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A network scale, intermediate complexity model for simulating channel evolution over years to decades

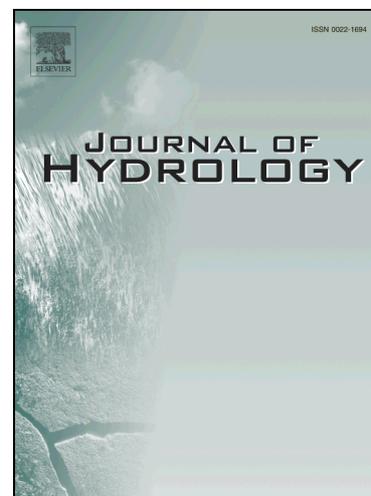
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1 A network scale, intermediate complexity  
2 model for simulating channel evolution over  
3 years to decades

4 Roderick W. Lammers\*, Brian P. Bledsoe†

5 **Abstract**

6 Excessive river erosion and sedimentation threatens critical infras-  
7 tructure, degrades aquatic habitat, and impairs water quality. Tools for  
8 predicting the magnitude of erosion, sedimentation, and channel evo-  
9 lution processes are needed for effective mitigation and management.  
10 We present a new numerical model that simulates coupled river bed  
11 and bank erosion at the watershed scale. The model uses modified ver-  
12 sions of Bagnold's sediment transport equation to simulate bed erosion  
13 and aggradation, as well as a simplified Bank Stability and Toe Ero-  
14 sion Model (BSTEM) to simulate bank erosion processes. The model is  
15 mechanistic and intermediate complexity, accounting for the dominant  
16 channel evolution processes while limiting data requirements. We apply  
17 the model to a generic test case of channel network response following  
18 a disturbance and the results match physical understanding of channel  
19 evolution. The model was also tested on two field data sets: below

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20 Parker Dam on the lower Colorado River and the North Fork Toutle  
21 River (NFTR) which responded dramatically to the 1980 eruption of  
22 Mount St. Helens. It accurately predicts observed channel incision and  
23 bed material coarsening on the Colorado River, as well as observations  
24 for the upstream 18 km of the NFTR watershed. The model does not  
25 include algorithms for extensive lateral migration and avulsions and  
26 therefore did not perform well in the lower NFTR where the channel  
27 migrated across a wide valley bottom. REM is parsimonious and useful  
28 for simulating network scale channel change in single thread systems  
29 responding to disturbance.

30 Keywords: channel evolution; erosion; sedimentation; modeling; watershed  
31 scale

## 32 1 Introduction

33 Excessive river erosion and sedimentation are triggered by a variety of wa-  
34 tershed disturbances which alter natural flow and sediment dynamics. For  
35 example, urbanization increases discharge (*Hollis, 1975; Rosburg et al., 2017*),  
36 channel straightening increases slope (*Simon, 1989*), and dam construction  
37 decreases sediment supply and modifies flow regimes (*Williams and Wolman,*  
38 *1984*). Channel instability and sediment imbalance threatens infrastructure,  
39 degrades aquatic habitat, and impairs water quality. Landowners and envi-  
40 ronmental resource agencies often respond to these threats by attempting to  
41 stabilize channels, sometimes without success (e.g. *Miller and Kochel, 2009*).  
42 Stream stabilization projects may fail if designers do not account for altered  
43 hydrology and sediment supply, or simply due to the inherent uncertainty of  
44 channel response (*Simon et al., 2007; Roni and Beechie, 2013; Wohl et al.,*  
45 *2005; Bernhardt and Palmer, 2007*). It is challenging to predict how streams

46 will adjust and what new equilibrium state — if any — they will attain.

47 Numerical modeling can address this issue by providing a simple and re-  
48 producible way to (1) assess channel sensitivity to disturbance and (2) predict  
49 channel adjustment. While morphodynamic modeling has advanced in recent  
50 years, most of the research has focused on large spatial and temporal scales  
51 (e.g. landscape evolution models (*Lague, 2014*)) or individual processes (e.g.  
52 bar formation (*Nelson et al., 2015*)). Models that predict channel changes at  
53 intermediate spatial and temporal scales (10s – 100s km<sup>2</sup> watersheds; 10s –  
54 100s of years) are needed to help guide river restoration and management.

55 Recent research has attempted to fill this gap with regime-based models of  
56 river response (*Eaton and Millar, 2017*), watershed-scale accounting of sedi-  
57 ment dynamics (*Parker et al., 2015; Czuba and Foufoula-Georgiou, 2014, 2015;*  
58 *Schmitt et al., 2016; Soar et al., 2017*), and mechanistic bank erosion modeling  
59 (*Langendoen et al., 2012; Stryker et al., 2017*). These approaches are useful  
60 but they either do not account for all relevant erosion processes or require sig-  
61 nificant amounts of data, making it difficult to assess uncertainty and provide  
62 results useful to managers. The aim of this study was to develop a network-  
63 scale morphodynamic model for simulating channel incision and bank erosion  
64 with limited data requirements. To achieve this goal, we use specific stream  
65 power (*Bagnold, 1966*), allowing us to model channel erosion and deposition  
66 without simulating detailed flow hydraulics. Avoiding hydraulic calculations  
67 has several advantages — less computation time, fewer data and calibration  
68 requirements, and fewer sources of uncertainty. Specific stream power is a  
69 physically based, easily calculated parameter which is directly related to the  
70 erosive processes we are interested in modeling. Furthermore, the simplicity  
71 gained by using specific stream power facilitates running Monte Carlo simula-  
72 tions, allowing us to be transparent about uncertainty — explicitly translating

73 variability in model inputs into probabilistic predictions of channel evolution.

74 This paper introduces this new stream power-based morphodynamic model  
75 — the River Erosion Model (REM). REM is designed for modeling channel  
76 evolution at the watershed scale, integrating a bank stability model based  
77 on *Lammers et al.* (2017) with novel stream power based sediment transport  
78 equations (*Lammers and Bledsoe*, 2018). REM is likely most applicable in  
79 smaller watersheds (10s – 100s km<sup>2</sup>) where model input data are more easily  
80 collected. Unfortunately, we are not aware of any data on watershed-scale  
81 channel response in these smaller systems. We therefore test REM on a generic  
82 watershed responding to base-level fall as well as two field datasets of rivers  
83 responding to different types of disturbance. The first is a reach of the lower  
84 Colorado River which incised and coarsened after Parker Dam was constructed  
85 in 1938. The second is the North Fork Toutle River (NFTR) which has followed  
86 a complex trajectory of channel change following massive sediment deposition  
87 from the eruption of Mount St. Helens in 1980. Applying REM to these  
88 complex systems tests the basic model processes, explores uncertainty and  
89 model sensitivity, and pushes the limits of model application, determining the  
90 range of conditions for which it is most suitable.

## 91 **2 Model Description**

92 REM simulates bed erosion and aggradation in non-cohesive sand and gravel  
93 using a sediment mass balance and into cohesive bed material using an excess  
94 shear stress approach. Channel width changes are simulated accounting for  
95 fluvial bank erosion (e.g. excess shear) and bank mass failure. Finally, REM  
96 can account for meander bend migration and subsequent increases in sinuosity,  
97 as well as knickpoint migration and associated sediment loading. These fea-

98 tures are described below. Applying REM to the Colorado River and NFTR  
99 test the bed and bank components of the model, but we did not include any  
100 cohesive bed erosion, meander migration, or knickpoints. These are useful  
101 model features but they require further testing.

## 102 **2.1 Cross section geometry**

103 REM assumes a prismatic channel, based on user-supplied bottom width, bank  
104 and toe heights and angles, and floodplain width and slope (Figure 1). All  
105 channel geometry variables are unique for the right and left banks. Bank soil  
106 parameters (e.g. cohesion) can be distinct for the bank toe and upper bank  
107 soil but are the same for the right and left banks in a reach. For each cross  
108 section, a cohesive layer may be placed some distance below the channel bed.  
109 Aggradation and degradation only occur across the flat channel bottom.

## 110 **2.2 Network structure and sediment routing**

111 The model uses a simple reach-node network structure, where a series of chan-  
112 nel reaches are connected by nodes (Figure 1) (*Schmitt et al., 2016; Czuba and*  
113 *Foufoula-Georgiou, 2015*). The user specifies inputs individually by reach,  
114 and each reach may have multiple cross sections. Model inputs are constant  
115 within each reach (e.g. initial bed grain size distribution, bank soil parameters,  
116 etc.), so we recommend defining reaches as relatively homogeneous sections of  
117 a stream. There is no defined length of model reaches — they may be as  
118 short (one cross section) or long (10s of km) as necessary depending on the  
119 requirements of a specific model application.

120 Incoming bed material load to each cross section is the sum of sediment sup-  
121 plied by the upstream cross section (or cross sections at tributary junctions),  
122 sediment from local bank erosion, and any user-inputted upland sediment sup-

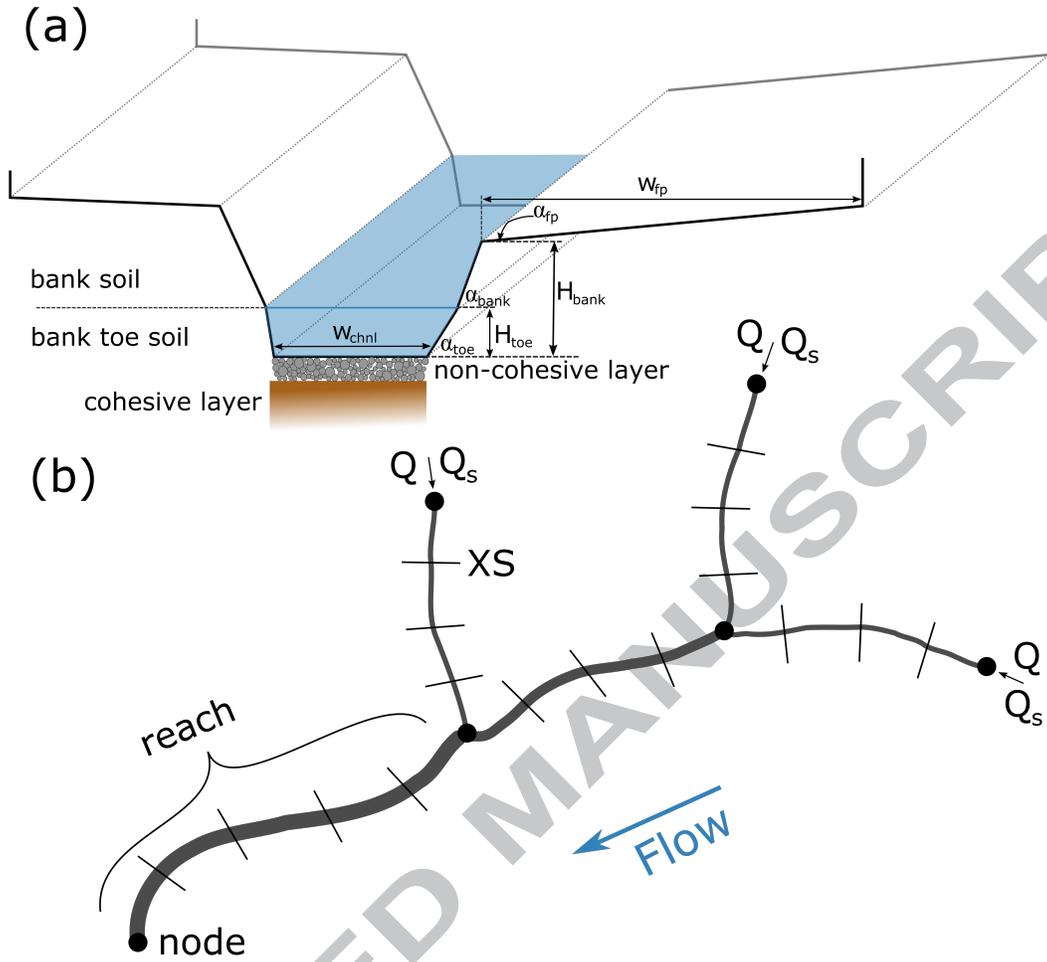


Figure 1: Schematic of cross section (a) and network (b) geometry included in REM.  $Q$  = water discharge and  $Q_s$  = sediment discharge;  $w_{chnl}$  and  $w_{fp}$  = width of channel and floodplain, respectively;  $H_{bank}$  and  $H_{toe}$  = total bank height and bank toe height, respectively; and  $\alpha_{fp}$ ,  $\alpha_{bank}$ , and  $\alpha_{toe}$  = angle of the floodplain, bank and bank toe, respectively.

