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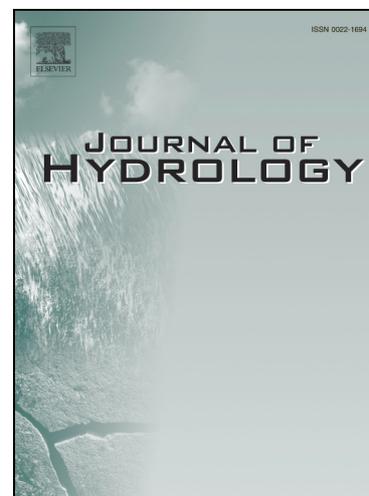
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1 Identifying and Validating Freshwater Ecoregions in Jinan City, China

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12

13 Abstract

14 Freshwater ecoregion is currently widely used by biologists, conservators and resource managers.

15 Most of ecoregion delineations are developed at the basin scale and are not fully adapted in a

16 practical manner because operational water resources management is primarily conducted by

17 political administrative departments. In this study, an ecoregion delineation framework was

18 proposed to classify three-level ecoregions in Jinan City with geographic information systems and

19 cluster analysis. The first level ecoregion was composed of three watersheds (a part of the Yellow

20 River, Xiaoqing River and Tuhaimajia River) plus the urban area, which was primarily determined

21 on the basis of the city administrative divisions and river watersheds. The classification of the

22 second level ecoregion is primarily based on the spatial heterogeneity of land use. The third level

1 ecoregion was delineated for each second level ecoregion by using the cluster analysis on water
2 quality. At the same time, administrative boundaries were used to rectify the boundaries of each
3 ecoregion in this study to facilitate the administration of each ecoregion. Furthermore, ecological
4 health assessment (IBI) based on fish communities were employed to validate the freshwater
5 ecoregion. The results demonstrated that 73.3% of ecoregions were in line with the distribution of
6 fish IBI, indicating that the freshwater ecoregions are acceptable for future water resources
7 management.

8

9 **Keywords:** Freshwater ecoregions; City; Water quality; Land uses

10

11 **1. Introduction**

12 Ecoregions are large geographical regions that include multiple ecosystems, often with similar
13 functions (Bailey, 1983), and have been widely used in resources management since they were
14 issued (Omernik, 1987). Ecoregion delineation initially focused on terrestrial ecosystems, and
15 Omernik (1987) expanded it to aquatic ecosystems. Aquatic ecosystem delineations are based on
16 the assumption that freshwater ecosystem processes are systematically influenced by
17 environmental processes operating at the landscape scale (Maxwell et al., 1995; Soranno, 2010),
18 Therefore, aquatic ecoregions is the unit that includes the homogenous freshwater ecosystems and
19 related surrounding lands. The development of freshwater ecoregions has many potential uses for
20 biologists, conservators and resource managers (Harding and Winterbourn, 1997) in conservation
21 and management of water resources (Kennard et al., 2010), aquatic species (Abell, et al. 2008),
22 aquatic ecosystems and habitats (Munne and Prat, 2004), and also in water quality monitoring

1 (Ravichandran et al., 1996) and river health assessment (Binckley et al., 2010).

2 Many aquatic ecoregion delineation systems have been developed in the world (Kennard et al.,
3 2010), including North America (Omernik, 1987) and Australia (Davies, 2000). However, two
4 distinct problems still exist for further applications in modern water resources management. First,
5 many previous attempts to delineate ecoregions corresponded approximately to a drainage basin.
6 In fact, the fundamental aquatic ecosystem management unit at least in China is the Water
7 Department within a city, which means it is also necessary to perform aquatic ecoregion
8 delineations within the city scope; on the other hand, previous ecoregion delineations may lead to
9 management confusion. For aquatic ecosystem conservation, the reason for degradation may be
10 from the surrounding environmental factors or the upper basin in another administrative unit. To
11 identify the relationship between the power and the responsibility, aquatic ecoregion delineation
12 needs to be performed, not only in a drainage basin but also within a city.

13 The second problem, the subjective and intuitive ecoregions resulting from different selected
14 indicators instead of repeatable selecting methods, has prevented ecoregion delineation from being
15 derived from a framework of regulated indicators. Because the spatial pattern of any particular
16 variable might correspond to certain eco-regional characteristics when it was applied to identify
17 ecoregions, different ecoregions will vary in their degree of homogeneity, and the change at the
18 boundaries between different ecoregions could fluctuate in a manner specific to the locality
19 (Intergovernmental Task Force on Monitoring Water Quality, 1995; Jenerette et al., 2002). To
20 guarantee the relative stability of borders and even the formality of aquatic ecoregions, a
21 repeatable indicator framework should be developed (Kong et al., 2013).

