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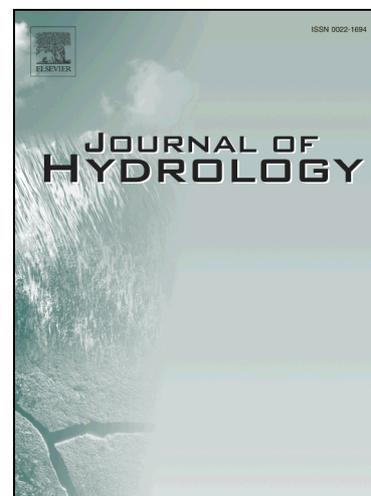
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1 **Demonstrating the value of community-based ('citizen science')**
2 **observations for catchment modelling and characterisation**

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12

13 **Abstract**

14 Despite there being well-established meteorological and hydrometric monitoring networks in
15 the UK, many smaller catchments remain ungauged. This leaves a challenge for characterisation,
16 modelling, forecasting and management activities. Here we demonstrate the value of community-
17 based ('citizen science') observations for modelling and understanding catchment response as a
18 contribution to catchment science. The scheme implemented within the 42km² Haltwhistle Burn
19 catchment, a tributary of the River Tyne in northeast England, has harvested and used quantitative and
20 qualitative observations from the public in a novel way to effectively capture spatial and temporal
21 river response. Community-based rainfall, river level and flood observations have been successfully
22 collected and quality-checked, and used to build and run a physically-based, spatially-distributed
23 catchment model, SHETRAN. Model performance using different combinations of observations is
24 tested against traditionally-derived hydrographs. Our results show how the local network of
25 community-based observations alongside traditional sources of hydro-information supports
26 characterisation of catchment response more accurately than using traditional observations alone over

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Abbreviations: AE, Actual Evaporation; AWS, Automatic Weather Station; BADC, British Atmospheric Data Centre; CB, Caw Burn; HB, Haltwhistle Burn; Ks, Saturated Hydraulic Conductivity; NSE, Nash-Sutcliffe Efficiency; PGB, Pont Gallon Burn; P, Precipitation; PBIAS, Percentage Bias; PBSO, Physically-based spatially-distributed; PE, Potential Evapotranspiration; Q, Discharge; *Q_{obs}*, Observed Discharge; *Q_{sim}*, Simulated Discharge; RLGB, River Level Gauge Board; RMSE, Root Mean Square Error; R², Coefficient of Determination; SD, Soil Depth; SOF, Strickler Overland Flow; TRT, Tyne Rivers Trust.

27 both spatial and temporal scales. We demonstrate that these community-derived datasets are most
28 valuable during local flash flood events, particularly towards peak discharge. This information is often
29 missed or poorly represented by ground-based gauges, or significantly underestimated by rainfall
30 radar, as this study clearly demonstrates. While community-based observations are less valuable
31 during prolonged and widespread floods, or over longer hydrological periods of interest, they can still
32 ground-truth existing traditional sources of catchment data to increase confidence during
33 characterisation and management activities. Involvement of the public in data collection activities also
34 encourages wider community engagement, and provides important information for catchment
35 management.

36 **Key words**

37 Community-based; Citizen Science; Monitoring; Flash Flood; Hydrological Modelling; SHETRAN.

38 **1. Introduction**

39 Under future climate change scenarios, wetter winters and more intense summer storms are
40 expected to exacerbate already complex catchment management issues throughout the UK and
41 western Europe (Chan *et al.*, 2015; Forzieri *et al.*, 2016; Kendon *et al.*, 2014). Empirical data is
42 therefore required to characterise catchment behaviour over time, model floods, improve forecasts and
43 subsequently enhance community resilience as part of the wider catchment management process. The
44 importance of data is further emphasised when considering the performance of new flood
45 management interventions such as ‘natural flood management’ (Nicholson *et al.*, 2012; SEPA, 2015).
46 The potential benefits of engaging, collaborating and actively involving local communities within
47 affected catchments is also rapidly being recognised as a vital component of an integrated catchment
48 management toolkit (Bracken *et al.*, 2014; Large *et al.*, 2017 in press).

49 Despite the UK having some of the world’s most reliable and dense hydrometric and
50 meteorological monitoring networks, data remains scarce for many rural catchments (Buytaert *et al.*,
51 2016; Illingworth *et al.*, 2014; UK Met Office, 2010). A variety of methods are used for observing

52 and/or estimating spatial rainfall patterns (Bárdossy and Pegram, 2013; Durkee, 2010; Lanza *et al.*,
53 2001; Shaw *et al.*, 2011) but data availability and accuracy issues still persist on a local level. There
54 are a number of issues; catchments are spatially and temporally complex, and flash floods, while of
55 particular interest and importance to both hydrologists and communities, are hard to characterise
56 given that they are rare, spatially localised, short lived and often occur in locations without formal
57 monitoring (Archer and Fowler, 2015; Archer *et al.*, 2016; Perks *et al.*, 2016).

58 The absence of whole-catchment data can complicate the catchment modelling process
59 (Seibert and McDonnell, 2015), especially when attempting to replicate or predict extreme events in
60 unique locations. While workers like Zhu *et al.* (2013, 2014) describe how rainfall radar observations
61 are becoming more readily available, providing improved spatial and temporal coverage in
62 hydrological models, errors relating to timing and magnitude can propagate through the modelling
63 process (Harrison *et al.*, 2000). Good quality and detailed ground-based observations are therefore
64 required to create robust models (Beven, 2009; Beven and Westerberg, 2011; Vidon, 2015). Through
65 incorporation of such observations, the improved predictive power of the model will then play a
66 significant role in influencing choices made by stakeholders in the catchment characterisation and
67 management process.

68 The co-production of 'indigenous' knowledge and the activity of community-based
69 monitoring (and related activities described in the literature using a range of terminology including
70 citizen science, volunteered geographical information (VGI), crowd-sourcing, citizen observatory and
71 participatory monitoring) is rapidly expanding (Follett and Strezov, 2015; Pocock *et al.*, 2014;
72 Wentworth, 2014). The term used depends on the degree of 'volunteer' involvement and the specific
73 techniques adopted, but in general they all refer to the participation of the public (i.e. non-
74 professionals) in the generation of new knowledge about the natural environment (Buytaert *et al.*,
75 2014; Pocock *et al.*, 2014; Starkey and Parkin, 2015). Regardless of which term is used, encouraging
76 general engagement, participation and empowerment on a local level means that the public have the
77 potential to offer timely and low-cost solutions to the data collection phase in catchment science.
78 Social benefits to the community are also valuable, supporting policies and management frameworks

79 which increasingly request an integrated and bottom-up approach to catchment management. A
80 relevant example includes the emerging ‘Catchment Based Approach’ (CaBA, 2016) which has
81 surfaced from the EU Water Framework Directive and is managed in the UK by Defra, the
82 Department of Environment, Food and Rural Affairs.

83 The growth in more readily available and low-cost technologies, such as smartphones, social
84 media and the internet itself, is allowing community-based initiatives to grow rapidly. Areas include
85 biodiversity (Sutherland *et al.*, 2015), weather and climate (Burakowski *et al.*, 2013; Muller *et al.*,
86 2015) and disaster management (Aulov and Halem, 2012). Across North America the public are
87 collecting regular rain, hail and snow observations and sharing them with the national CoCoRaHS
88 network (<http://www.cocorahs.org/>), and a similar scheme is also active primarily across Europe,
89 North America and Australia through the UK Met Office ‘Weather Observations Website’
90 (<http://wow.metoffice.gov.uk/>).

91 It is only recently that this type of data collection activity has started to flourish in hydrology
92 and hydrogeology, for example, in Ethiopia (Walker *et al.*, 2016). Only a few examples exist in the
93 UK which specifically collect river and flood observations with some form of public involvement, for
94 instance the Wesenseit (<http://wesenseit.eu/>) and Oxford Flood Network (<http://flood.network/>). Even
95 fewer studies have explored the potential value of this data to support real hydrological applications,
96 including catchment modelling, primarily due to data quality concerns or general lack of recognition
97 (Buytaert *et al.*, 2014, 2016; Muller *et al.*, 2015). Only a small number of studies have made use of
98 crowd-sourced data to validate their models, but they frequently discarded multiple observations as
99 location, date and time stamps were absent (Fohringer *et al.*, 2015; Kutija *et al.*, 2014; Mazzoleni *et*
100 *al.*, 2015; Smith *et al.*, 2015). In addition, these studies either involved ‘reactive’ data collection
101 methodologies following large floods or used synthetic data to imitate citizen science, thus did not
102 actually involve or even engage with the public. Full engagement is essential if ongoing community-
103 based monitoring schemes are to be relied upon by professionals and regularly harnessed as an
104 additional source of catchment information. Nevertheless, scientists and engineers are still generally
105 reluctant to integrate this type of data into their work, which Barthel *et al.* (2016) attributes to

106 professionals not being experienced enough to actually carry out the full range of participatory
107 activities required. This includes engagement, facilitation, training and dissemination activities which
108 are all prerequisites of successful community-based monitoring schemes.

109 This paper presents results from a catchment study which demonstrates the value of
110 community-based observations for understanding and modelling spatial and temporal catchment
111 response, including the ability to capture the shape, timing and magnitude of flood peaks for a
112 sequence of flash flood events. Data quality issues are a particular concern with ‘citizen science’
113 studies and we take this into account by applying appropriate data quality checks before allowing
114 further use of the data in the modelling process. The modelling results presented also infer additional
115 information about the quality of the observations used. Walker *et al.* (2016) concluded that data
116 quality from community-based observations can be of high quality if they are properly managed. Our
117 study takes this approach a step further as it is one of the first assessments which embeds real
118 community-based observations into a detailed catchment modelling study. To achieve this, work has
119 been carried out on the Haltwhistle Burn catchment, a tributary of the River Tyne in northeast
120 England, where a physically-based, spatially-distributed hydrological catchment model, SHETRAN
121 (Ewen *et al.*, 2000), has been used. The findings will be of interest to catchment managers,
122 hydrologists, as well as community and environmental groups who have a common interest in holistic
123 catchment management and who wish to expand their management toolkits.

124 **2. Study area & focus community**

125 Known for being located in the ‘Centre of Britain’, the 42km² steep and low stream order
126 Haltwhistle Burn catchment responds rapidly to heavy rainfall. This predominantly rural catchment
127 suffers from multiple pressures (Fig. 1) and in recent years it has experienced a number of floods,
128 including 2007, 2012, 2014 and winter 2015. Flood risk is exacerbated as the main impact zone (the
129 town of Haltwhistle) is located at a ‘pinch-point’ close to the outlet, and just downstream of an incised
130 gorge section. The elongated shape of the catchment and resulting river network have also been
131 influenced by the igneous Whin Sill outcrop which intersects this area.

