

Strong uniqueness sets and  $t$ -analytic sets for  $H^\infty$  and  $H^\infty + C$ 

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## ABSTRACT

We determine the relations of the  $t$ -analytic sets for the algebra  $H^\infty$  of bounded holomorphic functions in the unit disk with those in the Sarason algebra  $H^\infty + C$  and give a description of the strong uniqueness sets for these algebras.

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## 1. Introduction

In this note we introduce the notion of strong uniqueness sets for Banach function algebras  $A$  and compare this class of sets with the recently introduced  $t$ -analytic sets for  $A$ . Recall that a subset  $E$  of the spectrum  $M(A)$  of  $A$  is said to be  $t$ -analytic (denoted by  $E \in \mathcal{A}$ ), if for every  $f \in A$  and every open set  $U$  in  $M(A)$  with  $U \cap E \neq \emptyset$  one has  $f \equiv 0$  on  $E$  whenever  $f \equiv 0$  on  $E \cap U$ . For example the empty set and every singleton is a  $t$ -analytic set. Also, each point in  $M(A)$  is contained in a maximal, though not necessary unique,  $t$ -analytic set (see [2]).

A non-void set  $E \subseteq M(A)$  is called a *uniqueness set* for  $A$ , if for every  $f$  and  $g$  in  $A$ ,  $f = g$  whenever  $f$  and  $g$  coincide on  $E$ . If this property also holds locally, that is, if for every open set  $U$  in  $M(A)$  with  $U \cap E \neq \emptyset$ ,  $f|_{U \cap E} = g|_{U \cap E}$  implies  $f = g$ , then we say that  $E$  is a *strong uniqueness set* for  $A$ . The set of strong uniqueness sets for  $A$  is denoted by  $\mathcal{U}$ .

It is clear that any strong uniqueness set is a  $t$ -analytic set. These classes are different though, since for example a singleton  $\{x\}$ , known to be  $t$ -analytic, is a strong uniqueness set if and only if  $M(A) = \{x\}$  (and so  $A = C(\{x\}) \cong \mathbb{C}$ ). We remark that a  $t$ -analytic set  $E$  is a strong uniqueness set if and only if the hull-kernel closure  $\hat{E}$  of  $E$  equals  $M(A)$ . Recall that  $\hat{E}$  is the zero set (or hull) of the ideal

$$I(E, A) = \{f \in A: f|_E \equiv 0\}.$$

The concept of  $t$ -analytic sets, originally considered only for open sets in [1] in connection with local/restricted decomposability of multiplication operators on commutative, semisimple Banach algebras, was first given in this generality in [2]. It turned out that it has a surprising connection to closed prime ideals: if  $E \subseteq M(A)$  is a  $t$ -analytic set for  $A$ , then the ideal  $I(E, A)$  is a closed prime ideal. We also unveiled the connection of  $t$ -analytic sets with ideals of the form

$$J(x, A) = \{f \in A: f \text{ vanishes identically on a neighborhood of } x \text{ in } M(A)\},$$

that appear in problems on spectral synthesis for Banach function algebras (see for example [5]). In fact, if  $E \in \mathcal{A}$  and  $x \in E$ , then  $E$  is contained in the zero set  $K_A(x)$  of the ideal  $J(x, A)$ .

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A description of the  $t$ -analytic sets in concrete algebras seems to be a very hard problem. At the moment, such a characterization is only known for the disk-algebra and general regular function algebras (see [2]). In the present paper we will be concerned with the  $t$ -analytic sets in the algebra  $H^\infty$  of bounded analytic functions in the open unit disk  $\mathbb{D}$  and its associated Sarason algebra  $H^\infty + C$  of sums of boundary values of functions in  $H^\infty$  and (complex-valued) continuous functions on the unit circle  $\mathbb{T}$ . We assume that the reader is familiar with the structure of the maximal ideal spaces of these algebras (see [4]).

First results in this direction were given in [2]. For example, it is known [2] that in  $H^\infty + C$  the  $t$ -analytic sets are very small. In fact, if  $E$  is a  $t$ -analytic set for  $H^\infty + C$  then, due to the fact that  $E \subseteq k_{H^\infty + C}(x)$  for some  $x \in E$ , the set  $E$  is nowhere dense and contained in a single fiber.

The situation for  $H^\infty$  is quite different. Here  $k_{H^\infty}(x)$  equals  $M(H^\infty)$  for every  $x \in M(H^\infty)$ . Moreover,  $t$ -analytic sets for  $H^\infty$  may be big. For example, the unit disk  $\mathbb{D}$  is a  $t$ -analytic set for  $H^\infty$ . Hence, by the corona theorem, the whole spectrum  $M(H^\infty)$  is the maximum  $t$ -analytic set for  $H^\infty$ . But also the Shilov boundary,  $\partial H^\infty$ , of  $H^\infty$  is a  $t$ -analytic set for  $H^\infty$ . Or the uniqueness set  $[0, 1]$ . On the other hand, the uniqueness set  $[0, 1] \cup \{-1/2\}$  is not  $t$ -analytic. Neither the corona  $M(H^\infty) \setminus \mathbb{D}$  is a  $t$ -analytic set for  $H^\infty$ .

