



# Semiclassical states for Dirac-Klein-Gordon system with critical growth



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## ABSTRACT

In this paper, we study the following critical Dirac-Klein-Gordon system in  $\mathbb{R}^3$ :

$$\begin{cases} i\varepsilon \sum_{k=1}^3 \alpha_k \partial_k u - a\beta u + V(x)u - \lambda\phi\beta u = P(x)f(|u|)u + Q(x)|u|u, \\ -\varepsilon^2 \Delta\phi + M\phi = 4\pi\lambda(\beta u) \cdot u, \end{cases}$$

where  $\varepsilon > 0$  is a small parameter,  $a > 0$  is a constant. We prove the existence and concentration of solutions under suitable assumptions on the potential  $V(x)$ ,  $P(x)$  and  $Q(x)$ . We also show the semiclassical solutions  $\omega_\varepsilon$  with maximum points  $x_\varepsilon$  concentrating at a special set  $\mathcal{H}_P$  characterized by  $V(x)$ ,  $P(x)$  and  $Q(x)$ , and for any sequence  $x_\varepsilon \rightarrow x_0 \in \mathcal{H}_P$ ,  $v_\varepsilon(x) := \omega_\varepsilon(\varepsilon x + x_\varepsilon)$  converges in  $H^1(\mathbb{R}^3, \mathbb{C}^4)$  to a least energy solution  $u$  of

$$\begin{cases} i \sum_{k=1}^3 \alpha_k \partial_k u - a\beta u + V(x_0)u - \lambda\phi\beta u = P(x_0)f(|u|)u + Q(x_0)|u|u, \\ -\Delta\phi + M\phi = 4\pi\lambda(\beta u) \cdot u. \end{cases}$$

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## 1. Introduction and main results

The nonlinear Dirac equation

$$-i\hbar\partial_t\psi = i\hbar\sum_{k=1}^3\alpha_k\partial_k\psi - mc^2\beta\psi - M(x)\psi + G_\psi(x,\psi), \quad (1.1)$$

has been widely used to build relativistic models of extended particles by means of nonlinear Dirac fields, where  $\psi$  represents the wave function of the state of an electron,  $c$  denotes the speed of light,  $m > 0$ , the mass of the electron,  $\hbar$  is Planck's constant and  $\partial_k = \frac{\partial}{\partial x_k}$ ,  $\alpha_1, \alpha_2, \alpha_3$  and  $\beta$  are  $4 \times 4$  Pauli-Dirac matrices (in  $2 \times 2$  blocks):

$$\beta = \begin{pmatrix} I_2 & 0 \\ 0 & -I_2 \end{pmatrix}, \quad \alpha_k = \begin{pmatrix} 0 & \sigma_k \\ \sigma_k & 0 \end{pmatrix}, \quad k = 1, 2, 3,$$

with

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

It is not difficult to check that  $\beta$  and  $\alpha_k$  satisfy the following anticommutation relations

$$\begin{cases} \alpha_k\alpha_l + \alpha_l\alpha_k = 2\delta_{kl}I_4, \\ \alpha_k\beta + \beta\alpha_k = 0, \\ \beta^2 = I_4. \end{cases}$$

Different functions  $G$  model various types of self-coupling (see [27]). Such equations arise when one seeks for standing wave solutions of the nonlinear Dirac equation which describes the self-interaction in quantum electrodynamics and has been used as effective theories in atomic, and gravitational physical (see [31]). A standing wave solution of equation (1.1) is a solution of the form

$$\psi(t, x) = e^{-\frac{i\xi t}{\hbar}} u(x), \quad \xi \in \mathbb{R}, \quad t \in \mathbb{R}, \quad u : \mathbb{R}^3 \rightarrow \mathbb{C}^4,$$

and  $u(x)$  solves the equation

$$-i\varepsilon\sum_{k=1}^3\alpha_k\partial_k u + a\beta u + V(x)u = F_u(x, u) \quad (1.2)$$

with  $\varepsilon = \hbar, a = mc > 0, V(x) = \frac{M(x)-\xi}{c}$  and  $F(x, u) = \frac{G(x, u)}{c}$ , where  $G$  satisfies that  $G(x, e^{i\theta}\psi) = G(x, \psi)$ . In the past decades, there are many works dedicated to study the Dirac equation (1.2) with the potential and the nonlinearity under several various hypotheses, see [2,4,10,15,17,25,32,34] and the references therein for

the existence and multiplicity of solutions, and see [8,9,14,33] and the references therein for the concentration of semiclassical solutions.

If the Dirac field  $\psi \in \mathbb{C}^4$  of equation (1.1) interacts with a scalar field  $\phi \in \mathbb{R}$ , then one lead to study the following Dirac-Klein-Gordon system involving an external self-coupling:

$$\begin{cases} i\frac{\hbar}{c}\partial_t\psi + i\hbar\sum_{k=1}^3\alpha_k\partial_k\psi - mc\beta\psi - \lambda\phi\beta\psi = h(x,\psi), \\ \frac{\hbar^2}{c^2}\partial_t^2\phi - \hbar^2\Delta\phi + M\phi = 4\pi\lambda(\beta\psi) \cdot \psi \end{cases} \quad (1.3)$$

for  $(t, x) \in \mathbb{R} \times \mathbb{R}^3$ , where  $\alpha_k, \partial_k, \beta, c, \hbar, m$  as above and  $\lambda > 0$  is coupling constant,  $M$  is the mass of the meson. System (1.3) arises in mathematical models of particle physics, especially in nonlinear topics. This system is inspired by approximate descriptions of the external force involve only functions of fields. The nonlinear self-coupling  $h(x, \psi)$ , which describes a self-interaction in Quantum electrodynamics, gives a closer description of many particles found in the real world. Various nonlinearities are considered to be possible basis models for unified field theories, see [18,19,22] and references therein.

System (1.3) has been studied for a long time with null external self-coupling, i.e.,  $h \equiv 0$ , and there are some results concerning the Cauchy problem, see [3,5,6,16,24,28]). The first result on the global existence and uniqueness of solutions of (1.3) (in one space dimension) was obtained by J.M. Chadam in [5] under suitable assumptions. Later, J.M. Chadam and R.T. Glasset [6] obtained the existence of a global solution in three space dimensionals. In [3], N. Bournaveas obtained low regularity solutions of the Dirac-Klein-Gordon system by using classical Strichartz-type time-space estimates. In [16], Esteban et al. obtained infinite many solutions by the variational arguments.

Likewise, a standing wave solution of system (1.3) is a solution of the form

$$\begin{cases} \psi(t, x) = u(x)e^{-\frac{i\xi t}{\hbar}}, & \xi \in \mathbb{R}, t \in \mathbb{R}, u : \mathbb{R}^3 \rightarrow \mathbb{C}^4, \\ \phi = \phi(x), \end{cases}$$

and  $u(x)$  solves the equation

$$\begin{cases} i\varepsilon\sum_{k=1}^3\alpha_k\partial_k u - a\beta u + \omega u - \lambda\phi\beta u = h(x, u), \\ -\varepsilon^2\Delta\phi + M\phi = 4\pi\lambda(\beta u) \cdot u, \end{cases} \quad (1.4)$$

with  $\varepsilon = \hbar, a = mc > 0, \omega = \frac{\xi}{c}$ , where  $h$  satisfies that  $h(x, e^{i\theta}\psi) = e^{i\theta}h(x, \psi)$ . A solution  $u$  is referred to as a bound state of (1.4) if  $u \rightarrow 0$  as  $|x| \rightarrow \infty$ . When  $\varepsilon$  is sufficiently small, bound states of (1.4) are called semiclassical states, and an important feature of semiclassical states is their concentration as  $\varepsilon \rightarrow 0$ . To the best of our knowledge, there are few results concerning the concentration phenomenon of solutions to (1.4) except for work [13]. In [13], the authors developed cutting-off technique to obtain the existence and concentration of semiclassical solutions which seems the first one to consider the concentration behavior of semiclassical solutions of the Dirac-Klein-Gordon system with general nonlinearity.

Motivated by the references mentioned above, in this paper we consider the following critical Dirac-Klein-Gordon system in  $\mathbb{R}^3$ :

$$\begin{cases} i\varepsilon\sum_{k=1}^3\alpha_k\partial_k u - a\beta u + V(x)u - \lambda\phi\beta u = P(x)f(|u|)u + Q(x)|u|u, \\ -\varepsilon^2\Delta\phi + M\phi = 4\pi\lambda(\beta u) \cdot u, \end{cases} \quad (1.5)$$

where  $\varepsilon > 0$  is a small parameter,  $a > 0$  is a constant,  $V, P, Q \in C(\mathbb{R}^3, \mathbb{R})$  are three bounded functions,  $V(x)$  has negative global minimum and  $P(x), Q(x)$  have positive global maximum. Note that 3 is the

critical exponent since the relevant Sobolev embedding is  $H^{\frac{1}{2}}(\mathbb{R}^3, \mathbb{C}^4) \hookrightarrow L^3(\mathbb{R}^3, \mathbb{C}^4)$ . Thus, the term  $|u|u$  has critical growth, while  $f(|u|)u$  is assumed to be subcritical.

The aim of this paper is to focus on studying how the behavior of three potentials affect the existence and concentration of semiclassical solutions of system (1.5). Note that, in view of the presence of critical exponent term, this makes it more difficult to study the problem. It is natural to ask how about the concentration behavior of solutions of system (1.5) as  $\varepsilon \rightarrow 0^+$ ? As far as we know such a critical problem was not considered before. There are some difficulties in such a problem. The first one is that (1.5) involves three different potentials which make our problem more complicated than that of [10]. This brings a competition between the potentials  $V, P$  and  $Q$ : each would try to attract ground states to their minimum and maximum points, respectively. Moreover, this makes the concentration sets more complex and we have to overcome many difficulties, as we shall see in the following section. The second one is the presence of the nonlocal term  $\phi$  in (1.5), and this prevent us to use the Mountain-Pass reduction technique as [1]. In order to overcome this obstacle, we take advantage of the cut-off arguments developed by Ding and Xu in [13]. Roughly speaking, an accurate uniformly boundedness estimate on  $(C)_c$ -sequences of the associate energy functional  $\Phi_\varepsilon$  enables us to introduce a new functional  $\tilde{\Phi}_\varepsilon$  by virtue of the cut-off technique so that  $\tilde{\Phi}_\varepsilon$  has the same least energy solutions as  $\Phi_\varepsilon$  and can be dealt with more easily the influence of these nonlocal term for each  $\lambda > 0$  small.

In this paper, we will give an answer to the above question. First, we obtain a least energy solution via cutting off technique for each  $\varepsilon > 0$  small enough. Next, we study the concentration behavior of these solutions as  $\varepsilon \rightarrow 0$ . We determine a concrete set related to the potentials  $V, P$  and  $Q$  as the concentration position of these solutions. Roughly speaking, the least energy solutions concentrate at such points  $x$  where  $V(x)$  is small or both  $P(x)$  and  $Q(x)$  are large. For a special case, we show that, as  $\varepsilon \rightarrow 0$ , these least energy solutions concentrate around such points which are both the minima points of the potential  $V$  and the maximum points of the potential  $P$  and  $Q$ . At last, we establish the exponential decay estimate of these solutions.

For notational convenience, writing  $\alpha = (\alpha_1, \alpha_2, \alpha_3)$  and  $\alpha \cdot \nabla = \sum_{k=1}^3 \alpha_k \partial_k$ , we reread system (1.5) as

$$\begin{cases} i\varepsilon \alpha \cdot \nabla u - a\beta u + V(x)u - \lambda \phi \beta u = P(x)f(|u|)u + Q(x)|u|u, \\ -\varepsilon^2 \Delta \phi + M\phi = 4\pi \lambda (\beta u) \cdot u. \end{cases}$$

To state our main results, we need the following assumptions on the nonlinear self-coupling:

( $f_1$ )  $f(0) = 0, f \in C^1(\mathbb{R}, [0, \infty)), f'(t) > 0$  for  $t > 0$ , and there exist  $p \in (2, 3), c_1 > 0$  such that

$$f(t) \leq c_1(1 + t^{p-2}) \text{ for all } t \geq 0;$$

( $f_2$ ) there exist  $\sigma > 2$  and  $c_0 > 0$  such that  $F(t) \geq c_0 t^\sigma$  for all  $t > 0$ , where  $F(t) = \int_0^t f(s) s ds$ .

Clearly, the power function  $f(t) = t^{q-2}$  for  $t \geq 0$  satisfies assumptions ( $f_1$ )-( $f_2$ ), where  $2 < q \leq p$ . Set

$$\mathcal{R}_\sigma := \left( \frac{S^{\frac{3}{2}} c_0^{\frac{2}{\sigma-2}}}{6\gamma} \right)^{\sigma-2}$$

where  $S$  denotes the Sobolev embedding constant:  $S|u|_6^2 \leq |\nabla u|_2^2$  for all  $u \in H^1(\mathbb{R}^3, \mathbb{R})$  and  $\gamma$  is the least energy of the following subcritical equation (which exists, see [8] for a similar argument)

$$i\alpha \cdot \nabla u - a\beta u = |u|^{\sigma-2}u$$

and  $c_0$  is defined in assumption ( $f_2$ ).

**Remark 1.1.** Note that,  $(f_1)$  implies that for each  $\epsilon > 0$ , there is  $C_\epsilon > 0$  such that

$$f(t) \leq \epsilon + C_\epsilon t^{p-2} \quad \text{and} \quad F(t) \leq \epsilon t^2 + C_\epsilon t^p, \quad \forall t \geq 0, \quad (1.6)$$

and

$$F(t) > 0 \quad \text{and} \quad \hat{F}(t) := f(t)t^2 - 2F(t) > 0, \quad \forall t > 0. \quad (1.7)$$

We need some notations to help us to determine the concentration set of solutions. Set

$$V_{\min} := \min_{x \in \mathbb{R}^3} V(x), \quad V_{\max} := \sup_{x \in \mathbb{R}^3} V(x), \quad \mathcal{V} := \{x \in \mathbb{R}^3 : V(x) = V_{\min}\}, \quad V_\infty := \liminf_{|x| \rightarrow \infty} V(x), \quad (1.8)$$

$$P_{\min} := \inf_{x \in \mathbb{R}^3} P(x), \quad P_{\max} := \max_{x \in \mathbb{R}^3} P(x), \quad \mathcal{P} := \{x \in \mathbb{R}^3 : P(x) = P_{\max}\}, \quad P_\infty := \limsup_{|x| \rightarrow \infty} P(x), \quad (1.9)$$

$$Q_{\min} := \inf_{x \in \mathbb{R}^3} Q(x), \quad Q_{\max} := \max_{x \in \mathbb{R}^3} Q(x), \quad \mathcal{Q} := \{x \in \mathbb{R}^3 : Q(x) = Q_{\max}\}, \quad Q_\infty := \limsup_{|x| \rightarrow \infty} Q(x), \quad (1.10)$$

$$V_{\mathcal{Q}} := \min_{x \in \mathcal{Q}} V(x), \quad P_{\mathcal{Q}} := \max_{x \in \mathcal{Q}} P(x). \quad (1.11)$$

Moreover, we assume that  $V(x) \leq 0$ ,  $V_{\min} \in (-a, 0]$ ,  $P_{\min} > 0$ ,  $Q_{\min} > 0$ , and

$(A_1)$   $P_{\mathcal{Q}} > P_\infty$  and there exists  $x_P \in \mathcal{C}_P$  such that  $V(x_P) \leq V(x)$  for  $|x| \geq R$  with  $R > 0$  sufficiently large, where  $\mathcal{C}_P := \{x \in \mathcal{Q} : P(x) = P_{\mathcal{Q}}\}$ .

