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# Journal of Number Theory

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## On representation of an integer as the sum of three squares and ternary quadratic forms with the discriminants $p^2, 16p^2$

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### ARTICLE INFO

#### Article history:

Received 19 February 2011

Revised 4 August 2011

Accepted 1 September 2011

Available online 23 September 2011

Communicated by Matthias Beck

#### MSC:

primary 11E20, 11F37, 11B65

secondary 05A30, 33E05

#### Keywords:

Ternary quadratic forms

Sum of three squares

Local densities

Siegel–Weil formula

Smith–Minkowski mass formula

$\theta$ -function identities

Watson's  $m$ -map

### ABSTRACT

Let  $s(n)$  be the number of representations of  $n$  as the sum of three squares. We prove a remarkable new identity for  $s(p^2n) - ps(n)$  with  $p$  being an odd prime. This identity makes nontrivial use of ternary quadratic forms with discriminants  $p^2, 16p^2$ . These forms are related by Watson's transformations. To prove this identity we employ the Siegel–Weil and the Smith–Minkowski product formulas.

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## 1. Introduction

Let  $(a, b, c, d, e, f)(n)$  denote the number of integral representations of  $n$  by the positive ternary quadratic form  $ax^2 + by^2 + cz^2 + dyz + ezx + fxy$ . We will take  $(a, b, c, d, e, f)(n) = 0$ , whenever  $n \notin \mathbb{N}$ . The discriminant  $\Delta$  of a ternary form  $ax^2 + by^2 + cz^2 + dyz + ezx + fxy$  is defined as

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<sup>1</sup> The first author was supported in part by NSA grant H98230-09-1-0051.

$$\Delta = \frac{1}{2} \det \begin{bmatrix} 2a & f & e \\ f & 2b & d \\ e & d & 2c \end{bmatrix} = 4abc + def - ad^2 - be^2 - cf^2.$$

We say that two ternary quadratic forms  $\tilde{f}(x, y, z)$  and  $\tilde{g}(x, y, z)$  with the discriminant  $\Delta$  are in the same genus if they are equivalent over  $\mathbf{Q}$  via a matrix in  $GL(3, \mathbf{Q})$  whose entries have denominators prime to  $2\Delta$ . We add that this is the case if and only if these forms are equivalent over the real numbers and over the  $p$ -adic integers  $\mathbf{Z}_p$  for all primes  $p$  [6,11,16].

It is well known that all ternary forms with discriminant 4 are equivalent to  $x^2 + y^2 + z^2$  [10,12]. Let  $p$  be an odd prime. Lehman derived elegant counting formulas for ternary genera in [13]. Using his results, it is straightforward to check that all ternary forms with the discriminant  $p^2$  belong to the same genus, say  $TG_{1,p}$ . There are twelve genera of ternary forms with the discriminant  $16p^2$ . However, if one imposes additional constraints on the forms with  $\Delta = 16p^2$ , namely

$$(a, b, c, d, e, f)(n) = 0, \quad \text{when } n \equiv 1, 2 \pmod{4},$$

$$d \equiv e \equiv f \equiv 0 \pmod{2},$$

then we will show in Section 6 that all these ternaries belong to the same genus, say  $TG_{2,p}$ . In Section 8 we will show how to relate  $TG_{1,p}$  and  $TG_{2,p}$  and Watson's transformations.

Let  $s(n)$  denote the number of representations of  $n$  by ternary form  $x^2 + y^2 + z^2$ , so

$$s(n) = (1, 1, 1, 0, 0, 0)(n).$$

In [3] the first author utilized  $q$ -series techniques to prove the following two theorems:

**Theorem 1.1.**

$$s(9n) - 3s(n) = 2(1, 1, 3, 0, 0, 1)(n) - 4(4, 3, 4, 0, 4, 0)(n). \quad (1.1)$$

**Theorem 1.2.**

$$s(25n) - 5s(n) = 4(2, 2, 2, -1, 1, 1)(n) - 8(7, 8, 8, -4, 8, 8)(n). \quad (1.2)$$

Our main object here is to prove the following

**Theorem 1.3.** *Let  $p$  be an odd prime, then*

$$s(p^2n) - ps(n) = 48 \sum_{\tilde{f} \in TG_{1,p}} \frac{R_{\tilde{f}}(n)}{|\text{Aut}(\tilde{f})|} - 96 \sum_{\tilde{f} \in TG_{2,p}} \frac{R_{\tilde{f}}(n)}{|\text{Aut}(\tilde{f})|}, \quad (1.3)$$

where  $|\text{Aut}(\tilde{f})|$  denotes the number of integral automorphs of a ternary form  $\tilde{f}$ ,  $R_{\tilde{f}}(n)$  denotes the number of representations of  $n$  by  $\tilde{f}$ , and a sum over forms in a genus should be understood to be the finite sum resulting from taking a single representative from each equivalence class of forms.

This theorem was first stated in [3]. We remark that, somewhat similar in flavor, the so-called  $S$ -genus identities were recently discussed in [4,5]. In what follows we will require the following

**Theorem 1.4.**

$$s(n) = \frac{16}{\pi} \sqrt{n} \psi(n) L(1, \chi(n)) P(n), \quad (1.4)$$

where for  $n = 4^a k$ ,  $4 \nmid k$  one has

$$\psi(n) = \begin{cases} 0 & \text{if } k \equiv 7 \pmod{8}, \\ 2^{-a} & \text{if } k \equiv 3 \pmod{8}, \\ 3 \cdot 2^{-a-1} & \text{if } k \equiv 1, 2 \pmod{4}; \end{cases} \quad (1.5)$$

$L(1, \chi(n)) = \sum_{m=1}^{\infty} (-4n|m) m^{-1}$  with  $\chi(n) = (-4n|\bullet)$ , the Kronecker symbol and

$$P(n) = \prod_{(p'^2)^b \parallel n} \left( 1 + \frac{1}{p'} + \frac{1}{p'^2} + \cdots + \frac{1}{p'^{b-1}} + \frac{1}{p'^b(1 - (-np'^{-2b}|p')p'^{-1})} \right), \quad (1.6)$$

with the product over all odd primes  $p'$  such that  $p'^2 \mid n$ .

The proofs of this theorem may be found in [1] and [2]. We observe that  $L(1, \chi(n))$  can be written as the infinite product

$$L(1, \chi(n)) = \frac{\pi^2}{8} \prod_{p'} \left( 1 + (-n|p') \frac{1}{p'} \right), \quad (1.7)$$

where  $p'$  runs through all odd primes.