A way for comparing  $t$ -analytic sets for  $H^\infty$  with those of  $H^\infty + C$  comes from the fact that the spectrum  $M(H^\infty + C)$  of  $H^\infty + C$  can be identified with the corona  $M(H^\infty) \setminus \mathbb{D}$  of  $\mathbb{D}$  in  $M(H^\infty)$ . Also, the Shilov boundaries for  $H^\infty$  and  $H^\infty + C$  coincide and can be identified with  $M(L^\infty)$ , the maximal ideal space of the algebra of (equivalence classes) of Lebesgue measurable and essentially bounded functions on  $\mathbb{T}$ .

Natural questions now arise. For instance, which  $t$ -analytic sets for  $H^\infty + C$  are  $t$ -analytic for  $H^\infty$ ? Are there essentially other  $t$ -analytic sets for  $H^\infty$  besides those mentioned above? Can we describe all the strong uniqueness sets for  $H^\infty$ , respectively  $H^\infty + C$ ?

In this paper we give answers to these questions.

We conclude the introduction with some additional notations used throughout the paper. For a Banach function algebra  $A$ , we always consider  $A$  as a set of continuous functions that live on  $M(A)$ .

If  $f \in A$ , then  $Z(f) = \{x \in M(A) : f(x) = 0\}$  is the zero set of  $f$ . If  $I$  is an ideal in  $A$ , then  $Z(I) = \bigcap_{f \in I} Z(f)$  is the zero set (or hull) of  $I$ . The interior of a subset  $E$  of a topological space  $X$  will be denoted by  $E^\circ$ ; its closure by  $\bar{E}$ . If  $X \subseteq M(A)$ , then  $Z_X(f) = Z(f) \cap X$ .

## 2. Some general facts on strong uniqueness sets

In this section we present some general, topological properties of the class  $\mathcal{U}$  of strong uniqueness sets. Although the proofs are straightforward, we present them for completeness.

The first question that raises, is whether  $\mathcal{U}$  is stable with respect to taking closures; a property enjoyed by the class of  $t$ -analytic sets for  $A$  (see [2]). The same property now is valid for the class of strong uniqueness sets:

**Observation 2.1.** *Let  $A$  be a Banach function algebra. Then  $E \subseteq M(A)$  is a strong uniqueness set for  $A$ , that is  $E \in \mathcal{U}$ , if and only if  $\bar{E} \in \mathcal{U}$ .*

**Proof.** Assume that  $E \in \mathcal{U}$ . Let  $U \subseteq M(A)$  be open,  $U \cap \bar{E} \neq \emptyset$ , and let  $f \equiv 0$  on  $U \cap \bar{E}$ . Then  $U \cap E \neq \emptyset$  and  $f \equiv 0$  on  $U \cap E$ . Hence  $f$  is the zero function. Thus  $\bar{E} \in \mathcal{U}$ .

Conversely, let  $\bar{E} \in \mathcal{U}$ . Let  $U \subseteq M(A)$  be open,  $U \cap E \neq \emptyset$ , and  $f \equiv 0$  on  $U \cap E$ . Then the openness of  $U$  and the continuity of  $f$  imply that  $f \equiv 0$  on  $U \cap \bar{E}$ . Hence  $f$  is the zero function, too. Thus  $E \in \mathcal{U}$ .  $\square$

As an immediate consequence we have

**Observation 2.2.** *Let  $E \subseteq F \subseteq \bar{E}$ . Then  $F \in \mathcal{U}$  whenever  $E \in \mathcal{U}$ .*

**Observation 2.3.** *Let  $E \in \mathcal{U}$  and suppose that  $F$  is closed. Then  $E \setminus F \in \mathcal{U} \cup \{\emptyset\}$ . In other words, every non-void relatively open subset of a strong uniqueness set belongs to  $\mathcal{U}$ , too.*

Whereas the set  $\mathcal{A}$  of  $t$ -analytic sets always contains the empty set and the singletons, its subset  $\mathcal{U}$  of strong uniqueness sets may be void. Indeed, this happens quite frequently, as the following result shows.

**Observation 2.4.** *If the set of strong uniqueness sets for a Banach function algebra is not empty, then the spectrum of  $A$  is connected.*

**Proof.** We show the contraposition. Suppose that  $M(A)$  is disconnected. Then there are two disjoint, non-void open-closed sets  $S_1$  and  $S_2$  such that  $S_1 \cup S_2 = M(A)$ . Let  $E \subseteq M(A)$ . Without loss of generality, we may assume that  $E \cap S_2 \neq \emptyset$ . By Shilov's idempotent theorem (see [3, p. 88]), there is a function  $f \in A$  such that  $f \equiv 1$  on  $S_1$  and  $f \equiv 0$  on  $S_2$ . Now we choose  $U = S_2$ . Then  $U$  is open,  $f \equiv 0$  on  $U \cap E$ , but  $f$  is not the zero function. Hence  $E \notin \mathcal{U}$ .  $\square$

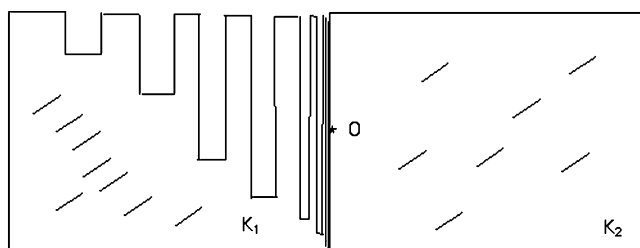


Fig. 1. An instructive example.