22 Terrestrial processes were recognized to have a significant influence on the state of water body

1 (Peterjohn and Correll, 1984; Summer et al., 1990). Particular variables such as physiography, soil
2 characteristics, and land uses were found to be important to affect water quality and even aquatic
3 ecosystem health (Geleta et al., 1994; Jenerette et al., 2002; Shirmohammadi et al., 1997). Aquatic
4 ecoregions could be identified according to the spatial patterns of the driving factors (Bailey et al.,
5 1985; Bailey, 2005; Graef et al., 2005; Omernik and Griffith, 1991). Most studies focused on
6 aquatic ecoregions within a whole watershed or country, rather than in a city. Considering the
7 water resources management practice in China, Jinan City was selected as a case study to
8 delineate three-level aquatic ecoregions which may moderate the conflict of interest between
9 different authorities in watershed management.

10 Much of this work – including freshwater ecoregion delineation within a city and a repeatable
11 indicator framework – has not been adequately conducted up to date. Thus, this paper aims to use
12 statistical methods to develop an acceptable indicator framework based on the concept of aquatic
13 ecoregions. Additionally, factors considered during ecoregion delineation in a watershed are quite
14 different from those in a city. Administrative boundaries and rivers often overlap, effectively
15 dividing watersheds (Jenerette et al., 2002), while traditional ecoregions used to include a
16 complete basin for ecological reasons (Bailey, 2005). Additionally, for modern water resources
17 management, freshwater ecoregions in a city are required for local river management. Therefore,
18 we try to identify aquatic ecoregion boundaries according to local political boundaries other than
19 the boundaries of watersheds.

20 The objective of this study is to use rigorous analysis procedures, especially modern statistical
21 methods, to develop a repeatable indicator framework applied for identifying freshwater
22 ecoregions in Jinan City. Then, the ecoregion results will be assessed for accuracy in describing

1 the homogeneity within ecoregions and maximizing the heterogeneity among ecoregions. Finally,
2 appropriate freshwater ecoregions will be illustrated for modern water resources management in
3 Jinan City.

4

5 **2. Materials and Methods**

6 **2.1 Study area description**

7 Jinan City is located in the warm-temperature and semi-humid continental monsoon district. This
8 city's land consists of three major watersheds, which are the Yellow River watershed (YR), the
9 Xiaoqing River watershed (HR) and the Tuhaimajia River watershed (TR), with total areas of
10 2,778 km², 2,792 km² and 2,400 km², respectively. They have few hydrological connections with
11 each other, and their headwaters come from different districts, so that we could expect there to be
12 a few differences among the three aquatic ecosystems. The part of the Yellow River in Jinan City
13 belongs to its downstream section, which merges into the Bohai Sea in the city of Dongying next
14 to Jinan. The riverbed is quite broad (up to 200 m wide), and interestingly there are only a few
15 branches merging into the Yellow River in Jinan because abundant sediment concentration causes
16 the bottom of the riverbed to be higher than the surrounding ground. The Xiaoqing River, which
17 crosses through the urban area, is important for the urban district, domestic life and industrial
18 development in Jinan. The Tuhaimajia River, which is located in the alluvial plains of the north of
19 Jinan, is regarded as the main water resource for irrigation, as it flows through densely populated
20 areas with a large amount of farmland.

21 **2.2 Freshwater ecoregions delineation**

22 The identification of the freshwater ecoregions included four steps as follows.

1 **Step 1: Environmental data collection and processing**

2 To monitor the water quality of river ecosystems within Jinan City, we conducted three extensive
3 field surveys (Fig.1): May 1st-20th, August 2nd-21st and November 1st-20th, 2014. Nine
4 representative parameters were selected for the freshwater ecoregion delineation, including
5 electrical conductivity (EC), dissolved oxygen (DO), total nitrogen (TN), ammonia nitrogen
6 (NH₃-N), nitrite nitrogen (NO₂-N), nitrate nitrogen (NO₃-N), permanganate index (COD_{Mn}),
7 biochemical oxygen demand (BOD), and total phosphate (TP). The values of Ec and DO were
8 directly measured in-situ using a YSI-85 multiparameter water quality monitoring instrument. The
9 others were collected at the monitoring sites and tested in the laboratory within 24 hours.

10 Fishes were also collected in the field surveys. Sites were fished for a maximum of 30 minutes and
11 for no more than 100 m, which represented different types of habitats (i.e., riffle, run, and pool). In
12 wadeable streams, fish were collected by a two person fish collection team, i.e., one individuals
13 used the backpack electrofisher with two handheld electrodes and one was responsible for netting
14 fish with dip nets (Wu et al., 2014). In unwadeable streams, seines (30 and 40 mm mesh size)
15 were used for fishing by boat, and electrofishing equipment was used to ensure a good
16 representation of the fish at the site. All individuals (with total length longer than 20mm) collected
17 were identified to species. The ecological health assessment was conducted using the Index of
18 Biological Integrity based on fish community (IBF, see Wu et al., 2014 for details).