Before we move on we comment that for squarefree  $n \equiv 3 \pmod{8}$ ,  $n \geq 11$

$$L(1, \chi(n)) = \frac{3}{2} \frac{\pi}{\sqrt{n}} h(n),$$

where  $h(n)$  is the class number of the quadratic field  $Q(\sqrt{-n})$ .

**2. The Siegel–Weil formula for ternary quadratic forms**

Let  $T$  be a genus of positive ternary forms with the discriminant  $\Delta$ . Then the Siegel–Weil formula [14] implies that

$$\sum_{\tilde{f} \in T} \frac{R_{\tilde{f}}(n)}{|\text{Aut}(\tilde{f})|} = 4\pi M(T) \sqrt{\frac{n}{\Delta}} \prod_{p'} d_{T, p'}(n), \quad (2.1)$$

where  $|\text{Aut}(\tilde{f})|$  denotes the number of integral automorphs of a ternary form  $\tilde{f} = ax^2 + by^2 + cz^2 + dyz + ezx + fxy$ , while  $R_{\tilde{f}}(n)$  denotes the number of representations of  $n$  by  $\tilde{f}$ . The sum on the left is over forms in a genus. Again, this sum (here and everywhere) should be interpreted as the finite sum resulting from taking a single representative from each equivalence class of forms. The product on the right is over all primes, the mass of the genus is defined by

$$M(T) := \sum_{\tilde{f} \in T} \frac{1}{|\text{Aut}(\tilde{f})|},$$

and  $d_{T,p'}(n)$  denotes the  $p'$ -adic (local) representation density, defined by

$$d_{T,p'}(n) := \frac{1}{p'^{2t}} |\{(x, y, z) \in \mathbb{Z}^3: ax^2 + by^2 + cz^2 + dyz + ezx + fxy \equiv n \pmod{p'^t}\}|,$$

for sufficiently large  $t$ . We comment that  $ax^2 + by^2 + cz^2 + dyz + ezx + fxy$  can be chosen to be any form  $\in T$ . In [14] Siegel proved that when  $\gcd(2\Delta, p') = 1$

$$d_{T,p'}(n) = \begin{cases} (\frac{1}{p'} + 1) + \frac{1}{p'^{k+1}}((-m|p') - 1) & \text{if } n = mp'^{2k}, p' \nmid m, \\ (\frac{1}{p'} + 1)(1 - \frac{1}{p'^{k+1}}) & \text{if } n = mp'^{2k+1}, p' \nmid m. \end{cases} \quad (2.2)$$

It is not hard to check that (1.4) follows easily from (2.1) and (2.2), provided one recognizes that

$$\psi(n) = d_{x^2+y^2+z^2,2}(n), \quad (2.3)$$

where  $\psi(n)$  is defined in (1.5). It is easy to check that

$$d_{x^2+y^2+z^2,2}(4n) = \frac{1}{2}d_{x^2+y^2+z^2,2}(n),$$

and that

$$d_{x^2+y^2+z^2,2}(n) = 0, \quad \text{if } n \equiv 7 \pmod{8}. \quad (2.4)$$

It remains to verify that

$$d_{x^2+y^2+z^2,2}(n) = \begin{cases} 1 & \text{if } n \equiv 3 \pmod{8}, \\ \frac{3}{2} & \text{if } n \equiv 1, 2 \pmod{4}. \end{cases} \quad (2.5)$$

This can be easily accomplished with the help of the following

**Lemma 2.1.** *The number of roots of*

$$x^2 \equiv c \pmod{2^t}, \quad 3 \leq t, c \equiv 1 \pmod{2}$$

*is four or zero, according as  $c \equiv 1 \pmod{8}$  or  $c \not\equiv 1 \pmod{8}$ .*

The proof of this lemma may be found in [10]. Next, we observe that

$$\begin{aligned} & |\{(x, y, z) \in \mathbb{Z}^3: 0 \leq x, y, z < 2^t, x^2 + y^2 + z^2 \equiv n \pmod{2^t}\}| \\ &= 4 |\{(y, z) \in \mathbb{Z}^2: 0 < y, z < 2^t, yz \equiv 1 \pmod{2}\}| = 4 \cdot 2^{t-1} \cdot 2^{t-1}, \end{aligned}$$

when  $n \equiv 3 \pmod{8}$ . Hence,

$$d_{x^2+y^2+z^2,2}(n) = \frac{4}{2^{2t}} 2^{t-1} 2^{t-1} = 1,$$

when  $n \equiv 3 \pmod{8}$ . Analogously, when  $n \equiv 1 \pmod{8}$ ,  $3 \leq t$  we find that

$$\begin{aligned}
& |\{(x, y, z) \in Z^3: 0 \leq x, y, z < 2^t, x^2 + y^2 + z^2 \equiv n \pmod{2^t}\}| \\
&= 3 |\{(x, y, z) \in Z^3: 0 \leq x, y, z < 2^t, x \equiv 1 \pmod{2}, x^2 + y^2 + z^2 \equiv n \pmod{2^t}\}| \\
&= 3 \cdot 4 |\{(y, z) \in Z^2: 0 \leq y, z < 2^t, yz \equiv 0 \pmod{2}, y \equiv z \pmod{4}\}| \\
&= 3 \cdot 4 \cdot \frac{1}{2} \cdot 2^{t-1} \cdot 2^{t-1}.
\end{aligned}$$

And so

$$d_{x^2+y^2+z^2, 2}(n) = \frac{1}{2^{2t}} \cdot 3 \cdot 4 \cdot \frac{1}{2} \cdot 2^{t-1} \cdot 2^{t-1} = \frac{3}{2} \quad \text{when } n \equiv 1 \pmod{8},$$

as desired. Other cases in (2.5) can be handled in a very similar manner.

We can now rewrite (1.4) as

$$s(n) = 2\pi \sqrt{n} \prod_{p'} d_{x^2+y^2+z^2, p'}(n).$$

Consequently,

$$s(p^2n) - ps(n) = 2\pi \sqrt{n} \psi(n) \Gamma_p(n) \prod_{\gcd(p', 2p)=1} d_{x^2+y^2+z^2, p'}(n), \quad (2.6)$$

where

$$\Gamma_p(n) := p(d_{x^2+y^2+z^2, p}(p^2n) - d_{x^2+y^2+z^2, p}(n)).$$

From (2.2) we have at once

$$\Gamma_p(n) = \begin{cases} \frac{p-1}{p^{1+k}}(1 - (-m|p)) & \text{if } n = mp^{2k}, p \nmid m, \\ \frac{p-1}{p^{1+k}}(1 + \frac{1}{p}) & \text{if } n = mp^{2k+1}, p \nmid m. \end{cases} \quad (2.7)$$

A complete modern treatment of local densities is given in [17].