123 ply. Upland sediment and bed material load from eroded banks are assumed  
 124 to be the same grain size distribution as the initial bed grain size distribution  
 125 for that reach. The washload component of any bank, cohesive bed, or knick-  
 126 point erosion is immediately routed to the watershed outlet. The effects of  
 127 grade controls or bank armoring can be incorporated by placing non-erodible  
 128 cross sections within the channel network (i.e. cohesive soils with high  $\tau_c$ ). A

129 table of required and optional model inputs is included in the Supplementary  
 130 Material.

### 131 **2.3 Stream power**

132 Many models use the standard step method or a simple flow resistance relation-  
 133 ship to compute flow depth, velocity, and shear stress (e.g. *El Kadi Abderrezzak*  
 134 *et al.*, 2008; *Allen et al.*, 1999). In contrast, we use specific stream power to  
 135 directly model channel incision and bank erosion. Specific stream power is the  
 136 power available to do work in the stream, normalized by bed area (*Bagnold*,  
 137 1966):

$$138 \quad \omega = \frac{\Omega}{w} = \frac{\gamma QS}{w} \quad (1)$$

139 where  $\omega$  is specific stream power [ $\text{W m}^{-2}$ ],  $\Omega$  is total stream power [ $\text{W m}^{-1}$ ],  
 140  $\gamma$  is the specific weight of water [ $9,810 \text{ N m}^{-3}$ ],  $Q$  is discharge [ $\text{m}^3 \text{ s}^{-1}$ ],  $S$  is  
 141 the friction slope [ $\text{m m}^{-1}$ ], and  $w$  is the water surface width [m].

142 Specific stream power is a useful variable because it is readily calculated  
 143 throughout a stream network but still represents the physical processes in  
 144 rivers. Because of this, it has been used to determine erosion and deposi-  
 145 tion potential (*Parker et al.*, 2015; *Vocal Ferencevic and Ashmore*, 2012; *Bizzi*  
 146 *and Lerner*, 2015; *Soar et al.*, 2017), explain dominant modes of channel ad-  
 147 justment (*Knighton*, 1999; *Bull*, 1979), model sediment transport processes  
 148 (*Bagnold*, 1977, 1980; *Martin and Church*, 2000; *Eaton and Church*, 2011),  
 149 and explain historic variability and future evolution of rivers (*Fryirs et al.*,  
 150 2012). Discharge data are typically available from gaging stations, regional  
 151 regression equations, or hydrologic modeling. Channel slope and width can  
 152 be obtained from high resolution digital elevation models, often created from  
 153 airborne LiDAR data.

## 154 2.4 Discharge

155 REM is driven by a user-supplied flow record with a given time step (e.g.  
 156 daily, hourly, or 15-minute). To account for overbank flooding, the model uses  
 157 the Manning equation to partition flow between the channel and floodplain  
 158 using the sub-area method, similar to the approach used by HEC-RAS and  
 159 others (e.g. *Soar et al.*, 2017). The channel and two floodplains are treated as  
 160 separate sections ( $j$ ), each with their own Manning roughness coefficient ( $n_j$ ).  
 161 The discharge for each section is calculated using trial values of water surface  
 162 elevation. This processes is repeated until the sum of these discharges equals  
 163 the known total flow:

$$164 \quad Q = \sum_{j=1}^3 \left( \frac{A_j R_j^{2/3} S^{1/2}}{n_j} \right) \quad (2)$$

165 where  $A_j$  is the section area [ $\text{m}^2$ ],  $R_j$  is the section hydraulic radius [m], and  $S$  is  
 166 the channel slope. Only the discharge within the channel, and the correspond-  
 167 ing flow width, are used to calculate specific stream power. This approach  
 168 assumes flow is uniform and quasi-steady, meaning changes in stream power  
 169 are driven entirely by changes in local bed slope and channel geometry (e.g.  
 170 width and total area). This means REM cannot accurately simulate areas  
 171 with unsteady or non-uniform flow, such as channel constrictions or weirs.

## 172 2.5 Channel incision

173 The model simulates incision into non-cohesive and cohesive bed material,  
 174 including a mix of both bed types as described below.

175 **2.5.1 Non-cohesive incision**

176 Fundamentally, the model uses the Exner equation to simulate bed elevation  
 177 changes based on a sediment mass balance:

$$178 \quad \frac{\partial \eta}{\partial t} = - \frac{1}{w_{avg}(1 - \lambda)} \frac{\partial Q_b}{\partial x} \quad (3)$$

179 where  $\eta$  is the bed elevation [m],  $\lambda$  is the bed porosity (assumed to be 0.4),  $w_{avg}$   
 180 is the average bottom width of adjacent cross sections,  $Q_b$  is the volumetric  
 181 sediment transport rate [ $\text{m}^3 \text{s}^{-1}$ ], and  $t$  and  $x$  are time and downstream dis-  
 182 tance, respectively. REM models sediment transport by grain size and tracks  
 183 changes in bed material composition:

$$184 \quad \frac{\partial F_k}{\partial t} = - \frac{1}{L_a} (F_k - f_{lk}) \frac{\partial L_a}{\partial t} + \frac{1}{L_a w_{avg} (1 - \lambda)} \left( - \frac{\partial Q_{bk}}{\partial x} + f_{lk} \frac{\partial Q_b}{\partial x} \right) \quad (4)$$

185 where  $F_k$  is the fraction of the  $k^{th}$  grain size in the active layer (where there is  
 186 some finite, user-defined number of grain sizes),  $L_a$  is the active layer thickness  
 187 [m],  $Q_{bk}$  is the volumetric sediment transport rate of the  $k^{th}$  grain size [ $\text{m}^3 \text{s}^{-1}$ ],  
 188 and  $f_{lk}$  is the interface exchange fraction which depends on whether the bed  
 189 is degrading or aggrading:

$$190 \quad f_{lk} = \begin{cases} f_k, & \text{if } \frac{\partial \eta}{\partial t} < 0 \\ \alpha F_k + (1 - \alpha) p_{bk}, & \text{if } \frac{\partial \eta}{\partial t} > 0 \end{cases} \quad (5)$$

191 where  $f_k$  is the fraction of the  $k^{th}$  grain size in the channel bed subsurface  
 192 (below the active layer),  $p_{bk}$  is the bedload fraction of the  $k^{th}$  grain size, and  
 193  $\alpha$  is a weighting parameter than ranges from 0 – 1 (we assume  $\alpha = 0.5$ ).  
 194 The model does not store bed stratigraphy, meaning information on buried  
 195 sediment size is lost if the channel aggrades and then incises.

196 The active layer thickness  $L_a$  is calculated as three times the surface layer  
 197  $D_{90}$ . Sediment fluxes are discretized using the  $\kappa$  scheme with flux limiters  
 198 (*Hirsch, 2007*):

$$199 \quad Q_{be} = Q_{bi} + \frac{1}{4} \left( (1 - \kappa)\psi(r_i) + (1 + \kappa)r_i\psi\left(\frac{1}{r_i}\right) \right) (Q_{bi} - Q_{bi-1}) \quad (6)$$

200 where  $Q_{be}$  is the volumetric sediment flux out of the control volume centered on  
 201 the  $i^{th}$  cross section [ $\text{m}^3 \text{s}^{-1}$ ],  $Q_{bi-1}$  and  $Q_{bi}$  are the volumetric sediment fluxes  
 202 at the  $i^{th} - 1$  (upstream) and  $i^{th}$  cross sections [ $\text{m}^3 \text{s}^{-1}$ ], and  $\kappa$  is a constant that  
 203 controls the discretization scheme. We use second order upwinding ( $\kappa = -1$ ;  
 204 (*Hirsch, 2007*)).  $r_i$  is defined as:

$$205 \quad r_i = \frac{Q_{bi+1} - Q_{bi}}{Q_{bi} - Q_{bi-1}} \quad (7)$$

206 Finally, REM uses the Superbee limiter function ( $\psi$ ):

$$207 \quad \psi = \max(0, \min(2 \times r_i, 1), \min(r_i, 2)) \quad (8)$$

208 The model uses two new stream power based equations (*Bagnold, 1980*)  
 209 for calculating bedload and total load sediment transport capacity (*Lammers*  
 210 *and Bledsoe, 2018*):

$$211 \quad q_b = 1.43 \times 10^{-4} (\omega - \omega_c)^{3/2} D_s^{-1/2} q^{-1/2} \quad (9)$$

$$212 \quad Q_t = 0.0214 (\omega - \omega_c)^{3/2} D_s^{-1} q^{-5/6} \quad (10)$$

214 where  $q_b$  is the mass sediment transport rate per unit width [ $\text{kg m}^{-1} \text{s}^{-1}$ ],  $Q_t$   
 215 is the total load [ppm],  $q$  is unit discharge [ $\text{m}^2 \text{s}^{-1}$ ],  $D_s$  is the grain size [m],  $\omega$   
 216 is specific stream power [ $\text{W m}^{-2}$ ], and  $\omega_c$  is the critical specific stream power

217 for incipient motion [ $\text{W m}^{-2}$ ]. This value is calculated for each grain size using  
 218 a stream power based hiding function:

$$219 \quad \frac{\omega_{rk^*}}{\omega_{r50^*}} = \left( \frac{D_k}{D_{50}} \right)^{-b} \quad (11)$$

220 where  $\omega_{rk^*}$  is the reference dimensionless specific stream power of the  $k^{\text{th}}$  grain  
 221 size,  $\omega_{r50^*}$  is the reference dimensionless specific stream power of the median  
 222 grain size, and  $b$  is an empirical exponent that varies from 0 (size independent  
 223 mobilization) to 1.5 (equal threshold mobilization). Details about this hiding  
 224 function are described in Supplementary Material. Stream power is made  
 225 dimensionless by:

$$226 \quad \omega_* = \frac{\omega}{\rho(g(s-1)D_s)^{3/2}} \quad (12)$$

227 where  $\rho$  is water density [ $1,000 \text{ kg m}^{-3}$ ],  $g$  is gravity [ $9.81 \text{ m s}^{-2}$ ], and  $s$  is  
 228 sediment specific gravity (usually 2.65). For each grain size,  $\omega_{rk^*}$  is calculated  
 229 from Equation 11, converted to a dimensional  $\omega$  (Equation 12), and used as  
 230  $\omega_c$  in Equation 9 or 10.

231 These sediment transport equations do not explicitly incorporate channel  
 232 roughness in the calculation of  $\omega$ ; however, channel roughness is indirectly in-  
 233 cluded in equation coefficients that were fit to field data where channel rough-  
 234 ness influences the relationship between  $\omega$  and sediment transport rates. These  
 235 coefficients (and the  $\omega_c$  term) account for the specific stream power available  
 236 to move sediment (i.e. not including stream power used to enable the flowing  
 237 water to overcome the frictional resistance of the channel boundary) (*Bagnold,*  
 238 1980).