132 Rivers Trusts exist across the UK, and aim to enhance their local river basin with the help of
133 volunteers and communities through their charitable objectives. Tyne Rivers Trust (TRT) led an
134 ambitious multi-partnership restoration project from 2012 to 2015 with the aim of improving the
135 health of the Haltwhistle Burn and its tributaries, using community engagement from the onset (TRT,
136 2015). Although the project focused around headwater runoff and pollution, flooding was also
137 included as an objective given that these issues are closely aligned. While TRT required evidence to
138 characterise the catchment and assist with designing and implementing a suite of catchment
139 management measures, no monitoring stations operated within the catchment before the project
140 started.

141

142 **Fig. 1.** (i) Location and elevation map of the Haltwhistle Burn catchment, (ii) Haltwhistle Burn at
143 high flow and (iii) Sediment deposited under a culvert in the town following high intensity rainfall.

144

145 The Haltwhistle Burn catchment and the already engaged ‘Haltwhistle Burn River Watch
146 Group’ offered a good case study site and focus community to trial a community-based monitoring
147 and modelling approach. Although findings are location- and community-specific, this case study site
148 has numerous characteristics and issues which are common to many rural UK catchments. We have
149 therefore designed, implemented and facilitated a low-cost community-based monitoring programme
150 within the catchment to support TRT’s existing restoration project (Large *et al.*, 2017 in press), to
151 further understand flash flooding and to allow appropriate alleviation measures to be designed and
152 implemented.

153 **3. Methodology**

154 **3.1 Overview**

155 The value of quantitative and qualitative observations collected by the local community have
156 been demonstrated here by using the data alongside a traditional monitoring network to build and run
157 a physically-based, spatially-distributed (PBSD) catchment model, SHETRAN. The community-based

158 data includes rainfall, river level and flood observations, all of which have been used to extract timing
159 and magnitude information for the April 2014 high intensity rainfall event which occurred in the
160 catchment. The modelling framework involved calibrating, validating and accepting a ‘baseline’
161 model which consists of rainfall data integrated from the best available gauge combination (in this
162 case, both community-based and traditional ground-based gauges). While keeping all other model
163 settings and datasets the same, a ‘leave-one-out’ methodology allowed the effect of different
164 combinations of these rainfall observations to be tested. All modelled outputs were statistically and
165 visually compared with traditionally-derived hydrographs, as well as to each other. These community-
166 based observations were also compared with the same SHETRAN model using UK Met Office
167 rainfall radar observations over the same period.

168 **3.2 Community-based monitoring**

169 Participatory projects involving members of the public contain a number of stages, from
170 initial engagement activities through to feedback and ongoing facilitation. Fig. 2 summarises the
171 stages involved in initiating the community-based monitoring network in Haltwhistle. Key guidance
172 documents such as those produced by Pocock *et al.* (2014), Science Communication Unit (2013) and
173 Tweddle *et al.* (2012) were consulted for best practice during this process.

174
175 **Fig. 2.** Key stages involved during the community-based monitoring process to capture observations
176 ready for the modelling activities.

177
178 Using TRT as a ‘gatekeeper’, an initial workshop was held by the research team, inviting the
179 already established River Watch Group, as well as key partners in the wider community (land owners
180 and residents). Other engagement techniques were adopted, including social media
181 ([@HaltwhistleBurn](#)), local newspaper articles, the project website
182 (<http://research.ncl.ac.uk/haltwhistleburn/>) and leafleting. Many authors, including Tweddle *et al.*
183 (2012) have argued that ongoing feedback is essential. The project website therefore acted as an
184 ongoing community-hub and toolkit, where information and observations could be hosted.

185 Following these initial (but vital) engagement activities, a variety of simple low-cost citizen
186 science style monitoring and data submission tools were sourced or developed for use. Maximising
187 participation levels and ensuring relevant and meaningful parameters were recorded was at the
188 forefront of the design process. Unlike many projects which strap micro-sensors to volunteers or their
189 belongings (e.g. Castell *et al.*, 2015; Hut *et al.*, 2014), activities were designed here to encourage
190 long-term monitoring beyond the lifetime of the project and for citizen scientists to physically observe
191 and learn about their weather and water environment themselves, rather than simply distributing
192 automatic sensors. In order to maximise the usefulness of observations and improve their quality, a
193 ‘pro-active’ monitoring approach was adopted. This involved training participants in advance so that
194 they were confident to participate and collect good quality observations relevant to the management
195 process. It also meant that they knew what to look out for both during and immediately after flash
196 floods. Laminated training cards were created to ensure this awareness, and also to standardise
197 monitoring methods (see examples in the Supplementary Material).

198 Although a wide range of monitoring activities were trialled, efforts ultimately focussed on
199 rainfall, river levels and flood-related evidence (Table 1). These were the most popular and frequently
200 observed parameters across the full monitoring period of October 2013 to February 2016. Depending
201 on user preference, web forms, Excel spreadsheets and email, paper and face-to-face meetings,
202 Twitter and an Android ‘*River and Weather*’ app developed in-house were all used by volunteers to
203 submit observations.

204
205 **Table 1.** Examples of community-based monitoring techniques used in Haltwhistle which are relevant
206 to this modelling study.

207
208 Once observations had been submitted and shared, datasets were anonymised and databases
209 created. In many cases, the observations were either photographs or videos (river levels and flood
210 information) which were named and ordered by date and time. A large quantity of flood observations
211 obtained from multiple members of the community during the events of interest were analysed; they

212 were generally found to be self-consistent, confirming their validity as evidence of the intense rainfall
213 and high flow impacts experienced on the ground. Quantitative observations were manually extracted
214 from river level photographs by the lead author in order to minimise error. Quality control checks
215 were also manually carried out on the rainfall datasets to ensure valid observations were available for
216 use. This involved comparing daily totals against each other, checking for gaps and outliers in the
217 datasets, only authenticating extreme rainfall values when photographs/videos of impacts aligned, and
218 comparing observations against average annual rainfall totals.

219 After establishing a network of manual rain gauges for ongoing 24-hour community
220 observations, data from both 'Townfoot' (data quality accepted, representing the town and lower
221 catchment) and 'Cawburn' (poor quality data sourced from the mid-catchment region) were then used
222 within this modelling study. These two gauges offer a good comparison between datasets to
223 emphasise the importance of good quality citizen science observations. They also contain data for the
224 full modelling period of interest (January 2014 to May 2015). The spatial and temporal availability of
225 community-based observations used in the SHETRAN modelling study are presented in Fig. 3, along
226 with statistics which were used to rule out the Cawburn gauge during the quality control process. The
227 Cawburn gauge was rejected for valid use because rainfall totals were considerably underestimated,
228 particularly with respect to extreme events; it was, however, used in this modelling study to
229 demonstrate the effect of a poor quality community dataset on model performance. The Cawburn
230 observer originally highlighted that their gauge may be invalid due to lack of regular maintenance.

231 Flood observations provided by the community highlight three interesting high flow (flash
232 flood) events. This paper explores all three events, focussing mainly on Event 1 (further outputs for
233 Events 2 and 3 are in the Supplementary Material):

- 234 1. 30th April 2014: an intense convective storm (described as a 'cloud burst') which was
235 localised over the town of Haltwhistle;
236
- 237 2. 8th August 2014: a convective summer storm falling on dry ground and mainly in the upper
238 catchment;

239

240 3. 22nd/23rd December 2014: an intense and prolonged period of winter rainfall over a saturated
241 catchment, causing widespread flooding, and morphological response comprising mass
242 transportation and deposition of sediment.

243

244

245 **Fig. 3.** Spatial (i) and temporal (ii) availability of community-based observations used to model, along
246 with a summary of the quality control checks used to accept or reject individual rain gauges (iii). The
247 Townfoot rain gauge has also been compared with traditional gauges (see Supplementary Material).

248 Note that Cawburn rainfall totals are significantly lower than expected, hence it was rejected.

249

250

251 3.3 Traditional hydrometric monitoring network

252 Prior to the project, there were no traditional ground-based hydrometric monitoring networks
253 in operation within the catchment boundary. A traditional hydrometric monitoring network was
254 therefore set up alongside the community-based scheme to fill the data gaps, capture local response
255 and offer scientifically robust hydrological data. Rainfall and discharge datasets were necessary to
256 calibrate and validate SHETRAN, but also to demonstrate the value of community-based input data
257 (as rainfall influences runoff).

258 An aerodynamic tipping bucket rain gauge and six pressure transducers for water level
259 recording were installed between January and May 2014. Flow gauging was required to convert water
260 level into discharge (Q) using stage-velocity-area derived rating curves (see Supplementary Material
261 for detail). Data from a nearby UK Met Office daily rain gauge at Blenkinsopp Hall (west of the
262 catchment boundary) was also sourced from the British Atmospheric Data Centre (BADC). The
263 spatial and temporal availability of traditional data used in SHETRAN are shown in Fig. 4. A few
264 gaps exist in the time series because of equipment failure, including battery failure, network issues,
265 data storage capacities and damage caused by cattle.

266 Met Office 1km NIMROD rainfall radar data was also sourced from the BADC and
267 represents an alternate source of traditional data. It was only feasible to study the three flood events
268 listed above due to the large the amount of processing required to extract and prepare the data, as well
269 as run SHETRAN.

270

271

272

273 **Fig. 4.** Spatial (i) and temporal (ii) availability of traditional datasets used in this study. Colours
274 correspond to each individual gauge on the map.

275

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276 3.4 Hydrological modelling using SHETRAN

277 SHETRAN (Système Hydrologique Européen TRANsport) is a PBSO hydrological model
278 which is capable of simulating spatially-distributed hydrological processes at a catchment scale
279 (Newcastle University, 2016). Catchments are represented by a three-dimensional discretised grid and
280 a simplified river network (known in this model as ‘channel links’), thus the model can represent both
281 surface and subsurface processes. SHETRAN is well-established and researched in the literature, with
282 modellers utilising it to obtain discharge information for a variety of applications (Birkinshaw *et al.*,
283 2011, 2014; Mourato *et al.*, 2015; Parkin *et al.*, 2007). However, SHETRAN has not yet been used to
284 demonstrate the value of community-based observations. Being a PBSO model, it provides an
285 opportunity to use observed data from various sources and locations, and integrate them into the
286 hydrological cycle.

287 The most recent version of SHETRAN was sourced from Newcastle University (2016). Table
288 2 summarises the input data sourced and prepared for the Haltwhistle Burn catchment, along with
289 other relevant model settings required. SHETRAN was set up to run between 26/01/2014 00:00 to
290 01/06/2015 00:00 GMT, a period of 491 days which makes use of the best available data when both
291 community-based and traditional datasets overlap.