Of course the connectivity condition above is far from being sufficient for  $\mathcal{U}$  to be non-empty. In fact,  $\mathcal{U} = \emptyset$  for any regular algebra strictly containing  $\mathbb{C}$ . But  $\mathcal{U}$  may be empty, too, for other algebras with connected spectrum, as for example  $H^\infty + \mathbb{C}$  (see Theorem 3.1).

**Observation 2.5.**

- (1) If  $M(A)$  is not a strong uniqueness set, then there exists  $x \in M(A)$  such that  $k_A(x) \subsetneq M(A)$ .
- (2) If  $\mathcal{U} = \emptyset$ , then the set of points  $x$  for which  $k_A(x) \subsetneq M(A)$ , is dense in  $M(A)$ .

**Proof.** (1) Since  $M(A) \notin \mathcal{U}$  there exists a non-void open set  $V \subseteq M(A)$  and  $f \in A$  such that  $f \equiv 0$  on  $V$  but  $f \not\equiv 0$ . Hence, for any  $x \in V$ , we have  $k_A(x) \subsetneq M(A)$ .

(2) Let  $\emptyset \neq V$  be open in  $M(A)$ . Since  $\mathcal{U} = \emptyset$ ,  $V \notin \mathcal{U}$ . Hence there is a second open set  $V'$  such that  $V \cap V' \neq \emptyset$  and a non-constant function  $f \in A$  such that  $f \equiv 0$  on  $V \cap V'$ . Thus any  $x \in V \cap V'$  has the property that  $k_A(x) \subsetneq M(A)$ .  $\square$

**Observation 2.6.** If for every  $x \in M(H^\infty)$ ,  $k_A(x)$  is a proper subset of  $M(A)$ , then  $\mathcal{U} = \emptyset$ .

**Proof.** Let  $\emptyset \neq E \subseteq M(A)$ . Choose  $x \in E$ . Since  $k_A(x) \neq M(A)$ , there exist  $y \in M(A) \setminus k_A(x)$  and a function  $f \in J(x, A)$  with  $f(y) \neq 0$ . Hence  $E \cap Z(f)^\circ \neq \emptyset$ , but  $f \not\equiv 0$ . Therefore,  $E$  is not a strong uniqueness set.  $\square$

Whereas the union of two  $t$ -analytic sets is, in general, not  $t$ -analytic (even if they are non-disjoint and connected) (see [2]), we have the following result concerning the subclass of strong uniqueness sets.

**Observation 2.7.** Any union of strong uniqueness sets in a Banach function algebra is a strong uniqueness set again.

**Proof.** Let  $E_\alpha \in \mathcal{U}$ , and set  $E = \bigcup E_\alpha$ . Note that strong uniqueness sets are never empty. Let  $U$  be open and suppose that  $f \equiv 0$  on  $U \cap E$ . We assume that this last set is non-empty. Hence there exists  $\alpha$  such that  $U \cap E_\alpha \neq \emptyset$ . Since  $f \equiv 0$  on  $U \cap E_\alpha$ , our hypothesis implies that  $f \equiv 0$ . Thus  $E \in \mathcal{U}$ .  $\square$

The class  $\mathcal{U}$ , though, is not stable with respect to intersections; even if those intersections are non-empty. For example,  $[-1, 0]$  and  $[0, 1]$  are strong uniqueness sets for the disk-algebra  $A(\mathbb{D})$ , but their intersection not. As a corollary to Observation 2.7 we obtain:

**Observation 2.8.** Let  $A$  be a Banach function algebra for which  $\mathcal{U} \neq \emptyset$ . Then there exists a biggest strong uniqueness set.

Note that in the class of  $t$ -analytic sets for  $A$  there always exist maximal elements; but, in general, no maximum  $t$ -analytic set (see [2]).

In [2, Example 2.4], an example of a compact set  $K = K_1 \cup K_2 \subseteq \mathbb{C}$  is given which shows that for the algebra  $A = A(K)$  of all functions continuous on  $K$  and holomorphic in the interior  $K^\circ$  of  $K$ ,  $K_1$  and  $K_2$  are (non-disjoint) maximal  $t$ -analytic sets. Moreover,  $k_A(z) = K = M(A)$  for every  $z \in K_1$  and  $k_A(z) = K_2 \subsetneq M(A)$  for any  $z \in K_2 \setminus K_1$ . Here we can now add that  $K_1$  is the maximum strong uniqueness set for  $A(K)$  (see Fig. 1).

**Observation 2.9.** Let  $A$  be a Banach function algebra for which  $\mathcal{U} \neq \emptyset$ . Then the biggest strong uniqueness set,  $E_{\max}$ , is also a maximal  $t$ -analytic set.