### 3. Computing some local representation densities. The non-dyadic case

In this section we prove

**Theorem 3.1.** *Let  $p$  be an odd prime and  $u$  be any integer with  $(-u|p) = -1$ . Let  $G$  be some ternary genus such that*

$$\tilde{f} \sim_p ux^2 + p(y^2 + uz^2)$$

for any  $\tilde{f}$  in  $G$ . Then

$$d_{G,p}(n) = \frac{p}{p-1} \Gamma_p(n). \quad (3.1)$$

Here, and everywhere, the relation  $\tilde{f} \sim_p \tilde{g}$  means that the two quadratic forms  $\tilde{f}$  and  $\tilde{g}$  are equivalent over the  $p$ -adic integers  $\mathbb{Z}_p$ .

It should be noted that the above theorem is identical to the special case  $\epsilon_p = -1$  of Lemma 4.2, stated in [5]. Here we take a self-contained approach, counting solutions of the relevant equation modulo  $p^t$  for large  $t$ . Suppose

$$ux^2 + p(y^2 + uz^2) \equiv n \pmod{p^t} \quad \text{with } p^2 \mid n, \quad 2 \leq t.$$

Then, thanks to  $(-u|p) = -1$ , we have  $p \mid x, p \mid y, p \mid z$ . This observation implies that

$$d_{G,p}(np^{2k}) = \frac{d_{G,p}(n)}{p^k}, \quad \text{if } p^2 \nmid n. \quad (3.2)$$

Hence (3.1) holds true for all  $n$  if it holds true for all  $n$  such that  $p^2 \nmid n$ . There are two cases to consider. First, when  $p \nmid n$  we have that

$$\begin{aligned} & \left| \{(x, y, z) \in Z^3: 0 \leq x, y, z < p^t, \quad ux^2 + p(y^2 + uz^2) \equiv n \pmod{p^t}\} \right| \\ &= \left| \{(x, y, z) \in Z^3: 0 \leq x, y, z < p^t, \quad x^2 \equiv un - up(y^2 + uz^2) \pmod{p^t}\} \right| \\ &= \sum_{y=0}^{p^t-1} \sum_{z=0}^{p^t-1} (1 + ((un - up(y^2 + uz^2))|p)) = p^{2t}(1 + (un|p)) = p^{2t}(1 - (-n|p)). \end{aligned}$$

And so

$$d_{G,p} = \frac{1}{p^{2t}} p^{2t}(1 - (-n|p)) = (1 - (-n|p)). \quad (3.3)$$

We comment that in the discussion above we used the well-known

**Lemma 3.2.** *Let  $p'$  be an odd prime not dividing  $c$ . The number of roots of*

$$x^2 \equiv c \pmod{p'^t}, \quad t \geq 1$$

*is the same as the number (0 or 2) of roots when  $t = 1$ . That is*

$$\left| \{0 \leq x < p^t: x^2 \equiv c \pmod{p^t}\} \right| = 1 + (c|p).$$

This lemma is proved in [10].

Second, when  $n = pm$  and  $p \nmid m$  we have that

$$\begin{aligned} & \left| \{(x, y, z) \in Z^3: 0 \leq x, y, z < p^t, \quad ux^2 + p(y^2 + uz^2) \equiv pm \pmod{p^t}\} \right| \\ &= p^2 \left| \{(x, y, z) \in Z^3: 0 \leq x, y, z < p^{t-1}, \quad upx^2 + y^2 + uz^2 \equiv m \pmod{p^{t-1}}\} \right| \\ &= p^2 \left| \{(x, y, z) \in Z^3: 0 \leq x, y, z < p^{t-1}, \quad y^2 \equiv m - upx^2 - uz^2 \pmod{p^{t-1}}\} \right| \\ &= p^2 \sum_{x=0}^{p^{t-1}-1} \sum_{z=0}^{p^{t-1}-1} (1 + ((m - upx^2 - uz^2)|p)) \\ &= p^{2t} + p^2 \sum_{x=0}^{p^{t-1}-1} \sum_{z=0}^{p^{t-1}-1} ((m - upx^2 - uz^2)|p) \end{aligned}$$

$$\begin{aligned}
&= p^{2t} + p^{t+1} \sum_{z=0}^{p^{t-1}-1} ((m - uz^2)|p) = p^{2t} - p^{t+1} \sum_{z=0}^{p^{t-1}-1} ((-um + z^2)|p) \\
&= p^{2t} + p^{t+1} p^{t-2} = p^{2t} \left(1 + \frac{1}{p}\right).
\end{aligned}$$

This time we used another well-known fact:

$$\sum_{y=0}^{p-1} ((y^2 + a)|p) = -1, \quad (3.4)$$

with  $p$  being an odd prime not dividing  $a$ .

Hence

$$d_{G,p} = \frac{1}{p^{2t}} p^{2t} \left(1 + \frac{1}{p}\right) = \left(1 + \frac{1}{p}\right), \quad (3.5)$$

as desired.

Our proof of Theorem 3.1 is now complete.

#### 4. Computing some local representation densities. The dyadic case

In this section we prove two theorems.

