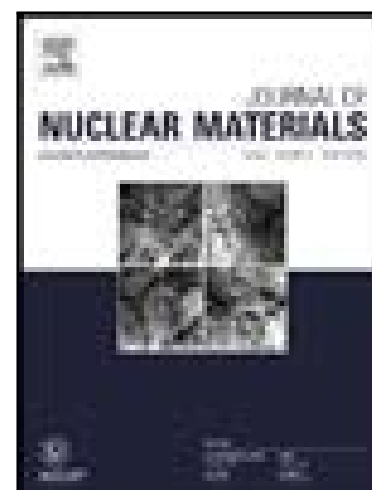


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Perspectives on multiscale modelling and experiments to accelerate materials development for fusion

K. Arakawa, Z. Bergstrom, M.J. Caturla, S.L. Dudarev, F. Gao, M.R. Gilbert, A.M. Goryaeva, S.Y. Hu, X. Hu, R.J. Kurtz, A. Litnovsky, J. Marian, M.-C. Marinica, E. Martinez, E.A. Marquis, D.R. Mason, B.N. Nguyen, P. Olsson, Y. Osetskiy, D. Senior, W. Setyawan, M.P. Short, T. Suzudo, J.R. Trelewicz, T. Tsuru, G.S. Was, B.D. Wirth, L. Yang, Y. Zhang, S.J. Zinkle



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## Perspectives on multiscale modelling and experiments to accelerate materials development for fusion

K. Arakawa<sup>a</sup>, Z. Bergstrom<sup>b</sup>, M.J. Caturla<sup>c</sup>, S.L. Dudarev<sup>d</sup>, F. Gao<sup>e</sup>,  
M.R. Gilbert<sup>d,\*</sup>, A.M. Goryaeva<sup>f</sup>, S.Y. Hu<sup>g</sup>, X. Hu<sup>h</sup>, R.J. Kurtz<sup>g</sup>,  
A. Litnovsky<sup>i,j</sup>, J. Marian<sup>k</sup>, M.-C. Marinica<sup>f</sup>, E. Martinez<sup>l,m</sup>, E.A. Marquis<sup>n</sup>,  
D.R. Mason<sup>d</sup>, B.N. Nguyen<sup>g</sup>, P. Olsson<sup>o</sup>, Y. Osetskiy<sup>h</sup>, D. Senor<sup>g</sup>,  
W. Setyawan<sup>g</sup>, M.P. Short<sup>p</sup>, T. Suzudo<sup>q</sup>, J.R. Trelewicz<sup>r</sup>, T. Tsuru<sup>q</sup>,  
G.S. Was<sup>e</sup>, B.D. Wirth<sup>b</sup>, L. Yang<sup>b</sup>, Y. Zhang<sup>h</sup>, S.J. Zinkle<sup>b</sup>

<sup>a</sup>Next Generation TATARA Co-Creation Centre, Organization for Industrial Innovation,  
Shimane University, Matsue, Japan

<sup>b</sup>University of Tennessee-Knoxville, Knoxville, TN, USA

<sup>c</sup>Facultad de Ciencias, Fase II, Department of Física Aplicada, Universidad de Alicante,  
Alicante, Spain

<sup>d</sup>United Kingdom Atomic Energy Authority, Culham Centre for Fusion Energy, Culham  
Science Centre, Abingdon, Oxon, OX14 3DB, UK

<sup>e</sup>Department of Nuclear Engineering and Radiological Sciences, University of Michigan,  
Ann Arbor, MI, 48109, USA

<sup>f</sup>Université Paris-Saclay, CEA, Service de Recherches de Métallurgie Physique, 91191,  
Gif-sur-Yvette, France

<sup>g</sup>Pacific Northwest National Laboratory, Richland WA, USA

<sup>h</sup>Materials Science and Technology Division, Oak Ridge National Laboratory, Oak Ridge,  
TN 37831, USA

<sup>i</sup>Forschungszentrum Jülich GmbH, Institut für Energie- und Klimaforschung, 52425 Jülich,  
Germany

<sup>j</sup>National Nuclear Research University MEPhI, Kashirskoe sh.31, 115409, Moscow, Russian  
Federation

<sup>k</sup>Department of Materials Science and Engineering, University of California, Los Angeles,  
CA, USA

<sup>l</sup>Department of Mechanical Engineering, Clemson University, Clemson, SC 29623, USA

<sup>m</sup>Department of Materials Science and Engineering, Clemson University, Clemson, SC  
29623, USA

<sup>n</sup>Department of Materials Science and Engineering, University of Michigan, Ann Arbor,  
MI, 48104, USA

<sup>o</sup>KTH Royal Institute of Technology, Nuclear Engineering, SE106 91 Stockholm, Sweden

<sup>p</sup>Massachusetts Institute of Technology, Cambridge, MA, USA

<sup>q</sup>Japan Atomic Energy Agency, Tokai-mura, Naka-gun, Ibaraki-ken, Japan

<sup>r</sup>Department of Materials Science and Chemical Engineering, Stony Brook University,  
Stony Brook, NY, USA

### Abstract

Prediction of material performance in fusion reactor environments relies on computational modelling, and will continue to do so until the first generation of

\*Corresponding author

Email address: mark.gilbert@ukaea.uk (M.R. Gilbert)

fusion power plants come on line and allow long-term behaviour to be observed. In the meantime, the modelling is supported by experiments that attempt to replicate some aspects of the eventual operational conditions. In 2019, a group of leading experts met under the umbrella of the IEA to discuss the current position and ongoing challenges in modelling of fusion materials and how advanced experimental characterisation is aiding model improvement. This review draws from the discussions held during that workshop.

Topics covering modelling of irradiation-induced defect production and fundamental properties, gas behaviour, clustering and segregation, defect evolution and interactions are discussed, as well as new and novel multiscale simulation approaches, and the latest efforts to link modelling to experiments through advanced observation and characterisation techniques.

*Keywords:* multiscale modelling, fusion materials, radiation damage, hydrogen and helium, defect evolution, experimental characterisation

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## 1. Introduction

This article provides a review of the status of fusion materials theory and modelling, largely drawn from the Fusion Materials Technology Collaboration Program (FM TCP) workshop held in June 2019. The workshop was organised with the specific aim of discussing the opportunities for experimental validation of recent advances in theory and modelling that offer the potential to accelerate materials development for fusion energy. The Fusion Materials TCP is part of a network of autonomous collaborative partnerships focused on a wide range of energy technologies, known as Technology Collaboration Programs or TCPs. The TCPs are organised under the auspices of the International Energy Agency (IEA), but the TCPs are functionally and legally autonomous. Views, findings and publications of the Fusion Materials TCP do not necessarily represent the views or policies of the IEA Secretariat or its individual member countries.

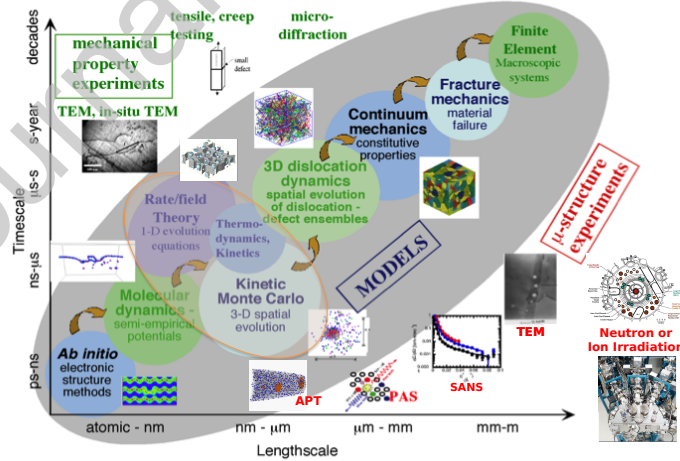


Figure 1: Illustration of an integrated experimental and computational science-based approach to the multiscale investigation of materials degradation due to high-energy particle irradiation.



The workshop was held in Walla Walla, WA and organised by Mark Gilbert, Rick Kurtz and Brian Wirth. It represents the 6th meeting on fusion materials theory and modelling organised under the auspices of the FM TCP, although there had been a significant gap since the 5th workshop that occurred in Alicante, Spain in 2013. The workshop series has consistently been organised around discussing the latest developments in computational modelling and theory of materials behaviour in fusion environments, which are characterised by a high flux of neutrons with an energy spectrum peaked at 14 MeV, along with time varying thermal-mechanical loading conditions. The simulation paradigm for this computational modelling is the so-called multiscale approach, which relies on a parameter-passing framework where multiple temporal and spatial domains are divided into regimes according to the characteristic length and timescales of the physical phenomena involved. Figure 1 provides a schematic diagram of a science-based, integrated experimental and computational modelling approach to investigating materials degradation in a nuclear environment.

