



# Norm of the pre-Schwarzian derivative, Bloch's constant and coefficient bounds in some classes of harmonic mappings



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## ABSTRACT

The aim of the paper is a study of a family of sense-preserving complex valued harmonic functions  $f$  that are normalized in the open unit disk, and such that its analytic part is convex in one direction. We prove the univalence and establish estimates on pre-Schwarzian derivative and the Bloch constant for co-analytic part of harmonic mapping. The bounds of the coefficients of co-analytic part are also given.

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## 1. Introduction

A complex valued function  $f$  is harmonic in a simply connected domain  $\Omega \subset \mathbb{C}$  if both  $\Re\{f\}$  and  $\Im\{f\}$  are harmonic in  $\Omega$ . Every such  $f$  can be uniquely represented as

$$f = h + \bar{g} \quad (1.1)$$

where  $h$  and  $g$  are analytic in  $\Omega$ . The Jacobian of  $f$  is given by  $J_f(z) = |h'(z)|^2 - |g'(z)|^2$  and the second complex (analytic) dilatation of  $f$  is given by  $w(z) = g'(z)/h'(z)$ . A result of Lewy [19] states that a harmonic mapping  $f$  is locally univalent at  $z$  if and only if its Jacobian  $J_f(z) \neq 0$ , and is sense-preserving if the Jacobian is positive. The sense-preserving case implies that  $|w(z)| = |g'(z)/h'(z)| < 1$  in  $\Omega$ .

Let  $Har(\mathbb{D})$  denote the family of continuous complex valued functions which are harmonic in the open unit disk  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$  and let  $Hol(\mathbb{D})$  denote the class of holomorphic functions  $f$  in  $\mathbb{D}$  with

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the normalization  $f(0) = f'(0) - 1 = 0$  in  $\mathbb{D}$ . We note that  $\mathcal{H}ol(\mathbb{D}) \subset \mathcal{H}ar(\mathbb{D})$ . Further, let  $\mathcal{S} := \mathcal{S}_{\mathcal{H}ol}$  be the subclass of  $\mathcal{H}ol(\mathbb{D})$  which are additionally univalent in  $\mathbb{D}$ . Clunie and Sheil-Small in [10] developed the fundamental theory of functions  $f \in \mathcal{H}ar(\mathbb{D})$  with the normalization  $f(0) = h'(0) - 1 = 0$  in  $\mathbb{D}$ . Following Clunie and Sheil-Small notation we next denote by  $\mathcal{S}_{\mathcal{H}ar}$ , the subclass of  $\mathcal{H}ar(\mathbb{D})$  consisting of univalent and sense-preserving harmonic mappings  $f = h + \bar{g}$  in  $\mathbb{D}$ , where  $h$  and  $g$  are normalized such that

$$h(z) = z + \sum_{n=2}^{\infty} a_n z^n \quad \text{and} \quad g(z) = \sum_{n=1}^{\infty} b_n z^n. \tag{1.2}$$

Here,  $h$  and  $g$  are called the *analytic part* and the *co-analytic part* of  $f$ , respectively. Certainly  $\mathcal{S}_{\mathcal{H}ol} \subset \mathcal{S}_{\mathcal{H}ar}$ . Also, let  $\mathcal{S}_{\mathcal{H}ar}^0 = \{f \in \mathcal{S}_{\mathcal{H}ar} : g'(0) = f_{\bar{z}}(0) = 0\}$ . The family  $\mathcal{S}_{\mathcal{H}ar}^0$  is known to be compact and normal, whereas  $\mathcal{S}_{\mathcal{H}ar}$  is normal but not compact. For many interesting results and expositions on planar harmonic univalent mappings, we refer to the monograph of Duren [13] and also the expository articles [12,26].

Let

$$\mathcal{G} = \left\{ h \in \mathcal{H}ol(\mathbb{D}) : -\frac{1}{2} < \Re \left( 1 + \frac{zh''(z)}{h'(z)} \right) < \frac{3}{2}, \quad z \in \mathbb{D} \right\}. \tag{1.3}$$

The functions  $h \in \mathcal{G}$  are univalent in  $\mathbb{D}$  [30] and map every circle  $C_\rho = \{z \in \mathbb{C} : |z| = \rho\}$ ,  $\rho \in (0, 1)$ , onto a curve bounding a region that is convex in one direction. Recall that, a domain  $\Omega \subset \mathbb{C}$  is called convex in the direction  $\varphi$  ( $0 \leq \varphi < \pi$ ) if every line parallel to the line going through 0 and  $e^{i\varphi}$  has a connected or empty intersection with  $\Omega$ . An analytic function  $h$  is said to be convex in the direction  $\varphi$  if  $h(\mathbb{D})$  is convex in the direction  $\varphi$  [28]. Additionally, one of the conditions  $\Re \left( 1 + \frac{zh''(z)}{h'(z)} \right) > -\frac{1}{2}$  or  $\Re \left( 1 + \frac{zh''(z)}{h'(z)} \right) < \frac{3}{2}$  is a sufficient condition for univalence of  $h$  [30]. However, the functions satisfying  $\Re \left( 1 + \frac{zh''(z)}{h'(z)} \right) < 1 + \frac{\delta}{2}$  are not necessarily univalent in  $\mathbb{D}$  if  $\delta > 1$  [23]. Recently, the second and third authors have studied the radius of convexity of partial sums of functions in the class  $\mathcal{G}$  [21].