**Theorem 4.1.** *Let  $G_1$  be some ternary genus such that*

$$\tilde{f} \sim_2 yz - x^2$$

*for any  $\tilde{f}$  in  $G_1$ . Let  $n = 4^a k$ ,  $4 \nmid k$ , then*

$$d_{G_1,2}(n) = \begin{cases} \frac{3}{2} & \text{if } k \equiv 7 \pmod{8}, \\ \frac{3}{2} - \frac{1}{2^{a+1}} & \text{if } k \equiv 3 \pmod{8}, \\ \frac{3}{2} - \frac{3}{2^{a+2}} & \text{if } k \equiv 1, 2 \pmod{4}. \end{cases} \quad (4.1)$$

**Theorem 4.2.** *Let  $G_2$  be some ternary genus such that*

$$\tilde{f} \sim_2 4yz - x^2$$

*for any  $\tilde{f}$  in  $G_2$ . Let  $n = 4^a k$ ,  $4 \nmid k$ , then*

$$d_{G_2,2}(n) = \begin{cases} 3 & \text{if } k \equiv 7 \pmod{8}, \\ 3 - \frac{1}{2^{a-1}} & \text{if } k \equiv 3 \pmod{8}, \\ 3 - \frac{3}{2^a} & \text{if } k \equiv 1, 2 \pmod{4}. \end{cases} \quad (4.2)$$

Comparing (1.5), (4.1), and (4.2) we have at once

$$\psi(n) = 2d_{G_1,2}(n) - d_{G_2,2}(n). \quad (4.3)$$

We note two related recurrences

$$2d_{G_1,2}(n) - d_{G_2,2}(4n) = 0 \quad (4.4)$$

and

$$4d_{G_1,2}(n) - d_{G_2,2}(n) = 3. \quad (4.5)$$

To prove Theorem 4.1 and Theorem 4.2, it is sufficient to show that (4.4) and (4.5), together with the initial conditions

$$d_{G_2,2}(n) = \begin{cases} 3 & \text{if } n \equiv 7 \pmod{8}, \\ 1 & \text{if } n \equiv 3 \pmod{8}, \\ 0 & \text{if } n \equiv 1, 2 \pmod{4}, \end{cases} \quad (4.6)$$

hold true. Note that (4.4) follows easily from

$$\begin{aligned} & |\{(x, y, z) \in Z^3: 0 \leq x, y, z < 2^t, 4yz - x^2 \equiv 4n \pmod{2^t}\}| \\ &= 2 \cdot 4 \cdot 4 |\{(x, y, z) \in Z^3: 0 \leq x, y, z < 2^{t-2}, yz - x^2 \equiv n \pmod{2^{t-2}}\}|. \end{aligned}$$

Clearly, when  $n \equiv 1, 2 \pmod{4}$  we have

$$4yz - n \equiv 2, 3, 6, 7 \pmod{8}.$$

Recalling Lemma 2.1, we see that the congruence

$$4yz - x^2 \equiv n \pmod{2^t}$$

has no solutions when  $t \geq 3$ . Consequently,

$$d_{G_2,2}(n) = 0 \quad \text{if } n \equiv 1, 2 \pmod{4}.$$

Next, when  $n \equiv 3 \pmod{8}$  we have

$$\begin{aligned} & |\{(x, y, z) \in Z^3: 0 \leq x, y, z < 2^t, 4yz - x^2 \equiv n \pmod{2^t}\}| \\ &= 4 |\{(y, z) \in Z^2: 0 \leq y, z < 2^t, yz \equiv 1 \pmod{2}\}| = 4 \cdot 2^{t-1} 2^{t-1} = 2^{2t}. \end{aligned}$$

Hence

$$d_{G_2,2}(n) = 1 \quad \text{if } n \equiv 3 \pmod{8}.$$

The case  $n \equiv 7 \pmod{8}$  in (4.6) can be treated in an analogous manner:

$$\begin{aligned} & |\{(x, y, z) \in Z^3: 0 \leq x, y, z < 2^t, 4yz - x^2 \equiv n \pmod{2^t}\}| \\ &= 4 |\{(y, z) \in Z^2: 0 \leq y, z < 2^t, yz \equiv 0 \pmod{2}\}| = 4 \cdot (2^t 2^t - 2^{t-1} 2^{t-1}) = 3 \cdot 2^{2t}. \end{aligned}$$

Hence



$$d_{G_2,2}(n) = 3 \quad \text{if } n \equiv 7 \pmod{8}.$$

And so we have established the initial conditions (4.6). It remains to prove (4.5). We shall require the following easy companion to Lemma 2.1:

**Lemma 4.3.** *Let  $t = 2s + 3 + \delta$ , with integers  $0 \leq \delta \leq 1$  and  $0 \leq s$ .*

*Let  $S_t(c) := |\{0 \leq x < 2^t: x^2 \equiv c \pmod{2^t}\}|$ .*

*Then*

$$S_t(4^m \cdot (8r + 1)) = \begin{cases} 2^{m+2} & \text{if } 0 \leq m \leq s, \\ 2^{s+1+\delta} & \text{if } m = s + 1, \\ 2^{s+1+\delta} & \text{if } m = s + 2. \end{cases}$$

*When  $m = s + 2$  the formula  $4^m \cdot (8r + 1)$  refers to 0, as then  $2s + 4 \geq t$  and  $4^m \geq 2^t$ ; in fact  $2^t \mid 4^m$ . It is important to note that no  $0 \leq c < 2^t$  other than the specified values above are allowed to have  $S_t(c) \neq 0$ .*

To proceed further we define

$$P_{i,t}(c) = |\{(y, z) \in Z^2: 0 \leq y, z < 2^t, 4^{i-1}yz \equiv c \pmod{2^t}\}|, \quad i = 1, 2,$$

and

$$C_{m,t} = \{c \in Z: 0 \leq c < 2^t, c \equiv 4^m \pmod{8 \cdot 4^m}\}, \quad m \in Z.$$

Again we comment that when  $m = s + 2$  the condition  $c \equiv 4^m \pmod{8 \cdot 4^m}$  means  $c = 0$ . It is not hard to check that

$$4 \cdot P_{1,t}(n) - P_{2,t}(n) = \begin{cases} 2^{t+1} & \text{if } n \equiv 1 \pmod{2}, \\ 2^{t+2} & \text{if } n \equiv 0 \pmod{2}, \end{cases} \quad (4.7)$$

and that for  $t = 2s + 3 + \delta$ , with integers  $0 \leq \delta \leq 1$  and  $0 \leq s$

$$|C_{m,t}| = \begin{cases} 2^{2s-2m+\delta} & \text{if } 0 \leq m \leq s, \\ 1 & \text{if } m = s + 1, \\ 1 & \text{if } m = s + 2. \end{cases} \quad (4.8)$$

Next, we define for  $i = 1, 2$

$$L_{i,t}(c) = |\{(x, y, z) \in Z^3: 0 \leq x, y, z < 2^t, 4^{i-1}yz - x^2 \equiv c \pmod{2^t}\}|.$$

From Lemma 4.3 it is easy to see that

$$L_{i,t}(n) = \sum_{m=0}^{s+2} \sum_{c \in C_{m,t}} S(c) P_{i,t}(n + c), \quad i = 1, 2.$$

Making use of Lemma 4.3, (4.7), and (4.8) we find that

$$4 \cdot L_{1,t}(n) - L_{2,t}(n) = \sum_{m=0}^{s+2} \sum_{c \in C_{m,t}} S(c) \cdot (4 \cdot P_{1,t}(n + c) - P_{2,t}(n + c)) = 3 \cdot 4^t. \quad (4.9)$$

Finally, we note that for sufficiently large  $t$

$$d_{G_i,2}(n) = \frac{1}{4^t} L_{i,t}(n), \quad i = 1, 2. \quad (4.10)$$

Combining (4.9) and (4.10) we see that (4.5) holds true. Our proofs of Theorem 4.1 and Theorem 4.2 are now complete.