We set

$$\mathcal{H}_P = \{x \in \mathcal{C}_P : V(x) \leq V(x_P)\} \cup \{x \in \mathcal{Q} \setminus \mathcal{C}_P : V(x) < V(x_P)\} \cup \{x \notin \mathcal{Q} : P(x) > P_{\mathcal{Q}} \text{ or } V(x) < V(x_P)\}.$$

**Remark 1.2.**

(1) Obviously,  $x_P \in \mathcal{H}_P$  and then  $\mathcal{H}_P$  is non-empty and bounded by (1.8)-(1.11).

(2) If  $\mathcal{P} \cap \mathcal{Q} \neq \emptyset$ , we can set  $V(x_P) = \min_{x \in \mathcal{P} \cap \mathcal{Q}} V(x)$  and

$$\mathcal{H}_P = \{x \in \mathcal{P} \cap \mathcal{Q} : V(x) = V(x_P)\} \cup \{x \notin \mathcal{P} \cap \mathcal{Q} : V(x) < V(x_P)\}.$$

In particular, if  $P(x) \equiv Q(x)$  or  $Q(x)$  is a constant function, we can let  $V(x_P) = \min_{x \in \mathcal{P}} V(x)$  and

$$\mathcal{H}_P = \{x \in \mathcal{P} : V(x) = V(x_P)\} \cup \{x \notin \mathcal{P} : V(x) < V(x_P)\},$$

which is just the case in [10].

(3) If  $\mathcal{V} \cap \mathcal{P} \cap \mathcal{Q} \neq \emptyset$ , we can set  $V(x_P) = \min_{x \in \mathcal{V} \cap \mathcal{P} \cap \mathcal{Q}} V(x)$  and

$$\mathcal{H}_P = \{x \in \mathcal{V} \cap \mathcal{P} \cap \mathcal{Q} : V(x) = V(x_P)\},$$

which implies that  $\mathcal{H}_P = \mathcal{V} \cap \mathcal{P} \cap \mathcal{Q}$ .

Define

$$\alpha_0 := \left(\frac{a}{P_\infty}\right)^2 \left(\frac{Q_{\max}}{a}\right)^{2(\sigma-2)}, \quad \alpha_1 := \left(\frac{a - |V|_\infty}{a}\right)^{12-5\sigma} \left(\frac{a}{P_\infty}\right)^2 \left(\frac{Q_{\max}}{a}\right)^{2(\sigma-2)}.$$

Now we state our main results as follows.

**Theorem 1.1.** Assume that  $(f_1)$ – $(f_2)$  and  $(A_1)$  hold, and if  $2 < \sigma \leq \frac{12}{5}$  with  $\alpha_0 \leq \mathcal{R}_\sigma$  or  $\frac{12}{5} < \sigma < 3$  with  $\alpha_1 \leq \mathcal{R}_\sigma$ . Then there exists  $\lambda_0 > 0$  such that given  $\lambda \in (0, \lambda_0]$ , for any  $\varepsilon > 0$  small:

- (i) The system (1.5) has a least energy solution  $\omega_\varepsilon$ .  
(ii)  $|\omega_\varepsilon|$  possesses a maximum point  $x_\varepsilon$  such that, up to a subsequence,  $x_\varepsilon \rightarrow x_0$  as  $\varepsilon \rightarrow 0$ ,  $\lim_{\varepsilon \rightarrow 0} \text{dist}(x_\varepsilon, \mathcal{H}_P) = 0$ , and  $v_\varepsilon(x) := \omega_\varepsilon(\varepsilon x + x_\varepsilon)$  converges in  $H^1(\mathbb{R}^3, \mathbb{C}^4)$  to a least energy solution of

$$\begin{cases} i\alpha \cdot \nabla u - a\beta u + V(x_0)u - \lambda\phi\beta u = P(x_0)f(|u|)u + Q(x_0)|u|u, \\ -\Delta\phi + M\phi = 4\pi\lambda(\beta u) \cdot u. \end{cases}$$

In particular if  $\mathcal{V} \cap \mathcal{P} \cap \mathcal{Q} \neq \emptyset$ , then  $\lim_{\varepsilon \rightarrow 0} \text{dist}(x_\varepsilon, \mathcal{V} \cap \mathcal{P} \cap \mathcal{Q}) = 0$ , and up to a subsequence,  $v_\varepsilon$  converges in  $H^1(\mathbb{R}^3, \mathbb{C}^4)$  to a least energy solution of

$$\begin{cases} i\alpha \cdot \nabla u - a\beta u + V_{\min}u - \lambda\phi\beta u = P_{\max}f(|u|)u + Q_{\max}|u|u, \\ -\Delta\phi + M\phi = 4\pi\lambda(\beta u) \cdot u. \end{cases}$$

- (iii) There are positive constants  $C_1, C_2$  independent of  $\varepsilon$  such that

$$|\omega_\varepsilon(x)| \leq C_1 e^{-\frac{C_2}{\varepsilon}|x-x_\varepsilon|}, \quad \forall x \in \mathbb{R}^3.$$

This paper is organized as follows. In section 2, we present some preliminary notions on the Dirac operator, introduce the modified functional by cutting approach and give some basic results which will be used later. In section 3, we prove the existence of least energy solutions of system (1.5) for small  $\varepsilon > 0$ . In section 4, we study the concentration phenomenon and convergence of least energy solutions. In section 5, we prove the exponential decay of solutions. Finally, we give the proof of Theorem 1.1.

**Notation.** Throughout this paper, we make use of the following notations.

- For any  $R > 0$  and for any  $x \in \mathbb{R}^3$ ,  $B_R(x)$  denotes the ball of radius  $R$  centered at  $x$ ;
- $|\cdot|_q$  denotes the usual norm of the space  $L^q(\mathbb{R}^3, \mathbb{C}^4)$ ,  $1 \leq q \leq \infty$ ;
- $o_n(1)(o_\varepsilon(1))$  denotes  $o_n(1)(o_\varepsilon(1)) \rightarrow 0$  as  $n \rightarrow \infty(\varepsilon \rightarrow 0)$ ;
- $u \cdot v$  denotes the scalar product in  $\mathbb{C}^4$  of  $u$  and  $v$ , i.e.,  $u \cdot v = \sum_{i=1}^4 u_i \bar{v}_i$ ;
- $C$  or  $C_i(i = 1, 2, \dots)$  are some positive constants may change from line to line.

## 2. The functional-analytic setting and preliminary results

### 2.1. The functional-analytic setting

For convenience, let

$$H_0 := i\alpha \cdot \nabla - a\beta$$

denotes the Dirac operator. It is well known that  $H_0$  is a selfadjoint operator on  $L^2(\mathbb{R}^3, \mathbb{C}^4)$  with domain  $D(H_0) = H^1(\mathbb{R}^3, \mathbb{C}^4)$ , then by [2, Lemma 3.3(b)], we know that

$$\sigma(H_0) = \sigma_c(H_0) = \mathbb{R} \setminus (-a, a)$$

where  $\sigma(H_0)$  and  $\sigma_c(H_0)$  denote the spectrum and continuous spectrum of operator  $H_0$ . Thus the space  $L^2(\mathbb{R}^3, \mathbb{C}^4)$  possesses the orthogonal decomposition:

$$L^2 = L^- \oplus L^+, \quad u = u^- + u^+$$

so that  $H_0$  is negative definite on  $L^-$  and positive definite on  $L^+$ . Let  $|H_0|$  denote the absolute value of  $H_0$  and  $|H_0|^{\frac{1}{2}}$  denote its square root. Define  $E := D(|H_0|^{\frac{1}{2}}) = H^{\frac{1}{2}}$  be the Hilbert space with the inner product

$$(u, v) = \Re(|H_0|^{\frac{1}{2}}u, |H_0|^{\frac{1}{2}}v)_{L^2}$$

and the induced norm  $\|u\| = (u, u)^{\frac{1}{2}}$ , where  $\Re$  stands for the real part of a complex number. Since  $\sigma(H_0) = \mathbb{R} \setminus (-a, a)$ , one has

$$a|u|_2^2 \leq \|u\|^2, \quad \text{for all } u \in E. \quad (2.1)$$

Note that this norm is equivalent to the usual  $H^{\frac{1}{2}}$ -norm, hence  $E$  embeds continuously into  $L^q(\mathbb{R}^3, \mathbb{C}^4)$  for all  $q \in [2, 3]$  and compactly into  $L_{loc}^q(\mathbb{R}^3, \mathbb{C}^4)$  for all  $q \in [1, 3)$ . That is, there exists constant  $\tau_q > 0$  such that

$$|u|_q \leq \tau_q \|u\|, \quad \text{for all } u \in E. \quad (2.2)$$

Moreover, it is clear that  $E$  possesses the following decomposition

$$E = E^- \oplus E^+, \quad \text{where } E^\pm = E \cap L^\pm$$

which is decomposition orthogonal with respect to inner products  $(\cdot, \cdot)_{L^2}$  and  $(\cdot, \cdot)$ . Furthermore, from [14, Proposition 2.1], this decomposition induces of  $E$  also a natural decomposition of  $L^q(\mathbb{R}^3, \mathbb{C}^4)$ , hence there is  $c_q > 0$  such that

$$c_q |u^\pm|_q^q \leq |u|_q^q \quad \text{for all } u \in E. \quad (2.3)$$

Recall that by the Lax-Milgram theorem, we know that for every  $u \in H^1(\mathbb{R}^3, \mathbb{C}^4)$ , there exists a unique  $\phi_u \in H^1(\mathbb{R}^3, \mathbb{R})$  such that  $-\Delta\phi_u + M\phi_u = 4\pi\lambda(\beta u) \cdot u$  and  $\phi_u$  can be repressed by

$$\phi_u(x) = \lambda \int_{\mathbb{R}^3} \frac{[(\beta u)u](y)}{|x-y|} e^{-\sqrt{M}|x-y|} dy.$$

Making the change of variable  $x \mapsto \varepsilon x$ , we can rewrite the system (1.5) as the following equivalent system

$$\begin{cases} i\alpha \cdot \nabla u - a\beta u + V(\varepsilon x)u - \lambda\phi\beta u = P(\varepsilon x)f(|u|)u + Q(\varepsilon x)|u|u, \\ -\Delta\phi + M\phi = 4\pi\lambda(\beta u) \cdot u. \end{cases} \quad (2.4)$$

If  $u$  is a solution of the system (2.4), then  $\omega(x) := u(\frac{x}{\varepsilon})$  is a solution of the system (1.5). Thus, to study the system (1.5), it suffices to study the system (2.4).

On  $E$ , we define the energy functional  $\Phi_\varepsilon$  corresponding to system (2.4) by

$$\Phi_\varepsilon(u) = \frac{1}{2}\|u^+\|^2 - \frac{1}{2}\|u^-\|^2 + \frac{1}{2} \int_{\mathbb{R}^3} V(\varepsilon x)|u|^2 dx - N_\lambda(u) - \Psi_\varepsilon(u),$$

for  $u = u^+ + u^- \in E$ , where

$$N_\lambda(u) = \frac{\lambda}{4} \int_{\mathbb{R}^3} \phi_u \cdot (\beta u) u dx = \frac{\lambda^2}{4} \iint_{\mathbb{R}^3 \times \mathbb{R}^3} \frac{[(\beta u)u](x)[(\beta u)u](y)}{|x-y|} e^{-\sqrt{M}|x-y|} dx dy,$$

and

$$\Psi_\varepsilon(u) = \int_{\mathbb{R}^3} P(\varepsilon x) F(|u|) dx + \frac{1}{3} \int_{\mathbb{R}^3} Q(\varepsilon x) |u|^3 dx.$$

It follows by standard arguments that  $\Phi_\varepsilon \in C^2(E, \mathbb{R})$ . Also, for any  $u, v \in E$ , one has

$$\Phi'_\varepsilon(u)v = (u^+ - u^-, v) + \Re \int_{\mathbb{R}^3} V(\varepsilon x) u \cdot v dx - N'_\lambda(u)v - \Psi'_\varepsilon(u)v,$$

where

$$N'_\lambda(u)v = \lambda \int_{\mathbb{R}^3} \phi_u \cdot \Re(\beta u) v dx.$$

Moreover, it is proved that critical points of  $\Phi_\varepsilon$  are weak solutions of system (2.4) in [13, Lemma 2.1].

## 2.2. Technical results

In this subsection, we shall introduce some preliminary lemmas. Firstly, we give some properties of  $\phi_u$ , the proof can be found in [13], so we omit it here.

**Lemma 2.1.** *For any  $u, v \in H^1(\mathbb{R}^3, \mathbb{C}^4)$ , one has*

- (i)  $\phi_u : E \rightarrow H^1(\mathbb{R}^3, \mathbb{R})$  is continuous and maps bounded sets into bounded sets;
- (ii)  $\|\phi_u\|_{H^1} \leq 4\pi\lambda S^{-\frac{1}{2}}|u|_3 \cdot |u|_2 \leq C\lambda\|u\|^2$  or  $\|\phi_u\|_{H^1} \leq 4\pi\lambda S^{-\frac{1}{2}}|u|_{\frac{12}{5}}^2 \leq C\lambda\|u\|^2$ ;
- (iii)  $N_\lambda$  is non-negative and weakly sequentially lower semi-continuous. Moreover,  $N_\lambda$  vanishes only when  $(\beta u)u = 0$  a.e. in  $\mathbb{R}^3$ .
- (iv) there hold

$$\begin{aligned} |N_\lambda(u)| &\leq S^{-1}\lambda^2|u|_{\frac{4}{5}}^4 \leq C\lambda^2\|u\|^4, \\ |N'_\lambda(u)v| &\leq 4\pi S^{-1}\lambda^2|u|_3^2 \cdot |u|_2 \cdot |v|_2 \leq C\lambda^2\|u\|^3\|v\|, \\ |N''_\lambda(u)[v, v]| &\leq C\lambda^2\|u\|^2\|v\|^2, \end{aligned}$$

where

$$\|v\|_{H^1} = \left( \int_{\mathbb{R}^3} |\nabla v|^2 + Mv^2 dx \right)^{\frac{1}{2}}, \quad v \in H^1(\mathbb{R}^3, \mathbb{R}),$$

and

$$N''_\lambda(u)[v, v] = 2\lambda^2 \iint_{\mathbb{R}^3 \times \mathbb{R}^3} \frac{e^{-\sqrt{M}|x-y|}}{|x-y|} \left( \Re[(\beta u)v](x) \Re[(\beta u)v](y) \right) dx dy + \lambda \Re \int_{\mathbb{R}^3} \phi_u \cdot (\beta v) v dx.$$



In what following, set  $\mathbb{R}^+ := [0, \infty)$  and define for any  $u \in E^+ \setminus \{0\}$ ,

$$E_u := E^- \oplus \mathbb{R}^+ u.$$

The following Lemma implies that the functional  $\Phi_\varepsilon$  possesses the link structure.

