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# The values of cubic forms at prime arguments

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## ABSTRACT

Let  $\lambda_1, \lambda_2, \lambda_3, \lambda_4, \lambda_5$  be non-zero real numbers, not all negative. Let  $\mathcal{V}$  be a well-spaced sequence,  $\delta > 0$ . If  $\lambda_1/\lambda_2$  is irrational and algebraic, then we prove that  $E(\mathcal{V}, X, \delta) \ll X^{17/18+2\delta+\varepsilon}$ , where  $E(\mathcal{V}, X, \delta)$  denotes the number of  $v \in \mathcal{V}$  with  $v \leq X$  such that the inequality  $|\lambda_1 p_1^3 + \lambda_2 p_2^3 + \lambda_3 p_3^3 + \lambda_4 p_4^3 + \lambda_5 p_5^3 - v| < v^{-\delta}$  has no solution in primes  $p_1, p_2, p_3, p_4, p_5$ . Further, we assume that except for one, all other the ratios  $\lambda_k/\lambda_l$  ( $1 \leq k < l \leq 5$ ) are irrational and algebraic, then 17/18 can be replaced by 11/12. These improve the earlier results.

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## 1. Introduction

A formal application of the Hardy–Littlewood method suggests that whenever  $s$  and  $k$  are natural numbers with  $s \geq k + 1$ , then all large integers  $n$  satisfying appropriate local conditions should be represented as the sum of  $s$   $k$ th powers of prime numbers. We write

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$$\mathcal{N}_5 = \{n \in \mathbb{N} : n \equiv 1 \pmod{2}, n \not\equiv 0, \pm 2 \pmod{9}, n \not\equiv 0 \pmod{7}\},$$

and

$$E_5(N) = |\{1 \leq n \leq N : n \in \mathcal{N}_5 \text{ and } n \notin \mathcal{A}_5\}|,$$

where

$$\mathcal{A}_5 = \{p_1^3 + p_2^3 + p_3^3 + p_4^3 + p_5^3 : p_1, p_2, p_3, p_4, p_5 \text{ are prime numbers}\}.$$

Hua [6] established that almost all numbers in  $\mathcal{N}_5$  can be represented as sums of five cubes of prime numbers. Precisely, Hua proved that  $E_5(N) \ll N \log^{-A} N$  for some positive number  $A$ . There have been also a series of recent advances (see [9,8,11,16,17]).

Davenport and Heilbronn first considered the Diophantine inequalities. Given  $k \geq 1$  and  $s$  nonzero real numbers  $\lambda_1, \dots, \lambda_s$  (not all in rational ratio, not all negative), we write

$$F(\mathbf{p}) = \sum_{j=1}^s \lambda_j p_j^k,$$

where  $\mathbf{p} = (p_1, \dots, p_s)$  with each  $p_j$  a prime. Various authors have considered the distribution of values of such forms, for example, see [14]. Here we continue in the direction started by Brüdern, Cook and Perelli [1] and followed by Cook and Fox [3], Cook [2], Harman [5] and Cook and Harman [4]. We call a set of positive reals  $\mathcal{V}$  a well-spaced set if there is a  $c > 0$  such that

$$u, v \in \mathcal{V}, \quad u \neq v \quad \Rightarrow \quad |u - v| > c.$$

We further assume that

$$|\{v \in \mathcal{V} : 0 \leq v \leq X\}| \gg X^{1-\varepsilon}.$$

In this paper, let  $\lambda_1, \lambda_2, \lambda_3, \lambda_4, \lambda_5$  be non-zero real numbers, not all negative, let  $\mathcal{V}$  be a well-spaced sequence, and let  $E(\mathcal{V}, X, \delta)$  denote the number of  $v \in \mathcal{V}$  with  $v \leq X$  such that the inequality

$$|\lambda_1 p_1^3 + \lambda_2 p_2^3 + \lambda_3 p_3^3 + \lambda_4 p_4^3 + \lambda_5 p_5^3 - v| < v^{-\delta}$$

has no solution in primes  $p_1, p_2, p_3, p_4, p_5$ .

In [4], Cook and Harman show that if  $\lambda_1/\lambda_2$  is irrational and algebraic, then one has

$$E(\mathcal{V}, X, \delta) \ll X^{1-\frac{2}{3}\rho(3)+2\delta+\varepsilon} \tag{1.1}$$

for any  $\varepsilon > 0$ , where  $\rho(3) = \frac{1}{14}$ , since they use bounds for the exponential sums which arise [10].

First, using the latest bounds for the exponential sums in [17], we obtain stronger results as follows.

**Theorem 1.1.** *Let  $\lambda_1, \lambda_2, \lambda_3, \lambda_4, \lambda_5$  be non-zero real numbers, not all negative. Suppose that  $\lambda_1/\lambda_2$  is irrational and algebraic. Let  $\mathcal{V}$  be a well-spaced sequence. Let  $\delta > 0$ . Then*

$$E(\mathcal{V}, X, \delta) \ll X^{\frac{17}{18} + 2\delta + \varepsilon}$$

for any  $\varepsilon > 0$ .

**Theorem 1.2.** *Let  $\lambda_1, \lambda_2, \lambda_3, \lambda_4, \lambda_5$  be non-zero real numbers, not all negative. Suppose that  $\lambda_1/\lambda_2$  is irrational. Let  $\mathcal{V}$  be a well-spaced sequence. Let  $\delta > 0$ . Then there is a sequence  $X_j \rightarrow \infty$  such that*

$$E(\mathcal{V}, X_j, \delta) \ll X_j^{\frac{17}{18} + 2\delta + \varepsilon} \quad (1.2)$$

for any  $\varepsilon > 0$ . Moreover, if the convergent denominators  $q_j$  for  $\lambda_1/\lambda_2$  satisfy

$$q_{j+1}^{1-\omega} \ll q_j \quad \text{for some } \omega \in [0, 1), \quad (1.3)$$

then, for all  $X \geq 1$ ,

$$E(\mathcal{V}, X, \delta) \ll X^{\frac{1+2\chi}{3} + 2\delta + \varepsilon} \quad (1.4)$$

for any  $\varepsilon > 0$  with

$$\chi = \max \left( \frac{3 - \omega}{6 - 4\omega}, \frac{11}{12} \right). \quad (1.5)$$

Further, if we assume some stronger conditions, we remove  $2/3$  in (1.1). Our results are as follows.

