



Existence of solutions for a class of third-order nonlinear boundary value problems [☆]

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Abstract

In this paper, we are concerned with the following third-order ordinary differential equation:

$$x'''(t) + f(t, x(t), x'(t), x''(t)) = 0, \quad 0 < t < 1,$$

with the nonlinear boundary conditions

$$x(0) = 0, \quad g(x'(0), x''(0)) = A, \quad h(x'(1), x''(1)) = B,$$

where $A, B \in R$, $f : [0, 1] \times R^3 \rightarrow R$ is continuous, $g, h : R^2 \rightarrow R$ are continuous. The existence result is given by using a priori estimate, Nagumo condition, upper and lower solutions and Leray–Schauder degree, and we give an example to demonstrate our result.

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1. Introduction

This paper deals with the existence of solutions for the nonlinear boundary value problem

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$$x'''(t) + f(t, x(t), x'(t), x''(t)) = 0, \quad 0 < t < 1, \quad (1.1)$$

$$x(0) = 0, \quad g(x'(0), x''(0)) = A, \quad h(x'(1), x''(1)) = B, \quad (1.2)$$

where $A, B \in R$, $f: [0, 1] \times R^3 \rightarrow R$ is continuous, $g, h: R^2 \rightarrow R$ are continuous.

Third-order boundary value problems (BVPs) were discussed in many papers in recent years, for instance, see [2–7,9] and references therein. However, the boundary conditions in the above-mentioned references are all linear and race works are done for nonlinear boundary conditions. Recently, Rovderová [8] established existence results for the boundary value problem

$$y''' = f(t, y, y', y''), \quad 0 < t < 1, \quad (1.3)$$

$$y(0) = A, \quad y''(0) = \sigma(y'(0)), \quad y'(T) = \tau(y(\tau)), \quad (1.4)$$

where $f, \partial f / \partial y, \partial f / \partial y'$, and $\partial f / \partial y''$ are continuous functions on $[0, 1] \times R^3$, $\sigma(v) \in C^1(R, R)$, $\tau(v) \in C(R, R)$.

Motivated by the work of the above papers, the purpose of this article is to study the existence of solutions for boundary value problem (1.1)–(1.2) under the condition that $f(t, x, y, z)$ is continuous on $[0, 1] \times R^3$ and increasing in x , which is weaker than the restriction imposed on f by Rovderová [8]. We also extend the result of Grossinho and Minhós [4], who studied third-order boundary value problems with linear separated boundary conditions. The tools we mainly used are the method of upper and lower solutions and Leray–Schauder degree theory [1].

2. Preliminary

In this section, we present some definitions and a lemma that are important to our main result.

Definition 1. Functions $\alpha(t), \beta(t) \in C^3[0, 1]$ are called lower and upper solutions of BVP (1.1)–(1.2), respectively, if

$$\alpha'''(t) + f(t, \alpha(t), \alpha'(t), \alpha''(t)) \geq 0, \quad (2.1)$$

$$\beta'''(t) + f(t, \beta(t), \beta'(t), \beta''(t)) \leq 0, \quad (2.2)$$

and

$$\alpha(0) = \beta(0) = 0, \quad (2.3)$$

$$g(\alpha'(0), \alpha''(0)) \leq A \leq g(\beta'(0), \beta''(0)), \quad (2.4)$$

$$h(\alpha'(1), \alpha''(1)) \leq B \leq h(\beta'(1), \beta''(1)). \quad (2.5)$$

Definition 2. Let D be a subset of $[0, 1] \times R^3$, we say that $f(t, x, y, z)$ satisfies Nagumo condition in D , if f is continuous and given any $a > 0$, there exists a positive function $\Phi: [0, \infty) \rightarrow [a, +\infty)$ such that

$$|f(t, x, y, z)| \leq \Phi(|z|) \quad (2.6)$$

for arbitrary $(t, x, y, z) \in D$ and such that

$$\int_0^{+\infty} \frac{s}{\Phi(s)} ds = +\infty. \quad (2.7)$$

Lemma 1 (see [4]). Let $f(t, x, y, z) : [0, 1] \times R^3 \rightarrow R$ be a continuous function that satisfies Nagumo condition in