292

Item / setting required	Data source	Preparation for SHETRAN
Model resolution	100m chosen – maximum resolution feasible (when considering model stability and simulation time).	
Mask	Outline derived using EDINA Digimap 5m Panorama elevation data.	Aggregated to 100m (4110 active cells in plan view available for simulation).
Minimum & mean filled DEM	50m panorama elevation data supplied by EDINA Digimap. Elevation ranges from 101m to 344m AOD.	Resampled to 100m resolution grid using minimum and mean aggregation techniques.
Precipitation (P)	Combination of data from Fig. 3 and 4 and rainfall radar used in the main modelling framework. Refer to the Thiessen polygons in Fig. 6 for spatial interpolation and distribution. Gibbs Hill, Blenkinsopp Hall and Townfoot gauges were initially used to set up the model.	
Potential evaporation (PE)	<p>No automatic weather stations (AWS) available within catchment boundary.</p> <p>Met Office Spadeadam AWS used from the BADC (located 10km north west from the catchment):</p> <ul style="list-style-type: none"> • Maximum and minimum temperature • Wind speed • Relative humidity. <p>Spadeadam did not contain any sunshine data. Brampton manual weather station run by a Met Office volunteer (located 21km west from the catchment) used instead for ‘total sunshine hours’.</p> <p>No gaps found in datasets used.</p>	<p>PE calculated using five weather parameters and the UN Food and Agriculture Organization recommended Penman-Monteith approach (Raes, 2012). This approach represents evaporation from a vegetated surface with an unlimited supply of water, which was considered sufficient for this study site and land cover. An open source tool described by Raes (2012) was used to calculate PE automatically.</p> <p>Final PE dataset was aggregated to a 24-hour resolution and used uniformly across the catchment.</p>
Soil & geology	<p>Peaty (upper catchment) and loamy (mid/lower catchment) soils with a moderately productive aquifer dominate.</p> <p>The EU soils database and British Geological Survey hydrogeology layers (1km resolution) initially used to obtain realistic properties and set up the model.</p>	<p>Resampled to 100m resolution grid.</p> <p>Calibration activities later refined the soil and geology datasets to allow for local variations in runoff.</p>
Land cover	25m Land Cover Map 2007 supplied by EDINA Digimap. Catchment is dominated by grassland (64%), evergreen forest (18%) and Shrub (11%).	<p>Land cover codes reclassified to fit SHETRAN (arable, bare ground, grass, deciduous forest, evergreen forest, shrub and urban). Aggregated to 100m grid.</p> <p>Calibration activities later refined land cover properties to allow for local variations in runoff.</p>
Lakes	Ordnance Survey 1:10,000 Master Map shapefiles. Includes Greenlee (0.51km ²) and Broomlee (0.30km ²) Loughs in the upper catchment.	Converted to 100m raster grid.
Max & min temperature	Temperatures are used directly in SHETRAN only for simulating snowpack development and snowmelt; there were no snow events during the simulation period.	
Output resolution & locations	SHETRAN was set to produce simulated discharge (<i>Qsim</i>) every 5 minutes for the six gauging stations which contain observed discharge (<i>Qobs</i>).	

293 **Table 2.** Input data sourced and prepared ready for the Haltwhistle Burn SHETRAN model.

294

Additional information given in the text.

295 Based on the input layers, SHETRAN represents the Haltwhistle Burn catchment using the
 296 river network and catchment grid presented in Fig. 5. Output locations (Q_{sim}) corresponding to each
 297 gauging station (Q_{obs}) are also highlighted. The model described in this section is referred to as
 298 ‘Model A’. Where changes have been made to input rainfall, a new model name is used.

299
 300 **Fig. 5.** SHETRAN 100m grid and river network used to represent the Haltwhistle Burn catchment.

301 Coloured dots represent locations where modelled discharge (Q_{sim}) have been extracted.

302 Watercourse abbreviations are referred to in later sections.

303

304 SHETRAN has been manually calibrated using an iterative approach by systematically
 305 changing the values of input parameters. The parameters are those which are reported to be
 306 hydrologically sensitive in the literature and in SHETRAN (Birkinshaw *et al.*, 2011, 2014; Đukić and
 307 Radić, 2016; Mourato *et al.*, 2015), including the Strickler overland flow (SOF) roughness coefficient,
 308 soil depth (SD), saturated hydraulic conductivity (Ks) and the ratio of actual to potential
 309 evapotranspiration (AE/PE). These parameters can be adjusted within the soil and land cover layers
 310 and therefore allow the model to account for local variability in surface and subsurface properties.
 311 The aim of the calibration phase is to alter the model parameters in order to minimise the error
 312 between Q_{obs} (the benchmark) and Q_{sim} , whilst still being physically acceptable (Beven, 2009). The
 313 validation phase involved running the model for an independent set of data to check that the model
 314 settings still produced an acceptable simulation. A split sample test was used to divide the calibration
 315 and validation periods (see Table 3); both periods contain an adequate range of hydrological
 316 conditions.

317

Simulation period	Time period (from – to) (GMT)	Number of days
Calibration	28/09/2014 00:00 to 01/06/2015 00:00	246
Validation	26/01/2014 00:00 to 27/09/2014 23:55	245

318 **Table 3.** Defining the calibration and validation periods within the full simulation period of interest.

319 Alongside graphical and visual inspection, it is good practice to use a combination of
320 statistical performance indicators to assess model performance (e.g. Hall, 2001; Krause *et al.*, 2005;
321 Moriasi *et al.*, 2007). The following tests, which are frequently used to assess hydrographs, were used.
322 The acceptable performance values listed for each were chosen based on limits reported in the
323 literature as providing reliable modelled outputs (Moriasi *et al.*, 2007; Mourato *et al.*, 2015):

- 324 • Coefficient of determination (R^2), with 0.7 being used as the minimum acceptable value;
- 325 • Root mean square error (RMSE), to provide an indication of performance in the same units as Q;
- 326 • Percentage bias (PBIAS), with +/-25% being reported as the maximum acceptable error;
- 327 • Nash-Sutcliffe Efficiency (NSE) coefficient, with anything above +0.5 reported to provide at least
328 a 'good' fit.

329 In order to demonstrate the value of community-based observations, a 'leave-one-out'
330 methodology was adopted. The leave-one-out procedure involved re-running the already calibrated,
331 therefore accepted, SHETRAN model multiple times. On each occasion different elements of
332 information were excluded from the simulation to test how well the model performs without it. Beven
333 (2009) and Otieno *et al.* (2014) advocate leaving observations out of the rainfall interpolation and
334 modelling process as a way of demonstrating their value. Such an approach has allowed different
335 sources (therefore combinations) of rainfall data to be used and assessed against the 'baseline' (Model
336 A). This approach was feasible as precipitation is SHETRAN's main temporal and spatial driving
337 variable. Making use of a 'patchwork' of heterogeneous information, combinations used were dictated
338 by the spatial and temporal availability of input precipitation data previously described. SHETRAN
339 was not recalibrated before each combination; other than the rainfall data, all parameters and datasets
340 remained constant throughout. The performance of Model A was expected to degrade with diminished
341 rainfall information, offering an opportunity to test model performance in relation to each other.

342 Point rainfall measurements were spatially interpolated across the catchment to create a 100m
343 resolution grid using conventional Thiessen polygons (Fig. 6). Although there are many other
344 interpolation techniques available (e.g. Shaw *et al.*, 2011), Thiessen polygons, which assign areas of
345 the catchment to the nearest point measurement, are able to represent localised storms well if enough

346 rain gauges are present (therefore providing a good test here). Interpolation methods, such as
347 arithmetic mean, cannot achieve this and more advanced geostatistical techniques were not expected
348 to yield better results. Alongside catchment-wide rainfall radar data, traditional, community-based and
349 a combination of both data sources were used to create these spatial maps. It should be noted that the
350 Cawburn gauge data was also incorporated into some scenarios to demonstrate potential implications
351 when 'rejected' observations are used. Since community-based rainfall observations and the UK Met
352 Office Blenkinsopp Hall gauge have 24-hour temporal resolutions, these daily data have been
353 disaggregated into 5-minute timesteps by imposing the same rainfall pattern from a traditional 5-
354 minute resolution rain gauge (Gibbs Hill), in model scenarios where this detail is available (Models A,
355 B and E). Where this detail is not available (Models C, D, F and G), they have kept their original 24-
356 hour resolution to allow model performance to be evaluated whilst using these temporally coarser
357 observations. The statistical performance indicators were then utilised to quantitatively assess the
358 effects of each rainfall combination.

359
360

361 **Fig. 6.** Combination of rain gauges and resulting Thiessen polygons used to spatially estimate
362 precipitation across the catchment in Models B-G (includes original Model A), as well as a 1km
363 resolution grid which utilises Met Office rainfall radar data (Model H). Original rainfall datasets have
364 been directly fed into these models, rather than calculating areal rainfall, in order to capture spatial
365 variability.

366 **4. Results & discussion**

367 **4.1 Enhancing SHETRAN's inputs using quantitative and qualitative** 368 **observations**

369 Analysis of different sources of rainfall has highlighted the importance of spatial and
370 temporal observations, particularly during the period of intense localised rainfall experienced on the
371 30th April 2014 (Event 1). Fig. 7 displays a set of 48-hour cumulative rainfall plots which represent
372 Event 1 for each of the three gauges used to initially build Model A. It is clear that the traditional

373 gauges observed much lower rainfall totals (17.6mm and 17.9mm) compared with community-based
374 (41mm), despite being only a few kilometres apart. If the community-based observations had not been
375 available, the traditional gauges would have completely missed these larger totals observed over the
376 lower catchment and the impact zone. However, Fig. 7 also confirms that while rainfall radar totals
377 were significantly lower than those observed by the community, the radar observations did show the
378 spatial location and extent of the storm and provided detailed temporal resolution, thus have captured
379 steeper cumulative trends, hence implying a more intense, short-lived storm.

380

381 **Fig. 7.** Left: 48-hour cumulative rainfall plots for Event 1 (30/04/2014 00:00 to 02/05/2014 00:00
382 GMT) for each gauge initially used in Model A, and rainfall radar where each gauge overlaps. Right:
383 Rainfall radar accumulations for the same period across the catchment. Ground-based gauges are
384 overlaid onto the radar grid. Plots relating to Events 2 and 3 can be found in the Supplementary
385 Material.