## 5. Computing the mass of the ternary genus $TG_{1,p}$

In this section we prove that

$$M(TG_{1,p}) = \frac{p-1}{48}, \quad (5.1)$$

where  $p$  is a fixed odd prime and

$$M(TG_{1,p}) := \sum_{\tilde{f} \in TG_{1,p}} \frac{1}{|\text{Aut}(\tilde{f})|}.$$

To prove (5.1) we will employ the Smith–Minkowski–Siegel mass formula. This formula gives the mass as an infinite product over all primes. Many published versions of this formula have small errors. In this paper we will follow a reliable account by Conway and Sloane [9]. From Eq. (2) in [9] we have that

$$M(TG_{1,p}) = \frac{1}{\pi^2} \prod_{p'} 2m_{p'}, \quad (5.2)$$

where  $p'$  runs through all primes and where local masses  $m_{p'}$  are defined in Eq. (3) in [9] by

$$m_{p'} = \prod_q M_q \prod_{q < q'} (q'/q)^{\frac{n(q)n(q')}{2}} 2^{n(I,1) - n(II)}. \quad (5.3)$$

Here  $q$  ranges over all powers  $p'^t$  of  $p'$  (including those with negative  $t$ ). The last factor in (5.3) is 1 for all odd primes. So if the  $p'$ -adic Jordan decomposition of  $\tilde{f} \in TG_{1,p}$  is given by

$$\sum_q q \tilde{f}_q,$$

then

$$n(q) = \dim(\tilde{f}_q).$$

For all odd primes  $p'$  such that  $p' \nmid p$ , the  $p'$ -adic Jordan decomposition of any form  $\tilde{f} \in TG_{1,p}$  can be taken to be  $(x^2 + y^2 + z^2)$ ; this follows from Theorem 29 in [16]. So with the aid of Table 2 in [9] we find that

$$n(1) = 3, \quad M_1 = \frac{p'^2}{2(p'^2 - 1)}.$$

If  $q \neq 1$  then

$$n(q) = 0, \quad M_q = 1.$$

Hence

$$m_{p'} = M_1 = \frac{p'^2}{2(p'^2 - 1)}, \quad p' \nmid 2p. \quad (5.4)$$

Next, the  $p$ -adic Jordan decomposition of any form  $\tilde{f} \in TG_{1,p}$  is given by  $\tilde{f}_1 + p\tilde{f}_p$  with  $\tilde{f}_1 = ux^2$ ,  $\tilde{f}_p = (y^2 + uz^2)$ , for a unit  $u$  satisfying  $(-u|p) = -1$  (see Section 6).

And so from Table 1 and Table 2 in [9], we see that  $n(1) = 1$ ,  $\text{species}(1) = 1$ ,  $M_1 = \frac{1}{2}$ , and  $n(p) = 2$ ,  $\text{species}(p) = 2$ ,  $M_p = \frac{p}{2(p+1)}$ . And so we find that

$$m_p = M_1 M_p (p/1)^{\frac{2}{2}} = \frac{p^2}{4(p+1)}. \quad (5.5)$$

Finally, one possible 2-adic Jordan decomposition of any form  $\tilde{f} \in TG_{1,p}$  is given by

$$\frac{1}{2}\tilde{f}_{\frac{1}{2}} + \tilde{f}_1 + 2\tilde{f}_2,$$

with  $\tilde{f}_{\frac{1}{2}} = 2yz$ ,  $\tilde{f}_1 = -x^2$ ,  $\tilde{f}_2 = 0$ . This follows from Theorem 29 in [16]. We note that  $\tilde{f}_2$  is a bound love form. It contributes a factor of  $\frac{1}{2}$  to the mass

$$M_2 = \frac{1}{2}.$$

Obviously  $n(\frac{1}{2}) = 2$ ,  $n(1) = 1$ , and  $n(2) = 0$ .

Next,  $\tilde{f}_{\frac{1}{2}}$  is of the type  $\text{II}_2$ . It is bound and has octane value = 0,  $\text{species} = 3$ ,  $M_{\frac{1}{2}} = \frac{2}{3}$ . Also,  $\tilde{f}_1$  is of the type  $\text{I}_1$ . It is free and has octane value =  $0 - 1 = -1$ ,  $\text{species} = 0+$ ,  $M_1 = 1$ . In (5.3),  $n(\text{I}, \text{I})$  is the total number of pairs of adjacent constituents  $\tilde{f}_q, \tilde{f}_{2q}$  that are both of type I, and  $n(\text{II})$  is the sum of the dimensions of all Jordan constituents that have type II. Clearly  $n(\text{I}, \text{I}) = 0$  and  $n(\text{II}) = 2$ . So

$$m_2 = \frac{2}{3}(1)\frac{1}{2}(2/1)^{\frac{2}{2}}2^{0-2} = \frac{1}{6}. \quad (5.6)$$

Combining (5.2)–(5.6), we obtain

$$M(TG_{1,p}) = \frac{1}{\pi^2} \frac{1}{3} \frac{p^2}{2(p+1)} \prod_{\gcd(2p, p')=1} \frac{p'^2}{p'^2 - 1} = \frac{p-1}{8\pi^2} \prod_{p'} \frac{p'^2}{p'^2 - 1}.$$

Recalling that

$$\prod_{p'} \frac{p'^2}{p'^2 - 1} = \sum_{n \geq 1} \frac{1}{n^2} = \frac{\pi^2}{6},$$

we see that (5.1) holds true.