**Lemma 2.2.** *The functional  $\Phi_\varepsilon$  possess the following properties:*

- (i) *There exist  $r > 0$  and  $\rho > 0$ , both independent of  $\varepsilon$ , such that  $\Phi_\varepsilon|_{B_r^+} \geq 0$  and  $\Phi_\varepsilon|_{S_r^+} \geq \rho$ , where  $B_r^+ := \{u \in E^+ : \|u\| \leq r\}$ ,  $S_r^+ := \{u \in E^+ : \|u\| = r\}$ ;*
- (ii) *For any  $e \in E^+ \setminus \{0\}$ , there exist  $R = R_e > 0$  and  $C = C_e > 0$ , both independent of  $\varepsilon$ , such that, for all  $\varepsilon > 0$ , there hold  $\Phi_\varepsilon(u) < 0$  for all  $u \in E_e \setminus B_R$  and  $\max \Phi_\varepsilon(E_e) \leq C$ .*

**Proof.** (i) For any  $u \in E^+$ , it follows from (1.6) and Lemma 2.1(iv), for  $\varepsilon > 0$  small enough, that

$$\begin{aligned} \Phi_\varepsilon(u) &= \frac{1}{2}\|u\|^2 + \frac{1}{2} \int_{\mathbb{R}^3} V(\varepsilon x)|u|^2 dx - N_\lambda(u) - \Psi_\varepsilon(u) \\ &\geq \frac{a - |V|_\infty}{2a}\|u\|^2 - C\lambda^2\|u\|^4 - \varepsilon|u|_2^2 - C_\varepsilon|u|_p^p \\ &\geq \frac{a - |V|_\infty}{4a}\|u\|^2 - C\lambda^2\|u\|^4 - C\|u\|^p, \end{aligned}$$

which, jointly with  $p > 2$ , yields (i).

(ii) Take  $e \in E^+ \setminus \{0\}$ , by (2.3) and Lemma 2.1(iii), for  $u = se + v \in E_e$ , one has

$$\begin{aligned} \Phi_\varepsilon(u) &= \frac{1}{2}\|se\|^2 - \frac{1}{2}\|v\|^2 + \frac{1}{2} \int_{\mathbb{R}^3} V(\varepsilon x)|u|^2 dx - N_\lambda(u) - \Psi_\varepsilon(u) \\ &\leq \frac{a + |V|_\infty}{2a}s^2\|e\|^2 - \frac{a - |V|_\infty}{2a}\|v\|^2 - \frac{Q_{\min}c_3}{3}s^3|e|_3^3, \end{aligned}$$

and so complete the proof of (ii).  $\square$

Recall that a sequence  $\{u_n\} \subset E$  is called to be a  $(PS)_c$ -sequence for functional  $\Phi \in C^1(E, \mathbb{R})$  if  $\Phi(u_n) \rightarrow c$  and  $\Phi'(u_n) \rightarrow 0$ , and is called to be  $(C)_c$ -sequence for  $\Phi$  if  $\Phi(u_n) \rightarrow c$  and  $(1 + \|u_n\|)\Phi'(u_n) \rightarrow 0$ . It is clear that if  $\{u_n\}$  is a  $(PS)_c$ -sequence with  $\{\|u_n\|\}$  bounded, then it is also a  $(C)_c$ -sequence. Below we are going to study  $(C)_c$ -sequences for  $\Phi_\varepsilon$ .

**Lemma 2.3.** *For every pair of constants  $c_1, c_2 > 0$ , there exist constants  $\lambda_1 > 0$  and  $\Lambda = \Lambda(c_1, c_2) > 0$  such that, for any  $\lambda \in (0, \lambda_1]$  and  $u \in E$  with*

$$|\Phi_\varepsilon(u)| \leq c_1 \quad \text{and} \quad \|u\| \cdot \|\Phi'_\varepsilon(u)\| \leq c_2,$$

*we have*

$$\|u\| \leq \Lambda.$$

**Proof.** Without loss of generality, we may assume that  $\|u\| \geq 1$ . It follows from (1.7) and Lemma 2.1(iii) that

$$c_1 + c_2 \geq \Phi_\varepsilon(u) - \frac{1}{2}\Phi'_\varepsilon(u)u = N_\lambda(u) + \int_{\mathbb{R}^3} P(\varepsilon x)\hat{F}(|u|)dx + \frac{1}{6}\int_{\mathbb{R}^3} Q(\varepsilon x)|u|^3dx \geq \frac{Q_{\min}}{6}|u|_3^3 \quad (2.5)$$

that is,

$$|u|_3 \leq \left(\frac{6(c_1 + c_2)}{Q_{\min}}\right)^{\frac{1}{3}} := C_1. \quad (2.6)$$

Therefore, (1.6), (2.6) and Lemma 2.1(iv) imply that

$$\begin{aligned} c_2 &\geq \Phi'_\varepsilon(u)(u^+ - u^-) = \|u\|^2 + \Re \int_{\mathbb{R}^3} V(\varepsilon x)u \cdot (u^+ - u^-)dx - N'_\lambda(u)(u^+ - u^-) \\ &\quad - \Re \int_{\mathbb{R}^3} P(\varepsilon x)f(|u|)u \cdot (u^+ - u^-)dx - \Re \int_{\mathbb{R}^3} Q(\varepsilon x)|u|u \cdot (u^+ - u^-)dx \\ &\geq \frac{a - |V|_\infty}{a}\|u\|^2 - 4\pi S^{-1}\lambda^2|u|_3^2 \cdot |u|_2 \cdot |u^+ - u^-|_2 - \epsilon|u|_2^2 - C_\epsilon|u|_p^p - C_2|u|_3^3 \\ &\geq \frac{a - |V|_\infty}{2a}\|u\|^2 - \frac{4\pi S^{-1}C_1^2}{a}\lambda^2\|u\|^2 - C_3|u|_p^p - C_2C_1^3 \\ &\geq \frac{a - |V|_\infty}{2a}\|u\|^2 - \frac{4\pi S^{-1}C_1^2}{a}\lambda^2\|u\|^2 - C_4|u|_3^{p-1} \cdot |u|_{\frac{3}{4-p}} - C_2C_1^3 \\ &\geq \frac{a - |V|_\infty}{2a}\|u\|^2 - \frac{4\pi S^{-1}C_1^2}{a}\lambda^2\|u\|^2 - C_5\|u\| - C_2C_1^3 \end{aligned}$$

where we have used the fact that  $\frac{3}{4-p} \in (2, 3)$  and  $E \hookrightarrow L^{\frac{3}{4-p}}(\mathbb{R}^3, \mathbb{C}^4)$ . Thus, we obtain that

$$\frac{a - |V|_\infty}{2a}\|u\|^2 \leq C_6\|u\| + \frac{4\pi S^{-1}C_1^2}{a}\lambda^2\|u\|^2, \quad (2.7)$$

which implies that there exist  $\lambda_1 > 0$  and  $\Lambda = \Lambda(c_1, c_2) > 0$  such that, for  $\lambda \in (0, \lambda_1]$

$$\|u\| \leq \Lambda.$$

This completes the proof.  $\square$

Lemma 2.3 has an immediate consequence which implies the boundedness of a  $(C)_c$ -sequence:

**Corollary 2.1.** *Let  $\{u_n^\varepsilon\}$  is the corresponding  $(C)_{c_\varepsilon}$ -sequence for  $\Phi_\varepsilon$ . If there exists  $C > 0$  such that  $|c_\varepsilon| \leq C$  for all  $\varepsilon$ , then we have (up to a subsequence)*

$$\|u_n^\varepsilon\| \leq \Lambda,$$

where  $\Lambda$  found in Lemma 2.3 and the pair  $c_1 = C$  and  $c_2 = 1$ .

### 2.3. Cut-off arguments

The functional  $\Phi_\varepsilon$  contains the non-local term  $N_\lambda$ , which is not convex on  $E$ . Thus, Mountain-Pass reduction technique could not be applied to functional  $\Phi_\varepsilon$ . In order to overcome this difficulty, we will adopt the cut-off the non-local term argument of [13] to find critical point, and eventually shown to be a least energy solution of the original system.

Now fix  $\Lambda > 0$  to be the constant found in Lemma 2.3 and the pair of the constants  $c_1 = C_{e_0}$  and  $c_2 = 1$ , where  $C_{e_0}$  is the constant in Lemma 2.2 with  $e_0 \in E^+ \setminus \{0\}$  being fixed. Denote  $T = (\Lambda + 1)^2$  and let  $\eta : [0, \infty) \rightarrow [0, 1]$  be a smooth function with  $\eta(t) = 1$  if  $0 \leq t \leq T$ ,  $\eta(t) = 0$  if  $t \geq T + 1$ ,  $\max |\eta'(t)| \leq 2$  and  $|\eta''(t)| \leq 2$ . Define  $\Gamma_\lambda : E \rightarrow \mathbb{R}$  as  $\Gamma_\lambda(u) = \eta(\|u\|^2)N_\lambda(u)$ . Then we have  $\Gamma_\lambda \in C^2(E, \mathbb{R})$  and  $\Gamma_\lambda$  vanishes for all  $u$  with  $\|u\| \geq \sqrt{T+1}$ .

Consider the modified functional

$$\tilde{\Phi}_\varepsilon(u) = \frac{1}{2}(\|u^+\|^2 - \|u^-\|^2) + \frac{1}{2} \int_{\mathbb{R}^3} V(\varepsilon x) |u|^2 dx - \Gamma_\lambda(u) - \Psi_\varepsilon(u).$$

By definition,  $\tilde{\Phi}_\varepsilon|_{B_T} = \Phi_\varepsilon$ , where  $B_T := \{u \in E : \|u\| \leq \sqrt{T}\}$ . Clearly,  $0 \leq \Gamma_\lambda(u) \leq N_\lambda(u)$  and for any  $u, v \in E$

$$\Gamma'_\lambda(u)v = 2\eta'(\|u\|^2)N_\lambda(u)(u, v) + \eta(\|u\|^2)N'_\lambda(u)v.$$

Similar to Lemma 2.3, we have the following boundedness Lemma (with  $\Lambda$  being taken in Lemma 2.3 and large if necessary).

**Lemma 2.4.** *There exists  $\lambda_2 > 0$  such that, for each  $\lambda \in (0, \lambda_2]$ , if  $u \in E$  satisfies*

$$0 \leq \tilde{\Phi}_\varepsilon(u) \leq C_{e_0} \quad \text{and} \quad \|u\| \cdot \|\tilde{\Phi}'_\varepsilon(u)\| \leq 1,$$

*then we have  $\|u\| \leq \Lambda + 1$ , and consequently  $\tilde{\Phi}_\varepsilon(u) = \Phi_\varepsilon(u)$ .*

**Proof.** We repeat the arguments of Lemma 2.3. If  $\|u\|^2 \geq T + 1$  then  $\Gamma_\lambda(u) = 0$ . So, as proved in Lemma 2.3, we obtain that  $\|u\| \leq \tilde{C}$  for some  $\tilde{C} > 0$  and get  $\|u\| \leq \tilde{C} \leq \Lambda + 1$ , a contradiction. Thus we assume that  $\|u\|^2 \leq T + 1$ . Then, using Lemma 2.1(iv), there is  $\lambda_2 > 0$  (such as  $\lambda_2 = \frac{1}{(T+1)^{\frac{3}{2}}}$ ) such that, for any  $\lambda \in (0, \lambda_2]$

$$|\eta'(\|u\|^2)\|u\|^2 N_\lambda(u)| \leq r_0 \lambda^2 \|u\|^6 \leq r_0 \lambda^2 (T + 1)^3 \leq r_0, \quad (2.8)$$

where  $r_0 > 0$  independent of  $T$ . Similar to (2.5), we get

$$C_{e_0} + 1 \geq (\eta(\|u\|^2) + \eta'(\|u\|^2)\|u\|^2)N_\lambda(u) + \int_{\mathbb{R}^3} P(\varepsilon x) \hat{F}(|u|) dx + \frac{1}{6} \int_{\mathbb{R}^3} Q(\varepsilon x) |u|^3 dx \geq \frac{Q_{\min}}{6} |u|_3^3$$

which, jointly with (2.8), yields

$$|u|_3^3 \leq \frac{6(C_{e_0} + 1 + r_0)}{Q_{\min}} := \tilde{C}_1.$$

Similar to (2.7), for any  $\lambda \in (0, \lambda_2]$ , we get

$$\begin{aligned} \frac{a - |V|_\infty}{2a} \|u\|^2 &\leq 2\eta'(\|u\|^2)(u, u^+ - u^-)N_\lambda(u) + \eta(\|u\|^2)N'_\lambda(u)(u^+ - u^-) + C\|u\| + C\tilde{C}_1 \\ &\leq C\lambda^2 \|u\|^6 + C\lambda^4 \|u\|^4 + C\tilde{C}_1 \\ &\leq R_0 \end{aligned}$$

which implies that

$$\|u\| \leq \sqrt{\frac{2aR_0}{a - |V|_\infty}} \leq \Lambda + 1,$$

where  $R_0 > 0$  is a constant independent of  $T$ . The proof is complete.  $\square$

Under Lemma 2.4, to prove our main results, it suffices to study  $\tilde{\Phi}_\varepsilon$  and get its critical points with critical value in  $[0, C_{e_0}]$ . This will be done via a series of arguments. Firstly, a similar argument of Lemma 2.2 yields

**Lemma 2.5.**  *$\tilde{\Phi}_\varepsilon$  possesses the linking structure, and the constants found in Lemma 2.2 are independent of  $\varepsilon$ .*

Define the following minimax value (see [26,30])

$$c_\varepsilon := \inf_{u \in E^+ \setminus \{0\}} \max_{w \in E_u} \tilde{\Phi}_\varepsilon(w).$$

As a consequence of Lemma 2.4 and Lemma 2.5, one has

**Lemma 2.6.**  *$\rho \leq c_\varepsilon \leq C_{e_0}$ . Moreover, if  $c_\varepsilon$  is critical point values for  $\tilde{\Phi}_\varepsilon$ , then it is also critical values for  $\Phi_\varepsilon$ .*