239 **2.5.2 Cohesive incision**

240 The model uses a simple excess shear stress equation to model cohesive bed  
 241 erosion (*Partheniades*, 1965):

$$242 \quad E = k\Delta t(\tau - \tau_c) \quad (13)$$

243 where  $E$  is the erosion distance [m],  $k$  is the erodibility coefficient [ $\text{m}^3 \text{N}^{-1} \text{s}^{-1}$ ],  
 244  $\Delta t$  is the time step [s],  $\tau$  is the applied shear stress [Pa], and  $\tau_c$  is the critical  
 245 shear stress of the bed material [Pa]. The erodibility coefficient is calculated  
 246 based on an empirical relationship developed by *Simon et al.* (2010) after work  
 247 by *Hanson and Simon* (2001):

$$248 \quad k = 1.6 \times 10^{-6} \tau_c^{-0.826} \quad (14)$$

249 Equation 13 calculates erosion using excess shear stress, but this model  
 250 is based on a stream power approach. Since data on  $\tau_c$  of various soils are  
 251 widely available in the literature, and there is no work that we are aware of  
 252 defining critical stream power of cohesive material, we chose to use an empirical  
 253 equation to calculate average bed shear stresses directly from  $\omega$ .

$$254 \quad \tau = 1.96\omega^{0.72} \quad (15)$$

255 We fit this empirical equation using calculated average bed shear stress ( $\tau =$   
 256  $\gamma hS$ ) and specific stream power ( $\omega = \gamma QS/w$ ) using depth, discharge, and bed  
 257 slope measurements from a large database of rivers and flumes (*Lammers and*  
 258 *Bledsoe*, 2018). This approach assumes wide channels ( $w/d > 20$ ) and uniform  
 259 flow. Channel roughness is only indirectly accounted for in the calculation of  
 260  $\tau$  used to fit the equation. These assumptions and limitations could introduce

261 additional variability into the analysis (see Supplementary Material for more  
 262 details). This estimated value of  $\tau$  (Equation 15) is then used to calculate  
 263 cohesive erosion rates (Equation 13).

### 264 **2.5.3 Mixed non-cohesive/cohesive incision**

265 In streams with both non-cohesive and cohesive bed material, modeling bed  
 266 elevation changes is more complicated. Sand and gravel can be deposited on  
 267 top of cohesive material and transport capacity may not be representative of  
 268 actual sediment movement if the stream is supply limited (e.g. no alluvium on  
 269 the bed). To account for these processes, REM calculates the actual volume of  
 270 sediment transported out of a cross section as the minimum of the transport  
 271 capacity ( $Q_{be}$ , Equation 6) and the sediment available for transport (sum of the  
 272 incoming sediment from upstream and bank erosion and of the available non-  
 273 cohesive alluvium on the channel bed). The available non-cohesive sediment  
 274 is calculated as:

$$275 \quad Q_{bk,avail} = \frac{[(\eta - L_a - \eta_{cohesive})f_k + L_a F_k] w_{avg}(1 - \lambda)\Delta x}{\Delta t} \quad (16)$$

276 where  $Q_{bk,avail}$  is the volume of bed sediment of the  $k^{th}$  size class available for  
 277 transport, converted to a rate [ $\text{m}^3 \text{s}^{-1}$ ],  $\eta_{cohesive}$  is the elevation of the cohesive  
 278 layer [m],  $\Delta x$  is the distance to the next cross section [m], and  $\Delta t$  is the time  
 279 step [s]. If  $\eta_{cohesive} = \eta$  or  $F_k$  or  $f_k = 0$ , there is no available bed sediment.

## 280 **2.6 Bank erosion and failure**

281 The model simulates two fundamental bank erosion mechanisms: fluvial ero-  
 282 sion and mass wasting. Bank erosion is calculated at the discharge time step  
 283 (e.g. daily, hourly, 15-minute, etc.), independent of the time step for bed

284 aggradation and degradation.

### 285 **2.6.1 Fluvial erosion**

286 Fluvial erosion is the removal of bank soil by flowing water once the resistance  
287 threshold of the bank material has been exceeded. Similar to cohesive inci-  
288 sion, REM models fluvial bank erosion using an excess shear stress approach  
289 (Equation 13). We use an empirical equation to calculate average wall (i.e.  
290 bank) shear stress directly from  $\omega$ .

$$291 \quad \tau_w = 0.83\omega^{0.65} \quad (17)$$

292 where  $\tau_w$  is the shear stress acting on the channel bank [Pa]. This equation  
293 was fit using data on average measured wall shear stress and stream power  
294 calculated from discharge, width, and bed slope from six flume studies. This  
295 approach assumes uniform flow and is unfortunately limited to flume data  
296 since there are no direct field measurements of shear stress at the channel  
297 banks (see Supplementary Material for more details). A user specified fraction  
298 of the eroded bank material is added to the bed material load (i.e. sand and  
299 coarser). The remainder is exported from the watershed as washload.

### 300 **2.6.2 Mass failure**

301 Planar mass failure is modeled using a modified version of the Bank Stability  
302 and Toe Erosion Model (BSTEM) (*Simon et al.*, 2000, 2011). BSTEM esti-  
303 mates bank stability using a limit equilibrium analysis to calculate a factor  
304 of safety — the ratio of resisting to driving forces acting on the bank. The  
305 bank is predicted to be stable if the factor of safety is greater than one and  
306 unstable if it is less than one. BSTEM accounts for several processes that

307 increase or decrease bank strength, including: (1) water pressure in soil pores  
 308 (positive pressure decreasing stability and negative pressure increasing stabil-  
 309 ity); (2) confining pressure of the streamflow; and (3) increased soil cohesion  
 310 from plant roots. Although the simplified version of BSTEM accounts for the  
 311 first two processes, we exclude vegetation effects since they have a negligible  
 312 effect on BSTEM output in sensitivity analyses (*Lammers et al.*, 2017) and  
 313 increase computation time and data requirements. This gives the following  
 314 factor of safety equation:

$$315 \quad FS = \frac{cL + (\mu_a - \mu_w)L \tan \phi^b + [W \cos \beta - \mu_a L + P \cos(\alpha - \beta)] \tan \phi'}{W \sin \beta - P \sin(\alpha - \beta)} \quad (18)$$

316 where  $c$  is apparent cohesion [kPa],  $L$  is the length of the failure plane [m],  $W$  is  
 317 the weight of the soil block per unit bank length [kN m<sup>-1</sup>],  $P$  is the hydrostatic  
 318 pressure force of the water in the stream [kN m<sup>-1</sup>],  $\beta$  is the failure plane angle  
 319 [degrees from horizontal],  $\alpha$  is the bank angle [degrees from horizontal],  $\mu_a$   
 320 is the pore-air pressure [kPa],  $\mu_w$  is the pore-water pressure [kPa],  $\phi'$  is the  
 321 effective friction angle [degrees], and  $\phi^b$  is an angle describing the rate of  
 322 increase of shear strength from matric suction (assumed to be 15° (*Lammers*  
 323 *et al.*, 2017)).

324 BSTEM uses a horizontal layer method to calculate a net factor of safety  
 325 for the bank, accounting for different soil layers. The simplified version follows  
 326 this same approach but uses simplified bank geometry (see Section 2.1), as-  
 327 sumes only two soil layers (main bank and toe), and assumes the failure plane  
 328 intersects the bottom of the bank or top of the bank toe. For a more detailed  
 329 description of BSTEM see *Midgley et al.* (2012); *Daly et al.* (2015a); *Simon*  
 330 *et al.* (2000, 2011).

### 331 2.6.3 Coupled bank erosion modeling

332 Fluvial erosion and mass failure are linked processes. Fluvial erosion is typ-  
333 ically higher at the bank toe, which steepens the bank and makes it more  
334 susceptible to failure. After a bank fails, the collapsed soil is often deposited  
335 at the base of the bank toe, temporarily protecting the bank from fluvial ero-  
336 sion (*Thorne, 1982*). Bank erosion also controls channel width, which creates  
337 a feedback between specific stream power and bank erosion. Fluvial erosion  
338 widens the channel bottom, reducing  $\omega$ . Mass failure deposits soil at the base  
339 of the toe, narrowing the channel bottom (increasing  $\omega$ ) but increases channel  
340 top width, which can increase total channel area as the failed soil block is  
341 eroded.

342 We account for these dynamic and coupled processes in two ways. First,  
343 fluvial erosion is assumed to be a maximum at the base of the toe. This  
344 node is eroded the most (widening the channel bottom), with zero erosion  
345 at the top, creating a steeper toe angle. If the new toe angle exceeds  $90^\circ$   
346 (e.g. an undercut bank), the overhanging bank immediately collapses, and the  
347 bank and toe angles and bottom width are updated accordingly. This bank  
348 steepening, coupled with bank heightening from bed erosion, increases the  
349 chance of mass failure. If the bank fails, the collapsed soil block is deposited at  
350 the bank toe — narrowing the channel bottom — and the toe angle is reduced  
351 to conserve the mass of the failed block. If the failed block is too large to  
352 fit at the base of the toe, any extra bank material is stored in a “tank”. No  
353 further fluvial erosion is allowed until the material in this “tank” is eroded  
354 (*Lai et al., 2015*). The area of the failed soil block is calculated based on bank  
355 geometry and the calculated failure plane angle from the BSTEM sub-routine.  
356 See Supplementary Material for more details.

## 357 2.7 Meandering

358 In addition to incising, meandering channels can also reduce their slope via  
 359 lateral migration. REM incorporates this process by simulating meander mi-  
 360 gration from fluvial erosion, allowing the channel to increase its length, thereby  
 361 decreasing its slope.

362 The effects of curvature on shear stress distributions can be simulated by  
 363 directly modeling flow mechanics, typically using a high resolution 1-D or 2-  
 364 D model (*Crosato, 2007; Huang et al., 2014; Darby et al., 2002*); however,  
 365 REM does not directly calculate boundary shear stress distributions, meaning  
 366 it cannot mechanistically account for the effects of bend geometry on bank  
 367 erosion. Instead, we use an empirical equation to find the maximum shear  
 368 stress on the outside of bends (*Army Corps of Engineers, 1970*):

$$369 \quad \tau_{max} = 2.65\tau_w \left( \frac{R_c}{w} \right)^{-1/2} \quad (19)$$

370 where  $\tau_{max}$  is the maximum bend shear stress [Pa],  $\tau_w$  is the wall shear stress  
 371 calculated using Equation 17 [Pa],  $R_c$  is the bend radius of curvature [m], and  
 372  $w$  is the channel bottom width [m].

373 Equation 19 is based on only five small flume datasets, and more recent  
 374 analysis suggests that no single relationship adequately predicts maximum  
 375 shear stress in bends (*Thornton et al., 2012*). Field studies, however, show  
 376 that radius of curvature is a major control on channel migration rate (*Nanson*  
 377 *and Hickin, 1983, 1986; Hooke, 1997*). We therefore used Equation 19 —  
 378 imperfect as it may be — to account for this process.

379 Including meander dynamics in the model requires two user inputs for each  
 380 reach. Radius of curvature and sinuosity are used to build and track changes  
 381 in channel planform. We conceptualize the channel as a series of circular arc

382 segments, where each arc is one bend. The number of bends between each  
 383 cross section is calculated from the user defined cross section spacing, radius  
 384 of curvature, and sinuosity using equations describing circular arcs. Meander  
 385 migration modeling will not be physically realistic unless there is at least one  
 386 full meander bend between adjacent cross sections (i.e.  $dx$  must be sufficiently  
 387 long depending on the supplied  $R_c$  and sinuosity); however, more than one  
 388 bend can be present between adjacent cross sections. Additionally, REM does  
 389 not account for complex bend geometry, down-valley migration, or meander  
 390 cutoffs. See Supplementary Material for more details.