Figure 1 illustrates the hierarchical parameter passing paradigm of multiscale modelling, presently dominating the scientific thought in the field. It begins with the smallest length and shortest time scales, and seeks to integrate *ab initio* electronic structure calculations, typically relying on density functional theory (DFT), followed by molecular dynamics (MD) simulations, kinetic Monte Carlo (KMC), rate-theory or phase-field simulations with thermodynamics and kinetics through the passing of information about the controlling physical mechanisms over the relevant length and time scales to predict microstructure evolution during high-energy neutron irradiation. Detailed microstructural information generated using the multiscale approach can form a basis for modelling the mechanical behaviour through meso (represented by 3D dislocation dynamics) and continuum scale models, which must be incorporated into fracture mechanics models at the continuum scale in order to predict material deformation and failure of individual (fusion) reactor components, through finite element modelling. In the figure, individual modelling techniques are identified in a series of linked process ellipses, with representative schematics illustrating the type of modeled material behaviour through the length and timescales. The passing of information between the scales is represented through a series of arrows.

Of course, multiscale modelling by itself, given the drastic approximations involved in some of the models, is insufficient to fully predict the performance of complicated engineered structures in the fusion nuclear environment. The science-based multiscale paradigm requires a close integration of modelling predictions with a suite of experimental characterisation and monitoring techniques [1] to enable material engineering [2], as well as integration of different modelling approaches to support design [3] and to provide engineering relevant parameters [4]. This is necessary not only for experimental validation, but also to have the experiments confirm, or identify, key mechanisms of defect interactions with transmutation gases that will be produced in much larger quantities in fusion materials than are typical of fission neutron irradiation. A subset of these techniques is represented for microstructural characterisation on the lower side of the gray sphere and for experimental mechanical behaviour/testing methods

on the upper side. As noted earlier, a specific aim of the June 2019 workshop was discussing the opportunities for experimental validation of recent advances in modelling and theory.

The workshop involved 28 contributors with presentations that provided both a review of the current status of knowledge and updates on the latest advances in computational modelling capability, including machine learning, and strove to identify how advances in both simulation and experimental capability could feed back onto both research techniques to advance the capability for simulation driven experimental design. A common question within the multiscale modelling paradigm relates to ensuring that we have optimised the implementation of scale bridging by defining the most important mechanisms at the lowest length scales and incorporating them into continuum or reduced order models that can connect to experimental results for benchmarking and validation. Another common theme in the presentations at the workshop involved the emerging technique of machine learning, and how that offers the potential to improve reduced parameter models and to automate the scale-bridging parameter-passing within integrated multiscale modelling frameworks (e.g. as discussed for fusion materials in [3]). The remainder of this article reviews the key issues and outstanding questions within each of the 4 main topical themes discussed in the workshop: simulating defect production, modelling and observing microstructural evolution, developing techniques to predict how microstructure influences properties, and how the behaviour of gas influences material. A final summary section reviews the advances and highlights the future challenges and opportunities for the future implementation of multiscale modelling to accelerate materials development for fusion energy.

## 2. Simulating defect production and fundamental properties

This section describes recent advances in modelling and interpreting the production and behaviour of defects in materials exposed to the high-energy neutron irradiation that will be produced in fusion reactors. The following subsections discuss: the development of dynamic simulations combining DFT and MD to explore damage creation using quantum accuracy (Section 2.1), and how DFT calculations are still being used to provide important information about the properties of fundamental defects in crystalline materials (2.2). Then we discuss the use of classical MD to explore the interaction of cascades and sub-cascades in W (Section 2.3) and the analysis of the stress fields created by defects in those cascades that will have a fundamental impact on materials at the macro-scale (2.4). A final sub-section (2.5) is devoted to describing an important recent development in the computational simulation of materials, namely how machine learning can overcome the limitations of both DFT (small scale and short time accessible to dynamic DFT simulations) and classical MD (insufficient accuracy) by enabling a more accurate description of a material at atomic level at a relatively low computational cost.

### 135 2.1. Modelling primary damage on the quantum scale

When energetic particles interact with materials they can cause a number of different effects, including electronic excitations, nuclear reactions and displacement of ions in the material. If the energetic transfer to the ions is sufficient, past the threshold displacement energy (TDE), the displaced ion will not return to its original site, leaving behind a vacancy and forming a self-interstitial, thus damaging the crystal structure itself, resulting in a primary damage state. Since the material in a fusion reactor environment will be under continual highly energetic irradiation, primary damage will form all through the materials during the entire operation of the reactor. The evolution of this damage state, when the point defects and directly generated defect clusters diffuse, recombine and agglomerate, can lead to a wide variety of changes of materials properties that are limiting the safe operation lifetime.

The primary damage state itself has historically been studied using classical- and semi-classical methods [5, 6, 7] and only in the last decade have quantum mechanical effects been incorporated into cascade simulations [8, 9, 10]. From the quantum, or *ab initio*, dynamic studies, it has been seen that even though the resulting predictions from semi-classical models are often on average reasonable, significant details of the physical interactions are not well described and fully *ab initio* dynamic modelling has an important role to play. *Ab initio* MD is, however, several orders of magnitude more computationally expensive than classical MD, and therefore the limits on what can be simulated are severe. The simulation cell sizes for these types of simulations are currently limited to a few hundred atoms. The intrinsic character of primary damage simulations, where a few atoms in the simulation cell experience extreme local compression during the trajectory, is a clearly limiting factor for the computational efficiency. The very small closest approach distances that occur, in comparison with canonical static or quasi-static defect relaxation simulations, render some of the commonly used time-saving approximations invalid. In particular, the minimal valence (or frozen core) model that is commonly used in DFT simulations, cannot be applied with confidence for dynamic primary damage simulations, since the close compression will cause orbital overlap even for the outermost core shells, which then add to the repulsive interaction. It is clearly important to include at least the last core shell explicitly in the simulations to correctly describe the local compression conditions. However, this comes at a great computational cost. TDE studies from the literature have used around 100-200 atoms for some ceramic compounds [11, 12, 13], while for metals it has been shown that at least 500 atoms are needed [10]. The difference between the two classes is the bonding character and consequent degree of localisation that is clearly stronger in ceramics than in metals, where interactions and dynamics are naturally more dispersive. Angularly resolved TDE in Fe (see, for example, figure 1 in [10]), predicted using either semi-classical molecular dynamics (CMD) or using *ab initio* (quantum molecular dynamics [QMD]) are relatively similar. The average TDE from these two approaches are 39 eV (CMD) versus 32 eV (QMD). However, at finer resolution, many differences exist; demonstrating the importance of the higher accuracy model (QMD).

In order to go beyond the threshold events which constitute the lower limit of primary damage, some method development is clearly necessary. Small displacement cascade simulations would have to be carried out in simulation cells of a few thousand atoms, and for timescales of around ten picoseconds at least. For this reason, the community has been developing methods to speed up QMD simulations for primary damage events. One such development, LAVAX [14] for LAMMPS, is a method to locally switch potentials on the fly during the trajectory so that the major part of the simulation cell, which experiences near-equilibrium conditions and coordination distances, is treated by a minimal valence potential, where the frozen core description is maximally utilized; while the atoms that take active part in the primary damage formation are treated using an expanded set of valence electrons, where at least the shell under the canonical valence is explicitly included in the potential. In this way the orbital overlap that occurs at close compression conditions is taken into account. Since the primary damage event is highly stochastic in nature, one cannot easily determine which atoms will undergo strong local compression in a general case and therefore the method includes a predictor simulation loop, that uses classical MD to determine in advance which atoms will most probably undergo local compression in the next few time steps. A speedup of an order of magnitude can be achieved with this method, which opens the door for QMD simulations of small displacement cascades.