$$D = \{(t, x, y, z) \in [0, 1] \times R^3 : \Gamma_1(t) \leq x \leq \Gamma_2(t), \gamma_1(t) \leq y \leq \gamma_2(t)\},$$

where $\Gamma_1, \Gamma_2, \gamma_1, \gamma_2 : [0, 1] \rightarrow R$ are continuous functions such that $\Gamma_1(t) \leq \Gamma_2(t)$ and $\gamma_1(t) \leq \gamma_2(t)$ for every $t \in [0, 1]$. Then there exists a constant $r > 0$ (depending only on γ_1, γ_2 and Φ) such that every solution $x(t)$ of Eq. (1.1), verifying

$$\Gamma_1(t) \leq x(t) \leq \Gamma_2(t), \quad \gamma_1(t) \leq x'(t) \leq \gamma_2(t), \quad t \in [0, 1],$$

then satisfies $\|x''\|_\infty \leq r$.

3. Existence result

Theorem 1. Assume that

- (i) There exist lower and upper solutions of BVP (1.1)–(1.2), $\alpha(t), \beta(t)$, respectively, such that

$$\alpha'(t) \leq \beta'(t), \quad t \in [0, 1]; \quad (3.1)$$

- (ii) $f(t, x, y, z)$ is continuous on $[0, 1] \times R^3$ and increasing in x ;
 (iii) $f(t, x, y, z)$ satisfies Nagumo condition in

$$D_* = \{(t, x, y, z) \in [0, 1] \times R^3 : \alpha(t) \leq x \leq \beta(t), \alpha'(t) \leq y \leq \beta'(t)\};$$

- (iv) $g(y, z), h(y, z)$ are continuous on R^2 , $g(y, z)$ is decreasing in z and $h(y, z)$ is increasing in z .

Then BVP (1.1)–(1.2) has at least one solution $x(t) \in C^3[0, 1]$ such that

$$\alpha(t) \leq x(t) \leq \beta(t), \quad \alpha'(t) \leq x'(t) \leq \beta'(t), \quad t \in [0, 1].$$

Proof. Let $v_1, v_2, v_3 \in R$, such that $v_1 \leq v_3$, define

$$\omega(v_1, v_2, v_3) = \begin{cases} v_3 & \text{if } v_2 > v_3, \\ v_2 & \text{if } v_1 \leq v_2 \leq v_3, \\ v_1 & \text{if } v_2 < v_1. \end{cases}$$

For $\lambda \in [0, 1]$, we consider the auxiliary equation

$$\begin{aligned} x'''(t) + \lambda f(t, \omega(\alpha(t), x(t), \beta(t)), \omega(\alpha'(t), x'(t), \beta'(t)), x''(t)) \\ = (1 - \lambda)x'(t) + \lambda[x'(t) - \omega(\alpha'(t), x'(t), \beta'(t))]\Phi(|x''(t)|), \end{aligned} \quad (3.2)$$

where Φ is decided by Nagumo condition, with the boundary conditions

$$x(0) = 0, \quad (3.3)$$

$$x'(0) = \lambda[A - g(\omega(\alpha'(0), x'(0), \beta'(0)), x''(0)) + \omega(\alpha'(0), x'(0), \beta'(0))], \quad (3.4)$$

$$x'(1) = \lambda[B - h(\omega(\alpha'(1), x'(1), \beta'(1)), x''(1)) + \omega(\alpha'(1), x'(1), \beta'(1))]. \quad (3.5)$$

Then we can select $M_1 > 0$, such that for every $t \in [0, 1]$,

$$-M_1 < \alpha'(t) \leq \beta'(t) < M_1, \quad (3.6)$$

$$-f(t, \alpha(t), \alpha'(t), 0) - [M_1 + \alpha'(t)]\Phi(0) < 0, \quad (3.7)$$

$$-f(t, \beta(t), \beta'(t), 0) + [M_1 - \beta'(t)]\Phi(0) > 0, \quad (3.8)$$

$$|A - g(\beta'(0), 0) + \beta'(0)| < M_1, \quad |A - g(\alpha'(0), 0) + \alpha'(0)| < M_1, \quad (3.9)$$

$$|B - h(\beta'(1), 0) + \beta'(1)| < M_1, \quad |B - h(\alpha'(1), 0) + \alpha'(1)| < M_1. \quad (3.10)$$

In the following, we shall complete the proof by four steps.

