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Rainfall extremes, weather and climate drivers in complex terrain: A data-driven approach based on signal enhancement methods and EV modeling

Luis E. Pineda, Patrick Willems

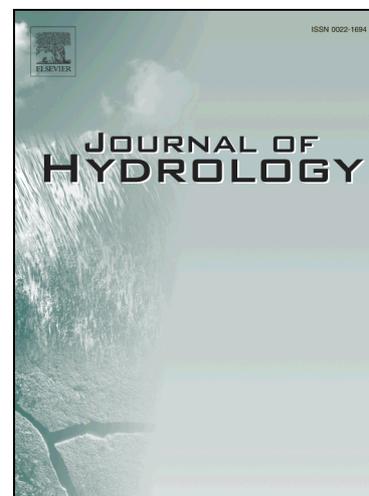
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1 **Rainfall extremes, weather and climate drivers in complex terrain: A data-**  
2 **driven approach based on signal enhancement methods and EV modeling**

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Luis E. Pineda<sup>1,2</sup> and Patrick Willems<sup>1</sup>

5

[1]{KU Leuven, Department of Civil Engineering, Hydraulics Division, Kasteelpark

6

Arenberg 40, BE-3001 Leuven, Belgium}

7

[2] {Departamento de Geología, Minas e Ingeniería Civil, UTPL, Marcelino Champagnat,

8

1101608, Loja-Ecuador}

9

10

11

Correspondence to: L.E. Pineda ([pineda.luis40@gmail.com](mailto:pineda.luis40@gmail.com))

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## 1 Abstract

2 Weather and climatic characterization of rainfall extremes is both of scientific and societal  
3 value for hydrometeorological risk management, yet discrimination of local and large-scale  
4 forcing remains challenging in data-scarce environments. Here, we present an analysis  
5 framework that separates weather regime and climate controls using data-driven process  
6 identification. The approach is based on signal-to-noise separation methods and explanatory  
7 extreme value (EV) modeling of multisite rainfall extremes. The EV models integrate the  
8 temporal component of the weather/climate driver using semi-automatic parameter  
9 identification. At weather scale, the EV models are combined with a state-based Markov  
10 model to represent the spatiotemporal structure of rainfall as weather states. At climate scale,  
11 the EV models are used to search for drivers leading to the shift of weather patterns. The  
12 drivers are brought out in a climate-to-weather signal subspace, built via dimension reduction  
13 of climate model reconstructions.

14 We apply the framework to a complex terrain region: the Western Andean Ridge in Ecuador  
15 and Peru (0-6°S) using ground data from the second half of the 20<sup>th</sup> century. Overall, we show  
16 that the framework, which does not make any prior assumption on the explanatory power of  
17 the weather and climate drivers, allows identification of well-known and new features of the  
18 regional climate in a purely data-driven fashion. Thus, the approach shows potential to  
19 identify weather controls on precipitation extremes in data-scarce and orographically complex  
20 regions in which model reconstructions are the only climate proxies.

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## 1 1. Introduction

2 Discrimination between climatic and weather drivers of heavy precipitation events is  
3 both of scientific and societal value, particularly for hydro-meteorological risk management.  
4 For example, improved prediction of extreme precipitation events in operational seasonal  
5 forecasting by means of dynamical climate models requires to understand whether a specific  
6 extreme event can be attributed to a specific weather regime or mode of climate variability  
7 [WMO, 2013]. Also, the cost-effective design of storm drainage facilities and financial  
8 compensating mechanisms (e.g. rainfall-based index insurance) that build within the seasonal  
9 to interannual time range requires this scientific understanding.

10 In mountainous regions, heavy precipitation events are often influenced by synoptic to  
11 meso scale conditions and orographic effects [Rotunno and Houze, 2007; Neiman et al., 2002;  
12 Piaget et al., 2015]. The Western Andean ridge (WAR) in the tropical Andes of Ecuador and  
13 Peru is a core of mixed climate influences as is both part of the climate divide and the  
14 gateway for Pacific and Amazonian influences [Emck, 2007; Rollenbeck and Bendix, 2011].  
15 There, rainfall extremes are response to the cross-season weather regime variability, which is  
16 disrupted by climate anomalies such as El Niño Southern Oscillation (ENSO). The WAR is  
17 suspected to modulate the year-round weather mechanisms governing the distribution of  
18 rainfall intensities but their underlying physical ground is not yet understood due to a paucity  
19 of detailed studies and lack of long-term meteo data, a recurrent problem in many regions in  
20 the global South. Accordingly, the discrimination of the weather and climate drivers of  
21 rainfall extremes over such data-scarce orographic barrier remains rather evasive from both  
22 physical and data analysis perspectives.

23 Present theories describing the regional climate patterns associated to heavy rainfall  
24 occurrence in the WAR and neighboring regions emerged from event-based analysis during

1 the El Niño (EN) episodes of the last decades. For example, *Horel and Cornejo-Garrido*  
2 [1986], *Goldberg et al.* [1987] and *Bendix and Bendix* [1998] discriminated two mechanisms  
3 that may generate convective systems: i) a local circulation driven by the differential land  
4 heating; and, ii) extended instability. Regarding the ocean-atmospheric setting linked to  
5 spatial differences in heavy rainfall during the EN events, *Bendix and Bendix* [2006] extracted  
6 6 EN-like weather types. All these theories are merely EN snapshots of the regional climate.  
7 In fact, every EN event is different and its diversity, currently hotly debated, arises from the  
8 interplay between ocean and atmospheric circulation [*Chen et al.*, 2015]. This implies that the  
9 weather variability characterizing each event depends on the specific pattern of each EN  
10 disturbance. In the WAR, a test of the climatological forcing hypothesis of extreme weather  
11 occurrences requires a statistical analysis framework that recognises in-year and interannual  
12 oscillations, and isolates abnormal extremes.

13 Several statistical methods are found in literature for exploring relationships between  
14 climate forcing and hydrometeorological variables. At the forefront, multivariate techniques  
15 have been proven useful for analyzing covariance and isolating linear relationships between  
16 explanatory and response variables. Two well-established methods are canonical correlation  
17 analysis [CCA; *Hotelling, 1936*] and maximum covariance analysis [MCA; *Wilks, 2006*].  
18 These methods tend to use dimension-reduction techniques such as the singular value  
19 decomposition to isolate the leading spatial and temporal patterns and use those patterns to  
20 generate pairs of stationary spatial patterns whose temporal covariation produces the highest  
21 correlation (CCA) and covariance (MCA), in a least-square-error sense. Such approaches are  
22 appropriate for diagnosis and prediction of hydrometeorological variables aiming at the bulk  
23 statistical properties of normally-Gaussian distributed variables, but are not ideally suited for  
24 exploring extremal properties in the tails of the joint distribution of the response variables.

1 Extreme value (EV) theory provides the theoretical framework to investigate the  
2 extreme behavior of large geophysical extreme events. Since the seminal work by *Coles*  
3 [2001] and *Katz et al.* [2002], many have used time-varying EV models to analyze the natural  
4 variability of rainfall extremes across time scales. In the spatial setting, EV models whose  
5 parameters are common to all locations or are related to site-covariates have shown to yield  
6 more robust estimates than single-site models [e.g. *Buishand* 1991; *Sveinsson et al.*, 2001].  
7 *Tye and Cooley* [2015] show that borrowing strength across multi-site data improves the  
8 generalized EV parameter estimates. Also, spatial models based on max-stable processes  
9 allow characterization of spatial dependence of rainfall extremes [e.g. *Shang et al.*, 2011;  
10 *Thibaud et al.*, 2013].

11 When time-varying EV models make use of covariates, e.g. harmonic functions to  
12 represent cyclical effects and/or a prescribed climate index to represent climate effects, two  
13 conflating problems are commonly found in model development: i) they need prescription of  
14 a number of harmonic functions to allow making inferences from a simplified model, ii) they  
15 require automatic parameter estimation algorithms to explore complex parameterizations that  
16 might arise from a large pool of explanatory variables. The prescription of the number of  
17 harmonic functions might over-simplify the underlying seasonal process and the use of  
18 climate indexes might not provide explanatory power as they do not necessarily represent the  
19 climatic influence over a specific region [*Renard and Lall*, 2014]. The second problem,  
20 optimal parameter estimation suitable for non-stationary generalized EV models (NGEV), has  
21 been addressed by using step-wise algorithms that combines forward selection and backward  
22 elimination procedures [*Menéndez et al.*, 2009]; and automatic selection algorithms that  
23 identify only one parameter at a time based on a score perturbation criterion [*Mínguez et al.*,  
24 2010]. This automatic selection method enables to explore a large number of model

1 parameterizations to discriminate weather and climate precursors of extremes. However, the  
2 issue on how to constrain the automatic parameter selection to yield statistical information on  
3 the process of interest is an important step in advancing the exploitation of this semi-assisted  
4 modeling approach, particularly on the face of mixed weather and climate influences.

5 Here, we present a statistical analysis framework to mine climate data and separate  
6 weather and climate controls using data-driven process identification. The framework  
7 integrates the temporal component of the weather/climate driver into an explanatory NGEV  
8 model. This model enables to investigate the climatological forcing hypothesis leading to  
9 anomalous rainfall extremes. The approach is applied to a latitudinal transect of the Tropical  
10 Andes in Ecuador-Peru, a region of mixed climatological influences, but aims to be applicable  
11 in other regions. These include data-scarce settings, where the climatological forcing can be  
12 inferred from climate model reconstructions.

## 13 **2. Data**

### 14 **2.1 Rainfall data**

15 Daily time series (68 stations) available for January 1964-December 2010 (24 h totals  
16 starting at 19:00 Local Standard Time, LST) were provided by the Ecuadorian and Peruvian  
17 Meteo-Hydrological National Services (INAMHI and SENAMHI), and quality controlled by  
18 *Ochoa et al.* [2014]. From this dataset, 16 stations (> 200–2830 masl), placed on the  
19 innermost plateaus in each catchment (Table 1, Figure 1b-c) were chosen. These stations  
20 record year-round synoptic activity due to their altitude and latitudinal distribution. In this  
21 subset, monthly maxima of daily precipitation ( $P_{max}$ ) were extracted at each station. Figure 2  
22 shows  $P_{max}$  series of representative stations. The climate forcing, e.g. driven by Sea Surface  
23 Temperature (SST) anomalies, is not conspicuous, masked by seasonality at every location.

## 1 2.2 Climate data

2 Gridded monthly time series (1964-2010) of Extended Reconstructed SST version 3  
3 [Smith *et al.*, 2008] were taken from NOAA's Climate Prediction Center. This is a global  
4 dataset of merged land, air, and SST reconstruction with 2° latitude/longitude resolution based  
5 on historical observations available since 1960. Optimum Interpolation analysis blended with  
6 Advanced Very High Resolution Radiometer SST version 2 [Reynolds *et al.*, 2007], with a  
7 0.25° spatial and daily resolution, available since September 1981, was also examined for  
8 comparative purposes.

9 NCEP/NCAR reanalyses wind field data [Kalnay *et al.*, 1996] were used for synoptic  
10 investigation. Monthly aggregated wind fields with a horizontal grid spacing of 2.5° were  
11 extracted at two pressure levels: 850 and 300 hPa. The limitation of the reanalyses data is  
12 obvious and statements at the edge of the synoptic scale should be made carefully.  
13 Nevertheless, combined with in situ measurements, reanalyses data are invaluable in placing  
14 the local weather in the synoptic context, especially in regions lacking synoptic long-term  
15 meteorological observations.