## 2.2. Structure and volumes of elementary defects

Historically, the majority of studies exploring the production of defects assumed a perfect crystalline state of material before a primary collision impact event [6]. This assumption, with some notable exceptions involving for example simulations and observation of collision cascades in nanocrystalline materials [15, 16], and studies of overlapping cascades [17], have focused attention on the exploration of the most elementary point defects forming in crystals under irradiation. The structure of these defects as well as their formation and migration energies in pure elements and alloys have now been determined using density functional theory calculations [18] that not only provided the data required for the quantitative interpretation of observations of electrical resistivity recovery of irradiated materials [19, 20], but also helped resolve long outstanding questions related to the observation and interpretation of X-ray diffraction data on elementary defects [21].

In addition to predicting energies and structures of defects in a variety of materials, density functional theory calculations have recently enabled performing accurate computation of relaxation volumes of defects [22, 23]. Volumes of defects have long been a subject of extensive experimental effort [24], which showed that measuring these volumes accurately was challenging. It is also hard to evaluate these volumes using atomistic simulations and semi-empirical interatomic potentials because the results prove highly sensitive to the assumed many-body law of interaction between the atoms [25]. Still, knowing the volumes of defects is critically significant to modelling radiation effects in materials because these volumes determine the magnitude of local lattice deformations [25] as well as

Table 1: Relaxation volumes of a vacancy and several high-symmetry self-interstitial atom defects in body centred cubic metals, computed using density functional theory and the GGA-PBE functional [22]. Volumes are given in atomic units  $\Omega_0 = a^3/2$ , where  $a$  is the bcc lattice parameter. Volume of a  $\langle 111 \rangle$  SIA defect is the average between a crowdion and a dumbbell configuration.

element	vacancy	$\langle 111 \rangle$	$\langle 110 \rangle$	tetrahedral	$\langle 100 \rangle$	octahedral
Li	-0.53	1.17	1.23	1.23	1.25	1.24
Na	-0.44	1.32	1.35	1.38	1.39	1.42
K	-0.40	1.37	1.40	1.43	1.45	1.45
Rb	-0.40	1.41	1.43	1.46	1.50	1.50
Cs	-0.35	1.45	1.45	1.50	1.55	1.55
Ba	-0.34	1.16	1.11	1.07	0.96	0.91
V	-0.35	1.47	1.47	1.50	1.53	1.53
Nb	-0.45	1.55	1.54	1.57	1.65	1.65
Ta	-0.45	1.52	1.50	1.56	1.64	1.65
Cr	-0.41	1.37	1.43	1.61	1.61	1.61
Mo	-0.37	1.54	1.58	1.62	1.68	1.68
W	-0.32	1.71	1.75	1.79	1.87	1.87
Fe	-0.22	1.66	1.62	1.62	1.86	1.85

macroscopic lattice swelling or contraction resulting from the accumulation of defects [26].

Relaxation volumes of a vacancy and several high-symmetry configurations of self-interstitial atom defects in all the bcc and fcc metals, computed using density functional theory [22, 23], are summarised in Tables 1 and 2. The values still exhibit slight sensitivity to the choice of the exchange-correlation functional used in density functional theory calculations [22, 23], but the margin of error is now many times lower than that of values computed using semi-empirical potentials or measured experimentally [24, 25].

From the data given in the Tables we see that the formation of a Frenkel pair of a vacancy and a self-interstitial atom defect produces large net expansion of the lattice, which in all the metals is at least of the order of one atomic volume per Frenkel pair, and in aluminium exceeds two atomic volumes per Frenkel pair. Lattice distortions produced by the defects are fairly localised, and atomic displacements vary as  $u(r) \sim 1/r^2$  as a function of distance  $r$  from a point defect. It is this character of variation of displacements as a function of distance from the centre of a defect, or a defect cluster, that is responsible for the relaxation volume of a point defect being a well defined quantity. Indeed, the relaxation volume is proportional to the product of atomic displacements  $u(r)$  at an arbitrarily chosen surface, which varies as  $u(r) \sim r^{-2}$ , and the surface area, which scales as  $r^2$ , producing a convergent result independent of  $r$ . Lattice distortions generated by the defects generate internal stresses and deformations in materials exposed to irradiation, and as we show below, the magnitude of these stresses and deformations can be very high.

Table 2: Relaxation volumes of a vacancy and several high-symmetry self-interstitial atom defects in face centred cubic metals, computed using density functional theory and the GGA-PBE functional [23]. Volumes are given in atomic units  $\Omega_0 = a^3/4$ , where  $a$  is the fcc lattice parameter. Volume of a  $\langle 110 \rangle$  SIA defect is the average between a crowdion and a dumbbell configuration.

element	vacancy	$\langle 100 \rangle$	octahedral	$\langle 110 \rangle$	$\langle 111 \rangle$	tetrahedral
Ca	-0.23	1.41	1.41	1.30	1.36	1.39
Al	-0.24	2.30	2.41	2.47	2.51	2.53
Ni	-0.36	1.79	1.84	1.87	1.87	1.87
Cu	-0.36	1.77	1.83	1.82	1.85	1.85
Sr	-0.21	1.84	1.78	1.66	1.74	1.80
Rh	-0.38	1.96	1.99	2.06	2.08	2.05
Pd	-0.43	1.84	1.88	1.90	1.90	1.92
Ag	-0.26	1.89	1.95	1.94	1.99	2.00
Ir	-0.35	1.94	1.97	2.03	2.08	2.05
Pt	-0.46	2.02	2.02	2.10	2.10	2.13
Au	-0.35	2.04	2.09	2.08	2.17	2.18
Pb	-0.34	1.57	1.66	1.52	1.53	1.52
Th	-0.33	2.00	1.93	2.14	2.08	2.03

### 2.3. Effect of cascade morphology on defect clustering in high-energy cascades

It has been recognised that high-energy cascades in Fe-based alloys exhibit well-separated cascade fragments known as sub-cascades [27, 28]. However, cascades in W exhibit no break-ups [29, 30]. Instead, the morphology of high-energy cascades in W consists of interconnected sub-cascades [29, 28]. Even though the notion of sub-cascade is less clear in such a morphology, the morphology is different from that of low-energy cascades where no sub-cascades form. By following the evolution of atomic density map (to reveal the morphology) and correlating it with the location of surviving defect clusters, the interconnection of sub-cascades is shown to facilitate the formation of large defect clusters in W cascades [29, 31]. A large interstitial cluster forms when a highly dense region of displaced atoms emanating from a sub-cascade moves into the low-density region (core) of a nearby sub-cascade. In such a process, a large vacancy cluster often forms in the core of the sub-cascade left behind by the displaced atoms. The different morphologies of high-energy cascades between W and Fe result in distinctively different defect cluster size and spatial distributions.

Figure 2a shows an example of  $\langle 100 \rangle$  and  $\langle 111 \rangle$  interstitial (SIA) loops, and a  $\langle 100 \rangle$  vacancy loop formed in a single cascade in W obtained from MD simulations [32]. In fact, these SIA loops both form from highly dense wave front emanating from the same sub-cascade whose core becomes the vacancy loop. The number of SIAs in the  $\langle 100 \rangle$  and  $\langle 111 \rangle$  loops is 61 and 65, respectively, while the vacancy loop contains 153 vacancies. In the figure, dumbbell atoms are colored based on their orientation. The average orientation of dumbbells within an SIA cluster is taken as the Burgers vector of the cluster. A misorientation angle  $\theta_{I-V}$  is defined as the angle between the Burgers vector of an SIA

cluster and the position vector of the cascade core from the SIA cluster. The center of mass of the largest vacancy cluster (in this case is the  $\langle 100 \rangle$  vacancy loop) is taken as the core location. The distance between the SIA cluster and the core is denoted as  $d_{I-V}$ . Figure 2b and c show the distributions of  $\theta_{I-V}$  and  $d_{I-V}$  for W cascades at 300 K and 1025 K.