19

20 **Fig.1** Study area with aquatic ecosystem monitoring stations

21

22 A digital elevation model (DEM) at a 30×30 m resolution was used to extract sub-basins by
23 ArcGIS software. The delineated sub-basins were employed for identifying ecoregions using

1 cluster analysis.

2 Landuse information was obtained from the Resource and Environmental Sciences Data Center
3 of CAS (Chinese Academy of Science). Data were provided in a 30×30 m resolution, and includes
4 information from six land use categories (Fig.2): 1) agricultural land, including paddy fields and
5 dry land; 2) forestland, including shrub land and sparse woodlot; 3) grassland, including different
6 coverage types; 4) construction land, including industrial and residential areas; 5) water bodies,
7 including rivers, wetlands and sandy beaches; and 6) barren land, including gravel, bare ground
8 and bare rocks. Actually, only forestland and barren land were selected in this study for the
9 following analysis due to their appropriate spatial heterogeneity, while the spatial homogeneity of
10 other land use types were too high or too low to be applied for ecoregion delineation (Fig.2).

11

12 **Fig.2** Spatial patterns of the six land use types in Jinan City. A grey grid indicates that the landuse
13 type covers at least 25% of the grid area.

14

15 **Step 2: Selection of freshwater ecoregion indicators**

16 Selection of indicators is vital in freshwater ecoregion delineations, which should be in
17 accordance with the classification of ecoregions. The aquatic ecoregion application (Omernik,
18 1987) or other broad-scale terrestrial delineations are based on climate and terrestrial vegetation
19 (e.g., DMEER, 2000), as well as on runoff depth (Kong et al., 2013). Actually, these three factors
20 were telling the same story, which all focused on water resources. However, the condition in this
21 study is a little different from the previous. As mentioned, the area of Jinan City is approximately
22 8,200 km², where distributions of precipitation and vegetation are not heterogeneous enough to

1 identify distinct freshwater ecoregion patterns. Though almost the same precipitation amount
2 occurs throughout the city, the elevation factor has an effective influence on the distribution of
3 water resources, affecting water converge from the high mountain to the alluvial plains and then to
4 the estuary. Rugged ground creates different landscapes by determining water flow directions, and
5 because water resources are the dominant factor in shaping aquatic ecosystems, we thus take the
6 elevation into consideration as a freshwater ecoregion indicator.

7 In addition, surface runoff carries soil particles with various contaminants when crossing
8 through different land use types in a flow convergence process. It has long been recognized that
9 land use patterns or landscapes showed significant association with river or lake water quality
10 (Allen et al., 1997; Hurley and Mazumder, 2013; Sliva and Williams, 2001), particularly at the
11 riparian scale (Marzin and Verdonschot, 2013). In this study, correlation analysis between
12 freshwater community and land use types (Table 1) showed that forestland and barren land were
13 significantly related to fish, zooplankton and phytoplankton assemblage attributes and water
14 quality parameters. Despite very different approaches, land use patterns have been widely applied
15 in various ecoregion frameworks (Bailey, 2005; Kong et al., 2013).

16

17 **Table 1** Correlation between freshwater community features and land use types

18

19 Soil type was also used for delineation in previous research on identifying ecoregions. However,
20 because of the relatively small area of Jinan City, there are only three sorts of soil found (moisture
21 soil, cinnamon soil and brown soil) (Wen, 2010), indicating insufficient heterogeneity to be
22 applied in this study. Soil type is thus omitted from the following analysis.

23 Compared to terrestrial ecosystem components, riverine water quality affected aquatic

1 ecosystems more directly. Physicochemical characteristics of water are not only an important
2 component of aquatic ecosystems, but are also the reflection of the environment for aquatic
3 ecological organisms, by which the features and functions of a freshwater ecological community
4 are shaped to some extent (Allen et al., 1997). Water quality is different from climate, land use and
5 other terrestrial ecosystem components because it fluctuates at a smaller temporal and spatial scale,
6 which means that it could be employed as a lower level ecoregion index. Therefore, collectively
7 three indices (elevation, land use types and water quality) are appropriate for identifying aquatic
8 ecoregions in Jinan City.