## 16 3. Methods

17 The overall workflow is presented in Figure 3. The analysis framework builds on  
18 signal-to-noise separation methods [Hermus *et al.*, 2007] and involves two modeling levels:  
19 seasonal and interannual variability of rainfall extremes. The rainfall extremes are modelled  
20 by means of NGEV distributions that separate weather/climate drivers using a semi-automatic  
21 parameter identification (SPI) algorithm [Mínguez *et al.*, 2010]. At weather seasonal level, the  
22 strategy relies on modeling quasi-stationary weather regimes using a finite set of harmonic  
23 functions. This way, the seasonal NGEV models represent low-pass filter models for high-

1 frequency variability, isolating climate modes, and can also be regarded as a spatial  
 2 smoothing for local weather phenomena. They further enable a cross-season regimen  
 3 diagnosis based on an existing weather state characterization [*Pineda and Willems, 2016*].

4 The second level uses the full discriminatory power of the SPI algorithm to search for  
 5 climatic drivers of low-frequency variability into a common climate-to-weather signal  
 6 subspace. To constrain model identification as means to yield information on the process of  
 7 interest, we search for ocean-atmospheric counterpart drivers into an enhanced signal  
 8 subspace built onto an ensemble of explanatory variables. The climate-to-weather signal  
 9 enhancement is obtained by projecting the filter seasonal models onto a subspace of signals  
 10 that resembles the observational sample subspace in dimensionality. The dimension and basis  
 11 vectors of the signal subspace are derived by eigen/singular value decomposition (EVD/SVD)  
 12 [*Wilks, 2006*] of the noisy multisite  $P_{max}$  and climate observation matrices, respectively.

### 13 3.1 Climate-to-weather signal separation method

14 Subspace-based signal-to-noise separation methods assume that every enhanced signal  
 15 vector  $s$  can be written as a linear combination of  $p < q$  linearly independent basis functions  
 16  $m_i, i=1, \dots, p,$

$$17 \quad s = \mathbf{M} \mathbf{y} \quad (1)$$

18 where  $\mathbf{M}$  is a  $(q \times p)$  matrix containing the basis functions and  $\mathbf{y}$  is a length- $p$  column  
 19 vector containing only the weights. Under these assumptions, the  $D$ -dimensional  
 20 observational space can be split into two subspaces, a  $p$ -dimensional (signal+noise) and a  $(q-$   
 21  $p)$ -dimensional subspace that contains only noise. The orthogonal decomposition into signal  
 22 and noise subspaces can be performed by an EVD, more generally, by an SVD of the noisy  
 23 observation matrix [*Hermus et al., 2007*].

1 Let  $\mathbf{P}_{s+n} = [P_{max_1}(1), P_{max_2}(t), \dots, P_{max_n}(t)]$ ,  $n=1, \dots, n$  stations and  $t=1, \dots, t$   
 2 observations, be a multivariate vector of multisite monthly maxima of daily precipitation  
 3  $P_{max}$  samples representing the noisy observation matrix, e.g.  $n=16$  and  $t=552$  months over  
 4 the WAR, and  $\mathbf{P}_s$  the multivariate vector containing the clean climate signal, and  $\mathbf{P}_n$  the zero-  
 5 mean white noise that is assumed to be uncorrelated with the clean climate signal. Then, the  
 6 observational noisy sample can be expressed as

$$7 \quad \mathbf{P}_{s+n} = \mathbf{P}_s + \mathbf{P}_n \quad (2)$$

8 Further, let  $\mathbf{R}$  be the autocorrelation matrix of  $\mathbf{P}_{s+n}$ ; the EVD of  $\mathbf{P}_{s+n}$  is then given by

$$9 \quad \mathbf{P}_{s+n} = \mathbf{V}\mathbf{\Lambda}\mathbf{V}^T \quad (3)$$

10 with  $\mathbf{\Lambda}$  a diagonal matrix containing the eigenvalues  $\lambda_j$  and  $\mathbf{V}$  an orthonormal matrix  
 11 containing the eigenvectors  $\mathbf{v}$ . Then, the order of the  $p$ -dimension might be assumed being  
 12 equal to the number of positive eigenvalues of  $\mathbf{R}$ , or to a value such that the energy of the  
 13 enhanced signal is as close as possible to an estimate of the clean signal energy, see Section  
 14 3.1 in *Hermus et al.* [2007]. The order of the  $q$ -dimension is more difficult to setup a priori  
 15 due to limitations for making an estimate of the noise variance. However, in general, it should  
 16 be greater than the order of  $p$ , such that the separation into signal and noise subspaces is  
 17 possible. Depending on the nature of embedded noise a conservative choice is to set  $q$  equal  
 18 to  $2*p$  or  $3/2*p$  [Van Huffel, 1993; *Hermus et al.*, 2007]. Figure 4 shows the scree plot for the  
 19 EVD of  $\mathbf{P}_{s+n}$ . The spectrum of noisy eigenvalues  $\lambda_j$  (exponential decay of  $\lambda$ ) stretches out up  
 20 to the 15th dimension, empirical orthogonal function (EOF). Accordingly, the enhanced  
 21 climate-to-weather signal will be contained in a high-dimensional signal-to-noise vector  
 22 subspace, spanned by linear combinations of the basis functions contained in the matrix  $\mathbf{M}$ ,  
 23 Eq. (1).

1           The assumption of a low-rank linear model for the enhanced signal and the availability  
 2 of an estimate of the noise correlation matrix enable to perform a filtering operation by which  
 3 the clean signal is obtained. Several optimization criteria exist to perform the signal  
 4 enhancement [see *Hermus et al.*, 2007]. Of particular relevance for the signal enhancement of  
 5 the matrix  $\mathbf{P}_{s+n}$  is the SVD-based method [Van Huffel, 1993] in which the  $p$  dominant singular  
 6 values of  $\mathbf{P}_{s+n}$ , are mapped onto the original clean singular values of  $\mathbf{P}_s$ . In the proposed  
 7 framework, such SVD-based noise reduction operation is analogous to the SPI learning  
 8 process in a prescribed parameter subspace (see Section 3.3) whose dimension is estimated  
 9 using information of the  $\mathbf{P}_{s+n}$  matrix.

### 10   3.2   Non-stationary GEV model

11           We propose a nested NGEV model that encompasses traditional EV models for non-  
 12 stationary variables [Coles 2001]. The  $Pmax$  series observed in month  $t$  follow a GEV  
 13 distribution with time-varying GEV location  $\mu(t)$ , scale  $\sigma(t)>0$  and shape  $\xi(t)$  parameters. The  
 14 cumulative distribution function (CDF) of  $Pmax$  is given by

$$15 \quad F_t(Pmax) = \begin{cases} \exp \left\{ - \left[ 1 + \xi(t) \left( \frac{Pmax - \mu(t)}{\sigma(t)} \right)_+^{-\frac{1}{\xi(t)}} \right] \right\} & \xi(t) \neq 0 \\ \exp \left\{ - \exp \left[ - \left( \frac{Pmax - \mu(t)}{\sigma(t)} \right) \right] \right\} & \xi(t) = 0, \end{cases} \quad (4)$$

16           The GEV distribution includes three families; the Gumbel family ( $\xi=0$ ); the Fréchet  
 17 family ( $\xi>0$ ); and the Weibull family ( $\xi<0$ ). The NGEV model, fit at each site, includes only  
 18 seasonal  $\mu_t^s$  and interannual  $\mu_t^l$  effects in the time-varying location and scale parameters ((5),  
 19 (6)). The shape parameter is constrained to represent only seasonal effects (7); it is well  
 20 established that this parameter shows large scales of variability. Hence, long-term  
 21 increasing/decreasing trends are not considered. Thus,

$$1 \quad \mu(t) = \mu_t^S + \mu_t^I \quad (5)$$

$$2 \quad \sigma(t) = \sigma_t^S + \sigma_t^I \quad (6)$$

$$3 \quad \xi(t) = \xi_t^S \quad (7)$$

### 4 **3.2.1 Weather seasonal NGEV model**

5 The seasonal NGEV model formulation by *Mínguez et al.* [2010] is used to summarize  
6 the spectrum of high-frequency weather regimes in terms of harmonic models of slowly-  
7 varying amplitude. The formulations in equations (8)-(10) allow the SPI algorithm to identify  
8 the optimal number of harmonic functions to be included in the time-dependent parameters.  
9 Mathematically, the model is expressed as follows:

$$10 \quad \mu_t^S = \beta_0 + \sum_{i=1}^{P_\mu} [\beta_{2i-1} \cos(i\omega t) + \beta_{2i} \sin(i\omega t)] \quad (8)$$

$$11 \quad \log[\sigma_t^S] = \alpha_0 + \sum_{i=1}^{P_\sigma} [\alpha_{2i-1} \cos(i\omega t) + \alpha_{2i} \sin(i\omega t)] \quad (9)$$

$$12 \quad \xi_t^S = \gamma_0 + \sum_{i=1}^{P_\xi} [\gamma_{2i-1} \cos(i\omega t) + \gamma_{2i} \sin(i\omega t)] \quad (10)$$

13 where  $t$  is given in years;  $\beta_0$ ,  $\alpha_0$  and  $\gamma_0$  are the mean values of the GEV parameters  $\beta$ ,  $\alpha$  and  $\gamma$ ;  
14  $\beta_i$ ,  $\alpha_i$  and  $\gamma_i$  ( $i > 0$ ) are the amplitudes of the harmonics;  $\omega = 2\pi \text{ year}^{-1}$ ;  $P_\mu$ ,  $P_\sigma$ , and  $P_\xi$  determine  
15 the number of optimal sinusoidal harmonics in a year.

### 16 **3.2.2 Climate interannual NGEV model**

17 In general terms, the climate-to-weather subspace can be constructed by (i) inferring  
18 the subspace's order from the noisy observation matrix (Eq.3), and (ii) setting up its basis  
19 vectors as to capture the spectrum of energized basis vectors representing climate drivers.  
20 This will ultimately shape the properties of the desired underlying climate signals.

1 In the WAR, nearby SSTs variability is a natural proxy-candidate for moist  
 2 convection, while winds of the lower and upper troposphere represent large-scale atmospheric  
 3 moisture import (section 4.3). Thus, the basis vectors of the climate-to-weather (signal-to-  
 4 noise) subspace ( $q$ -dimensional order) are derived via a SVD of observed ocean and  
 5 atmospheric anomalies as follows:

- 6 (1) Yearly anomaly composites (Sep-Aug) are constructed for the Sep 1964-Aug 2010  
 7 period for SSTs, and wind fields: zonal ( $u$ ) and meridional ( $v$ ) components  
 8 interpolated to  $0.25^\circ$  resolution at two pressure levels 300hPa and 850hpa representing  
 9 the upper and lower circulations, respectively. To construct these composites the Sep-  
 10 Aug climatology is firstly subtracted from each monthly SST/ $u/v$  field,  $n=552$  months  
 11 for each grid point and then standardized yielding yearly SST,  $u$ ,  $v$  anomalies ( $SSTA$ ,  
 12  $uA$  and  $vA$ ). In this way, these  $SSTA$ ,  $uA$  and  $vA$  composites represent interannual  
 13 deviations from the in-year cycle.
- 14 (2) SVD is performed separately for each  $SSTA$ ,  $uA$  and  $vA$  composite, we conduct the  
 15 SVD analysis based on the covariance matrix of the re-gridded anomaly fields. Let  
 16  $\mathbf{X}(t)=[\mathbf{x}_1(t), \mathbf{x}_2(t), \dots, \mathbf{x}_p(t)]$  be a  $n \times p$  data matrix, where  $\{\mathbf{x}_i(t); i=1, \dots, p; t=1, \dots, n\}$  is a  
 17 vector containing  $n$  (monthly anomalies) of the  $i$ -th centered predictor, and  $p$  is the  
 18 number of predictors. For example for  $SSTA$ ,  $p=162$  grid points cover the region  $6^\circ\text{N}$ -  
 19  $14^\circ\text{S}$ ,  $106^\circ\text{W}$ -coastal line. The EOFs are then obtained by

$$20 \quad \mathbf{ssta}_i(t) = \sum_{j=1}^p \mathbf{e}_j \cdot z_j(t), \quad i = 1, \dots, p; t = 1, \dots, n \quad (11)$$

21 where  $z_j$  are the EOF score series and  $\mathbf{e}$  is the  $j$ -th eigenvector of the covariance matrix

$$22 \quad \mathbf{S} = \frac{1}{n-1} \mathbf{X}^T \mathbf{X} \quad (12)$$

1 A crucial assumption here is that yearly ocean/atmosphere anomalies can be linearly  
 2 decoupled into signal and noise. This hold for *SSTA*, few interpretable EOFs, but it is  
 3 not straightforward for the atmospheric circulation (see Appendix B.2), which needs  
 4 to be supported by a truncation criterion (see, *North et al.* [1982]). Figure 5 shows the  
 5 probability density function of the *SSTA*-EOF scores. The energy of the EOF scores  
 6 dissipates from the clean climate signal to zero-mean weather-like white noise. The  
 7 EOF scores were truncated to  $EOF_{max} = 7$ , being the maximum number of EOFs  
 8 containing non-random signal. This yields an assembled  $\mathbf{M}$  ( $q \times q$ ) matrix,  
 9  $q=5*EOF_{max}$ , containing the basis vectors for the climate-to-weather signal subspace.