## 6. A tale of two genera

Here we will give an overview of the construction of  $TG_{2,p}$ . We are given  $TG_{1,p}$ . The sextuple

$$\langle a, b, c, d, e, f \rangle$$

refers to

$$ax^2 + by^2 + cz^2 + dyz + ezx + fxy,$$

with Gram matrix

$$\begin{pmatrix} 2a & f & e \\ f & 2b & d \\ e & d & 2c \end{pmatrix}. \quad (6.1)$$

First we will show that any form in  $TG_{1,p}$  is equivalent to a form in Convenient Shape 1, which is just  $\langle a, b, c, d, e, f \rangle$  with  $a \equiv 3 \pmod{4}$ , then  $d$  odd and  $e, f$  even. Any primitive form represents an odd number, therefore it primitively represents an odd number  $a$ , so we may insist that  $a$  be odd. A particularly simple operation taking a form to an equivalent one is constructing the form with Gram matrix  $M'_{ij}GM_{ij}$ , where  $G$  is the current Gram matrix of the form and  $M_{ij}$  is the result of beginning with the identity matrix and placing a single 1 at position  $ij$ , and  $M'_{ij}$  denotes the transpose of  $M_{ij}$ . We can also permute variables with the matrix

$$M_0 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & -1 \\ 0 & 1 & 0 \end{pmatrix}. \quad (6.2)$$

If  $e$  and  $f$  are both even we are done. Otherwise, at least one of them is odd. If  $f$  is the odd one apply  $M_0$ , as in  $M'_0GM_0$ , to arrive at  $\langle a, c, b, -d, -f, e \rangle$ ; call these the new values of all the letters  $a, b, c, d, e, f$ . If  $d$  is even, apply  $M_{12}$  to get odd  $d$  in  $\langle a, a+b+f, c, d+e, e, f+2a \rangle$ . If  $f$  is odd apply  $M_{32}$  to get even  $f$  in  $\langle a, b+c+d, c, d+2c, e, f+e \rangle$ . From the definition of the discriminant,  $\Delta = 4abc + def - ad^2 - be^2 - cf^2$ , with  $\Delta = p^2 \equiv 1 \pmod{4}$ ; it follows that  $b$  is even, so we have  $b, f$  even and  $a, d$  odd. Finally, apply  $M_{21}$  to get even  $e$  in  $\langle a+b+f, b, c, d, e+d, f+2b \rangle$ , where the new value of  $a$  is still odd, while  $e$  has become even. With  $a, d$  odd and  $e, f$  even, all the terms in  $\Delta = 4abc + def - ad^2 - be^2 - cf^2$  are divisible by 4 except  $-ad^2$ . Since  $d^2 \equiv 1 \pmod{4}$  and  $\Delta \equiv 1 \pmod{4}$ , it follows that  $a \equiv 3 \pmod{4}$ . Given a primitive form  $\langle a, b, c, d, e, f \rangle$  in Convenient Shape 1, define a mapping  $\Phi$  giving another primitive form by

$$\Phi(\langle a, b, c, d, e, f \rangle) = \langle a, 4b, 4c, 4d, 2e, 2f \rangle.$$

Note that if  $g(x, y, z)$  is in Convenient Shape 1 and  $h = \Phi(g)$ , then  $h(x, y, z) = g(x, 2y, 2z)$ . Any primitive form  $\langle a, b, c, d, e, f \rangle$  with  $d, e, f \equiv 0 \pmod{2}$  that does not represent any number  $n \equiv 1, 2 \pmod{4}$  has  $\Delta \equiv 0 \pmod{16}$  and can be put in (is equivalent to a form in) Convenient Shape 2, that is with  $b, c, d, e, f$  all divisible by 4, and with  $a \equiv 3 \pmod{4}$ .

To save space, we will introduce matrices

$$E = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix},$$

and

$$D = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

Note that  $DE = ED = 2I$ . At this point we will give an outline of the proof that  $\Phi$  is a well-defined bijection between  $TG_{1,p}$  and  $TG_{2,p}$ , that  $\Phi$  preserves automorphs, that  $TG_{2,p}$  is in fact a genus, and finally give the  $p$ -adic diagonalization for all these forms, along with a 2-adic Jordan decomposition. Given a primitive form  $g$  in Convenient Shape 1, with Gram matrix  $G$ , the Gram matrix for  $\Phi(g)$  is  $EGE$ . Furthermore  $\Phi(g)$  is also primitive. Now, suppose that forms  $g, h$  in  $TG_{1,p}$  are equivalent and are already in Convenient Shape 1. Suppose they have Gram matrices  $G$  and  $H$ , respectively. So we are saying there is an integral matrix  $P$  such that  $P'GP = H$ . It turns out that matrix elements  $p_{21}, p_{31}$  are even, and so  $\frac{1}{2}DPE$  is integral. But then

$$\begin{aligned} \left(\frac{1}{2}DPE\right)'(EGE)\left(\frac{1}{2}DPE\right) &= \left(\frac{1}{2}EP'D\right)(EGE)\left(\frac{1}{2}DPE\right) \\ &= EP'GPE \\ &= EHE. \end{aligned} \tag{6.3}$$

That is, the equivalence class of  $\Phi(g)$  does not depend on the particular choice of Convenient Shape 1. Therefore  $\Phi$  extends to a well-defined mapping from the equivalence classes of forms in  $TG_{1,p}$  to forms with  $\Delta = 16p^2$  that are classically integral and do not represent any numbers  $n$  with  $n \equiv 1, 2 \pmod{4}$ .

Now, let us take the collection of all the forms with  $\Delta = 16p^2$  that are primitive, classically integral, and do not represent any numbers  $n$  with  $n \equiv 1, 2 \pmod{4}$  and call that  $TG_{2,p}$ . It is not difficult to show that such forms can be put into Convenient Shape 2, with Gram matrix  $H$ . Then  $\frac{1}{4}DHD$  is the Gram matrix of a form in  $TG_{1,p}$ . It is not difficult to show that this “downwards” map also respects equivalence classes of forms, and the choice of Convenient Shape 2 does not matter. Therefore it is legitimate to name this mapping  $\Phi^{-1}$ . As  $\Phi$  and  $\Phi^{-1}$  really are inverses, it follows that both are injective and surjective.