**Theorem 1.3.** *Let  $\lambda_1, \lambda_2, \lambda_3, \lambda_4, \lambda_5$  be non-zero real numbers, not all negative. Suppose that except for one, all other the ratios  $\lambda_k/\lambda_l$  ( $1 \leq k < l \leq 5$ ) are irrational and algebraic. Let  $\mathcal{V}$  be a well-spaced sequence. Let  $\delta > 0$ . Then*

$$E(\mathcal{V}, X, \delta) \ll X^{\frac{11}{12} + 2\delta + \varepsilon} \quad (1.6)$$

for any  $\varepsilon > 0$ .

**Theorem 1.4.** *Let  $\lambda_1, \lambda_2, \lambda_3, \lambda_4, \lambda_5$  be non-zero real numbers, not all negative. Suppose that except for one, all other the ratios  $\lambda_k/\lambda_l$  ( $1 \leq k < l \leq 5$ ) are irrational. Let  $\mathcal{V}$  be a well-spaced sequence. If there exist some  $\omega \in [0, 1)$  for all the convergent denominators  $q_{k,l,j}$  of irrational  $\lambda_k/\lambda_l$  ( $1 \leq k < l \leq 5$ ) satisfying*

$$q_{k,l,j+1}^{1-\omega} \ll q_{k,l,j}, \quad (1.7)$$

then, for all  $X \geq 1$ ,

$$E(\mathcal{V}, X, \delta) \ll X^{\chi^* + 2\delta + \varepsilon} \quad (1.8)$$

for any  $\varepsilon > 0$  with

$$\chi^* = \max \left( \frac{4-\omega}{7-4\omega}, \frac{11}{12} \right). \quad (1.9)$$

Theorems 1.1 and 1.3 follow immediately from Theorems 1.2 and 1.4, respectively. Since, in the case of  $\lambda_k/\lambda_l$  algebraic, we can take  $\omega = \varepsilon$ . The reader should have no difficulties in deducing the following Corollary, which improves Corollary 1 of [4].

**Corollary 1.5.** *Let  $\lambda_1, \lambda_2, \lambda_3, \lambda_4, \lambda_5$  be non-zero real numbers, not all of the same sign, with  $\lambda_1/\lambda_2$  irrational,  $\varpi$  real and  $\varepsilon > 0$ . Then there are infinitely many solutions in primes  $p_j$  to the inequality*

$$\left| \sum_{j=1}^9 \lambda_j p_j^3 + \varpi \right| < (\max p_j)^{-\frac{1}{12} + \varepsilon}.$$

**Notation.** Throughout the paper, the letter  $\eta$  denotes a sufficiently small, fixed positive number. The letter  $\varepsilon$  denotes a sufficiently small positive real number. Any statement in which  $\varepsilon$  occurs holds for each fixed  $\varepsilon > 0$ . The letter  $p$ , with or without subscript, denotes a prime number. Constants, both explicit and implicit, in Vinogradov symbols may depend on  $\lambda_1, \lambda_2, \lambda_3, \lambda_4, \lambda_5$ . We write  $e(x) = \exp(2\pi i x)$ .

## 2. Outline of the method and preliminary lemmas

We follow the modification of the Hardy–Littlewood method first stated by Davenport and Heilbronn. Now let  $0 < \tau < 1$ ,  $P$  be some (large) positive quantity to be chosen later (see equation (5.2) below in section 5) and  $X = P^3$ . We define

$$S(\alpha) = \sum_{\eta P \leq p < P} (\log p) e(\alpha p^3), \quad T(\alpha) = \sum_{\eta P \leq n < P} e(\alpha n^3), \quad (2.1)$$

$$K(\alpha) = \left( \frac{\sin \pi \tau \alpha}{\pi \alpha} \right)^2, \quad A(x) = \int_{-\infty}^{+\infty} K(\alpha) e(\alpha x) d\alpha. \quad (2.2)$$

Then, by [13], it is easy to show that

$$K(\alpha) \ll \min(\tau^2, |\alpha|^{-2}), \quad A(x) = \max(0, \tau - |x|). \quad (2.3)$$

If we write

$$N_v = \frac{1}{\tau} \sum_{\eta P \leq p_j < P} \left( \prod_{j=1}^5 \log p_j \right) A(\lambda_1 p_1^3 + \lambda_2 p_2^3 + \lambda_3 p_3^3 + \lambda_4 p_4^3 + \lambda_5 p_5^3 - v),$$

then  $0 \leq N_v \leq \psi(v)$ , where  $\psi(v)$  counts the number of the solutions to

$$|\lambda_1 p_1^3 + \lambda_2 p_2^3 + \lambda_3 p_3^3 + \lambda_4 p_4^3 + \lambda_5 p_5^3 - v| < \tau,$$

weighted by a term  $\prod_{j=1}^5 \log p_j$ . We shall restrict our attention to those  $v$  satisfying  $X/2 \leq v \leq X$ . In general, one can consider  $X2^{-j} \leq v \leq X2^{1-j}$ ,  $j = 1, 2, \dots$ , and obtain a satisfactory bound for the exceptional set. Then, by (2.2), we have

$$N_v = \frac{1}{\tau} \int_{-\infty}^{+\infty} S(\lambda_1 \alpha) S(\lambda_2 \alpha) S(\lambda_3 \alpha) S(\lambda_4 \alpha) S(\lambda_5 \alpha) K(\alpha) e(-\alpha v) d\alpha. \quad (2.4)$$

To estimate the integral in (2.4), we divide the real line into three parts: the major arc  $\mathfrak{M}$ , the minor arc  $\mathfrak{m}$  and the trivial arc  $\mathfrak{t}$  which are defined by

$$\mathfrak{M} = \{\alpha : |\alpha| \leq \phi\}, \quad \mathfrak{m} = \{\alpha : \phi < |\alpha| \leq \xi\}, \quad \mathfrak{t} = \{\alpha : |\alpha| > \xi\},$$

where  $\phi = P^{-3+\frac{5}{12}-\varepsilon}$ ,  $\xi = \tau^{-2} P^{1+2\varepsilon}$ .