10 In this reduced subspace, we let the SPI algorithm to separate climate from weather  
 11 parameters by restricting the climate signal to occupy only the signal subspace, while nulling  
 12 its components in the noise subspace. Note that we only make assumptions on the nature of  
 13 the climate drivers, any noise filtering technique requires assumptions on the interfering  
 14 signals. But, we do not make any prior assumption on their power as explanatory covariates.

15 Based on the above considerations and under the assumption of a low-rank linear  
 16 model for climate signal and weather white-noise, we propose the following nested  
 17 explanatory NGEV model parameterization:

$$18 \quad \mu(t) = \mu_t^S + \sum_{j=1}^{EOF_{max}} [\beta_{EOFj} SSTA_j(t) + \beta_{EOFj} uA_j(t) + \beta_{EOFj} vA_j(t)] \quad (13)$$

$$19 \quad \log[\sigma(t)] = \alpha_t^S + \sum_{j=1}^{EOF_{max}} [\alpha_{EOFj} SSTA_j(t) + \alpha_{EOFj} uA_j(t) + \alpha_{EOFj} vA_j(t)] \quad (14)$$

20 where  $t$  is given in year. The parameters  $\beta_{EOFj}$  and  $\alpha_{EOFj}$  represent the influence on the  
 21 location and scale parameters per unit of standardized  $SSTA_j$ , zonal  $uA_j$  and meridional  $vA_j$   
 22 components of the lower and upper circulation on a particular instant  $t$ .

1 For any NGEV model including  $P_\mu, P_\sigma, P_\xi$  harmonic functions,  $i=1, \dots, P_\mu/P_\sigma/P_\xi$ , and  
 2  $EOF_j$  time-coefficients of the SVD,  $j=1, \dots, EOF_{\max}$ , the parameter vector  $\theta$  can be represented  
 3 by:

$$4 \quad \theta =$$

$$5 \quad (\beta_0, \beta_i, \beta_{EOF_j}^{SSTA}, \beta_{EOF_j}^{uA850}, \beta_{EOF_j}^{vA850}, \beta_{EOF_j}^{uA300}, \beta_{EOF_j}^{vA300}, \alpha_0, \alpha_i, \alpha_{EOF_j}^{SSTA}, \alpha_{EOF_j}^{uA850}, \alpha_{EOF_j}^{vA850}, \alpha_{EOF_j}^{uA300}, \alpha_{EOF_j}^{vA300}, \gamma_0, \gamma_i,)$$

$$6 \quad (15)$$

7 The instantaneous quantile  $P_q$  associated with the return period  $1/q$  can be calculated using:

$$8 \quad P_q(\mu(t), \sigma(t), \xi(t)) = \begin{cases} \mu(t) - \frac{\sigma(t)}{\xi(t)} [1 - (-\log(1-q))^{-\xi(t)}] & \xi(t) \neq 0 \\ \mu(t) - \sigma(t) \log(-\log(1-q)) & \xi(t) = 0 \end{cases} \quad (16)$$

9 where the probability  $q$  is given by  $F_t(P)=1-q$ . Approximate standard error for the estimators  
 10 and confidence intervals for parameters are obtained using standard likelihood theory [Coles,  
 11 2001] (see Appendix A)

### 12 3.3 Climate to weather separation algorithm

13 The SPI algorithm by *Mínguez et al.* [2010] selects the parameters which minimize the  
 14 Akaike information criterion (AIC) using the maximum likelihood method within an iterative  
 15 scheme. The selection is based on sensitivity analysis which makes use of local derivative  
 16 information to identify the parameter producing the largest perturbation at each iteration. We  
 17 use the SPI algorithm to learn weather/climate parameters because of the following reasons:

- 18 (i) At weather scale, it fits the best harmonic NGEV model to data by adding to the  
 19 stationary null model one harmonic (two parameters) per iteration until no further  
 20 decrease of the AIC is achieved, the upper cut-off for parameterization being score test

1 statistics. This defines a pseudo only-noise model subspace orthogonal to the clean  
2 signal subspace.

- 3 (ii) At climate scale, the construction of the perturbation criteria allows selecting the  
4 parameter that potentially produce the greater decrement of the AIC per iteration and  
5 corresponds to the one with maximum score test statistics. This selection is possible  
6 because an optimal solution of the log-likelihood function can be derived and different  
7 sets of possible parameters  $\hat{\theta}_1, \hat{\theta}_2, \dots, \hat{\theta}_l$  scrutinized, see section 3.1 in *Mínguez et al.*  
8 [2010]. Thus, the SPI algorithm is well posed to bring out model parameters in a  
9 multivariate vector subspace of covariates, which, more in general, can be seen as the  
10 SVD-based noise reduction operation [*Van Huffel, 1993*] to enhance damped  
11 exponential signals embedded in white noise of the  $\mathbf{P}_{s+n}$  matrix.

### 12 3.4 Performance

13 We assess both at-site NGEV models using criteria for explanatory modeling [*Shmueli,*  
14 2010], and its performance as SVD-filter models; while the former can be deemed as an at-  
15 site assessment, the latter enables to identify model structures and make inference from their  
16 coefficients, which in turn allows to explore the interfering signals in the tail of the join  
17 distribution of  $\mathbf{P}_{s+n}$ . Model validation and selection proceeds as follows:

- 18 (i) Validation of NGEV model: To assess model fitting we use adapted probability and  
19 quantile goodness-of-fit diagnostic plots [*Méndez et al., 2007; Coles, 2001*]. We also  
20 asses the generalization power (i.e. predictive qualities) of the explanatory NGEV  
21 models using leave-one-year-out cross-validation metrics. This is carried out in the  
22 following manner: (1) the first response ( $P_{q1}$ ) and covariates ( $SSTA_I, uA_I$  and  $vA_I$ ) are  
23 removed from the observed datasets, (2) the NGEV model is fitted to the remaining

1 (N – 1) responses and covariates, (3) the covariates ( $SSTA_I$ ,  $uA_I$  and  $vA_I$ ) are used to  
 2 estimate the first quantile response from the model developed in (2), and (4) the  
 3 process is repeated for each of the remaining paired responses and covariates. The  
 4 cross-validation was constructed for each saturated  $i$ th-order climate model.

- 5 (ii) Empirical validation of SVD-filter models: In practice, the assessment of signal  
 6 enhancement methods assumes that both clean and noise observation matrices are  
 7 observable, this allows derivation of theoretical estimators to quantify the efficiency of  
 8 any noise-reduction filter against an upper bound of performance. In our  
 9 implementation, it would be ideal to derive such a theoretical estimator and  
 10 benchmark the SVD noise filtering operation against a metric of optimal performance.  
 11 This is, however, not possible without the possibility of observing, in real-world data,  
 12 the clean-climate signal, the  $\mathbf{P}_s$  matrix. We therefore assess the amount of noise  
 13 removal by computing empirical signal-to-noise (SNR) ratios using the ensemble of  
 14 instantaneous quantile  $P_q$  responses yield by the weather and climate models.  
 15 Empirical SNRs are calculated using:

$$16 \quad \text{SNR} = \frac{\sigma_{\text{signal}}^2}{\sigma_{\text{noise}}^2} \quad (17)$$

17 where  $\sigma_{\text{noise}}^2$  and  $\sigma_{\text{signal}}^2$  are computed from the multivariate vectors of  $P_q$  seasonal  
 18 and interannual responses generated by the saturated weather models (white-noise  
 19 assumption) and the  $i$ th-order climate-filter models, respectively.

- 20  
 21 (iii) Selection: We use an adapted likelihood ratio test [Towler *et al.*, 2010] to discern  
 22 model structures among competing nested models. Let us consider a model  $M_i^{S/I}$ ,  $i =$

1  $l, \dots, n$ , a sub-model of the saturated  $i$ th seasonal/interannual  $M_{i+1}^{S/I}$ ; and  $llh_i(M_i^{S/I})$  and  
 2  $llh_{i+1}(M_{i+1}^{S/I})$  are the maximized values of the log-likelihood for the sub- and saturated  
 3 models, respectively. The deviance  $D$  statistic can be calculated as:

$$4 \quad D = 2[llh_{i+1}(M_{i+1}^S) - llh_i(M_i^S)] \quad (18)$$

5 If  $D > c_\alpha$ , where  $c_\alpha$  is the  $(1-\alpha)$  quantile of the  $\chi_k^2$  distribution, then  $M_i^{S/I}$  can be  
 6 rejected in favor of  $M_{i+1}^{S/I}$ . Here,  $\alpha$  is the level of significance,  $\chi_k^2$  is as large-sample  
 7 approximation, and  $k$  are the degrees of freedom associated with the test. Nested  
 8 models are tested at the  $\alpha = 0.05$  significance level against the corresponding sub-  
 9 models. For each test, the degrees of freedom for the climate and seasonal models are  
 10  $k=1$  and  $2$ , with  $c_\alpha$  values of  $3.84$  and  $5.99$ , respectively. Also, the climate model's  
 11 performance was evaluated against corresponding null models, e.g. model including  
 12 SSTs vs. seasonal only model (see Table 2), to assess how well the coupling of ocean  
 13 and atmospheric covariates captures the extremes.

### 14 3.5 Weather and climate characterization

15 The interannual variation in the time-dependent quantile  $\delta P_q$  can be calculated as:

$$16 \quad \delta P_q = P_{qc} - P_{qs} \quad (19)$$

17 where  $P_{qc}$  is the climate and  $P_{qs}$  the seasonal dependent quantile calculated using only the  
 18 seasonal parameterization. Both  $P_{qc}$  and  $P_{qs}$  are simulated from strictly hindcast models, that  
 19 is using the parameterization withheld from the saturated models in (8)-(10) and (13)-(14)  
 20 which represent the time-dependent quantile response to the spectrum of weather and climate  
 21 drivers.

1           Next, we use the seasonal dependent quantile  $P_{qs}$  in conjunction with a weather state  
2 characterization, derived by calibrating a hidden Markov Model (HMM) to a network of daily  
3 rainfall observations including those in the WAR [*Pineda and Willems, 2016*], to elucidate in-  
4 season regime fluctuations. The HMM decomposes the observed spatio-temporal rainfall  
5 variability over a network of observations via a discrete set of ‘hidden’ states. Each state  
6 comprises a set of rainfall probabilities and wet-day distribution functions for all locations in  
7 the network; the states proceed on a daily time step following a first-order Markov process.  
8 These characteristics enable the HMM to represent both spatial covariance over the network  
9 and persistence of large-scale weather patterns, which can be associated with the states. It is  
10 thus well-suited for the representation of daily rainfall in climate regimes that can be  
11 characterized in terms of variably persistent large-scale weather patterns. The use of weather  
12 states, which implicitly account for spatial covariance in the form of the rainfall patterns  
13 associated with each state, is consistent with a nonlinear-dynamical view of the weather-  
14 within-climate, in which the states represent basins of attraction toward which trajectories in  
15 the climate phase space are drawn [*Lorenz, 1963; Palmer, 1999*].