386

387 One obvious drawback with community-based rainfall observations is that they are usually
388 reported on a 24-hour basis. If used in isolation at this resolution, only rainfall totals can be extracted.
389 However, the full range of qualitative and quantitative community-based observations displayed in
390 Fig. 8 (photographs, videos, tweets and anecdotes) illustrate how the wider community can contribute
391 to the generation of an ‘event timeline’ which specifically highlights when the storm started and
392 finished. Together with the quantitative rainfall totals, this simple source of ground-based evidence
393 allows duration, magnitude and intensity information to be inferred on a local scale. For Event 1, after
394 observations were captured and shared by the public, it was clear that the event was extremely intense
395 with 41mm falling in just 30 minutes in the lower Haltwhistle Burn catchment. This was derived by
396 assessing the timeline of observations presented in Fig. 8, which visually and anecdotally confirms
397 that heavy rain was experienced locally on the ground between 15:20 and 15:50 (BST). An event as
398 intense as this would also be required to generate the flood and debris-related impacts witnessed on
399 the ground by the community. Rainfall totals can thus be disaggregated across the specific time period

400 when it was physically observed (in this case, 41mm of rain disaggregated evenly across 30 minutes),
401 rather than 24-hours, to realistically replicate a high intensity storm. SHETRAN's precipitation time
402 series were therefore updated to reflect the nature of Event 1 before Model A was calibrated.

403 This heterogeneous data integration process has only been possible due to the number of
404 community-based observations being available and because the rainfall event hit the town where
405 people live and walk past the Haltwhistle Burn. Event 2 (8th August 2014) provides an example where
406 the storm was centred higher up in the catchment, meaning the downstream community were unable
407 to provide information to help interpret quantitative rainfall totals. Event 3 (in December 2014) was
408 more widespread with saturated antecedent conditions, so observations captured by the community
409 were useful for highlighting downstream impacts. The value of the community-based rainfall
410 observations for Event 1 have therefore been enriched as it was possible to extract important hydro-
411 information from the patchwork of informal and heterogenic community-based observations, and
412 utilise them within SHETRAN to characterise the high intensity storm. These sub-hourly and highly
413 localised hydrological events, which are still poorly monitored and understood by professionals,
414 require this level of detail in order to better characterise them and their impacts (Archer and Fowler,
415 2015; Archer *et al.*, 2016; Perks *et al.*, 2016).

416

417

Fig. 8. A timeline of Event 1 (30th April 2014) created by harnessing a range of community-based quantitative and qualitative observations collected on the

418

ground. Note quotes such as “*Monsoon alert. Heaviest rain I’ve seen in ages!*”, and early warnings submitted and then crowd-sourced using Twitter.

419 4.2 Final calibration and validation results (Model A)

420 Initial calibration simulations for Model A reproduced the overall shape and timing of each
421 hydrograph reasonably well. In order to improve SHETRAN's ability to reproduce Q_{obs} at Gibbs
422 Hill, the SOF values of the actual channel links of the loughs (links which overlapped the lake layer)
423 needed to be reduced from 3.0 to 0.1. These results have subsequently highlighted the importance of
424 the two lakes (Greenlee and Broomlee Lough – shallow water and wetland nature reserves) in the
425 upper catchment and their ability to naturally attenuate high flows during and after rainfall. Final
426 model settings adopted are listed in the Supplementary Material.

427 Final calibration and validation results are presented in Table 4. Fig. 9 also contains graphical
428 comparisons of Q_{obs} and Q_{sim} (using Gibbs Hill, Sheep Dip and Broomshaw as examples) as well as
429 Q_{sim} for each gauging station. All of the statistics fall within acceptable limits, except for the Pont
430 Gallon Burn at Sheep Dip during the validation period. This has been attributed to the Pont Gallon
431 Burn sub-catchment not containing its own rain gauge, which would have been necessary to fully
432 capture the localised rainfall experienced during Event 2. Despite this, the model's overall average
433 (catchment-wide) performance is still well above the acceptance levels across the multiple indicators,
434 so this SHETRAN model was accepted for its intended use. The multi-location and multi-response
435 approach has highlighted the importance of sub-catchment information and catchment connectivity to
436 the calibration process as the Haltwhistle Burn catchment does not respond in a uniform way.

437

Gauge / Output Location	R ²	RMSE (m ³ /s)	PBIAS (%)	NSE
Calibration period: 28/09/2014 00:00 to 01/06/2015 00:00 (where observed data is available)				
CB at Gibbs Hill	0.92	0.26	-5.56	0.85
PGB at Sheep Dip	0.83	0.04	3.33	0.78
PGB at Cleughfoot	0.89	0.11	-13.29	0.88
CB at Cleughfoot	0.92	0.35	-9.31	0.90
CB at Cawfields	0.84	0.36	-6.71	0.86
HB at Broomshaw	0.88	0.47	0.48	0.77
Average	0.88	0.27	-5.18	0.84
Validation period: 26/01/2014 00:00 to 27/09/2014 23:55 (where observed data is available)				
CB at Gibbs Hill	0.90	0.10	10.47	0.88
PGB at Sheep Dip	0.52	0.04	-47.63	0.21
PGB at Cleughfoot	0.77	0.09	-12.20	0.76
CB at Cleughfoot	0.89	0.19	-8.34	0.86
CB at Cawfields	0.86	0.24	-4.77	0.85
HB at Broomshaw	0.87	0.14	14.86	0.72
Average	0.80	0.13	-7.94	0.71

438 **Table 4.** Final statistical results for the calibration and validation periods. Results relate to Model A
 439 using best available data, including quantitative and qualitative community-based observations
 440 (watercourse acronyms: Caw Burn, CB; Haltwhistle Burn, HB; Pont Gallon Burn, PGB).

441
 442
 443 **Fig. 9.** Q_{obs} and Q_{sim} results for the Caw Burn at Gibbs Hill, the Pont Gallon Burn at Sheep Dip and
 444 the Haltwhistle Burn at Broomshaw, plotted (i-iii) for Model A over the calibration and validation
 445 periods. Q_{sim} for all gauging stations are also presented together, which emphasises variation in sub-
 446 catchment response (iv).

448 4.3 Performance of SHETRAN using different combinations of rainfall data

449 Models B-G have been assessed across the full modelling period to determine the change in
 450 SHETRAN's performance in relation to the calibrated and validated (therefore accepted) baseline
 451 model, A.

452 Table 5 (i) presents the statistical results (averaged across all six gauging stations) for each
 453 model simulated i.e. rain gauge combination tested. The most notable trends exposed are that model
 454 performance progressively deteriorates from Model A to G and, as expected, A continues to be the
 455 most acceptable model for use. These trends are strengthened by the fact that multiple statistical
 456 performance indicators express the same trends, as well as overall discharge error (as PBIAS results,

457 which relate to mass balance, illustrate). A more pronounced case for these trends is exemplified in
458 Table 5 (ii) which present the same set of statistics, but only for the Haltwhistle Burn at Broomshaw,
459 where the bulk of community-based observations exist. For instance, the NSE coefficient falls by 1.30
460 when comparing Model G against A, whereas the difference between the same two models is only
461 1.09 when assessing all six gauging stations at the same time. Note that this trend is still apparent
462 despite the Broomshaw gauge analysis excluding Event 1 (i.e. missing *Qobs*).

463 The following points can also be noted when assessing the full modelling period (rather than
464 individual peaks):

- 465 • The performance of Model A is only marginally better than B, implying both should be
466 acceptable for wider use. The use of community-based observations has not therefore
467 degraded SHETRAN's predictive power, but similar results would have been obtained for the
468 full modelling period if only two traditional gauges (Model B) were available. Nevertheless,
469 this comparison emphasises that it is feasible to create an acceptable model containing
470 community-based observations and achieve statistical results similar to those obtained in
471 other SHETRAN studies (Birkinshaw *et al.*, 2011, 2014);
472
- 473 • 'Rejected' community-based rainfall observations have significantly affected (degraded)
474 model performance, particularly the mass balance aspect. Comparisons between Model A and
475 E show this most clearly;
476
- 477 • Use of community-based observations alone significantly degrades model performance.
478 However, the use of one good quality community-based rain gauge (Model D) produces
479 statistical results which are similar to the outputs obtained when using one traditional rain
480 gauge (Model C). However, this is not the case for the 'rejected' community-based data when
481 used in isolation (Model G);
482

483 • Models containing two or three rain gauges, for which it has been possible to disaggregate
 484 time series into 5 minute intervals, have produced reliable outputs. This is also true for
 485 models containing input data which had not been rejected during the quality control process.
 486 Models using only one rain gauge at a 24-hour resolution (Models C and D) would be
 487 rejected here. Nevertheless, some modelling studies regularly use these coarser resolutions.

488

489 Overall, these findings confirm that the resolution of the input data, the data quality and the
 490 total number of rain gauges used override the importance of whether or not community-based
 491 observations are used alongside traditional sources. These are obvious and important factors which
 492 modellers traditionally consider (Beven, 2009; Beven and Westerberg, 2011; Montanari and Di
 493 Baldassarre, 2013). This suggests that there is potential for integrating community-based observations
 494 with traditional sources to fill monitoring gaps, to support the modelling process and to characterise
 495 catchments on a local scale meaningful to resident communities. Findings here also complement
 496 results obtained by Mazzoleni *et al.* (2015) who found that synthetic intermittent observations
 497 improved model performance for streamflow. It is also important to remember that traditional
 498 observations are not free from error and can still provide incorrect information (Beven and
 499 Westerberg, 2011).

500

Model, rain gauge combination & total number of rain gauges used (brackets)	R^2	RMSE (m ³ /s)	PBIAS (%)	NSE
Full modelling period: 26/01/2014 00:00 to 01/06/2015 00:00 (where observed data is available)				
(i) Average results across all six gauging stations:				
A • (3)	0.86	0.22	-5.17	0.83
B * (2)	0.85	0.23	-4.90	0.82
C * (1)	0.61	0.33	2.98	0.61
D ♦ (1)	0.55	0.36	-5.10	0.48
E •♦ (4)	0.58	0.30	25.09	0.53
F ♦♦ (2)	0.11	0.63	59.98	-0.23
G ♦ (1)	0.05	0.64	61.08	-0.26
Full modelling period: 26/01/2014 00:00 to 01/06/2015 00:00 (where observed data is available)				
(ii) Results for the Haltwhistle Burn at Broomshaw only:				
A • (3)	0.90	0.39	2.42	0.81
B * (2)	0.89	0.40	2.71	0.80
C * (1)	0.80	0.42	12.01	0.77
D ♦ (1)	0.79	0.41	6.56	0.78

E •◇ (4)	0.93	0.32	23.48	0.86
F ◆◇ (2)	0.46	0.99	74.21	-0.26
G ◇ (1)	0.09	1.07	80.34	-0.49

501 **Table 5.** Average (i) and Broomshaw Hill only (ii) SHETRAN results for Models A-G across the full
 502 modelling period (rain gauge combinations: • traditional and community-based, * traditional only, ◆
 503 community-based only and ◇ rejected).