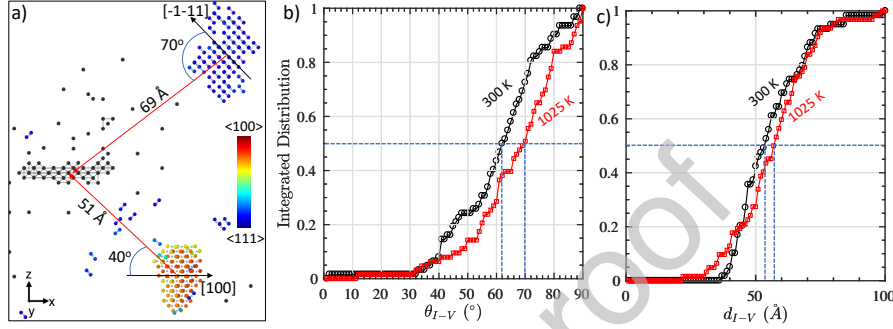


Figure 2: (Color online) a) A snapshot of surviving defects in a 100-keV cascade in W at 300 K where vacancies are plotted as black dots while dumbbell atoms are colored based on their orientation. Red arrows are position vectors of the cascade core (taken as the largest vacancy cluster) from SIA clusters. Black arrows are the Burgers vector direction of the SIA clusters. b) and c) Distribution of misorientation angle of  $\theta_{I-V}$  and distance  $d_{I-V}$  of SIA clusters with respect to cascade core (see text) collected from 50, 60, 75, 100, 150, and 200 keV cascades in W (only clusters with at least 30 SIAs are taken into account).

An important feature of the distribution of  $\theta_{I-V}$  is that it is not random. In fact, the number of clusters with  $\theta_{I-V} < 30^\circ$  is negligible. Therefore, it is suggested that multi-scale radiation damage accumulation simulations should use primary defect states as obtained in the cascades rather than assuming a random distribution. Perhaps a more crucial aspect that would influence the fidelity of multi-scale simulations is that loops with various characters (e.g.  $\langle 100 \rangle$ ,  $\langle 111 \rangle$ , and mixed) are generated in cascades [32, 33], in which they exhibit vastly different diffusivity in W. Therefore, taking into account the kinetics of transformation between different characters, particularly from mixed loops into pure loops in the multi-scale simulations is potentially critically necessary. Research to explore the kinetics of mixed loop transformation is needed in the future.

#### 2.4. Elastic stress produced by radiation defects

Defects generated by irradiation are nothing but strong localised non-linear deformations of the lattice. Anharmonic interactions between atoms in the central, most strongly distorted, core region of a defect structure give rise to the fact that defects can be characterised by well-defined relaxation volumes, which are large and positive for self-interstitial atom defects, and negative for vacancies. These relaxation volumes can be computed using density functional theory, and a comprehensive compilation of relaxation volumes of defects computed for a

300 variety of elemental metals is given in Tables 1 and 2. Relaxation volumes can also be computed for clusters of defects [34], as well as for impurities or solute atoms in alloys.

A defect or a cluster of defects produces elastic stress  $\sigma_{ij}$  in the lattice surrounding the defect. At a relatively large distance from a defect, situated at  $\mathbf{R}$ , this stress can be evaluated using the formula [35, 36]

$$\sigma_{ij}(\mathbf{x}) = -C_{ijkl}P_{mn}\frac{\partial^2 G_{km}(\mathbf{x} - \mathbf{R})}{\partial x_l \partial x_n}, \quad (1)$$

where repeated indices imply summation,  $C_{ijkl}$  is the tensor of elastic constants of the material and  $G_{km}(\mathbf{x} - \mathbf{R})$  is the elastic Green function. In the above 305 equation,  $P_{mn}$  is the elastic dipole tensor of the defect, which is a fundamental quantity relating the structure of a defect to the long-range elastic strain that it produces in the surrounding lattice [36, 37]. Elements of the dipole tensor  $P_{mn}$  of a defect are related to its relaxation volume by a linear transformation [38, 36], showing that the larger is the relaxation volume of the defect the larger 310 is the stress that it produces in the surrounding lattice. Equation (1) also explains how the fluctuating internal stress, developing in a material following its exposure to irradiation, is related to non-linear lattice distortions in the core regions of radiation-induced defects.

Since stress is a tensor quantity, described by a symmetric  $3 \times 3$  matrix  $\sigma_{ij}(\mathbf{x})$  involving six independent matrix elements, for the purpose of evaluating the overall magnitude of stress it is convenient to use a single scalar quantity, computed by combining all its matrix elements. A suitable scalar quantity, called the von Mises stress  $\sigma_{vM}$ , which conveniently is positive definite, equals

$$\sigma_{vM}(\mathbf{x}) = \sqrt{\frac{3}{2}\sigma_{ij}(\mathbf{x})\sigma_{ji}(\mathbf{x}) - \frac{1}{2}[\sigma_{ii}(\mathbf{x})]^2}, \quad (2)$$

where repeated indices imply summation, and hence  $\sigma_{ii} = \sigma_{11} + \sigma_{22} + \sigma_{33}$ . In 315 Figure 3 we plotted the real-space distribution of the von Mises stress, computed using equation (2) and showing the stress field generated by a cluster of defects formed following a high-energy collision cascade event in crystalline W.

In agreement with equation (1), at large distances from the defects formed in a cascade, the von Mises stress varies as the inverse cube of distance to the 320 centre of the cascade,  $\sigma_{vM}(\mathbf{x}) \sim |\mathbf{x} - \mathbf{R}|^{-3}$ . Close to the centre of the cascade where the lattice is strongly distorted, stress is very high, approaching the shear modulus of the material. This is not surprising since the magnitude of the stress reflects the scale of lattice deformation in the core of a defect or a dislocation. The magnitude of lattice strain in the core of a defect or a dislocation is of the 325 order of 10 to 15% [40, 41, 42].

Whereas stress diminishes relatively rapidly as a function of distance away from an individual isolated defect or a cluster of defects, the overall level of lattice distortions and deformations in a material accumulates as the density of defects increases with exposure to irradiation. For example, if we take 10 330 nm as a characteristic measure of the spatial extent of the stress field of debris



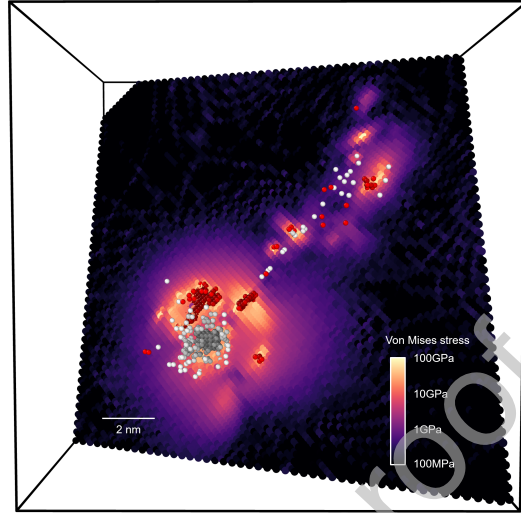


Figure 3: Spatial distribution of stress generated by defects produced by a collision cascade event in crystalline bcc W. The cascade shown in the figure was initiated by a 150 keV primary knock-on atom. Vacancies (white spheres), and interstitials (red spheres), were identified using a Wigner-Seitz defect analysis. The spatial distribution of stress is described by the von Mises invariant of the stress tensor, plotted in a  $\{211\}$  plane intersecting the three-dimensional structure of cascade debris. Note that close to the defects the von Mises stress is as high as 100 GPa, which is comparable to the shear modulus of pure crystalline tungsten  $\mu = 160$  GPa. Reproduced from Ref. [39] with permission from the American Institute of Physics.

produced by the cascade shown in Figure 3 and note that this cascade contains several hundred individual defects, we find that defects with the average volume concentration of 1% per lattice site, corresponding to the radiation exposure of  $\sim 0.01$  dpa, produce high internal stress, fluctuating from one location to another in the bulk of an irradiated material on the scale varying from hundreds of MPa to several GPa.

Early studies of elastic interactions on the long-term evolution of small radiation-induced defects suggested a small but incrementally additive effect [43]. Increasing computer power has enabled modelling of ever larger defects with consequently stronger interactions, and a wide range of elastic effects have now been observed in simulations. Large stresses can rotate the habit planes of loop-like defects [44, 45], and reduce Peierl's barriers to the point where a small change can invoke a spontaneous avalanche of relaxation [46]. The elastic interaction between defects can produce spatial ordering [47] and elastic self-pinning of loops [48], matching experimental TEM observations. But traction-free boundary conditions have also been shown to affect the kinetics of defect coalescence [49], and the efficiency of defect sinks [50, 51, 52]. These observations imply the evolution of the nanoscale stress state observed due to defects [53], and hence the evolution of defect microstructure, must both drive and be driven by

350 component level elastic relaxation [54, 45].