16           *Pineda and Willems, 2016* argue the existence of four hidden hygrothermal moisture  
17 states in the WAR, hereafter called weather types (WTs), which capture the in-season rainfall  
18 spatiotemporal structure. They reported these moisture states as the number of days falling in  
19 each WT. Here, to quantify WT fluctuations, the number of days was normalized to  $P_{qs}$  and  
20 split into sub-seasons. To characterize climate drivers leading to the amplification/dampening  
21 of WT, we assess statistical significant SPI-identified parameters in terms of effect sizes and  
22 spatial consistence. This latter is done by normalizing the parameters by their corresponding  
23 EOF standard deviation; then, they are mapped and linked with their corresponding EOF  
24 structures to allow interpretation of the synoptic driver.

## 1 4. Case study

### 2 4.1 The Western Andean Ridge (WAR) and the regional climate setting

3 The WAR (0-6°S) is a transect of the Tropical Andes of unique characteristics. The two  
4 meridional oriented ranges merge into one mass of rugged lower-elevation peaks at ~4°S, the  
5 so-called “Andean depression” (Figure 1c). The ridges down to the coast branches a series of  
6 heterogeneously oriented catchments. We apply the framework on 11 west facing catchments  
7 along the WAR, each one representative for a catchment-weather regime. The WAR is lower-  
8 bounded by the region which recorded the greatest annual rainfall anomalies during EN  
9 episodes (Figure 1a-b) [*Rossel and Cadier, 2009*].

### 10 4.2 Weather regimes

11 In the WAR, weather regimes might be differently accentuated during normal years  
12 and episodes of surplus/deficit of oceanic moisture driven by SST anomalies in the eastern  
13 equatorial Pacific [*Bendix, 2000*]. *Pineda and Willems* [2016] propose the following WTs: (i)  
14 excess/(ii) deficit of moisture offshore of the WAR, the wet/dry states (WT1/WT2); (iii)  
15 advection embedded in easterlies emanating from the Amazonia, the transitional wet-dry  
16 state (WT3); and (iv) moisture export from the Inter Tropical Converge Zone (ITCZ)  
17 displacement and eastward expansion of the South American Monsoon, the dynamically-  
18 noise state (WT4)

### 19 4.3 Regional Climate

20 Large-scale moist convection is primarily organized by the ITCZ which varies  
21 approximately in synchrony with the nearby SSTs. The spatial domain in which SSTs  
22 variability influence heavy rainfall events spans from 6°N-14°S and 106°W-coastal line [e.g.  
23 *Bendix 2000; Bendix and Bendix, 2006; Pineda et al., 2013*]. This domain embraces the

1 eastern equatorial Pacific where the EN and Humbolt currents meet, the EN 1.2 and a great  
2 portion of the EN 3 regions [Bendix *et al.* 2011; Trenberth, 1997]. To obtain a synoptic scale  
3 perspective for the atmospheric circulation, the domain 8°N-15°S and 65-105°W was selected.  
4 Takahashi [2004] and Douglas *et al.* [2009] showed that heavy rainfall in the WAR's coastal  
5 area had a strong westerly wind component whose anomalies extended 3500-1000 km off the  
6 coast. A description of the regional climatology is presented in Emck [2007], and more  
7 generally for the tropical atmospheric circulation in Peters and Richter [2014].

8 Table 3 summarizes results of the SVD analysis on composite anomalies of the  
9 Extended Reconstructed SST and NCEP-NCAR reanalyses of horizontal wind fields of the  
10 lower (850 hPa) and upper (300 hPa) troposphere. We rank the spatial patterns (Figure 17) of  
11 the most conspicuous EOFs emerging from the SVD analysis of SSTs, lower and upper wind  
12 anomalies as: (i) Weak/moderate the El Niño (EN) type, (ii) Strong the EN type, (iii) Normal-  
13 rainy season, and (iv) Cold upwelling-like SSTAs. For example, the EOF3 score series of  
14 SSTA (Figure 6) shows that the highest amplitudes and their persistence correspond to the  
15 1997-98 and 1982-83 ENs. A greater description and interpretation of the major climate  
16 patterns influencing the WAR is presented in Appendix B.

## 17 **5. Results and discussion**

### 18 **5.1 NGEV model validation**

19 Figure 7 shows the fitted NGEV models to the monthly maxima of daily precipitation  
20 ( $P_{max}$ ) on a key high altitude station, SUS, highlighting unusual rainfall extremes during 14  
21 EN events. After the inclusion of covariates ( $SSTA$ ,  $uA$  and  $vA$ ) into the saturated best  
22 interannual model, the estimation of high rainfall intensities (e.g. EN outliers) improves.  
23 Clearly, confidence bands are wider for the best interannual model, against the more

1 parsimonious best seasonal model. Quantile plots for the best seasonal and interannual models  
2 (Figures 8) shows that both weather and climate models perform well with respect to the  
3 given data; there is improvement for most of the models incorporating climate covariates,  
4 especially for high rainfall intensities.

5 Figure 9 shows the SPI parameter identification sequence and results of the leave-one-  
6 year-out cross-validation for the  $i$ th-order increasing climate models. Pearson's correlations  
7 between the cross-validated quantiles,  $P_q$ , and observations are lower than their cross-  
8 validated counterparts computed from the null-weather model  $M_i^{\text{seas}}$ : 0.694, 0.691, 0.588,  
9 0.719, 0.66, 0.672, 0.619 and 0.612 for PTO, SMA, COR, CAL, CHI, PAL, SUS and GIR  
10 respectively. Thus, correlations decrease with model order implying some degree of  
11 overfitting. This is not surprising when assessing predictive power of explanatory models  
12 since a parsimonious but less true model can have a higher predictive validity than truer but  
13 less parsimonious model. This is because, the former seeks to minimize the combination of  
14 bias and variance errors, occasionally sacrificing theoretical accuracy, see section 1.5 in  
15 *Shmueli* [2010]. By definition, explanatory models are intended to fit data for theory building  
16 rather than to describe data structure parsimoniously as it would be awaited from predictive  
17 models. Nevertheless, even in the presence of high order saturated or 'overfitted' models,  
18 Pearson's correlation computed on the holdout datasets remains above 0.50 showing that  
19 explanatory models hold also some predictive qualities.

20 Figure 10 shows estimates of the percentage of SNR removal after application of the  
21  $i$ th-order increasing climate-filter model models. Empirical SNRs values larger than 100 show  
22 the gain on signal detachment with respect to the weather-noise models,  $M_0$ . The SST-filter  
23 model shows a large SNR value because the ensemble of instantaneous quantile  $P_q$  responses

1 yielded by SST-only filter models accounts for much of variability (energy), see Figure 5, of  
 2 the embedded signal in the  $\mathbf{P}_{s+n}$ . Thus, we consider such SNR as the ‘optimal’ estimator to  
 3 benchmark the efficiency of noise-reduction yielded by SST plus wind filter models. For the  
 4 ensemble of quantile responses generated by the coupled SST plus wind models the  
 5 percentage of detached signal is lower due to the noise added for the models incorporating  
 6 winds. We note that the percentage of SNR removal reaches an inflection point at about  $M_{10}$ ,  
 7 then after the gain in signal detachment is minimal. In any case, at the highest order model,  
 8  $M_{25}$ , the gain on signal detachment is about half of the one obtained for the SST-only filter  
 9 model, which we consider as a proxy for optimal signal detachment.

## 10 **5.2 Selection of covariates**

11 Figure 11 and 12 shows the SPI parameter identification sequence and the significance  
 12 (p-values) of seasonal and interannual parameters against model order obtained from the  
 13 likelihood ratio test for nested models, e.g. single-harmonic model  $M_1$  vs. null stationary  
 14 model  $M_0$  for the seasonal models; and single-climatic term  $M_1$  vs. null weather model  $M_0$  for  
 15 the climate models. The number of parameters increases by two per added-sinusoidal  
 16 harmonic and one per added-climatic term for the weather and climate models, respectively.  
 17 In general terms, likelihood ratio test statistics (p-values) provides the basis to include/trim  
 18 covariates in nested models. In the proposed framework, however, the selection of meaningful  
 19 variables is performed in a constrained manner, guided by the statistical significance of causal  
 20 covariates and also by the size and spatial consistence of their coefficients. This renders the  
 21 theoretical justification for analysing covariates effects on the tails of the joint distribution of  
 22  $\mathbf{P}_{s+n}$  (Section 5.3).

1 Table 2 shows the performance of different nested NGEV model levels and the  
2 likelihood ratio test between saturated and null sub-models. Models including SS  
3 T and wind parameters are found significant and outperform sub- models. However, for PAL  
4 and SUS, the inclusion of both sets of covariates yields models with the same number of  
5 parameters as those obtained by models including only winds. Thus, the latter models show  
6 overfitting effects when SSTs are disregarded. In most cases, sub-models show the highest  
7 Pearson's correlation between the hindcasted time-dependent 50-year return period quantile  
8 ( $P_{max50}$ ) and observations.

9 By construction the NGEV models result in complex parameterizations, harmonic  
10 terms for seasonality and mixed climatic terms for interannual variability. While the first one  
11 accounts for the stochastic nature of weather, the second one represents the deterministic sea  
12 and/or wind signal hidden in the tail of the distributions of  $P_{s+n}$ . Namely, climate covariates  
13 identified this way result from a regression fitting into a high-dimensional orthogonal to the  
14 weather subspace. Note that some might argue such climate-to-weather subspace for  
15 parameter learning is over-specified and prone to overfit models when exploring the pool of  
16 causal covariates. As mentioned above, overfitting is indeed plausible in this modeling  
17 approach as it arises from the methodological assumptions, the existence of low-rank linear  
18 model for climate signal. This has, however, not damming effects for the modeling  
19 framework since the goal is to test all causal hypothesis upon theoretical constructs. Besides,  
20 the SPI algorithm attempts to minimize overfitting effects by selecting the parameter that  
21 potentially produce the greater decrement of the AIC, the most influent one in each fitting  
22 iteration. And, at the end it provides with t-statistics, standard errors and the log-likelihood  
23 function for each parameter estimate, from which one can compute likelihood ratio tests to  
24 justify inclusion/exclusion of covariates and so infer the 'true' structure of the models.

### 1 5.3 Weather drivers of seasonal variability

2 Figure 13a-c and 14 illustrate the Dec-May weather-type characterization in terms of  
 3 the thermally-driven (WT1), transitional wet-dry (WT3) and dynamically-noise (WT4) wet  
 4 states, and the dry state (WT2) at Dec-Jan, Feb-Mar and Apr-May sub-seasons. Table A1  
 5 shows the parameters of the saturated seasonal models for  $P_{max}$ . Below, we discuss model  
 6 structural differences (Figure 15 left-panels) in terms of i) the seasonal  $P_{max_{50}}$ , ii) the mean  
 7 intensity of  $P_{max}$ , seasonal location parameter, and iii) the dispersion of the underlying  
 8 process, seasonal scale parameter. The seasonal shape parameter, reflecting the strength of the  
 9 in-year variability, is presented only for information on the tail behaviour.