504 **4.4 Importance of community-based observations during flood events**

505 Event 1 (30th April 2014) has been isolated here for analysis to determine how SHETRAN
 506 performs during a localised flash flood event when a patchwork of community-based observations are
 507 most abundant, as well as rainfall radar.

508 Table 6 (i) contains the statistical results relating to Event 1, comprising an analysis covering
 509 four days to capture the rise and recession of a single event-based hydrograph. The dominant pattern
 510 generally involves a degradation in model performance when rain gauges are removed or rainfall
 511 radar is used. Performance diminishes when community-based observations are completely absent or
 512 when the Thiessen polygon over-exaggerates the spatial scale of the convective storm (in this case the
 513 41mm captured by the community). Analysis confirms that the community-based observations have
 514 helped to capture river response following the storm but the spatial extent of the event is not
 515 accurately represented, even by Model A. Table 6 (ii) contains SHETRAN's response for the Caw
 516 Burn at Cawfields. This gauging station is used to represent river response upstream of the town
 517 because observed water level (therefore discharge) was not recorded at Broomshaw for this period
 518 (see data gap in Fig. 4). Compared to the catchment's average response, model performance at the
 519 Cawfields gauge is significantly enhanced when community-based observations are incorporated.

520

Model, rain gauge combination & total number of rain gauges used (brackets)	R ²	RMSE (m ³ /s)	PBIAS (%)	NSE
Event 1 (30 th April): 29/04/2014 00:00 to 03/05/2014 00:00 (*where observed data is available)				
(i) Average results across five gauging stations:				
A • (3)	0.76	0.44	13.94	0.49
B * (2)	0.43	0.60	21.55	0.22
C * (1)	0.32	0.64	36.01	0.03
D ♦ (1)	0.53	1.55	-189.92	-134.82
E •♦ (4)	0.80	0.24	-19.10	-4.72
F ♦♦ (2)	0.65	0.82	-148.71	-50.24
G ♦ (1)	0.58	0.74	-122.50	-47.17
H Rainfall radar •	0.52	0.56	13.55	0.09
Event 1 (30 th April): 29/04/2014 00:00 to 03/05/2014 00:00				
(ii) Results for the Cawburn at Cawfields only:				
A • (3)	0.75	1.03	28.67	0.54
B * (2)	0.09	1.50	42.53	0.02
C * (1)	0.03	1.57	52.64	-0.08
D ♦ (1)	0.92	2.46	-82.72	-1.65
E •♦ (4)	0.96	0.55	18.78	0.87
F ♦♦ (2)	0.96	1.09	-69.08	0.48
G ♦ (1)	0.95	0.99	-43.65	0.57
H Rainfall radar •	0.23	1.40	38.68	0.14

521 **Table 6.** Average (i) and Cawfields only (ii) SHETRAN results for Models A-H across Event 1 (rain
522 gauge combinations: • traditional and community-based, * traditional only, ♦ community-based only
523 and ♦ rejected). *Assessment excludes any Broomshaw observations.

524

525 **Fig. 10.** Hydrograph shape: final simulated discharge obtained from SHETRAN Models A-H for all
526 relevant gauging stations during the April 2014 event. Includes manual river level gauge board
527 (RLGB) observations collected by the community which have been converted into discharge. Note
528 that discharge has been plotted using a logarithmic scale.

529

530 Fig. 10 presents discharge plots for each model at each gauging station, along with observed
531 data for comparison. Manual river levels observed by the community (subsequently converted to
532 discharge using the site's rating curve) have also been added to the Broomshaw comparison. Graphs
533 help to interpret model performance relating to the shape of the hydrographs, and more specifically,
534 the rapid rise which is only reproduced when community-based observations are integrated. Use of
535 rainfall radar appears to improve the response of the model compared with use of only the two
536 traditional rain gauges, but a flashy response is still absent. Although the community failed to record a

537 river level (therefore river level gauge board (RLGB) Q_{obs} , once converted to discharge) as the burn
538 peaked at Broomshaw, the modelled hydrographs did correlate well with the six spot readings that
539 they did manage to observe. This is true for all but the ‘traditional only’ models. A variety of
540 quantitative and qualitative community-based observations have therefore been beneficially
541 incorporated into SHETRAN and used to validate the model. However, the value of these
542 observations are governed by a number of factors, for instance, when the peak exactly occurs (time of
543 day, week and season) and proximity of monitoring sites to residents’ homes.

544 Fig. 11 quantifies the impacts of each rain gauge combination on timing and magnitude of the
545 flood peak for the Caw Burn at Cawfields. For this particular case, the following findings are
546 highlighted when compared with observed peak discharge:

547

- 548 • Models B and C (traditional only combination) underestimate the flood peak by 84% and
549 87% respectively. Rainfall radar closely follows with 81%;
- 550
- 551 • Model D, which used a uniform grid of community-based observations, overestimates the
552 flood peak by 156%;
- 553
- 554 • The best representation of magnitude comes from Model E, a combination of four gauges
555 which underestimates the flood peak by 32%. This is better than Model A, and despite
556 containing the rejected rain gauge, Model E is likely to have created a better representation of
557 the rainfall extent;
- 558
- 559 • All models containing community-based observations produce peaks which arrive within 55
560 minutes of the observed, with Model E being the closest at 35 minutes. Extra rain gauges
561 above the town would have captured the extent of this intense storm more precisely, which in
562 turn would generate a more accurate time lag;
- 563

564 • The timing of the traditional only combinations were considerably delayed because the
565 hydrographs were too attenuated. The peak of the flood was over 9 hours (Model B), 10 hours
566 (rainfall radar) and even as delayed as 17 hours (Model C).

567

568

569 **Fig. 11.** A comparison between observed Q (Q_{obs}) and modelled discharge (Q_{sim}) for Models A-H at
570 Cawfields Caw Burn during the 30th April 2014 event: peak discharge (left) and timing of the peak
571 discharge (right).

572

573 Event 1 has also been compared here against Event 2 (August) and 3 (December) to
574 determine how far the value of community-based observations varies depending on the nature and
575 length of the hydrological event (the same set of statistics and plots as those in this section are
576 available in the Supplementary Material for these two additional events). Fig. 12 highlights the key
577 differences between Events 1, 2, and 3, and the full 491 days modelled. The comparison uses NSE
578 coefficients obtained, on average across the six gauging stations, from Model A and also B and H
579 (radar) as these models alone present practical combinations which stakeholders would typically use
580 (i.e. the best combination of traditional ground-based gauges (B) or rainfall radar (H) data which
581 would normally be available) if the community-based observations did not exist to create Model A.
582 Based on these plots, it is clear that the inclusion of community-based observations alongside
583 traditional data (Model A) adds most value (higher NSE) to the localised flash flood event in April.
584 Very little value is added during the longer modelling period and the prolonged winter storm,
585 meaning that the traditional gauges alone were sufficient. Little value is also added to Event 2, a
586 short-lived storm which was concentrated over the upper catchment. Nevertheless, the outcome
587 obtained from Event 2 was significantly governed by the location of this particular storm and the fact
588 that there were no community-based rain observations to represent it. Models containing rainfall radar
589 observations consistently reduced model performance, thus has not been affected by the nature or
590 length of the storm.

591

592

593 **Fig. 12.** NSE coefficients obtained from three key models of interest (Model A, B and Rainfall radar),
594 each shown for the full modelling period (Jan 2014 – May 2015) and Events 1, 2 and 3. Graphs
595 display average NSE results across all six gauging stations.

596

597 The patchwork of quantitative and qualitative community-based observations used here were
598 required to help capture the intense rainfall and flash flood response during Event 1. Smith *et al.*
599 (2015) and Kutija *et al.* (2014) also emphasise the value of community-based observations during
600 these hydrologically important events given that they are short-lived. Accurate coverage of the rainfall
601 extent is also required, however, as it can cause significant over- or under-estimation if incorrect.
602 Timing and magnitude are important factors which affect public response on the ground, response by
603 organisations responsible for flood forecasting and warning, as well as catchment managers designing
604 intervention measures to withstand or relieve short-lived floods. Community-based observations can
605 therefore make a difference; they have the potential to increase the spatial resolution of ground-based
606 gauges, as well as ground-truth rainfall radar observations which are routinely adjusted using gauge-
607 based factors (Wang *et al.*, 2015). Our findings also compliment Seibert and McDonnell (2015), who
608 found that a small number of ‘soft’ and ‘fuzzy’ qualitative (knowledge-based) observations are
609 extremely useful for understanding and modelling how catchments work, particularly under high flow
610 conditions. Seibert and McDonnell (2015) also suggest combining these informal observations with
611 the often limited network of traditional gauges. However, such an approach relies on unpaid members
612 of the public to be physically present, actively monitoring and collecting good quality observations,
613 which cannot always be guaranteed.

614

615 In this case study, seven manual rain gauges were originally distributed within the Haltwhistle
616 Burn catchment ready for community-based monitoring, but only two of these (Townfoot and
617 Cawburn) returned data covering the full modelling period. Due to the nature of citizen science and
the practicality of getting volunteers to observe parameters manually over time, it is to be expected

618 that datasets may be missing or incomplete from some monitoring sites. If the community were to be
619 informed that their observations are most useful during localised flash flood events, then they can
620 prioritise their monitoring efforts and pinpoint these specific occasions. In turn, the most valuable
621 observations are more likely to be captured for a greater number of monitoring sites and with an
622 increased temporal resolution. There are obvious health and safety implications for members of the
623 general public with this regard and the engagement, training and facilitation activities required to
624 activate community-based monitoring schemes should be prioritised.

625 **5. Conclusions**

626 The Haltwhistle Burn catchment and focus community have been used to demonstrate the
627 value of real community-based observations using a PBSM catchment model (SHETRAN) under a
628 range of scenarios. It is clear that the wider public can provide valuable inputs via citizen science style
629 data collection activities pertinent to catchment characterisation, modelling and management.
630 Community-based activities are less complicated, significantly cheaper and less demanding (e.g. for
631 power and processing) than their traditional counterparts, yet results here highlight how effective and
632 valuable they can be. Examples presented here emphasise the importance of spatial and temporal
633 information at a sub-catchment scale. Two key conclusions can be drawn from this work:

- 634 1. Our modelling results illustrate how a patchwork of quantitative and qualitative community-
635 based observations (which together yield information relating to rainfall totals, timing,
636 duration, and therefore intensity) are required alongside traditional sources of hydro-
637 information in order to fill spatial and temporal data gaps, and to characterise local catchment
638 response more accurately than using traditional data alone. This includes the behaviour,
639 timing and magnitude of river response during and after floods;
- 640
641 2. Evidence presented here confirms that community-based rainfall observations are most
642 valuable during local flash flood events. This information would otherwise often be missed,
643 be under-unrecorded by existing ground-based gauges, or else be significantly underestimated

644 by rainfall radar. Community-based observations are less valuable during prolonged and
645 widespread floods, or over longer hydrological periods of interest.