9 For the first level ecoregion, distributions of water resources and sources of river water are
10 considered to be two important factors. The DEM map (Fig.1) shows that Jinan City's political
11 area tends to decrease in elevation from south to north, leading river water to flow from the
12 southern mountain to the northern alluvial plains. Two facts should also be noted. First, the three
13 rivers (the Yellow, Xiaoqing and Tuhaimajia Rivers) have no hydrological connection and come
14 from distinct headwater sources. For instance, the Yellow River originates from the Tibet plain,
15 while the Xiaoqing River is a local stream whose source is located in the southern hilly mountains.
16 Aquatic ecosystems within these two different rivers are expected to be distinctly different.
17 Second, the characteristics of the city administrative divisions are anticipated to be far from those
18 of the natural landscape or countryside. The results from our three field surveys suggested that
19 very intensive human activities caused the deterioration of the environment to the extent that all
20 18 representative fish species were absent at most sites (67%) in the urban area (Zhao et al., 2015).
21 Given that special status and the highly intensive anthropogenic activities, the urban area is
22 supposed to be independent of the surrounding ecosystems.

1 For the second level ecoregion, middle-scale spatial variability of environmental attributes is
2 suitable in this type of classification. Semi-variance analysis showed that elevation, annual
3 precipitation, annual evapotranspiration, and land uses (forestland and barren land) were spatially
4 autocorrelated, and the minor ranges were 53 km, 434 km, 448 km, 16 km and 14 km, respectively.
5 Jinan City is approximately 100 km long diagonally, suggesting that spatial variability of land use
6 types such as forestland and barren land can be reflected in the second level delineation.

7 For the third level ecoregion (the lowest level in this study), the ecoregion indicators needed are
8 ones that are at the smallest spatial and temporal scales, and water quality parameters satisfy this
9 criteria. A total of 45 sampling sites were set in three field surveys, which covered each of the
10 three river watersheds, meaning that a sufficient water quality dataset is developed for the
11 following analysis.

12

13 **Step 3: Freshwater ecoregion delineation approach**

14 Because subsystems can be understood only within the context of the whole, the classification of
15 freshwater ecoregion begins with the largest units and successively subdivides them (Bailey, 2005).
16 The first level ecoregion is recognized by dissecting the whole Jinan City area into parts on the
17 basis of differences in water resources, water sources and elevation. Similarly, the second level
18 ecoregion would also be developed by subdividing the first level based on the spatial patterns of
19 forestland and barren land. This approach is referred to as “from above”.

20 To ensure the integrity of units and the significance of hydrological drainage area, the third
21 level ecoregion was identified from the lower level units (Liu et al., 2010). On the basis of the
22 second level ecoregions, divided sub-catchments were compared in terms of water quality patterns

1 (Appendix), and the adjacent sub-catchments with similar patterns were combined into one
2 third-level ecoregion, and so forth. Finally, the approach to delineating third level ecoregions can
3 be referred to as “from below”.

4 **Step 4: Adjustment of the initial ecoregions results**

5 In practice, there are two water resource management systems in China. One is based on the
6 large river basins, such as the Changjiang Water Resources Commission of the Ministry of Water
7 Resources and the Yellow River Conservancy Commission of the Ministry of Water Resources,
8 which are for water resource management of the Changjiang River and the Yellow River,
9 respectively. The other is based on political boundaries, including the Water Resources
10 Department or the Water Conservancy. The research of aquatic ecoregions in Jinan City belongs to
11 the second administrative system. Therefore, the boundaries of each ecoregion in this study were
12 rectified to fit the boundaries of political units, so there is a practical advantage in adjusting
13 statistically determined ecoregion boundaries to follow administrative borders.

14

15 **3. Results Analysis**

16 **3.1 Freshwater ecoregion delineation**

17 The boundaries of the three catchments were extracted based on DEM, which is the preliminary
18 delineation of the first level ecoregion (Fig.3). The urban area of Jinan City is mainly located in
19 the Xiaoqing River Catchment. The field surveys showed that no fish were found in 50% of
20 sampling sites in the urban area, but only 10% in the natural area. Furthermore, the attributes of
21 the zooplankton community are also significantly different in the urban area than in the natural
22 area (Table 2), especially for the attributes of species numbers and density ($p < 0.05$). Therefore, the

1 urban area was identified as an independent ecoregion, so that four first-level ecoregions in total
2 were identified in Jinan City, and the four freshwater ecoregions were then named the Yellow
3 freshwater ecoregion, Xiaoqing freshwater ecoregion, Urban freshwater ecoregion and Tuhaimajia
4 freshwater ecoregion.

5

6 **Table 2** Comparison of zooplankton community attributes between the urban and natural area

7

8 **Fig.3** Catchment extraction and the first-level ecoregion delineation results in Jinan City. I: Yellow
9 freshwater ecoregion, II: Urban freshwater ecoregion, III: Xiaoqing freshwater ecoregion, IV:
10 Tuhaimajia freshwater ecoregion.