10 **Northern WAR (~0-1.5°S):** The mean intensity of  $P_{max_{50}}$  fluctuates in the range of  
 11 about 120-170 mm within Jan-Apr e.g. in PTO. This inter-month variability is captured by  
 12 complex parameterizations for  $\mu_{(t)}$  at PTO and  $\sigma_{(t)}$  at SMA, the  $\xi_{(t)}$  shows a bounded tail ( $\xi < 0$ )  
 13 during the entire rainy season. Terrain elevation only attenuates the mean intensity ( $\mu_{(t)}$ ), e.g.  
 14 CHI, whereas the in-year variability ( $\sigma_{(t)}$ ) remains similar to that seen in lowlands. This  
 15 reveals a Dec-May season-lasting distribution of rainfall extremes. We argue that i) this is due  
 16 to deep convection embedded in the ITCZ (WT4) and high-frequency re-evaporation cycles  
 17 driving wet-dry spells (WT3) over the WAR's top, e.g. SMA in Figure 14; and ii) this  
 18 mechanism holds in the northern valleys (0-1.5°S) where north and south catchment  
 19 boundaries are less pronounced (Figure 1).

20 **Central WAR (~2-2.5°S):** Mean rainfall extremes are lower ( $P_{max_{50}}$  peaks of about  
 21 75 mm) with the largest amplitude centred within Feb-Mar. The parameter  $\mu_{(t)}$  describes a  
 22 prominent Feb-Mar sub-season at PAL; the parameters  $\sigma_{(t)}$  and  $\xi_{(t)}$  represent early fluctuations  
 23 in Sep-Oct and light tail behaviour, respectively. The simplest model is encountered for SUS

1 with only 1 harmonic for the  $\sigma_{(t)}$  and a near zero value for the  $\xi_{(t)}$ , describing a smooth annual  
2 cycle. Note that SUS lies in a uniquely west-east oriented catchment. We will later show,  
3 when unveiling the synoptic drivers, that in this particular catchment rainfall extreme  
4 anomalies are driven by year-round mixed wind circulation patterns.

5 **Southern WAR (~3.15-5°S):** Highland stations on the inner-WAR axis are described  
6 by the model structure at GIR. The parameters  $\mu_{(t)}$ ,  $\sigma_{(t)}$  and  $\xi_{(t)}$ , shifting to negative values  
7 within Nov-Dec, show a quasi-bimodal distribution, featuring the occurrence of  
8 thunderstorms at the beginning of the rainy season and likely triggered by overhead sun in  
9 end of Sep-Oct [Emck, 2007]. The  $\mu_{(t)}$  at GIR (Figure 13d) shows that mountain valleys in  
10 Jubones catchment are spots of low rainfall intensities. Conversely, west of the inner-WAR  
11 axis at ~3.5-5°S, deep convective regimes weaken because the high concentration of peaks  
12 branching V-shaped valleys inhibits large-scale organized convection, e.g. contraction of the  
13 WT4 in PTE (Figure 14). Hence, ZAR, ALA and STO exhibit symmetric and enhanced peaks  
14 in Feb-Mar which are attenuated in the “Andean depression”, e.g. ZAR and STO. Emck  
15 [2007] reported a high concentration of convective showers nearby ALA within Feb–Apr,  
16 which we prove being more local and thermally driven (WT1) rather than of oceanic or large-  
17 scale organized genesis.

#### 18 **5.4 Climate drivers of interannual variability**

19 In principle, the climate forcing should emerge from a subspace of highly correlated  
20 signals which in our implementation are EOF time coefficients of ocean-atmospheric  
21 circulation anomalies, retrieved by the SPI algorithm by preserving the climate signal, sea  
22 and/or wind component, on low order models while nulling weather terms on high-order

1 models. Table A2 shows the absolute values of the identified location and scale parameters  
2 for SSTA, u/vA 850 hPa and u/vA 300 hPa.

3 Figure 16 shows normalized parameter coefficients for the interannual  $P_{max50}$ . They  
4 represent the contribution of each standardized EOF score to the location and scale  
5 parameters, and are visualized as absolute values for SSTA, and vectors for u/vA 850 hPa and  
6 u/vA 300 hPa. Below, we describe statistical significant and spatial coherent parameters  
7 together with the time-dependent 50-year return period quantile's interannual variation,  
8  $\delta P_{max50}$ , (Figure 15 right-panel) to illustrate conspicuous drivers identified by the framework  
9 as well as to formulate statistically-informed hypotheses on the climate forcing .

10 **Northern WAR (~0-1.5°S):** PTO in Vinces catchment shows the largest interannual  
11 variation ( $\delta P_{max50}$ ) driven by low-level southerly winds crossing the equator (beta-EOF7),  
12 typical for weak EN situations [Bendix and Bendix, 2006; Bendix et al., 2011]. These winds  
13 would convey moisture of the weak/moderate EN-SSTA type (beta-EOF1) towards the  
14 northern WAR. At SMA, the largest anomaly originates from the low-level meridional wind  
15 component of the normal-rainy season (beta-EOF3), whereas the strong and weak/moderate  
16 EN-SSTA type (alpha-EOF3 and -EOF1) contributes marginally. Thus, moist instability  
17 originated from strong SSTA gradients off the WAR's coast appears dimly along the low  
18 ridge-valley line, it lacks of advection wind driver. Most importantly, the NS oriented  
19 cordillera (>2500 masl) becomes a veritable barrier because it channels (parallel to the WAR)  
20 south-easterlies from the South-Pacific high, and deflects to the north weakened equatorial  
21 monsoonal westerlies that appear during Dec-Jan.

22 COR, in Babahoyo-Pallatanga catchments, shows positive  $\delta P_{max50}$  in November each  
23 ~1-4 years which strengthens with altitude, e.g. PAL and CHI. In these stations, a mixture of

1 upper-level wind situations emerges in the dispersion parameters, the most consistent being  
2 the zonal component of the normal rainy-season (alpha-EOF7). While the weak/moderate EN-  
3 SSTA (beta-EOF1 in COR and alpha-EOF1 in CHI) fuels positive anomalies, the normal-  
4 rainy season SSTA (beta-EOF4) appears as the second driver in COR. By contrast, at the  
5 lowland station CAL, the strong EN-SSTA (beta-EOF3) becomes the major driver of positive  
6 anomalies followed by the normal-rainy season SSTA (beta-EOF4); and, the low-level  
7 circulation identifies the meridional component of north-westerlies (beta-EOF6), a normal-  
8 rainy season like subtype. We hypothesize this latter is a weakly reversed low-level  
9 circulation indicating either land-sea breeze phenomena [Goldberg *et al.*, 1987; Bendix and  
10 Bendix, 1998], or a local circulation nearby the Gulf of Guayaquil transporting moisture to the  
11 central WAR. In any case, the surplus of oceanic moisture unique for the strong ENs seems  
12 primarily advected at the lowlands, bounded by the ridges at CAL.

13 **Central WAR (~2-2.5°S):** The  $\delta P_{max50}$  in SUS and GIR (Cañar and Jubones  
14 catchments) reveals year-round wind-driven forcing. At SUS, the largest exogenous influence  
15 occurs at the beginning of Nov-Dec. We find the zonal component of a strong EN-like wind  
16 situation (beta-EOF1) being the major driver of positive anomalies. Superimposed on this,  
17 equatorial convergent trades of the normal-rainy season (beta-EOF3) also contribute,  
18 followed by the EN-like south-westerlies (beta-EOF7). The SSTA shows only a minor  
19 contribution from the weak/moderate EN type (alpha-EOF1). We suggest it co-exists only  
20 with well-developed westerlies, e.g. EOF1 low-level flow, when the unique catchment  
21 orientation, almost perpendicular to the WAR, boosts this ocean-wind channeled forcing. All  
22 these concurrent low-level wind drivers explain the in-year extreme rainfall anomalies (Figure  
23 7). The abundance of mixed winds both equatorial monsoonal westerlies in DJF and EN-like  
24 winds help to explain why such enhancement strengths at the beginning of the rainy season.

1       **Southern WAR (~3.15-5°S):** The situation radically changes in the “Andean  
2 depression”, the variability is explained by seasonal forcing, e.g. ZAR in Puyango-Tumbes,  
3 ALA in Alamor and STO in Piura. Despite being exposed to Amazonian circulation, no single  
4 interannual variation, ascribed to our hypothetical regional climate forcing, was identified.  
5 We hypothesize the high density of low protruding peaks (~1500-2000 masl) in the “Andean  
6 depression” detaches local regimes from regional SSTs patterns and dampen meridionally  
7 low-level airflows, leaving local hygrothermal gradients as the only control of the high in-  
8 season rainfall intensities and their anomalies.

## 9       **6. Summary and conclusions**

10       The increasing volume of climate data from observations and analysis products presents  
11 the end-user community in data-sparse regions with unprecedented data analysis challenges  
12 and opportunities. In this paper, a statistical framework to mine climate data for the study of  
13 climate/weather drivers of multisite rainfall extremes is presented. The unique feature of this  
14 framework is that no assumption on the explanatory power of the climate covariates is made a  
15 priori. They instead are brought out in a reduced climate-to-weather signal subspace. The  
16 framework builds on NGEV models which characterizes in-season variability by means of  
17 harmonic models and weather-type partitioning. The climate forcing is decoded into a  
18 common signal subspace built via singular value decomposition of the underlying drivers  
19 using semi-automatic parameter identification (SPI) algorithm. The proposed NGEV models  
20 performs well with respect to the given data individually, and also as an SVD filter-bank  
21 model because it yields a signal detachment of about half of the one that could obtained from  
22 a pseudo optimal filter model.

1 In a case study application over the Western Andean Ridge (WAR), the framework  
2 unveils extremal properties in the tails of the joint distribution of multisite rainfall extremes  
3 by discriminating meaningful local and large-scale climatic drivers, which otherwise would  
4 have been overlooked by existing Gaussian-based multivariate regression techniques. As  
5 result, conspicuous drivers of rainfall extremes emerge as well as novel insights which add to  
6 event based studies available in literature e.g. *Bendix* [2000] and *Bendix and Bendix* [2006].

7 North of  $2.5^{\circ}$ , catchments are found more influenced by large-scale organized  
8 convection, in which oceanic moist given by the EN development is primary distributed by  
9 terrain-blocked low-level flows. The advection drivers are essentially southerlies which  
10 convey weak/moderate EN-SSTA moist to the WAR's northernmost edges. Strong EN-SST  
11 anomalies signals only appear in the central WAR ( $\sim 1.5-2^{\circ}\text{S}$ ); their associated moisture seems  
12 locally advected at lowlands. This somehow redefines the long-standing idea that strong SST  
13 anomalies influence is topographically bounded by the WAR's relief, e.g. *Bendix* [2000] and  
14 *Rossel and Cadier* [2009]. South of  $\sim 2.5^{\circ}\text{S}$ , the WAR seems detached of regional ocean-  
15 atmospheric patterns. There, local-to-meso-scale forcing dominates and shows a twofold  
16 pattern. Overall, we show that the meridional component of the tropical airflow is what  
17 matters for moist convection distribution leading to high rainfall intensities alongside the  
18 WAR, and that the zonal wind component of the EN types (e.g. the reversal of the Walker  
19 circulation), which has been traditionally stressed in several EN event based studies, is not  
20 evidenced in the inland continent. Moreover, by contrast to mid-latitude western coastal  
21 mountain ranges [*Neiman et al.*, 2002] the southern WAR seems to dampen meridional  
22 airflows rather than favouring pathways for zonal large-scale moisture transport, leaving local  
23 hygrothermal gradients to control rainfall extremes anomalies. More importantly, the

1 detection of these climatic drivers in a purely data-driven manner renders validity to the  
2 approach here presented.