646

647 Community-based observations have the potential to add spatial detail and to ground-truth
648 existing traditional sources of catchment data, providing accurate information to support monitoring
649 applications nationally, including weather and flood forecasting, modelling and longer-term
650 catchment management initiatives. If community-based monitoring efforts are to be prioritised or
651 streamlined, then, as with any hydrological monitoring, this potential can only be realised if
652 appropriate procedures for quality control checking are established and followed. If the public
653 recognise which of their observations are most valuable, and they are properly trained, then they are
654 more likely to continue monitoring and providing good quality datasets which can contribute to the
655 catchment management toolkit in the longer term.

656 It is acknowledged that the results presented here are location, community, event and
657 equipment specific. However, this case study provides an early insight into what can be achieved and
658 the value that is added when public participation is integrated into the catchment characterisation and
659 management process. Data outcomes will evolve and improve over time given that citizen science is
660 flourishing in line with technological advances, but will be naturally limited by participation levels.
661 Overall, we conclude that a citizen science approach offers local communities an exciting way to
662 learn about their local water environment, engage with professional stakeholders, and be actively part
663 of the catchment management process.

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672 **7. Supplementary Material**

673 Supplementary Material associated with this article can be found in the online version.

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822 **Figure captions**

823

824 **Fig. 1.** (i) Location and elevation map of the Haltwhistle Burn catchment, (ii) Haltwhistle Burn at
825 high flow and (iii) Sediment deposited under a culvert in the town following high intensity rainfall.

826

827 **Fig. 2.** Key stages involved during the community-based monitoring process to capture observations
828 ready for the modelling activities.

829

830 **Fig. 3.** Spatial (i) and temporal (ii) availability of community-based observations used to model, along
831 with a summary of the quality control checks used to accept or reject individual rain gauges (iii). The
832 Townfoot rain gauge has also been compared with traditional gauges (see Supplementary Material).
833 Note that Cawburn rainfall totals are significantly lower than expected, hence it was rejected.

834

835 **Fig. 4.** Spatial (i) and temporal (ii) availability of traditional datasets used in this study. Colours
836 correspond to each individual gauge on the map.

837

838 **Fig. 5.** SHETRAN 100m grid and river network used to represent the Haltwhistle Burn catchment.
839 Coloured dots represent locations where modelled discharge (Q_{sim}) have been extracted. Watercourse
840 abbreviations are referred to in later sections.

841

842 **Fig. 6.** Combination of rain gauges and resulting Thiessen polygons used to spatially estimate
843 precipitation across the catchment in Models B-G (includes original Model A), as well as a 1km
844 resolution grid which utilises Met Office rainfall radar data (Model H). Original rainfall datasets have
845 been directly fed into these models, rather than calculating areal rainfall, in order to capture spatial
846 variability.

847

848 **Fig. 7.** Left: 48-hour cumulative rainfall plots for Event 1 (30/04/2014 00:00 to 02/05/2014 00:00
849 GMT) for each gauge initially used in Model A, and rainfall radar where each gauge overlaps. Right:
850 Rainfall radar accumulations for the same period across the catchment. Ground-based gauges are
851 overlaid onto the radar grid. Plots relating to Events 2 and 3 can be found in the Supplementary
852 Material.

853

854 **Fig. 8.** A timeline of Event 1 (30th April 2014) created by harnessing a range of community-based
855 quantitative and qualitative observations collected on the ground. Note quotes such as “*Monsoon*
856 *alert. Heaviest rain I've seen in ages!*”, and early warnings submitted and then crowd-sourced using
857 Twitter.

858

859 **Fig. 9.** Q_{obs} and Q_{sim} results for the Caw Burn at Gibbs Hill, the Pont Gallon Burn at Sheep Dip and
860 the Haltwhistle Burn at Broomshaw, plotted (i-iii) for Model A over the calibration and validation
861 periods. Q_{sim} for all gauging stations are also presented together, which emphasises variation in sub-
862 catchment response (iv).

863

864 **Fig. 10.** Hydrograph shape: final simulated discharge obtained from SHETRAN Models A-H for all
865 relevant gauging stations during the April 2014 event. Includes manual river level gauge board
866 (RLGB) observations collected by the community which have been converted into discharge. Note
867 that discharge has been plotted using a logarithmic scale.

868

869 **Fig. 11.** A comparison between observed Q (Q_{obs}) and modelled discharge (Q_{sim}) for Models A-H at
870 Cawfields Caw Burn during the 30th April 2014 event: peak discharge (left) and timing of the peak
871 discharge (right).

872

873 **Fig. 12.** NSE coefficients obtained from three key models of interest (Model A, B and Rainfall radar),
874 each shown for the full modelling period (Jan 2014 – May 2015) and Events 1, 2 and 3. Graphs
875 display average NSE results across all six gauging stations.

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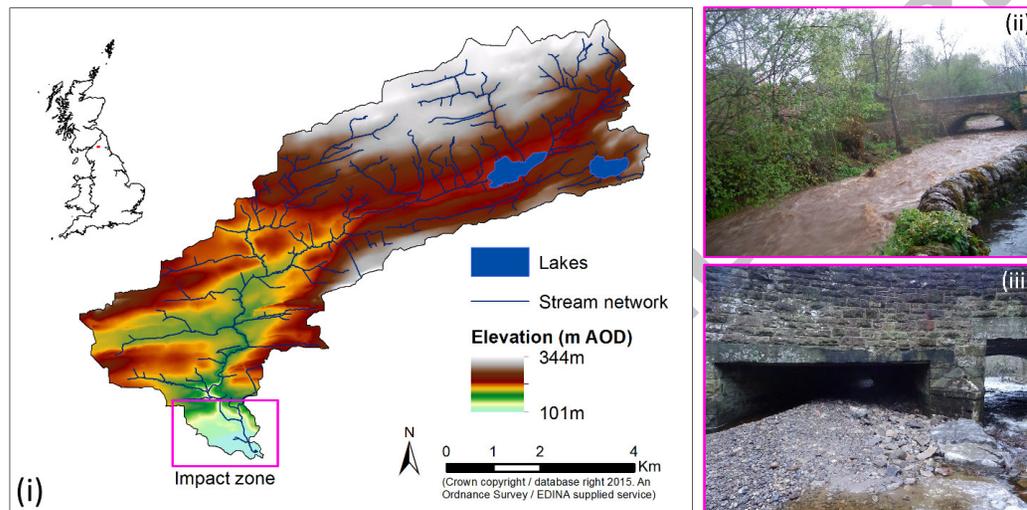
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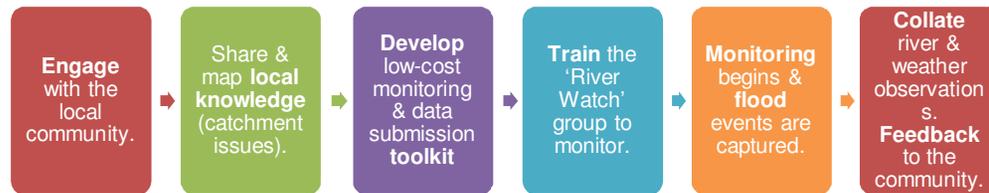
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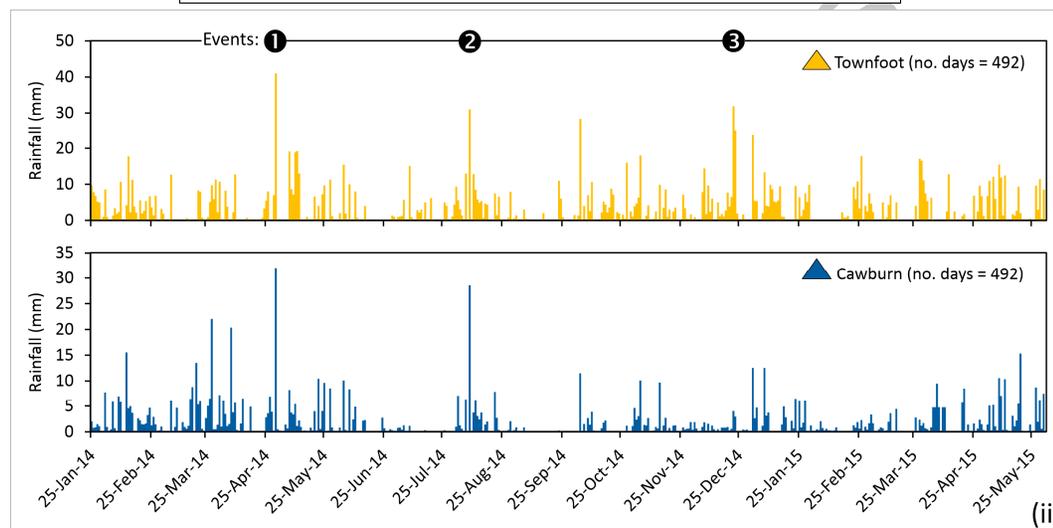
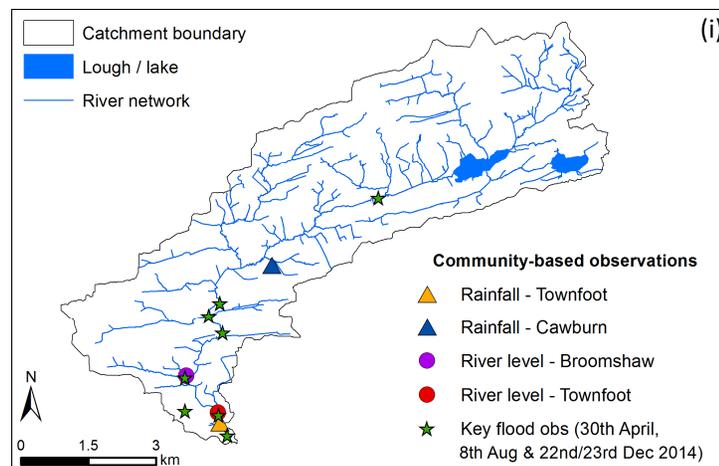
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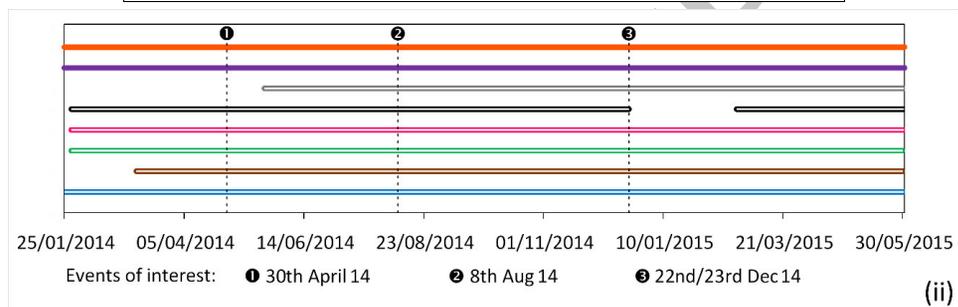
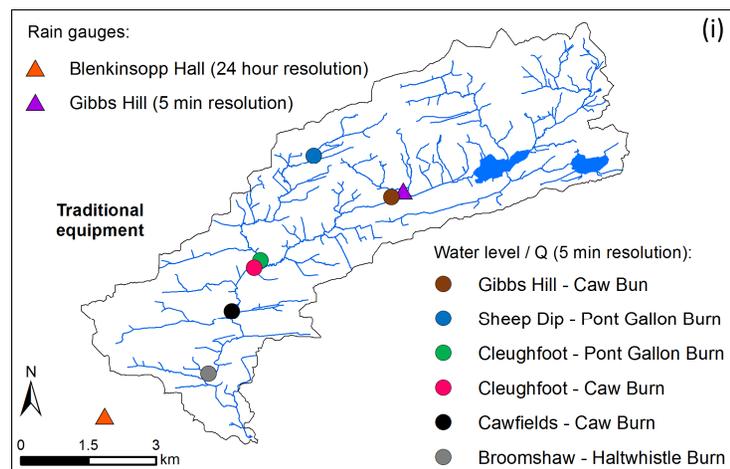