Suppose  $g$  and  $h$  are in  $TG_{1,p}$  and in Convenient Shape 1, with Gram matrices  $G_1$  and  $H_1$ , respectively. As they are in the same genus, there is an odd number  $w$  not divisible by  $p$ , along with an integral matrix  $R$ , such that

$$R'G_1R = w^2H_1,$$

and

$$\det G_1 = \det H_1.$$

This is Siegel's definition of a genus: rational equivalence “without essential denominator”. Let  $\Phi(g)$  have Gram matrix  $G_2$ , while  $\Phi(h)$  has Gram matrix  $H_2$ . Then  $Q = \frac{1}{2}DRE$  is integral, and we have

$$Q'G_2Q = w^2Q_1.$$

That is,  $\Phi(g)$  and  $\Phi(h)$  are in the same genus, which we are calling  $TG_{2,p}$ . Next, if  $A$  is an automorph of  $g \in TG_{1,p}$ , in Convenient Shape 1, with Gram matrix  $G$ , this means that  $A$  has determinant  $\pm 1$  and

$$A'GA = G.$$

So, repeating (6.3), we find that  $B = \frac{1}{2}DAE$  is an automorph of  $\Phi(g)$ . At the same time, beginning with  $h \in TG_{2,p}$ , in Convenient Shape 2, with Gram matrix  $H$ , and an automorph  $B$  solving  $B'HB = H$ ,

then  $A = \frac{1}{2}EQD$  is an automorph of  $\Phi^{-1}(h)$ . That is, the number of automorphs are the same, from which it follows that the mass of  $TG_{2,p}$  is exactly the same as the mass of  $TG_{1,p}$ . That is,

$$M(TG_{2,p}) = M(TG_{1,p}) = \frac{p-1}{48}. \quad (6.4)$$

A very similar formalism shows directly that

$$R_g(n) = R_{\Phi(g)}(4n),$$

where these are the (finite) number of representations by the indicated form. Now, from Theorem 29 in [16], we know that all forms in  $TG_{1,p}$  are equivalent over the 2-adic integers to  $yz - x^2$ , or  $\langle -1, 0, 0, 1, 0, 0 \rangle$  which is integral and is in Convenient Shape 1. The same process that took some  $g \in TG_{1,p}$  and constructed automorphs or equivalences involving  $\Phi(g)$  can be readily extended to the 2-adic integers. So we begin with  $g \sim_2 \langle -1, 0, 0, 1, 0, 0 \rangle$  which shows that

$$\Phi(g) \sim_2 \Phi(\langle -1, 0, 0, 1, 0, 0 \rangle) = \langle -1, 0, 0, 4, 0, 0 \rangle.$$

So it follows that for any  $h \in TG_{2,p}$ ,  $h$  is equivalent over the 2-adic integers to  $4yz - x^2$ , which is in Convenient Shape 2. So we have proved the following identities

$$\begin{aligned} g &\sim_2 yz - x^2, & g &\in TG_{1,p}, \\ h &\sim_2 4yz - x^2, & h &\in TG_{2,p}. \end{aligned} \quad (6.5)$$

Now let us turn to the  $p$ -adic diagonalization of these forms, which requires more terminology. The forms in either genus are isotropic in the 2-adic field, as there are nontrivial integral expressions with  $yz - x^2 = 0$  or  $4yz - x^2 = 0$ . It follows that the forms in both genera are anisotropic (not “zero forms”) in the  $p$ -adic field. This is from Lemma 1.1 in [6, page 76]. What sort of numbers are represented by these forms? According to Corollary 13 in [11, page 41], some number  $n$  is represented by  $g(x, y, z) \in TG_{1,p}$  in  $\mathbf{Q}_p$  if and only if

$$h(x, y, z, w) = g(x, y, z) - nw^2$$

is isotropic in  $\mathbf{Q}_p$ . The determinant of  $h$  is  $-np^2$ . This is a square in  $\mathbf{Q}_p$  if  $(-n|p) = 1$ . We already know that  $c_p(h) = c_p(g) = -1$  by Lemma 2.3(iii) in [6, page 58]. Thus  $c_p(h) = -(-1, -1)_p$ . Here  $(a, b)_p$  is the Hilbert Norm Residue Symbol. By Lemma 2.6 in [6, page 59], when  $(-n|p) = 1$  we have  $h(x, y, z, w) = g(x, y, z) - nw^2$  anisotropic in  $\mathbf{Q}_p$  and so  $n$  is not represented. So, for  $p \equiv 1 \pmod{4}$ , forms in  $TG_{1,p}$  and  $TG_{2,p}$  represent only quadratic nonresidues modulo  $p$ , among the numbers not divisible by  $p$ . For  $p \equiv 3 \pmod{4}$ , forms in  $TG_{1,p}$  and  $TG_{2,p}$  represent only quadratic residues modulo  $p$ . Let  $p$  be an odd prime and  $u$  be any integer with  $(-u|p) = -1$ . From the fact that any binary form  $ax^2 + bxy + cy^2$  with discriminant not divisible by  $p$  represents both residues and nonresidues modulo  $p$ , it follows that  $g \in TG_{1,p}$  diagonalizes as  $ux^2 + p(vy^2 + wz^2)$ . Now, given any number  $V$  with  $(-V|p) = 1$ , it follows that  $x_1^2 + Vx_2^2$  is isotropic in  $\mathbf{Q}_p$ . As our forms are anisotropic there, it follows that  $(-vw|p) = -1$ . Meanwhile, as  $v, w$  are units in  $\mathbf{Q}_p$ , we know that the binary  $vy^2 + wz^2$  represents both residues and nonresidues modulo  $p$ . From Lemma 3.4 in [6, page 115], we can insist that  $v = 1$  and  $w = u$ , so that

$$g \sim_p ux^2 + p(y^2 + uz^2), \quad \text{with } (-u|p) = -1, \quad g \in TG_{1,p}. \quad (6.6)$$

By the usual methods, the same applies to  $TG_{2,p}$ . And so

$$h \sim_p ux^2 + p(y^2 + uz^2), \quad \text{with } (-u|p) = -1, \quad h \in TG_{2,p}. \quad (6.7)$$

It turns out that our bijection  $\Phi$  is an instance of a Watson transformation [15]. As a result, the bijection generalizes to positive ternary forms with any odd discriminant. We will discuss this further in Section 8.

### 7. Proof of Theorem 1.3

Here we prove our main result (1.3). We recall that, thanks to Theorem 29 in [16], we have

$$\tilde{f} \sim_{p'} x^2 + y^2 + z^2,$$

for any ternary form  $\tilde{f}$  with discriminant  $\Delta$ , provided prime  $p' \nmid 2\Delta$ .