**Lemma 2.1.** Suppose that  $\alpha$  is a real number, and there exist  $a \in \mathbb{Z}$  and  $q \in \mathbb{N}$  with

$$(a, q) = 1, \quad 1 \leq q \leq P^{3/2} \quad \text{and} \quad |q\alpha - a| < P^{-3/2}.$$

Then one has

$$\sum_{P < p \leq 2P} e(p^3 \alpha) \ll P^{\frac{11}{12}+\varepsilon} + \frac{P^{1+\varepsilon}}{q^{1/2}(1 + P^3 |\alpha - a/q|)^{1/2}}.$$

**Proof.** This follows from Lemma 8.5 in [17] and Theorem 1.1 in [12] (also see Lemma 2.3 in [18]).  $\square$

**Corollary 2.2.** Suppose that  $P \geq Z \geq P^{\frac{11}{12}+\varepsilon}$  and  $|S(\lambda_j \alpha)| \geq Z$ . Then there are two coprime integers  $a, q$  satisfying

$$1 \leq q \ll (P/Z)^2 P^\varepsilon, \quad |q\lambda_j \alpha - a| \ll (P/Z)^2 P^{\varepsilon-3}.$$

**Proof.** Let  $Q = P^{3/2}$ , there exist two coprime integers  $a, q$  with  $1 \leq q \leq Q$  and  $|q\lambda_j \alpha - a| \leq Q^{-1}$ . By Lemma 2.1 and the hypothesis  $Z \geq P^{\frac{11}{12}+\varepsilon}$ , we have

$$P^{\frac{11}{12}+\varepsilon} \leq Z \leq |S(\lambda_j \alpha)| \ll P^{\frac{11}{12}+\frac{\varepsilon}{2}} + \frac{P^{1+\frac{\varepsilon}{2}}}{q^{1/2}(1+P^3|\lambda_j \alpha - a/q|)^{1/2}}.$$

Thus we have  $1 \leq q \ll (P/Z)^2 P^\varepsilon$ ,  $|q\lambda_j \alpha - a| \ll (P/Z)^2 P^{\varepsilon-3}$ .  $\square$

**Lemma 2.3.** *With the previous notation, we have*

$$\begin{aligned} \int_{-1}^1 |S_j(\alpha)|^8 d\alpha &\ll P^{5+\varepsilon}, \quad \int_{-1}^1 |S_j(\alpha)|^4 d\alpha \ll P^{2+\varepsilon}, \\ \int_{-\infty}^{+\infty} |S_j(\alpha)|^8 K(\alpha) d\alpha &\ll \tau P^{5+\varepsilon}. \end{aligned}$$

**Proof.** These immediately follow from Hua's inequality.  $\square$

We define the multiplicative function  $w_3(q)$  by taking

$$w_3(p^{3u+v}) = \begin{cases} 3p^{-u-1/2}, & \text{when } u \geq 0 \text{ and } v = 1; \\ p^{-u-1}, & \text{when } u \geq 0 \text{ and } 2 \leq v \leq 3. \end{cases}$$

Weyl's inequality [15, lemma 2.4] and Lemmas 6.1–6.2 of Vaughan [15] together lead to the following conclusion (also one can see [17, lemma 2.3] or [7, Lemma 2.1]).

**Lemma 2.4.** *If  $\alpha$  is a real number satisfying that there exist  $a \in \mathbb{Z}$  and  $q \in \mathbb{N}$  with  $(a, q) = 1$ ,  $1 \leq q \leq P^{\frac{3}{4}}$  and  $|q\alpha - a| \leq P^{-\frac{9}{4}}$ , then one has*

$$\sum_{P \leq x < 2P} e(x^3 \alpha) \ll \frac{w_3(q)P}{1 + P^3|\alpha - a/q|},$$

otherwise, one has  $\sum_{P \leq x < 2P} e(x^3 \alpha) \ll P^{\frac{3}{4}+\varepsilon}$ .

**Lemma 2.5.** ([17, Lemma 2.1]) *Let  $c$  be a constant. For  $Q \geq 2$ , one has*

$$\sum_{1 \leq q \leq Q} d(q)^c w_3(q)^2 \ll (\log Q)^A,$$

where  $A$  is a positive constant,  $d(q)$  is the divisor function.

### 3. The major arc

**Lemma 3.1.** ([4, Lemma 3]) *We have*

$$\int_{\mathfrak{M}} S(\lambda_1 \alpha) \cdots S(\lambda_5 \alpha) e(-v\alpha) K(\alpha) d\alpha \gg \tau^2 P^2. \quad (3.1)$$

#### 4. The trivial arc

By Lemma 2.3, we have

$$\begin{aligned}
 & \int_{\mathfrak{t}} |S(\lambda_1 \alpha) \cdots S(\lambda_5 \alpha)| K(\alpha) d\alpha \\
 & \ll P \prod_{j=1}^4 \left( \int_{\xi}^{+\infty} |S(\lambda_j \alpha)|^4 K(\alpha) d\alpha \right)^{\frac{1}{4}} \\
 & \ll P \prod_{j=1}^4 \left( \sum_{n=[\xi]}^{+\infty} \int_n^{n+1} |S(\lambda_j \alpha)|^4 \frac{1}{\alpha^2} d\alpha \right)^{\frac{1}{4}} \\
 & \ll P \prod_{j=1}^4 \left( \sum_{n=[\xi]}^{+\infty} \frac{1}{n^2} \int_0^1 |S(\lambda_j \alpha)|^4 d\alpha \right)^{\frac{1}{4}} \\
 & \ll \xi^{-1} P^{3+\varepsilon} \ll \tau^2 P^{2-\varepsilon}.
 \end{aligned} \tag{4.1}$$

#### 5. The minor arc

We take  $\sigma = 11/12$ . Let  $\mathfrak{m}' = \mathfrak{m}_1 \cup \mathfrak{m}_2$ ,  $\hat{\mathfrak{m}} = \mathfrak{m} \setminus \mathfrak{m}'$ , where

$$\mathfrak{m}_1 = \{\alpha \in \mathfrak{m} : |S(\lambda_1 \alpha)| < P^{\sigma+\varepsilon}\}, \quad \mathfrak{m}_2 = \{\alpha \in \mathfrak{m} : |S(\lambda_2 \alpha)| < P^{\sigma+\varepsilon}\}.$$