The analytic parts of harmonic mappings play a vital role in shaping their geometric properties. For instance if  $f = h + \bar{g}$  is a sense preserving harmonic mapping and  $h$  is convex univalent, then  $f \in \mathcal{S}_{\mathcal{H}ar}$  and maps  $\mathbb{D}$  onto a close-to-convex domain [10]. In [15,16] a class of functions  $f = h + \bar{g} \in \mathcal{S}_{\mathcal{H}ar}$  has been studied, where  $h$  and  $g$  are given by (1.2), such that  $b_1 = \alpha \in (0, 1)$ ,  $h$  is convex in  $\mathbb{D}$  (or  $h$  is a function with bounded boundary rotation) and the dilatation  $w$  is of the form  $w(z) = (z + \alpha)/(1 + \alpha z)$ .

For  $\alpha$  ( $0 \leq \alpha < 1$ ) let  $\mathcal{G}_{\mathcal{H}ar}^\alpha$  denote the set of all harmonic functions  $f = h + \bar{g} \in \mathcal{H}ar(\mathbb{D})$ , with  $g'(0) = b_1 = \alpha$  and

$$g'(z) = w(z)h'(z) \quad \text{and} \quad w \in \mathcal{G} \quad (z \in \mathbb{D}), \tag{1.4}$$

where  $w$  is the Möbius selfmap of  $\mathbb{D}$  of the form  $w(z) = (z + \alpha)/(1 + \alpha z)$ . The function  $w$  has the series expansion

$$w(z) = \alpha + c_1 z + c_2 z^2 + \dots \quad (z \in \mathbb{D}, c_i \in \mathbb{C}, i = 1, 2, \dots). \tag{1.5}$$

Observe that, a harmonic mapping  $f = h + \bar{g} \in \mathcal{H}ar(\mathbb{D})$  with  $g'(0) = b_1 = \alpha$  ( $0 \leq \alpha < 1$ ) satisfying conditions (1.4) need not necessarily univalent in  $\mathbb{D}$ . For instance, when  $w(z) = \frac{1}{2} + \frac{1}{2}z$ , and a harmonic function  $f = h + \bar{g}$ , where

$$h(z) = z - \frac{1}{2}z^2 \quad \text{and} \quad g(z) = \frac{1}{2}z - \frac{1}{6}z^3,$$

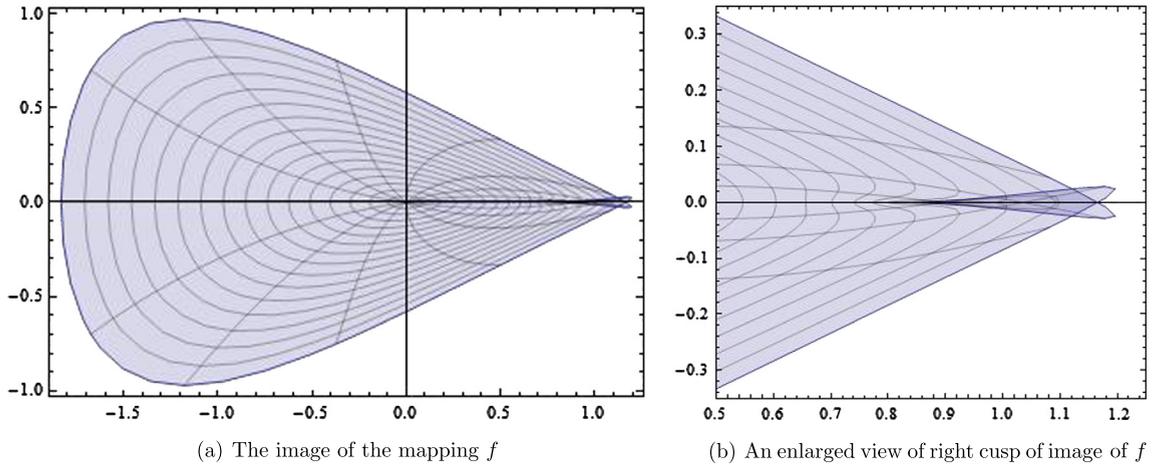


Fig. 1. Graph of the function  $f(z) = z - \frac{1}{2}z^2 + \frac{1}{2}z - \frac{1}{6}z^3$ .

we obtain  $g'(z) = w(z)h'(z)$ . It follows that

$$\Re \left( 1 + \frac{zh''(z)}{h'(z)} \right) < \frac{3}{2} \quad (z \in \mathbb{D}),$$

but we see that  $f$  is not univalent in  $\mathbb{D}$  (see the graph of  $f$  shown in Fig. 1).

If  $f \in \mathcal{G}_{\mathcal{H}ar}^\alpha$ , then according to the form of  $w(z) = (z + \alpha)/(1 + \alpha z)$ , and the relation  $w = g'/h'$  we have  $c_0 = b_1 = \alpha$ ,

$$\frac{|r - \alpha|}{1 - \alpha r} \leq |w(z)| \leq \frac{r + \alpha}{1 + \alpha r}, \tag{1.6}$$

and

$$|c_n| \leq 1 - |c_0|^2 \quad (n = 1, 2, \dots), \quad |w'(z)| \leq \frac{1 - |w(z)|^2}{1 - |z|^2} \quad (z \in \mathbb{D}) \tag{1.7}$$

(see e.g. [2, p. 30, 53]).