**Proof.** Obviously  $E_{\max}$  is  $t$ -analytic. Now let  $E_{\max} \subseteq E$  for some  $t$ -analytic set  $E$ . We show that  $E \in \mathcal{U}$ . Let  $U$  be open,  $U \cap E \neq \emptyset$ , and suppose that  $f \equiv 0$  on  $U \cap E$ . Since  $E$  is  $t$ -analytic,  $f \equiv 0$  on  $E$ . In particular  $f \equiv 0$  on  $E_{\max}$ . But  $\widehat{E_{\max}} = M(A)$ . Hence  $f \equiv 0$  and so  $E \in \mathcal{U}$ . The maximality of  $E_{\max}$  now implies that  $E = E_{\max}$ .  $\square$

### 3. Strong uniqueness sets and $t$ -analytic sets for $H^\infty + C$

In order to be able to compare the different situations for both of the algebras  $H^\infty$  and  $H^\infty + C$ , we start with the following results from [2]; excepted item (4). Recall that a thin point  $x \in M(H^\infty) \setminus \mathbb{D}$  is any point lying in the  $M(H^\infty)$ -closure of a sequence  $(z_n) \in \mathbb{D}^\mathbb{N}$  satisfying

$$\lim_{j \rightarrow \infty} \prod_{n \neq j} \rho(z_n, z_j) = 1,$$

where  $\rho(z, w) = |(z - w)/(1 - \bar{z}w)|$  is the pseudohyperbolic distance. Moreover,  $P(x)$  is the Gleason part associated with a point  $x \in M(H^\infty + C)$ . The zero sets  $k_{H^\infty + C}(x)$  of the ideals  $I(x, H^\infty + C)$  are called  $k$ -hulls and will be denoted by  $k(x)$ . See [5–7] for a detailed study of these  $k$ -hulls.

#### Theorem 3.1.

- (1) Let  $E$  be a  $t$ -analytic set for  $H^\infty + C$  and suppose that  $x \in E$ . Then  $E \subseteq k(x)$ .
- (2) If the  $t$ -analytic set  $E$  meets the Shilov boundary of  $H^\infty + C$ , then  $E$  is a singleton.
- (3) If  $E$  is a maximal  $t$ -analytic set containing the thin point  $x$ , then  $E = \overline{P(x)}$ .
- (4) There are no strong uniqueness sets for  $H^\infty + C$ .

**Proof.** (4) This follows from the Observation 2.6 and the fact that for each  $x \in M(H^\infty + C)$ ,  $k(x) \neq M(H^\infty + C)$  (see [5]).  $\square$

It is conjectured that in  $H^\infty + C$  all maximal  $t$ -analytic sets and all hull-kernel closed  $t$ -analytic sets with cardinal bigger than two are given by the closures of Gleason parts (see [2]).

### 4. $t$ -Analytic sets for $H^\infty$

In [2] it was implicitly shown that in the disk-algebra the class of  $t$ -analytic sets with cardinal bigger than two and the class of strong uniqueness sets coincide. In  $H^\infty$ , the class of  $t$ -analytic sets containing more than one point is much bigger than  $\mathcal{U}$ . For instance, the closure of any non-trivial Gleason part in the corona of  $H^\infty$  is  $t$ -analytic, but obviously not a uniqueness set (see [2]).

However, if the set  $E$  meets the Shilov boundary,  $\partial H^\infty$ , of  $H^\infty$ , then the result just mentioned for  $A(\mathbb{D})$  remains valid.

**Proposition 4.1.** A non-void set  $E \subseteq M(H^\infty)$  with  $E \cap \partial H^\infty \neq \emptyset$  is  $t$ -analytic for  $H^\infty$  if and only if  $E$  is either a singleton or a strong uniqueness set.

**Proof.** One direction being obvious, we need only show that every  $t$ -analytic set  $E$  with  $E \cap \partial H^\infty \neq \emptyset$  and containing at least two points is a strong uniqueness set for  $H^\infty$ . In fact, by [2], the ideal  $I(E, H^\infty)$  is a closed prime ideal. By [8, Theorem 3.3], any non-zero closed prime ideal whose hull meets the Shilov boundary, is maximal. Thus  $I(E, H^\infty) = \{0\}$  whenever  $E$  contains at least two points. Hence  $E$  is a strong uniqueness set in that case.  $\square$

In what follows, let  $\hat{\sigma}$  denote the lifted Lebesgue measure defined on the Borel sets of the extremely disconnected set  $M(L^\infty)$  (see [3, p. 17]). Recall that for any  $f \in L^\infty$

$$\int_{\mathbb{T}} f d\sigma = \int_{M(L^\infty)} \hat{f} d\hat{\sigma},$$

and that  $\hat{\sigma}(B^\circ) = \hat{\sigma}(B) = \hat{\sigma}(\bar{B})$  for any Borel set  $B \subseteq M(L^\infty)$ . Here  $\hat{f}$  is the Gelfand transform of  $f \in L^\infty$ . The characteristic function of a set  $S \subseteq \mathbb{T}$  is denoted by  $\chi_S$ . Similarly for sets in  $M(L^\infty)$ . It is well known that the sets

$$\{\hat{\chi}_S = 1\} := \{x \in M(L^\infty) : \hat{\chi}_S(x) = 1\},$$

$S \subseteq \mathbb{T}$  Lebesgue-measurable, form a basis of closed-open sets for the topology on  $M(L^\infty)$  (see [3, p. 17]).

Let  $QC$  be the algebra of quasi-continuous functions on  $\mathbb{T}$ ; that is  $QC$  is the biggest  $C^*$ -subalgebra of  $H^\infty + C$ . Moreover, let  $QA = QC \cap H^\infty$ . See [9,10] for a thorough study of these algebras.

The following lemma has been communicated to me by Keiji Izuchi.

**Lemma 4.2.** Let  $E$  be a non-void closed subset of  $M(L^\infty)$  with  $\hat{\sigma}(E) = 0$ . Then there exists a non-constant function  $f \in H^\infty$  such that  $f \equiv 0$  on  $E$ .