Step 1. Every solution $x(t)$ of (3.2)–(3.5) satisfies

$$|x(t)| < M_1, \quad |x'(t)| < M_1, \quad t \in [0, 1],$$

and independently of λ .

We suppose that the estimate $|x'(t)| < M_1$ is not true. Then there exists $t \in [0, 1]$, such that $x'(t) \geq M_1$, or $x'(t) \leq -M_1$. Suppose that the first case holds. Define

$$\max_{t \in [0, 1]} x'(t) := x'(t_0) (\geq M_1 > 0).$$

(1) If $t_0 \in (0, 1)$, then $x''(t_0) = 0$ and $x'''(t_0) \leq 0$. For $\lambda \in (0, 1]$, by condition (ii) and (3.8), we get the following contradiction:

$$\begin{aligned} 0 &\geq x'''(t_0) = -\lambda f(t_0, \omega(\alpha(t_0), x(t_0), \beta(t_0)), \omega(\alpha'(t_0), x'(t_0), \beta'(t_0)), x''(t_0)) \\ &\quad + (1 - \lambda)x'(t_0) + \lambda[x'(t_0) - \omega(\alpha'(t_0), x'(t_0), \beta'(t_0))]\Phi(|x''(t_0)|) \\ &= -\lambda f(t_0, \omega(\alpha(t_0), x(t_0), \beta(t_0)), \beta'(t_0), 0) \\ &\quad + (1 - \lambda)x'(t_0) + \lambda[x'(t_0) - \beta'(t_0)]\Phi(0) \\ &\geq -\lambda f(t_0, \beta(t_0), \beta'(t_0), 0) + (1 - \lambda)x'(t_0) + \lambda[M_1 - \beta'(t_0)]\Phi(0) \\ &\geq \lambda[-f(t_0, \beta(t_0), \beta'(t_0), 0) + (M_1 - \beta'(t_0))]\Phi(0) > 0, \end{aligned}$$

and for $\lambda = 0$, we have $0 \geq x'''(t_0) = x'(t_0) \geq M_1 > 0$. Thus $t_0 \notin (0, 1)$.

(2) If $t_0 = 0$, then

$$\max_{t \in [0, 1]} x'(t) := x'(0) (\geq M_1 > 0) \quad \text{and} \quad x''(0^+) = x''(0) \leq 0.$$

Therefore, from condition (iv), (3.4) and (3.9), the following contradiction is obtained:

$$\begin{aligned} M_1 &\leq x'(0) = \lambda[A - g(\omega(\alpha'(0), x'(0), \beta'(0)), x''(0)) + \omega(\alpha'(0), x'(0), \beta'(0))] \\ &= \lambda[A - g(\beta'(0), x''(0)) + \beta'(0)] \\ &\leq \lambda[A - g(\beta'(0), 0) + \beta'(0)] < M_1, \end{aligned}$$

thus $t_0 \neq 0$.

(3) If $t_0 = 1$, then we get $\max_{t \in [0,1]} x'(t) := x'(1) (\geq M_1 > 0)$, and $x''(1^-) = x''(1) \geq 0$, from condition (iv), (3.5) and (3.10), we obtain the following contradiction:

$$\begin{aligned} M_1 &\leq x'(1) = \lambda[B - h(\omega(\alpha'(1), x'(1), \beta'(1)), x''(1)) + \omega(\alpha'(1), x'(1), \beta'(1))] \\ &= \lambda[B - h(\beta'(1), x''(1)) + \beta'(1)] \\ &\leq \lambda[B - h(\beta'(1), 0) + \beta'(1)] < M_1, \end{aligned}$$

thus $t_0 \neq 1$. So we show that $x'(t) < M_1$ for every $t \in [0, 1]$. Similar to the above argument, we can prove that $x'(t) > -M_1$ for every $t \in [0, 1]$. Therefore, $|x'(t)| < M_1$ for $t \in [0, 1]$. Since $x(0) = 0$, the estimate $|x(t)| < M_1$ is easily shown by integration.

