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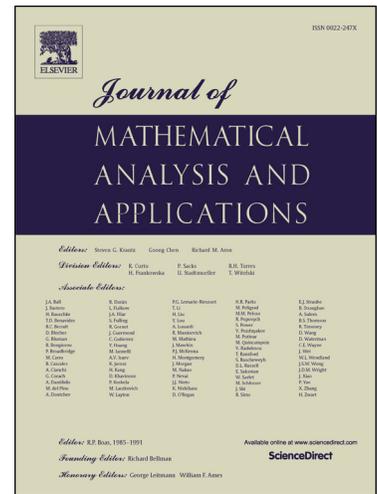
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A LEVEL 16 ANALOGUE OF RAMANUJAN SERIES FOR $1/\pi$

YOONJIN LEE AND YOON KYUNG PARK

ABSTRACT. The modular function

$$h(\tau) = q \prod_{n=1}^{\infty} \frac{(1 - q^{16n})^2 (1 - q^{2n})}{(1 - q^n)^2 (1 - q^{8n})}$$

is called a *level 16 analogue of Ramanujan's series for $1/\pi$* . We prove that $h(\tau)$ generates the field of modular functions on $\Gamma_0(16)$ and find its modular equation of level n for any positive integer n . Furthermore, we construct the ray class field $K(h(\tau))$ modulo 4 over an imaginary quadratic field K for $\tau \in K \cap \mathfrak{H}$ such that $\mathbb{Z}[4\tau]$ is the integral closure of \mathbb{Z} in K , where \mathfrak{H} is the complex upper half plane. For any $\tau \in K \cap \mathfrak{H}$, it turns out that the value $1/h(\tau)$ is integral, and we can also explicitly evaluate the values of $h(\tau)$ if the discriminant of K is divisible by 4.

1. INTRODUCTION

In [21], Ramanujan studied the series converging to $1/\pi$:

$$\frac{1}{\pi} = \frac{1}{16} \sum_{n=0}^{\infty} \binom{2n}{n}^3 \frac{42n + 5}{2^{12n}}.$$

The coefficient $\binom{2n}{n}^3$ appearing in the above series is the same as the coefficient appearing in the following identity

$$q \frac{d}{dq} \log \left(\frac{\omega}{1 - 16\omega} \right) = \sum_{n=0}^{\infty} \binom{2n}{n}^3 (\omega(1 - 16\omega))^n,$$

where

$$\omega(\tau) = q \prod_{n=1}^{\infty} \frac{(1 - q^n)^8 (1 - q^{4n})^{16}}{(1 - q^{2n})^{24}},$$

$q = e^{2\pi i \tau}$ and $\tau \in \mathfrak{H} := \{\tau \in \mathbb{C} : \text{Im}(\tau) > 0\}$. Actually, $\omega(\tau)$ generates the field of modular function on $\Gamma_0(4)$ with a simple zero at ∞ and a simple pole at $1/2$.

These types of identities for $1/\pi$ have been studied: for levels 1, 2 and 3 by Berndt-Bhargava-Garvan [1], for levels 5, 6, 8 and 9 by Chan-Cooper [3], for levels 7, 10 and 18 by Cooper [6, 7], for levels 11 and 23 by Cooper-Ge-Ye [8] and for levels 12, 13, 14 and 15 by Cooper-Ye [9, 10, 11].

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Recently, Ye studied a modular function $h(\tau)$ of level 16 [23]:

$$(1.1) \quad h(\tau) = q \prod_{n=1}^{\infty} \frac{(1 - q^{16n})^2 (1 - q^{2n})}{(1 - q^n)^2 (1 - q^{8n})}.$$

This modular function $h(\tau)$ is called a *level 16 analogue of Ramanujan's series for $1/\pi$* . He showed that the coefficient A_n appearing in the series (1.3) converging to $1/\pi$ is exactly the same as the coefficient A_n appearing in (1.2), where

$$(1.2) \quad q \frac{d}{dq} \log \left(\frac{h}{(1+2h)(1+4h)} \right) = \sum_{n=0}^{\infty} A_n \left(\frac{h(1+2h)(1+4h)}{(1-8h^2)^2} \right)^n,$$

$$(1.3) \quad \frac{1}{\pi} = \frac{1}{8\sqrt{14}} \sum_{n=0}^{\infty} A_n \frac{48n+13}{56^n}.$$

For its proof, he proved several identities between $h(\tau)$ and some η -quotients, and he found modular equations of $h(\tau)$ of levels 2, 4 and 8.

In this paper we study the modular function $h(\tau)$ of level 16. We first prove that $h(\tau)$ generates the field of modular functions on $\Gamma_0(16)$ (Theorem 1.1), and we find the modular equations of level n for any positive integer n (Theorem 1.2). We find examples for levels 2, 3, 5, 7 and 11 in Table 1 using MAPLE program. On the other hand, we use $h(\tau)$ to get the ray class field modulo 4 over an imaginary quadratic field K (Theorem 1.3, Corollary 1.4). We show that the value $1/h(\tau)$ is an algebraic integer in a certain number field (Theorem 1.5). If $h(\tau)$ can be written in terms of radicals, then we can write $h(r\tau)$ in terms of radicals for any positive rational number r by using the algorithm in [17, Algorithm 1.6]. Furthermore, we can get the value $h(\tau)$ for $\tau \in K \cap \mathfrak{H}$ when K has discriminant divisible by 4 (Theorem 1.6).

We state our main results as follows.

Theorem 1.1. *Let $h(\tau)$ be defined in (1.1). Then $h(\tau)$ is a modular function on $\Gamma_0(16)$ and the field of modular functions on $\Gamma_0(16)$ is $\mathbb{C}(h(\tau))$.*

Theorem 1.2. *For any positive integer n , we can obtain a modular equation $F_n(X, Y)$ of $h(\tau)$ of level n in an explicit way.*

Theorem 1.3. *Let K be an imaginary quadratic field with discriminant d_K . Let $\tau \in K \cap \mathfrak{H}$ be a root of the equation $16ax^2 + 4bx + c = 0$ such that $b^2 - 4ac = d_K$, $(a, b, c) = 1$ and $(a, 2) = 1$, where $a, b, c \in \mathbb{Z}$. Then $K(h(\tau))$ is the ray class field modulo 4 over K .*

Corollary 1.4. *Let K be an imaginary quadratic field. If $\mathbb{Z}[4\tau]$ is the integral closure of \mathbb{Z} in K , then $K(h(\tau))$ is the ray class field modulo 4 over K .*

Theorem 1.5. *Let K be an imaginary quadratic field. Then $1/h(\tau)$ is an algebraic integer for any $\tau \in K \cap \mathfrak{H}$.*

Theorem 1.6. *We can explicitly evaluate the value of $h(\tau)$ for any $\tau \in K \cap \mathfrak{H}$ if the discriminant of K is divisible by 4. If $h(\tau)$ is expressed in terms of radicals, then we can express $h(r\tau)$ in terms of radicals for any positive rational number r . In particular, if $n = 1$ or n is a square free positive integer with $n \not\equiv 3 \pmod{4}$, then we can evaluate $h(r\sqrt{-n})$ for any positive rational number r .*

2. A MODULAR FUNCTION OF LEVEL 16

We recall some definitions and properties in the theory of modular functions. Let $\mathfrak{H}^* := \mathfrak{H} \cup \mathbb{Q} \cup \{\infty\}$. For a positive integer N , the congruence subgroup $\Gamma_0(N)$ is a subgroup of $\mathrm{SL}_2(\mathbb{Z})$ defined by

$$\Gamma_0(N) := \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z}) : N \mid c \right\}.$$

A element $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ of $\Gamma_0(N)$ acts on \mathfrak{H}^* by a linear fractional transformation: $\gamma\tau = (a\tau + b)/(c\tau + d)$. We call an element $s \in \mathbb{Q} \cup \{\infty\}$ a *cusps*. If there exists $\gamma \in \Gamma_0(N)$ satisfying $\gamma s_1 = s_2$, then two cusps s_1 and s_2 are equivalent under $\Gamma_0(N)$. In fact, there are at most finitely many inequivalent cusps of $\Gamma_0(N)$. The *width* h of a cusp s in $\Gamma_0(N) \backslash \mathfrak{H}^*$ is the smallest positive integer satisfying that $\rho^{-1} \begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix} \rho \in \Gamma_0(N)$ for some $\rho \in \mathrm{SL}_2(\mathbb{Z})$ with $\rho(s) = \infty$. Indeed, the width of the cusp s depends only on the equivalent class of s under $\Gamma_0(N)$, and it does not depend on the choice of ρ .

A *modular function* $f(\tau)$ on $\Gamma_0(N)$ is a \mathbb{C} -valued function of \mathfrak{H} satisfying the following three conditions:

- (1) $f(\tau)$ is meromorphic on \mathfrak{H} .
- (2) $f(\tau)$ is invariant under $\Gamma_0(N)$, i.e., $f(\gamma\tau) = f(\tau)$ for all $\gamma \in \Gamma_0(N)$.
- (3) $f(\tau)$ is meromorphic at all cusps of $\Gamma_0(N)$.