**Lemma 5.1.** *We have*

$$\int_{\mathfrak{m}'} |S(\lambda_1 \alpha) \cdots S(\lambda_5 \alpha)|^2 K(\alpha) d\alpha \ll \tau P^{5+2\sigma+2\varepsilon}. \tag{5.1}$$

**Proof.** This follows from Lemma 2.3.  $\square$

**Lemma 5.2.** *Let  $q$  be a convergent to the continued fraction of  $\lambda_1/\lambda_2$  and let*

$$P = q^{\frac{3}{8}}. \tag{5.2}$$

*Then we have*

$$\int_{\hat{\mathfrak{m}}} |S(\lambda_1 \alpha) \cdots S(\lambda_5 \alpha)|^2 K(\alpha) d\alpha \ll \tau P^{\frac{13}{3}+6\varepsilon}. \tag{5.3}$$

**Proof.** We divide  $\hat{\mathfrak{m}}$  into disjoint sets such that for  $\alpha \in S(Z_1, Z_2, y)$ , we have

$$Z_1 \leq |S(\lambda_1 \alpha)| < 2Z_1, \quad Z_2 \leq |S(\lambda_2 \alpha)| < 2Z_2, \quad y \leq |\alpha| < 2y,$$

where  $Z_1 = P^{\frac{11}{12}+\varepsilon}2^{t_1}$ ,  $Z_2 = P^{\frac{11}{12}+\varepsilon}2^{t_2}$ ,  $y = \phi 2^s$  for some positive integers  $t_1, t_2, s$ . Thus, by Corollary, there exist two pairs of coprime integers  $(a_1, q_1), (a_2, q_2)$  with  $a_1 a_2 \neq 0$  and

$$1 \leq q_j \ll (P/Z_j)^2 P^\varepsilon, \quad |q_j \lambda_j \alpha - a_j| \ll (P/Z_j)^2 P^{\varepsilon-3}.$$

Then for any  $\alpha \in S(Z_1, Z_2, y)$ , we have

$$\left| \frac{a_j}{\alpha} \right| \ll q_j + (P/Z_j)^2 P^{\varepsilon-3} y^{-1} \ll q_j + P^{-\frac{1}{4}+\varepsilon} \ll q_j.$$

We further subdivide  $\hat{\mathfrak{m}}$  into sets  $S(Z_1, Z_2, y, Q_1, Q_2)$ , where  $Q_j \leq q_j < 2Q_j$  on each set. Then, by a familiar argument (see p. 147 of [15] for example),

$$\begin{aligned} \left| a_2 q_1 \frac{\lambda_1}{\lambda_2} - a_1 q_2 \right| &= \left| \frac{a_2(q_1 \lambda_1 \alpha - a_1) + a_1(a_2 - q_2 \lambda_2 \alpha)}{\lambda_2 \alpha} \right| \\ &\ll Q_2 (P/Z_1)^2 P^{\varepsilon-3} + Q_1 (P/Z_2)^2 P^{\varepsilon-3} \\ &\ll (P/Z_1)^2 (P/Z_2)^2 P^{\varepsilon-3} \ll P^{-\frac{8}{3}-3\varepsilon}. \end{aligned}$$

Also

$$|a_2 q_1| \ll P^{2\varepsilon} y Q_1 Q_2.$$

If  $|a_2 q_1|$  took on  $R$  distinct values, we could apply the pigeon-hole principle to deduce the existence of  $n$  with

$$\left\| n \frac{\lambda_1}{\lambda_2} \right\| \ll P^{-\frac{8}{3}-3\varepsilon}, \quad n \ll \frac{P^{2\varepsilon} y Q_1 Q_2}{R}.$$

Note that  $P = q^{\frac{3}{8}}$  by (5.2), where  $a/q$  is a convergent to  $\lambda_1/\lambda_2$ . This forces that

$$R \ll \frac{P^{2\varepsilon} y Q_1 Q_2}{q}.$$

By the well-known bound on the divisor function, each value of  $|a_2 q_1|$  corresponds to  $\ll P^\varepsilon$  values of  $a_2, q_1$ . Hence we conclude that  $S(Z_1, Z_2, y, Q_1, Q_2)$  is made up of  $\ll R P^\varepsilon$  intervals of length

$$\ll \min \left( \frac{1}{Q_1} \left( \frac{P}{Z_1} \right)^2 P^{\varepsilon-3}, \frac{1}{Q_2} \left( \frac{P}{Z_2} \right)^2 P^{\varepsilon-3} \right) \ll \frac{P^{\varepsilon-1}}{Z_1 Z_2 Q_1^{1/2} Q_2^{1/2}}.$$



Thus integrating over such a set gives

$$\begin{aligned} & \int |S(\lambda_1 \alpha) \cdots S(\lambda_5 \alpha)|^2 K(\alpha) d\alpha \\ & \ll \min(\tau^2, y^{-2}) Z_1^2 Z_2^2 P^6 \frac{P^{\varepsilon-1}}{Z_1 Z_2 Q_1^{1/2} Q_2^{1/2}} \times \frac{P^{3\varepsilon} y Q_1 Q_2}{q} \\ & \ll \tau P^{7+5\varepsilon} q^{-1} \ll \tau P^{\frac{13}{3}+5\varepsilon}. \end{aligned} \quad (5.4)$$

Summing over all possible values of  $Z_1, Z_2, y, Q_1, Q_2$ , we conclude that

$$\int_{\mathfrak{m}} |S(\lambda_1 \alpha) \cdots S(\lambda_5 \alpha)|^2 K(\alpha) d\alpha \ll \tau P^{\frac{13}{3}+6\varepsilon}. \quad \square \quad (5.5)$$