## 2.5. Bridging the gap between quantum and classical methods by using machine learning (ML)

355 In the last decade, materials science has been continuously impacted by the emergent fields of mathematical statistics, namely, artificial intelligence (AI) and its subfield machine learning (ML). Below, we demonstrate how ML methods can be effectively used for design of accurate interatomic potentials (Section 2.5.1) and for detection and detailed characterization of structural defects (Section 2.5.2)

### 2.5.1. ML force fields

360 An accurate description of condensed matter properties requires a precise knowledge of the material at the atomic scale. By using empirical interatomic potentials, the energy of bonding between atoms is expressed solely as a function of atomic coordinates, with the electronic degrees of freedom included only implicitly. For example, in metals where the functional form of the Density of States is relatively simple, the Embedded Atom Method (EAM) potentials [55, 365 56, 57, 58, 59, 60] are valid and widely applied. For materials under irradiation, there are two major reasons that drive the community towards the methods based on ML. Firstly, the fitting process of traditional potentials is limited and rigid. Facilitated by the continuous increase in computational power and parallel computing, *ab initio* approaches regularly reveal new hidden aspects of defects. Due to constantly increasing complexity, development of pertinent empirical potentials becomes more and more challenging and implies a risk of overfitting. Secondly, a detailed analysis of existing numerical methods in material science suggests that there is a gap between less accurate empirical 375 methods that scale as  $N^2$  or lower ( $N$  is the number of atoms) and more accurate electronic structure calculations, that scale as  $N^3$  or higher. AI methods can be employed to overcome the limitations of traditional force fields and to bridge the gap between *ab initio* ( $\geq N^3$ ) methods and empirical ( $\leq N^2$ ) potentials.

380 The first attempt to couple artificial intelligence and atomic-scale materials science was proposed by Behler and Parrinello in 2007 [61]. Compared to the traditional approach, the size and content of training database has a strong impact on accuracy and transferability of the ML potential. Moreover, computational cost and accuracy of ML potentials depend on the underlying ML formalism and type of atomic descriptor. Atomic descriptors map the local atomic environments (LAEs) from  $\mathbb{R}^{3N}$  space to a space  $\mathbb{R}^D$  with fixed 385 dimensionality, referred to as the descriptor space. Descriptor mapping from LAEs into descriptor space is non-linear and most commonly includes distances and/or angles between the atoms [61, 62, 63], spectral decomposition of atomic densities [62, 63, 64, 65], or involved tensorial description of atomic coordinates [66, 67, 68, 69]. Alternatively, descriptors can be hybrid [70], based on 390 the scaling wavelets transformation [71, 72], similarity distances between the LAEs [63, 73, 74] or generated by a specially-designed deep-learning Neural

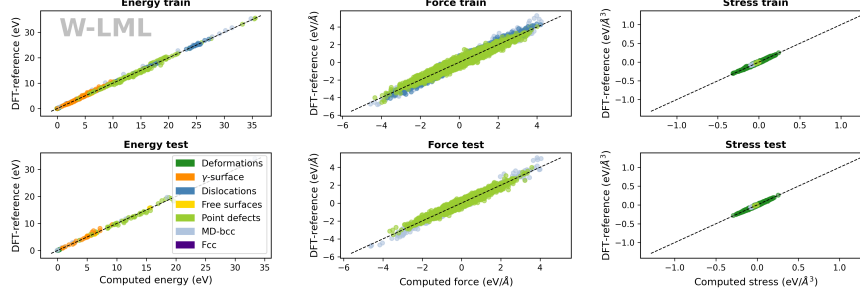


Figure 4: Linear ML (LML) potential for W. Each subplot provides a comparison of the energies, forces and stress with the corresponding DFT values from the database. Atomic systems that carry information on different materials properties (e.g., containing different structural defects) are depicted with different colors.

Network (NN) [75, 76, 77, 78]. The (statistical) ML fit can be performed such as the relationship between atomic energies and descriptor components are linear [79, 80, 81, 82, 83, 70, 84] or non-linear, e.g., based on NNs [61, 85, 86, 87, 88] or kernel methods [89, 90, 91, 92, 93, 94, 95, 96]. Some of the kernel models are formalized in the ever-growing field of the statistical on-the-fly learning methods [94, 96, 97]. Here, it is worth noting that linear ML (LML) model does not imply a linear relation between the phase space and the observable. Any non-linear regression becomes linear if the domain of the regression function is projected into a space with a sufficiently large number of dimensions.

In the context of modeling radiation-induced defects, LML potentials have some advantages compared to kernel methods as they offer a good tradeoff between accuracy and computational efficiency [70].

The main advantages of this method (compared, for instance, to kernel methods) is that the size of the training database does not affect the numerical cost of the potential. Moreover, LML method is relatively simple to implement. As for any empirical potential, total energy of the system can be represented as the sum of local energies of each  $a$  atom, i.e.,  $E = \sum_a \epsilon_a$ . According to the LML formalism, each local energy is linearly proportional to the descriptor components  $\epsilon_a = \beta_0 + \sum_k D_k^a \beta_k$ , where  $\beta$  are the  $D + 1$  parameters of the potential and  $D_k^a$  is the  $k^{th}$  component (among  $D$ ) of the descriptor on the  $a^{th}$  atom. From this formulation of energy, the forces and the stress of the system can be further deduced [79, 80, 70]. In order to capture some aspect of a complex energy landscape that are not accurately described by LML, a quadratic regime preconditioned by the linear interpolations can be applied. In this formalism, called Quadratic Noise ML (QNML) [98], we fit only the error between the reference DFT values and LML fit by using a quadratic coupling in the components of the descriptors  $\epsilon_{DFT}^a - \epsilon_{LML}^a \sim \sum_{k,k'} \beta_{k,k'} D_k^a D_{k'}^a$ . This procedure ensures a similar transferability of LML and QNML potentials. The QNML potentials have  $1 + D + D^2$  parameters.

A typical fit of LML potential for W is presented in the Figure 4. The fit is

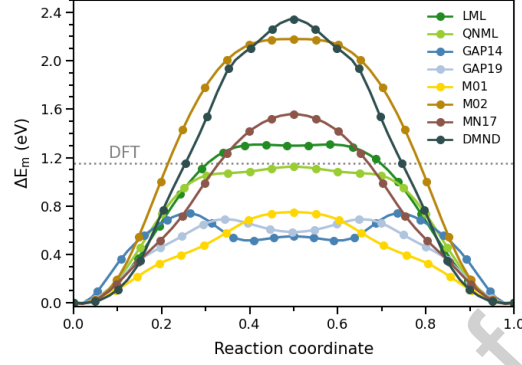


Figure 5: Energy barriers of three-vacancy migration in bcc W computed using different interatomic potentials. The comparison is done between the semi-empirical potentials: M01 and M02 [102], MN17[99], DMND [103], and the ML potentials: LML and QNML [70, 98], GAP14 [104] and GAP19[105]. The dotted grey line indicates the DFT calculations from Ref.[99].

performed on the extensive databases containing more than 100000 LAEs from various structures, such as, vacancies, interstitials, dislocations, stacking faults, snapshots from MD trajectories, etc. The LML fit provides the values of the mean average error (MAE) of energy per atom, force and virial stress below 5 meV, 60 meV/Å and 12 meV/Å<sup>3</sup>, respectively. To our knowledge, none of the traditional potentials are able to reach such small errors. Small MAEs of fit ensure the potential's accuracy with respect to the training database. The properties that are known to be challenging for the EAM formalism, e.g., binding energy of di-vacancies [99, 100, 101], shape of the Peierls barrier, or migration barrier of tri-vacancy [99], can be readily fitted by ML potentials if pertinent configurations are included in the database.