The order of $f(\tau)$ at a cusp is calculated as follows. Let s be a cusp of $\Gamma_0(N)$, $f(\tau)$ be a modular function on $\Gamma_0(N)$, h be the width of s , and ρ be an element of $\mathrm{SL}_2(\mathbb{Z})$ such that $\rho(s) = \infty$. Note that

$$(f \circ \rho^{-1})(\tau + h) = \left(f \circ \rho^{-1} \begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix} \rho \right) (\rho^{-1}\tau) = (f \circ \rho^{-1})(\tau)$$

and $f \circ \rho^{-1}$ has a Laurent series expansion in $q_h = e^{2\pi i\tau/h}$, i.e., $(f \circ \rho^{-1})(\tau) = \sum_{n \geq n_0} a_n q_h^n$ with $a_{n_0} \neq 0$. Then we call n_0 the *order* at the cusp s of $f(\tau)$ and denote by $\mathrm{ord}_s f(\tau)$.

Let $A_0(\Gamma_0(N))$ be the field of all modular functions on $\Gamma_0(N)$ and $A_0(\Gamma_0(N))_{\mathbb{Q}}$ be the subfield of $A_0(\Gamma_0(N))$ which consists of all modular functions $f(\tau)$ whose Fourier coefficients belong to \mathbb{Q} . The field $A_0(\Gamma_0(N))$ may be identified with the field $\mathbb{C}(\Gamma_0(N) \backslash \mathfrak{H}^*)$ of all meromorphic functions on the compact Riemann surface $\Gamma_0(N) \backslash \mathfrak{H}^*$. When $f(\tau) \in A_0(\Gamma_0(N))$ is nonconstant, the extension degree $[A_0(\Gamma_0(N)) : \mathbb{C}(f(\tau))]$ is equal to the total degree of poles of $f(\tau)$. Hence, if a modular function $f(\tau)$ of $\Gamma_0(N)$ has neither zeros nor poles on \mathfrak{H} , then

$$[A_0(\Gamma_0(N)) : \mathbb{C}(f(\tau))] = - \sum_{\substack{s \text{ is a cusp of } \Gamma_0(N), \\ \mathrm{ord}_s f(\tau) < 0}} \mathrm{ord}_s f(\tau).$$

From the following lemma we can find the set of all inequivalent cusps of $\Gamma_0(N)$ and the width of each cusp.

Lemma 2.1. *Let $a, c, a', c' \in \mathbb{Z}$ be such that $(a, c) = 1$ and $(a', c') = 1$. We understand that $\pm 1/0 = \infty$. We denote by $S_{\Gamma_0(N)}$ a set of all the inequivalent cusps of $\Gamma_0(N)$. Then*

- (1) *a/c and a'/c' are equivalent under $\Gamma_0(N)$ if and only if there exist $\bar{s} \in (\mathbb{Z}/N\mathbb{Z})^\times$ and $n \in \mathbb{Z}$ such that $(a', c') \equiv (\bar{s}^{-1} \cdot a + nc, \bar{s} \cdot c) \pmod{N}$.*
- (2) *We can take $S_{\Gamma_0(N)}$ as the following set*

$$S_{\Gamma_0(N)} = \left\{ \frac{a_{c,j}}{c} \in \mathbb{Q} : 0 < c \mid N, 0 < a_{c,j} \leq N, (a_{c,j}, N) = 1, \right. \\ \left. a_{c,j} = a_{c,j'} \stackrel{\text{def.}}{\Leftrightarrow} a_{c,j} \equiv a_{c,j'} \pmod{(c, N/c)} \right\}.$$

- (3) *The width of the cusp $a/c \in S_{\Gamma_0(N)}$ is $N/(N, c^2)$.*

Proof. See [5, Corollary 4 (1)]. □

The Dedekind eta function is defined by

$$\eta(\tau) := q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n).$$

The following two lemmas present some information on the modularity and the behavior of an eta-quotient.

Lemma 2.2. *Let $f(\tau) = \prod_{\delta \mid N} \eta(\delta\tau)^{r_\delta}$ be an eta-quotient, where $k = \frac{1}{2} \sum_{\delta \mid N} r_\delta \in \mathbb{Z}$ and $s = \prod_{\delta \mid N} \delta^{r_\delta}$, with the additional properties that*

- (1) $\sum_{\delta \mid N} \delta r_\delta \equiv 0 \pmod{24}$, and
- (2) $\sum_{\delta \mid N} \frac{N}{\delta} r_\delta \equiv 0 \pmod{24}$.

Then $f(\tau)$ satisfies

$$f\left(\frac{a\tau + b}{c\tau + d}\right) = \left(\frac{(-1)^k s}{d}\right) (c\tau + d)^k f(\tau)$$

for any $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma_0(N)$.

Lemma 2.3. *Let c, d and N be positive integers with $d \mid N$ and $(c, d) = 1$. If $f(\tau)$ is an eta-quotient satisfying the conditions of Lemma 2.2, then the order of vanishing of $f(\tau)$ at the cusp c/d is*

$$\frac{N}{24} \sum_{\delta \mid N} \frac{(d, \delta)^2 \cdot r_\delta}{(d, N/d) \cdot d\delta}.$$

The proof of Lemma 2.2 is found in [13, 19, 20], and the proof of Lemma 2.3 is given in [2, 16, 18].

Proof of Theorem 1.1. Note that $h(\tau)$ is written as $\eta(\tau)^{-2}\eta(2\tau)\eta(8\tau)^{-1}\eta(16\tau)^2$. By Lemma 2.2, for any $\gamma \in \Gamma_0(16)$, $h(\gamma\tau) = h(\tau)$. Since $\eta(\tau)$ is holomorphic on \mathfrak{H}^* with only zero at cusps, $h(\tau)$ is a modular function on $\Gamma_0(16)$. By Lemma 2.1 (2), the set $S_{\Gamma_0(16)}$ of inequivalent cusps of $\Gamma_0(16)$ can be taken as

$$S_{\Gamma_0(16)} = \left\{ \infty, 0, \frac{1}{2}, \frac{1}{4}, \frac{3}{4}, \frac{1}{8} \right\}.$$

Furthermore, Lemma 2.3 shows that $h(\tau)$ has the only simple pole at 0 and the only simple zero at ∞ . Hence $[A_0(\Gamma_0(16)) : \mathbb{C}(h(\tau))] = 1$ and the field $A_0(\Gamma_0(16))$ is generated by $h(\tau)$. □

For the simplicity, we call the *Hauptmodul* the normalized generator of a genus zero function field with q -series $q^{-1} + 0 + \sum_{n=1}^{\infty} c_n q^n$. Since $1/h(\tau)$ has a pole at ∞ and its q -series is $q^{-1} - 2 + 2q^3 - q^7 + \dots$, we see that $1/h(\tau) + 2$ is the Hauptmodul of $\Gamma_0(16)$. By Theorem 1.1 we get another proof of [23, Theorem 3.3 (1)].

Proposition 2.4.

$$h\left(\tau + \frac{1}{2}\right) = -\frac{h(\tau)}{1 + 4h(\tau)}.$$

Proof. By the definition of $h(\tau)$,

$$H(\tau) := h\left(\tau + \frac{1}{2}\right) = -\frac{\eta^2(\tau)\eta^2(4\tau)\eta^2(16\tau)}{\eta^5(2\tau)\eta(8\tau)}.$$

Using Lemma 2.3, $H(\tau)$ is a modular function on $\Gamma_0(16)$ with only zero at ∞ and only pole at $1/2$. From that $1/H(\tau) = -q^{-1} - 2 - 2q^3 + q^7 + \dots$, we note that $-1/H(\tau) - 2$ is the Hauptmodul on $\Gamma_0(16)$. By solving $1/h(\tau) + 2 = -1/H(\tau) - 2$, we obtain that

$$h\left(\tau + \frac{1}{2}\right) = -\frac{h(\tau)}{1 + 4h(\tau)}.$$

□

We need the following lemma to have the existence of an affine plane model defined over \mathbb{Q} , which will be called the *modular equation*.

Lemma 2.5. *Let n be a positive integer. Then we get*

$$\mathbb{Q}(h(\tau), h(n\tau)) = A_0(\Gamma_0(16n))_{\mathbb{Q}}.$$

Proof. For any $\alpha \in \mathrm{GL}_2^+(\mathbb{Q})$, $h(\alpha\tau) = h(\tau)$ if and only if $\alpha \in \mathbb{Q}^\times \cdot \Gamma_0(16)$ since $\mathbb{Q}(h(\tau)) = A_0(\Gamma_0(16))_{\mathbb{Q}}$. For $\beta_n = \begin{pmatrix} n & 0 \\ 0 & 1 \end{pmatrix}$, we get that

$$\Gamma_0(16) \cap \beta_n^{-1}\Gamma_0(16)\beta_n = \Gamma_0(16n).$$

Hence $h(\tau)$ and $h(n\tau) = h \circ \beta_n(\tau)$ belong to $A_0(\Gamma_0(16n))$.

It is sufficient to show that

$$\mathbb{Q}(h(\tau), h(n\tau)) \supset A_0(\Gamma_0(16n)).$$

We choose $M_i \in \Gamma_0(16)$ and write $\Gamma_0(16) = \bigcup_i \Gamma_0(16n) \cdot M_i$ as a disjoint union. Let $x(\tau) := h(n\tau) = (h \circ \beta_n)(\tau)$.