Hence

$$d_{TG_{1,p},p'} = d_{TG_{2,p},p'} = d_{x^2+y^2+z^2,p'}, \quad p' \nmid 2p. \quad (7.1)$$

Next, we employ (2.1), (2.6), (3.1), (4.3), (6.4), (6.5), (6.6), (6.7), and (7.1) to rewrite the expression on the right of (1.3) as

$$\begin{aligned} \text{RHS (1.3)} &= \frac{p-1}{48} 96\pi \sqrt{\frac{n}{p^2}} (2d_{TG_{1,p},2}(n) - d_{TG_{2,p},2}(n)) \frac{p}{p-1} \Gamma_p(n) \prod_{\gcd(p',2p)=1} d_{x^2+y^2+z^2,p'}(n) \\ &= 2\pi \sqrt{n} (2d_{TG_{1,p},2}(n) - d_{TG_{2,p},2}(n)) \Gamma_p(n) \prod_{\gcd(p',2p)=1} d_{x^2+y^2+z^2,p'}(n) \\ &= 2\pi \sqrt{n} \psi(n) \Gamma_p(n) \prod_{\gcd(p',2p)=1} d_{x^2+y^2+z^2,p'}(n) = \text{LHS (1.3)}. \end{aligned}$$

This completes our proof of Theorem 1.3.

We conclude this section with the following example. Genus  $TG_{1,73}$  consists of four classes

$$TG_{1,73} = \{\text{Cl}(h_1), \text{Cl}(h_2), \text{Cl}(h_3), \text{Cl}(h_4)\},$$

where

$$\begin{aligned} h_1(x, y, z) &= 31x^2 + 5y^2 + 11z^2 + yz - 14zx + 6xy, & |\text{Aut}(h_1)| &= 2, \\ h_2(x, y, z) &= 15x^2 + 14y^2 + 10z^2 + 7yz + 4zx + 16xy, & |\text{Aut}(h_2)| &= 2, \\ h_3(x, y, z) &= 11x^2 + 7y^2 + 20z^2 + 7yz + 2zx + 4xy, & |\text{Aut}(h_3)| &= 4, \\ h_4(x, y, z) &= 7x^2 + 11y^2 + 21z^2 + 11yz + 2zx + 4xy, & |\text{Aut}(h_4)| &= 4. \end{aligned}$$

Note that all four forms above are in Convenient Shape 1. And so, we can immediately construct the second genus

$$TG_{2,73} = \{\text{Cl}(g_1), \text{Cl}(g_2), \text{Cl}(g_3), \text{Cl}(g_4)\},$$

where

$$\begin{aligned}
g_1(x, y, z) &= 31x^2 + 20y^2 + 44z^2 + 4yz - 28zx + 12xy, & |\text{Aut}(g_1)| &= 2, \\
g_2(x, y, z) &= 15x^2 + 56y^2 + 40z^2 + 28yz + 8zx + 32xy, & |\text{Aut}(g_2)| &= 2, \\
g_3(x, y, z) &= 11x^2 + 28y^2 + 80z^2 + 28yz + 4zx + 8xy, & |\text{Aut}(g_3)| &= 4, \\
g_4(x, y, z) &= 7x^2 + 44y^2 + 84z^2 + 44yz + 4zx + 8xy, & |\text{Aut}(g_4)| &= 4.
\end{aligned}$$

From (1.3) we get

$$\begin{aligned}
s(73^2n) - 73s(n) &= 24(31, 5, 11, 1, -14, 6)(n) + 24(15, 14, 10, 7, 4, 16)(n) \\
&\quad + 12(11, 7, 20, 7, 2, 4)(n) + 12(7, 11, 21, 11, 2, 4)(n) \\
&\quad - 48(31, 20, 44, 4, -28, 12)(n) - 48(15, 56, 40, 28, 8, 32)(n) \\
&\quad - 24(11, 28, 80, 28, 4, 8)(n) - 24(7, 44, 84, 44, 4, 8)(n). \tag{7.2}
\end{aligned}$$

## 8. Concluding remarks

In Section 6 we described a bijection between  $TG_{1,p}$  and  $TG_{2,p}$ , mentioning that the bijection can also be described as a Watson transformation in each direction, specifically  $\lambda_4$ . Here  $\lambda_4$  refers to what Watson called the  $m$ -mapping, with his parameter  $m = 4$ . Watson proved that his transformations do not increase class number of a genus, number of spinor genera, or class number of each spinor genus. He did not explicitly state that a transformation also induces an injective homomorphism that takes the automorphism group into a subgroup of the automorphism group of the transformed form, but this is easily proved using the methods of his article [15]. Watson himself, in the case of positive forms, might well have chosen to say that his transformations do not decrease the size of the automorphism group. In our situation, we have  $\lambda_4^2$  being the identity on  $TG_{1,p}$  and on  $TG_{2,p}$ . As a result, in either direction,  $\lambda_4$  is a bijection of equivalence classes which preserves automorphism counts, finally preserving the mass of genera. Watson's transformations are well documented in recent literature, for example [7,8].

It is not necessary to have a Watson transformation in both directions to have a mass preserving bijection. There is such a bijection between the classically integral genera  $G_1, G_2$  described in the table below. As before, let  $u$  be an integer such that  $(-u|p) = -1$ . The two genera are:

Genus	$\Delta$	Level	2-adic	$p$ -adic	Mass
$G_1$	$4p^2$	$4p$	$2yz - x^2$	$ux^2 + p(y^2 + uz^2)$	$(p-1)/32$
$G_2$	$64p^2$	$8p$	$8yz - x^2$	$ux^2 + p(y^2 + uz^2)$	$(p-1)/32$

where we take the definition of level as in [13]. Note that  $G_1$  and  $TG_{1,p}$  represent exactly the same numbers, but with different representation measures.  $G_2$  represents the subset of those same numbers that are not equivalent to  $1, 2, 3, 5, 6 \pmod{8}$ . The Watson transformation  $\lambda_4$  takes  $G_2$  to  $G_1$ , and is an automorph and mass preserving bijection. However, the inverse mapping is not a Watson transformation.

We also note at this point that we could have defined  $TG_{2,p}$  as the only genus with discriminant  $16p^2$  and level  $4p$ .

## Acknowledgments

We are grateful to Wai Kiu Chan, Larry Gerstein, and Ken Ono for their interest and comments. We would like to thank Rainer Schulze-Pillot for bringing [13] to our attention and the anonymous referee for making many useful suggestions.



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