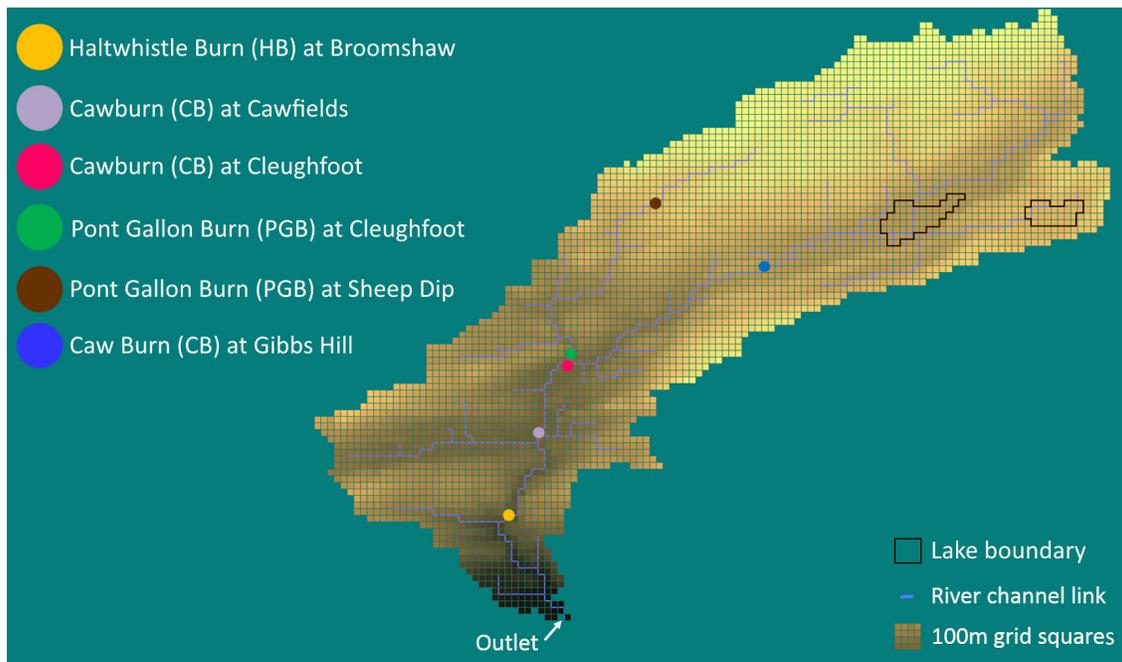


(iii) Quality control checks (*note that the 1961-1990 average annual rainfall is 1000-1500mm, Kay et al., 2013)

Gauge	No. gaps in data	Σ rainfall (mm) 492 days	Σ rainfall (mm) annual*		Extremes valid?	Dataset accepted?
			01/03/14 – 01/03/15	01/05/14 – 01/05/15		
▲ Townfoot	0	1530	1107	1087	✓	✓
▲ Cawburn	0	857	587	472	x	x



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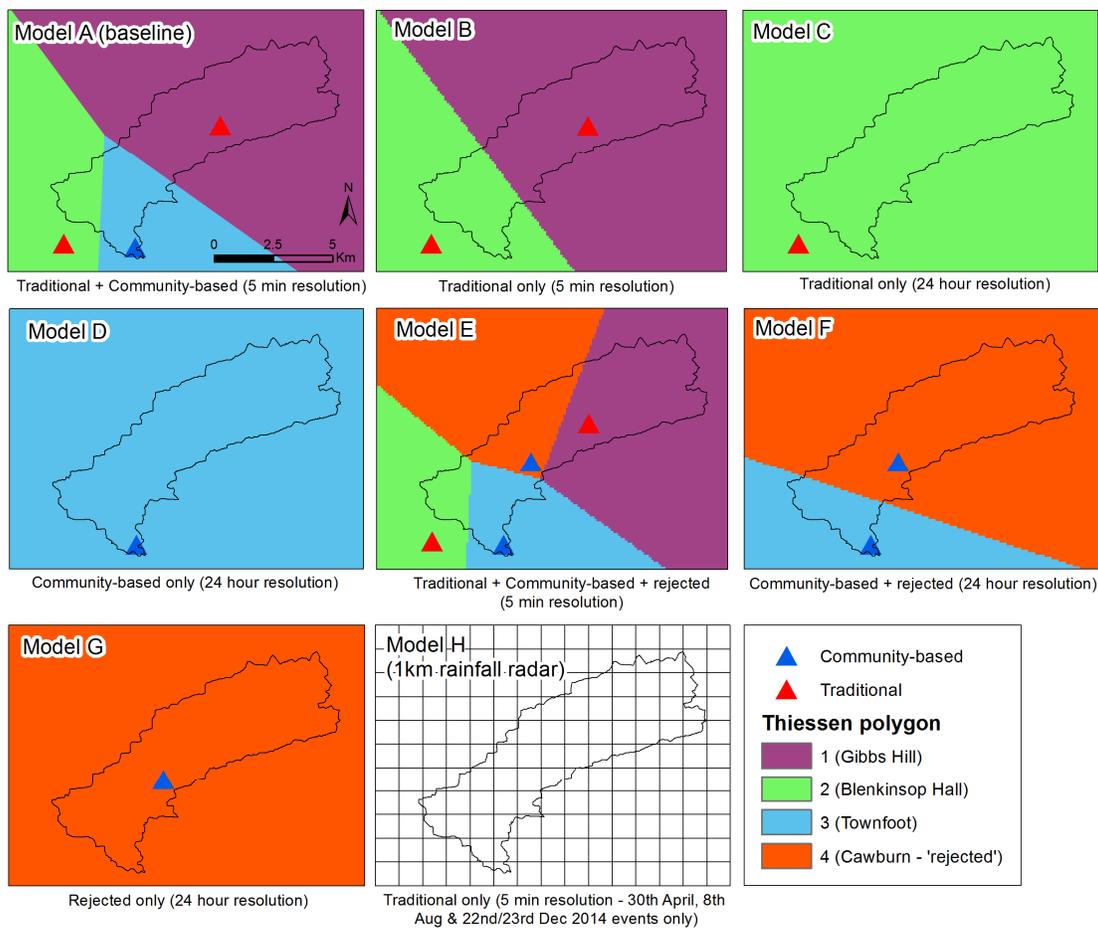
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886 Fig. 5

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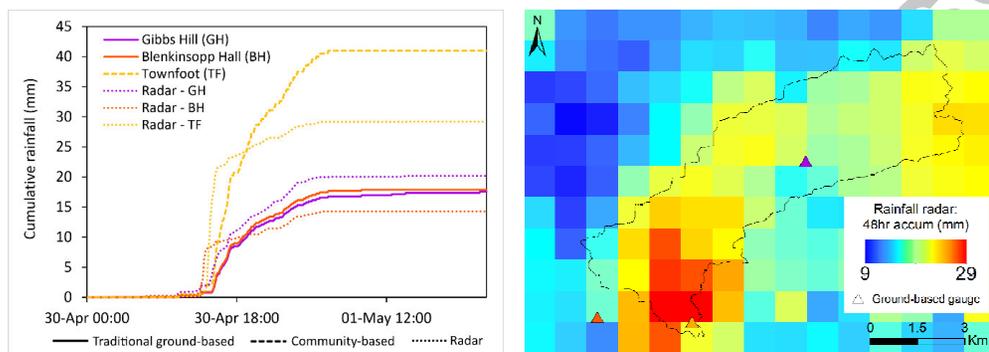
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892 Fig. 6