Suppose that we can choose distinct indices i and j such that

$$(2.1) \quad x \circ M_i = x \circ M_j.$$

Then

$$\begin{aligned} h \circ \beta_n \circ M_i &= h \circ \beta_n \circ M_j \\ \Rightarrow h &= h \circ \beta_n M_j M_i^{-1} \beta_n^{-1} \\ \Rightarrow \beta_n M_j M_i^{-1} \beta_n^{-1} &\in \mathbb{Q}^\times \cdot \Gamma_0(16) \\ \Rightarrow M_j M_i^{-1} &\in \beta_n^{-1} \Gamma_0(16) \beta_n. \end{aligned}$$

As $M_i, M_j \in \Gamma_0(16)$, we have $M_j M_i^{-1} \in \Gamma_0(16n)$, and it is a contradiction to (2.1). Therefore all functions $x \circ M_i$ are distinct, and $h(\tau)$ and $h(n\tau)$ generate the field $A_0(\Gamma_0(16n))_{\mathbb{Q}}$ over \mathbb{Q} . □

Lemma 2.6. *Let $a, c, a', c' \in \mathbb{Z}$ and $h(\tau)$ as above. Then we have the following assertions.*

- (1) $h(\tau)$ has a pole at $a/c \in \mathbb{Q} \cup \{\infty\}$ with $(a, c) = 1$ if and only if $(a, c) = 1$ and $(2, c) = 1$.
- (2) $h(n\tau)$ has a pole at $a'/c' \in \mathbb{Q} \cup \{\infty\}$ if and only if there exist $a, c \in \mathbb{Z}$ such that $a/c = na'/c'$, $(a', c') = 1$ and $(2, c) = 1$.
- (3) $h(\tau)$ has a zero at $a/c \in \mathbb{Q} \cup \{\infty\}$ with $(a, c) = 1$ if and only if $(a, c) = 1$ and $16 \mid c$.
- (4) $h(n\tau)$ has a zero at $a'/c' \in \mathbb{Q} \cup \{\infty\}$ if and only if there exist $a, c \in \mathbb{Z}$ such that $a/c = na'/c'$, $(a', c') = 1$ and $16 \mid c$.

Proof. We note that $h(\tau)$ has the only pole at $a/c \in \mathbb{Q} \cup \{\infty\}$ such that a/c is equivalent to 0 under $\Gamma_0(16)$. By Lemma 2.1, $(a, c) \equiv (n, \bar{s}) \pmod{16}$ for some $\bar{s} \in (\mathbb{Z}/16\mathbb{Z})^\times$ and $n \in \mathbb{Z}$. Hence $(a, c) = 1$, $(2, c) = 1$ and we have (1) and (2).

We use that $h(\tau)$ has the only zero at $a/c \in \mathbb{Q} \cup \{\infty\}$ such that a/c is equivalent to ∞ under $\Gamma_0(16)$. For this we have the pair (a, c) such that $(a, c) \equiv (\bar{s}, 0) \pmod{16}$ for some $\bar{s} \in (\mathbb{Z}/16\mathbb{Z})^\times$. In other words, $h(\tau)$ has the zero at a/c such that $(a, c) = 1$ and $c \equiv 0 \pmod{16}$. So, we get (3) and (4). \square

Let d_m be the total degree of poles of $h(m\tau)$ for any positive integer m . We focus on finding a modular equation which gives the relation between $h(\tau)$ and $h(n\tau)$. Then the following lemma gives a polynomial $F_n(X, Y)$ and some information on its coefficients. In detail,

$$F_n(X, Y) = \sum_{\substack{0 \leq i \leq d_n \\ 0 \leq j \leq d_1}} C_{i,j} X^i Y^j \in \mathbb{Q}[X, Y].$$

In [14], Ishida-Ishii shows the following lemma using the theory of algebraic functions. This lemma is useful in checking which coefficient $C_{i,j}$ is zero or nonzero in $F_n(X, Y)$.

Lemma 2.7. *For any congruence subgroup Γ , let $f_1(\tau)$ and $f_2(\tau)$ be nonconstants such that $\mathbb{C}(f_1(\tau), f_2(\tau)) = A_0(\Gamma)$ with the total degree D_k of poles of $f_k(\tau)$ for $k = 1, 2$. Let*

$$F(X, Y) = \sum_{\substack{0 \leq i \leq D_2 \\ 0 \leq j \leq D_1}} C_{i,j} X^i Y^j \in \mathbb{C}[X, Y]$$

be such that $F(f_1(\tau), f_2(\tau)) = 0$. Define the subsets $S_{k,0}$ and $S_{k,\infty}$ of the set S_Γ of all inequivalent cusps of Γ by

$$S_{k,0} := \{s \in S_\Gamma : f_k(\tau) \text{ has zeros at } s\}$$

and

$$S_{k,\infty} := \{s \in S_\Gamma : f_k(\tau) \text{ has poles at } s\}$$

for $k = 1, 2$. Let

$$a = - \sum_{s \in S_{1,\infty} \cap S_{2,0}} \text{ord}_s f_1(\tau) \text{ and } b = \sum_{s \in S_{1,0} \cap S_{2,\infty}} \text{ord}_s f_1(\tau).$$

We assume that a (respectively, b) is zero if $S_{1,\infty} \cap S_{2,0}$ (respectively, $S_{1,0} \cap S_{2,\infty}$) is empty. Then we obtain the following assertions.

- (1) $C_{D_2,a} \neq 0$. In addition, if $S_{1,\infty} \subset S_{2,\infty} \cup S_{2,0}$, then $C_{D_2,j} = 0$ for any $j \neq a$.
- (2) $C_{0,b} \neq 0$. In addition, if $S_{1,0} \subset S_{2,\infty} \cup S_{2,0}$, then $C_{0,j} = 0$ for any $j \neq b$.
- (3) $C_{i,D_1} = 0$ for $0 \leq i < |S_{1,0} \cap S_{2,\infty}|$, $D_2 - |S_{1,\infty} \cap S_{2,\infty}| < i \leq D_2$.

(4) $C_{i,0} = 0$ for $0 \leq i < |S_{1,0} \cap S_{2,0}|$, $D_2 - |S_{1,\infty} \cap S_{2,0}| < i \leq D_2$.

If we interchange the roles of $f_1(\tau)$ and $f_2(\tau)$, then we may have more properties similar to (1)-(4). Suppose that there exist $r \in \mathbb{R}$ and $N, n_1, n_2 \in \mathbb{Z}$ with $N > 0$ such that

$$f_j(\tau + r) = \zeta_N^{n_j} f_j(\tau)$$

for $j = 1, 2$, where $\zeta_N = e^{2\pi i/N}$. Then we get the following assertion:

(5) If $n_1 i + n_2 j \not\equiv n_1 D_2 + n_2 a \pmod{N}$, then $C_{i,j} = 0$. Here note that $n_2 b \equiv n_1 D_2 + n_2 a \pmod{N}$.

Proof. See [14, Lemmas 3 and 6]. \square

The modular equation of level 2. In Lemma 2.7, let $f_1(\tau) = h(\tau)$ and $f_2(\tau) = h(2\tau)$. By Lemma 2.5, $\mathbb{Q}(f_1(\tau), f_2(\tau)) = A_0(\Gamma_0(32))$, and we may take the set $S_{\Gamma_0(32)}$ of inequivalent cusps of $\Gamma_0(32)$ as follows:

$$S_{\Gamma_0(32)} = \left\{ \infty, 0, \frac{1}{2}, \frac{1}{4}, \frac{3}{4}, \frac{1}{8}, \frac{3}{8}, \frac{1}{16} \right\}.$$

From Lemma 2.3, we have

$$\begin{aligned} \text{ord}_\infty f_1(\tau) &= 1, \text{ord}_{1/16} f_1(\tau) = 1, \text{ord}_0 f_1(\tau) = -2, \\ \text{ord}_\infty f_2(\tau) &= 2, \text{ord}_0 f_2(\tau) = -1, \text{and } \text{ord}_{1/2} f_2(\tau) = -1. \end{aligned}$$

Hence we have the polynomial

$$F_2(X, Y) = \sum_{0 \leq i, j \leq 2} C_{i,j} X^i Y^j$$

such that $F_2(f_1(\tau), f_2(\tau)) = 0$. Since there is no point s such that $\text{ord}_s f_1(\tau) < 0$ and $\text{ord}_s f_2(\tau) > 0$, $C_{2,0} \neq 0$, and $C_{2,1} = C_{2,2} = 0$. In a similar way, by using that both $f_1(\tau)$ and $f_2(\tau)$ have a zero at ∞ with $\text{ord}_\infty f_1(\tau) = 1$, we obtain that $C_{0,1} \neq 0$. Without loss of generality, we may assume that $C_{2,0} = 1$. Replacing X and Y by q -expansions of $h(\tau)$ and $h(2\tau)$ in

$$F_2(X, Y) = X^2 + C_{1,2}XY^2 + C_{1,1}XY + C_{1,0}X + C_{0,2}Y^2 + C_{0,1}Y + C_{0,0},$$

then we can determine the remaining coefficients $C_{i,j}$ as follows:

$$C_{0,1} = -1, C_{0,2} = -2, C_{1,1} = -4, C_{1,2} = -8, C_{1,0} = 0, C_{0,0} = 0.$$

Thus, we get

$$F_2(X, Y) = X^2 - Y(1 + 4X)(1 + 2Y).$$

So the modular equation of level 2 of $h(\tau)$ is

$$\frac{h^2(\tau)}{1 + 4h(\tau)} = h(2\tau)(1 + 2h(2\tau)).$$

Theorem 2.8. Let p be an odd prime and $F_n(X, Y)$ be the irreducible polynomial satisfying $F_n(h(\tau), h(n\tau)) = 0$. Then

$$F_p(X, Y) = \sum_{0 \leq i, j \leq p+1} C_{i,j} X^i Y^j \in \mathbb{Q}[X, Y]$$

and

- (1) $C_{p+1,0} \neq 0$ and $C_{0,p+1} \neq 0$.
- (2) $C_{p+1,j} = C_{j,p+1} = 0$ if $j = 1, \dots, p+1$.