11

12 For the second level freshwater ecoregion, barren land was mostly distributed in northern Jinan,
13 while the forestland was in the south (Fig.4). On the foundation of the higher level (the first level),
14 the Yellow freshwater ecoregion (I) could be subdivided into three parts (I -1, I -2 and I -3), where
15 I -1 and I -2 are separated by I -3, which was primarily covered by forestland; it is the same in the
16 Xiaoqing freshwater ecoregion (III) and the Tuhaimajia freshwater ecoregion (IV), where III-2
17 and IV-1 were mostly characterized by forestland and barren land, respectively, but III-1 and IV-2
18 were not. The Urban freshwater ecoregion (II) was still maintained as an independent and intact
19 ecoregion.

20

21 **Fig.4** land use patterns and the second-level ecoregion delineation results in Jinan City. The black
22 solid-circle marked line stands for the pattern boundaries of land uses; Roman numeral – Arabic
23 numeral (e.g., I-1 or III-2) denotes the serial number of the second-level ecoregions, where the

1 Roman numeral refers to the first-level ecoregion and the Arabic numerical indicates the
2 sub-ecoregion within the first level.

3

4 To maintain the natural integrity of the drainage pattern, the city was classified into 153
5 sub-catchments (Fig.5 left). Combined with the spatial cluster results of water quality (Fig.5
6 middle and Appendix), the freshwater ecoregion boundaries could be then established using the
7 principle of maintaining the boundary integrity of sub-catchments in the first stage. As the
8 third-level ecoregion was supposed to be set at the county scale, the lowest level of political
9 authority in China, county boundaries were applied to rectify the first-stage ecoregion. For
10 example, solely based on the water quality pattern in I-3, there was no third-level freshwater
11 ecoregion existing; but by taking into account the boundaries between the Changqing County and
12 Pingyin County, the Changqing County and the Lixia County, I-3 was then subdivided into three
13 lower level ecoregions (Fig.5 right).

14

15 **Fig.5** The third-level ecoregion delineation process using sub-catchments and water quality
16 pattern results. The black solid-circle marked lines stand for the pattern boundaries of water
17 quality. Roman numeral – Arabic numeral (e.g.,I-3-1 or III-2-2) denotes the serial number of the
18 third-level ecoregions, where the Roman numeral refers to the first-level ecoregion and the Arabic
19 numerals are the serial numbers of the second and third-level ecoregions, respectively. Among the
20 second-level ecoregions, the water quality groups were presented by different color, and within
21 each second-level ecoregion, the water quality patterns were marked by different geometric
22 figures)

23

1 3.2 Freshwater ecoregion assessment

2 The freshwater ecoregion is regarded as a reliable determination of a spatially eco-hydrologic
3 heterogeneous nature, which could be used to effectively and efficiently manage water resources
4 within a basin or a political area (Jenerette et al., 2002). It is necessary to employ other freshwater
5 ecological indicators that are not involved in classification to validate the aquatic ecoregion
6 delineation results. Thus, the validation is then made on the basis of the health assessment results
7 of the fish community by comparing the spatial patterns of freshwater ecoregions and the
8 ecological health assessment.

9 For the fish community, the ecological health assessment method is the Index of Biological
10 Integrity (IBI, see Wu et al., 2014 for details). As shown in Fig.6, the Yellow freshwater ecoregion
11 (I), except for I-3-3 which was classified as a bad level, had generally healthy results for the fish
12 community. The whole urban area (II) was almost all covered in red, suggesting an extremely
13 severe environment for fish. While for the Xiaoqing freshwater ecoregion (III), most areas were in
14 good condition except for two sites located in III-1-2 and III-2-2. In the Tuhaimajia freshwater
15 ecoregion, IV-2-2 showed low biological integrity, although the adjacent IV-2-1 was quite healthy
16 based on the fish community, indicating the reasonable health of the freshwater ecoregion in this
17 area. This is also true for IV-1-1 and IV-1-2. However, the ecological health assessment results in
18 IV-1-3 are divided into two distinct parts, low biological integrity in the western part and high in
19 the eastern part.

20

21 **Fig.6** Comparison of spatial patterns of ecological integrity based on fish community and the
22 aquatic ecoregion map.

1

2 The amount and serial number of ecoregions that were in accordance with the spatial patterns of
3 biological health assessment results, and those that were not, were listed in Table 3. As it shows,
4 for the fish community, eleven out of fifteen freshwater ecoregions (73.3%) were in agreement,
5 suggesting that most of the ecoregions identified in this study showed strongly consistent
6 relationships with aquatic ecological homogeneity in Jinan City.