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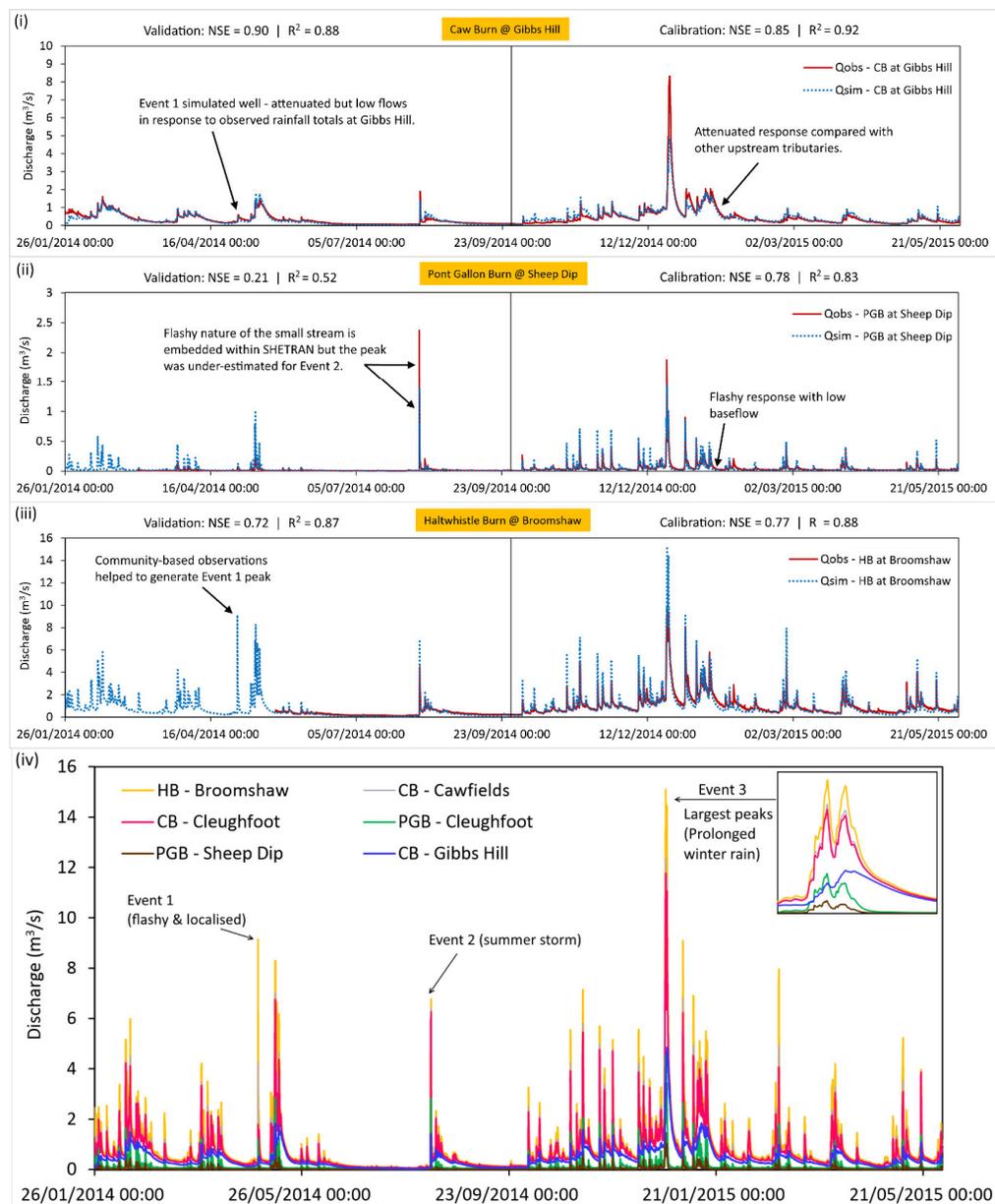
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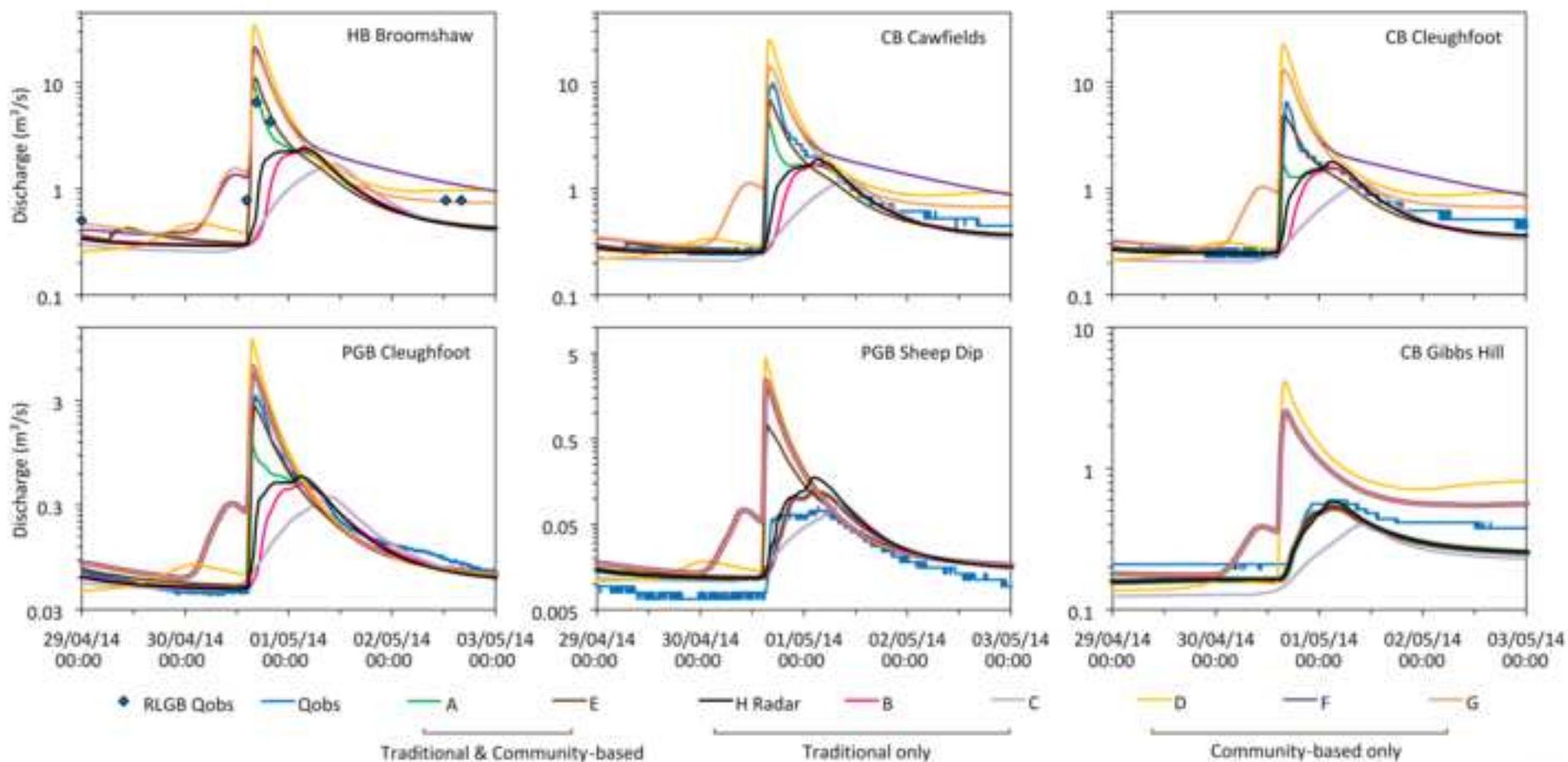
899 Fig. 8

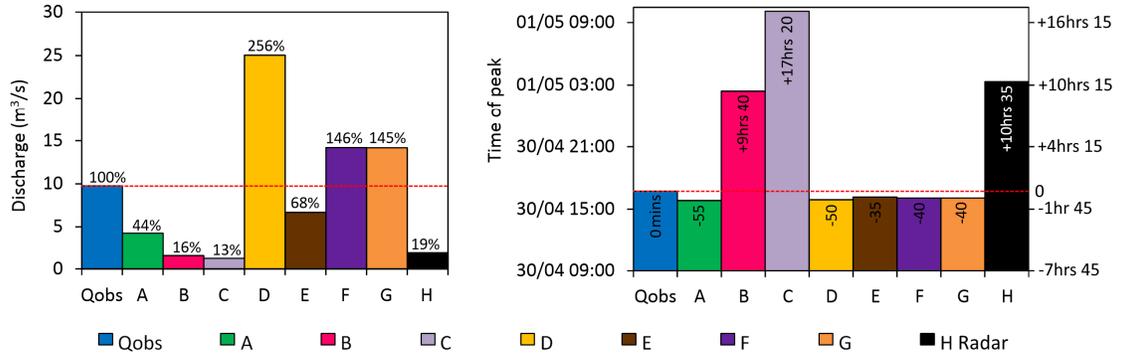
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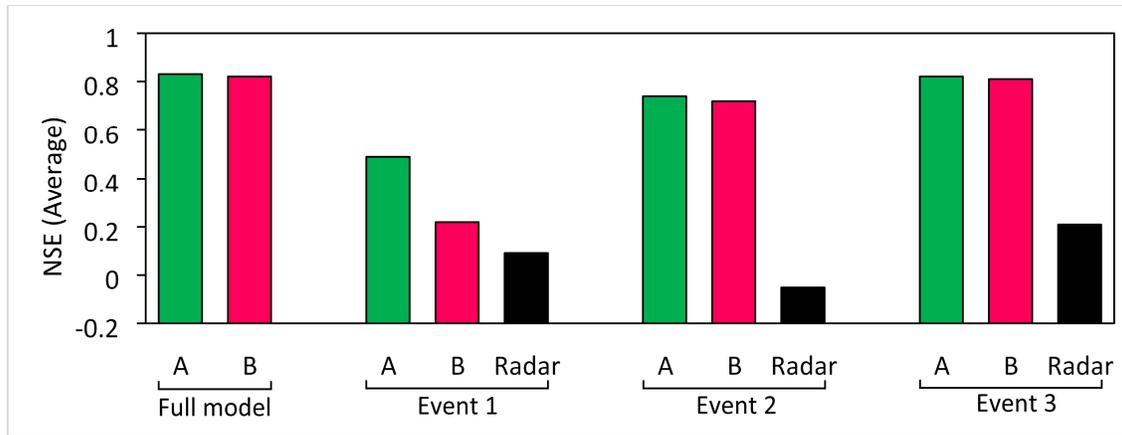
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913 Fig. 11

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920 Fig. 12

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Parameter	24-hour rainfall totals	River (water) levels (sporadic / daily)	Flood-related information
Method	Plastic manual rain gauge in back gardens, placed at ground level. Graduated scale in millimetres for quantitative observations taken at the same time usually every day in the same location.	Manual river level gauge boards at key (fixed) locations. 'River Watch Photo Posts' erected to provide instructions and consistency. Photographs or direct quantitative measurements taken.	<ul style="list-style-type: none"> • Anecdotes / eye-witness descriptions • Photographs • Videos • Extra river levels. All provided with date, time and locational information.
Example			

923 **Table 1.** Examples of community-based monitoring techniques used in Haltwhistle which are relevant
 924 to this modelling study.
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929 Highlights

- 930 • Citizen scientists can collect relevant rainfall, river level and flood observations
- 931 • Community-based observations add value to the hydrological modelling process
- 932 • Their observations are most valuable during local flash flood events
- 933 • Traditional ground-based gauges and rainfall radar miss or underestimate flood peaks
- 934 • Combination of data sources required to fully characterise local catchment response

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