Step 2. There exists $M_2 > 0$, such that every solution $x(t)$ of (3.2)–(3.5) satisfies

$$|x''(t)| < M_2 \quad \text{for } t \in [0, 1],$$

independently of $\lambda \in [0, 1]$.

If $x(t)$ is a solution of BVP (3.2)–(3.5), then

$$\begin{aligned} x'''(t) + \lambda f(t, \omega(\alpha(t), x(t), \beta(t)), \omega(\alpha'(t), x'(t), \beta'(t)), x''(t)) \\ - (1 - \lambda)x'(t) - \lambda[x'(t) - \omega(\alpha'(t), x'(t), \beta'(t))]\Phi(|x''(t)|) = 0. \end{aligned}$$

Let

$$D_{M_1} = \{(t, x, y, z) \in [0, 1] \times R^3 : -M_1 \leq x \leq M_1, -M_1 \leq y \leq M_1\}.$$

Define the function $F_\lambda : D_{M_1} \rightarrow R$ as follows:

$$\begin{aligned} F_\lambda(t, x, y, z) &:= \lambda f(t, \omega(\alpha(t), x, \beta(t)), \omega(\alpha'(t), y, \beta'(t)), z) \\ &\quad - (1 - \lambda)y - \lambda[y - \omega(\alpha'(t), y, \beta'(t))]\Phi(|z|). \end{aligned}$$

In the following, we show that F_λ satisfies Nagumo condition in D_{M_1} , independently of $\lambda \in [0, 1]$. In fact, since f satisfies Nagumo condition in D_{M_1} , then

$$\begin{aligned} |F_\lambda(t, x, y, z)| &\leq |f(t, \omega(\alpha(t), x, \beta(t)), \omega(\alpha'(t), y, \beta'(t)), z)| \\ &\quad + |y| + |y - \omega(\alpha'(t), y, \beta'(t))|\Phi(|z|) \\ &\leq \Phi(|z|) + M_1 + (|y| + |\omega(\alpha'(t), y, \beta'(t))|)\Phi(|z|) \\ &\leq M_1 + (1 + 2M_1)\Phi(|z|) := \Phi^*(z). \end{aligned}$$

Furthermore, we obtain

$$\begin{aligned} \int_0^{+\infty} \frac{s}{\Phi^*(s)} ds &= \int_0^{+\infty} \frac{s}{M_1 + (1 + 2M_1)\Phi(s)} ds \geq \int_0^{+\infty} \frac{s}{(1 + 2M_1 + M_1/a)\Phi(s)} ds \\ &= \frac{1}{(1 + 2M_1 + M_1/a)} \int_0^{+\infty} \frac{s}{\Phi(s)} ds = +\infty, \end{aligned}$$

thus, F_λ satisfies Nagumo condition in D_{M_1} , independently of $\lambda \in [0, 1]$. Let

$$\Gamma_1(t) = \gamma_1(t) = -M_1, \quad \Gamma_2(t) = \gamma_2(t) = M_1, \quad t \in [0, 1].$$

In view of Step 1 and applying Lemma 1, then there exists $M_2 > 0$, such that $|x''(t)| < M_2$ for $t \in [0, 1]$. Since M_1 and Φ do not depend on λ , we get that the estimate $|x''(t)| < M_2$ is also independently of λ .

Step 3. For $\lambda = 1$, BVP (3.2)–(3.5) has at least one solution $x_1(t)$.

Define the operators

$$L : C^2[0, 1] \cap \text{dom } L \rightarrow C[0, 1] \times R^3$$

by

$$Lx = (x''', x(0), x'(0), x'(1)),$$

and

$$N_\lambda : C^2[0, 1] \rightarrow C[0, 1] \times R^3$$

by

$$\begin{aligned} N_\lambda x = & (-\lambda f(t, \omega(\alpha(t), x(t), \beta(t)), \omega(\alpha'(t), x'(t), \beta'(t)), x''(t)) \\ & + (1 - \lambda)x' + \lambda[x' - \omega(\alpha'(t), x'(t), \beta'(t))] \Phi(|x''|), 0, A_\lambda, B_\lambda) \end{aligned}$$

with

$$\begin{aligned} A_\lambda := & \lambda[A - g(\omega(\alpha'(0), x'(0), \beta'(0)), x''(0)) + \omega(\alpha'(0), x'(0), \beta'(0))], \\ B_\lambda := & \lambda[B - h(\omega(\alpha'(1), x'(1), \beta'(1)), x''(1)) + \omega(\alpha'(1), x'(1), \beta'(1))]. \end{aligned}$$