Let  $f$  be a locally univalent function in  $\mathbb{D}$ , then the pre-Schwarzian derivative  $T_f$  of  $f$  is defined by

$$T_f(z) = \frac{f''(z)}{f'(z)}.$$

The pre-Schwarzian derivative  $T_f$  is a basic part of the Schwarzian derivative  $S_f$  that equals  $S_f = (T_f)' - (T_f)^2/2$ . We also define the norm of  $T_f$  by

$$\|T_f\| = \sup_{z \in \mathbb{D}} (1 - |z|^2) |T_f|. \tag{1.8}$$

It is well known that  $\|T_f\| < \infty$  if and only if  $f$  is uniformly locally univalent. Here, an analytic function  $f$  on  $\mathbb{D}$  is said to be uniformly locally univalent if  $f$  is univalent on each hyperbolic disk in  $\mathbb{D}$  with fixed radius [31,32]. If  $f$  is univalent in  $\mathbb{D}$ , then  $\|T_f\| \leq 6$  and the bound 6 is sharp. Conversely if  $\|T_f\| \leq 1$  then  $f$  is univalent in  $\mathbb{D}$  [3]. The pre-Schwarzian derivative has been studied in detail by many authors because of its connection with univalence, convexity, quasiconformality, Teichmüller spaces, etc. (see, for example [1,18,14,22]), where several applications were indicated, for a survey we refer to [25]. Therefore,

some relevant estimates of its norm in classical subclasses of univalent functions were discussed in [6,17], and also [24,27,29].

An important problem in the theory of harmonic mappings turned out to be finding a suitable definition of the Schwarzian derivative (the pre-Schwarzian derivative, respectively). Chuaqui et al. [7,8] introduced the harmonic Schwarzian using the differential geometry of the associated minimal surface, this for functions that are not necessarily locally univalent, and then derived the necessary condition for univalence [9]. Following the definition in the analytic case the harmonic pre-Schwarzian has been proposed in [15], and described by the formula

$$T_f = \frac{2 \partial(\log \lambda)}{\partial z}, \tag{1.9}$$

where  $\lambda = |h'| + |g'|$ . In the case, when  $f$  is analytic,  $\lambda = |f'|$ , so that  $\log \lambda = \log f'/2 + \log \overline{f'}/2$ , therefore (1.9) in the analytic case agrees with the classical formula  $f''/f'$ . We observe that if  $g' = qh'$ , and  $q = w^2$ , then [13, p. 191]

$$T_f = \frac{2 \partial(\log \lambda)}{\partial z} = \frac{h''}{h'} + \frac{2w'\overline{w}}{1 + |w|^2} = T_h + \frac{2w'\overline{w}}{1 + |w|^2} \tag{1.10}$$

(here local univalence of a function  $h$  is required).

The classical Bloch theorem asserts the existence of a positive constant  $b$  such that for any holomorphic mapping  $f$  of the unit disk  $\mathbb{D}$ , with the normalization  $f'(0) = 1$ , the image  $f(\mathbb{D})$  contains a Schlicht disk of radius  $b$ . By Schlicht disk, we mean a disk which is the univalent image of some region in  $\mathbb{D}$ . The Bloch constant is defined as the “best” such constant, that is supremum of such constants  $b$ . Chen et al. [4] estimated Bloch constant for harmonic mappings.

A function  $f \in \mathcal{H}ar(\mathbb{D})$  is called a *harmonic Bloch mapping* if and only if

$$\mathcal{B}_f = \sup_{z,w \in \mathbb{D}, z \neq w} \frac{|f(z) - f(w)|}{\mathcal{Q}(z, w)} < \infty, \tag{1.11}$$

where

$$\mathcal{Q}(z, w) = \frac{1}{2} \log \left( \frac{1 + \left| \frac{z-w}{1-\overline{z}w} \right|}{1 - \left| \frac{z-w}{1-\overline{z}w} \right|} \right) = \operatorname{arctanh} \left| \frac{z-w}{1-\overline{z}w} \right|$$

denotes the hyperbolic distance between  $z$  and  $w$  in  $\mathbb{D}$ , and  $\mathcal{B}_f$  is called the *Bloch’s constant* of  $f$ . In [11] Colonna proved that

$$\mathcal{B}_f = \sup_{z \in \mathbb{D}} (1 - |z|^2) \Lambda_f \tag{1.12}$$

where

$$\begin{aligned} \Lambda_f &= \Lambda_f(z) = \max_{0 \leq \theta < 2\pi} |f_z(z) - e^{-2i\theta} f_{\overline{z}}(z)| = |f_z(z)| + |f_{\overline{z}}(z)| \\ &= |h'(z)| + |g'(z)| = |h'(z)|(1 + |w(z)|). \end{aligned}$$

Moreover, the set of all harmonic Bloch mappings forms a complex Banach space with the norm  $\|\cdot\|$  given by

$$\|f\| = |f(0)| + \sup_{z \in \mathbb{D}} (1 - |z|^2) \Lambda_f(z).$$

This definition agrees with the notion of the Bloch’s constant for analytic functions. Recently, many authors have studied Bloch’s constant for harmonic mappings (see [4,5,16,20]).

To investigate our main results, we shall use the following Lemmas.

**Lemma 1.1.** [21] *Let  $h \in \mathcal{G}$  be of the form (1.2). Then for  $|z| = r < 1$ , the following statements are true*

- (a)  $\left| \frac{zh''(z)}{h'(z)} \right| \leq \frac{r}{1-r},$
- (b)  $1-r \leq |h'(z)| \leq 1+r.$

Both inequalities (a) and (b) are sharp, with equality for  $h(z) = z - z^2/2$ .