In order to get more information on  $c_\varepsilon$ , motivated by [1], we consider, for a fixed  $u \in E^+$ , the map  $\phi_u : E^- \rightarrow \mathbb{R}$  defined by

$$\phi_u(v) = \tilde{\Phi}_\varepsilon(u + v).$$

Observe that, for any  $v, w \in E^-$ ,

$$\begin{aligned} \phi_u''(v)[w, w] &= -\|w\|^2 + \int_{\mathbb{R}^3} V(\varepsilon x)|w|^2 dx - \Gamma_\lambda''(u + v)[w, w] - \Psi_\varepsilon''(u + v)[w, w] \\ &\leq -\frac{a - |V|_\infty}{a} \|w\|^2 - \Gamma_\lambda''(u + v)[w, w] - \Psi_\varepsilon''(u + v)[w, w], \end{aligned}$$

where

$$\begin{aligned} \Psi_\varepsilon''(u + v)[w, w] &= \int_{\mathbb{R}^3} P(\varepsilon x) \left[ \frac{(f'(u + v))}{|u + v|} \Re(u + v, w)^2 + f(|u + v|)|w|^2 \right] dx \\ &\quad + \int_{\mathbb{R}^3} Q(\varepsilon x) \left[ \frac{(\Re(u + v, w))^2}{|u + v|} + |u + v||w|^2 \right] dx > 0 \end{aligned}$$

and

$$\begin{aligned} \Gamma_\lambda''(u + v)[w, w] &= (4\eta''(\|u + v\|^2)|(u + v, w)|^2 + 2\eta'(\|u + v\|^2)\|w\|^2)N_\lambda(u + v) \\ &\quad + 4\eta'(\|u + v\|^2)(u + v, w)N'_\lambda(u + v)w + \eta(\|u + v\|^2)N''_\lambda(u + v)[w, w]. \end{aligned}$$

Combining Lemma 2.1(iv) yields

$$|\Gamma_\lambda''(u + v)[w, w]| \leq C\lambda^2\|w\|^2 \leq \frac{a - |V|_\infty}{2a}\|w\|^2$$

for  $\lambda \in (0, \lambda_3]$ , where  $\lambda_3$  is suitably chosen. Therefore, for each  $\lambda \in (0, \lambda_3]$ , we deduce

$$\phi_u''(v)[w, w] \leq -\frac{a - |V|_\infty}{2a} \|w\|^2.$$

Additionally, we find

$$\phi_u(v) \leq \frac{a - |V|_\infty}{2a} \|u\|^2 - \frac{a + |V|_\infty}{2a} \|v\|^2.$$

Therefore, there is a unique  $h_\varepsilon : E^+ \rightarrow E^-$  such that

$$\phi_u(h_\varepsilon(u)) = \max_{v \in E^-} \phi_u(v).$$

It is clear that, for all  $v \in E^-$

$$0 = \phi_u'(h_\varepsilon(u))v = -(h_\varepsilon(u), v) + \Re \int_{\mathbb{R}^3} V(\varepsilon x)(u + h_\varepsilon(u))v dx - \Gamma'_\lambda(u + h_\varepsilon(u))v - \Psi'_\varepsilon(u + h_\varepsilon(u))v$$

and

$$v \neq h_\varepsilon(u) \Leftrightarrow \tilde{\Phi}_\varepsilon(u + v) < \tilde{\Phi}_\varepsilon(u + h_\varepsilon(u)).$$

Define  $I_\varepsilon : E^+ \rightarrow \mathbb{R}$  by

$$I_\varepsilon(u) = \tilde{\Phi}_\varepsilon(u + h_\varepsilon(u))$$

and let

$$\mathcal{N}_\varepsilon := \{u \in E^+ \setminus \{0\} : I'_\varepsilon(u)u = 0\}.$$

By a similar argument (see [1,11,13]), one has

**Lemma 2.7.** *For any  $u \in E^+ \setminus \{0\}$ , there is a unique  $t_\varepsilon = t_\varepsilon(u) > 0$  such that  $t_\varepsilon u \in \mathcal{N}_\varepsilon$  and  $t_\varepsilon \leq T_u$  for some constant  $T_u > 0$  (independent of  $\varepsilon$ ). Moreover,  $c_\varepsilon = \inf_{u \in \mathcal{N}_\varepsilon} I_\varepsilon(u)$ .*

Next we estimate the regularities of critical points of  $\tilde{\Phi}_\varepsilon$ . Let  $\mathcal{H}_\varepsilon := \{u \in E : \tilde{\Phi}'_\varepsilon(u) = 0\}$  be the critical set of  $\tilde{\Phi}_\varepsilon$ . It is easy to see that if  $\mathcal{H}_\varepsilon \setminus \{0\} \neq \emptyset$  then

$$c_\varepsilon = \inf_{u \in \mathcal{H}_\varepsilon \setminus \{0\}} \tilde{\Phi}_\varepsilon(u)$$

(see an argument of [11]). Using the same argument as in [21] or [12, Lemma 3.19], one obtains the following Lemma.

**Lemma 2.8.** *Let  $u$  be a weak solution to the system (1.5). Then  $u \in \cap_{q \geq 2} W_{loc}^{1,q} \cap L^\infty(\mathbb{R}^3, \mathbb{C}^4)$ .*

#### 2.4. The autonomous problem

In order to prove our main results, we will make use of the autonomous problem. Precisely, for any  $\mu \in (-a, 0]$ ,  $\nu \in [P_\infty, P_{\max}]$ ,  $\tau \in [Q_{\min}, Q_{\max}]$ , we consider the following constant coefficient system

$$\begin{cases} i\alpha \cdot \nabla u - a\beta u + \mu u - \lambda\phi\beta u = \nu f(|u|)u + \tau|u|u, \\ -\Delta\phi + M\phi = 4\pi\lambda(\beta u) \cdot u. \end{cases} \quad (2.9)$$

As before, we consider the modified functional

$$\tilde{\mathcal{J}}_{\mu\nu\tau}(u) = \frac{1}{2}(\|u^+\|^2 - \|u^-\|^2) + \frac{\mu}{2} \int_{\mathbb{R}^3} |u|^2 dx - \Gamma_\lambda(u) - H_{\nu\tau}(u),$$

where

$$H_{\nu\tau}(u) = \nu \int_{\mathbb{R}^3} F(|u|) dx + \frac{\tau}{3} \int_{\mathbb{R}^3} |u|^3 dx.$$

And define

$$\begin{aligned} \mathcal{J}_{\mu\nu\tau} : E^+ &\rightarrow E^-, \quad \tilde{\mathcal{J}}_{\mu\nu\tau}(u + \mathcal{J}_{\mu\nu\tau}(u)) = \max_{v \in E^-} \tilde{\mathcal{J}}_{\mu\nu\tau}(u + v), \\ J_{\mu\nu\tau} : E^+ &\rightarrow \mathbb{R}, \quad J_{\mu\nu\tau}(u) = \tilde{\mathcal{J}}_{\mu\nu\tau}(u + \mathcal{J}_{\mu\nu\tau}(u)), \\ \mathcal{M}_{\mu\nu\tau} &:= \{u \in E^+ \setminus \{0\} : J'_{\mu\nu\tau}(u)u = 0\}. \end{aligned}$$

Similar to Lemma 2.7, for each  $u \in E^+ \setminus \{0\}$ , there is a unique  $t = t(u) > 0$  such that  $tu \in \mathcal{M}_{\mu\nu\tau}$  and

$$\gamma_{\mu\nu\tau} = \inf_{u \in \mathcal{M}_{\mu\nu\tau}} J_{\mu\nu\tau}(u) = \inf_{e \in E^+ \setminus \{0\}} \max_{u \in E_e} \tilde{\mathcal{J}}_{\mu\nu\tau}(u).$$

**Lemma 2.9.** *For any  $\mu \in (-a, 0]$ ,  $\nu \in [P_\infty, P_{\max}]$ ,  $\tau \in [Q_{\min}, Q_{\max}]$ . If  $2 < \sigma \leq \frac{12}{5}$  with  $\alpha_0 \leq \mathcal{R}_\sigma$  or  $\frac{12}{5} < \sigma < 3$  with  $\alpha_1 \leq \mathcal{R}_\sigma$ . Then there holds*

$$0 < \gamma_{\mu\nu\tau} < \frac{S^{\frac{3}{2}}}{6\tau^2} \left( \frac{a + \mu}{a} \right)^3.$$

Moreover, system (2.9) has a least energy solution  $u$  such that  $\tilde{\mathcal{J}}_{\mu\nu\tau}(u) = \gamma_{\mu\nu\tau}$ .

**Proof.** Note that, by the min-max scheme, we deduce

$$\gamma_{\mu\nu\tau} < \gamma_{\mu\nu}(\sigma) \tag{2.10}$$

where  $\gamma_{\mu\nu}(\sigma)$  is the least energy of the following equation

$$i\alpha \cdot \nabla u - a\beta u + \mu u = c_0\nu|u|^{\sigma-2}u.$$

(2.10), jointly with [10, Lemma 4.6], that is

$$\gamma_{\mu\nu}(\sigma) \leq (a + \mu)^{\frac{2(3-\sigma)}{\sigma-2}} (c_0\nu)^{\frac{-2}{\sigma-2}} \gamma$$

we get

$$\gamma_{\mu\nu\tau} < (a + \mu)^{\frac{2(3-\sigma)}{\sigma-2}} (c_0\nu)^{\frac{-2}{\sigma-2}} \gamma \leq \frac{S^{\frac{3}{2}}}{6\tau^2} \left( \frac{a + \mu}{a} \right)^3.$$

Next, we show  $\gamma_{\mu\nu\tau}$  is attained. Let  $\{u_n\}$  be a  $(C)_{\gamma_{\mu\nu\tau}}$ -sequence. By the statements in Lemma 2.4,  $\{u_n\}$  is bounded in  $E$ . Next, we claim that there exists a sequence  $\{y_n\} \subset \mathbb{R}^3$  and  $R, \delta > 0$  such that

$$\int_{\mathbb{R}^3} |u_n|^2 dx \geq \delta, \quad n \in \mathbb{N}. \tag{2.11}$$

Otherwise, by Lion's concentration principle [23], one has

$$u_n \rightarrow 0 \text{ in } L^r(\mathbb{R}^3, \mathbb{C}^4) \text{ for } 2 < r < 3.$$

Thus, it follows from (1.6) that

$$\int_{\mathbb{R}^3} F(|u_n|)dx \rightarrow 0, \quad \int_{\mathbb{R}^3} f(|u_n|)|u_n|^2dx \rightarrow 0 \text{ as } n \rightarrow \infty. \quad (2.12)$$

Moreover, by Lemma 2.1(iv), we can obtain

$$\Gamma_\lambda(u_n) \leq S^{-1}\lambda^2|u_n|_{\frac{12}{5}}^4 \rightarrow 0 \text{ as } n \rightarrow \infty. \quad (2.13)$$

Note that

$$\begin{aligned} \tilde{\mathcal{J}}_{\mu\nu\tau}(u_n) &= \tilde{\mathcal{J}}_{\mu\nu\tau}(u_n) - \frac{1}{2}\tilde{\mathcal{J}}'_{\mu\nu\tau}(u_n)u_n \\ &= N_\lambda(u_n) + \nu \int_{\mathbb{R}^3} \hat{F}(|u_n|)dx + \frac{\tau}{6} \int_{\mathbb{R}^3} |u_n|^3dx. \end{aligned}$$

Therefore, (2.12)-(2.13) imply that

$$\int_{\mathbb{R}^3} |u_n|^3dx = \frac{6\gamma_{\mu\nu\tau}}{\tau} + o_n(1). \quad (2.14)$$

Similarly, we also have

$$\|u_n\|^2 + \Re \int_{\mathbb{R}^3} \mu u_n(u_n^+ - u_n^-)dx = \Re \int_{\mathbb{R}^3} \tau |u_n|u_n(u_n^+ - u_n^-)dx + o_n(1).$$

This, jointly with the fact  $S^{\frac{1}{2}}|u|_3^2 \leq \|u\|^2$  (see [2]), we get

$$\begin{aligned} \frac{a+\mu}{a}\|u_n\|^2 &\leq \tau^{\frac{2}{3}}|u_n|_3|u_n^+ - u_n^-|_3 \left( \int_{\mathbb{R}^3} |u_n|^3dx \right)^{\frac{1}{3}} + o_n(1) \\ &\leq \tau^{\frac{2}{3}}S^{-\frac{1}{2}}\|u_n\|\|u_n^+ - u_n^-\| \left( \int_{\mathbb{R}^3} |u_n|^3dx \right)^{\frac{1}{3}} + o_n(1) \\ &\leq \tau^{\frac{2}{3}}S^{-\frac{1}{2}}\|u_n\|^2(6\gamma_{\mu\nu\tau})^{\frac{1}{3}} + o_n(1), \end{aligned}$$

which implies

$$\gamma_{\mu\nu\tau} \geq \frac{S^{\frac{3}{2}}}{6\tau^2} \left( \frac{a+\mu}{a} \right)^3$$

a contradiction. Let  $v_n(x) = u_n(x + y_n)$ , then  $\{v_n\}$  is bounded in  $E$  by the boundedness of  $\{u_n\}$  and, up to a subsequence, we assume that  $v_n \rightharpoonup v$  in  $E$ . By (2.11), we see that  $v \neq 0$  and it is easy to check that  $\tilde{\mathcal{J}}'_{\gamma_{\mu\nu\tau}}(v) = 0$ ,  $\tilde{\mathcal{J}}_{\gamma_{\mu\nu\tau}}(v) = \gamma_{\mu\nu\tau}$ . This completes the proof.  $\square$

**Lemma 2.10.** Let  $u \in \mathcal{M}_{\mu\nu\tau}$  be such that  $J_{\mu\nu\tau}(u) = \gamma_{\mu\nu\tau}$ . Then

$$\max_{w \in E_u} \tilde{\mathcal{J}}_{\mu\nu\tau}(w) = J_{\mu\nu\tau}(u).$$

**Proof.** Clearly, since  $u + \mathcal{J}_b(u) \in E_u$ ,

$$J_{\mu\nu\tau}(u) = \tilde{J}_{\mu\nu\tau}(u + \mathcal{J}_{\mu\nu\tau}(u)) \leq \max_{w \in E_u} \tilde{J}_{\mu\nu\tau}(w).$$

Moreover, for any  $w = v + su \in E_u$ ,

$$\max_{w \in E_u} \tilde{J}_{\mu\nu\tau}(w) \leq \max_{s \geq 0} \tilde{J}_{\mu\nu\tau}(su + \mathcal{J}(su)) = \max_{s \geq 0} J_{\mu\nu\tau}(su) = J_{\mu\nu\tau}(u).$$

Therefore,  $\max_{w \in E_u} \tilde{J}_{\mu\nu\tau}(w) = J_{\mu\nu\tau}(u)$ .  $\square$

The following Lemma describes a comparison between the least energy values for different parameters  $\mu, \nu$  and  $\tau$ , which will play an important role in proving the existence result in Section 3.