As L^{-1} is compact, we can define the completely continuous operator

$$T_\lambda : (C^2[0, 1], R) \rightarrow (C^2[0, 1], R)$$

by

$$T_\lambda(x) = L^{-1}N_\lambda(x).$$

Consider the set

$$\Omega = \{x \in C^2[0, 1] : \|x\|_\infty < M_1, \|x'\|_\infty < M_1, \|x''\|_\infty < M_2\}.$$

By Steps 1 and 2, the degree $\deg(I - T_\lambda, \Omega, 0)$ is well defined for every $\lambda \in [0, 1]$ and by homotopy invariance, we get

$$\deg(I - T_0, \Omega, 0) = \deg(I - T_1, \Omega, 0).$$

As the equation $x = T_0(x)$ has only the trivial solution, by degree theory,

$$\deg(T_0, \Omega, 0) = 1.$$

Hence, the equation $x = T_1(x)$ has at least one solution. That is, the problem

$$\begin{aligned}
& x'''(t) + f(t, \omega(\alpha(t), x(t), \beta(t)), \omega(\alpha'(t), x'(t), \beta'(t)), x''(t)) \\
& = [x'(t) - \omega(\alpha'(t), x'(t), \beta'(t))] \Phi(|x''(t)|),
\end{aligned} \tag{3.11}$$

with the boundary conditions

$$x(0) = 0, \tag{3.12}$$

$$x'(0) = A - g(\omega(\alpha'(0), x'(0), \beta'(0)), x''(0)) + \omega(\alpha'(0), x'(0), \beta'(0)), \tag{3.13}$$

$$x'(1) = B - h(\omega(\alpha'(1), x'(1), \beta'(1)), x''(1)) + \omega(\alpha'(1), x'(1), \beta'(1)), \tag{3.14}$$

has at least one solution $x_1(t)$ in Ω .

Step 4. The $x_1(t)$ is a solution of BVP (1.1)–(1.2).

In fact, the above solution $x_1(t)$ of BVP (3.11)–(3.14) will be a solution of the BVP (1.1)–(1.2), too, since it satisfies in $[0, 1]$,

$$\alpha(t) \leq x_1(t) \leq \beta(t), \quad \alpha'(t) \leq x_1'(t) \leq \beta'(t).$$

If the assertion is not true, then there exists $t \in [0, 1]$, such that $x_1'(t) > \beta'(t)$, we define

$$\max_{t \in [0, 1]} [x_1'(t) - \beta'(t)] := x_1'(t_1) - \beta'(t_1) > 0.$$

If $t_1 \in (0, 1)$, then

$$x_1''(t_1) = \beta''(t_1) \quad \text{and} \quad x_1'''(t_1) \leq \beta'''(t_1).$$

By condition (ii), we get the following contradiction:

$$\begin{aligned}
0 & \geq x_1'''(t_1) - \beta'''(t_1) \\
& \geq -f(t_1, \omega(\alpha(t_1), x_1(t_1), \beta(t_1)), \omega(\alpha'(t_1), x_1'(t_1), \beta'(t_1)), x_1''(t_1)) \\
& \quad + [x_1'(t_1) - \omega(\alpha'(t_1), x_1'(t_1), \beta'(t_1))] \Phi(|x_1''(t_1)|) \\
& \quad + f(t_1, \beta(t_1), \beta'(t_1), \beta''(t_1)) \\
& = -f(t_1, \omega(\alpha(t_1), x_1(t_1), \beta(t_1)), \beta'(t_1), \beta''(t_1)) \\
& \quad + [x_1'(t_1) - \beta'(t_1)] \Phi(|x_1''(t_1)|) + f(t_1, \beta(t_1), \beta'(t_1), \beta''(t_1)) \\
& > -f(t_1, \beta(t_1), \beta'(t_1), \beta''(t_1)) + f(t_1, \beta(t_1), \beta'(t_1), \beta''(t_1)) = 0,
\end{aligned}$$

thus $t_1 \notin (0, 1)$.