$$(3) C_{0,j} = C_{j,0} = 0 \text{ if } j = 0, \dots, p.$$

Proof. For an odd prime p , let $S_{\Gamma_0(16p)}$ be the set of all inequivalent cusps of $\Gamma_0(16p)$. Then by Lemma 2.1 all the elements of $S_{\Gamma_0(16p)}$ are $\infty, 0, 1/2, 1/4, 3/4, 1/8, 1/16, 1/p, 1/2p, 1/4p, 3/4p$ and $1/8p$ with widths $1, 16p, 4p, p, p, p, p, 16, 4, 1, 1$ and 1 , respectively. Since $\mathbb{Q}(h(\tau), h(p\tau)) = A_0(\Gamma_0(16p))$, we have

$$\text{ord}_0 h(\tau) = -p, \text{ord}_{1/p} h(\tau) = -1, \text{ord}_{1/16} h(\tau) = p, \text{ord}_{1/16p} h(\tau) = 1$$

and

$$\text{ord}_0 h(p\tau) = -1, \text{ord}_{1/p} h(p\tau) = -p, \text{ord}_{1/16} h(p\tau) = 1, \text{ord}_{1/16p} h(p\tau) = p.$$

Thus, the modular equation $F_p(X, Y)$ is

$$F_p(X, Y) = \sum_{0 \leq i, j \leq p+1} C_{i,j} X^i Y^j.$$

Consider $f_1(\tau) = h(\tau)$ and $f_2(\tau) = h(p\tau)$ in Lemma 2.7. Then we can take $a = 0$ and $b = p + 1$, so we get

- (1) $C_{p+1,0} \neq 0$ and $C_{p+1,1} = C_{p+1,2} = \dots = C_{p+1,p+1} = 0$,
- (2) $C_{0,p+1} \neq 0$ and $C_{0,0} = C_{0,1} = \dots = C_{0,p} = 0$.

Similarly, if we let $f_1(\tau) = h(p\tau)$ and $f_2(\tau) = h(\tau)$, then $a = 0$ and $b = p + 1$. Moreover,

- (1) $C_{0,p+1} \neq 0$ and $C_{1,p+1} = C_{2,p+1} = \dots = C_{p+1,p+1} = 0$,
- (2) $C_{p+1,0} \neq 0$ and $C_{0,0} = C_{1,0} = \dots = C_{p,0} = 0$.

Hence we proved (1), (2) and (3). \square

Proof of Theorem 1.2. Let $f_1(\tau)$ and $f_2(\tau)$ be nonconstant modular functions satisfying $\mathbb{C}(f_1(\tau), f_2(\tau)) = A_0(\Gamma)$ for some congruence subgroup Γ . Then

$$[\mathbb{C}(f_1(\tau), f_2(\tau)) : \mathbb{C}(f_j(\tau))] = d_j,$$

where d_j is the total degree of poles of $f_j(\tau)$ on $\Gamma \backslash \mathfrak{H}^*$ and $j = 1, 2$. Hence there exists a polynomial $\Phi(X, Y) \in \mathbb{C}[X, Y]$ such that $\Phi(f_1(\tau), Y)$ (respectively, $\Phi(X, f_2(\tau))$) is a minimal polynomial of $f_2(\tau)$ (respectively, $f_1(\tau)$) over $\mathbb{C}(f_1(\tau))$ (respectively, $\mathbb{C}(f_2(\tau))$) with degree d_1 (respectively, d_2). Let $f_1(\tau) = h(\tau)$ and $f_2(\tau) = h(n\tau)$. Then by using Lemma 2.5, we may consider a polynomial $F_n(X, Y) \in \mathbb{Q}[X, Y]$ such that $F_n(h(\tau), h(n\tau)) = 0$ for any positive integer n . Here, $\deg_X F_n(X, Y) = d_2$ and $\deg_Y F_n(X, Y) = d_1$. This polynomial $F_n(X, Y)$ is the modular equation of $h(\tau)$ of level n for every positive integer n . \square

Using Theorem 2.8, we get the modular equations of level p which are presented in Table 1 when $p = 2, 3, 5, 7$ and 11 .

TABLE 1. The modular equations $F_p(X, Y)$ of $h(\tau)$ of levels 2, 3, 5, 7 and 11

p	The modular equation $F_p(X, Y)$ of $h(\tau)$ of level p
2	$X^2 - Y(1 + 4X)(1 + 2Y)$
3	$(X^3 - Y)(X - Y^3)$ $-3XY(2Y + 4Y^2 + 2X + 10XY + 16XY^2 + 4X^2 + 16X^2Y + 21X^2Y^2)$
5	$(X^5 - Y)(X - Y^5)$ $-5XY(16Y^3 + 8X^2 + 2Y + 8Y^2 + 14Y^4 + 2X + 20XY + 80XY^2 + 157XY^3 + 128XY^4$ $+80X^2Y + 324X^2Y^2 + 640X^2Y^3 + 512X^2Y^4 + 16X^3 + 157X^3Y + 640X^3Y^2 + 1280X^3Y^3$ $+1024X^3Y^4 + 14X^4 + 128X^4Y + 512X^4Y^2 + 1024X^4Y^3 + 819X^4Y^4)$
7	$(X^7 - Y)(X - Y^7)$ $-7XY(2X + 2Y + 12Y^2 + 40Y^3 + 84Y^5 + 40Y^6 + 78Y^4 + 12X^2 + 40X^3 + 78X^4$ $+84X^5 + 40X^6 + 92160X^5Y^4 + 672XY^6 + 180X^2Y + 20480X^6Y^3 + 29XY + 180XY^2$ $+620XY^3 + 1252XY^4 + 1396XY^5 + 1156X^2Y^2 + 4120X^2Y^3 + 8632X^2Y^4 + 10016X^2Y^5$ $+4992X^2Y^6 + 620X^3Y + 4120X^3Y^2 + 15190X^3Y^3 + 32960X^3Y^4 + 39680X^3Y^5 + 20480X^3Y^6$ $+1252X^4Y + 8632X^4Y^2 + 32960X^4Y^3 + 73984X^4Y^4 + 92160X^4Y^5 + 49152X^4Y^6$ $+1396X^5Y + 10016X^5Y^2 + 39680X^5Y^3 + 118784X^5Y^5 + 65536X^5Y^6 + 672X^6Y + 4992X^6Y^2$ $+49152X^6Y^4 + 65536X^6Y^5 + 37449X^6Y^6)$
11	$(X^{11} - Y)(X - Y^{11})$ $-11XY(2Y + 2X + 20Y^2 + 120Y^3 + 478Y^4 + 1316Y^5 + 2520Y^6 + 3280Y^7$ $+2729Y^8 + 1270Y^9 + 236Y^{10} + 20X^2 + 120X^3 + 478X^4 + 1316X^5 + 2520X^6$ $+3280X^7 + 2729X^8 + 1270X^9 + 236X^{10} + 407502848X^6Y^9 + 480X^2Y + 6242560X^9Y^3$ $+10545728X^8Y^3 + 1243548X^2Y^8 + 10617267X^3Y^7 + 36489216X^4Y^9 + 335544320X^{10}Y^8$ $+43122688X^5Y^{10} + 1006632960X^9Y^8 + 1006632960X^8Y^9 + 206867200X^7Y^5 + 3000X^3Y$ $+771751936X^9Y^9 + 945184768X^7Y^7 + 786432000X^9Y^7 + 86758X^8Y + 126141088X^6Y^5$ $+230758X^3Y^3 + 531390464X^6Y^7 + 916956X^6Y^2 + 531390464X^7Y^6 + 251658240X^7Y^{10}$ $+694064X^9Y^2 + 10321920X^{10}Y^4 + 268435456X^9Y^{10} + 71268X^6Y + 35647X^5Y$ $+97612893X^{10}Y^{10} + 46XY + 480XY^2 + 3000XY^3 + 12436XY^4 + 35647XY^5 + 71268XY^6$ $+97540XY^7 + 86758XY^8 + 44862XY^9 + 10160XY^{10} + 5223X^2Y^2 + 34014X^2Y^3$ $+146872X^2Y^4 + 438876X^2Y^5 + 916956X^2Y^6 + 1318216X^2Y^7 + 694064X^2Y^9 + 174656X^2Y^{10}$ $+34014X^3Y^2 + 1037872X^3Y^4 + 3232300X^3Y^5 + 7050856X^3Y^6 + 10545728X^3Y^8$ $+6242560X^3Y^9 + 1679360X^3Y^{10} + 12436X^4Y + 146872X^4Y^2 + 1037872X^4Y^3$ $+4860966X^4Y^4 + 15767636X^4Y^5 + 35860024X^4Y^6 + 56406848X^4Y^7 + 58685184X^4Y^8$ $+10321920X^4Y^{10} + 438876X^5Y^2 + 3232300X^5Y^3 + 15767636X^5Y^4 + 53252516X^5Y^5$ $+126141088X^5Y^6 + 206867200X^5Y^7 + 224704512X^5Y^8 + 146010112X^5Y^9 + 7050856X^6Y^3$ $+35860024X^6Y^4 + 311101824X^6Y^6 + 601587712X^6Y^8 + 125304832X^6Y^{10} + 97540X^7Y$ $+1318216X^7Y^2 + 10617267X^7Y^3 + 56406848X^7Y^4 + 1114570752X^7Y^8 + 786432000X^7Y^9$ $+1243548X^8Y^2 + 58685184X^8Y^4 + 224704512X^8Y^5 + 601587712X^8Y^6 + 1114570752X^8Y^7$ $+1369178112X^8Y^8 + 335544320X^8Y^{10} + 44862X^9Y + 36489216X^9Y^4 + 146010112X^9Y^5$ $+407502848X^9Y^6 + 10160X^{10}Y + 174656X^{10}Y^2 + 1679360X^{10}Y^3 + 43122688X^{10}Y^5$ $+125304832X^{10}Y^6 + 251658240X^{10}Y^7 + 268435456X^{10}Y^9)$