**Lemma 2.11.** *Let  $\mu_j \in (-a, 0]$ ,  $\nu_j \in [P_\infty, P_{\max}]$  and  $\tau_j \in [Q_{\min}, Q_{\max}]$ ,  $j = 1, 2$ , with  $\mu_1 \leq \mu_2$ ,  $\nu_1 \geq \nu_2$  and  $\tau_1 \geq \tau_2$ . Then  $\gamma_{\mu_1\nu_1\tau_1} \leq \gamma_{\mu_2\nu_2\tau_2}$ . In particular, if one of inequalities is strict, then  $\gamma_{\mu_1\nu_1\tau_1} < \gamma_{\mu_2\nu_2\tau_2}$ .*

**Proof.** Let  $u$  be a least energy solution of  $\tilde{J}_{\mu_2\nu_2\tau_2}$  and set  $e = u^+$ . Then

$$\gamma_{\mu_2\nu_2\tau_2} = \tilde{J}_{\mu_2\nu_2\tau_2}(u) = \max_{w \in E_e} \tilde{J}_{\mu_2\nu_2\tau_2}(w).$$

Let  $u_0 \in E_e$  be such that  $\tilde{J}_{\mu_1\nu_1\tau_1}(u_0) = \max_{w \in E_e} \tilde{J}_{\mu_1\nu_1\tau_1}(w)$ . One has

$$\begin{aligned} \gamma_{\mu_2\nu_2\tau_2} &= \tilde{J}_{\mu_2\nu_2\tau_2}(u) \geq \tilde{J}_{\mu_2\nu_2\tau_2}(u_0) \\ &= \tilde{J}_{\mu_1\nu_1\tau_1}(u_0) + \frac{\mu_2 - \mu_1}{2} \int_{\mathbb{R}^3} |u_0|^2 dx + (\nu_1 - \nu_2) \int_{\mathbb{R}^3} F(|u_0|) dx + \frac{\tau_1 - \tau_2}{3} \int_{\mathbb{R}^3} |u_0|^3 dx \\ &\geq \gamma_{\mu_1\nu_1\tau_1}. \end{aligned}$$

Thus, we complete the proof.  $\square$

### 3. Existence of least energy solutions

In the section, we will prove the existence of least energy solutions to system (2.4). Observing that given any  $x_{\mathcal{P}} \in \mathcal{C}_{\mathcal{P}}$ , we set  $\tilde{V}(x) = V(x + x_{\mathcal{P}})$ ,  $\tilde{P}(x) = P(x + x_{\mathcal{P}})$  and  $\tilde{Q}(x) = Q(x + x_{\mathcal{P}})$ . Clearly, if  $\tilde{u}(x)$  is a solution of

$$\begin{cases} i\alpha \cdot \nabla \tilde{u} - a\beta \tilde{u} + \tilde{V}(\varepsilon x) \tilde{u} - \lambda\phi\beta \tilde{u} = \tilde{P}(\varepsilon x) f(|\tilde{u}|) \tilde{u} + \tilde{Q}(\varepsilon x) |\tilde{u}| \tilde{u}, \\ -\Delta \phi + M\phi = 4\pi\lambda(\beta \tilde{u}) \cdot \tilde{u}, \end{cases}$$

then  $u(x) = \tilde{u}(x - x_{\mathcal{P}})$  solves (2.4). Thus, without loss of generality, we may assume that

$$x_{\mathcal{P}} = 0 \in \mathcal{C}_{\mathcal{P}},$$

so

$$Q(0) = Q_{\max}, P(0) = P_Q \text{ and } v_0 := V(0) \leq V(x) \text{ for all } |x| \geq R. \quad (3.1)$$

**Lemma 3.1.**  $\limsup_{\varepsilon \rightarrow 0} c_\varepsilon \leq \gamma_{v_0 P_Q Q_{\max}}.$



**Proof.** Denote  $V_\varepsilon^\mu(x) = \max\{\mu, V(\varepsilon x)\}$ ,  $P_\varepsilon^\nu(x) = \min\{\nu, P(\varepsilon x)\}$  and  $Q_\varepsilon^\tau(x) = \min\{\tau, Q(\varepsilon x)\}$ , where  $\mu \in (-a, 0]$  and  $\nu, \tau$  are two positive constants. Define the auxiliary functional as follows:

$$\begin{aligned} \tilde{\Phi}_\varepsilon^{\mu\nu\tau}(u) := & \frac{1}{2}\|u^+\|^2 - \frac{1}{2}\|u^-\|^2 + \frac{1}{2} \int_{\mathbb{R}^3} V_\varepsilon^\mu(x)|u|^2 dx - \Gamma_\lambda(u) \\ & - \int_{\mathbb{R}^3} P_\varepsilon^\nu(x)F(|u|)dx - \frac{1}{3} \int_{\mathbb{R}^3} Q_\varepsilon^\tau(x)|u|^3 dx, \end{aligned}$$

which implies that  $\tilde{J}_{\mu\nu\tau}(u) \leq \tilde{\Phi}_\varepsilon^{\mu\nu\tau}(u)$ , and thus  $\gamma_{\mu\nu\tau} \leq c_\varepsilon^{\mu\nu\tau}$ , where  $c_\varepsilon^{\mu\nu\tau}$  is the least energy of  $\tilde{\Phi}_\varepsilon^{\mu\nu\tau}$ . By definition, one has  $V_\varepsilon^{V_{\min}}(x) \rightarrow V(0) = v_0$ ,  $P_\varepsilon^{P_{\max}}(x) \rightarrow P(0) = P_Q$ ,  $Q_\varepsilon^{Q_{\max}}(x) \rightarrow Q(0) = Q_{\max}$  on bounded sets of  $x$  as  $\varepsilon \rightarrow 0$ . Set  $V_\varepsilon^0(x) = V(\varepsilon x) - v_0$ ,  $P_\varepsilon^0(x) = P_Q - P(\varepsilon x)$  and  $Q_\varepsilon^0(x) = Q_{\max} - Q(\varepsilon x)$ . Then

$$\tilde{\Phi}_\varepsilon(u) = \tilde{J}_{v_0 P_Q Q_{\max}}(u) + \frac{1}{2} \int_{\mathbb{R}^3} V_\varepsilon^0(x)|u|^2 dx + \int_{\mathbb{R}^3} P_\varepsilon^0(x)F(|u|)dx + \frac{1}{3} \int_{\mathbb{R}^3} Q_\varepsilon^0(x)|u|^3 dx. \quad (3.2)$$

Let  $u$  be a least energy solution of  $\tilde{J}_{v_0 P_Q Q_{\max}}$  by Lemma 2.9, that is,  $\tilde{J}_{v_0 P_Q Q_{\max}}(u) = \gamma_{v_0 P_Q Q_{\max}}$  and let  $e = u^+$ . Clearly,  $e \in \mathcal{M}_{v_0 P_Q Q_{\max}}$ ,  $\mathcal{J}_{v_0 P_Q Q_{\max}}(e) = u^-$  and  $J_{v_0 P_Q Q_{\max}}(e) = \gamma_{v_0 P_Q Q_{\max}}$ . There is a unique  $t_\varepsilon > 0$  such that  $t_\varepsilon e \in \mathcal{N}_\varepsilon$  and one has

$$c_\varepsilon \leq I_\varepsilon(t_\varepsilon e). \quad (3.3)$$

By Lemma 2.7,  $t_\varepsilon$  is bounded. Hence, without loss of generality we can assume  $t_\varepsilon \rightarrow t_0$  as  $\varepsilon \rightarrow 0$ .

Let  $\ell(t) = \tilde{\Phi}_\varepsilon(w_\varepsilon + tv_\varepsilon)$ , one has  $\ell(1) = \tilde{\Phi}_\varepsilon(u_\varepsilon)$ ,  $\ell(0) = \tilde{\Phi}_\varepsilon(w_\varepsilon)$  and  $\ell'(0) = 0$ , where

$$u_\varepsilon = t_\varepsilon e + \mathcal{J}_{v_0 P_Q Q_{\max}}(t_\varepsilon e), \quad w_\varepsilon = t_\varepsilon e + h_\varepsilon(t_\varepsilon e), \quad v_\varepsilon = u_\varepsilon - w_\varepsilon.$$

Thus,  $\ell(1) - \ell(0) = \int_0^1 (1-t)\ell''(t)dt$ . This implies that

$$\begin{aligned} \tilde{\Phi}_\varepsilon(w_\varepsilon) - \tilde{\Phi}_\varepsilon(u_\varepsilon) &= - \int_0^1 (1-t)\tilde{\Phi}_\varepsilon''(w_\varepsilon + tv_\varepsilon)[v_\varepsilon, v_\varepsilon]dt \\ &= \frac{1}{2}\|v_\varepsilon\|^2 - \frac{1}{2} \int_{\mathbb{R}^3} V(\varepsilon x)|v_\varepsilon|^2 dx + \int_0^1 (1-t)\Gamma_\lambda''(w_\varepsilon + tv_\varepsilon)[v_\varepsilon, v_\varepsilon]dt \\ &\quad + \int_0^1 (1-t)\Psi_\varepsilon''(w_\varepsilon + tv_\varepsilon)[v_\varepsilon, v_\varepsilon]dt. \end{aligned} \quad (3.4)$$

Similarly,

$$\begin{aligned} \tilde{J}_{v_0 P_Q Q_{\max}}(w_\varepsilon) - \tilde{J}_{v_0 P_Q Q_{\max}}(u_\varepsilon) &= -\frac{1}{2}\|v_\varepsilon\|^2 + \frac{v_0}{2} \int_{\mathbb{R}^3} |v_\varepsilon|^2 dx \\ &\quad - \int_0^1 (1-t)\Gamma_\lambda''(u_\varepsilon - tv_\varepsilon)[v_\varepsilon, v_\varepsilon]dt - \int_0^1 (1-t)H_{P_Q Q_{\max}}''(u_\varepsilon - tv_\varepsilon)[v_\varepsilon, v_\varepsilon]dt. \end{aligned} \quad (3.5)$$

Thus, (3.4) and (3.5) imply that

$$\begin{aligned}
& \tilde{\Phi}_\varepsilon(w_\varepsilon) - \tilde{\Phi}_\varepsilon(u_\varepsilon) - (\tilde{\mathcal{J}}_{v_0 P_Q Q_{\max}}(w_\varepsilon) - \tilde{\mathcal{J}}_{v_0 P_Q Q_{\max}}(u_\varepsilon)) \\
&= \|v_\varepsilon\|^2 - \frac{1}{2} \int_{\mathbb{R}^3} (V(\varepsilon x) + v_0) |u_\varepsilon|^2 dx + \int_0^1 \Gamma_\lambda''(w_\varepsilon + tv_\varepsilon) [v_\varepsilon, v_\varepsilon] dt \\
&+ \int_0^1 t H_{P_Q Q_{\max}}''(w_\varepsilon + tv_\varepsilon) [v_\varepsilon, v_\varepsilon] dt + \int_0^1 (1-t) \Psi_\varepsilon''(w_\varepsilon + tv_\varepsilon) [v_\varepsilon, v_\varepsilon] dt.
\end{aligned} \tag{3.6}$$

On the other hand,

$$\begin{aligned}
\tilde{\Phi}_\varepsilon(w_\varepsilon) - \tilde{\Phi}_\varepsilon(u_\varepsilon) &= \tilde{\mathcal{J}}_{v_0 P_Q Q_{\max}}(w_\varepsilon) - \tilde{\mathcal{J}}_{v_0 P_Q Q_{\max}}(u_\varepsilon) + \frac{1}{2} \int_{\mathbb{R}^3} V_\varepsilon^0(x) (|w_\varepsilon|^2 - |u_\varepsilon|^2) dx \\
&+ \int_{\mathbb{R}^3} P_\varepsilon^0(x) (F(|w_\varepsilon|) - F(|u_\varepsilon|)) dx + \frac{1}{3} \int_{\mathbb{R}^3} Q_\varepsilon^0(x) (|w_\varepsilon|^3 - |u_\varepsilon|^3) dx.
\end{aligned} \tag{3.7}$$

By a direct computation, we deduce

$$\int_{\mathbb{R}^3} V_\varepsilon^0(x) (|w_\varepsilon|^2 - |u_\varepsilon|^2) dx = \int_{\mathbb{R}^3} V_\varepsilon^0(x) |v_\varepsilon|^2 dx - 2\Re \int_{\mathbb{R}^3} V_\varepsilon^0(x) u_\varepsilon \cdot v_\varepsilon dx \tag{3.8}$$

and

$$\begin{aligned}
& \int_{\mathbb{R}^3} P_\varepsilon^0(x) (F(|w_\varepsilon|) - F(|u_\varepsilon|)) dx + \frac{1}{3} \int_{\mathbb{R}^3} Q_\varepsilon^0(x) (|w_\varepsilon|^3 - |u_\varepsilon|^3) dx \\
&= -\Re \left[ \int_{\mathbb{R}^3} P_\varepsilon^0(x) f(|u_\varepsilon|) u_\varepsilon \cdot v_\varepsilon dx + \int_{\mathbb{R}^3} Q_\varepsilon^0(x) |u_\varepsilon| u_\varepsilon \cdot v_\varepsilon dx \right] \\
&+ \int_0^1 (1-t) H_{P_Q Q_{\max}}''(u_\varepsilon - tv_\varepsilon) [v_\varepsilon, v_\varepsilon] dt - \int_0^1 (1-t) \Psi_\varepsilon''(u_\varepsilon - tv_\varepsilon) [v_\varepsilon, v_\varepsilon] dt.
\end{aligned} \tag{3.9}$$

It follows from (3.6)-(3.9) that

$$\begin{aligned}
& \|v_\varepsilon\|^2 - \int_{\mathbb{R}^3} V(\varepsilon x) |v_\varepsilon|^2 dx + \int_0^1 \Gamma_\lambda''(w_\varepsilon + tv_\varepsilon) [v_\varepsilon, v_\varepsilon] dt + \int_0^1 \Psi_\varepsilon''(w_\varepsilon + tv_\varepsilon) [v_\varepsilon, v_\varepsilon] dt \\
&= -\Re \left[ \int_{\mathbb{R}^3} V_\varepsilon^0(x) u_\varepsilon \cdot v_\varepsilon dx + \int_{\mathbb{R}^3} P_\varepsilon^0(x) f(|u_\varepsilon|) u_\varepsilon \cdot v_\varepsilon dx + \int_{\mathbb{R}^3} Q_\varepsilon^0(x) |u_\varepsilon| u_\varepsilon \cdot v_\varepsilon dx \right].
\end{aligned}$$