## 6. The proof of Theorem 1.2

We take  $\tau = X^{-\delta}$ . Let  $\mathcal{E} = \mathcal{E}(\mathcal{V}, X, \delta)$  denote the set of  $v \in \mathcal{V}$ ,  $1 \leq v \leq X$  such that the inequality

$$|\lambda_1 p_1^3 + \lambda_2 p_2^3 + \lambda_3 p_3^3 + \lambda_4 p_4^3 + \lambda_5 p_5^3 - v| < \tau$$

has no solution in primes  $p_1, p_2, p_3, p_4, p_5$  and  $E = E(\mathcal{V}, X, \delta) = |\mathcal{E}(\mathcal{V}, X, \delta)|$ . Then by (3.1) and (4.1), we have

$$\left| \sum_{v \in \mathcal{E}} \int_{\mathfrak{m}} S(\lambda_1 \alpha) \cdots S(\lambda_5 \alpha) e(-v\alpha) K(\alpha) d\alpha \right| \gg \tau^2 P^2 E. \quad (6.1)$$

Now, we come to prove the first part of Theorem 1.2. Note that  $P = q^{\frac{3}{8}}$  by (5.2), where  $a/q$  is a convergent to  $\lambda_1/\lambda_2$ . By Cauchy's inequality, (5.1) and (5.3), we get

$$\begin{aligned} & \left| \sum_{v \in \mathcal{E}} \int_{\mathfrak{m}} S(\lambda_1 \alpha) \cdots S(\lambda_5 \alpha) e(-v\alpha) K(\alpha) d\alpha \right| \\ & \ll \left( \int_{-\infty}^{+\infty} \left| \sum_{v \in \mathcal{E}} e(-v\alpha) \right|^2 K(\alpha) d\alpha \right)^{1/2} \left( \int_{\mathfrak{m}} |S(\lambda_1 \alpha) \cdots S(\lambda_5 \alpha)|^2 K(\alpha) d\alpha \right)^{1/2} \\ & \ll (\tau P^{\frac{41}{6}+6\varepsilon})^{1/2} \left( \sum_{v_1, v_2 \in \mathcal{E}} \max(0, \tau - |v_1 - v_2|) \right)^{1/2} \ll \tau E^{1/2} P^{\frac{41}{12}+3\varepsilon}. \end{aligned} \quad (6.2)$$

Combining (6.1) and (6.2), we have

$$E(\mathcal{V}, X, \delta) \ll X^{\frac{17}{18}+2\delta+\varepsilon}. \quad (6.3)$$

Of course, there are infinitely many  $q$  we could have taken in since  $\lambda_1/\lambda_2$  is irrational, and this gives the sequence  $X_j \rightarrow \infty$ . This completes the proof of the first part of [Theorem 1.2](#).

Next, we come to prove the second part of [Theorem 1.2](#). Now, if the convergent denominators for  $\lambda_1/\lambda_2$  satisfy [\(1.3\)](#), then we can modify our work in [Lemmas 5.1 and 5.2](#). We now let  $P$  be a sufficiently large number, and assume that

$$\min(Z_1, Z_2) > P^{\chi+\varepsilon},$$

with  $\chi$  given by [\(1.5\)](#). We then obtain

$$\left| a_2 q_1 \frac{\lambda_1}{\lambda_2} - a_2 q_1 \right| \ll P^{1-4\chi-3\varepsilon}.$$

However, we know from [\(1.3\)](#) that there is a convergent  $a/q$  to  $\lambda_1/\lambda_2$  with

$$P^{(1-\omega)(4\chi-1)} \ll q \ll P^{4\chi-1}.$$

Then, the expression corresponding to [\(5.4\)](#) is now

$$\begin{aligned} & \int |S_1(\alpha)S_2(\alpha)S_3(\alpha)|^2 K(\alpha) d\alpha \\ & \ll \tau P^{7+5\varepsilon} q^{-1} \ll \tau P^{7-(1-\omega)(4\chi-1)+5\varepsilon} \ll \tau P^{5+2\chi+5\varepsilon} \end{aligned}$$

by our choice of  $\chi$ . Thus we have

$$\int_{\mathfrak{m}} |S(\lambda_1\alpha) \cdots S(\lambda_5\alpha)|^2 K(\alpha) d\alpha \ll \tau P^{5+2\chi+6\varepsilon}. \quad (6.4)$$

We work as [\(6.1\)](#), [\(6.2\)](#) and [\(6.3\)](#). Then the proof of [Theorem 1.2](#) is now easily completed.

## 7. The proof of [Theorem 1.4](#)

We need only to re-estimate the integral in [\(2.4\)](#) on the minor arc  $\mathfrak{m}$ . First, we divide  $\mathfrak{m}$  into two sets  $\mathfrak{m}''$  and  $\tilde{\mathfrak{m}} = \mathfrak{m} \setminus \mathfrak{m}''$  such that, for  $\alpha \in \mathfrak{m}''$ , we have that at least three of the sums  $|S(\lambda_1\alpha)|, |S(\lambda_2\alpha)|, \dots, |S(\lambda_5\alpha)|$  do not exceed  $P^{\chi^*+\varepsilon}$ , where  $\chi^*$  is defined by [\(1.9\)](#). Then for  $\alpha \in \tilde{\mathfrak{m}}$ , there are at least three of  $S(\lambda_j\alpha)$  exceed  $P^{\chi^*+\varepsilon}$ . Since at most one of the ratios  $\lambda_i/\lambda_j$  ( $1 \leq i < j \leq 5$ ) is rational, there exist  $1 \leq k < l \leq 5$  satisfying  $\lambda_k/\lambda_l$  is irrational, and

$$|S(\lambda_k\alpha)| \geq P^{\chi^*+\varepsilon}, \quad |S(\lambda_l\alpha)| \geq P^{\chi^*+\varepsilon}.$$

Now, if the convergent denominators for  $\lambda_k/\lambda_l$  satisfying [\(1.7\)](#), then we can modify our work in [Lemma 5.2](#). We now let  $P$  be a sufficiently large number, then we know from

(1.7) that there is a convergent  $a/q$  to  $\lambda_k/\lambda_l$  with

$$P^{(1-\omega)(4\chi^*-1)} \ll q \ll P^{4\chi^*-1}.$$

Then, by the proof of Lemma 5.2, we can easily get

$$\int_{\mathfrak{m}} |S(\lambda_1\alpha) \cdots S(\lambda_5\alpha)|^2 K(\alpha) d\alpha \ll \tau P^{7-(1-\omega)(4\chi^*-1)+6\varepsilon} \ll \tau P^{4+3\chi^*+6\varepsilon}. \quad (7.1)$$