## 391 2.8 Knickpoint migration

392 Knickpoints or headcuts are small waterfalls or locally steep stream sections  
 393 where bed erosion is especially pronounced. These vertical drops tend to  
 394 migrate upstream as they erode, and can initiate substantial bank erosion  
 395 (*Schumm et al.*, 1984). We use a simple, empirical model to simulate headcut  
 396 advance (*Allen et al.*, 2018):

$$397 \quad hc_m = 0.00126 \times Ehc \times Q_{cum}^{0.5} \times H_{hc}^{0.225} \quad (20)$$

398 where  $hc_m$  is the headcut migration distance [m],  $Q_{cum}$  is cumulative daily dis-  
 399 charge [m<sup>3</sup>] (calculated from the user-supplied discharge series),  $H_{hc}$  is headcut  
 400 height [m] (user supplied), and  $Ehc$  is an erodibility resistance parameter that  
 401 is a function of soil erodibility and vegetation cover:

$$402 \quad Ehc = 17.8 + 16.5K_d - 15RCF \quad (21)$$

403 where  $K_d$  is soil erodibility [cm h<sup>-1</sup> Pa<sup>-1</sup>] (user supplied) and  $RCF$  is a root  
 404 cover density factor (dimensionless, 0 – 1.4). While channel beds are usually

405 unvegetated, using  $RCF = 0$  sometimes requires a negative  $K_d$  value to accu-  
406 rately predict knickpoint migration rates; therefore, REM assumes  $RCF = 1.4$   
407 and requires users to calibrate  $K_d$  to match observed migration rates (see Sup-  
408 plementary Material for more details). This sub-routine requires the user to  
409 input the initial location (i.e. reach and station), elevation, height, and  $K_d$   
410 of each knickpoint. The position of each knickpoint is tracked as it migrates  
411 upstream (including into any tributaries) and bed elevations are adjusted ac-  
412 cordingly; however, knickpoint height does not change. As the knickpoint  
413 migrates, the volume of eroded sediment is calculated based on migration dis-  
414 tance, knickpoint height, and channel width. A user-specified proportion of  
415 this eroded sediment is added to the bed material load (e.g. sand and gravel),  
416 while the rest is considered washload (e.g. silt and clay) that is exported to  
417 the watershed outlet.

### 418 **3 Model Testing**

#### 419 **3.1 Generic model test**

420 While REM is suited for application to small watersheds, there are no datasets  
421 for these types of systems with the necessary input data and sufficiently de-  
422 tailed monitoring of channel evolution across decadal time scales. Therefore,  
423 we applied REM to a simple, generic watershed to test its applicability in this  
424 context and confirm that REM can simulate the type of channel evolution  
425 we expect based on physical understanding of these processes. We simulated  
426 channel evolution in a generic watershed with six distinct reaches. The total  
427 channel length of 10.4 km corresponds to an approximate drainage area of  
428  $6.5 \text{ km}^2$  (*Hack* (1957, Eq. 3)). Initial grain size (2 mm), slope (0.003), and  
429 bank height (2 m) were constant throughout the watershed. Channels were

430 assumed to be straight, with no meandering. Discharge was steady at a sta-  
431 tion but increased moving downstream. Upstream sediment supply was equal  
432 to the transport capacity of the undisturbed channel. A full table of model  
433 inputs is included in Supplementary Material. Beginning with an initially sta-  
434 ble channel, we dropped the downstream elevation by 2.5 m, including a 1.5  
435 m tall knickpoint, and modeled 20 years of resulting channel evolution. Given  
436 this base-level drop, we expected significant channel incision and bank failure,  
437 with the area of disturbance migrating upstream with the knickpoint.

## 438 **3.2 Colorado River**

### 439 **3.2.1 Study area**

440 Parker Dam, completed in 1938, is one of several large dams on the lower  
441 Colorado River built for water supply and power generation. Like most hy-  
442 dropower dams, Parker Dam altered flows and trapped sediment. The com-  
443 bined effects of these changes caused the Colorado River downstream from the  
444 dam to incise while the bed material coarsened (*Williams and Wolman, 1984*).

### 445 **3.2.2 Data collection and modeling**

446 Initial longitudinal profiles and grain size data for a 144 km reach downstream  
447 of Parker Dam were obtained from two U.S. Bureau of Reclamation reports  
448 (*U.S. Bureau of Reclamation, 1948, 1950*). We used a single grain size distri-  
449 bution for the entire reach. The pre-dam grain size data were all finer than  
450 2 mm; however, later observations included gravel up to 32 mm, presumably  
451 unearthed as the channel incised. Since the channel coarsened over time (and  
452 REM does not account for bed stratigraphy), we adjusted the initial grain size  
453 distribution to include a small amount of coarser material. Average channel  
454 widths were calculated from 1938 aerial photographs (*Norman et al., 2006*)

455 and contemporary satellite imagery (*Google Earth Pro*, 2017).

456 We ran the model from 1938 – 1975 using daily discharge data from USGS  
457 gage 09427520. Only bed elevation changes were modeled, no bank erosion or  
458 meander migration was included. We used a cross section spacing of 2,000 m  
459 and a time step of 2,400 seconds. The total load sediment transport equation  
460 was used for all grain sizes  $< 4$  mm and the bedload equation for all coarser  
461 grain sizes. We assumed no sediment inputs from upstream (i.e. the dam  
462 trapped all sediment). Model results were compared to measured longitudinal  
463 profiles for a 66 km subreach (from 27 – 93 km downstream of Parker Dam)  
464 (*Williams and Wolman*, 1984). We also compared modeled  $D_{50}$  to measured  
465 values from three cross sections (26, 64, and 130 km downstream of Parker  
466 Dam) (*Williams and Wolman*, 1984).

467 In addition to the single model run described above, we ran 5,000 Monte  
468 Carlo simulations varying the initial grain size distribution, channel width,  
469 floodplain geometry, roughness values, and the exponent and coefficient of the  
470 hiding function. Sobol' quasi-random numbers (using the “gsl” R package;  
471 (*Hankin*, 2006)) were used to generate these variables since they provide more  
472 uniform coverage than simple random numbers (*Sobol'*, 1976).

### 473 **3.3 North Fork Toutle River**

#### 474 **3.3.1 Study area**

475 The North Fork Toutle River (NFTR) was a typical gravel bed mountain river  
476 draining the northern slope of Mount St. Helens. On May 18, 1980, after  
477 several months of increased volcanic activity, a massive debris avalanche trig-  
478 gered an eruption. This deposited about  $2.8 \text{ km}^3$  of sediment across the upper  
479 part of the NFTR, with depths averaging 45 m but reaching 140 m in some  
480 areas (*Simon et al.*, 1999). This massive sediment deposit buried the channel

Table 1: Model inputs for the Colorado River.

Variable	Single Run Value	Monte Carlo Range	Source
Width [m]	220	170 – 270	Aerial Imagery
Floodplain width [m]	1,000	500 – 1,500	Aerial Imagery
Floodplain angle [degrees]	0	0 – 2	Assumed
Channel roughness (n)	0.04	0.03 - 0.05	Assumed
Floodplain roughness (n)	0.06	0.05 – 0.07	Assumed
Hiding function coefficient ( $\omega_{c*}$ )	0.1	lognormal; mean = -2.3, sd = 0.4	<i>Lammers and Bledsoe</i> (2018)
Hiding function exponent ( $b$ )	0.8	0.3 – 1.2	Supplementary Material
Bank height [m]	4	–	Assumed
Bank angle [degrees]	90	–	Assumed

481 network of the upper NFTR. Over the following months and years, channels  
 482 reformed from surface runoff, pumping from Spirit Lake, and multiple lahars  
 483 (volcanic debris or mudflows) (*Simon et al.*, 1999). To prevent sedimenta-  
 484 tion in the downstream Cowlitz and Columbia Rivers, two sediment retention  
 485 structures were built on the NFTR. The first (N1) was built in summer 1980  
 486 and operated until it was breached in 1982. A second, more permanent sed-  
 487 iment retention structure (the “SRS”), was built in 1987 and was essentially  
 488 filled by 1998 (*Simon et al.*, 1999; *Zheng et al.*, 2014). To prevent overtopping  
 489 of Spirit Lake, water was released into a NFTR tributary (see TR065 and  
 490 TR070 in Figure 2) at a constant rate of  $5.1 \text{ m}^3 \text{ s}^{-1}$  from November 1982 to  
 491 August 1983, causing extreme incision (up to 34 m) (*Paine*, 1984). For more  
 492 details on the eruption and its effects, see *Simon et al.* (1999), *Lipman and*  
 493 *Mullineaux* (1981), and *Major et al.* (2018).

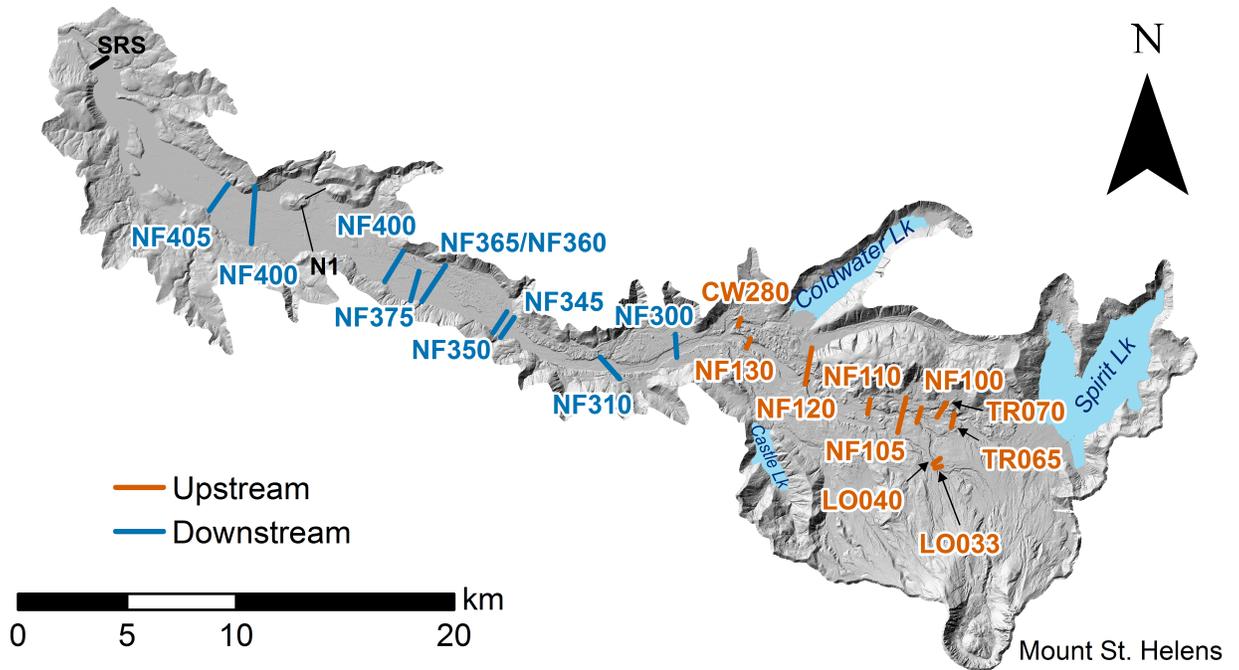


Figure 2: North Fork Toutle River watershed upstream of the SRS. Shaded relief from 2009 LiDAR data (Mosbrucker, 2014). Modeled cross sections are differentiated into “upstream” and “downstream” which will be referenced in certain result figures. Flow is from right to left.