**Proof.** Let  $K_n$  be a sequence of closed–open sets in  $M(L^\infty)$  satisfying

$$E \subseteq K_{n+1} \subseteq K_n$$

and  $\hat{\sigma}(K_n) \rightarrow 0$ . Let

$$F = \sum_{n=1}^{\infty} (1 - \chi_{K_n})/n^2.$$

Then  $F \in C(M(L^\infty))$ . Hence there is  $q \in L^\infty$  such that  $\hat{q} = F$ . Moreover,

$$F \equiv 0 \quad \text{on } P := \bigcap_{n=1}^{\infty} K_n.$$

Note that  $E \subseteq P$  and that  $\hat{\sigma}(P) = 0$ . By Wolff [10, Theorem 1], there is a non-zero  $f \in QA$  such that  $fF \in QC$ . Then, with  $X = M(L^\infty)$ ,

$$Z_X(fF) = Z_X(f) \cup Z_X(F) = Z_X(f) \cup P.$$

Since zero-sets of  $QC$ -functions have lifted Lebesgue measure 0 (on  $M(QC)$ ), we deduce from [10, Lemma 2.3] that  $Z_X(f) \cup P$  is a weak peak interpolation set for  $QA$ . Hence there is a non-constant  $g \in QA$  such that  $g \equiv 0$  on  $Z_X(f) \cup P \supseteq E$ .  $\square$

**Theorem 4.3.** Let  $E$  be a non-void closed subset of  $\partial H^\infty$ . The following assertions are equivalent:

- (1)  $E$  is a strong uniqueness set for  $H^\infty$ ;
- (2) For every Lebesgue measurable set  $S \subseteq \mathbb{T}$  with strictly positive Lebesgue measure either  $\hat{\sigma}(E \cap \{\hat{\chi}_S = 1\}) > 0$  or  $E \cap \{\hat{\chi}_S = 1\} = \emptyset$ .

In particular,  $\partial H^\infty \in \mathcal{U}$ .

**Proof.** (2)  $\implies$  (1): Let  $U \subseteq M(H^\infty)$  be any open set with  $U \cap E \neq \emptyset$ . Let  $x \in U \cap E$ . Then there is a Lebesgue measurable set  $S \subseteq \mathbb{T}$  with  $\sigma(S) > 0$  such that

$$x \in \{\hat{\chi}_S = 1\} \subseteq U \cap M(L^\infty).$$

Hence  $\emptyset \neq E \cap \{\hat{\chi}_S = 1\} \subseteq E \cap U$ . Suppose that for some  $f \in H^\infty$ ,  $f \equiv 0$  on  $E \cap U$ . Then  $f \equiv 0$  on  $E \cap \{\hat{\chi}_S = 1\}$ , too. Now we use that for any  $f \in H^\infty \setminus \{0\}$ ,  $Z(f) \cap \partial H^\infty$  has lifted Lebesgue measure 0. Our hypothesis that  $\hat{\sigma}(E \cap \{\hat{\chi}_S = 1\}) > 0$  now implies that  $f$  is the zero function in  $H^\infty$ . Hence  $E \in \mathcal{U}$ .

(1)  $\implies$  (2) will be proven via contraposition. So suppose  $E \subseteq \partial H^\infty = M(L^\infty)$  satisfies  $E \cap \{\hat{\chi}_S = 1\} \neq \emptyset$ , but

$$\hat{\sigma}(E \cap \{\hat{\chi}_S = 1\}) = 0$$

for some measurable set  $S \subseteq \mathbb{T}$  of positive Lebesgue measure. By Lemma 4.2, there is a non-constant  $f \in H^\infty$  with  $f \equiv 0$  on  $E \cap \{\hat{\chi}_S = 1\}$ . Hence  $E$  cannot be a strong uniqueness set for  $H^\infty$ .  $\square$

Is it possible to give a description of the strong uniqueness sets  $E$  in  $\partial H^\infty$  using only properties of  $H^\infty$  when viewed as a set of functions defined on  $\mathbb{T}$ ?

For example let  $S$  be a measurable subset of  $\mathbb{T}$ . Then  $S$  is a ‘strong uniqueness set’ for  $H^\infty|_{\mathbb{T}}$  if and only if  $\sigma(S \cap I) > 0$  for every open arc  $I \subseteq \mathbb{T}$  with  $S \cap I \neq \emptyset$ . Which relations can one expect between  $S$  and  $E$ ?

Next we compare the  $t$ -analytic sets for  $H^\infty$  and  $H^\infty + C$ .

**Lemma 4.4.** Let  $x \in M(H^\infty + C)$ . Denote the identity function on  $\mathbb{T}$  by  $\mathbf{z}$ . Then the  $k$ -hull  $k(x)$  of  $x$  is contained in a single fiber

$$M_\lambda = \{m \in M(H^\infty + C) : m(\mathbf{z}) = \lambda\},$$

$$|\lambda| = 1.$$

**Proof.** The assertion follows from the facts that  $k(x)$  is contained in a unique  $C(\mathbb{T})$ -level set

$$E_\lambda = \{m \in M(H^\infty + C) : m(f) = f(\lambda) \text{ for every } f \in C(\mathbb{T})\}$$

which coincides with the fibers.  $\square$

We shall need several times the following lemma, whose first assertion is a special case of [5, Lemma 2.4].