Now, we consider the integral on  $\mathfrak{m}''$ . Without loss of generality we need only consider the set

$$\mathfrak{m}^* = \{\alpha \in \mathfrak{m} : |S(\lambda_1\alpha)| \geq |S(\lambda_2\alpha)|, |S(\lambda_j\alpha)| \leq P^{\chi^*+\varepsilon}, j = 3, 4, 5\}.$$

**Lemma 7.1.** *We have*

$$\int_{\mathfrak{m}^*} |S(\lambda_1\alpha) \cdots S(\lambda_5\alpha)|^2 K(\alpha) d\alpha \ll \tau P^{4+3\chi^*+6\varepsilon}. \quad (7.2)$$

**Proof.** For convenience, let  $G(\alpha) = |S(\lambda_2\alpha) \cdots S(\lambda_5\alpha)|^2$ . Therefore, we have

$$\begin{aligned} J(\mathfrak{m}^*) &:= \int_{\mathfrak{m}^*} |S(\lambda_1\alpha) \cdots S(\lambda_5\alpha)|^2 K(\alpha) d\alpha \\ &= \sum_{\eta P \leq p < P} (\log p) \int_{\mathfrak{m}^*} e(\alpha \lambda_1 p^3) G(\alpha) S(-\lambda_1\alpha) K(\alpha) d\alpha \\ &\leq \sum_{\eta P \leq p < P} (\log p) \left| \int_{\mathfrak{m}^*} e(\alpha \lambda_1 p^3) G(\alpha) S(-\lambda_1\alpha) K(\alpha) d\alpha \right| \\ &\leq (\log P) \sum_{\eta P \leq n < P} \left| \int_{\mathfrak{m}^*} e(\alpha \lambda_1 n^3) G(\alpha) S(-\lambda_1\alpha) K(\alpha) d\alpha \right|. \end{aligned}$$

Then, by Cauchy's inequality, we get

$$J(\mathfrak{m}^*) \ll P^{\frac{1}{2}} \log P \left( \sum_{\eta P \leq n < P} \left| \int_{\mathfrak{m}^*} e(\alpha \lambda_1 n^3) G(\alpha) S(-\lambda_1\alpha) K(\alpha) d\alpha \right|^2 \right)^{1/2}. \quad (7.3)$$

We estimate the right sum in (7.3) and obtain

$$\begin{aligned} &\sum_{\eta P \leq n < P} \left| \int_{\mathfrak{m}^*} e(\alpha \lambda_1 n^3) G(\alpha) S(-\lambda_1\alpha) K(\alpha) d\alpha \right|^2 \\ &= \sum_{\eta P \leq n < P} \int_{\mathfrak{m}^*} \int_{\mathfrak{m}^*} G(\alpha) S(-\lambda_1\alpha) K(\alpha) G(-\beta) S(\lambda_1\beta) K(\beta) e(\lambda_1 n^3(\alpha - \beta)) d\alpha d\beta \end{aligned}$$

$$\begin{aligned}
&= \int_{\mathfrak{m}^*} G(-\beta)S(\lambda_1\beta)K(\beta) \left( \int_{\mathfrak{m}^*} G(\alpha)S(-\lambda_1\alpha)T(\lambda_1(\alpha-\beta))K(\alpha)d\alpha \right) d\beta \\
&\leq \int_{\mathfrak{m}^*} |G(-\beta)S(\lambda_1\beta)|F(\beta)K(\beta)d\beta,
\end{aligned} \tag{7.4}$$

where

$$T(x) = \sum_{\eta P \leq n < P} e(xn^3),$$

and

$$F(\beta) = \int_{\mathfrak{m}^*} |G(\alpha)S(-\lambda_1\alpha)T(\lambda_1(\alpha-\beta))|K(\alpha)d\alpha. \tag{7.5}$$

Let  $\mathcal{M}_\beta(r, b) = \{\alpha \in \mathfrak{m}^* : |r\lambda_1(\alpha - \beta) - b| \leq P^{-\frac{9}{4}}\}$ . Then, the set  $\mathcal{M}_\beta(r, b) \neq \emptyset$  forces that

$$|b + r\lambda_1\beta| \leq |r\lambda_1(\alpha - \beta) - b| + |r\lambda_1\alpha| \leq P^{-\frac{9}{4}} + r|\lambda_1|\tau^{-2}P^{2\varepsilon}.$$

Let  $\mathcal{A} = \{b \in \mathbb{Z} : |b + r\lambda_1\beta| \leq P^{-\frac{9}{4}} + r|\lambda_1|\tau^{-2}P^{2\varepsilon}\}$ . We divide  $\mathcal{A}$  into two sets  $\mathcal{A}_1 = \{b \in \mathbb{Z} : -r|\lambda_1|\tau^{-1} + P^{-9/4} \leq b + r\lambda_1\beta \leq r|\lambda_1|\tau^{-1} - P^{-9/4}\}$  and  $\mathcal{A}_2 = \mathcal{A} \setminus \mathcal{A}_1$ . Let

$$\mathcal{M}_\beta = \bigcup_{1 \leq r \leq P^{\frac{3}{4}}} \bigcup_{\substack{b \in \mathcal{A} \\ (b, r) = 1}} \mathcal{M}_\beta(r, b).$$

Then, by [Lemma 2.4](#), we have

$$\begin{aligned}
F(\beta) &\ll P \int_{\mathcal{M}_\beta \cap \mathfrak{m}^*} |G(\alpha)S(-\lambda_1\alpha)| \frac{w_3(r)K(\alpha)}{1 + P^3|\lambda_1(\alpha - \beta) - b/r|} d\alpha \\
&\quad + P^{\frac{3}{4} + \varepsilon} \int_{\mathfrak{m}^*} |G(\alpha)S(-\lambda_1\alpha)|K(\alpha)d\alpha.
\end{aligned} \tag{7.6}$$