### 494 3.3.2 Data collection and modeling

495 We modeled evolution of the upper NFTR and its tributaries from September  
 496 1983 – August 2011. We started the model 3.5 years after the eruption because  
 497 there were more cross section data and this avoided several lahars and pump-  
 498 ing from Spirit Lake which had complicated effects on channel adjustment.  
 499 Following the eruption, the USGS and Army Corps of Engineers established  
 500 several permanent cross sections which have been surveyed at irregular inter-  
 501 vals since 1980. We used these data (Mosbrucker *et al.*, 2015) for 19 cross  
 502 sections on the NFTR and its tributaries to estimate initial channel and flood-  
 503 plain geometry (Figure 2). Each of these cross sections defined a model reach  
 504 with unique inputs. Initial bed grain size distributions were estimated from  
 505 field data (U.S. Army Corps of Engineers, 1988; Paine, 1984). We used the

506 daily discharge series at the SRS constructed by *Simon and Klimetz* (2012)  
507 from several nearby USGS gages. These values were scaled by drainage area  
508 to give discharge in each reach. We also used bank sediment properties ( $\tau_c$ ,  
509  $k$ , cohesion, unit weight, and  $\phi'$ ) and Manning's  $n$  values estimated by *Simon*  
510 *and Klimetz* (2012). We assumed no hillslope sediment supply since upland  
511 erosion peaked soon after the eruption and remained negligible compared to  
512 in-stream sediment sources (*Simon et al.*, 1999).

513 We used a model cross section spacing of 500 m, a time step of 2,400 sec-  
514 onds, and the bedload sediment transport equation. Sediment specific gravity  
515 was adjusted to account for lighter volcanic material (*Simon and Klimetz*,  
516 2012, Eq. 24). Finally, we assumed that 100% of the eroded bank material  
517 consisted of bed material load. Non-cohesive bed erosion, fluvial bank ero-  
518 sion, and bank failure were modeled but no meander migration or knickpoint  
519 erosion was included. We ran 5,000 Monte Carlo simulations to quantify un-  
520 certainty, varying initial grain size, channel width, channel roughness, hiding  
521 function parameters, and bank soil properties. Model accuracy was assessed  
522 by comparing modeled bed elevations to observations (from survey data and  
523 a 1 m DEM from 2009 (*Mosbrucker*, 2014)). Other parameters (e.g.  $D_{50}$  and  
524 width) were not used because only sparse grain size data were available and  
525 the simplified model cross sections could not adequately represent the complex  
526 observed channel geometries.

### 527 **3.4 Sensitivity analysis**

528 For both case studies, we performed sensitivity analyses to determine which  
529 variables most influence model output. We used a density-based method that  
530 estimates parameter sensitivity based on differences between conditional and  
531 unconditional probability density functions of model output (*Plischke et al.*,

Table 2: Model inputs for the North Fork Toutle River. Each of the 19 cross sections have unique inputs so the median and range of the single model run and range of the Monte Carlo runs are shown.

Variable	Single Run Median	Single Run Range	Monte Carlo Range	Monte Carlo Method
Width [m] <sup>a</sup>	11.6	4.0 – 263.1	2.0 – 394.6	±50% initial
$D_{50}$ [mm] <sup>b</sup>	2.26	0.79 – 2.95	0.24 – 7.20	25 <sup>th</sup> – 75 <sup>th</sup> %tile of all GSD
$\sigma_g$ [mm] <sup>b</sup>	7	6.4 – 10.1	6.0 – 9.3	25 <sup>th</sup> – 75 <sup>th</sup> %tile of all GSD
Bank $\tau_c$ [Pa] <sup>c</sup>	12	5.5 – 32.1	2.8 – 48.1	±50% initial
Bank Erodibility [m <sup>3</sup> N <sup>-1</sup> s <sup>-1</sup> ] <sup>c</sup>	2.0e-07	9.1e-08 – 3.9e-07	4.6e-08 – 5.8e-07	±50% initial
Bank Cohesion [kPa] <sup>c</sup>	0	0.0 – 0.0	0.0 – 1.0	0 – 1
Bank $\phi'$ [degrees] <sup>c</sup>	30	25.2 – 34.0	12.6 – 51.0	±50% initial
Bank Soil unit weight [kN m <sup>-3</sup> ] <sup>c</sup>	19.1	18.1 – 19.8	9.1 – 29.7	±50% initial
Channel roughness (n) <sup>c</sup>	0.04	0.030 – 0.065	0.015 – 0.065	50 – 100% initial
Hiding function coefficient ( $\omega_{c*}$ ) <sup>d</sup>	0.1	–	0.025 – 0.4	lognormal; mean = -2.3, sd = 0.4
Hiding function exponent (b) <sup>e</sup>	0.8	–	0.3 – 1.2	Uniform

<sup>a</sup>XS Data (Mosbrucker *et al.*, 2015)

<sup>b</sup>Full grain size distribution. TR065 – NF120: Paine (1984); others: *U.S. Army Corps of Engineers* (1988)

<sup>c</sup>Simon and Klimetz (2012)

<sup>d</sup>Lammers and Bledsoe (2018)

<sup>e</sup>Supplementary Material

2013). Variables with a greater effect have bigger differences in these density  
 532 functions. This method has two advantages over other approaches: it requires  
 533 no unique input parameter sampling design (e.g. Saltelli *et al.*, 2010) and it  
 534 requires much fewer model runs (e.g. Pianosi and Wagener, 2015). We there-  
 535 fore used the output from Monte Carlo simulations to compute the sensitivity  
 536 indices. Bootstrapping with 1,000 replicates was used to correct for bias and  
 537 calculate uncertainty in sensitivity indices. Finally, we incorporated a dummy  
 538 variable to determine the threshold for influential variables. This dummy vari-  
 539 able is a simple set of random numbers that has no influence on the model and  
 540 accounts for noise in the sensitivity analysis (Plischke *et al.*, 2013; Khorashadi  
 541

542 *Zadeh et al.*, 2017).

543 These sensitivity analyses are only applicable for each individual case study  
544 because each system has unique boundary conditions and relevant processes.  
545 Because of this, it is necessary to perform a sensitivity analysis separately for  
546 every model application to understand what variables are most influential in  
547 each case.

548 For the Colorado River, we quantified sensitivity for two model outputs:  
549 bed elevation and bed  $D_{50}$ . For the NFTR, channel width was also included.  
550 To give a single output value for each model run, we summed the absolute  
551 value of the total change in the variable (e.g. bed elevation) for all cross  
552 sections. For the NFTR, a separate sensitivity analysis was performed for each  
553 reach. For comparison among reaches, we standardized the sensitivity indices  
554 by taking the difference between the index for each input and the “dummy”  
555 variable, divided by the dummy variable index. The variables included in each  
556 sensitivity analysis are shown in Tables 1 and 2. All analyses of model outputs  
557 were done using R version 3.4.1 (*R Core Team*, 2018).

## 558 **4 Results**

### 559 **4.1 Generic model test**

560 Figure 3 shows changes in bed elevation, channel width, and width-depth  
561 ratio for the modeled test case. The zone of disturbance migrated upstream  
562 through time, with changes in channel width lagging slightly behind changes  
563 in bed elevation. The greatest channel changes were at the far downstream  
564 end — the area with greatest disturbance.

565 Figure 4 shows changes in stream power, bed elevation, and channel width  
566 at two locations (indicated in Figure 3(a)). For both areas, stream power

567 was relatively constant until the knickpoint passed, after which stream power  
 568 spiked before slowly decreasing. Bed elevation and width show similar trends,  
 569 with abrupt changes following passage of the knickpoint. After the initial  
 570 drop in channel elevation, both cross sections showed a period of aggradation  
 571 followed by renewed incision. Sediment export from the watershed peaked  
 572 early in the simulation and then decreased exponentially.

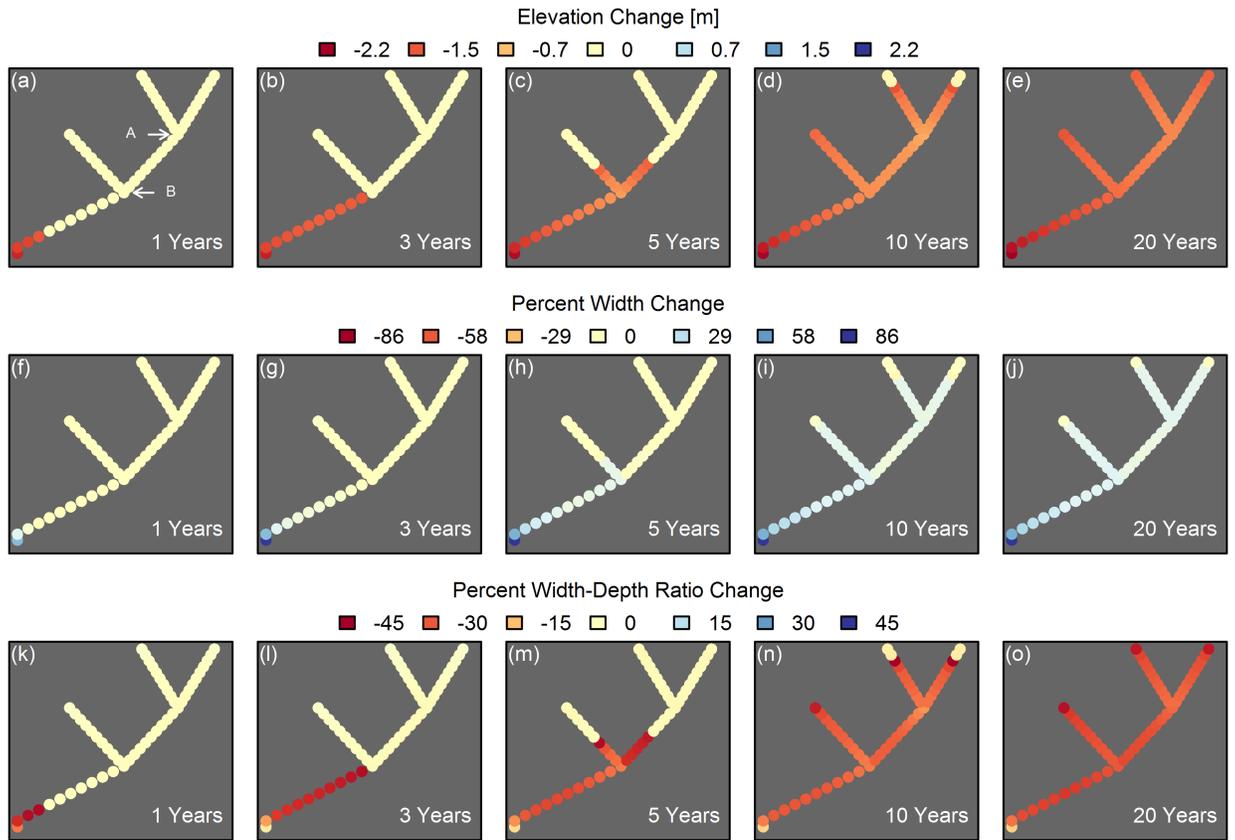


Figure 3: Modeled change in bed elevation (a–e), channel width (f–j), and width-depth ratio (k–o) throughout the generic channel network for five of the simulation years. Each point represents one cross section.