All modular equations $F_p(X, Y)$ in Table 1 except the case $p = 2$ satisfies the congruence relation

$$F_p(X, Y) \equiv (X^p - Y)(X - Y^p) \pmod{p}$$

for $p = 3, 5, 7$ and 11 , which is called the *Kronecker's congruence*. Including this property, we discuss the modular equations of $h(\tau)$.

Let $\Gamma = \Gamma_0(16)$. For any integer a with $(a, 2) = 1$, we choose $\sigma_a \in \mathrm{SL}_2(\mathbb{Z})$ such that $\sigma_a \equiv \begin{pmatrix} a^{-1} & 0 \\ 0 & a \end{pmatrix} \pmod{16}$ and $\sigma_a \in \Gamma_0(16)$. Under this condition, we may choose σ_a as

$$\sigma_{\pm 1} = \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \sigma_{\pm 3} = \pm \begin{pmatrix} -5 & -1 \\ 16 & 3 \end{pmatrix}, \sigma_{\pm 5} = \pm \begin{pmatrix} -3 & -1 \\ 16 & 5 \end{pmatrix}, \sigma_{\pm 7} = \pm \begin{pmatrix} 7 & 3 \\ 16 & 7 \end{pmatrix}.$$

For any integer with $(n, 2) = 1$, by [22, Proposition 3.36] we have

$$\Gamma \begin{pmatrix} 1 & 0 \\ 0 & n \end{pmatrix} \Gamma = \bigcup_{0 < a|n} \bigcup_{0 \leq b < n/a} \Gamma \sigma_a \begin{pmatrix} a & b \\ 0 & n/a \end{pmatrix}$$

which is a disjoint union and $|\Gamma \backslash \Gamma \begin{pmatrix} 1 & 0 \\ 0 & n \end{pmatrix} \Gamma| = n \prod_{p|n} (1 + 1/p)$. Let $\alpha_{a,b} = \sigma_a \begin{pmatrix} a & b \\ 0 & n/a \end{pmatrix}$. Consider the polynomial

$$\Phi_n(X, \tau) := \prod_{0 < a|n} \prod_{\substack{0 \leq b < n/a \\ (a,b,n/a)=1}} (X - (h \circ \alpha_{a,b})(\tau))$$

of degree $n \prod_{p|n} (1 + 1/p)$. Note that the coefficients of $\Phi_n(X, \tau)$ are elementary symmetric functions of $f \circ \alpha_{a,b}$. Hence these are invariant under Γ , so they belong to $A_0(\Gamma) = \mathbb{C}(h(\tau))$. Thus, $\Phi_n(X, \tau) \in \mathbb{C}(h(\tau))[X]$ and we may write $\Phi_n(X, h(\tau))$ instead of $\Phi_n(X, \tau)$. Since $\alpha_{1,0} = \sigma_1 \begin{pmatrix} 1 & 0 \\ 0 & n \end{pmatrix}$ and $(h \circ \alpha_{1,0})(\tau) = h(\tau/n)$, we get $\Phi_n(h(\tau/n), h(\tau)) = 0$ and $\Phi_n(h(\tau), h(n\tau)) = 0$.

Let $S_{m,\infty}$ (respectively, $S_{m,0}$) be the set of cusps which are poles (respectively, zeros) of $h(m\tau)$. In Lemma 2.7, we write a as

$$a = - \sum_{s \in S_{1,\infty} \cap S_{n,0}} \mathrm{ord}_s h(\tau).$$

Multiplying $\Phi_n(X, h(\tau))$ by a suitable power of $h(\tau)$, we get a polynomial in $\mathbb{C}[X, h(\tau)]$. However, Lemma 2.6 shows that $S_{1,\infty} \cap S_{n,0} = \emptyset$ for any positive odd integer n and $a = 0$. Thus, regarding $\Phi_n(X, h(\tau))$ as a polynomial of X and $h(\tau)$, we prove the following theorem.

Theorem 2.9. *With the notation as above, let $\Phi_n(X, Y)$ be a polynomial such that $\Phi_n(h(\tau), h(n\tau)) = 0$ for a positive odd integer n . Then we obtain the following assertions:*

- (1) $\Phi_n(X, Y) \in \mathbb{Z}[X, Y]$ and $\deg_X \Phi_n(X, Y) = \deg_Y \Phi_n(X, Y) = n \prod_{p|n} (1 + 1/p)$.
- (2) $\Phi_n(X, Y)$ is irreducible both as a polynomial in X over $\mathbb{C}(Y)$ and as a polynomial in Y over $\mathbb{C}(X)$.
- (3) $\Phi_n(X, Y) = \Phi_n(Y, X)$.
- (4) If n is not a square, then $\Phi_n(X, Y)$ is a polynomial of degree > 1 whose leading coefficient is ± 1 .
- (5) (*Kronecker's congruence*) Let p be an odd prime. Then

$$\Phi_p(X, Y) \equiv (X^p - Y)(X - Y^p) \pmod{p\mathbb{Z}[X, Y]}.$$

Proof. Let $\Gamma = \Gamma_0(16)$. Since $h(\tau) = q \prod_{n=1}^{\infty} (1 - q^n)^{-2} (1 - q^{2n}) (1 - q^{8n})^{-1} (1 - q^{16n})^2$, we write

$$h(\tau) = \sum_{m=1}^{\infty} c_m q^m,$$

where $c_m \in \mathbb{Z}$.

We first prove (1) and (2). Let ψ_k be an automorphism of $\mathbb{Q}(\zeta_n)$ over \mathbb{Q} such that $\psi_k(\zeta_n) = \zeta_n^k$ for k relatively prime to n . By observing the action of $\begin{pmatrix} a & b \\ 0 & n/a \end{pmatrix}$ on h , we see that

$$\begin{aligned} \left(h \circ \begin{pmatrix} a & b \\ 0 & n/a \end{pmatrix} \right) (\tau) &= h \left(\frac{a\tau + b}{n/a} \right) = h \left(\frac{a^2\tau + ab}{n} \right) \\ &= \sum_{m=1}^{\infty} c_m \zeta_n^{abm} q^{a^2m/n}, \end{aligned}$$

it is natural that ψ_k induces an automorphism ψ_k of $\mathbb{Q}(\zeta_n)((q^{1/n}))$ over $\mathbb{Q}(\zeta_n)$ as

$$\psi_k \left(h \circ \begin{pmatrix} a & b \\ 0 & n/a \end{pmatrix} (\tau) \right) = \sum_{m=1}^{\infty} c_m \zeta_n^{abkm} q^{a^2m/n}.$$

Let b' be an integer with $0 \leq b' < a$ and $b' \equiv bk \pmod{n/a}$. Then $ab' \equiv abk \pmod{n}$ and

$$\begin{aligned} \psi_k(h \circ \alpha_{a,b}) &= \psi_k \left(h \circ \sigma_a \begin{pmatrix} a & b \\ 0 & n/a \end{pmatrix} \right) = \psi_k \left(h \circ \begin{pmatrix} a & b \\ 0 & n/a \end{pmatrix} \right) \\ &= h \circ \begin{pmatrix} a & b' \\ 0 & n/a \end{pmatrix} = h \circ \sigma_a \begin{pmatrix} a & b' \\ 0 & n/a \end{pmatrix} = h \circ \alpha_{a,b'}. \end{aligned}$$

So, $\psi_k(\Phi_n(X, h(\tau))) = \Phi_n(X, h(\tau))$ and $\Phi_n(X, h(\tau)) \in \mathbb{Q}((q^{1/n}))[X]$. Moreover, we already check that $\Phi_n(h(\tau/n), h(\tau)) = 0$ and $[\mathbb{C}(h(\tau/n), h(\tau)) : \mathbb{C}(h(\tau))] \leq d$, where $d = n \prod_{p|n} (1 + 1/p)$.