A critical issue of ML potentials is their generalization power or ability to correctly predict the physics of some particular system that is not included in the fitting database. Let us illustrate this aspect with the ability of W ML potentials to compute the migration barrier of tri-vacancy clusters. Figure 5 shows the tri-vacancy migration barrier computed with semi-empirical and ML potentials. None of the W ML potentials presented here include the saddle point of the tri-vacancy ( $V_3$ ) in the fitting database. From DFT we know that the saddle point of  $V_3$  is very low (1.15 eV) compared to the migration barrier of  $V_1$  or  $V_2$  (around 1.7 eV [99]). For  $V_3$ , LML and QNML provide the correct magnitude of the migration barrier, while the Gaussian Approximation Potentials (GAP) potentials [104, 105] have an error of up to 55% and also show a double-hump shape (Figure 5). Such poor transferability results from the highly non-linear character of the GAP formalism. In order to improve the performance of GAP potentials in such cases, it is essential to enrich the training database. The missing configurations can be revealed at the database design stage using the distortion scores and an outlier analysis, as was recently

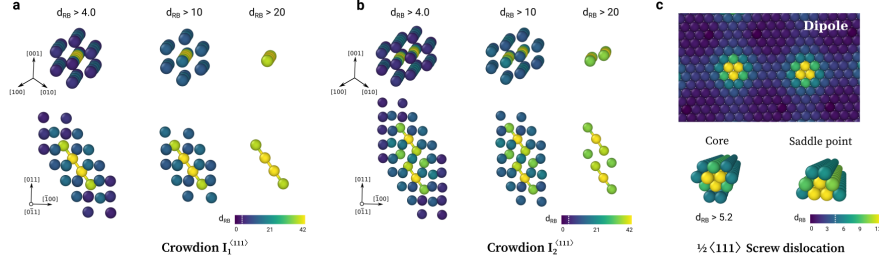


Figure 6: The structures of defects detected in bcc W using distortion score of local atomic environments based on robust MCD statistical distances  $d_{RB}$ : (a,b)  $I_{1-2}^{(111)}$  crowdions, (c)  $\frac{1}{2}\langle 111 \rangle$  dislocation core and the saddle point structure when gliding in  $\{110\}$  plane. The structures of crowdions (a,b) are “stratified” according to the distortion level of nearest defect environment

demonstrated for Fe [83]. A big error in migration barriers will have a strong impact on the predictions of defect kinetics under irradiation and the interpretation of processes during resistivity recovery experiments. Stage IV recovery will be strongly impacted by the fast diffusion of vacancy clusters  $V_n$  with  $n > 2$  affecting the prediction of the size and the density of vacancy clusters at temperatures over 300 K.

### 2.5.2. ML structural analysis

Present-day ML potentials allow one to perform accurate simulations of defect nucleation, recombination, migration and transition at the atomic scale. However, extracting the relevant information about defects and their collective behavior from atomistic calculations remains a challenge. In particular, analysis of radiation induced damage requires a lot of effort and implies using several different methods for detection of different types of defects. A recent study [83] proposes a universal strategy for defect detection based on statistical distances provided by outlier detection models, like the Minimum Covariance Determinant (MCD) method. In this approach, each atom is characterised by a distortion score that describes a statistical distance from a reference distribution in the feature space of atomic descriptors. The reference distribution can be constructed from LAEs of a defect-free crystalline system at a given temperature or from a subset of atoms of particular interest (e.g., a particular defect structure). Constructing a training data set with thermal noise avoids sensitivity of the defect detection model to atomic perturbations, a common shortcoming of conventional geometry based methods. Based on the local distortion scores, structural defects are identified as atomic outliers deviating from the bulk structure. The defect detection strategy using the distortion score is universal, i.e., it performs well for defects of a different origin and the same technique can be applied for the detection and analysis of dislocations, interstitial atoms and vacancies. The detected clusters of atomic outliers include the defect itself and its nearest atomic environment. Figure 6 illustrates the struc-

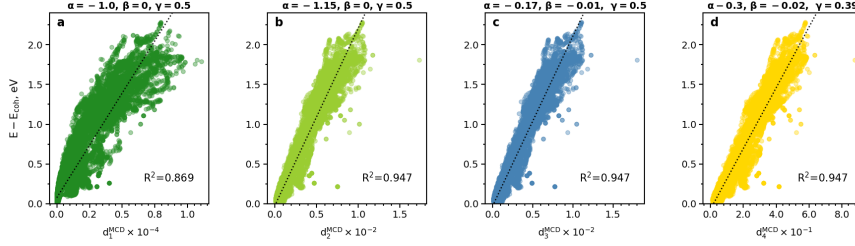


Figure 7: Correlation of the local energy from W-LML potential with various statistical distances that were proposed in Ref. [83]. The subplot (a) illustrate the standard MCD / Hotelling's  $T^2$  estimator; (f, g, h) correspond to the variations of statistical distances inspired by QM.

tures of crowdions and screw dislocation cores detected in W. The difference in magnitude of the distortion scores within the outlier cluster enables a stratified description of the defect and allows zones with different levels of atomic distortion to be distinguished (as depicted for crowdions in Figure 6a,b). The atoms forming the defect are characterised by bigger distances  $d_{RB}$  compared to their nearest environment.

Interestingly, when computed with respect to the distribution of the underlying bulk structure, distortion scores exhibit an intrinsic correlation with local atomic energies. This correlation is due to a similarity between the formalism that describes the local atomic energy of materials in quantum mechanics (QM) and the statistical distances based on a sample covariance matrix (see Ref. [83] for more details). Figure 7 illustrates a correlation of the local energies in W with various statistical distances that use different weights, such as powers of eigenvalues of the sample covariance matrix. The remarkable correlations with  $R^2 > 0.9$  allows the consideration of distortion scores as a surrogate model for local atomic energies. Moreover, the stratified definition of defects via distortion scores, can be used to identify the atoms with the most important contribution to the mean force of the system. This strategy can be used to accurately reconstruct the migration barriers from the mean force calculations [83]. Such an approach is of particular interest for defect localization in the simulations like QM/MM (Quantum Mechanics/Molecular Mechanics), where the definition of total energy is ambiguous. The distortion score coupled with outlier detection ML techniques can also serve to establish a qualitative criterion for transferability / reliability of kernel ML potentials (e.g., GAP) for modeling a given defect structure.

### 3. Analysis of Microstructural evolution

This section describes recent advances in both interpretation of experimental observations, for example in counting objects in Transmission Electron Microscopy (TEM) micrographs or clustering in Atom Probe Tomography (APT), which produces the reliable data sets needed for simulations to compare against,

and the advancement of the computational techniques, particularly those based  
 510 on Monte Carlo, that are now able to produce statistics comparable with ex-  
 periment. Section 3.1 below discusses the challenges associated with linking the  
 short timescale modelling of damage production to microstructural evolution  
 that happens on experimental timescales. Section 3.2 summarizes the available  
 experimental observation techniques and 3.3 describes how these powerful tech-  
 515 niques can be used to interpret the interaction of defects. The next subsection  
 (3.4) describes how the defect statistics obtained from advanced observations  
 of irradiated materials can now be compared to statistics obtained from kinetic  
 Monte Carlo (kMC) simulations, allowing understanding that neither could pro-  
 vide alone. Section 3.5 reviews APT and describes the latest analysis techniques  
 520 and their application to understanding segregation and clustering in irradiated  
 materials. Recent simulations of segregation are then described (Section 3.6)  
 before the section concludes with a discussion (3.7) of the approaches that can  
 link microstructure statistics to the macroscale.

### *3.1. Relating damage production to microstructure*

525 The production of defects by energetic particles, such as ions or neutrons,  
 occurring on picosecond time scales, can be studied by MD methods as out-  
 lined in the previous section. However, going beyond the nanosecond timescales  
 with MD, especially when multi-million atom calculations have to be consid-  
 ered, is still not feasible. At the same time, there is no experimental technique  
 530 that can provide information on the time and length scales of a single cascade  
 event. Therefore, we are still lacking a direct comparison between primary  
 damage obtained from MD and experimental observations. Methods such as  
 kinetic Monte Carlo (kMC) and rate theory or cluster dynamics are the ap-  
 proximations that are often used to expand both the time and length scales  
 535 well beyond the nanoseconds and nanometer capabilities of MD, to enable com-  
 parison with microstructures observed experimentally. For example, there are  
 numerous studies in the literature where Monte Carlo simulations have been  
 used to study radiation damage evolution (particularly due to cascades), includ-  
 ing [106, 107, 108, 109, 110, 111, 112, 113, 114, 115, 116, 117, 118, 119, 120].  
 540 Cluster dynamics/rate theory has also been used widely to study radiation ef-  
 fects, see e.g. [121, 122, 123, 124, 125, 126, 127].