## 573 4.2 Colorado River

574 Figure 5 shows the error in predicted bed elevation and bed  $D_{50}$ . The median  
 575 of the Monte Carlo simulations generally has lower error than the single model

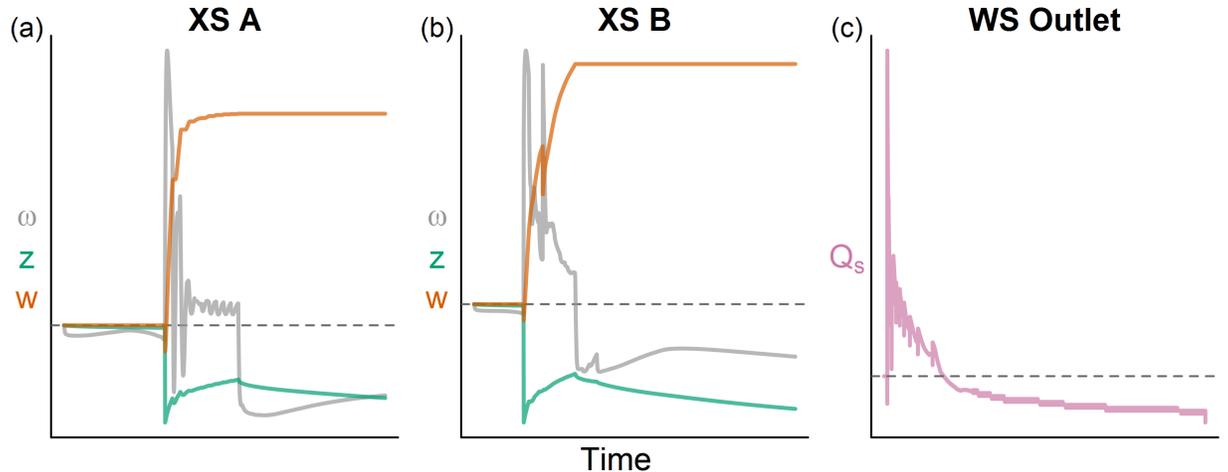


Figure 4: Changes over time in specific stream power ( $\omega$ ), bed elevation ( $z$ ), and channel width ( $w$ ) for two locations (A & B, see Figure 3(a)). Sediment discharge at the watershed outlet is also shown (c). All variables are scaled to their starting value (horizontal lines). In (a) and (b),  $\omega$  increases because slope increases slightly later in the simulation, despite the channel incising.

576 run. For the bed elevation results (Figure 5(a-c)), model error decreases over  
 577 the course of the simulation, although the uncertainty increases. For the bed  
 578  $D_{50}$  results (Figure 5(e-g)), uncertainty is high for all sites but error generally  
 579 decreases moving downstream.

580 Figure 6 shows the results of the sensitivity analysis for bed elevation and  
 581 bed  $D_{50}$  outputs. Initial  $D_{50}$ , geometric standard deviation of the grain size  
 582 distribution ( $\sigma_g$ ), and channel width have the largest influence on predicted  
 583 bed elevation changes. Initial  $D_{50}$  and  $\sigma_g$  have a significant effect on the final  
 584  $D_{50}$  while channel width and the hiding function parameters ( $\omega_c$  and  $b$ ) have  
 585 only a small effect. Floodplain angle has a moderate effect on both outputs.

### 586 4.3 North Fork Toutle River

587 Figure 7 shows modeled and observed bed elevations for the NFTR. The model  
 588 predicted channel change well at the majority of sites, mostly in the upper half  
 589 of the watershed (CW280 – NF130, NF350, and NF405). These cross sections

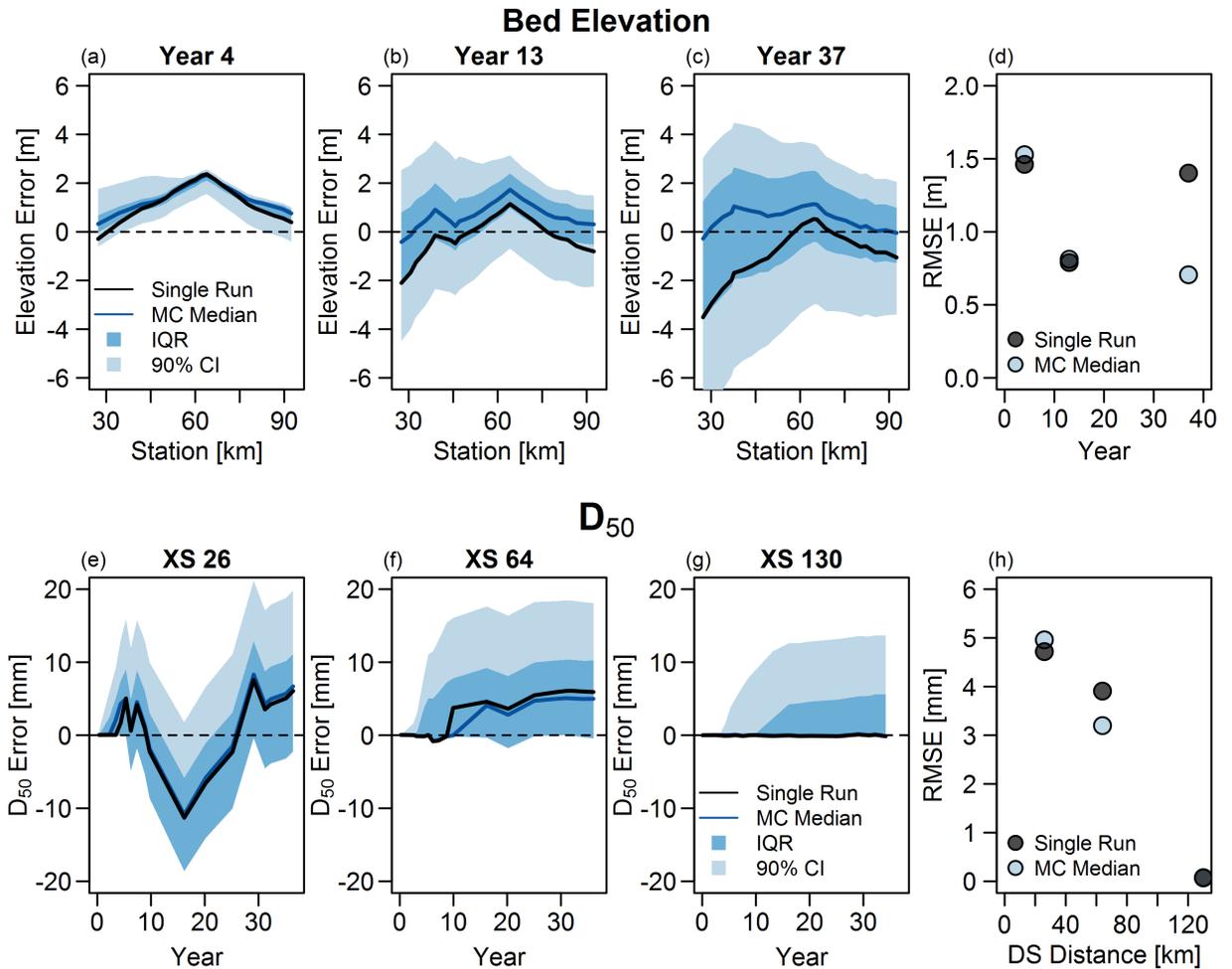


Figure 5: Top row: error in modeled bed elevation along the Colorado River for three simulation years (a–c) for the single model run and Monte Carlo results (median, inter-quartile range (IQR) and 90% confidence interval). Bottom row: error in modeled bed  $D_{50}$  over the course of the simulation for three cross sections (e–g). Parts (d) and (h) show the RMSE for the single model result and the median of the Monte Carlo simulations. For XS 130 (h), the RMSE points overlap.

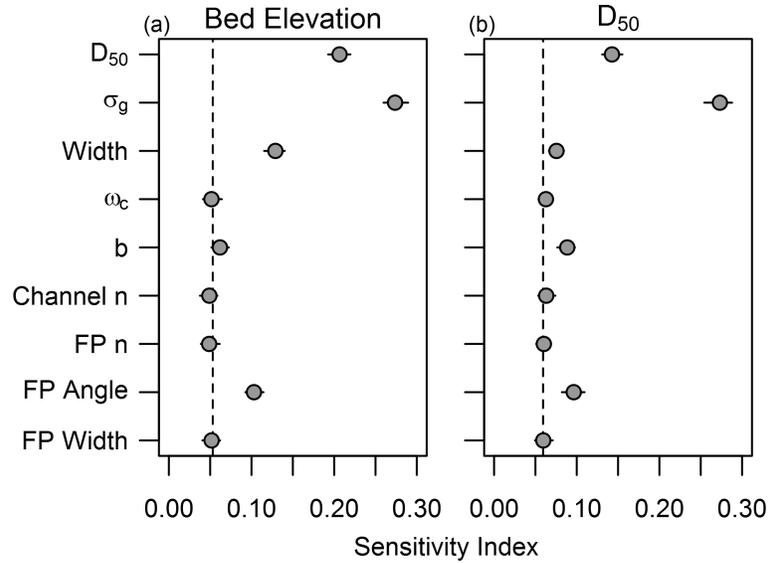


Figure 6: Sensitivity results for the Colorado River for modeled bed elevation (a) and bed  $D_{50}$  (b). Points at or to the left of the vertical dashed line had no influence on model output. Points are bias corrected sensitivity indices with ranges estimated from bootstrapping.

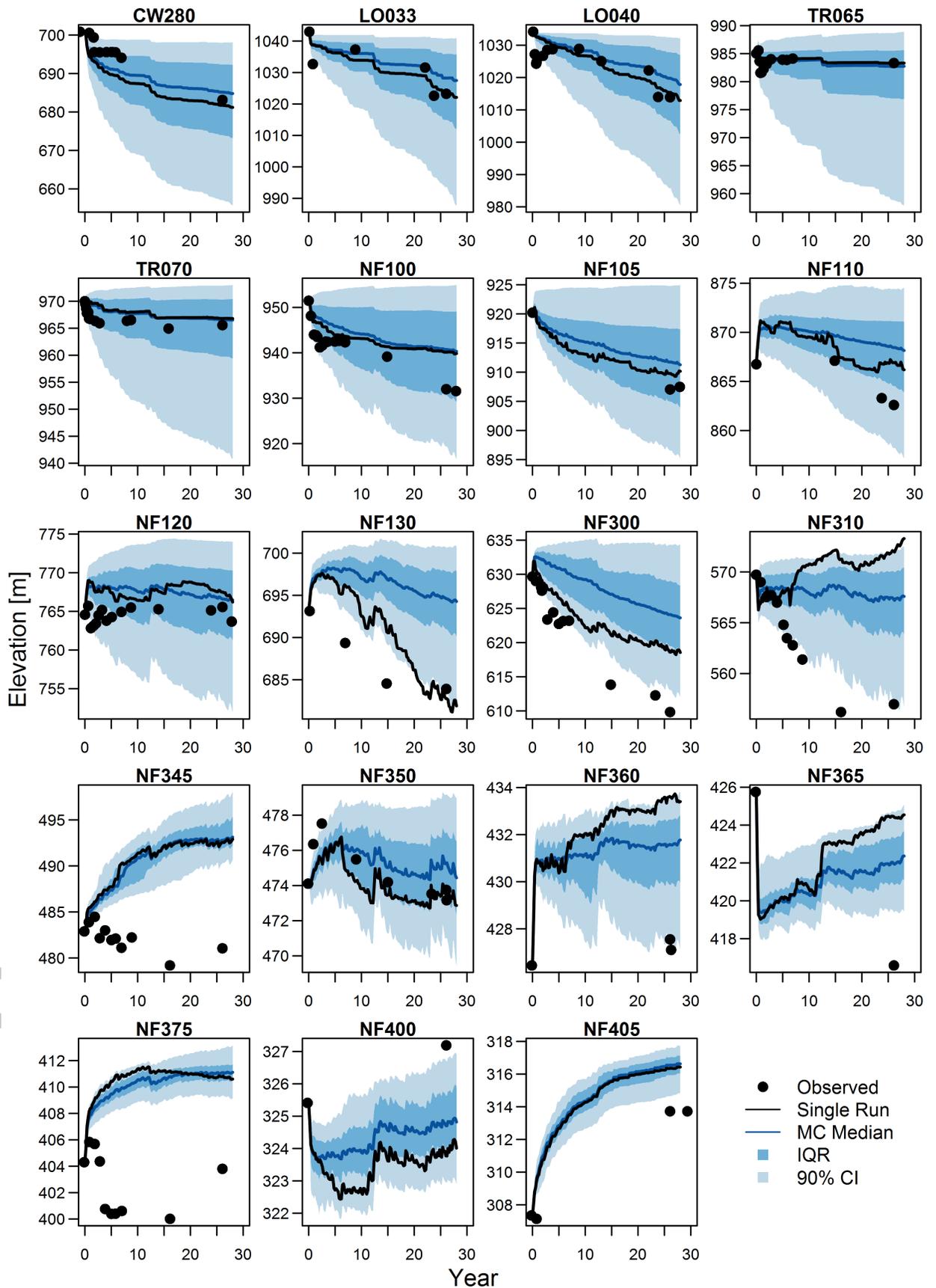
590 have generally low error in predicted final bed elevations normalized to the  
 591 magnitude of total bed elevation change (Figure 8). Median normalized error  
 592 is 43%, but is only 22% for reaches CW280 – NF130. For the remainder of  
 593 the cross sections, the model did a relatively poor job of predicting changes in  
 594 bed elevation.