**Lemma 1.2.** [23] *Let  $h \in \mathcal{G}$  be of the form (1.2). Then*

- (a)  $|a_n| \leq [n(n-1)]^{-1}$  for all  $n \geq 2$ . Equality holds for  $f_n$  such, that  $f'_n(z) = (1 - z^{n-1})^{1/(n-1)}, n \geq 2$ .
- (b)  $|a_3 - \lambda a_2^2| \leq \begin{cases} \lambda/4, & \text{for } |\lambda| \geq 2/3, \\ 1/6, & \text{for } |\lambda| < 2/3. \end{cases}$

The equality in the Fekete–Szegő functional  $|a_3 - \lambda a_2^2|$  is attained in each case.

## 2. Main results

### 2.1. The harmonic pre-Schwarzian derivative

In this section we shall find bounds on the norm of the pre-Schwarzian derivative for functions in the class  $\mathcal{G}_{Har}^\alpha$ .

**Theorem 2.1.** *Let  $\alpha \in (0,1)$  and  $f \in \mathcal{G}_{Har}^\alpha$ . The norm of the pre-Schwarzian derivative of  $f$  is bounded by*

$$\|T_f\| \leq 1 + r_0 + \frac{2(1 - \alpha^2)(1 - r_0^2)(r_0 + \alpha)}{(1 + \alpha r_0)[(1 + r_0^2)(1 + \alpha^2) - 4\alpha r_0]}, \tag{2.1}$$

where  $r_0 \in (0,1)$  is the unique root of the equation

$$\alpha(1 + \alpha^2)^2 r^5 + (-2\alpha^6 - 13\alpha^4 + 4\alpha^2 - 1)r^4 + \alpha(10\alpha^4 - 4\alpha^2 + 2)r^3 + (8\alpha^6 - 14\alpha^4 + 20\alpha^2 - 6)r^2 + (-7\alpha^5 + 10\alpha^3 - 15\alpha)r + (2\alpha^6 - 9\alpha^4 + 8\alpha^2 + 3) = 0.$$

**Proof.** If  $f = h + \bar{g} \in \mathcal{G}_{Har}^\alpha$ , then  $h \in \mathcal{G}$ . It is then clear from Lemma 1.1 that

$$|T_h| = \left| \frac{h''}{h'} \right| \leq \frac{1}{1-r}, \quad |z| = r < 1.$$

From (1.10) and the above, we have

$$|T_f| = \left| \frac{h''}{h'} + \frac{2w'\bar{w}}{1+|w|^2} \right| \leq |T_h| + \frac{2|w'|\bar{w}}{1+|w|^2} \leq \frac{1}{1-r} + \frac{2|w'|\bar{w}}{1+|w|^2}. \tag{2.2}$$

Using that, (1.6) and (1.7), we obtain

$$\begin{aligned} \|T_f\| &\leq \sup_{0 < r < 1} (1 - r^2) \left[ \frac{1}{1 - r} + \frac{2(1 - \alpha^2)(1 - r^2)(r + \alpha)}{(1 - r^2)(1 + \alpha r)[(1 + r^2)(1 + \alpha^2) - 4\alpha r]} \right] \\ &= 1 + r + \frac{2(1 - \alpha^2)(1 - r^2)(r + \alpha)}{(1 + \alpha r)[(1 + r^2)(1 + \alpha^2) - 4\alpha r]} =: F(r). \end{aligned}$$

We note that  $F'(r) = 0$  if and only if  $G(r) = 0$ , where  $G$  is given as

$$\begin{aligned} G(r) &= \alpha(1 + \alpha^2)^2 r^5 + (-2\alpha^6 - 13\alpha^4 + 4\alpha^2 - 1)r^4 + 2\alpha(5\alpha^4 - 2\alpha^2 + 1)r^3 \\ &\quad + (8\alpha^6 - 14\alpha^4 + 20\alpha^2 - 6)r^2 + (-7\alpha^5 + 10\alpha^3 - 15\alpha)r + (2\alpha^6 - 9\alpha^4 + 8\alpha^2 + 3). \end{aligned}$$

We have

$$G(0) = 2\alpha^6 - 9\alpha^4 + 8\alpha^2 + 3 = 2\alpha^6 + 8\alpha^2(1 - \alpha^2) + (1 - \alpha^4) + 2 > 0,$$

and

$$G(1) = -4(1 - \alpha)^3(1 + 6\alpha + 7\alpha^2 + 2\alpha^3) < 0.$$

Then, there exists at least one  $r_0 \in (0, 1)$  such, that  $G(r_0) = 0$ . We will prove that the zero of  $G$  in  $(0, 1)$  is unique. In order to do this we will prove that  $G$  is decreasing in  $(0, 1)$ , equivalently that  $G'(r) < 0$  in  $(0, 1)$ . We have

$$\begin{aligned} G'(r) &= 5\alpha(1 + \alpha^2)^2 r^4 + 4(-2\alpha^6 - 13\alpha^4 + 4\alpha^2 - 1)r^3 + 3\alpha(10\alpha^4 - 4\alpha^2 + 2)r^2 \\ &\quad + 2(8\alpha^6 - 14\alpha^4 + 20\alpha^2 - 6)r + (-7\alpha^5 + 10\alpha^3 - 15\alpha), \end{aligned}$$

and

$$G'(0) = (-7\alpha^5 + 10\alpha^3 - 15\alpha) < 0, \quad G'(1) = -4(4 + 13\alpha + 13\alpha^2 + 2\alpha^3)(1 - \alpha)^3 < 0.$$