**Lemma 4.5.** *Let  $x \in M(H^\infty + C) \setminus \partial H^\infty$ . Then the ideal  $J(x, H^\infty + C)$  is algebraically generated by Blaschke products. Moreover,  $k(x)$  is hull-kernel closed in  $H^\infty$ .*

**Proof.** Since  $J := J(x, H^\infty + C)$  is generated by Blaschke products, we have that  $k(x) = \bigcap_{B \in J} Z(B)$ . Accordingly, for every  $y \in M(H^\infty) \setminus k(x)$  there exists a Blaschke product  $B$  with  $B \in J$ , hence  $B \equiv 0$  on  $k(x)$ , but  $B(y) \neq 0$ . Thus  $k(x)$  is hull-kernel closed in  $H^\infty$ .  $\square$

**Lemma 4.6.** *Let  $E \subseteq M(H^\infty) \setminus \mathbb{D}$  be a  $t$ -analytic set for  $H^\infty$  and let  $x \in E \setminus \partial H^\infty$ . Then  $E \subseteq k(x)$ .*

**Proof.** By Lemma 4.4,  $k(x)$  is contained in a single fiber. In particular,  $M(H^\infty + C) \setminus k(x) \neq \emptyset$ . So let  $y \in M(H^\infty + C) \setminus k(x)$ . Since  $x \notin \partial H^\infty$ , by Lemma 4.5, there is a Blaschke product  $B \in J(x, H^\infty + C)$  such that  $B(y) \neq 0$ . In particular  $B \equiv 0$  on an open set  $U$  in  $M(H^\infty + C)$  with  $x \in U$ . Note that  $E \cap U \neq \emptyset$ . Choose an open set  $V$  in  $M(H^\infty)$  such that  $U = V \cap M(H^\infty + C)$ . Then  $B \equiv 0$  on  $E \cap V = E \cap U$ . Since  $E$  is  $t$ -analytic for  $H^\infty$ , we conclude that  $y \notin E$ . Hence  $E \subseteq k(x)$ .  $\square$

**Corollary 4.7.** *Let  $E \subseteq M(H^\infty) \setminus \mathbb{D}$  be a  $t$ -analytic set for  $H^\infty$ . Then  $\bar{E}$  either is entirely contained in the Shilov boundary or in  $M(H^\infty + C) \setminus \partial H^\infty$ .*

**Proof.** Assume that there is  $x \in \bar{E} \setminus \partial H^\infty$ . By the Observation 2.1,  $\bar{E}$  is  $t$ -analytic. Hence, by Lemma 4.6,  $\bar{E} \subseteq k(x)$ . By [5],  $k(x) \cap \partial H^\infty = \emptyset$ . Thus  $\bar{E}$  does not meet  $\partial H^\infty$ .  $\square$

**Theorem 4.8.** *Let  $E$  be a set in  $M(H^\infty) \setminus \mathbb{D}$  that does not meet the Shilov boundary of  $H^\infty$ . Then  $E$  is  $t$ -analytic for  $H^\infty$  if and only if  $E$  is  $t$ -analytic for  $H^\infty + C$ .*

**Proof.** If  $E$  is  $t$ -analytic for  $H^\infty + C$ , then it is easily seen that  $E$  is  $t$ -analytic for  $H^\infty$ . Indeed, it suffices to observe that any open set  $U$  in  $M(H^\infty)$  induces the open set  $U \cap M(H^\infty + C)$  in  $M(H^\infty + C)$ .

Conversely, let  $E \subseteq M(H^\infty + C)$  be  $t$ -analytic for  $H^\infty$  with  $E \cap \partial H^\infty = \emptyset$ . Let  $f \in H^\infty + C$  vanish identically on  $E \cap \Omega$  for an open set  $\Omega \subseteq M(H^\infty + C)$  with  $E \cap \Omega \neq \emptyset$ . Let  $x \in E$ . Since  $E \cap \partial H^\infty = \emptyset$  we may use Lemma 4.6 to conclude that  $E \subseteq k(x)$ . Moreover, by Lemma 4.4,  $k(x)$  is contained in a single fiber  $M_\lambda$ . Now on fibers,  $(H^\infty + C)|_{M_\lambda} = H^\infty|_{M_\lambda}$ . Thus we may choose  $F \in H^\infty$  such that  $F = f$  on  $M_\lambda$ . Now for any open set  $W$  in  $M(H^\infty)$  with  $W \cap M(H^\infty + C) = \Omega$ , we have  $F \equiv 0$  on  $W \cap E$ . Since  $E$  is  $t$ -analytic for  $H^\infty$ ,  $F \equiv 0$  on  $E$  and so does  $f$ . Hence  $E$  is  $t$ -analytic for  $H^\infty + C$ .  $\square$

Recall that a point  $x \in E \subseteq X$ ,  $X$  a topological space, is said to be an isolated point (for  $E$ ), if there exists an open neighborhood  $U$  of  $x$  such that  $U \cap E = \{x\}$ .

**Proposition 4.9.** *Let  $E$  be a subset of  $\mathbb{D}$ . Suppose that  $E$  contains more than one point. Then the following assertions are equivalent:*

- (1)  $E$  is a strong uniqueness set for  $H^\infty$ ;
- (2)  $E$  is  $t$ -analytic for  $H^\infty$ ;
- (3)  $E$  does not contain any isolated point.