Note that

$$\Psi_\varepsilon''(w_\varepsilon + tv_\varepsilon) [v_\varepsilon, v_\varepsilon] \geq 0 \quad \text{and} \quad |\Gamma_\lambda''(w_\varepsilon + tv_\varepsilon) [v_\varepsilon, v_\varepsilon]| \leq \frac{a - |V|_\infty}{2a} \|v_\varepsilon\|^2$$

we deduce that

$$\begin{aligned}
\frac{a - |V|_\infty}{2a} \|v_\varepsilon\|^2 &\leq -\Re \left[ \int_{\mathbb{R}^3} V_\varepsilon^0(x) u_\varepsilon \cdot v_\varepsilon dx + \int_{\mathbb{R}^3} P_\varepsilon^0(x) f(|u_\varepsilon|) u_\varepsilon \cdot v_\varepsilon dx + \int_{\mathbb{R}^3} Q_\varepsilon^0(x) |u_\varepsilon| u_\varepsilon \cdot v_\varepsilon dx \right] \\
&\leq \int_{\mathbb{R}^3} |V_\varepsilon^0(x)| |u_\varepsilon| \cdot |v_\varepsilon| dx + \int_{\mathbb{R}^3} |P_\varepsilon^0(x)| f(|u_\varepsilon|) |u_\varepsilon| \cdot |v_\varepsilon| dx + \int_{\mathbb{R}^3} |Q_\varepsilon^0(x)| |u_\varepsilon|^2 \cdot |v_\varepsilon| dx \\
&\leq C_1 \int_{\mathbb{R}^3} (|V_\varepsilon^0(x)| + |P_\varepsilon^0(x)|) |u_\varepsilon| \cdot |v_\varepsilon| dx + C_2 \int_{\mathbb{R}^3} (|P_\varepsilon^0(x)| + |Q_\varepsilon^0(x)|) |u_\varepsilon|^2 \cdot |v_\varepsilon| dx \\
&\leq C_3 \left( \int_{\mathbb{R}^3} (|V_\varepsilon^0(x)| + |P_\varepsilon^0(x)|)^2 |u_\varepsilon|^2 dx \right)^{\frac{1}{2}} |v_\varepsilon|_2 + C_4 \left( \int_{\mathbb{R}^3} (|P_\varepsilon^0(x)| + |Q_\varepsilon^0(x)|)^{\frac{3}{2}} |u_\varepsilon|^3 dx \right)^{\frac{2}{3}} |v_\varepsilon|_3.
\end{aligned} \tag{3.10}$$

Since  $t_\varepsilon \rightarrow t_0$  and  $e$  is exponentially decaying, we have for  $q = 2, 3$ ,

$$\limsup_{r \rightarrow \infty} \int_{|x| > r} |u_\varepsilon|^q dx = 0,$$

which implies that

$$\begin{aligned}
\int_{\mathbb{R}^3} (|V_\varepsilon^0(x)| + |P_\varepsilon^0(x)|)^2 |u_\varepsilon|^2 dx &= \left( \int_{|x| \leq r} + \int_{|x| > r} \right) (|V_\varepsilon^0(x)| + |P_\varepsilon^0(x)|)^2 |u_\varepsilon|^2 dx \\
&\leq \int_{|x| \leq r} (|V_\varepsilon^0(x)| + |P_\varepsilon^0(x)|)^2 |u_\varepsilon|^2 dx + C \int_{|x| > r} |u_\varepsilon|^2 dx \\
&= o_\varepsilon(1).
\end{aligned}$$

Similarly,

$$\int_{\mathbb{R}^3} (|P_\varepsilon^0(x)| + |Q_\varepsilon^0(x)|)^{\frac{3}{2}} |u_\varepsilon|^3 dx = o_\varepsilon(1).$$

Thus by (3.10) one has  $\|v_\varepsilon\|^2 \rightarrow 0$ , that is,  $h_\varepsilon(t_\varepsilon e) \rightarrow \mathcal{J}_{v_0 P_Q Q_{\max}}(t_0 e)$ . Consequently,

$$\int_{\mathbb{R}^3} V_\varepsilon^0(x) |w_\varepsilon|^2 dx \rightarrow 0 \quad \text{and} \quad \int_{\mathbb{R}^3} P_\varepsilon^0(x) F(|w_\varepsilon|) dx + \frac{1}{3} \int_{\mathbb{R}^3} Q_\varepsilon^0(x) |w_\varepsilon|^3 dx \rightarrow 0$$

as  $\varepsilon \rightarrow 0$ . This, together with (3.2), shows

$$\tilde{\Phi}_\varepsilon(w_\varepsilon) = \tilde{\mathcal{J}}_{v_0 P_Q Q_{\max}}(w_\varepsilon) + o_\varepsilon(1) = \tilde{\mathcal{J}}_{v_0 P_Q Q_{\max}}(u_\varepsilon) + o_\varepsilon(1),$$

that is

$$I_\varepsilon(t_\varepsilon e) = J_{v_0 P_Q Q_{\max}}(t_0 e) + o_\varepsilon(1)$$

as  $\varepsilon \rightarrow 0$ . Then, since

$$J_{v_0 P_Q Q_{\max}}(t_0 e) \leq \max_{v \in E_e} \tilde{\mathcal{J}}_{v_0 P_Q Q_{\max}}(v) = J_{v_0 P_Q Q_{\max}}(e) = \gamma_{v_0 P_Q Q_{\max}},$$

we obtain by (3.3)

$$\limsup_{\varepsilon \rightarrow 0} c_\varepsilon \leq \limsup_{\varepsilon \rightarrow 0} I_\varepsilon(t_\varepsilon e) = J_{v_0 P_Q Q_{\max}}(t_0 e) \leq \gamma_{v_0 P_Q Q_{\max}}.$$

This completes the proof.  $\square$

Next we only truncate the functional  $V(x)$  and  $P(x)$  with  $\mu = v_0$  and  $\nu \in (P_\infty, P_Q)$  and consider the truncated energy functional

$$\tilde{\Phi}_\varepsilon^{v_0\nu}(u) = \frac{1}{2}\|u^+\|^2 - \frac{1}{2}\|u^-\|^2 + \frac{1}{2} \int_{\mathbb{R}^3} V_\varepsilon^{v_0}(x)|u|^2 dx - \Gamma_\lambda(u) - \int_{\mathbb{R}^3} P_\varepsilon^\nu(x)F(|u|)dx - \frac{1}{3} \int_{\mathbb{R}^3} Q_\varepsilon(\varepsilon x)|u|^3 dx.$$

As before define correspondingly  $\tilde{h}_\varepsilon^{v_0\nu} : E^+ \rightarrow E^-$ ,  $\tilde{I}_\varepsilon^{v_0\nu} : E^+ \rightarrow \mathbb{R}$ ,  $\tilde{\mathcal{N}}_\varepsilon^{v_0\nu}$ ,  $\tilde{c}_\varepsilon^{v_0\nu}$  and so on.

We have an important lower bound for the least energy  $\tilde{c}_\varepsilon^{v_0\nu}$ .

**Lemma 3.2.**  $\tilde{c}_\varepsilon^{v_0\nu} \geq \gamma_{v_0\nu Q_{\max}}.$

**Proof.** Since  $V_\varepsilon^{v_0}(x) \geq v_0$ ,  $P_\varepsilon^\nu(x) \leq \nu$ ,  $Q(\varepsilon x) \leq Q_{\max}$ , from the characterization of the value  $\gamma_{v_0\nu Q_{\max}}$ , we know that

$$\inf_{w \in E^+ \setminus \{0\}} \max_{u \in \tilde{E}(w)} \tilde{\Phi}_\varepsilon^{v_0\nu}(u) \geq \inf_{w \in E^+ \setminus \{0\}} \max_{u \in \tilde{E}(w)} \tilde{\mathcal{J}}_{v_0\nu Q_{\max}}(u)$$

which gives

$$\tilde{c}_\varepsilon^{v_0\nu} \geq \gamma_{v_0\nu Q_{\max}}.$$

This completes the proof.  $\square$

**Lemma 3.3.**  $c_\varepsilon$  is attained at some non-trivial  $u_\varepsilon$  for small  $\varepsilon > 0$ .

**Proof.** Let  $\{w_n\} \subset \mathcal{N}_\varepsilon$  be a minimization sequence:  $I_\varepsilon(w_n) \rightarrow c_\varepsilon$ . By the Ekeland variational principle we can assume that  $\{w_n\}$  is a  $(PS)_{c_\varepsilon}$ -sequence for  $I_\varepsilon$  on  $E^+$ . Then  $u_n = w_n + h_\varepsilon(w_n)$  is a  $(PS)_{c_\varepsilon}$ -sequence for  $\tilde{\Phi}_\varepsilon$  on  $E$ . It is clear that  $\{u_n\}$  is bounded, hence is a  $(C)_{c_\varepsilon}$ -sequence. Assume that  $u_n \rightharpoonup u_\varepsilon$  in  $E$  and then  $\tilde{\Phi}'_\varepsilon(u_\varepsilon) = 0$ . If  $u_\varepsilon \neq 0$ , it is easy to check that  $\tilde{\Phi}_\varepsilon(u_\varepsilon) = c_\varepsilon$ . Next we show that  $u_\varepsilon \neq 0$  for small  $\varepsilon > 0$ . Assume by contradiction that there exists a sequence  $\varepsilon_j \rightarrow 0$  such that  $u_{\varepsilon_j} = 0$ , then  $u_n \rightarrow 0$  in  $E$ , and thus  $u_n \rightarrow 0$  in  $L_{loc}^r(\mathbb{R}^3, \mathbb{C}^4)$  for  $r \in [1, 3)$  and  $u_n(x) \rightarrow 0$  a.e. in  $x \in \mathbb{R}^3$ .

By  $(A_1)$ , choose  $\nu \in (P_\infty, P_Q)$  and consider the auxiliary functional  $\tilde{\Phi}_{\varepsilon_j}^{v_0\nu}$ , where  $v_0$  is defined in (3.1). Let  $t_n > 0$  be such that  $t_n w_n \in \tilde{\mathcal{N}}_{\varepsilon_j}^{v_0\nu}$ . Then  $\{t_n\}$  is bounded and one may assume  $t_n \rightarrow t_0$  for some  $t_0 \geq 0$  as  $n \rightarrow \infty$ . Remark that  $\tilde{h}_{\varepsilon_j}^{v_0\nu}(t_n w_n) \rightarrow 0$  in  $E$  and  $\tilde{h}_{\varepsilon_j}^{v_0\nu}(t_n w_n) \rightarrow 0$  in  $L_{loc}^q(\mathbb{R}^3, \mathbb{C}^4)$  for  $q \in [1, 3)$ . By  $(A_1)$  again, the set  $O_\varepsilon := \{x \in \mathbb{R}^3 : V(\varepsilon x) < v_0\}$  is bounded. Thus,

$$\int_{\mathbb{R}^3} (V_{\varepsilon_j}^{v_0}(x) - V(\varepsilon_j x))|t_n w_n + \tilde{h}_{\varepsilon_j}^{v_0\nu}(t_n w_n)|^2 dx = \int_{O_{\varepsilon_j}} (v_0 - V(\varepsilon_j x))|t_n w_n + \tilde{h}_{\varepsilon_j}^{v_0\nu}(t_n w_n)|^2 dx = o_n(1). \quad (3.11)$$

Similarly, since the set  $\{x \in \mathbb{R}^3 : P(\varepsilon x) \geq \nu\}$  is bounded and  $f$  is subcritical growth, we have

$$\int_{\mathbb{R}^3} (P(\varepsilon_j x) - P_{\varepsilon_j}^\nu(x))F(|t_n w_n + \tilde{h}_{\varepsilon_j}^{v_0\nu}(t_n w_n)|)dx = o_n(1). \quad (3.12)$$

Therefore, by (3.11)-(3.12) and  $\tilde{\Phi}_{\varepsilon_j}(t_n w_n + h_{\varepsilon_j}^{v_0\nu}(t_n w_n)) \leq I_{\varepsilon_j}(w_n)$ , one has

$$\begin{aligned}
\tilde{c}_{\varepsilon_j}^{v_0\nu} &\leq \tilde{I}_{\varepsilon_j}^{v_0\nu}(t_n w_n) = \tilde{\Phi}_{\varepsilon_j}^{v_0\nu}(t_n w_n + \tilde{h}_{\varepsilon_j}^{v_0\nu}(t_n w_n)) \\
&= \tilde{\Phi}_{\varepsilon_j}(t_n w_n + \tilde{h}_{\varepsilon_j}^{v_0\nu}(t_n w_n)) + \frac{1}{2} \int_{\mathbb{R}^3} (V_{\varepsilon_j}^{v_0}(x) - V(\varepsilon_j x)) |t_n w_n + \tilde{h}_{\varepsilon_j}^{v_0\nu}(t_n w_n)|^2 dx \\
&\quad + \int_{\mathbb{R}^3} (P(\varepsilon_j x) - P_{\varepsilon_j}^\nu(x)) F(|t_n w_n + \tilde{h}_{\varepsilon_j}^{v_0\nu}(t_n w_n)|) dx \\
&\leq I_{\varepsilon_j}(w_n) + \frac{1}{2} \int_{\mathbb{R}^3} (V_{\varepsilon_j}^{v_0}(x) - V(\varepsilon_j x)) |t_n w_n + \tilde{h}_{\varepsilon_j}^{v_0\nu}(t_n w_n)|^2 dx \\
&\quad + \int_{\mathbb{R}^3} (P(\varepsilon_j x) - P_{\varepsilon_j}^\nu(x)) F(|t_n w_n + \tilde{h}_{\varepsilon_j}^{v_0\nu}(t_n w_n)|) dx \\
&= I_{\varepsilon_j}(w_n) + o_n(1),
\end{aligned}$$

which implies that  $\tilde{c}_{\varepsilon_j}^{v_0\nu} \leq c_{\varepsilon_j}$  as  $n \rightarrow \infty$ . Note that  $\tilde{c}_{\varepsilon_j}^{v_0\nu} \geq \gamma_{v_0\nu Q_{\max}}$  in view of Lemma 3.2. Hence, we get

$$\gamma_{v_0\nu Q_{\max}} \leq c_{\varepsilon_j}.$$

In virtue of Lemma 3.1, letting  $\varepsilon_j \rightarrow 0$  yields

$$\gamma_{v_0\nu Q_{\max}} \leq \gamma_{v_0 P_Q Q_{\max}}.$$

Applying Lemma 2.11 and the fact that  $\nu < P_Q$  yield a contradiction. Therefore,  $c_\varepsilon$  is attained at some  $u_\varepsilon$  for small  $\varepsilon > 0$ .  $\square$

#### 4. Concentration and convergence of least energy solutions

This section is devoted to the concentration behavior of the least energy solutions  $u_\varepsilon$  as  $\varepsilon \rightarrow 0$ . We will prove the following results.