In order to determine whether  $G'(r) < 0$  in  $(0, 1)$  we define a function

$$L(x) = (1 + x)^4 G' \left( \frac{x}{1 + x} \right) = d_0 + d_1 x + d_2 x^2 + d_3 x^3 + d_4 x^4,$$

where the coefficients  $d_0, d_1, \dots, d_4$  are negative, since

$$\begin{aligned} d_0 &= -7\alpha^5 + 10\alpha^3 - 15\alpha = -\alpha(7\alpha^4 + 10(1 - \alpha^2) + 5) < 0, \\ d_1 &= 4\alpha^6 - 7\alpha^5 - 7\alpha^4 + 10\alpha^2 - 15\alpha - 3 \\ &= -4\alpha(1 - \alpha^5) - 7\alpha^5 - 7\alpha^4 - 10\alpha(1 - \alpha) - \alpha - 3 < 0, \\ d_2 &= 4\alpha^6 - \alpha^5 - 7\alpha^4 + 4\alpha^3 + 10\alpha^2 - 7\alpha - 3 \\ &= -(1 - \alpha)(4\alpha^5 + 3\alpha^4 + 4\alpha(1 - \alpha^2) + 6\alpha + 1) < 0, \\ d_3 &= 5\alpha^6 + 4\alpha^5 - 17\alpha^4 + 2\alpha^3 + 17\alpha^2 - 6\alpha - 5 \\ &= -(1 - \alpha)(5\alpha^5 + 9\alpha^4 + 4\alpha^3 + 6\alpha^2 + 11\alpha + 5) < 0, \\ d_4 &= 2\alpha^6 + 7\alpha^5 - 20\alpha^4 + 2\alpha^3 + 14\alpha^2 - \alpha - 4 \\ &= -(1 - \alpha)^3(11\alpha^3 + 13\alpha^2 + 13\alpha + 4) < 0. \end{aligned}$$

Thus, there are no sign variation of  $L$  in the coefficients of  $L$  on  $(0, 1)$ . Hence  $G' \left( \frac{x}{1+x} \right) < 0$  on  $(0, \infty)$  and so  $G'(r) < 0$  on  $(0, 1)$  as  $\phi(x) = x/(1 + x)$  maps  $(0, \infty)$  onto  $(0, 1)$ . Thus  $G$  is decreasing for  $0 < \alpha < 1$  and  $r \in (0, 1)$ , which means that there exists the unique point  $r_0 \in (0, 1)$  such that  $G(r_0) = 0$ . The value  $F(r_0)$  is the maximum point of  $F$  and the assertion is proved.  $\square$

### 2.2. Estimate of Bloch constant

In this section, we shall find bounds on the Bloch constant for co-analytic part of functions in the class  $\mathcal{G}_{\mathcal{H}ar}^\alpha$ .

**Theorem 2.2.** *Let  $\alpha \in (0, 1)$ , and let  $f \in \mathcal{G}_{\mathcal{H}ar}^\alpha$ . The Bloch constant  $\mathcal{B}_f$  of  $f$  is bounded by*

$$\mathcal{B}_f \leq \frac{(1 + \alpha)(1 - r_0^2)(1 + r_0)^2}{1 + \alpha r_0}, \tag{2.3}$$

where  $r_0$  is the unique root of the equation  $2 - \alpha - 6r^2 - 4(1 + \alpha)r^3 - 3\alpha r^4 = 0$  in the interval  $(0, 1)$ .

**Proof.** Let  $f = h + \bar{g} \in \mathcal{G}_{\mathcal{H}ar}^\alpha$  and  $h \in \mathcal{G}$ . Using Lemma 1.1 along with (1.12) and (1.6), we obtain

$$\begin{aligned} \mathcal{B}_f &= \sup_{z \in \mathbb{D}} (1 - |z|^2) |h'(z)| (1 + |w(z)|) \\ &\leq \sup_{0 < r < 1} (1 - r^2) (1 + r) \left( 1 + \frac{r + \alpha}{1 + \alpha r} \right) = (1 + \alpha) \sup_{0 < r < 1} P(r), \end{aligned}$$

where

$$P(r) := \frac{(1 - r^2)(1 + r)^2}{1 + \alpha r}.$$

The derivative of  $P(r)$  is equal to zero if  $Q(r) = 0$  for  $r \in (0, 1)$ , where

$$Q(r) = 2 - \alpha - 6r^2 - 4(1 + \alpha)r^3 - 3\alpha r^4.$$

We note that  $Q(0) = 2 - \alpha > 0$  and  $Q(1) = -8 - 8\alpha < 0$  so that there exist a root  $r_0 \in (0, 1)$  such that  $Q(r_0) = 0$ . Now it suffices to prove that  $r_0$  is unique. It is enough to prove that the derivative  $Q'(r) < 0$  for  $r \in (0, 1)$  and  $\alpha \in (0, 1)$ . This holds by virtue of the inequality  $Q'(r) = -12r(1 + (1 + \alpha)r + \alpha r^2) < 0$ . Hence

$$\sup_{0 < r < 1} P(r) = \frac{(1 - r_0^2)(1 + r_0)^2}{1 + \alpha r_0},$$

where  $r_0$  is unique root of  $Q(r) = 0$  for  $r \in (0, 1)$ . This proves the result.  $\square$

### 2.3. Coefficient bounds

In this section we shall find bounds on coefficients and the Fekete–Szegő functional for the co-analytic parts of functions in the class  $\mathcal{G}_{\mathcal{H}ar}^\alpha$ .