**Proof.** (1)  $\implies$  (2) trivial.

(2)  $\implies$  (3) Suppose to the contrary that  $z_0 \in E$  is an isolated point. The function  $z - z_0$  then vanishes in a relative open neighborhood of  $E$ , but not at any other point. Thus  $E$  is no longer a  $t$ -analytic set.

(3)  $\implies$  (1) This follows immediately from the fact that the zeros of non-constant holomorphic functions are discrete (in  $\mathbb{D}$ ).  $\square$

We note that the implication (2)  $\implies$  (3) holds true in any function algebra; this is a special case of [2, Corollary 4.11].

**Theorem 4.10.** *Let  $E$  be a  $t$ -analytic set for  $H^\infty$  such that  $E \cap \mathbb{D} \neq \emptyset$ . Then*

$$E \subseteq \partial H^\infty \cup \overline{E \cap \mathbb{D}}.$$

**Proof.** Suppose contrariwise that there is some  $x \in E \setminus \partial H^\infty$  and  $x \notin \overline{E \cap \mathbb{D}}$ . Let  $z_0 \in E \cap \mathbb{D}$ . Choose, as in Lemma 4.6, a Blaschke product  $B$  such that  $B$  vanishes identically on a neighborhood  $U^*$  of  $x$  in  $M(H^\infty + C)$ . Let the open subset  $U$  of  $U^*$  satisfy  $x \in U$  and  $\overline{U \cap E \cap \mathbb{D}} = \emptyset$ . We may also assume that  $B(z_0) \neq 0$  (otherwise we just delete the zero  $z_0$ ). Let  $V \subseteq M(H^\infty)$  be open with  $V \cap M(H^\infty + C) = U$ ,  $z_0 \notin V$  and  $V \cap \overline{E \cap \mathbb{D}} = \emptyset$ . Note that  $E \setminus \overline{E \cap \mathbb{D}} \subseteq M(H^\infty + C)$ . Then

$$\begin{aligned} V \cap E &= V \cap (E \setminus \overline{E \cap \mathbb{D}}) = V \cap (E \setminus \overline{E \cap \mathbb{D}}) \cap M(H^\infty + C) \\ &= U \cap (E \setminus \overline{E \cap \mathbb{D}}) = (U \cap E) \setminus \overline{E \cap \mathbb{D}} = U \cap E. \end{aligned}$$

Hence  $B \equiv 0$  on  $V \cap E$ , but  $B(z_0) \neq 0$ . Accordingly,  $E$  is not  $t$ -analytic.  $\square$

As an immediate corollary we have the following corona-type theorem.

**Corollary 4.11.** *Let  $E$  be a closed  $t$ -analytic set for  $H^\infty$  with  $E \cap \mathbb{D} \neq \emptyset$  and  $E \cap \partial H^\infty = \emptyset$ . Then  $E = \overline{E \cap \mathbb{D}}$ .*

Let us note that the set of non-closed  $t$ -analytic sets for  $H^\infty$  is very huge. For example, in view of Observation 2.2 one has that for all  $S \subseteq M(H^\infty + C)$  the set  $\mathbb{D} \cup S$  is a strong uniqueness set for  $H^\infty$ .

**Theorem 4.12.** *Let  $E \subseteq M(H^\infty)$  and suppose that  $E \cap \mathbb{D} \neq \emptyset$  or  $E \subseteq \partial H^\infty$ . Then the following assertions are equivalent:*

- (1)  $E$  is a strong uniqueness set for  $H^\infty$ ;
- (2)  $E$  is  $t$ -analytic for  $H^\infty$  and contains more than one point.

**Proof.** (1)  $\implies$  (2) is trivial. By Proposition 4.1, (2)  $\implies$  (1) whenever  $E \subseteq \partial H^\infty$ . Now suppose that  $E \cap \mathbb{D} \neq \emptyset$  and that  $E$  contains more than one point. As previously mentioned, the  $t$ -analyticity of  $E$  implies that  $E \cap \mathbb{D}$  does not contain any isolated point. Hence, by Proposition 4.9,  $E \cap \mathbb{D}$  is a strong uniqueness set. By Observation 2.1, this implies that  $\overline{E \cap \mathbb{D}}$  is in  $\mathcal{U}$ , too. Consider now the set

$$S := E \setminus \overline{E \cap \mathbb{D}}.$$

If  $S = \emptyset$ , then  $E \subseteq \overline{E \cap \mathbb{D}}$ . Hence  $E \cap \mathbb{D} \subseteq E \subseteq \overline{E \cap \mathbb{D}}$ . Since  $E \cap \mathbb{D} \in \mathcal{U}$ , we have, by Observation 2.2, that  $E \in \mathcal{U}$ .

Let us now assume that  $S \neq \emptyset$ . By Theorem 4.10,  $S \subseteq \partial H^\infty$ . Note that  $S$  is not a singleton, since otherwise  $E$  would contain an isolated point. This would contradict the fact that  $E$  is  $t$ -analytic.