7

8 **Table 3** Consistent results between the freshwater ecoregions and the spatial patterns of biological
9 communities

10

11 **4. Discussion**

12 This study demonstrated that the environmental variables with strong spatial variability could be
13 applied to identify freshwater ecoregions within Jinan City. The ecoregion delineation approach
14 was quantitative and repeatable, and the ecoregion results were consistent with the observed
15 spatial pattern of biological health assessment results based on the fish community.

16 **4.1 Potential application on another city**

17 The materials or dataset used in the ecoregion delineation process were available for city
18 governors and stakeholders. River basins were used in the delineation of the first level aquatic
19 ecoregion. In fact, most cities in the world belong to one river basin or cut across several river
20 basins. In the former condition, apparently this type of city would be in a single first-level
21 ecoregion; thus, it makes sense from the second-level ecoregion. While in the latter condition, we
22 believe that the number of first-level ecoregions is expected to be equal to that of river basins
23 because (in terms of hydrology) river waters draining from different drainage basins can never

1 meet each other before they arrive at the outlet of the hierarchical basin (DeBarry, 2004). Thus,
2 different river basins reflect different aquatic environments and ecological attributes, which are
3 similar to the climate zones in terrestrial ecoregion delineations reported by Bailey (2005).
4 Consequently, we recommend river basins as the indicator to delineate the first level freshwater
5 ecoregions. In addition, rivers in urban areas are critical in city development for city governors
6 and stakeholders, which makes urban areas sensitive and significant in ecoregion delineation. The
7 field survey results showed that aquatic ecological community attributes were significantly
8 different in the urban area compared with the natural area (Table 2), so it is recommended that the
9 urban area be viewed as an independent ecoregion.

10 This is also true for other environmental data that were applied in the ecoregion delineations in
11 this study. For example, DEM was applied to extract river catchments, which was the fundamental
12 indicator for the first level aquatic ecoregions (Fig.3), and landuse types within the Jinan area
13 were selected to delineate the second level aquatic ecoregions (Fig.4). For the third level, water
14 quality variables were classified by cluster analysis to subdivide the higher level (Fig.5 and
15 Appendix). Materials used in urban aquatic ecoregion delineation are also available at the basin
16 scale (Harding and Winterbourn, 1997; Kong et al., 2013), so our method for freshwater ecoregion
17 identification is repeatable for almost any city in the world just by having access to the necessary
18 datasets and dealing with them in the presented way or in any operational manner that is better for
19 the practical conditions.

20 For the second level freshwater ecoregion, forestland and barren land were used, due to their
21 significant spatial heterogeneity and strong relationship with aquatic ecosystems, especially with
22 water quality (Table 1). When ecoregion delineations are developed in other cities, other land use

1 types might be the appropriate indicator. Bailey (2005) found that in some areas problems
2 resulting from the intricate pattern of secondary successional stages make regional boundary
3 placement difficult, so that potential vegetation, rather than actual vegetation, was useful in
4 capturing ecological regions. Actually, these two opinions look at the same question from different
5 perspectives. Our study is based on the current influence of actual land uses on surrounding river
6 ecosystems. The influence may vary with the ecological successions of land use types, but if
7 ecoregion delineation remains flexible enough to accommodate the various environments, the
8 ultimate results would be consistent with those presented by Bailey (2005). In addition, if potential
9 vegetation was applied in delineation in the beginning, the ecoregion results might not match well
10 with actual river ecosystems due to the time lag.

11 **4.2 Freshwater ecoregion assessment**

12 An accepted way to test the strength of a classification is to determine whether the ecosystem
13 classes correspond well with sample data on biotic communities within each class (Van Sickle,
14 1997; Hawkins et al., 2000; Melles et al., 2012; Snelder et al., 2004). Our study made comparisons
15 between the ecoregion classifications and the spatial patterns of ecological health assessment
16 results based on the fish community. Furthermore, the freshwater ecoregions results in Jinan City
17 were also proved to be applicable and valuable by Zhao et al. (2015), where an effective method
18 for assessment of rehabilitation potential was developed, based on the responses of dominant fish
19 species to their changing habitat environment within the first level ecoregions presented in this
20 study.

21 **4.3 Ecoregion changes in future**

22 As mentioned, modern classifications need to remain flexible enough to accommodate

1 emerging conceptual models and policy frameworks (Melles et al., 2011). It is widely recognized
2 that all environmental variables, including ones involved in our research, vary over both spatial
3 and temporal scales. Variables that exhibit significant spatial variability in this time might be
4 homogenous next time. Land-form is also changeable because forestland could be exploited into
5 urban land or agricultural fields over a time scale of decades. Consequently, a flexible and
6 up-to-date variable dataset would assure that physically based abiotic ecoregion delineations could
7 be combined with predictive models of climate change to forecast potential shifts in the
8 distribution of various freshwater biotas (Melles et al., 2011).