**Theorem 2.3.** *If  $f = h + \bar{g} \in \mathcal{G}_{\mathcal{H}ar}^\alpha$ , where  $h$  and  $g$  are given by (1.2), then*

$$|b_2| \leq \frac{1 + \alpha - \alpha^2}{2}. \tag{2.4}$$

This estimate is sharp and the extremal function is

$$f(z) = \begin{cases} z - \frac{1}{2}z^2 + \sqrt{(-1 - \frac{1}{\alpha} + \frac{1}{\alpha^2})z + \frac{1}{2\alpha}z^2 + (1 - \frac{1}{\alpha} - \frac{1}{\alpha^2} + \frac{1}{\alpha^3}) \ln(1 - \alpha z)}, & \alpha \neq 0, \\ z - \frac{1}{2}z^2 + \frac{1}{2}z^2 - \frac{1}{3}z^3, & \alpha = 0. \end{cases}$$

Moreover,

$$|b_n| \leq \frac{1 - \alpha^2}{n} (1 + H_{n-2}) + \frac{\alpha}{n(n-1)} \quad (n = 3, 4, \dots), \tag{2.5}$$

where  $H_n$  denotes  $n$ -th harmonic number  $H_n = 1 + 1/2 + 1/3 + \dots + 1/n$ .

**Proof.** Applying the relations  $g'(z) = w(z)h'(z)$  and the series expansions of  $h$ ,  $g$  and  $w$  given by (1.2) and (1.5), we obtain

$$nb_n = \sum_{p=0}^{n-1} (p+1)a_{p+1}c_{n-p-1} \quad (n = 2, 3, \dots), \tag{2.6}$$

where  $c_n \in \mathbb{C}$ ,  $n = 0, 1, 2, \dots$ , and  $|c_0| = |w(0)| = |g'(0)| = |b_1| = \alpha$ . For  $n = 2$ , we have

$$2b_2 = a_1c_1 + 2a_2c_0.$$

By (1.7) and Lemma 1.2, we see that

$$2|b_2| \leq |a_1||c_1| + 2|a_2||c_0| \leq (1 - |c_0|^2) + |c_0| \leq 1 - \alpha^2 + \alpha.$$

Now, we will show that the estimate is sharp. To this end let for  $0 \leq \alpha < 1$ , consider a function  $f(z) = h(z) + \overline{g(z)}$ , such that  $h(z) = z - \frac{1}{2}z^2 \in \mathcal{G}$  and the dilation of  $f$  is of the form

$$w(z) = \frac{z - \alpha}{1 - \alpha z}, \quad z \in \mathbb{D}.$$

Under the condition  $g'(z) = w(z)h'(z)$ , we obtain for  $z \in \mathbb{D}$

$$\begin{aligned} g'(z) &= \frac{-\alpha + (1 + \alpha)z - z^2}{1 - \alpha z} = -\frac{\alpha - (1 + \alpha)z + z^2}{1 - \alpha z} \\ &= -\alpha + (1 + \alpha - \alpha^2)z + (-1 + \alpha + \alpha^2 - \alpha^3)z^2 + \dots, \end{aligned}$$

that implies that the estimate (2.4) is sharp. Since  $g(0) = 0$ , by integration, we uniquely deduce for  $z \in \mathbb{D}$  that

$$g(z) = \begin{cases} (-1 - \frac{1}{\alpha} + \frac{1}{\alpha^2})z + \frac{1}{2\alpha}z^2 + (1 - \frac{1}{\alpha} - \frac{1}{\alpha^2} + \frac{1}{\alpha^3}) \ln(1 - \alpha z), & \alpha \neq 0, \\ \frac{1}{2}z^2 - \frac{1}{3}z^3, & \alpha = 0. \end{cases}$$

Further, from (2.6), we obtain for  $n = 3, 4, \dots$  that

$$\begin{aligned} |b_n| &\leq \frac{1}{n} \sum_{p=0}^{n-1} (p+1)|a_{p+1}||c_{n-p-1}| \\ &= \frac{1}{n} \left[ |a_1||c_{n-1}| + \sum_{p=1}^{n-2} (p+1)|a_{p+1}||c_{n-p-1}| + n|a_n||c_0| \right]. \end{aligned} \tag{2.7}$$

Applying (1.7), we obtain  $|c_{n-p-1}| \leq 1 - |c_0|^2 = 1 - |b_1|^2 = 1 - \alpha^2$ , hence

$$\begin{aligned} |b_n| &\leq \frac{1}{n}|c_{n-1}| + \frac{1}{n} \sum_{p=1}^{n-2} (p+1)|a_{p+1}| |c_{n-p-1}| + |a_n| |c_0| \\ &\leq \frac{1-\alpha^2}{n} + \frac{1-\alpha^2}{n} \sum_{p=1}^{n-2} \frac{1}{p} + \frac{\alpha}{n(n-1)} \\ &< \frac{1-\alpha^2}{n} + \frac{1-\alpha^2}{n} H_{n-2} + \frac{\alpha}{n(n-1)} \\ &= \frac{1-\alpha^2}{n} [1 + H_{n-2}] + \frac{\alpha}{n(n-1)}. \end{aligned}$$

This completes the proof of theorem.  $\square$

**Theorem 2.4.** Let  $f = h + \bar{g} \in \mathcal{G}_{Har}^\alpha$ , where  $h$  and  $g$  are given by (1.2). Then for  $\mu \in \mathbb{R}$ , we have

$$|b_3 - \mu b_2^2| \leq \frac{1-\alpha^2}{12} [4 + 3|\mu|(1-\alpha^2) + 2|2 - 3\mu b_1|] + \alpha \max \left\{ \frac{|\mu b_1|}{4}, \frac{1}{6} \right\},$$

and

$$|b_{n+1} - b_n| \leq \frac{2\alpha}{n^2 - 1} + \frac{2(1-\alpha^2)(2n+1)}{n(n+1)} + (1-\alpha^2) \frac{n \log(n-1) + (n+1) \log(n-2)}{n(n+1)}.$$