For the first integral in (7.6), by Cauchy's inequality, we get

$$\begin{aligned}
&\int_{\mathcal{M}_\beta \cap \mathfrak{m}^*} |G(\alpha)S(-\lambda_1\alpha)| \frac{w_3(r)K(\alpha)}{1 + P^3|\lambda_1(\alpha - \beta) - b/r|} d\alpha \\
&\ll \left( \int_{\mathfrak{m}^*} |G(\alpha)|^2 K(\alpha) d\alpha \right)^{1/2} \left( \int_{\mathcal{M}_\beta} \frac{|S(-\lambda_1\alpha)|^2 w_3(r)^2 K(\alpha)}{(1 + P^3|\lambda_1(\alpha - \beta) - b/r|)^2} d\alpha \right)^{1/2}.
\end{aligned} \tag{7.7}$$

Next, we come to estimate the last integral in (7.7). First, we divide it into two parts.

$$\begin{aligned}
 & \int_{\mathcal{M}_\beta} \frac{|S(-\lambda_1\alpha)|^2 w_3(r)^2 K(\alpha)}{(1 + P^3 |\lambda_1(\alpha - \beta) - b/r|)^2} d\alpha \\
 &= \sum_{j=1,2} \sum_{1 \leq r \leq P^{3/4}} \sum_{\substack{b \in \mathcal{A}_j \\ (b,r)=1}} \int_{\mathcal{M}_\beta(r,b)} \frac{|S(-\lambda_1\alpha)|^2 w_3(r)^2 K(\alpha)}{(1 + P^3 |\lambda_1(\alpha - \beta) - b/r|)^2} d\alpha \\
 &=: L_1(\beta) + L_2(\beta).
 \end{aligned} \tag{7.8}$$

For the first part, we get

$$\begin{aligned}
 L_1(\beta) &\ll \tau^2 \sum_{1 \leq r \leq P^{3/4}} \sum_{\substack{b \in \mathcal{A}_1 \\ (b,r)=1}} \int_{\mathcal{M}_\beta(r,b)} \frac{|S(-\lambda_1\alpha)|^2 w_3(r)^2}{(1 + P^3 |\lambda_1(\alpha - \beta) - b/r|)^2} d\alpha \\
 &\ll \tau^2 \sum_{1 \leq r \leq P^{3/4}} \sum_{\substack{b \in \mathcal{A}_1 \\ (b,r)=1}} \int_{|\lambda_1\gamma| \leq \frac{1}{rP^{9/4}}} \frac{|S(\lambda_1(\beta + \gamma) + b/r)|^2 w_3(r)^2}{(1 + P^3 |\lambda_1\gamma|)^2} d\gamma \\
 &\ll \tau^2 \sum_{1 \leq r \leq P^{3/4}} w_3(r)^2 \int_{|\lambda_1\gamma| \leq \frac{1}{rP^{9/4}}} \frac{U(\mathcal{A}_1^*)}{(1 + P^3 |\lambda_1\gamma|)^2} d\gamma,
 \end{aligned}$$

where

$$U(\mathcal{A}_1^*) = \sum_{b \in \mathcal{A}_1^*} |S(\lambda_1(\beta + \gamma) + b/r)|^2,$$

and

$$\mathcal{A}_1^* = \{b \in \mathbb{Z} : -r([\lambda_1|\tau^{-1}] + 1) < b + r\lambda_1\beta \leq r([\lambda_1|\tau^{-1}] + 2)\}.$$

Then, we have

$$\begin{aligned}
 U(\mathcal{A}_1^*) &= \sum_{\eta P \leq p_1, p_2 < P} \sum_{b \in \mathcal{A}_1^*} e((\lambda_1(\beta + \gamma) + b/r)(p_1^3 - p_2^3)) \\
 &= \sum_{\eta P \leq p_1, p_2 < P} e(\lambda_1(\beta + \gamma)(p_1^3 - p_2^3)) \sum_{b \in \mathcal{A}_1^*} e\left(\frac{b(p_1^3 - p_2^3)}{r}\right) \\
 &\leq r(2|\lambda_1|\tau^{-1} + 3) \sum_{\substack{\eta P \leq p_1, p_2 < P \\ p_1^3 \equiv p_2^3 \pmod{r}}} e(\lambda_1(\beta + \gamma)(p_1^3 - p_2^3)) \\
 &\ll r\tau^{-1} \sum_{\substack{\eta P \leq p_1, p_2 < P \\ p_1^3 \equiv p_2^3 \pmod{r}}} 1
 \end{aligned}$$

$$\begin{aligned} &\ll r\tau^{-1}P^2r^{-2} \sum_{\substack{1 \leq b_1, b_2 < r, (b_1 b_2, r)=1 \\ b_1^3 \equiv b_2^3 \pmod{r}}} 1 \\ &\ll \tau^{-1}P^2 \sum_{\substack{1 \leq b < r \\ b^3 \equiv 1 \pmod{r}}} 1 \ll \tau^{-1}P^2 d(r)^c. \end{aligned}$$

Hence, by Lemma 2.5, we have

$$\begin{aligned} L_1(\beta) &\ll \tau P^2 \sum_{1 \leq r \leq P^{3/4}} w_3^2(r) d(r)^c \int_{|\lambda_1 \gamma| \leq r^{-1} P^{-9/4}} \frac{1}{(1 + P^3 |\lambda_1 \gamma|)^2} d\gamma \\ &\ll \tau P^{-1} \sum_{1 \leq r \leq P^{3/4}} w_3^2(r) d(r)^c \ll \tau P^{-1+\varepsilon}. \end{aligned} \quad (7.9)$$

Now, we begin to estimate  $L_2(\beta)$ . First, without loss of generality we need only consider the set

$$\mathcal{A}'_2 = \{b \in \mathbb{Z} : r|\lambda_1|\tau^{-1} - P^{-9/4} < b + r\lambda_1\beta \leq r|\lambda_1|\tau^{-2}P^{2\varepsilon} + P^{-9/4}\}$$

which falls in the set

$$\mathcal{A}_2^* = \{b \in \mathbb{Z} : r([\lambda_1|\tau^{-1}] - 1) < b + r\lambda_1\beta \leq r([\lambda_1|\tau^{-2}P^{2\varepsilon}] + 2)\}.$$