9 Although our ecoregion delineation approach is based on statistical methods, such as cluster
10 analysis, which makes our ecoregion results repeatable, there are some unavoidable uncertainties
11 in the results. The main source of uncertainty is the water quality variables, which were
12 determined on the basis of the commonly monitored variables at the local hydrographic office,
13 leading to the occurrence of a biased dataset. To reduce this uncertainty, we selected water quality
14 variables according to their importance in ecosystems and environmental quality standards for
15 surface water (GB 3838-2002) issued by the Ministry of Environmental Protection of China. Then,
16 the biased dataset can be more effectively utilized. We also advocate the need for more validation
17 of the freshwater ecoregion results in Jinan City. A major challenge facing freshwater ecoregions
18 is the lack of an explicit link between the objectives of conservation management and the design
19 of a fluvial classification system (Soranno et al., 2010; Melles et al., 2011). Consequently, the end
20 of freshwater ecoregion identifications is also the beginning of practical applications or tests. Only
21 by application or test can the value or weakness be addressed.

22 Overall, successful delineation of freshwater ecoregions within a developing city, as well as

1 applicable validation of the delineation, meet the emerging needs for construction of a civilized
2 and ecological city in China, for which Jinan City was selected in the first round. We hope that the
3 case study of Jinan will provide a first attempt to identify freshwater ecoregions in a city, fostering
4 more research on exploring appropriate treatments of modern water resources management.

5

6 **5. Conclusions**

7 Hierarchical aquatic ecoregions, which is useful for ecosystem conservation and water resources
8 management, are delineated in Jinan City in this study. Different methodology from that for
9 delineating terrestrial ecoregions or basin-scale ecoregions is used to address the effects of both
10 natural conditions and human activities on the aquatic ecosystem. That is, the river system
11 combined with administrative boundary is used for ecoregion delineation. To complete this
12 objective, the first level freshwater ecoregions were based on the three river catchments extracted
13 from DEM within the city and the urban area, and four ecoregions were finally identified. Then,
14 land use patterns (forestland and barren land in this study) were applied in the delineation process
15 of the second level freshwater ecoregions, and eight ecoregions were developed in total on the
16 basis of the first level. Furthermore, following the principle that the lowest level groupings were
17 created from bottom to top by clustering fluvial sub-catchments, fifteen third-level ecoregions
18 were identified for Jinan City. At the same time, administrative boundaries were used to rectify the
19 boundaries of each ecoregion in this study to facilitate the administration of each ecoregion.

20 In addition, ecological health assessments based on the fish community were employed to validate
21 the freshwater ecoregion results presented in this study. Our results demonstrated that 73.3% of
22 ecoregions were aligned with the distribution patterns of the biological attributes of the fish

1 community, suggesting that the freshwater ecoregions are acceptable and can be applicable and
2 valuable for future water resources management.

3

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7

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Highlights

- 2 • Aquatic ecoregions in a city were identified by a repeatable indicator framework.
- 3 • The ecoregion results were assessed with indicators not involved in identification.
- 4 • The data used in the aquatic ecoregions delineation is available for most cities.
- 5 • River basins were appropriate indicators for the first level aquatic ecoregion.

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2 **Table 1**

3 Correlation between freshwater community features and land use types

Community features	Forest land	Barren land	
Fish assemblages	Density	0.231	-0.554*
	Shannon-Weaver Index	0.036	-0.094
	Evenness	0.140	0.567*
Zooplankton assemblages	Density	-0.589*	-0.047
	Shannon-Weaver Index	-0.602*	0.220
	Evenness	0.210	0.189
Phytoplankton assemblages	Density	-0.551*	0.216
	Shannon-Weaver Index	-0.556*	0.540*
	Evenness	0.541*	-0.008
Water quality	Ec	-0.605*	-0.211
	DO	0.299	0.530*
	TN	-0.012	0.132
	NH ₃ -N	-0.118	-0.600*
	NO ₂ -N	0.136	-0.124
	NO ₃ -N	0.092	0.571*
	COD _{Mn}	0.625*	-0.563*
	BOD	0.546*	-0.048
TP	-0.587*	-0.542*	