**Theorem 4.1.** *Let  $u_\varepsilon$  be a least energy solution of the system (2.4) given by Lemma 3.3, then for  $\lambda > 0$  small,  $|u_\varepsilon|$  possesses a maximum point  $y_\varepsilon$  such that, up to a subsequence,  $\varepsilon y_\varepsilon \rightarrow x_0$  as  $\varepsilon \rightarrow 0$ ,  $\lim_{\varepsilon \rightarrow 0} \text{dist}(\varepsilon y_\varepsilon, \mathcal{H}_{\mathcal{P}}) = 0$  and  $v_\varepsilon(x) := u_\varepsilon(x + y_\varepsilon)$  converges in  $H^1(\mathbb{R}^3, \mathbb{C}^4)$  to a least energy solution of*

$$\begin{cases} i\alpha \cdot \nabla u - a\beta u + V(x_0)u - \lambda\phi\beta u = P(x_0)f(|u|)u + Q(x_0)|u|u, \\ -\Delta\phi + M\phi = 4\pi\lambda(\beta u) \cdot u. \end{cases}$$

*In particular, if  $\mathcal{V} \cap \mathcal{P} \cap \mathcal{Q} \neq \emptyset$ , then  $\lim_{\varepsilon \rightarrow 0} \text{dist}(\varepsilon y_\varepsilon, \mathcal{V} \cap \mathcal{P} \cap \mathcal{Q}) = 0$ , and up to a subsequence,  $v_\varepsilon$  converges in  $H^1(\mathbb{R}^3, \mathbb{C}^4)$  to a least energy solution of*

$$\begin{cases} i\alpha \cdot \nabla u - a\beta u + V_{\min}u - \lambda\phi\beta u = P_{\max}f(|u|)u + Q_{\max}|u|u, \\ -\Delta\phi + M\phi = 4\pi\lambda(\beta u) \cdot u. \end{cases}$$

**Lemma 4.1.** *There exists  $\varepsilon^* > 0$  such that, for all  $\varepsilon \in (0, \varepsilon^*)$ , there exist  $\{y'_\varepsilon\} \subset \mathbb{R}^3$  and  $R', \delta' > 0$  such that*

$$\int_{B_{R'}(y'_\varepsilon)} |u_\varepsilon|^2 dx \geq \delta'.$$

**Proof.** Assume by contradiction that there exists a sequence  $\varepsilon_j \rightarrow 0$  as  $j \rightarrow \infty$ , such that for any  $R_1 > 0$ ,

$$\lim_{j \rightarrow \infty} \sup_{y \in \mathbb{R}^3} \int_{B_{R_1}(y)} |u_{\varepsilon_j}|^2 dx = 0.$$

Thus, by Lion's concentration principle [23, Lemma1.1], we have

$$u_{\varepsilon_j} \rightarrow 0 \text{ in } L^r(\mathbb{R}^3, \mathbb{C}^4) \text{ for } 2 < r < 3,$$

which implies, from the boundedness of the potential function  $P$  and (1.6), that

$$\int_{\mathbb{R}^3} P_{\varepsilon_j}^{\nu}(x) F(|u_{\varepsilon_j}|) dx \rightarrow 0, \quad \int_{\mathbb{R}^3} P_{\varepsilon_j}^{\nu}(x) f(|u_{\varepsilon_j}|) |u_{\varepsilon_j}|^2 dx \rightarrow 0 \text{ as } j \rightarrow \infty, \quad (4.1)$$

for any  $\nu \in (P_{\infty}, P_Q)$ , and

$$\Gamma_{\lambda}(u_{\varepsilon_j}) \leq C\lambda^2 |u_{\varepsilon_j}|_{\frac{12}{5}}^4 \rightarrow 0 \text{ as } j \rightarrow \infty. \quad (4.2)$$

It is not difficult to check that

$$\tilde{\Phi}_{\varepsilon_j}^{v_0\nu}(u_{\varepsilon_j}) = c_{\varepsilon_j} + o_j(1) \quad \text{and} \quad (\tilde{\Phi}_{\varepsilon_j}^{v_0\nu})'(u_{\varepsilon_j})u_{\varepsilon_j} = o_j(1). \quad (4.3)$$

Note that

$$\begin{aligned} \tilde{\Phi}_{\varepsilon_j}^{v_0\nu}(u_{\varepsilon_j}) &= \tilde{\Phi}_{\varepsilon_j}^{v_0\nu}(u_{\varepsilon_j}) - \frac{1}{2}(\tilde{\Phi}_{\varepsilon_j}^{v_0\nu})'(u_{\varepsilon_j})u_{\varepsilon_j} + o_j(1) \\ &= \Gamma_{\lambda}(u_{\varepsilon_j}) + \int_{\mathbb{R}^3} P_{\varepsilon_j}^{\nu}(x) \hat{F}(|u_{\varepsilon_j}|) dx + \frac{1}{6} \int_{\mathbb{R}^3} Q(\varepsilon_j x) |u_{\varepsilon_j}|^3 dx + o_j(1). \end{aligned}$$

Thus, by (4.1)-(4.3), one has

$$\int_{\mathbb{R}^3} Q(\varepsilon_j x) |u_{\varepsilon_j}|^3 dx = 6c_{\varepsilon_j} + o_j(1).$$

Moreover, we also have

$$\begin{aligned} \|u_{\varepsilon_j}\|^2 + \Re \int_{\mathbb{R}^3} V_{\varepsilon_j}^{v_0}(x) u_{\varepsilon_j} (u_{\varepsilon_j}^+ - u_{\varepsilon_j}^-) dx &= \Re \int_{\mathbb{R}^3} Q(\varepsilon_j x) |u_{\varepsilon_j}| u_{\varepsilon_j} (u_{\varepsilon_j}^+ - u_{\varepsilon_j}^-) dx + o_j(1). \\ \frac{a+v_0}{a} \|u_{\varepsilon_j}\|^2 &\leq Q_{\max}^{\frac{2}{3}} |u_{\varepsilon_j}|_3 \cdot \|u_{\varepsilon_j}^+ - u_{\varepsilon_j}^-\|_3 \cdot \left( \int_{\mathbb{R}^3} Q(\varepsilon_j x) |u_{\varepsilon_j}|^3 dx \right)^{\frac{1}{3}} + o_j(1) \\ &\leq Q_{\max}^{\frac{2}{3}} S^{-\frac{1}{2}} \|u_{\varepsilon_j}\| \cdot \|u_{\varepsilon_j}^+ - u_{\varepsilon_j}^-\| \cdot \left( \int_{\mathbb{R}^3} Q(\varepsilon_j x) |u_{\varepsilon_j}|^3 dx \right)^{\frac{1}{3}} + o_j(1) \\ &\leq Q_{\max}^{\frac{2}{3}} S^{-\frac{1}{2}} \|u_{\varepsilon_j}\|^2 (6c_{\varepsilon_j})^{\frac{1}{3}} + o_j(1), \end{aligned}$$

which implies

$$\liminf_{j \rightarrow \infty} c_{\varepsilon_j} \geq \frac{S^{\frac{3}{2}}}{6Q_{\max}^2} \left( \frac{a+v_0}{a} \right)^3. \quad (4.4)$$

Moreover, it follows from Lemma 2.11 and [10, Lemma 4.6] again that

$$\gamma_{v_0 P_Q Q_{\max}} < \gamma_{v_0 P_\infty Q_{\max}} < \gamma_{v_0 P_\infty}(\sigma) \leq (a + v_0)^{\frac{2(3-\sigma)}{\sigma-2}} (c_0 P_\infty)^{\frac{-2}{\sigma-2}} \gamma \leq \frac{S^{\frac{3}{2}}}{6Q_{\max}^2} \left(\frac{a + v_0}{a}\right)^3$$

which is a contradiction with Lemma 3.1 and (4.4).  $\square$

Let  $\{y_\varepsilon\} \subset \mathbb{R}^3$  is maximum point of  $|u_\varepsilon|$ , that is

$$|u_\varepsilon(y_\varepsilon)| = \max_{x \in \mathbb{R}^3} |u_\varepsilon(x)|, \quad \varepsilon \in (0, \varepsilon^*).$$

We claim that there exists  $\theta_0 > 0$  (independent of  $\varepsilon$ ) such that

$$|u_\varepsilon(y_\varepsilon)| \geq \theta_0, \quad \text{uniformly for all } \varepsilon \in (0, \varepsilon^*).$$

Assume by contradiction that  $|u_\varepsilon(y_\varepsilon)| \rightarrow 0$  as  $\varepsilon \rightarrow 0$ . We deduce from Lemma 4.1 that

$$0 < \delta' \leq \int_{B_{R'}(y'_\varepsilon)} |u_\varepsilon|^2 dx \leq C |u_\varepsilon(y_\varepsilon)|^2 \rightarrow 0 \text{ as } \varepsilon \rightarrow 0.$$

This is a contradiction. So it follows from the above claim that there exists  $R > R' > 0$  and  $\delta > 0$  such that

$$\int_{B_R(y_\varepsilon)} |u_\varepsilon|^2 dx \geq \delta.$$

Set  $v_\varepsilon(x) := u_\varepsilon(x + y_\varepsilon)$ , then  $v_\varepsilon$  satisfies

$$i\alpha \cdot \nabla v_\varepsilon - a\beta v_\varepsilon + \hat{V}_\varepsilon(x)v_\varepsilon - \lambda\phi_{v_\varepsilon}\beta v_\varepsilon = \hat{P}_\varepsilon(x)f(|v_\varepsilon|)v_\varepsilon + \hat{Q}_\varepsilon(x)|v_\varepsilon|v_\varepsilon, \quad (4.5)$$

with energy

$$\begin{aligned} \mathcal{E}_\varepsilon(v_\varepsilon) &= \frac{1}{2}\|v_\varepsilon^+\|^2 - \frac{1}{2}\|v_\varepsilon^-\|^2 + \frac{1}{2} \int_{\mathbb{R}^3} \hat{V}_\varepsilon(x)|v_\varepsilon|^2 dx - \Gamma_\lambda(v_\varepsilon) \\ &\quad - \int_{\mathbb{R}^3} \hat{P}_\varepsilon(x)F(|v_\varepsilon|)dx - \frac{1}{3} \int_{\mathbb{R}^3} \hat{Q}_\varepsilon(x)|v_\varepsilon|^3 dx \\ &= \mathcal{E}_\varepsilon(v_\varepsilon) - \frac{1}{2}\mathcal{E}'_\varepsilon(v_\varepsilon)v_\varepsilon \\ &= \Gamma_\lambda(v_\varepsilon) + \int_{\mathbb{R}^3} \hat{P}_\varepsilon(x)\hat{F}(|v_\varepsilon|)dx + \frac{1}{6} \int_{\mathbb{R}^3} \hat{Q}_\varepsilon(x)|v_\varepsilon|^3 dx \\ &= \tilde{\Phi}_\varepsilon(u_\varepsilon) - \frac{1}{2}\tilde{\Phi}'_\varepsilon(u_\varepsilon)u_\varepsilon = \tilde{\Phi}_\varepsilon(u_\varepsilon) = c_\varepsilon, \end{aligned}$$

where  $\hat{V}_\varepsilon(x) = V(\varepsilon(x + y_\varepsilon))$ ,  $\hat{P}_\varepsilon(x) = P(\varepsilon(x + y_\varepsilon))$  and  $\hat{Q}_\varepsilon(x) = Q(\varepsilon(x + y_\varepsilon))$ . We may assume  $v_\varepsilon \rightharpoonup u$  in  $E$ , and  $v_\varepsilon \rightarrow u$  in  $L^r_{loc}(\mathbb{R}^3, \mathbb{C}^4)$  for  $r \in [1, 3)$  with  $u \neq 0$ .

By the boundedness of  $V, P$  and  $Q$ , without loss of generality, we may assume that  $V(\varepsilon y_\varepsilon) \rightarrow V_0, P(\varepsilon y_\varepsilon) \rightarrow P_0$  and  $Q(\varepsilon y_\varepsilon) \rightarrow Q_0$  as  $\varepsilon \rightarrow 0$ .

**Lemma 4.2.**  $u$  is a least energy solution of

$$i\alpha \cdot \nabla u - a\beta u + V_0 u - \lambda\phi_u \beta u = P_0 f(|u|)u + Q_0 |u|u. \quad (4.6)$$

**Proof.** By (4.5), for any  $\varphi \in C_0^\infty(\mathbb{R}^3, \mathbb{C}^4)$ , there holds that

$$0 = \lim_{\varepsilon \rightarrow 0} \Re \int_{\mathbb{R}^3} (i\alpha \cdot \nabla v_\varepsilon - a\beta v_\varepsilon + \hat{V}_\varepsilon(x)v_\varepsilon - \lambda\phi_{v_\varepsilon}\beta v_\varepsilon - \hat{P}_\varepsilon(x)f(|v_\varepsilon|)v_\varepsilon - \hat{Q}_\varepsilon(x)|v_\varepsilon|v_\varepsilon) \cdot \varphi dx. \quad (4.7)$$

Since  $V, P, Q$  are all continuous and bounded, one has

$$\Re \int_{\mathbb{R}^3} \hat{V}_\varepsilon(x)v_\varepsilon \cdot \varphi dx \rightarrow \Re \int_{\mathbb{R}^3} V_0 u \cdot \varphi dx, \quad \Re \int_{\mathbb{R}^3} \hat{P}_\varepsilon(x)f(|v_\varepsilon|)v_\varepsilon \cdot \varphi dx \rightarrow \Re \int_{\mathbb{R}^3} P_0 f(|u|)u \cdot \varphi dx,$$

and

$$\Re \int_{\mathbb{R}^3} \hat{Q}_\varepsilon(x)|v_\varepsilon|v_\varepsilon \cdot \varphi dx \rightarrow \Re \int_{\mathbb{R}^3} Q_0 |u|u \cdot \varphi dx,$$

which combined with (4.7) imply that

$$i\alpha \cdot \nabla u - a\beta u + V_0 u - \lambda\phi_u \beta u = P_0 f(|u|)u + Q_0 |u|u,$$

this is,  $u$  solves (4.6) with energy

$$\begin{aligned} \tilde{\mathcal{J}}_{V_0 P_0 Q_0}(u) &= \frac{1}{2} \|u^+\|^2 - \frac{1}{2} \|u^-\|^2 + \frac{1}{2} V_0 \int_{\mathbb{R}^3} |u|^2 dx - \Gamma_\lambda(u) - P_0 \int_{\mathbb{R}^3} F(|u|) dx - \frac{Q_0}{3} \int_{\mathbb{R}^3} |u|^3 dx \\ &= \tilde{\mathcal{J}}_{V_0 P_0 Q_0}(u) - \frac{1}{2} \tilde{\mathcal{J}}'_{V_0 P_0 Q_0}(u)u \\ &= \Gamma_\lambda(u) + P_0 \int_{\mathbb{R}^3} \hat{F}(|u|) dx + \frac{Q_0}{6} \int_{\mathbb{R}^3} |u|^3 dx \\ &\geq \gamma_{V_0 P_0 Q_0}. \end{aligned}$$

By Fatou's Lemma and the proof of Lemma 3.1, we have

$$\begin{aligned} \gamma_{V_0 P_0 Q_0} &\leq \Gamma_\lambda(u) + P_0 \int_{\mathbb{R}^3} \hat{F}(|u|) dx + \frac{Q_0}{6} \int_{\mathbb{R}^3} |u|^3 dx \\ &\leq \liminf_{\varepsilon \rightarrow 0} \left[ \Gamma_\lambda(v_\varepsilon) + \int_{\mathbb{R}^3} \hat{P}_\varepsilon(x)\hat{F}(|v_\varepsilon|) dx + \frac{1}{6} \int_{\mathbb{R}^3} \hat{Q}_\varepsilon(x)|v_\varepsilon|^3 dx \right] \\ &= \liminf_{\varepsilon \rightarrow 0} \mathcal{E}_\varepsilon(v_\varepsilon) \\ &\leq \limsup_{\varepsilon \rightarrow 0} \tilde{\Phi}_\varepsilon(u_\varepsilon) \\ &\leq \gamma_{V_0 P_0 Q_0}. \end{aligned} \quad (4.8)$$

Consequently,

$$\lim_{\varepsilon \rightarrow 0} \mathcal{E}_\varepsilon(v_\varepsilon) = \lim_{\varepsilon \rightarrow 0} c_\varepsilon = \tilde{\mathcal{J}}_{V_0 P_0 Q_0}(u) = \gamma_{V_0 P_0 Q_0}. \quad (4.9)$$



Thus,  $u$  is a least energy solution of the system (4.6).  $\square$

**Lemma 4.3.**  $\{\varepsilon y_\varepsilon\}$  is bounded.

**Proof.** Suppose to the contrary that, up to a subsequence,  $|\varepsilon y_\varepsilon| \rightarrow \infty$ . Since  $P(0) = P_Q$  and  $v_0 = V(0) \leq V(x)$  for all  $|x| \geq R$ , we deduce that  $P_0 > P_Q$  and  $v_0 \leq V_0$ . So it follows from Lemma 2.11 that  $\gamma_{V_0 P_0 Q_0} > \gamma_{v_0 P_Q Q_{\max}}$ .