For fixed a and b , since $\Gamma\alpha_{a,b} \subset \Gamma \begin{pmatrix} 1 & 0 \\ 0 & n \end{pmatrix} \Gamma$, we can choose $\gamma, \gamma', \gamma_{a,b} \in \Gamma$ satisfying $\gamma \begin{pmatrix} 1 & 0 \\ 0 & n \end{pmatrix} \gamma_{a,b} = \gamma' \alpha_{a,b}$ and $\begin{pmatrix} 1 & 0 \\ 0 & n \end{pmatrix} \gamma_{a,b} \alpha_{a,b}^{-1} \in \Gamma = \Gamma_0(16)$.

Let $\xi_{a,b}$ be an embedding of $\mathbb{C}(h(\tau/n), h(\tau))$ to the field of all meromorphic function on \mathfrak{H} containing $\mathbb{C}(h(\tau/n), h(\tau))$ over $\mathbb{C}(h(\tau))$ defined as $\xi_{a,b}(f) = f \circ \gamma_{a,b}$. Then

$$\xi_{a,b}(h) = h,$$

and

$$\xi_{a,b}(h(\tau/n)) = \xi_{a,b} \left(h \circ \begin{pmatrix} 1 & 0 \\ 0 & n \end{pmatrix} (\tau) \right) = h \circ \begin{pmatrix} 1 & 0 \\ 0 & n \end{pmatrix} \gamma_{a,b}(\tau) = h \circ \alpha_{a,b}(\tau).$$

It means that $h \circ \alpha_{a,b} \neq h \circ \alpha_{a',b'}$ for $(a, b) \neq (a', b')$ and there exist distinct d embeddings $\xi_{a,b}$ of $\mathbb{C}(h(\tau/n), h(\tau))$ over $\mathbb{C}(h(\tau))$. Hence we have

$$\left[\mathbb{C} \left(h \left(\frac{\tau}{n} \right), h(\tau) \right) : \mathbb{C}(h(\tau)) \right] = d = n \prod_{p|n} \left(1 + \frac{1}{p} \right)$$

and $\Phi_n(X, h(\tau))$ is irreducible over $\mathbb{C}(h(\tau))$.

Let $F(X, Y)$ be the polynomial in Lemma 2.7. When $f_1(\tau) = h(\tau)$ and $f_2(\tau) = h(n\tau)$, let $a = -\sum_{s \in S_{1,\infty} \cap S_{2,0}} \text{ord}_s h(\tau)$. Then

$$F(X, Y) = C_{d_n, a} X^n + \sum_{\substack{0 \leq i \leq d_n \\ 0 \leq j \leq d_1}} C_{i, j} X^i Y^j,$$

where d_1 (respectively, d_n) is the total degree of poles of $h(\tau)$ (respectively, $h(n\tau)$). Since $F(X, h(\tau))$ is the minimal polynomial of $h(\tau/n)$ over $\mathbb{C}(h(\tau))$ and $F(h(\tau/n), Y)$ is the minimal polynomial of $h(\tau)$ over $\mathbb{C}(h(\tau/n))$, we have

$$h(\tau)^a \Phi_n(X, h(\tau)) = C_{d_n, a}^{-1} F(X, h(\tau)).$$

By using Lemma 2.6, we have $a = 0$ and $F(X, Y) \in \mathbb{Z}[X, Y]$. Hence $\Phi_n(X, Y) \in \mathbb{Z}[X, Y]$, so (1) and (2) are proved.

(3) Since $(h \circ \alpha_{n,0})(\tau) = h(n\tau)$, $\Phi_n(h(n\tau), h(\tau)) = 0$. Thus, we have that $\Phi_n(h(\tau), h(\tau/n)) = 0$ and $h(\tau/n)$ is a root of $\Phi_n(h(\tau), X) = 0$. By using that $\Phi_n(X, h(\tau)) \in \mathbb{Z}[X, h(\tau)]$ and $\Phi_n(X, h(\tau))$ is irreducible, we can take a polynomial $G(X, h(\tau))$ such that

$$(2.2) \quad \Phi_n(h(\tau), X) = G(X, h(\tau)) \Phi_n(X, h(\tau)).$$

If we change the places of variables in (2.2) and multiplying it by $G(X, h(\tau))$, then

$$G(X, h(\tau)) \times [G(h(\tau), X) \Phi_n(h(\tau), X)] = G(X, h(\tau)) \times \Phi_n(X, h(\tau)) = \Phi_n(h(\tau), X).$$

So, $G(X, Y) = 1$ or -1 . Suppose that $G(X, Y) = -1$. Then (2.2) is written as $\Phi_n(h(\tau), X) = -\Phi_n(X, h(\tau))$. If we substitute $X = h(\tau)$, then $\Phi_n(h(\tau), h(\tau)) = -\Phi_n(h(\tau), h(\tau))$ and $\Phi_n(h(\tau), h(\tau)) = 0$. So, $h(\tau)$ is a root of $\Phi_n(X, h(\tau))$ and $X - h(\tau)$ is a factor of the irreducible polynomial $\Phi_n(X, h(\tau))$ with degree > 1 . It is a contradiction, thus we have $G(X, Y) = 1$. Therefore, $\Phi_n(h(\tau), X) = \Phi_n(X, h(\tau))$.

(4) Note that

$$(2.3) \quad h(\tau) - (h \circ \alpha_{a,b})(\tau) = q - \zeta_n^{ab} q^{a^2/n} + c_2(q^2 - \zeta_n^{2ab} q^{2a^2/n}) + \dots$$

Assume that n is not a square. Then the coefficient of the lowest degree term of $\Phi_n(h(\tau), h(\tau))$ is the product of 1 or $-\zeta_n^{ab}$ from (2.3); so it is a unit.

(5) Let p be an odd prime. We denote

$$f(\tau) \equiv g(\tau) \pmod{\alpha}$$

when $f(\tau) - g(\tau) \in \alpha \mathbb{Z}[\zeta_p][\!(q^{\frac{1}{p}})\!]$ for $f(\tau), g(\tau) \in \mathbb{Z}[\zeta_p][\!(q^{\frac{1}{p}})\!]$.

For $h(\tau) = \sum_{m=1}^{\infty} c_m q^m$ ($c_m \in \mathbb{Z}$), we have

$$\begin{aligned} (h \circ \alpha_{1,b})(\tau) &= \sum_{m=1}^{\infty} c_m \zeta_p^{bm} q^{\frac{m}{p}} \equiv \sum_{m=1}^{\infty} c_m q^{\frac{m}{p}} \pmod{1 - \zeta_p} \\ &= (h \circ \alpha_{1,0})(\tau), \end{aligned}$$

$$(h \circ \alpha_{p,0})(\tau) = \sum_{m=1}^{\infty} c_m q^{pm} \equiv \sum_{m=1}^{\infty} c_m^p q^{pm} \equiv h(\tau)^p \pmod{p}$$

and

$$\begin{aligned} (h \circ \alpha_{1,0})(\tau)^p &= \left(\sum_{m=1}^{\infty} c_m q^{\frac{m}{p}} \right)^p \equiv \sum_{m=1}^{\infty} c_m^p q^m \equiv \sum_{m=1}^{\infty} c_m q^m \pmod{1 - \zeta_p} \\ &= h(\tau). \end{aligned}$$

Therefore, we obtain that

$$\begin{aligned} \Phi_p(X, h(\tau)) &= \left[\prod_{0 \leq b < p} (X - (h \circ \alpha_{1,b})(\tau)) \right] (X - (h \circ \alpha_{p,0})(\tau)) \\ &\equiv (X - (h \circ \alpha_{1,0})(\tau))^p (X - h(\tau)^p) \pmod{1 - \zeta_p} \\ &\equiv (X^p - (h \circ \alpha_{1,0})(\tau)^p) (X - h(\tau)^p) \pmod{1 - \zeta_p} \\ &\equiv (X^p - h(\tau))(X - h(\tau)^p) \pmod{1 - \zeta_p}. \end{aligned}$$

In other words,

$$(2.4) \quad \Phi_n(X, h(\tau)) - (X^p - h(\tau))(X - h(\tau)^p)$$

is contained in $(1 - \zeta_p)\mathbb{Z}[X, h(\tau)]$. Since $\Phi_n(X, Y) \in \mathbb{Z}[X, Y]$, all coefficients of (2.4) are integers divisible by $1 - \zeta_p$; so they are divisible by p . Thus, (5) is proved. \square

3. THE RAY CLASS FIELD AND EVALUATION OF $h(\tau)$

Let K be an imaginary quadratic field and d_K be the discriminant of K . For a positive integer N , let $K_{(N)}$ be the ray class field modulo N over K . In this section we first prove that $h(\tau/4)$ generates $K_{(4)}$ over K for given $\tau \in K \cap \mathfrak{H}$ satisfying certain conditions. We then investigate the value $h(\tau)$ as an algebraic number.