Understanding the connection between primary damage and microstructure  
 developed for a given irradiation condition is one of the most important results  
 that these tools can provide. Currently, ion implantation is commonly used  
 545 as a means to study defect production and to reduce the cost and difficulties  
 that involve neutron irradiation. However, the use of ion beams to understand  
 radiation damage produced by neutrons must be done with a careful analysis of  
 their differences and limitations, as has been recently described by Zinkle and  
 Snead [128]. In certain cases, a fairly good agreement between the microstruc-  
 550 ture produced by neutron and ion irradiation has been obtained, considering a  
 temperature shift to account for the differences in dose rate [129, 130]. However,  
 some controversy still remains. Is a temperature shift applicable to all cases?  
 Can ions be used, in general, for emulating neutrons or just as a means for

model validation? What is the significance of stress fields affecting the evolution of microstructure in a thin layer exposed to ion irradiation? Regardless, understanding damage production and microstructure evolution requires using a suitable physically justified models that can match experimental conditions, and kMC models in this case have advantages in comparison with direct MD simulations.

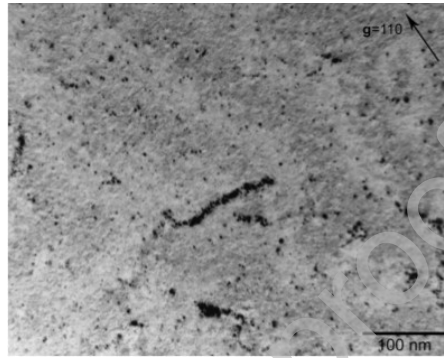


Figure 8: Example of TEM characterization of damage produced by 500 keV  $\text{Fe}^+$  irradiation of a UHP Fe thin film at room temperature and 0.5 dpa. From Ref. [131], reprinted with permission from Elsevier.

### 3.2. Direct observation of microstructure

Changes in the microstructure of metals due to irradiation can be characterised by a series of experimental techniques such as TEM, Positron Annihilation Spectroscopy (PAS), APT or Small Angle Neutron Scattering (SANS). From all the different techniques TEM is arguably the most widely used in the evaluation of microscale damage of fusion materials. TEM can provide information about concentration of defects, defect size distributions, defect nature (vacancy or interstitial type) and defect type (loop and their orientation, voids, etc.). Figure 8 shows an example of the microstructure observed under TEM of a UHP thin film of Fe irradiated with 500 keV  $\text{Fe}^+$  ions at room temperature and 0.5 dpa. In this case, decoration of a dislocation with defects produced by the irradiation can be observed. The majority of the defects here are loops of  $a_0\langle 100 \rangle$  type [131].

Moreover, *in-situ* TEM enables dynamic observations of microstructural evolution during annealing and/or irradiation. This technique has shown that phenomena such as one-dimensional diffusion of self-interstitial clusters, predicted initially by computer simulations, does indeed occur in metals [132]. Figure 9 shows the diffusion of a 5.9 nm diameter  $\frac{1}{2}[11\bar{1}]$  loop in ultra-pure Fe observed by *in-situ* TEM at 575 K. In this case loops were produced by high-energy electron irradiation.



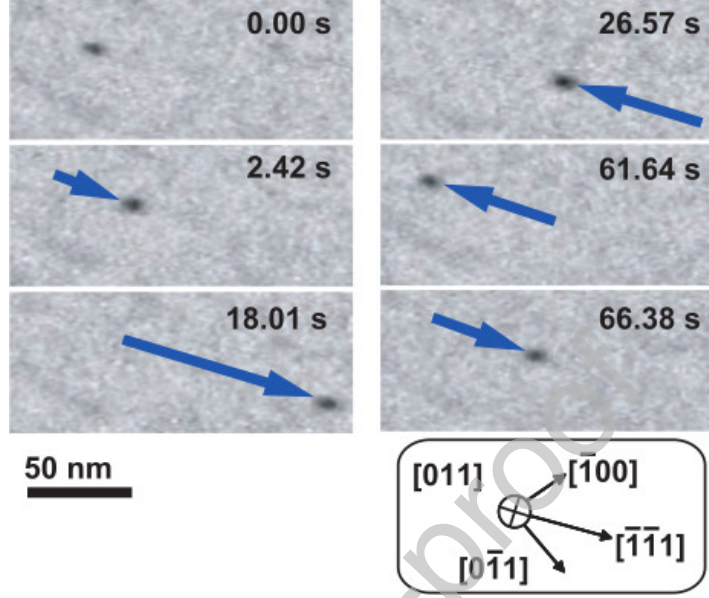


Figure 9: One dimensional diffusion of a  $\frac{1}{2}[111]$  loop in ultra-high purity Fe at 575 K observed using *in-situ* TEM. From Ref. [132], reprinted with permission from AAAS.

### 3.3. Trapping and interaction between defects

There are discrepancies as to the migration energies measured experimentally and those obtained in the simulations. Despite the significant experimental and theoretical effort devoted to elucidating the source of this discrepancy, there is still not a completely satisfying answer. Carbon or other impurities, coupled to vacancies, have been proposed as possible traps for the rapidly diffusing interstitials in iron [133, 134, 135], while more recent studies [136, 137] have identified the importance of the interaction between minor solute atoms and SIA loops in  $\alpha$ -Fe and RPV steels, where the loops are either trapped or their growth is slowed [136].

Elastic interactions also result in the self-trapping of defects produced by irradiation. The formula needed to find the elastic interaction energy between two defects, A and B, in the far field limit where their separation is greater than their characteristic size, is both simple and elegant [36, 38]:

$$E_{\text{int}}^{A-B} = P_{ij}^{(A)} \left( \frac{\partial}{\partial x_j} \frac{\partial}{\partial x_l} G_{ik}(\mathbf{x}) \right) P_{kl}^{(B)}, \quad (3)$$

where  $P_{ij}^{(A)}$  and  $P_{kl}^{(B)}$  are the dipole tensors of the two interacting defects. These dipole tensors can be computed by integrating the stress that the defect produces in a simulation cell, over the volume of the cell [138, 139] as  $P_{ij} = - \int_V \sigma_{ij}(\mathbf{r}) d^3\mathbf{r}$ , and  $G_{ik}(\mathbf{r})$  is the elastic Green's function that we used earlier when evaluating the stress field of a defect using equation (1).

	Iron	Molybdenum	Tungsten
$\frac{\Omega_0^2 \mu}{4\pi(1-\nu)}$	5.0	21.8	27.0
$\frac{\Omega_0^2 \mu(1+\nu)}{6\pi(1-\nu)}$	4.3	23.1	19.1
$N_{\text{loop-loop}}^*$	32	6	7
$N_{\text{loop-void}}^*$	2210	97	102

Table 3: Elastic constants for interaction between nano-scale defects. The first row is for loop-loop interactions (equation 4), and the second for loop-sphere ( equation 5 ), in units of eV/Å<sup>3</sup>. Critical sizes for a defect placed 2nm from a 50-interstitial loop are given where the interaction energy reaches 1 eV.

In the isotropic elasticity limit, we can go further and derive closed form expressions for the scaling laws determining the elastic interaction between defects [140]. For a pair of dislocation loops whose centers are separated by  $r$ , the energy of elastic interaction is

$$E_{\text{int}}^{\text{loopA-loopB}} = \frac{\Omega_0^2 \mu}{4\pi(1-\nu)} \frac{1}{r^3} N^{(A)} N^{(B)} \times (\text{angular terms}), \quad (4)$$

where  $N^{(A/B)}$  are the number of point defects in the loop,  $\Omega_0$  is the atomic volume,  $\mu$  is the shear modulus and  $\nu$  the Poisson ratio. For the interaction between a loop and a spherically-symmetric point defect, such as a spherical void [140],

$$\begin{aligned} E_{\text{int}}^{\text{loop-void}} &= \frac{\mu A b \Omega_{\text{rel}} (1+\nu)}{6\pi r^3 (1-\nu)} \times (\text{angular terms}) \\ &= \frac{\mu \Omega_0^2 (1+\nu)}{6\pi (1-\nu)} \frac{N(\Omega_{\text{rel}}/\Omega_0)}{r^3} \times (\text{angular terms}) \end{aligned} \quad (5)$$

where  $\Omega_{\text{rel}}$  is the relaxation volume of the spherical defect,  $A$  is the area of a loop containing  $N$  point defects and  $b = |\mathbf{b}|$  is the magnitude of the Burgers vector of the loop.