If $t_1 = 0$, then

$$\max_{t \in [0, 1]} [x_1'(t) - \beta'(t)] := x_1'(0) - \beta'(0) > 0$$

and

$$x_1''(0^+) - \beta''(0^+) = x_1''(0) - \beta''(0) \leq 0,$$

therefore, from condition (iv), (3.13) and (2.4), the following contradiction is obtained:

$$\begin{aligned}
\beta'(0) \leq x_1'(0) &= A - g(\omega(\alpha'(0), x_1'(0), \beta'(0)), x_1''(0)) + \omega(\alpha'(0), x_1'(0), \beta'(0)) \\
&= A - g(\beta'(0), x_1''(0)) + \beta'(0) \\
&\leq A - g(\beta'(0), \beta''(0)) + \beta'(0) < \beta'(0),
\end{aligned}$$

thus $t_1 \neq 0$.

If $t_1 = 1$, similar to the above argument, we can deduce that $t_1 \neq 1$. So we show that $x_1'(t) \leq \beta'(t)$ for every $t \in [0, 1]$. Similarly, we can prove that $\alpha'(t) \leq x_1'(t)$ for every $t \in [0, 1]$. Therefore,

$$\alpha'(t) \leq x_1'(t) \leq \beta'(t), \quad t \in [0, 1].$$

Since $\alpha(0) = \beta(0) = 0$, by integrating the above inequalities on $[0, t]$, we obtain

$$\alpha(t) \leq x_1(t) \leq \beta(t), \quad t \in [0, 1].$$

Thus $x_1(t)$ is a solution of BVP (1.1)–(1.2). \square

Remark 1. In Theorem 1, the condition that f is decreasing in x for $(t, x, y, z) \in [0, 1] \times R^3$ can be relaxed as f is increasing in x for $(t, y, z) \in [0, 1] \times R^2$ and $\alpha(t) \leq x(t) \leq \beta(t)$. Since the condition is only used in Step 1 to prove the inequality

$$-\lambda f(t_0, \omega(\alpha(t_0), x(t_0), \beta(t_0)), \beta'(t_0), 0) \geq -\lambda f(t_0, \beta(t_0), \beta'(t_0), 0),$$

and Step 4 to prove the inequality

$$-f(t_1, \omega(\alpha(t_1), x_1(t_1), \beta(t_1)), \beta'(t_1), \beta''(t_1)) \geq -f(t_1, \beta(t_1), \beta'(t_1), \beta''(t_1)).$$

4. Example

Example. We consider the following third-order boundary value problem:

$$x''' - (t - x)^2 - t(4 + t^2)x' - (x')^2 \sin(x'') = 0, \quad 0 < t < 1, \quad (4.1)$$

$$x(0) = 0, 5(x'(0))^2 - \frac{1}{2}x''(0) = 5, \quad (x'(1))^2 + (x''(1))^3 = 1, \quad (4.2)$$

let

$$\begin{aligned}
f(t, x, y, z) &= -(t - x)^2 - t(4 + t^2)y - y^2 \sin z, \\
g(y, z) &= 5y^2 - \frac{1}{2}z, \quad h(y, z) = y^2 + z^3.
\end{aligned}$$

It is easily to prove that $\alpha(t) = -t$, $\beta(t) = t$ are lower and upper solutions of BVP (4.1)–(4.2), respectively. f is continuous on $[0, 1] \times R^3$ and increasing in x when $\alpha(t) \leq x_1(t) \leq \beta(t)$, $t \in [0, 1]$. g, h are continuous on R^2 , $g(y, z)$ is decreasing in z , $h(y, z)$ is increasing in z . Furthermore, we obtain f satisfies Nagumo condition in

$$D = \{(t, x, y, z) \in [0, 1] \times R^3: -t \leq x \leq t, -1 \leq x' \leq 1\}.$$

Therefore, by Theorem 1, there exists at least one solution $x(t)$ for BVP (4.1)–(4.2) such that

$$-t \leq x(t) \leq t, \quad -1 \leq x'(t) \leq 1, \quad t \in [0, 1].$$

Remark 2. We extend the result in Ref. [4] since our boundary conditions are more generalized. Obviously the result given in [4] is not available to our example.

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