Lemma 3.1. *Let K be an imaginary quadratic field with discriminant d_K and $\tau \in K \cap \mathfrak{H}$ be a root of the primitive equation $ax^2 + bx + c = 0$ such that $b^2 - 4ac = d_K$, and let Γ' be any congruence subgroup such that $\Gamma(N) \subset \Gamma' \subset \Gamma_1(N)$. Suppose that $(N, a) = 1$. Then the field generated over K by all the values $h(\tau)$, where $h \in A_0(\Gamma')_{\mathbb{Q}}$ is defined and finite at τ , is the ray class field modulo N over K .*

Proof. See [4, Corollary 5.2]. \square

In the proof of Theorem 1.3, we use the modular function $h(\tau/4)$ which is a generator of the field of modular functions on $\Gamma(4)$.

Proof of Theorem 1.3. Let $f(\tau) := h(\tau/4)$. Then by Theorem 1.1, $\mathbb{C}(f(\tau)) = A_0(\Gamma(4))$. For given $\tau \in K \cap \mathfrak{H}$ satisfying $16a\tau^2 + 4b\tau + c = 0$, where $b^2 - 4ac = d_K$, $(a, 2) = 1$ and $a, b, c \in \mathbb{Z}$, let $\tau_0 = 4\tau$. Then $\tau_0 \in K \cap \mathfrak{H}$ and τ_0 satisfies $a\tau_0^2 + b\tau_0 + c = 0$, where $b^2 - 4ac = d_K$, $(a, 2) = 1$ and $a, b, c \in \mathbb{Z}$. By Lemma 3.1, $K(f(\tau_0))$ is the ray class field modulo 4 over K and $K(f(\tau_0)) = K(h(\tau_0/4)) = K(h(\tau))$. Hence $K(h(\tau))$ is the ray class field modulo 4 over K for τ satisfying that $16a\tau^2 + 4b\tau + c = 0$, $b^2 - 4ac = d_K$, $(a, 2) = 1$ and $a, b, c \in \mathbb{Z}$. \square

Proof of Corollary 1.4. When $\mathbb{Z}[4\tau]$ is the integral closure of \mathbb{Z} in K , there exist $b, c \in \mathbb{Z}$ such that $16\tau^2 + 4b\tau + c = 0$ and $b^2 - 4c = d_K$. By Theorem 1.3, $K(h(\tau))$ is the ray class field modulo 4 over K . \square

Lemma 3.2. *The Hauptmodul of $A_0(\Gamma_1(4))$ is $(1/h(\tau/4) + 2)^4 - 8$.*

Proof. Let $H(\tau) = \eta^{-8}(\tau)\eta^{24}(2\tau)\eta^{-16}(4\tau)$. By Lemmas 2.2 and 2.3, $H(\tau)$ generates the field of modular functions on $\Gamma_0(4)$. Since $\Gamma_0(4) = \pm\Gamma_1(4)$, we have $\mathbb{C}(H(\tau)) = A_0(\Gamma_1(4))$.

Consider $H(4\tau)$ as a modular function on $\Gamma_0(16)$. Then by Theorem 1.1, the field $\mathbb{C}(H(4\tau), h(\tau)) = A_0(\Gamma_0(16))$. By checking the behavior of $H(4\tau)$ at the cusps using Lemma 2.3, we get that

$$\text{ord}_\infty H(4\tau) = -4 \text{ and } \text{ord}_{1/8} H(4\tau) = 4.$$

Lemma 2.7 gives us the polynomial

$$(3.1) \quad F(X, Y) = \sum_{\substack{0 \leq i \leq 1 \\ 0 \leq j \leq 4}} C_{i,j} X^i Y^j,$$

where $F(H(4\tau), h(\tau)) = 0$ and $C_{i,j} \in \mathbb{C}$. Hence we may assume that $C_{1,4} = 1$ from Lemma 2.7 (1). When we substitute the q -expansions of $H(4\tau)$ and $h(\tau)$ for X and Y , respectively, in (3.1); we obtain

$$F(X, Y) = -1 - 8Y - 24Y^2 - 32Y^3 - 16Y^4 + XY^4$$

and

$$H(4\tau) = \left(2 + \frac{1}{h(\tau)}\right)^4.$$

Since the q -expansion of $H(\tau)$ is $q^{-1} + 8 + 20q + O(q^2)$,

$$H(\tau) - 8 = \left(2 + \frac{1}{h(\tau/4)}\right)^4 - 8$$

is the Hauptmodul on $\Gamma_1(4)$. □

Proposition 3.3. *Let K be an imaginary quadratic field with discriminant d_K and $t = \mathcal{N}(j_{1,N})$ be the Hauptmodul of $A_0(\Gamma_1(N))$. Let s be a cusp of $\Gamma_1(N)$ whose width is h_s and $S_{\Gamma_1(N)}$ be the set of inequivalent cusps of $\Gamma_1(N) \setminus \mathfrak{H}^*$. If $t \in q^{-1}\mathbb{Z}[[q]]$ and $\prod_{s \in S_{\Gamma_1(N)} - \{\infty\}} (t(z) - t(s))^{h_s}$ is a polynomial in $\mathbb{Z}[t]$, then $t(\tau)$ is an algebraic integer for $\tau \in K \cap \mathfrak{H}$.*

Proof. See [15, Theorem 5]. □

Proof of Theorem 1.5. As in the proof of Lemma 3.2, let $H(\tau) = \eta^{-8}(\tau)\eta^{24}(2\tau)\eta^{-16}(4\tau)$. We already know that

$$\text{ord}_0 H(\tau) = 0 \text{ and } \text{ord}_{1/2} H(\tau) = 1.$$

In detail, we have

$$\begin{aligned} H(0) &= \lim_{\tau \rightarrow 0} H(\tau) = \lim_{\tau \rightarrow \infty} H \left| \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \right. (\tau) = \lim_{\tau \rightarrow \infty} H \left(-\frac{1}{\tau} \right) \\ &= \lim_{\tau \rightarrow \infty} \frac{\eta^{24} \left(-\frac{1}{\tau/2} \right)}{\eta^8 \left(-\frac{1}{\tau} \right) \eta^{16} \left(-\frac{1}{\tau/4} \right)} = \lim_{\tau \rightarrow \infty} 16 \cdot \prod_{n=1}^{\infty} \frac{(1 - q^{\frac{n}{2}})^{24}}{(1 - q^n)^8 (1 - q^{\frac{n}{4}})^{16}} = 16. \end{aligned}$$

Assume that $t(\tau)$ is the Hauptmodul on $\Gamma_1(4)$. Then $t(\tau) = H(\tau) - 8 = (1/h(\tau/4) + 2)^4 - 8$. The polynomial defined in Proposition 3.3 is

$$\prod_{s \in \{0, 1/2\}} (t - t(s))^{h_s} = (t - (H(0) - 8))^4 (t - (H(1/2) - 8)) = (t - 8)^4 (t + 8) \in \mathbb{Z}[t];$$

this is because $h_0 = 4$ and $h_{1/2} = 1$. Hence for $\tau \in K \cap \mathfrak{H}$, $t(\tau)$ and $(1/h(\tau/4) + 2)^4$ are algebraic integers in a suitable number field. Therefore the result follows. \square

Hereafter, to evaluate $h(\tau)$ we briefly present an algorithm by using Shimura's reciprocity law. For more details, one can refer to [4, 12], which explain the action of Galois group $\text{Gal}(K_{(N)}/K)$ to find its class polynomial.

Let \mathfrak{F}_N be the field of automorphic functions of level N whose Fourier coefficients with respect to $e^{2\pi i\tau/N}$ belong to $\mathbb{Q}(\zeta_N)$ and $\mathfrak{F} = \cup_{N=1}^{\infty} \mathfrak{F}_N$. For an imaginary quadratic field K with discriminant d_K , let

$$\theta = \begin{cases} \frac{\sqrt{d_K}}{2} & \text{if } d_K \equiv 0 \pmod{4}, \\ \frac{-1+\sqrt{d_K}}{2} & \text{if } d_K \equiv 1 \pmod{4}. \end{cases}$$

Then we may write $X^2 + BX + C = 0$ as the primitive equation of θ with $B, C \in \mathbb{Z}$. Define a group

$$W_{N,\theta} := \left\{ \begin{pmatrix} t - Bs & -Cs \\ s & t \end{pmatrix} \in \text{GL}_2(\mathbb{Z}/N\mathbb{Z}) : t, s \in \mathbb{Z}/N\mathbb{Z} \right\}.$$

Then, over the Hilbert class field H of K there is a surjective homomorphism

$$\begin{aligned} W_{N,\theta} &\rightarrow \text{Gal}(K_{(N)}/H) \\ \alpha^{-1} &\mapsto (f(\theta) \mapsto f^\alpha(\theta)) \end{aligned}$$

for $f \in \mathfrak{F}_N$. Note that the kernel T of this homomorphism is

$$T := \begin{cases} \left\{ \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \pm \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \right\} & \text{if } K = \mathbb{Q}(\sqrt{-1}), \\ \left\{ \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \pm \begin{pmatrix} -1 & -1 \\ 1 & 0 \end{pmatrix}, \pm \begin{pmatrix} 0 & -1 \\ 1 & 1 \end{pmatrix} \right\} & \text{if } K = \mathbb{Q}(\sqrt{-3}), \\ \left\{ \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right\} & \text{otherwise.} \end{cases}$$