595 There is substantial uncertainty for all sites, especially in the upper half of  
 596 the watershed (e.g. > 20 m wide 90% confidence interval). The magnitude of  
 597 uncertainty is generally less in the lower portion of the watershed where the  
 598 magnitude of aggradation and incision was smaller.

599 The sensitivity results for the NFTR model runs are summarized in Figure

---

Figure 7 (following page): Observed and modeled bed elevations for 19 cross sections in the NFTR (generally shown in order of upstream to downstream). Modeled results are shown for the single model run and median, IQR, and 90% CI for the Monte Carlo simulations. Model results start to diverge significantly from observations at NF300.



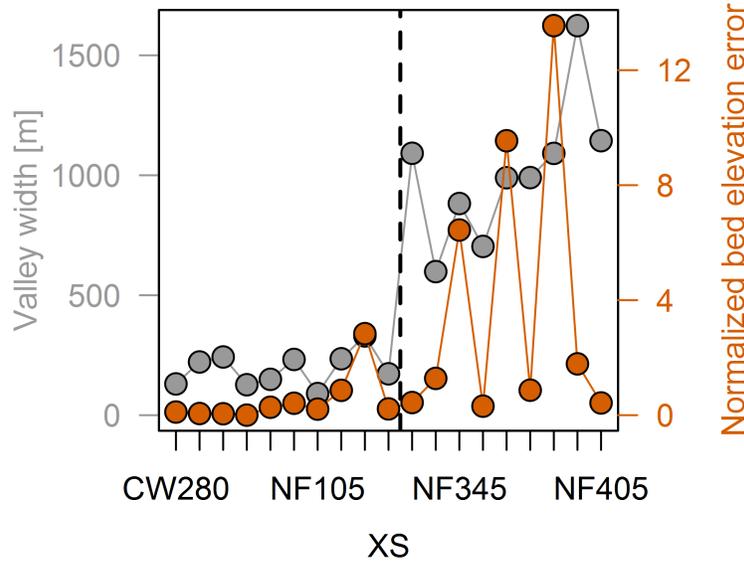


Figure 8: Valley width and error in modeled bed elevation for each cross section in the NFTR (generally shown in order of upstream to downstream). Errors are generally higher where the valley becomes significantly wider.

600 9. Modeled bed elevation was influenced most by bank  $\tau_c$ , bank cohesion, and  
 601 hiding function parameters ( $\omega_c$  and  $b$ ). Channel width and initial bed grain  
 602 size ( $D_{50}$  and  $\sigma_g$ ) also had a minor effect. Modeled  $D_{50}$  was influenced by  
 603 similar variables, but the hiding function parameters, initial grain size, and  
 604 bank cohesion had a much larger effect. For modeled channel width, bank  $\tau_c$   
 605 was by far the most influential but initial width and  $\omega_c$  also contributed to  
 606 some observed model uncertainty.

## 607 5 Discussion

### 608 5.1 REM predicts realistic channel change

609 The generic test case and field applications show that REM can realistically  
 610 and accurately simulate channel evolution — in the absence of avulsions and  
 611 extensive lateral migration. First, the model test case matches physical un-  
 612 derstanding of channel evolution in response to disturbance (in this case, base

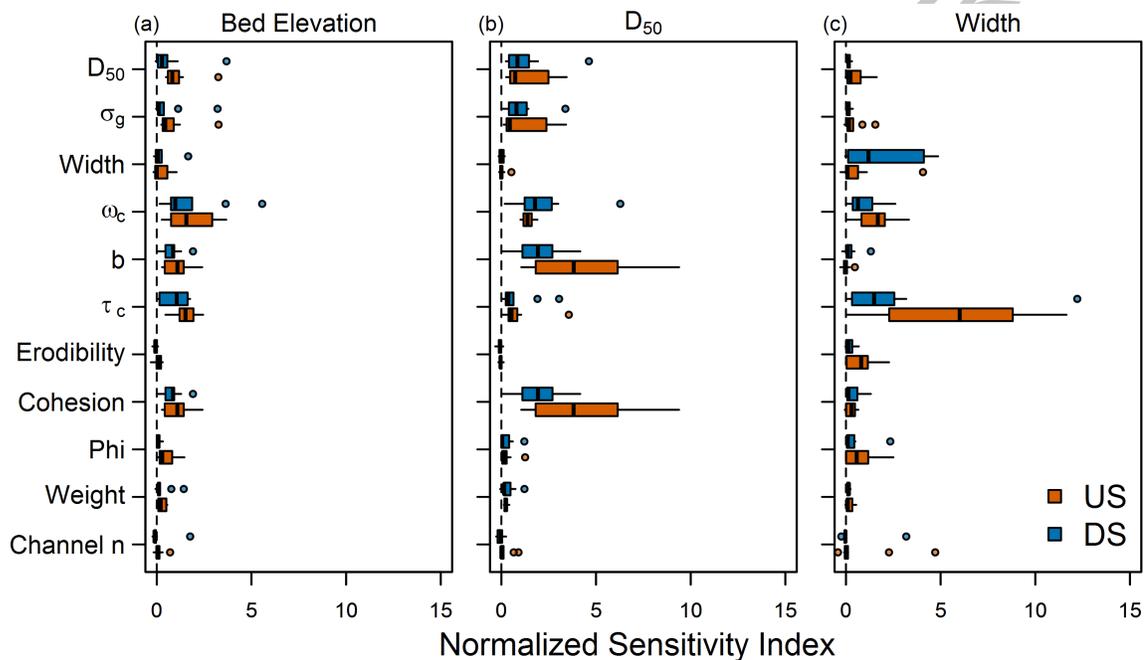


Figure 9: Sensitivity results for the NFTR modeling for: (a) bed elevation, (b)  $D_{50}$ , and (c) channel width. Boxplots summarize sensitivity indices for each of the 19 reaches, separated by the upstream (upstream of NF130), and downstream channel (NF300 through NF405). This is the same division as the vertical line in Figure 8. Cohesion, phi, and weight results are shown for the higher value of either the bank or bank toe. Vertical dashed line is a normalized sensitivity index of zero (i.e. no influence on model output).

613 level drop). The greatest channel change is observed nearest the disturbance,  
614 and rates and magnitudes of erosion decline nonlinearly with time and dis-  
615 tance upstream (Figure 3). This is consistent with conceptual models of chan-  
616 nel evolution (*Schumm et al.*, 1984; *Simon*, 1989), and experimental (*Begin*  
617 *et al.*, 1981), numerical (*Simon and Darby*, 1997), and field studies (*Simon*  
618 *and Rinaldi*, 2006). In general, the channel incises which destabilizes the  
619 banks, leading to rapid widening (Figure 4). As the upstream channel be-  
620 gins to erode, large amounts of sediment are delivered downstream, causing  
621 aggradation. After this upstream sediment supply is cut off (i.e. upstream  
622 channel erosion has slowed or stopped), channel incision begins again. This  
623 shift between degradation and aggradation depending on sediment delivery  
624 from upstream is an important control on channel evolution, as demonstrated  
625 in both numerical modeling (*Simon and Darby*, 1997) and field studies (*Simon*  
626 *and Hupp*, 1992). Downstream aggradation can help stabilize these reaches  
627 and allows the channel to more rapidly attain a new stable slope (*Doyle and*  
628 *Harbor*, 2003). Disrupting this downstream sediment delivery, for example  
629 by installing grade control structures, can induce a second round of incision  
630 downstream (*Simon and Darby*, 2002), similar to what the modeling showed  
631 (Figure 4).

632 Following a disturbance, the channel is expected to adjust rapidly, with the  
633 rate of change slowing until the channel reaches some new stable state. This  
634 results in an exponential decay in channel variables to some asymptote. These  
635 variables may include stream power (*Bull*, 1979; *Bledsoe et al.*, 2002), sediment  
636 discharge (*Simon*, 1999; *Bledsoe et al.*, 2002), or bed elevation (*Begin et al.*,  
637 1981), but all describe a reduction in the rate of energy dissipation (*Simon*,  
638 1992). Modeling shows these exponential reductions in specific stream power  
639 and sediment discharge, and an exponential increase in channel width (Figure

640 4). Bed elevation follows a more complex trajectory, but does decrease towards  
641 an asymptote during the second round of incision.

642 Modeling from the NFTR also shows this exponential decrease (or increase)  
643 in bed elevation (Figure 7), consistent with physical understanding of channel  
644 evolution. In the Colorado River modeling, the greatest incision and bed  
645 coarsening were seen closest to the dam (the disturbance), with less channel  
646 change downstream (data not shown). Furthermore, REM accurately predicts  
647 the magnitude of channel incision in this system (bed elevation RMSE 0.7  
648 – 1.5 m for all years). Bed material coarsening is also accurately predicted,  
649 although errors are more variable ( $D_{50}$  RMSE 0.1 – 5 mm). In the NFTR, REM  
650 accurately predicts channel incision in the upper half of the watershed (CW280  
651 – NF130). This portion of the channel is single thread, while the downstream  
652 portion (where the model error is higher) is braided or avulsing — features  
653 that were deliberately not incorporated into REM. Taken together, these three  
654 model tests suggest that REM can predict channel evolution across decadal  
655 time scales in single-thread systems with reasonable accuracy, matching both  
656 physical understanding of channel change and adequately predicting evolution  
657 in real-world, dynamic fluvial systems. Further testing, however, is required  
658 in the smaller watersheds for which REM will most likely be applied. While  
659 the physical processes are generally the same in these systems (e.g. sediment  
660 transport, bank erosion and failure), it is possible that scale differences or other  
661 issues will be discovered during application to these smaller channel networks.

## 662 5.2 Model strengths and weaknesses

663 REM's main strength is its parsimony and utility in simulating watershed scale  
664 channel evolution processes. Watershed scale assessment is essential because  
665 channel evolution is not limited to local disturbances or dynamics. Changes

666 in both upstream and downstream channel form and sediment delivery affect  
667 local channel response (e.g. *Schumm et al.*, 1984; *Simon*, 1992; *Simon and*  
668 *Darby*, 2002). Both bed and bank erosion processes are especially important  
669 in smaller urban watersheds (*Booth*, 1990). Furthermore, channel hardpoints  
670 (i.e. bed and bank armoring) can significantly influence local channel evolu-  
671 tion and adjustment in other parts of the watershed (*Booth and Fischenich*,  
672 2015). REM accounts for these processes — enabling users to specify non-  
673 erodible cross sections — and may be an important tool for understanding  
674 urban channel network evolution. Other numerical models have been devel-  
675 oped that include both bed and bank erosion, but these are typically designed  
676 for reach-scale application. For example, the CONCEPTS model (*Langen-*  
677 *doen and Simon*, 2008; *Langendoen and Alonso*, 2008) and *Darby and Thorne*  
678 (1996a) model both include more detailed modeling than REM, but cannot  
679 be easily applied at the watershed scale. Alternatively, the watershed scale  
680 Soil and Water Assessment Tool (SWAT) (*Allen et al.*, 1999; *Mittelstet et al.*,  
681 2016; *Arnold et al.*, 1998) has erosion processes for cohesive channels; however,  
682 REM incorporates cohesive and non-cohesive erosion and bank failure. REM  
683 includes the most important mechanisms to realistically simulate channel evo-  
684 lution while still keeping data requirements to a minimum.

