i T(F_i) = T(F) \right\},$$

$$Rf(F) = \inf \left\{ \sum_{i=1}^l \lambda_i f(F_i): \sum_{i=1}^l \lambda_i F_i = F \text{ and } (\lambda_i, F_i)_{1 \leq i \leq l} \text{ satisfy } (H_l) \right\},$$

$$Qf(F) = \inf_{\varphi \in W_0^{1,\infty}(\Omega; \mathbb{R}^m)} \frac{1}{|\Omega|} \int_{\Omega} f(F + \nabla \varphi(x)) dx,$$

$\Omega \subset \mathbb{R}^n$ is a bounded regular domain.

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