Then, we obtain

$$\begin{aligned} L_2(\beta) &\ll \sum_{1 \leq r \leq P^{3/4}} \sum_{\substack{b \in \mathcal{A}_2^* \\ (b, r)=1}} \int_{\mathcal{M}_\beta(r, b)} \frac{|S(-\lambda_1\alpha)|^2 w_3(r)^2 K(\alpha)}{(1 + P^3 |\lambda_1(\alpha - \beta) - b/r|)^2} d\alpha \\ &\ll \sum_{1 \leq r \leq P^{3/4}} \sum_{k=[\lambda_1|\tau^{-2}P^{2\varepsilon}]+1}^{[\lambda_1|\tau^{-2}P^{2\varepsilon}]+1} \sum_{\substack{rk < b + r\lambda_1\beta \leq r(k+1) \\ (b, r)=1}} \int_{\mathcal{M}_\beta(r, b)} \frac{|S(-\lambda_1\alpha)|^2 w_3(r)^2 K(\alpha)}{(1 + P^3 |\lambda_1(\alpha - \beta) - b/r|)^2} d\alpha \\ &\ll \sum_{1 \leq r \leq P^{3/4}} \sum_{k=[\lambda_1|\tau^{-1}]-1}^{[\lambda_1|\tau^{-2}P^{2\varepsilon}]+1} \sum_{\substack{rk < b + r\lambda_1\beta \leq r(k+1) \\ (b, r)=1}} \int_{\mathcal{M}_\beta(r, b)} \frac{|S(-\lambda_1\alpha)|^2 w_3(r)^2 |\alpha|^{-2}}{(1 + P^3 |\lambda_1(\alpha - \beta) - b/r|)^2} d\alpha \\ &\ll \sum_{1 \leq r \leq P^{3/4}} \sum_{k=[\lambda_1|\tau^{-1}]-1}^{[\lambda_1|\tau^{-2}P^{2\varepsilon}]+1} \frac{1}{(k-1)^2} \int_{|\lambda_1 \gamma| \leq r^{-1} P^{-9/4}} \frac{U(\mathcal{B}_k) w_3(r)^2}{(1 + P^3 |\lambda_1 \gamma|)^2} d\gamma, \end{aligned}$$

where  $\mathcal{B}_k = \{b \in \mathbb{Z} : rk < b + r\lambda_1\beta \leq r(k+1)\}$ . Similar to the estimate of  $U(\mathcal{A}_1^*)$ , we have  $U(\mathcal{B}_k) \ll P^2 d(r)^c$ . Hence we get

$$\begin{aligned}
 L_2(\beta) &\ll P^2 \sum_{1 \leq r \leq P^{3/4}} \frac{w_3(r)^2 d(r)^c}{(|\lambda_1| \tau^{-1}] - 2)} \int_{|\lambda_1 \gamma| \leq r^{-1} P^{-9/4}} \frac{1}{(1 + P^3 |\lambda_1 \gamma|)^2} d\gamma \\
 &\ll \tau P^{-1} \sum_{1 \leq r \leq P^{3/4}} w_3(r)^2 d(r)^c \ll \tau P^{-1+\varepsilon}.
 \end{aligned} \tag{7.10}$$

Combining (7.6)–(7.10), we have

$$\begin{aligned}
 F(\beta) &\ll \tau^{1/2} P^{1/2+\varepsilon} \left( \int_{\mathfrak{m}^*} |G(\alpha)|^2 K(\alpha) d\alpha \right)^{1/2} \\
 &\quad + P^{3/4+\varepsilon} \int_{\mathfrak{m}^*} |G(\alpha) S(\lambda_1 \alpha)| K(\alpha) d\alpha.
 \end{aligned} \tag{7.11}$$

Thus, by (7.3), (7.4) and (7.11), we conclude that

$$\begin{aligned}
 J(\mathfrak{m}^*) &\ll \tau^{\frac{1}{4}} P^{\frac{3}{4}+\varepsilon} \left( \int_{\mathfrak{m}^*} |G(\alpha)|^2 K(\alpha) d\alpha \right)^{1/4} \left( \int_{\mathfrak{m}^*} |G(\alpha) S(\lambda_1 \alpha)| K(\alpha) d\alpha \right)^{1/2} \\
 &\quad + P^{7/8+\varepsilon} \int_{\mathfrak{m}^*} |G(\alpha) S(\lambda_1 \alpha)| K(\alpha) d\alpha.
 \end{aligned} \tag{7.12}$$

By Cauchy's inequality and Lemma 2.3, we have

$$\begin{aligned}
 \int_{\mathfrak{m}^*} |G(\alpha) S(\lambda_1 \alpha)| K(\alpha) d\alpha &\ll J(\mathfrak{m}^*)^{1/2} \left( \int_{\mathfrak{m}^*} |G(\alpha)| K(\alpha) d\alpha \right)^{1/2} \\
 &\ll J(\mathfrak{m}^*)^{1/2} \prod_{j=2}^5 \left( \int_{-\infty}^{+\infty} |S(\lambda_j \alpha)|^8 K(\alpha) d\alpha \right)^{1/8} \\
 &\ll \tau^{1/2} P^{5/2+\varepsilon} J(\mathfrak{m}^*)^{1/2}.
 \end{aligned} \tag{7.13}$$

By the definition of  $\mathfrak{m}^*$ , we have

$$\int_{\mathfrak{m}^*} |G(\alpha)|^2 K(\alpha) d\alpha \ll P^{6\chi^*+6\varepsilon} J(\mathfrak{m}^*). \tag{7.14}$$

Then we conclude from (7.12), (7.13) and (7.14) that

$$J(\mathfrak{m}^*) \ll \tau^{1/2} P^{2+3\varepsilon} J(\mathfrak{m}^*)^{1/2} (P^{\frac{3}{2}\chi^*} + P^{\frac{11}{8}}) \ll \tau^{1/2} P^{2+\frac{3}{2}\chi^*+3\varepsilon} J(\mathfrak{m}^*)^{1/2}. \tag{7.15}$$

Therefore, (7.2) follows from (7.15).  $\square$

At last, combining (7.1) and (7.2), we have

$$\int_{\mathfrak{m}} |S(\lambda_1 \alpha) \cdots S(\lambda_5 \alpha)|^2 K(\alpha) d\alpha \ll \tau P^{4+3\chi^*+6\varepsilon}.$$

Then we work as in the section 6, the proof of Theorem 1.4 is now easily completed.

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