685 Another important strength of REM is its capacity to explicitly account for  
686 input variable uncertainty. It automates the use of Monte Carlo simulations,  
687 allowing users to easily quantify model uncertainty and produce probabilistic  
688 estimates of channel change. Quantifying uncertainty can be useful for deci-  
689 sion making and assessing reliability of model outputs (e.g. *Pappenberger and*  
690 *Beven*, 2006). Model field tests illustrate this. In most cases, it appears the  
691 median of the Monte Carlo simulations predicts river behavior as well or better  
692 than the single model run (with the exception of NF130 and NF300 from the

693 NFTR, Figure 7). This suggests that accounting for uncertainty in the inputs  
694 can actually improve model accuracy.

695 How much uncertainty is too much must be determined by the model user  
696 because it depends on the question(s) being asked. The model test cases show  
697 large uncertainty bounds. This may seem discouraging, but is an inescapable  
698 consequence of simulating complex and uncertain geomorphic systems (*Shreve*,  
699 1975). By quantifying this uncertainty, we can at least be candid about con-  
700 fidence in the model's predictions. The widths of the simulated uncertainty  
701 bounds are proportional to the magnitude of modeled bed elevation (Figures  
702 5 and 7) and grain size (Figure 5). This is expected — the larger the change,  
703 the greater uncertainty.

704 REM is only applicable for single-thread rivers. It is therefore unsurprising  
705 that it could not adequately predict channel evolution in the downstream half  
706 of the NFTR. This section of the river migrates across a wide valley bottom  
707 and — in the lower reaches — the channel braids (*Zheng et al.*, 2017). In  
708 reality, much of the channel is 15 – 20 m wide, but may be within a several  
709 hundred meter wide valley. The model cannot simulate the aggressive channel  
710 migration observed in the lower portion of the watershed and instead spreads  
711 the water out over an unrealistically wide modeled channel bottom. Figure  
712 8 illustrates this issue, showing how error in modeled bed elevation increases  
713 substantially where the valley widens (just downstream of NF130). REM does  
714 include a meandering algorithm, but this is not entirely mechanistic and is  
715 incorporated to allow single thread meandering channels an additional mode  
716 of slope adjustment. This meandering algorithm was not incorporated into  
717 the NFTR modeling.

718 Other limitations are a consequence of REM's relative simplicity. The  
719 model assumes uniform flow ( $S_o = S_f$ ) to calculate specific stream power and

720 relies on new empirical equations to convert stream power to shear stress for  
721 cohesive erosion modeling. This facilitates network scale analysis without de-  
722 tailed hydraulic modeling but may be a source of error. This also neglects  
723 local, complex flow hydraulics which can have an impact on channel change —  
724 making it unsuitable for small scale analyses, like bridge scour. Still, REM has  
725 a strong physical basis, integrating novel stream power based sediment trans-  
726 port models (*Lammers and Bledsoe, 2018*) with a well tested bank erosion  
727 algorithm (BSTEM; (*Simon et al., 2000, 2011*)) that underwent systematic  
728 sensitivity and uncertainty analyses to identify the most parsimonious repre-  
729 sentation of essential physical processes (*Lammers et al., 2017*).

730 Finally, due to a lack of available data, REM was not tested against field  
731 data sets on network-scale channel response in smaller watersheds with cohe-  
732 sive banks. While the generic model test case gives some indication of REM's  
733 applicability to these types of systems, further testing is needed to determine  
734 how well REM can simulate channel response in these watersheds. Additional  
735 testing of the cohesive bed erosion, meander migration, and knickpoint retreat  
736 sub-routines is also needed.

### 737 **5.3 Model sensitivity**

738 Sensitivity is a function of (1) how much an input influences model output  
739 and (2) how much the input varies. Sensitivity analyses can therefore reveal  
740 information about model structure and suggest which variables should be most  
741 accurately quantified to obtain the most reliable results. REM sensitivity  
742 analyses largely confirm the validity of the model as important parameters are  
743 known to be linked to important channel evolution processes and are consistent  
744 with results reported in the literature.

745 Bed elevation is most controlled by  $D_{50}$ ,  $\sigma_g$ , width, and floodplain an-

746 gle (Colorado River, Figure 6) plus hiding function parameters and bank  $\tau_c$   
747 and cohesion (NFTR, Figure 9). The size and erodibility of the bed material  
748 directly influences the extent of incision. Bank erodibility has a secondary  
749 effect by either allowing the channel to widen and reducing incision, or lim-  
750 iting widening and forcing the channel to incise more (*Simon, 1992*). Other  
751 numerical models have shown that bed  $D_{50}$  has a significant effect on modeled  
752 channel profiles (*El Kadi Abderrezzak et al., 2008; El Kadi Abderrezzak and*  
753 *Paquier, 2009*); however, *Darby and Thorne (1996b)* found that  $D_{50}$  had a  
754 minimal effect compared to discharge.

755 Predicted bed  $D_{50}$  was most influenced by initial grain size distribution  
756 in the Colorado River case study (Figure 6), but hiding function parameters  
757 were equally or more influential for the NFTR (Figure 9). Others have also  
758 shown that hiding function parameters (in their case, critical shear stress and  
759 the hiding factor) control modeled grain sizes (*Ruark et al., 2011; Hoey and*  
760 *Ferguson, 1994*). The NFTR results also show that bank  $\tau_c$  and cohesion had  
761 an influence on modeled  $D_{50}$ . Sediment from bank erosion has the same grain  
762 size distribution as the initial bed sediment. As the bed coarsens, bank erosion  
763 therefore becomes a source of finer grains.

764 Channel width was controlled most by bank  $\tau_c$  (Figure 9). This suggests  
765 that fluvial erosion, not mass failure, was the dominant bank erosion process in  
766 the NFTR. *Darby and Thorne (1996b)* also found that  $\tau_c$  had a much greater  
767 influence on channel widening than bank cohesion. The three variables control-  
768 ling bank failure (cohesion,  $\phi'$ , and weight) all had similar relative importance,  
769 unlike other sensitivity analyses of bank erosion models that found cohesion  
770 was the dominant control on bank stability (*Lammers et al., 2017; Van de*  
771 *Wiel and Darby, 2007; Parker et al., 2008; Samadi et al., 2009*). These studies  
772 also did not show that  $\tau_c$  was important, possibly because they did not model

773 cumulative bank erosion and therefore did not incorporate the threshold effect  
774 of  $\tau_c$  determining when erosion occurs.

775 Despite its relative simplicity, REM is dependent on field data which may  
776 be difficult to collect at a network scale; however, the sensitivity results provide  
777 guidance on which variables should be most accurately quantified to yield the  
778 best model results. This is especially important for bank  $\tau_c$  which has a strong  
779 influence on the model, is subject to considerable uncertainty, and is difficult  
780 to measure in the field (*Wynn et al.*, 2008; *Konsoer et al.*, 2016; *Daly et al.*,  
781 2015b). Bank  $\tau_c$  may need to be estimated through model calibration to  
782 provide more reliable model inputs than field measurements.

#### 783 **5.4 Future improvements and applications**

784 There are a number of modifications that could improve model predictions.  
785 Coupling REM with an upland erosion model would provide more realistic  
786 estimates of sediment inputs and channel response (e.g. *Stryker et al.*, 2017).  
787 Furthermore, floodplains can be significant sediment sinks (*Kronvang et al.*,  
788 2007; *Fryirs and Brierley*, 2001); although, floodplain sedimentation likely  
789 has a larger effect on fine sediment delivery (e.g. *Walling et al.*, 1998) than  
790 the bed material load that controls channel incision and aggradation. Adding  
791 these processes may improve model predictions, but this extra complexity  
792 also increases data requirements and uncertainty. It is important to balance  
793 the need to incorporate relevant processes while retaining the simplicity that  
794 makes REM applicable at the watershed scale.

795 REM has a number of potential applications, both in river management  
796 and research. For example, channel erosion can be a significant — but difficult  
797 to quantify — source of fine sediment and phosphorus pollution in watersheds  
798 (*Fox et al.*, 2016). REM could be used to estimate loading of these pollutants

799 at watershed scales. Urban stormwater management (or mismanagement) is  
800 a leading cause of channel degradation (*Walsh et al.*, 2016, 2005). While  
801 certain stormwater design standards can help mitigate channel degradation  
802 (e.g. *Tillinghast et al.*, 2011), REM may allow a more comprehensive analysis  
803 of channel stability when coupled with a stormwater management model. REM  
804 also has a number of research applications. The search for an “optimal” or  
805 “equilibrium” channel form has intrigued scientists for decades (e.g. *Langbein*  
806 *and Leopold*, 1964; *Yang et al.*, 1981; *Millar*, 2005; *Huang et al.*, 2014). Tools  
807 like REM can be used to explore this concept in more detail, looking beyond  
808 the “optimal” channel cross section and examining interactions between parts  
809 of a network and their influence on watershed scale channel evolution.

## 810 6 Conclusions

811 We present a new model for simulating channel evolution at the watershed  
812 scale. This model is based on specific stream power and does not require de-  
813 tailed hydraulic modeling. Results from a generic test case of channel response  
814 to base level lowering match physical understanding of channel evolution. The  
815 model also accurately predicts channel incision and bed coarsening for a reach  
816 of the lower Colorado River below Parker Dam. In the North Fork Toutle  
817 River, the model accurately predicted channel incision and widening in the  
818 upper portion of the watershed where the channel remained single thread.  
819 Model predictions were poor in the lower watershed where the river migrated  
820 significantly across the valley floor — a behavior that REM is not designed to  
821 simulate. Results from these case studies suggest the model can provide useful  
822 predictions of watershed-scale channel erosion, while recognizing it is limited  
823 to single thread channels. Importantly, the model can also account for uncer-

824 tainty in input variables — allowing for a probabilistic assessment of channel  
825 change. More model testing is required to fully understand its capabilities and  
826 limitations. For example, REM’s ability to simulate cohesive incision, knick-  
827 point migration, or meandering was not tested because of a lack of sufficient  
828 field data. Further testing is also warranted on the smaller watersheds (i.e. 10  
829 – 100 km<sup>2</sup>) for which REM was designed.

830 Understanding how and how much rivers may change under future climate  
831 and land use variability is an essential question for sustainable river manage-  
832 ment. Other tools have been developed to estimate watershed sediment dy-  
833 namics (*Czuba et al., 2017; Schmitt et al., 2016; Czuba and Foufoula-Georgiou,*  
834 *2014*) and erosion and deposition potential (*Soar et al., 2017; Parker et al.,*  
835 *2015*). In smaller, urbanizing watersheds, however, channel changes are driven  
836 by both bed and bank erosion processes (*Booth, 1990*) and strongly influ-  
837 enced by channel armoring and other channel “improvements” (*Booth and*  
838 *Fischenich, 2015*). By accounting for these processes, REM can provide in-  
839 sight into urban stream evolution. Additionally, the model can be used to test  
840 different mitigation strategies; for example, by simulating how the river erodes  
841 under different stormwater and/or stream restoration scenarios to support cost  
842 effective and successful solutions to address excessive channel erosion.

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### Highlights

- We created an intermediate complexity model for simulating channel evolution at watershed scales
- The model matches physical understanding of channel change
- It can also predict erosion processes in accordance with field data sets
- The model is useful for predicting channel evolution and answering relevant management questions

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