**Proof.** From (2.6), we have

$$b_2 = \frac{1}{2}c_1 + a_2c_0 \quad \text{and} \quad b_3 = \frac{1}{3}c_2 + \frac{2}{3}a_2c_1 + a_3c_0,$$

since  $a_1 = 1$ . Therefore

$$\begin{aligned} |b_3 - \mu b_2^2| &= \left| \frac{1}{3}c_2 + \frac{2}{3}a_2c_1 + a_3c_0 - \mu \left( \frac{1}{2}c_1 + a_2c_0 \right)^2 \right| \tag{2.8} \\ &= \left| \frac{1}{3}c_2 - \frac{1}{4}\mu c_1^2 + a_2c_1 \left( \frac{2}{3} - \mu c_0 \right) + c_0 (a_3 - \mu c_0 a_2^2) \right| \\ &\leq \frac{1}{3} \left| c_2 - \frac{3}{4}\mu c_1^2 \right| + |a_2| |c_1| \left| \frac{2}{3} - \mu c_0 \right| + |c_0| |a_3 - \mu c_0 a_2^2|. \end{aligned}$$

By using the relation (1.7) along with Lemma 1.2, we obtain that

$$\begin{aligned} |b_3 - \mu b_2^2| &\leq \frac{1}{3} \left[ |c_2| + \frac{3}{4}|\mu c_1^2| \right] + |a_2| |c_1| \left| \frac{2}{3} - \mu c_0 \right| + \alpha |a_3 - \mu b_1 a_2^2| \\ &\leq \frac{1}{3} \left[ (1-\alpha^2) + \frac{3}{4}|\mu|(1-\alpha^2)^2 \right] + \frac{(1-\alpha^2)}{2} \left| \frac{2}{3} - \mu b_1 \right| + \alpha \max \left\{ \frac{|\mu b_1|}{4}, \frac{1}{6} \right\} \\ &= \frac{1-\alpha^2}{12} [4 + 3|\mu|(1-\alpha^2) + 2|2 - 3\mu b_1|] + \alpha \max \left\{ \frac{|\mu b_1|}{4}, \frac{1}{6} \right\}. \end{aligned}$$

Further, using (2.6), we have

$$\begin{aligned}
 |b_{n+1} - b_n| &= \left| \frac{1}{n+1} \sum_{p=1}^{n+1} p a_p c_{n-p+1} - \frac{1}{n} \sum_{p=1}^n p a_p c_{n-p} \right| \\
 &= \left| \frac{1}{n+1} \sum_{p=1}^n p a_p c_{n-p+1} + a_{n+1} c_0 - \frac{1}{n} \sum_{p=1}^{n-1} p a_p c_{n-p} - a_n c_0 \right| \\
 &\leq |a_{n+1}| |c_0| + |a_n| |c_0| + \frac{1}{n+1} \sum_{p=1}^n p |a_p| |c_{n-p+1}| + \frac{1}{n} \sum_{p=1}^{n-1} p |a_p| |c_{n-p}| \\
 &\leq \frac{\alpha}{n(n+1)} + \frac{\alpha}{n(n-1)} + \frac{|a_1| |c_n|}{n+1} + \frac{1}{n+1} \sum_{p=2}^n p |a_p| |c_{n-p+1}| \\
 &\qquad\qquad\qquad + \frac{|a_1| |c_{n-1}|}{n} + \frac{1}{n} \sum_{p=2}^{n-1} p |a_p| |c_{n-p}| \\
 &\leq \frac{2\alpha}{n^2-1} + \frac{1-\alpha^2}{n+1} + \frac{1-\alpha^2}{n+1} \sum_{p=2}^n \frac{1}{p-1} + \frac{1-\alpha^2}{n} + \frac{1-\alpha^2}{n} \sum_{p=2}^{n-1} \frac{1}{p-1} \\
 &\leq \frac{2\alpha}{n^2-1} + \frac{1-\alpha^2}{n+1} + \frac{1-\alpha^2}{n+1} \sum_{p=1}^{n-1} \frac{1}{p} + \frac{1-\alpha^2}{n} + \frac{1-\alpha^2}{n} \sum_{p=1}^{n-2} \frac{1}{p} \\
 &\leq \frac{2\alpha}{n^2-1} + \frac{1-\alpha^2}{n+1} + \frac{1-\alpha^2}{n+1} [1 + \log(n-1)] + \frac{1-\alpha^2}{n} + \frac{1-\alpha^2}{n} [1 + \log(n-2)] \\
 &= \frac{2\alpha}{n^2-1} + \frac{1-\alpha^2}{n+1} [2 + \log(n-1)] + \frac{1-\alpha^2}{n} [2 + \log(n-2)],
 \end{aligned}$$

where in the last but one inequality we use known inequality  $H_n \leq 1 + \log n$  for harmonic number  $H_n$  (it can be also easily show by induction). This finishes the proof.  $\square$