3         Since our approach is based on signal-to-noise separation methods, it inherits its core  
4 assumptions: the existence of a low-rank linear model for climate signals and uncorrelated  
5 noise for the weather-like forcing, and the choice of the parameter optimization criteria to  
6 perform the separation. In the former, a crucial assumption is that atmospheric circulation can  
7 be linearly decomposed. This holds for the tropical atmosphere under study in which air  
8 masses are fairly homogenous, but in general this is not the case. In reality, atmospheric  
9 dynamics is mostly nonlinear; then the use of statistical linear decomposition might yield  
10 patterns that do not have necessary physical meaning, their merit is mostly descriptive. In the  
11 latter, the SPI, a likelihood-based optimization algorithm, assumes that components of the  
12 climate signal can be nulled into a white-noise subspace. This fundamental assumption  
13 requires special consideration on case-by-case applications. However, the intelligibility and  
14 transparency of a regression-type method such as the SPI algorithm plus a minimal criterion  
15 for validating parameter consistence against current understanding of the climate forcing are  
16 the instrumental tools. They should turn the present approach an appropriate framework to  
17 mine climate data and characterize rainfall extremes in other geographic regions.

18

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 7 Research Institute for Climate and Society of Columbia University, <http://iridl.ldeo.columbia.edu>. The  
 8 SPI algorithm used in this paper is available at doi:10.1016/j.envsoft.2010.05.008.

## 9 Appendix A. Confidence intervals

10 For a large sample size  $n$  and assuming that the proposed model is valid. Let  $l(\cdot)$  and  $\hat{\theta}$  denote  
 11 the log-likelihood and the maximum likelihood (ML) estimator, respectively. Then, the  
 12 distribution of  $\hat{\theta}$  is approximately multivariate normal with mean  $\theta$  (the true parameter  
 13 values) and covariance matrix given by the inverse of the observed information matrix  $\mathbf{I}$ ,  
 14 defined by

$$15 \quad \mathbf{I}(\theta) = -\frac{\partial^2 l(\theta)}{\partial \theta_i \partial \theta_j}, \quad i, j = 1, \dots, p, \quad (18)$$

16 Evaluated at  $\theta = \hat{\theta}$ . If an arbitrary term in the inverse of  $\mathbf{I}(\theta)$  is  $s_{ij}$ , the square root of the  
 17 diagonal entry  $s_{ii}$  is approximately the standard error,  $se(\hat{\theta}_i)$ , of the ML estimator  $\hat{\theta}_i$ .  
 18 Therefore confidence intervals for  $\theta_i$  can be obtained in the form  $[\hat{\theta}_i - z_{\alpha} se(\hat{\theta}_i), \hat{\theta}_i +$   
 19  $z_{\alpha} se(\hat{\theta}_i)]$ , where  $z_{0.95} = 1.96$  gives a 95% confidence interval.

20

## 1 **Appendix B. Climate drivers interpretation**

### 2 **B.1 SSTA patterns**

3 The EOF1 structures show a warm water tongue over the EN 1.2 and 3 regions and its  
4 score shows a Pearson's correlation equals to 0.78 with EN 1.2 index. Thus, this situation  
5 shows the climatological source of oceanic moisture for the WAR given by SSTA  
6 development of the weak/moderate EN type. The EOF3 and EOF5 illustrate contrasting  
7 SSTA patterns. The EOF3 shows the warmest anomalies off the continent, e.g. the southward  
8 shift of the highest isotherm ( $SST > 28^{\circ}\text{C}$ ) from the equator to  $10^{\circ}\text{S}$  [Bendix, 2000]; and its  
9 score confirms that the highest amplitudes and their persistence correspond to the 1997-98  
10 and 1982-83 ENs, in which a great amount of sensible and latent heating was available for  
11 convection. The EOF5 structures show zonal SSTA gradients with a warm tongue  
12 approaching the coastal line bounded by cold water emerging from the upwelling region off  
13 the Peruvian coast. While the temporal score for this EOF5 provides weak evidence of La  
14 Niña (LN) conditions, their structures resemble LN patterns over the central Pacific [Larkin  
15 and Harrison, 2002]. For the sake of classification, we regard the EOF5 as cold upwelling-  
16 like waters. The EOF4 resembles SST latitudinal gradients which occur during the normal-  
17 rainy season of Ecuador when poor positive SSTs development still cause intensification of  
18 precipitation [Bendix and Bendix, 2006; Emck, 2007], but also during weak ENs as shown in  
19 1992 by Bendix [2000]. However, we consider the EOF4 as the normal-rainy season type. A  
20 comparison with a SVD analysis conducted on NOAA Optimum Interpolated SST Advanced  
21 Very High Resolution Radiometer yields similar EOFs up to the EOF5.

### 22 **B.2 Atmosphere circulation**

#### 23 **B.2.1 Lower troposphere (850 hPa)**

1           The EOF1 scores of the zonal and meridional anomalies ( $uA/vA$ ) reveal an EN-like  
2 flavour. Its structures show westerly anomalies (0-6°S) that extends over the continent.  
3 *Takahashi* [2004] and *Douglas et al.* [2009] reported this flow-type over the WAR's vicinity,  
4 and *Schwing et al.* [2002] described similar circulation over the equatorial Pacific during the  
5 1997-98 EN. Thus, this situation represents a strong EN lower-level circulation type. The  
6 EOF3 of  $uA/vA$  show a low-level flow situation matching the normal-rainy season circulation.  
7 *Bendix and Laurer* [1992] explain that during the normal-rainy season the NE trade winds can  
8 be deflected to NW by the Andes and the change in the Coriolis parameters. Superimposed on  
9 this wind field direction shift, warmer equatorial SSTs interacting with weakened NE trades  
10 may allow monsoonal currents to reach the Ecuadorian coast. These landward, north of the  
11 Equator, westerly winds appear in the EOF3 structures. Such currents let local westerlies  
12 transporting moisture eastward [*Emck*, 2007], by which the WAR receives larger quantities.  
13 The EOF7 structures show low-level trades along the Peruvian and Ecuadorian coast likely  
14 originating in the South Pacific anticyclone. Southerly winds crossing the Equator have been  
15 described to characterize the EN lower circulation type [*Bendix and Bendix*, 2006] but they  
16 also may occur during the normal-rainy season. The highest structures that appear almost  
17 parallel to the Peruvian coast (4-12°S) suggest that this circulation-type is funnelled by the  
18 Peruvian Andes.

### 19 **B.2.2 Upper troposphere (300 hPa)**

20           The EOF1 of the zonal and meridional anomalies show well-developed easterlies.  
21 Together with its low-level EOF1 counterpart both situations represent the reversal of the  
22 Walker circulation which has been traditionally argued to be a distinctive feature during  
23 several moderate and strong EN, e.g. *Wyrski*, 1975. The EOF7 depicts a circulation pattern

1 consistent with the normal-rainy season. This is an upper divergence over the Amazon region  
2 with well-developed easterlies over the Ecuadorian and Colombian borders that extend off-  
3 shore the northern Ecuadorian coast. The south-easterly upper flow over the EN 1.2 region  
4 and the WAR will result from the bend of the warm anticyclone suppressed likely by the large  
5 action ratio of the sub-tropical jet [*Bendix and Laurer, 1992*]. Similar situation is shown for  
6 the upper level flow by *Bendix and Bendix [2006]* for the normal-rainy season.

7           The remaining EOFs could not be associated with documented atmospheric  
8 circulation situations. Time-lagged correlation analysis showed that one-month lagged EOFs  
9 for SSTAs, and contemporaneous EOFs for the  $uA$  and  $vA$  synchronize the best with  $P_{max}$  at  
10 the WAR's gateway. Even though, we could not document most of the EOFs of  $uA/vA850$   
11 and  $uA/vA300$ , we used all one-month lagged EOFs for SSTAs and contemporaneous ones for  
12 winds to build the enhanced climate-to-weather signal subspace as they explain a substantial  
13 fraction of the energy in the surrounding climate forcing.

14

15

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7

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- 1 Figure 1. (a) The Western Andean Ridge (WAR) in Ecuador and Peru with the limits of  
 2 strong and significant ENSO influence. (b) Rain gauge stations in the WAR and coastal plain.  
 3 Region with the greatest annual rainfall anomalies (1964-1993) (dark gray shaded area) and  
 4 limits of ENSO influence (black dashed lines), and catchments (grey dashed line). (c) WAR's  
 5 terrain relief. The elevation exaggeration factor is 8.
- 6 Figure 2. Time series of monthly maxima of daily precipitation ( $P_{max}$ ) at Puerto Ila,  
 7 Suscalpamba and Santo Domingo (dashed lines) and SSTA (grey bars) in the EN 1.2 region.
- 8 Figure 3. Flowchart of the present analysis framework. Interannual level adapted from  
 9 *Mínguez et al.* [2010], AIC stands for Akaike's information criterion and  $\nu$  for optimal  
 10 number of parameters.
- 11 Figure 4. Scree plot for the eigen value decomposition (EVD) of multisite  $P_{max}$  over the  
 12 WAR. Eigenvalue spectrum (broken-dotted line) and cumulative explained variance (solid  
 13 line).
- 14 Figure 5. Probability density function (PDF) for SSTA-EOF scores 1-5.
- 15 Figure 6. Score series of the EOF1 (solid line), EOF3 (dashed line) of the ERSSTA and 3-  
 16 month running means of positive SSTA in the EN 1.2 and 3 region (grey area).
- 17 Figure 7. 50-year return period seasonal dependent quantile (dark solid line) with 95%  
 18 confidence bands (dark grey area) and interannual dependent quantile (grey dashed line) with  
 19 95% confidence band (light grey area).  $P_{max}$  (grey dots) and  $P_{max}$  values during 14 EN  
 20 events (black-triangles).
- 21 Figure 8. Quantile plots for the saturated best seasonal model and for the saturated best  
 22 interannual models for PTO, SMA, COR, CAL, CHI, PAL, SUS, GIR, ZAR, ALA and STO.
- 23 Figure 9. Interannual NGEV model parameterizations at 8 stations in the WAR (Table A2).  
 24 Graded colours show Pearson's correlation of leave-one-year-out cross-validated models.  
 25 Parameter codification: beta (b) and alpha (a) for the location and scale EOF terms,  
 26 respectively. Number of parameters increases by one in upper line. Lower line: index  $i=1-7$   
 27 represents the EOF; st stands for SSTA,  $u_8, v_8$  for  $u_A$  and  $v_A$  at 850 hPa and  $u_3, v_3$  for  $u_A$   
 28 and  $v_A$  at 300hPa. Model order ranges from the null (best-seasonal model)  $M_0$  to the saturated  
 29 best-interannual NGEV model  $M_{n-1}$ .
- 30 Figure 10. Signal-to-noise ratios computed after application of the  $i$ th-order increasing  
 31 climate-filter models including SST and SST+winds, Models  $M_0 - M_{25}$
- 32 Figure 11. Seasonal NGEV model parameterizations at 10 stations in the WAR (Table A1).  
 33 Graded colours show significance level (p-value) of parameters: beta (b), alpha (a) and  
 34 gamma (g) for the location, scale and shape harmonic functions, respectively. Number of  
 35 parameters increases by two. Model order increases from the null  $M_0$  to saturated best-  
 36 seasonal NGEV model  $M_{n-1}$ .
- 37 Figure 12. Interannual NGEV model parameterizations at 8 stations in the WAR (Table A2).  
 38 Graded colours show significance level (p-value) of parameters. Parameter codification same  
 39 as in Figure 9.

1 Figure 13. Weather-type characterization during the core rainy season. (a) Dec-Jan, (b) Feb-  
2 Mar, and (c) Apr-May in terms of thermally driven (WT1), transitional wet-dry (WT3) and  
3 dynamically-noise (WT4) wet-states. Radiuses of circles are normalized to absolute values of  
4 the seasonal-dependent 50-year return period quantile (harmonic amplitudes). (d) Seasonal-  
5 dependent location parameter  $\mu$  (harmonic amplitudes).