We are going to show that  $S$  is  $t$ -analytic. Let  $U$  be an open set in  $M(H^\infty)$  with  $U \cap S \neq \emptyset$  and let  $f \in H^\infty$  be such that  $f \equiv 0$  on  $U \cap S$ . By passing to a subset, we may assume that  $U \cap \overline{E \cap \mathbb{D}} = \emptyset$ , but still  $U \cap S \neq \emptyset$ . Hence  $U \cap S = U \cap E$ . Since  $E$  is  $t$ -analytic, we get that  $f \equiv 0$  on  $E$ . In particular,  $f \equiv 0$  on  $S$ . Thus  $S$  is  $t$ -analytic. By Proposition 4.1,  $S$  is in  $\mathcal{U}$ . By the Observation 2.7,  $S \cup \overline{E \cap \mathbb{D}} \in \mathcal{U}$ . Since  $E = S \cup \overline{E \cap \mathbb{D}}$ , we conclude that  $E \in \mathcal{U}$ .  $\square$

Let  $\mathcal{F}$  denote the class of subsets  $F$  of  $\mathbb{D}$  that do not contain any isolated points, let  $\mathcal{U}_c$  denote the class of those strong uniqueness sets for  $H^\infty$  that are closed. The following concluding theorems sum up the different situations dealt with above.

**Theorem 4.13.** *Let  $E \subseteq M(H^\infty)$  be closed. Then  $E \in \mathcal{U}_c \cup \{\emptyset\}$  if and only if  $E = K \cup \overline{F}$ , where  $F \in \mathcal{F}$  and where  $K \subseteq \partial H^\infty$  is a closed set such that for every Lebesgue measurable set  $S \subseteq \mathbb{T}$  with strictly positive Lebesgue measure either  $\hat{\sigma}(K \cap \{\chi_S = 1\}) > 0$  or  $K \cap \{\chi_S = 1\} = \emptyset$ .*

**Proof.** Let  $E = K \cup \overline{F}$ , where  $K$  and  $F$  satisfy the conditions above. By Theorem 4.3,  $K \in \mathcal{U}$  whenever  $K \neq \emptyset$ . By Proposition 4.9,  $F \in \mathcal{U}$  whenever  $F \neq \emptyset$ . By the Observation 2.7,  $E \in \mathcal{U}$ .

Conversely, let  $E \in \mathcal{U}_c$ . We discuss two cases:

*Case 1.*  $E \cap \mathbb{D} \neq \emptyset$ . Since strong uniqueness sets do not contain isolated points,  $E \cap \mathbb{D} \in \mathcal{F}$ . Hence, by Proposition 4.9,  $E \cap \mathbb{D} \in \mathcal{U}$ . Moreover, by Observation 2.1,  $\overline{E \cap \mathbb{D}} \in \mathcal{U}$ . If  $\overline{E \cap \mathbb{D}} = E$ , then we are done. So suppose that  $\overline{E \cap \mathbb{D}} \subsetneq E$ . By the Observation 2.3,  $E \setminus \overline{E \cap \mathbb{D}} \in \mathcal{U}$ , and so again,

$$K := E \setminus \overline{E \cap \mathbb{D}} \in \mathcal{U}.$$

But by Theorem 4.10,  $K \subseteq \partial H^\infty$ . Hence we can conclude from Theorem 4.3 that  $K$  has the desired property. Since  $E = \overline{F} \cup K$ , where  $F = E \cap \mathbb{D}$ , we are done.

*Case 2.*  $E \cap \mathbb{D} = \emptyset$ . Corollary 4.7 implies that either  $E \subseteq \partial H^\infty$ , or  $E \cap \partial H^\infty = \emptyset$ . We shall see that the hypotheses  $E \in \mathcal{U}$  implies that the second case does not occur. So suppose that  $E \subseteq M(H^\infty + C) \setminus \partial H^\infty$ . Then, by Lemma 4.6,  $E \subseteq k(x)$  for  $x \in E$ . Hence the hull-kernel closure in  $M(H^\infty)$  of  $E$  is contained in  $k(x)$ , too (see Lemma 4.5). Since  $E$  is  $t$ -analytic, we deduce that  $E$  cannot be a strong uniqueness set. Hence we must have that  $E \subseteq \partial H^\infty$ . Using Theorem 4.3 again, we see that  $K := E$  has the property we wish.  $\square$

Combining Theorems 4.3, 4.12 and 4.13, we get the following result.

**Theorem 4.14.** *The class  $\mathcal{A}_{\mathbb{D}}$  of closed  $t$ -analytic sets for  $H^{\infty}$  that meet  $\mathbb{D}$  is given by  $\mathcal{A}_{\mathbb{D}} = \mathcal{A}_1 \cup \mathcal{A}_2$ , where*

$$\mathcal{A}_1 = \{ \{z_0\} : z_0 \in \mathbb{D} \},$$

and

$$\mathcal{A}_2 = \{ K \cup \bar{F} : \emptyset \neq F \subseteq \mathbb{D}, F \in \mathcal{F}, K \subseteq \partial H^{\infty}, K \in \mathcal{U}_c \text{ or } K = \emptyset \}.$$

Finally, a combination of Theorems 4.7, 4.8 and 4.12 yields:

**Theorem 4.15.** *The class  $\mathcal{A}_{\text{cor}}$  of closed  $t$ -analytic sets for  $H^{\infty}$  contained in the corona  $M(H^{\infty} + C)$  of  $H^{\infty}$  is given by  $\mathcal{A}_{\text{cor}} = \mathcal{A}_3 \cup \mathcal{A}_4$ , where*

$$\mathcal{A}_3 = \{ E : E \text{ } t\text{-analytic for } H^{\infty} + C \}$$

and

$$\mathcal{A}_4 = \{ E : E \subseteq \partial H^{\infty}, E \in \mathcal{U}_c \}.$$

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