However, by (4.6) and Lemma 3.1,  $c_\varepsilon \rightarrow \gamma_{V_0 P_0 Q_0} \leq \gamma_{v_0 P_Q Q_{\max}}$ , which is a contradiction. Therefore,  $\{\varepsilon y_\varepsilon\}$  is bounded.  $\square$

After extracting a subsequence, we may assume  $\varepsilon y_\varepsilon \rightarrow x_0$  as  $\varepsilon \rightarrow 0$ , then  $V_0 = V(x_0)$ ,  $P_0 = P(x_0)$  and  $Q_0 = Q(x_0)$ .

**Lemma 4.4.**  $\lim_{\varepsilon \rightarrow 0} \text{dist}(\varepsilon y_\varepsilon, \mathcal{H}_P) = 0$ .

**Proof.** It suffices to show that  $x_0 \in \mathcal{H}_P$ . We argue by contradiction, if  $x_0 \notin \mathcal{H}_P$ , then it is easy to check that  $\gamma_{V(x_0)P(x_0)Q(x_0)} > \gamma_{v_0 P_Q Q_{\max}}$  by (A<sub>1</sub>) and Lemma 2.11. Therefore, by Lemma 3.1, we have

$$\lim_{\varepsilon \rightarrow 0} c_\varepsilon = \gamma_{V(x_0)P(x_0)Q(x_0)} > \gamma_{v_0 P_Q Q_{\max}} \geq \lim_{\varepsilon \rightarrow 0} c_\varepsilon,$$

which is absurd.  $\square$

**Lemma 4.5.**  $v_\varepsilon \rightarrow u$  in  $H^1(\mathbb{R}^3, \mathbb{C}^4)$ .

**Proof.** By (4.8), it is easy to check that

$$\lim_{\varepsilon \rightarrow 0} \int_{\mathbb{R}^3} \hat{Q}_\varepsilon(x) |v_\varepsilon|^3 dx = Q_0 \int_{\mathbb{R}^3} |u|^3 dx. \quad (4.10)$$

By the decay of  $u$  and  $\hat{Q}_\varepsilon(x) \rightarrow Q_0$  on bounded sets of  $x$  as  $\varepsilon \rightarrow 0$ , one has

$$\lim_{\varepsilon \rightarrow 0} \int_{\mathbb{R}^3} \hat{Q}_\varepsilon(x) |u|^3 dx = Q_0 \int_{\mathbb{R}^3} |u|^3 dx. \quad (4.11)$$

It follows from (4.10)-(4.11) and the Brezis-Lieb lemma that  $v_\varepsilon \rightarrow u$  in  $L^3(\mathbb{R}^3, \mathbb{C}^4)$ . Hence, using the interpolation inequality and the boundedness of  $v_\varepsilon$  in  $E$  yields  $v_\varepsilon \rightarrow u$  in  $L^t(\mathbb{R}^3, \mathbb{C}^4)$  for  $t \in (2, 3]$ . Denote  $z_\varepsilon = v_\varepsilon - u$ . The scalar product of (4.5) and (4.6) with  $z_\varepsilon$ , respectively, we get

$$(v_\varepsilon, z_\varepsilon) + \Re \int_{\mathbb{R}^3} \hat{V}_\varepsilon(x) v_\varepsilon \cdot z_\varepsilon dx = o_\varepsilon(1), \quad (4.12)$$

and

$$(u, z_\varepsilon) + \Re V_0 \int_{\mathbb{R}^3} u \cdot z_\varepsilon dx = o_\varepsilon(1). \quad (4.13)$$

Note that

$$\lim_{\varepsilon \rightarrow 0} \Re \left( \int_{\mathbb{R}^3} \hat{V}_\varepsilon(x) u \cdot z_\varepsilon dx - V_0 \int_{\mathbb{R}^3} u \cdot z_\varepsilon dx \right) = 0. \quad (4.14)$$

Hence, (4.12)-(4.14) imply that

$$\|z_\varepsilon\|^2 + \int_{\mathbb{R}^3} \hat{V}_\varepsilon(x) |z_\varepsilon|^2 dx = o_\varepsilon(1),$$

and then we get  $v_\varepsilon \rightarrow u$  in  $E$ , and the arguments in [13, Lemma 4.3] show that  $v_\varepsilon \rightarrow u$  in  $H^1(\mathbb{R}^3, \mathbb{C}^4)$ . This completes the proof.  $\square$

**Proof of Theorem 4.1.** By Lemma 4.1-Lemma 4.5 above, one can obtain the conclusions of Theorem 4.1.  $\square$

## 5. Decay estimate

In this section, we estimate the exponential decay properties of solutions. Let  $\varepsilon_j \rightarrow 0$  and  $v_{\varepsilon_j}$  be a solution given by Theorem 4.1. For simplicity of notations, we denote  $v_{\varepsilon_j}$  and  $y_{\varepsilon_j}$  by  $v_j$  and  $y_j$ , respectively.

Let  $\mathcal{L}_{\varepsilon_j}$  denote the set of all solutions of the following system

$$\begin{cases} i\alpha \cdot \nabla u - a\beta u + \hat{V}_{\varepsilon_j}(x)u - \lambda\phi\beta u = \hat{P}_{\varepsilon_j}(x)f(|u|)u + \hat{Q}_{\varepsilon_j}(x)|u|u, \\ -\Delta\phi + M\phi = 4\pi\lambda(\beta u) \cdot u. \end{cases} \quad (5.1)$$

For  $u \in \mathcal{L}_{\varepsilon_j}$ , similar to Lemma 2.8, we see that  $u \in L^\infty(\mathbb{R}^3, \mathbb{C}^4)$ . We rewrite (5.1) as

$$Du = a\beta u - \hat{V}_{\varepsilon_j}(x)u + \lambda\phi_u\beta u + \hat{P}_{\varepsilon_j}(x)f(|u|)u + \hat{Q}_{\varepsilon_j}(x)|u|u.$$

Acting the operator  $D$  on the two sides and noting that  $D^2 = -\Delta$ , we get

$$\begin{aligned} \Delta u &= \left(a + \lambda\phi_u\right)^2 u - \left(\hat{P}_{\varepsilon_j}(x)f(|u|) + \hat{Q}_{\varepsilon_j}(x)|u| - \hat{V}_{\varepsilon_j}(x)\right)^2 u \\ &\quad - D(\lambda\phi_u)\beta u - D\left(\hat{P}_{\varepsilon_j}(x)f(|u|) + \hat{Q}_{\varepsilon_j}(x)|u| - \hat{V}_{\varepsilon_j}(x)\right)u. \end{aligned}$$

Now define

$$\operatorname{sgn} u = \begin{cases} \frac{\bar{u}}{|u|}, & \text{if } u \neq 0, \\ 0, & \text{if } u = 0. \end{cases}$$

By Kato's inequality [7], there holds

$$\Delta|u| \geq \Re[\Delta u(\operatorname{sgn} u)].$$

Note that

$$\Re[D(\hat{P}_{\varepsilon_j}(x)f(|u|) + \hat{Q}_{\varepsilon_j}(x)|u| - \hat{V}_{\varepsilon_j}(x))u(\operatorname{sgn} u)] = 0,$$

we obtain

$$\Delta|u| \geq \left(a + \lambda\phi_u\right)^2 |u| - \left(\hat{P}_{\varepsilon_j}(x)f(|u|) + \hat{Q}_{\varepsilon_j}(x)|u| - \hat{V}_{\varepsilon_j}(x)\right)^2 |u| - |D(\lambda\phi_u)| \cdot |u|. \quad (5.2)$$

Choosing  $\alpha \in [\frac{3}{2}, 2]$ , it follows from Hölder inequality and  $u \in L^\infty(\mathbb{R}^3, \mathbb{C}^4)$  that, for any  $x \in \mathbb{R}^3$

$$\begin{aligned} |\phi_u(x)| &= \lambda \left| \int_{\mathbb{R}^3} \frac{[(\beta u)u](y)}{|x-y|} dy \right| \leq \lambda \int_{|x-y| \geq 1} \frac{|u(y)|^2}{|x-y|} dy + \lambda \int_{|x-y| \leq 1} \frac{|u(y)|^2}{|x-y|} dy \\ &\leq \lambda \int_{|x-y| \geq 1} |u(y)|^2 dy + \lambda |u|_\infty \int_{|x-y| \leq 1} \frac{|u(y)|}{|x-y|} dy \\ &\leq \lambda \int_{|x-y| \geq 1} |u(y)|^2 dy + \lambda |u|_\infty \left( \int_{|x-y| \leq 1} \frac{1}{|x-y|^\alpha} dy \right)^{\frac{1}{\alpha}} |u|_{\frac{\alpha}{\alpha-1}} \\ &\leq \lambda C \end{aligned}$$

for some  $C > 0$ , where we have used the fact that  $\frac{\alpha}{\alpha-1} \in [2, 3]$ . Similarly, we also have

$$|D(\phi_u)(x)| \leq \lambda C, \text{ for any } x \in \mathbb{R}^3.$$

So, it follows from (5.2) that there exist constants  $M > 0$  and  $\lambda_4 \in (0, \min\{\lambda_1, \lambda_2, \lambda_3, \lambda^*\})$  such that, for any  $\lambda \in (0, \lambda_4]$

$$\Delta|u| \geq -M|u|.$$

It then follows from the sub-solution estimate [20,29] that

$$|u(x)| \leq C_0 \int_{B_1(x)} |u(y)| dy \quad (5.3)$$

where  $C_0$  independent of  $x$  and  $\varepsilon$ .

**Lemma 5.1.**  $|v_j(x)| \rightarrow 0$  as  $|x| \rightarrow \infty$  uniformly in  $j \in \mathbb{N}$ .

**Proof.** Assume by contradiction that the conclusion of the Lemma does not hold. Then, it follows from (5.3) that there exist  $\bar{r} > 0$  and  $x_j \in \mathbb{R}^3$  with  $|x_j| \rightarrow \infty$  such that

$$\bar{r} \leq |v_j(x_j)| \leq C_0 \int_{B_1(x_j)} |v_j(x)| dx.$$

Since  $v_j \rightarrow u$  in  $E$ , one obtains

$$\begin{aligned} \bar{r} &\leq C_0 \left( \int_{B_1(x_j)} |v_j(x)|^2 dx \right)^{\frac{1}{2}} \\ &\leq C_0 \left( \int_{\mathbb{R}^3} |v_j - u|^2 dx \right)^{\frac{1}{2}} + C_0 \left( \int_{B_1(x_j)} |u(x)|^2 dx \right)^{\frac{1}{2}} \rightarrow 0 \end{aligned}$$

as  $j \rightarrow \infty$ , a contradiction.  $\square$

**Lemma 5.2.** *There exists  $C > 0$  such that*

$$|v_j(x)| \leq Ce^{-\bar{\omega}|x|}, \quad \forall x \in \mathbb{R}^3$$

*uniformly in  $j \in \mathbb{N}$ , where  $\bar{\omega} = \frac{a-|V|_\infty}{2}$ .*

**Proof.** It follows from (5.2), Lemma 5.1 and the boundedness of  $\phi_u$  and  $D(\phi_u)$  that there exist  $\lambda_0 \in (0, \lambda_4]$  and  $R > 0$  such that, for any  $\lambda \in (0, \lambda_0]$

$$\Delta|v_j| \geq \frac{(a-|V|_\infty)^2}{4}|v_j| = \bar{\omega}^2|v_j| \quad \text{for all } |x| \geq R, j \in \mathbb{N}.$$

Let  $\Gamma(x) = \Gamma(x, 0)$  be a fundamental solution to  $-\Delta + \bar{\omega}^2$  (see [29]). Using the uniform boundedness, one may choose  $\Gamma$  so that  $|v_j(x)| \leq \bar{\omega}^2\Gamma(y)$  holds on  $|x| = R$ , all  $j \in \mathbb{N}$ . Let  $z_j = |v_j| - \bar{\omega}^2\Gamma$ . Then

$$\Delta z_j = \Delta|v_j| - \bar{\omega}^2\Delta\Gamma \geq \bar{\omega}^2(|v_j| - \bar{\omega}^2\Gamma) = \bar{\omega}^2 z_j.$$

By the maximum principle we can conclude that  $z_j(x) \leq 0$  on  $|x| \geq R$ . It is well known that there is  $C' > 0$  such that  $\Gamma(x) \leq C'e^{-\bar{\omega}|x|}$  on  $|x| \geq 1$ . We see that

$$|v_j(x)| \leq Ce^{-\bar{\omega}|x|}$$

for all  $x \in \mathbb{R}^3$  and all  $j \in \mathbb{N}$ . This completes the proof.  $\square$

**Proof of Theorem 1.1.** Define  $\omega_j(x) := u_j(\frac{x}{\varepsilon_j})$ , then  $\omega_j$  is a least energy solution of system (1.5) and  $x_j := \varepsilon_j y_j$  is a maximum point of  $|\omega_j|$ , and by Theorem 4.1, we know that the Theorem 1.1(i), (ii) hold. Moreover, one has

$$|\omega_j(x)| = |u_j(\frac{x}{\varepsilon_j})| = |v_j(\frac{x}{\varepsilon_j} - y_j)| \leq Ce^{-\bar{\omega}|\frac{x}{\varepsilon_j} - y_j|} = Ce^{-\frac{\bar{\omega}}{\varepsilon_j}|x - \varepsilon_j y_j|} = Ce^{-\frac{\bar{\omega}}{\varepsilon_j}|x - x_j|}.$$

Thus, the proof of Theorem 1.1 is completed.  $\square$

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