Let $Q = [a, b, c]$ be a primitive positive definite quadratic form of discriminant d_K and $\tau_Q = (-b + \sqrt{d_K})/2a \in \mathfrak{H}$. Then we define $u_Q = (u_p)_p \in \prod_p \text{GL}_2(\mathbb{Z}_p)$ as follows:

- $d_K \equiv 0 \pmod{4}$

$$u_p = \begin{cases} \begin{pmatrix} a & b/2 \\ 0 & 1 \end{pmatrix} & \text{if } p \nmid a, \\ \begin{pmatrix} -b/2 & -c \\ 1 & 0 \end{pmatrix} & \text{if } p \mid a \text{ and } p \nmid c, \\ \begin{pmatrix} -a - b/2 & -c - b/2 \\ 1 & -1 \end{pmatrix} & \text{if } p \mid a \text{ and } p \mid c, \end{cases}$$

- $d_K \equiv 1 \pmod{4}$

$$u_p = \begin{cases} \begin{pmatrix} a & (-1+b)/2 \\ 0 & 1 \end{pmatrix} & \text{if } p \nmid a, \\ \begin{pmatrix} (-1-b)/2 & -c \\ 1 & 0 \end{pmatrix} & \text{if } p \mid a \text{ and } p \nmid c, \\ \begin{pmatrix} -a + (-1-b)/2 & -c - (-1+b)/2 \\ 1 & -1 \end{pmatrix} & \text{if } p \mid a \text{ and } p \mid c. \end{cases}$$

Now we have an isomorphism between the *form class group* $C(d_K)$ of discriminant d_K and the Galois group $\text{Gal}(H/K)$ defined as

$$\begin{aligned} C(d_K) &\rightarrow \text{Gal}(H/K) \\ Q^{-1} &\mapsto (f(\theta) \mapsto f^{u_Q}(\tau_Q)) \end{aligned}$$

for any $f \in \mathfrak{F}$ satisfying $f(\theta) \in H$. From that $\text{Gal}(K_{(N)}/K)/\text{Gal}(K_{(N)}/H) \cong \text{Gal}(H/K)$, we have the following conclusion.

Lemma 3.4. *With the notation as above, we deduce that for $f \in \mathfrak{F}_N$,*

$$\{f^{\alpha \cdot u_Q}(\tau_Q) : \alpha \in W_{N,\theta}/T, Q \in C(d_K)\}$$

is the set of all conjugates of $f(\theta)$ over K .

In this situation, for $f \in \mathfrak{F}_N$, the polynomial

$$(3.2) \quad F_N(X) := \prod_{\substack{\alpha \in W_{N,\theta}/T \\ Q \in C(d_K)}} (X - f^{\alpha \cdot u_Q}(\tau_Q)) \in K[X]$$

is the minimal polynomial of $f(\theta)$ over K . Let $f(\tau) = 1/h(\tau/4)$. Assume that K is an imaginary quadratic field with $d_K \equiv 0 \pmod{4}$. Then $f(\theta) \in \mathbb{R}$ since $\theta = \sqrt{d_K}/2$ and $e^{2\pi i \theta/4} \in \mathbb{R}$. By applying $f(\tau)$ to the equation $F_4(X)$ defined in (3.2), we know that

$$0 = F_4(f(\theta)) = \overline{F_4(f(\theta))} = \overline{F_4(f(\theta))} = \overline{F_4(f(\theta))}$$

and $F_4(X) \in (K \cap \mathbb{R})[X] = \mathbb{Q}[X]$. Note that for $\tau \in K \cap \mathfrak{H}$, $f(\tau)$ is an algebraic integer by Theorem 1.5. Hence we may assume that $F_4(X) \in \mathbb{Z}[X]$, and it makes us easy to determine the minimal polynomial by approximation. The following is one example for finding $F_4(X)$.

Example 3.5. Let $K = \mathbb{Q}(\sqrt{-1})$ be an imaginary quadratic field, $K_{(4)}$ be the ray class field of K modulo 4 and $F_4(X)$ be the class polynomial with $F_4(1/h(\sqrt{-1}/4)) = 0$. Then $F_4(X) = X^2 - 8$.

Solution. For $K = \mathbb{Q}(\sqrt{-1})$, we have $d_K = -4$. We can take a positive definite quadratic form Q with discriminant -4 as $Q = [1, 0, 1]$. Let $\theta = \tau_Q = \sqrt{-1}$ and $u_p = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ for a prime p . Since $\theta^2 + 1 = 0$, let $B = 0$ and $C = 1$. Then

$$W_{4,\sqrt{-1}} = \left\{ \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \pm \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \pm \begin{pmatrix} 2 & 3 \\ 1 & 2 \end{pmatrix}, \pm \begin{pmatrix} 3 & -2 \\ 2 & -1 \end{pmatrix} \right\}$$

and

$$W_{4,\sqrt{-1}}/T = \left\{ \left[\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \right], \left[\begin{pmatrix} 2 & 3 \\ 1 & 2 \end{pmatrix} \right] \right\}.$$

Thus, the polynomial $F_4(X)$ is

$$\begin{aligned} F_4(X) &= (X - f(i)) \left(X - f\left(\frac{3+2i}{2+i}\right) \right) \\ &\approx X^2 - 0.000000002X + 4.678495626 \cdot 10^{-12}iX - 7.999999996 - 1.323278393 \cdot 10^{-11}i \\ &\approx X^2 - 8, \end{aligned}$$

where $f(\tau) = 1/h(\tau/4)$. □

Proof of Theorem 1.6. Let $r \in \mathbb{Q}_{>0}$. First we explain the method for getting the value $h(r\tau)$ if the value $h(\tau)$ is given in terms of radicals. One can use the algorithm in [17, Algorithm 1.6]. Suppose that the value $h(\tau)$ is expressed in terms of radicals. We can write $h(r\tau)$ in terms of radicals by using the algorithm [17, Algorithm 1.6].

Assume that K is an imaginary quadratic field with discriminant $d_K \equiv 0 \pmod{4}$. Let $\theta = \sqrt{d_K}/2$. For all $\tau \in K \cap \mathfrak{H}$, τ can be written as $\tau = (b + c\theta)/a$, where $a, b, c \in \mathbb{Z}$ and $a, c > 0$. By the algorithm using the Shimura's reciprocity law, we can find the value $h(\theta/4)$ in terms of radicals by the minimal polynomial of the ray class field $K_{(4)}$ modulo 4 over K . From the modular equation $F_4(X, Y)$ we obtain the value $h(\theta)$, and then by $F_c(X, Y)$, $h(c\theta) = h(b + c\theta)$ is obtained. At last, by using $F_a(X, Y)$, we write the value $h(\tau) = h((b + c\theta)/a)$ in terms of radicals.

If $n = 1$ or n is a square free positive integer with $n \equiv 3 \pmod{4}$, then the field $K = \mathbb{Q}(\sqrt{-n})$ has the discriminant $d_K \equiv 0 \pmod{4}$. Hence, all $r\sqrt{-n} \in K$ and we get the value $h(r\sqrt{-n})$ in terms of radicals, immediately. \square

Example 3.6. We can find the value of $h(\tau)$ as follows:

- (1) $h(i/4) = 1/2\sqrt{2}$ and $h((8 + \sqrt{-1})/20) = -1/2\sqrt{2}$,
- (2) $h(i/2) = -\frac{1}{4} \left(1 + \sqrt{2} - \sqrt{4 + 3\sqrt{2}} \right) (\sqrt{2} - 1)$.

Solution. (1) In Example 3.5, we can conclude that $\{h(\sqrt{-1}/4), h((8 + \sqrt{-1})/20)\} = \{\pm 1/2\sqrt{2}\}$. Since

$$h(\sqrt{-1}/4) \approx 0.3535533904$$

and

$$h((8 + \sqrt{-1})/20) \approx -0.3535533909 + 5.848119541 \cdot 10^{-13}i,$$

we get that $h(i/4) = 1/2\sqrt{2}$ and $h((8 + \sqrt{-1})/20) = -1/2\sqrt{2}$.

(2) We use the modular equation of level 2:

$$F_2(X, Y) = X^2 - Y(1 + 4X)(1 + 2Y).$$

Since $F_2(h(i/4), h(i/2)) = 0$, by solving the equation $F_2(1/2\sqrt{2}, x) = 0$, we get two zeros:

$$\begin{aligned} -\frac{1}{4} \left(1 + \sqrt{2} + \sqrt{4 + 3\sqrt{2}} \right) (\sqrt{2} - 1) &\approx -0.5473017788, \\ -\frac{1}{4} \left(1 + \sqrt{2} - \sqrt{4 + 3\sqrt{2}} \right) (\sqrt{2} - 1) &\approx 0.04730177875. \end{aligned}$$

By calculating that $h(i/2) \approx 0.4730177873$, we get that

$$h\left(\frac{i}{2}\right) = -\frac{1}{4} \left(1 + \sqrt{2} - \sqrt{4 + 3\sqrt{2}} \right) (\sqrt{2} - 1).$$

\square

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