6 Figure 14. Weather-type characterization during the core rainy season. (top) San Juan La  
7 Mana (SMA), (middle) Giron (GIR), and (botton) Puente (PTE) in terms of thermally driven  
8 (WT1), transitional wet-dry (WT3), dynamically-noise wet-states (WT4) and dry-state  
9 (WT2). The frequency of days is normalized to absolute values of the seasonal-dependent 50-  
10 year return period quantile ( $P_{max50}$ ).

11 Figure 15. Left panels: Monthly maxima of daily precipitation ( $P_{max}$ ), seasonal dependent  
12 location (grey line) and scale (dashed grey line) parameters and 50-year return period quantile  
13 (black line). Right panels: Anomaly series of the time-dependent 50-year return period  
14 quantile ( $\delta P_{max50}$ ).

15 Figure 16. Normalized parameter coefficients for the interannual dependent 50-year return  
16 period quantile. Coefficients are normalized to represent the related contribution to the  
17 location (beta) and scale (alpha) parameters of each standardized EOF score for SSTAs (a-b),  
18 u/vAs at 850 hPa (c-d) and u/vAs at 300(e-f) . Hillshade of catchments Vinces (1), Babahoyo  
19 (2), Yaguachi (3), Cañar (4), and Jubones (5).

20 Figure 17. Major ocean-atmospheric synoptic pattern (using EOFs of ERSST and  
21 NCEP/NCAR wind fields between 1964-2010) influencing stations/catchments in the WAR:  
22 a) Pto Ila/Vinces b) San Juan La Mana/Vinces, c) Corazon/Babahoyo, d) Chillanes/Babahoyo,  
23 e-f) Caluma/Babahoyo, g,h,j) Suscalpamba/Cañar and i) Pallatanga/Yaguachi. Catchments are  
24 shaded in red. Grey shaded area represents the region with the greatest rainfall anomalies  
25 (1964-1993).

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1 Table 1. Rain gauges information and catchment related attributes.

Code	Station	Period	Lat. (°S)	Long. (°W)	Altitude [masl]	No. Pmax	Upslope Aspect	Catchment	Mountain barrier > 2000 masl [%]
PTO	Puerto Ila*	1964-2010	0,48	79,34	319	548	NW	Vinces	16
SMA	San Juan La Mana*	1964-2010	0,92	79,25	215	506	NW		
COR	Corazon*	1964-2010	1,13	79,08	1471	547	NW	Babahoyo	21
CAL	Caluma*	1966-2006	1,62	79,29	350	354	NW		
CHI	Chillanes*	1964-2010	1,98	79,06	2330	537	SE		
PAL	Pallatanga*	1967-2010	2,00	78,96	1500	469	W	Yaguachi	84
MIL	Milagro	1964-2010	2,12	79,60	13	552	-		
SUS	Suscalpamba*	1964-2009	2,46	79,06	2620	492	N	Cañar	66
INC	Puerto Inca	1966-2009	2,53	79,54	50	436	-		
GIR	Giron*	1964-2009	3,15	79,15	2130	507	SE	Jubones	66
PAS	Pasaje	1964-2009	3,31	79,77	40	487	-		
ZAR	Zaruma*	1964-2009	3,69	79,61	1100	503	SE	Puyango- Tumbes	20
TIG	Tigre	1964-2010	3,77	80,45	61	474	-		
ALA	Alamor*	1964-2010	4,02	80,02	1250	504	S	Alamor	5
SAU	Saucillo*	1968-2010	4,25	80,20	328	488	SE		
CAR	Cariamanga*	1964-2009	4,33	79,56	1950	512	NW	Catamayo	31
PTE	Puente Internacional*	1972-2007	4,39	79,96	415	416	FLAT	Macara	24
AYA	Ayabaca*	1964-2009	4,63	79,71	2830	537	E	Quiroz	6
PAR	Paraje Grande*	1972-2007	4,64	79,72	1060	466	SW		
STO	Santo Domingo*	1970-2008	5,03	79,88	1704	454	SE	Piura	16
MOR	Morropon	1964-2007	5,18	79,98	109	513	-		

2  
3 \* Stations located in the Western Andean Ridge.

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1 Table 2. Performance of different nested NGEV model levels and likelihood ratio test  
 2 between saturated against null sub- models.

Code	$M_i^{seas}$	$M_i^{SST}$	$M_i^{wind}$	$M_i^{SST+wind}$	Code	$M_i^{seas}$	$M_i^{SST}$	$M_i^{wind}$	$M_i^{SST+wind}$
PTO llh	-2420	-2395	-2391	-2367	CHI	-590	-567	-554	-539
K	17	4	13	18		19	4	9	15
AIC	4873	4832	4843	4805		1219	1184	1164	1146
p-value	3.84E-10 <sup>a</sup>	2.02E-07 <sup>b</sup>	9.50E-07 <sup>c</sup>	3.68E-09 <sup>d</sup>		1.78E-09 <sup>a</sup>	4.37E-12 <sup>b</sup>	4.03E-08 <sup>c</sup>	3.2E-05 <sup>d</sup>
$\rho^e$	0.7014 <sup>a</sup>	0.7029	0.7025 <sup>c</sup>	0.7026 <sup>d</sup>		0.6719 <sup>a</sup>	0.6726 <sup>b</sup>	0.6749	0.6792 <sup>d</sup>
SMA llh	-2193	-2166	-2174	-2154	PAL	-1651	-1635	-1610	-1597
K	21	5	7	11		17	7	18	18
AIC	4428	4383	4405	4371		3337	3318	3291	3264
p-value	1.70E-10 <sup>a</sup>	4.32E-06 <sup>b</sup>	0.0005 <sup>c</sup>	2.09E-08 <sup>d</sup>		2.95E-05 <sup>a</sup>	3.65E-10 <sup>b</sup>	1.1E-11 <sup>c</sup>	-
$\rho^e$	0.7009 <sup>a</sup>	0.7189 <sup>b</sup>	0.6877 <sup>c</sup>	0.7094 <sup>d</sup>		0.6894 <sup>a</sup>	0.6979 <sup>b</sup>	0.6968 <sup>c</sup>	0.6984 <sup>d</sup>
COR llh	-2310	-2277	-2272	-2247	SUS	-698	-664	-623	-610
K	15	7	14	17		9	7	24	24
AIC	4650	4598	4601	4558		1413	1359	1312	1286
p-value	8.37E-12 <sup>a</sup>	1.06E-10 <sup>b</sup>	3.20E-09 <sup>c</sup>	9.42E-11 <sup>d</sup>		3.35E-12 <sup>a</sup>	4.93E-20 <sup>b</sup>	4.76E-15 <sup>c</sup>	-
$\rho^e$	0.5981 <sup>a</sup>	0.5978 <sup>b</sup>	0.5976 <sup>c</sup>	0.5954 <sup>d</sup>		0.6376 <sup>a</sup>	0.638 <sup>b</sup>	0.6381 <sup>c</sup>	0.6378 <sup>d</sup>
CAL llh	-1475	-1444	-1451	-1430	GIR	-1708	-1703	-1684	-1679
K	21	9	8	14		15	2	7	9
AIC	2991	2948	2960	2930		3447	3440	3413	3405
p-value	6.87E-10 <sup>a</sup>	1.35E-07 <sup>b</sup>	3.71E-05 <sup>c</sup>	1.70E-07 <sup>d</sup>		0.0035 <sup>a</sup>	0.0000 <sup>b</sup>	0.0000 <sup>c</sup>	0.0033 <sup>d</sup>
$\rho^e$	0.7331 <sup>a</sup>	0.7446 <sup>b</sup>	0.71 <sup>c</sup>	0.7165 <sup>d</sup>		0.638 <sup>a</sup>	0.6362 <sup>b</sup>	0.6384 <sup>c</sup>	0.6371 <sup>d</sup>

3 <sup>seas</sup> Seasonal only model, <sup>SST</sup> model including SSTs, <sup>wind</sup> model including winds, <sup>SST+wind</sup> model  
 4 including SSTs plus winds.

5 p-value of likelihood ratio test: <sup>a</sup>  $M_i^{SST}$  vs.  $M_i^{seas}$ , <sup>b</sup>  $M_i^{wind}$  vs.  $M_i^{seas}$ , <sup>c</sup>  $M_i^{SST+wind}$  vs.  $M_i^{SST}$ , and <sup>d</sup>

6  $M_i^{SST+wind}$  vs.  $M_i^{wind}$ . For c and d the corresponding submodels include  $M_i^{seas}$ .

7 <sup>e</sup> Pearson's correlation between  $Pmax_{50}$  and  $Pmax$  for the same a-d pairs.

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1 Table 3. SVD analysis for the composited anomalies of ERSST (SSTA) and NCEP-NCAR  
 2 horizontal wind fields: zonal (uA) and meridional (vA) components at 850 and 300 hPa.

3

EOF No.	Variance (%)		Rank	EOF No.	Variance (%)		Rank	EOF No.	Variance (%)		Rank
	Explained	Cumulative			Explained	Cumulative			Explained	Cumulative	
SSTA											
1	86	86	w/mEN <sup>a</sup>	3	3	95	sEN <sup>b</sup>	5	1	98	cUW <sup>d</sup>
2	6	92		4	2	97	nRS <sup>c</sup>				
uA/vA 850											
1	38/29	38/29	sEN	3	10/10	66/54	nRS	5	5/6	78/67	
2	18/15	56/44		4	7/7	73/61		6	3/6	81/73	
								7	3/4	84/77	w/mEN
uA/vA 300											
1	60/30	60/30	sEN	3	11/13	86/64		5	2/8	93/84	
2	15/21	75/51		4	5/12	91/76		6	2/4	95/88	
								7	1/3	96/91	nRS

4

5 <sup>a</sup> Weak/moderate the El Niño (EN) type

6 <sup>b</sup> Strong the EN type

7 <sup>c</sup> Normal-rainy season

8 <sup>d</sup> Cold upwelling-like SSTAs

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1 Table A1. Parameter selection for the saturated best seasonal models of the stations in the  
 2 WAR and their standard errors (s.e). Units of  $\alpha$  and  $\beta$  are expressed in mm.