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7 **Table 2**

8 Comparison of zooplankton community attributes between the urban and natural area

Community attributes	Mean		Std. Deviation		Confidence interval		<i>p</i>
	Urban	Natural	Urban	Natural	Urban	Natural	
Species Number	4.62	9.19	2.92	3.69	(2.2, 7.1)	(8.0, 10.3)	0.02
Density	26.25	183.19	18.12	202.43	(11.1, 41.4)	(120.9, 245.5)	0.35
Diversity	1.64	2.12	0.77	0.70	(0.9, 2.3)	(1.9, 2.3)	0.76
Evenness	0.82	0.70	0.15	0.18	(0.7, 0.9)	(0.6, 0.8)	0.75

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11 **Table 3**

12 Consistent results between the freshwater ecoregions and the spatial patterns of biological

1 communities

Biological communities	Consistence		Discrepancy		Agreement percentage
	Number	Freshwater ecoregions	Number	Freshwater ecoregion	
Fish	11	I-1,I-2,I-3-1,I-3-2, I-3-3,II, III-2-1, IV-2-1, IV-1-1, IV-1-2	4	III-1-1, III-1-2, III-2-2 IV-1-3	73.3%

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4

5 Appendix

The second level ecoregion	Sites ID	Ec us/cm	DO mg/L	TN mg/L	NH ₃ -N mg/L	NO ₂ -N mg/L	NO ₃ -N mg/L	COD _{Mn} mg/L	BOD mg/L	TP mg/L
I-1	12	1033	8.41	2.54	0.33	0.05	2.01	2.3	0.0	0.06
	23	963	7.59	2.27	0.80	0.06	1.22	2.3	6.9	0.09
	36	997	7.60	4.46	0.41	0.04	2.81	2.1	2.0	0.02
I-2	8	2150	2.82	2.98	2.64	1.41	0.78	7.5	1.4	3.63
I-3	1	529	8.02	6.02	0.21	0.04	4.01	1.6	0.0	0.04
	3	326	8.40	1.87	0.33	0.02	1.07	4.4	1.5	0.25
	4	531	9.60	7.5	0.24	0.06	6.13	2.6	6.3	0.09
	5	536	9.56	6.59	0.18	0.04	6.03	2.2	6.9	0.06
	6	486	9.80	6.05	0.15	0.04	5.06	2.1	0.0	0
	9	445	9.75	0.25	0.11	0.00	0.05	10.9	35.8	0.06
	10	369	7.32	2.22	0.86	0.07	0.02	12.7	2.4	0.35
	13	777	8.79	2.82	0.21	0.04	2.44	4.0	2.1	0.04
	14	535	9.81	1.43	0.15	0.04	1.02	3.7	0.0	0.03
	III-1	24	886	4.50	6.68	4.46	0.99	0.85	10.7	21.3
26		1294	9.11	4.45	1.36	0.29	2.14	3.9	2.1	0.09
30		1045	4.38	12.06	8.12	0.78	2.70	8.0	16.5	0.94
31		1126	9.60	3.48	1.46	0.22	0.77	13.1	6.0	0.32
32		1086	9.71	1.28	0.38	0.02	0.54	6.7	4.5	0.35
47		4010	9.10	21.84	2.02	0.83	18.9	8.3	5.8	0.47
III-2	7	356	9.60	3.83	0.32	0.04	1.54	3.3	4.3	0.11
	25	1069	7.92	2.48	0.54	0.07	1.24	4.5	6.5	0.35
	27	1595	9.90	1.88	0.09	0.05	1.65	1.8	1.3	0.07
	28	1065	2.00	12.85	9.40	0.40	1.09	6.5	20.3	1.07
IV-1	2	568	7.90	6.54	0.23	0.04	5.78	2.5	0.0	0.05
	40	1990	9.91	2.68	0.36	0.23	1.32	5.4	4.0	0.03
	42	2220	7.50	1.95	0.69	0.06	0.57	5.2	7.5	0.07

	41	1668	8.90	2.33	0.46	0.09	1.00	6.1	2.2	0.08
	43	1772	9.10	2.49	0.44	0.11	1.05	5.7	0.0	0.6
	44	1575	5.35	1.84	0.55	0.10	0.32	6.7	3.0	0.13
IV-2	33	4130	3.33	11.16	9.42	0.03	1.50	16.4	14.0	3.64
	37	3280	1.17	6.24	4.85	0.05	0.45	10.5	7.5	1.57
	34	1430	8.66	1.74	0.29	0.03	0.82	4.3	6.0	0.03
	35	1279	8.84	1.21	0.07	0.04	0.88	4.3	7.0	0.12
	38	1483	9.92	2.23	0.23	0.38	0.69	6.2	22.0	0.12
	39	1498	9.00	2.64	0.37	0.18	1.44	6.6	20.0	0.1
	45	1443	9.10	1.2	0.29	0.05	0.16	5.2	0.0	0.08
	46	962	7.17	4.29	0.12	0.07	3.44	1.9	0.0	0.04

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