**Theorem 2.5.** Let  $f = h + \bar{g} \in \mathcal{G}_{Har}^\alpha$ , where  $h$  and  $g$  are given by (1.2). Then  $g$  is univalent, and for  $|z| = r < 1$ , the following statements hold true;

$$\begin{aligned}
 \left| \frac{r\alpha(-2 + (2-r)\alpha + 2\alpha^2) - 2(1-\alpha^2)(1-\alpha)\log(1-r\alpha)}{2\alpha^3} \right| &\leq |g(z)| \tag{2.9} \\
 &\leq \frac{2\alpha^3 r^2 + 2\alpha(\alpha^2 + \alpha - 1)r + 2(1-\alpha^2)(1-\alpha)\log(1+r\alpha)}{2\alpha^3},
 \end{aligned}$$

$$\frac{r}{1+r} - \frac{r(1-\alpha^2)}{(1-\alpha r)|r-\alpha|} \leq \left| \frac{zg''(z)}{g'(z)} \right| \leq \frac{r}{1-r} + \frac{r(1-\alpha^2)}{(1-\alpha r)|r-\alpha|} \tag{2.10}$$

and

$$\Re \left( 1 + \frac{zg''(z)}{g'(z)} \right) > \frac{r(\alpha^2-1)}{(1-\alpha r)|r-\alpha|} + \frac{1}{1+r}. \tag{2.11}$$

**Proof.** Repeated application of Lemma 1.1 along with the relation  $g'(z) = w(z)h'(z)$  and (1.6) enables us to write

$$\frac{|\alpha - r|(1 - r)}{1 - \alpha r} \leq |g'(z)| \leq \frac{(\alpha + r)(1 + r)}{1 + \alpha r} \quad (|z| = r < 1). \tag{2.12}$$

Integrating along a radial line  $\zeta = te^{i\theta}$  the right hand side of (2.9) is obtained immediately.

In order to prove the left hand side of (2.9), we first note that  $g(z)$  is univalent. Indeed, since  $g'(z) = w(z)h'(z)$  then computing the logarithmic derivative, we get

$$\frac{zg''(z)}{g'(z)} = \frac{zw'(z)}{w(z)} + \frac{zh''(z)}{h'(z)}, \tag{2.13}$$

hence

$$1 + \frac{zg''(z)}{g'(z)} = \frac{zw'(z)}{w(z)} + 1 + \frac{zh''(z)}{h'(z)}. \tag{2.14}$$

Since we have

$$\Re \frac{zw'(z)}{w(z)} > \frac{1 - \alpha}{1 + \alpha}, \quad \text{and} \quad \Re \left( 1 + \frac{zh''(z)}{h'(z)} \right) > -\frac{1}{2},$$

then

$$\Re \left( 1 + \frac{zg''(z)}{g'(z)} \right) = \Re \left( 1 + \frac{zh''(z)}{h'(z)} \right) + \Re \frac{zw'(z)}{w(z)} > -\frac{1}{2} + \frac{1 - \alpha}{1 + \alpha} > -\frac{1}{2},$$

that is sufficient for univalence of the function  $g$  [30].

Let  $\Gamma = g(\{z : |z| = r\})$  and let  $\xi_1 \in \Gamma$  be the nearest point to the origin. By a rotation we may assume that  $\xi_1 > 0$ . Let  $\gamma$  be the line segment  $0 \leq \xi \leq \xi_1$  and suppose that  $z_1 = g^{-1}(\xi_1)$  and  $L = g^{-1}(\gamma)$  with  $\zeta$  as the variable of integration on  $L$ , we have that  $d\xi = g'(\zeta)d\zeta > 0$  on  $L$ . Hence

$$\begin{aligned} \xi_1 &= \int_0^{\xi_1} d\xi = \int_0^{z_1} g'(\zeta)d\zeta = \int_0^{z_1} |g'(\zeta)||d\zeta| \geq \int_0^r |g'(te^{i\theta})|dt \\ &\geq \int_0^r \frac{|\alpha - r|(1 - r)}{(1 - \alpha r)} dr = \left| \frac{r\alpha(-2 + (2 - r)\alpha + 2\alpha^2) - 2(1 - \alpha^2)(1 - \alpha) \log(1 - r\alpha)}{2\alpha^3} \right|. \end{aligned}$$

From (1.6) and (1.7), we conclude that

$$|w'(z)| \leq \frac{1 - \alpha^2}{(1 - \alpha r)^2}. \tag{2.15}$$

The relation (2.13) together with Lemma 1.1 (2.15) gives for  $|z| = r < 1$

$$\left| \frac{zg''(z)}{g'(z)} \right| \leq \left| \frac{zh''(z)}{h'(z)} \right| + \left| \frac{zw'(z)}{w(z)} \right| \leq \frac{(1 - \alpha^2)r}{(1 - \alpha r)|r - \alpha|} + \frac{r}{1 - r}.$$

In view of [23, Corollary 1], we have

$$\left| \frac{zg''(z)}{g'(z)} \right| \geq \left| \frac{zh''(z)}{h'(z)} \right| - \left| \frac{zw'(z)}{w(z)} \right| \geq \frac{r}{1 + r} - \frac{r(1 - \alpha^2)}{(1 - \alpha r)|r - \alpha|}.$$

By the properties of  $h \in \mathcal{G}$ , and (2.14), we have

$$\Re \left( 1 + \frac{zg''(z)}{g'(z)} \right) = \Re \left( \frac{zw'(z)}{w(z)} \right) + \Re \left( 1 + \frac{zh''(z)}{h'(z)} \right) > \Re \left( \frac{zw'(z)}{w(z)} \right) + \frac{1}{1+r}.$$

The relation (1.6) and (1.7) therefore yield

$$\Re \left( 1 + \frac{zg''(z)}{g'(z)} \right) > \frac{r(\alpha^2 - 1)}{(1 - \alpha r)|\alpha - r|} + \frac{1}{1+r},$$

and the assertion follows.  $\square$

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