3

Station	Location $\mu(t)$								Scale $\sigma(t)$								Shape $\xi(t)$				
	$\beta_0$	(s.e)	$i$	$\beta_1$	(s.e)	$i$	$\beta_1$	(s.e)	$\alpha_0$	(s.e)	$i$	$\alpha_1$	(s.e)	$i$	$\alpha_1$	(s.e)	$\xi_0$	(s.e)	$i$	$\xi_1$	(s.e)
PTO	33,23	1,01	1	-36,43	1,53	6	-0,62	1,24	2,67	0,04	1	-1,04	0,06				0,22	0,04	1	0,37	0,06
			2	-4,02	1,15	7	-3,90	1,05			2	-0,17	0,06						2	0,13	0,06
			3	10,53	1,26	8	0,38	1,16													
			4	2,97	1,21	9	1,64	0,67													
			5	2,47	1,20	10	-0,63	0,70													
SMA	30,44	0,90	1	-36,39	1,25				2,49	0,05	1	-1,16	0,07	7	0,12	0,06	0,28	0,05	1	0,43	0,07
			2	-1,33	1,11						2	-0,03	0,07	8	0,06	0,06			2	0,09	0,08
			3	11,39	0,69						3	-0,22	0,07	9	0,12	0,05					
			4	2,68	0,77						4	0,14	0,07	10	0,01	0,05					
											5	0,20	0,06	11	0,05	0,05					
COR	23,39	0,65	1	-23,88	0,94				2,35	0,04	1	-0,94	0,06	5	0,14	0,06	0,26	0,04	1	0,13	0,06
			2	1,10	0,80						2	0,11	0,06	6	0,15	0,06			2	0,00	0,06
			3	6,86	0,61						3	-0,13	0,06								
			4	1,69	0,66						4	0,20	0,06								
CHI	10,41	0,36	1	-11,66	0,55	5	0,11	0,44	-5,89	0,80	1	-10,4	0,61	5	1,35	0,56	1,89	0,45	1	2,75	0,63
			2	-0,36	0,46	6	-0,90	0,45			2	1,80	0,61	6	1,07	0,59			2	0,73	0,62
			3	3,85	0,45	7	-0,58	0,27			3	-0,28	0,60								
			4	1,67	0,50	8	0,53	0,28			4	2,77	0,59								
PAL	13,89	0,51	1	-13,63	0,60				1,76	0,05	1	-0,96	0,06	5	0,19	0,06	0,11	0,05	1	0,21	0,07
			2	1,62	0,49						2	0,31	0,07	6	0,12	0,06			2	-0,04	0,07
			3	4,35	0,37						3	0,05	0,06	7	0,09	0,06					
			4	1,10	0,37						4	0,35	0,06	8	0,11	0,06					
SUS	18,05	1,11	1	-11,29	0,58				-1,65	1,02	1	-5,25	0,55				0,00	0,38			
			2	1,29	0,49						2	0,38	0,55								
			3	2,80	0,44																
			4	1,60	0,47																
GIR	11,14	0,41	1	-9,19	0,44	5	-1,08	0,29	1,62	0,04	1	-0,63	0,06				0,20	0,04	1	0	0,05
			2	1,50	0,40	6	-1,29	0,29			2	0,22	0,06						2	0	0,06
			3	2,31	0,41						3	-0,03	0,06								
			4	2,77	0,39						4	0,27	0,06								
ZAR	15,69	0,55	1	-20,40	0,86	5	-1,89	0,40	1,90	0,03	1	-1,15	0,04				0,00	0,02	1	0,00	0,02
			2	1,76	0,60	6	-0,19	0,45			2	0,19	0,05						2	-0,15	0,01
			3	7,98	0,68																
			4	-0,07	0,64																
ALA	10,33	0,34	1	-12,91	0,47				-6,23	0,02	1	-20,8	0,01				-0,01	0,00	1	-0,01	0,00
			2	2,13	0,22						2	0,64	0,01						2	-0,57	0,00
			3	3,61	0,11																
			4	-0,50	0,14																
STO	7,87	0,11	1	-9,52	0,08				-7,69	0,01	1	-19,3	0,01				-0,03	0,00	1	-0,03	0,00
			2	1,45	0,13						2	1,42	0,01						2	-1,16	0,00
			3	3,14	0,01																
			4	-0,25	0,04																

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- 1 Table A2. Parameter selection for the saturated best interannual models of the stations in the  
 2 WAR and their standard errors (s.e). Units of  $\alpha$  and  $\beta$  are expressed in mm.  
 3

Station	Location $\mu(t)$										Scale $\sigma(t)$													
	$\beta_{\text{EOF}}$	SSTA (s.e)		uA850 (s.e)		vA850(s.e)		uA300(s.e)		vA300(s.e)		$\alpha_{\text{EOF}}$	SSTA(s.e)		uA850(s.e)		vA850(s.e)		uA300(s.e)		vA300(s.e)			
PTO	1	2.97	1.21	-	-	1.39	0.52	-0.33	0.10	-	-	1	0.33	0.10	-	-	-	-	-	-	-	-		
	2	-	-	-	-	-	-	-	-	-	-	2	-0.37	0.18	-0.21	0.08	-0.18	0.10	-0.08	0.03	-	-		
	3	-	-	-	-	-	-	-0.44	0.24	-	-	3	-	-	-	-	-0.35	0.17	-	-	-0.24	0.08		
	4	-	-	-	-	-	-	-	-	-	-	4	-0.71	0.28	-	-	-	-	-	-	-	0.20	0.08	
	6	-	-	-	-	-	-	-	-	-1.40	0.81	6	-	-	-	-	-	-	-	0.39	0.09	-	-	
	7	-	-	2.10	1.43	5.22	1.69	-	-	-	-	7	-	-	-	-	-	-	-	-	-	-0.17	0.06	
	7	-	-	-	-	-	-	-	-	-	-	7	-	-	-	-	-	-	-	-	-	-	-	-
SMA	1	-	-	-	-	-	-	-	-	-	1	0.35	0.05	-	-	-	-	-	-	-	-	-	-	
	2	-	-	-	-	-	-	-	-	0.92	0.37	2	-	-	-	-	-	-	-	-0.06	0.03	-	-	
	3	-	-	-	-	1.83	0.73	-	-	-	-	3	0.74	0.24	-	-	-	-	-	-	-	-	-	
	4	-	-	-	-	-	-	-	-	-	-	4	-	-	-	-	-	-	-	-	-	-	-	
	5	-4.02	2.35	-	-	-	-	-	-	-	-	5	-	-	0.22	0.11	-	-	-	-	-	-	-0.14	0.07
	6	-	-	-	-	-	-	-	-	-	-	6	-	-	-	-	-	-	-	-	-	0.12	0.08	-
	7	-	-	-	-	-	-	0.70	0.43	-	-	7	-	-	0.42	0.17	-	-	-	-	-	-	-	-
COR	1	2.47	0.45	-	-	-	-	-	-	-	1	0.12	0.06	-	-	-	-	-	-	-	-	-	-	
	2	-	-	-	-	-	-	-0.90	0.23	-	-	2	0.52	0.17	-	-	-	-	-	-	-	-	0.12	0.06
	3	-	-	-	-	-	-	-	-	-	3	-0.60	0.25	-	-	0.24	0.17	-	-	-	-	-	0.13	0.06
	4	4.12	1.96	-	-	-	-	-	-	-	4	-	-	-	-	-	-	-	-	-	-	-	-	-
	5	-20.97	3.98	-	-	-	-	-	-	-	5	-1.96	0.47	-	-	-	0.67	0.20	-	-	-	-	-	-
	6	-	-	-	-	-	-	2.89	0.53	-	-	6	-	-	0.25	0.15	-	-	-	-	-	-	-	-
	7	-	-	-	-	-	-	-	-	3.32	0.93	7	-	-	-	-	0.62	0.23	0.26	0.09	-	-	-	-
CAL	1	-	-	-	-	-	-	-	-	-	1	0.24	0.07	-	-	-	-	-	-	-	-	-	-	-
	2	-	-	-	-	-	-	-	-	-	2	-	-	-	-	-	-	-	-	-	-	-	-	-
	3	7.22	2.00	-	-	-	-	-	-	-	3	1.50	0.39	-	-	-	-	-	-	-	-	-	-	-
	4	5.38	1.65	-	-	-2.80	0.58	-	-	-	4	-	-	0.35	0.15	0.74	0.24	0.14	0.07	-	-	-	-	-
	5	-	-	1.62	0.54	-	-	-1.12	0.34	-	-	5	-1.84	0.62	-	-	0.45	0.22	-	-	-	-	-	-
	6	-	-	-	-	-3.50	1.01	-	-	-	6	-	-	-	-	-	-	-	-	-	0.21	0.10	-	-
	7	-	-	-	-	-	-	-	-	-	-	7	-	-	-	-	-	-	-	-	-	-	-	-
CHI	1	0.60	0.18	-	-	-	-	-0.22	0.04	-	1	1.95	0.63	-	-	-	-	-	-	-	-	-0.68	0.38	
	2	-	-	-	-	-	-	-0.23	0.12	-	2	-	-	-1.63	0.77	-1.74	1.11	-	-	-	-	-	-	
	3	1.36	0.75	-	-	-	-	-0.41	0.12	-	3	-	-	-	-	-	-	-	-	-0.63	0.35	-	-	
	4	-	-	-	-	-	-	-	-	-	4	-	-	-	-	-	-	-	-	-	-	-	-	
	5	-6.01	1.86	-	-	-	-	-	-	-	5	-	-	-	-	-	-	-	-	-	-	-	-	-
	6	-	-	-	-	-	-	-	-	-	6	-	-	-	-	-	-	-	-	-	-	-	-1.34	1.02
	7	-	-	0.97	0.56	1.70	0.73	-	-	-	7	-	-	-	-	-	-	-	-	-	1.97	0.94	-	-
PAL	1	0.77	0.22	-	-	-	-	-0.34	0.06	-	1	0.02	0.05	-	-	-	-	-	-	-0.04	0.02	-0.14	0.04	
	2	-	-	-	-	-1.15	0.36	-	-	0.57	0.23	2	-	-	-0.30	0.07	-	-	-	-	-	-	-	
	3	-	-	-	-	-	-	-0.36	0.12	-	-	3	-	-	-	-	-	-	-	-	-	-	-	
	4	-	-	-	-	-	-	-	-	-	4	-	-	-	-	-0.35	0.16	0.22	0.05	-	-	-	-	
	5	-1.83	2.48	-	-	-	-	-	-	-	5	-2.07	0.50	-	-	-0.46	0.19	0.12	0.06	-	-	-	-	
	6	-	-	-	-	-	-	-0.89	0.29	-	-	6	-	-	-	-	-	-	-	-	-	-	-	-
	7	-	-	-	-	-	-	-	-	-	7	-	-	-	-	-	-	-	-	0.42	0.11	-0.53	0.12	
SUS	1	-	-	1.96	0.52	-	-	-0.35	0.12	-	1	0.17	0.06	0.17	0.06	-	-	-	-	-	-	-	-	
	2	-	-	-	-	-	-	-	-	-	2	-	-	-	-	-	-	-	-	-0.03	0.03	-	-	
	3	-	-	0.55	0.82	3.49	1.33	-0.83	0.27	-	3	-	-	-	-	-	-	-	-	-0.08	0.03	-	-	
	4	-	-	-2.98	1.00	-	-	1.24	0.40	-	4	-	-	-	-	-	-	-	-	-0.03	0.05	-	-	
	5	-12.74	4.09	-	-	-2.10	1.76	-	-	-	5	-	-	-0.26	0.12	-	-	-	-	-	-	-	-	
	6	-	-	-	-	-	-	-	-	-2.22	1.06	6	-	-	-	-	-	-	-	0.05	0.08	-0.29	0.11	
	7	-	-	-2.02	1.38	3.61	2.02	0.79	0.84	-1.94	1.13	7	-	-	-	-	-	-	-	0.24	0.10	-0.36	0.12	
GIR	1	-	0.00	-	-	-	-	-	-	-	1	-	-	-	-	-0.32	0.09	-	-	-	-	-	-	
	2	-	-	-	-	2.08	0.38	-	-	-	2	-	-	-	-	-	-	-	-	-	-	-	-	
	3	-	-	-	-	-	-	-	-	-	3	-0.60	0.25	-	-	-	-	-	-	-	-	-	-	
	4	2.71	1.02	-	-	-	-	-	-	-	4	-	-	-	-	-	-	-	-	-	-	-	-	
	5	-	-	-	-	-	-	-	-	-	5	-	-	-	-	-	-	-	-	-	-	-0.13	0.07	
	6	-	-	-0.99	0.49	-	-	-	-	-	6	-	-	-	-	-	-	-	-	-	-	-	-	
	7	-	-	-	-	1.18	0.70	-	-	1.46	0.50	7	-	-	0.55	0.16	-	-	-	-	-	-	-	

- 4  
5

- 1 • Data-driven framework to discriminate climate and weather controls of rainfall extremes
- 2 • Framework builds on signal-to-noise separation methods and EV modeling
- 3 • No assumption on the explanatory power of climate covariates is made a priori
- 4 • Approach discriminates drivers of rainfall extremes in a purely data-driven fashion
- 5

ACCEPTED MANUSCRIPT