The experimental evidence for elastic interactions being a driving force for microstructural evolution is overwhelming, in loop rafting [141, 47, 142], self-trapping [48], and even the observation of strain fields in weak-beam-dark-field TEM. With equations 4 and 5 we can test where elastic interactions are important in simulations more generally. For an  $a_0/2\langle 111 \rangle$  loop containing 50 point defects, just visible in the TEM, we can find the minimum size defect  $N^*$  required to produce an interaction of 1 eV at a distance of 2 nm. For the relaxation volume of a void we can use the capillary approximation  $\Omega_{\text{rel}}/\Omega_0 \simeq -\alpha N^{2/3}$ , with  $\alpha$  fixed by the vacancy relaxation volume [22, 23]. These critical sizes are given in Table 3. We see that a TEM visible loop is strongly interacting with small interstitial clusters at 2 nm separation: for iron the critical size is 32 interstitials, for tungsten only seven. Loop-void interactions are slightly weaker, and the critical void size for the same 1 eV interaction is over 2000 vacancies (a 3.7 nm diameter void) in iron, or 100 vacancies in tungsten. This simple calculation suggests elastic interactions should never be ignored in simulations when

interstitial defects are of TEM visible size. Furthermore, the strength of elastic interaction is proportional to the product of relaxation volumes of defects, and for dislocation loops it scales quadratically with loop diameters. This suggests that the role of elastic interactions increases rapidly with the characteristic spatial scale of the microstructure.

Besides the direct observations performed using *in-situ* TEM, one-dimensional diffusion of dislocation loops can be inferred from the microstructure developed under irradiation. One effect is the existence of defects beyond the end of range of damage and the loss of precipitate coherency in this region which is believed can be explained by a combination of 3 dimensional and 1 dimensional diffusion of defects [143, 144]. A second piece of evidence comes from the presence of a region with enhanced void formation close to a void denuded zone near a grain boundary or a surface. One example of such behaviour is shown in Figure 10) for Fe3Cr irradiated with a dual beam of 8 MeV Ni and 3.6 MeV He up to 30 dpa with a 0.1 appm He/dpa rate and at 500° C [145]. When the density of defects become high and the effective sink strength increases above a certain value ( $\approx 1 \times 10^{14}/m^2$ ) the one-dimensional migration of defects is no longer observed.

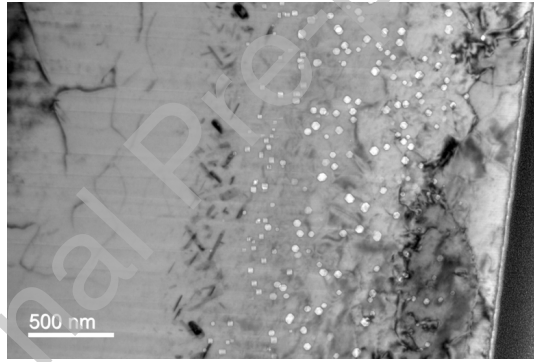


Figure 10: Defect denuded zone and enhanced void region in Fe3Cr irradiated with a dual beam of 8 MeV Ni and 3.6 MeV He up to 30 dpa and 0.1 appm He/dpa at 500° C [145].

### 3.4. Statistics of defects and Monte Carlo models for microstructural evolution

Calculations can be performed to simulate microstructures produced under irradiation. In principle, MD, with appropriate interatomic potentials can provide information about the damage produced by the irradiation. In W, where large clusters of defects visible under TEM are formed in a single cascade, a fairly reasonable agreement can be established in the low dose limit between TEM measurements and MD simulations [33, 146]. This may also be the case in pure Fe, though the defects produced in cascades are smaller and consequently more difficult to detect. MD simulations [147] suggest a power-law size-frequency distribution of defects produced in Fe, albeit with a higher power law exponent than seen in W, so that few interstitial clusters with size  $> 50$

point defects are created, fewer than 0.001 per Primary Knock-on Atom (PKA). This size is at the limit of TEM detection, but they have been recently observed even at very low dose (0.0015 dpa) in ultra-high-purity Fe [148]. The apparent contradiction between this result and earlier studies suggesting that cascade overlap is necessary to observe defects in heavy-ion irradiated iron [149, 150] may be due to the difficulty of making a positive identification of a loop smaller than 1 nm [151], or because small loops are inherently extremely mobile on the experimental time-scale [152] and respond more readily to elastic image forces induced by the proximity of the surface in a thin foil experiment [51].

In this case, as mentioned above, and in others, it is necessary to make use of kMC and rate theory or cluster dynamics models. For recent descriptions of these methods see Refs. [153, 154, 155]. The first passage, rate theory and cluster dynamics models have also been integrated into phase-field models to deal with fast one directional diffusion and the effect of defect mean fields on microstructure evolution [156, 157, 158]. Although most phase-field models are qualitative (or are not accurate in terms of length scale and the input of thermodynamic and kinetics properties of defects) there is some good progress in more quantitatively describing the thermodynamic and kinetic properties of defects in irradiated materials. For example, a thermodynamically consistent model with the input from atomistic simulations and Adaptive kMC (AkMC) has been proposed [159, 160]. Finite element methods and phase-field approaches have also been coupled to understand the effect of microstructure evolution on thermomechanical property degradation and material performance [161, 162].

Rate theory or cluster dynamics can provide information about defect concentration and size distributions but, by being mean field methods, they are not able to capture the individual defect structures and the spatial heterogeneity of the damage produced under irradiation. KMC methods, however, while using essentially the same input parameters as cluster dynamic models, are able to follow individual defects and therefore the microstructure predicted can be directly compared to that obtained experimentally, in particular to TEM characterization. Figure 11 shows an example of an object kMC (OkMC) simulation of an 85 nm thin film of Fe irradiated with 500 keV ion.

A direct comparison between OkMC and TEM is possible, but there are issues which must be accounted for when considering defect count or average size. Some effects can be corrected simply, while others require a much more careful consideration of the thermal history of a sample.

It is regularly quoted that there is a minimum defect size observable in a TEM [151, 146, 163, 164]. While this is true, and agrees with the results obtained using X-ray diffraction and other means of microstructural examination, strictly speaking this is the limit determined by the aperture, and small defects slightly larger than this small size limit may be undetectable due to the faintness of their intensity profile. This aspect of TEM observations is problematic from the perspective of making a comparison between theory and experiment as it means that the confidence interval for a count of small defects is very broad compared

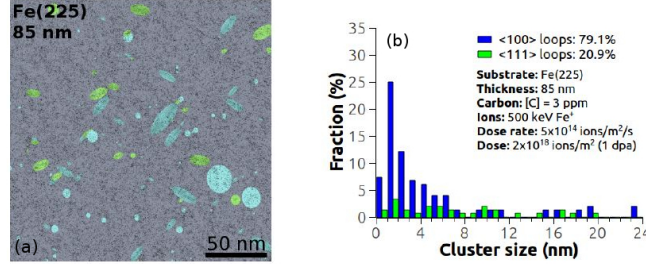


Figure 11: Example of results from an OkMC calculation of 500 keV Fe irradiation of pure Fe (a) projection of all defects along the sample thickness (b) histogram of defect sizes. Image courtesy of JP Balbuena.

to that for large defects. A frequency vs size distribution is also unlikely to be a normal distribution so that reporting a sample mean and standard deviation could be misleading. Sand *et al.* [165] estimated the uncounted small defects by looking at the distribution of intensities of defects as a function of size. From this they determined that the count of the smallest defects (1-2 nm) might be low by a factor of 5, in broad agreement with Refs. [146, 163, 164]. Importantly, including this confidence interval brought their theoretical estimate for the number of observable defects in line with experiment, where a simple ‘square-root-N’ estimator of the error in the observed count would not have done.

A second effect which may be prevalent in TEM observations of higher damage dose is the systematic reduction in count due to the shadowing of one loop by another - simply put if two dislocation loops happen to almost coincide along the viewing direction they cannot be individually resolved. We can estimate when this effect becomes significant. If all defects image with a 2D Gaussian profile with half width  $\sigma$ , then they will be indistinguishable when there is no dip in intensity in a line profile between their peaks. This happens when their separation is less than  $2\sigma$ . If the defects are placed randomly and homogeneously, then the number found per unit area will be Poisson distributed. The probability that adding one defect will increase the distinct spot count is the probability that there are no neighbours within a circle radius  $2\sigma$ , ie  $p_0 = \exp[-4N\pi\sigma^2/A]$ , where  $N$  is the true spot count and  $A$  the micrograph area. The probability that adding one defect will *decrease* the distinct spot count by linking an existing pair is approximately the probability there are two neighbours in the circle radius  $2\sigma$ , ie  $p_2 = 1/2 (4N\pi\sigma^2/A)^2 \exp[-4N\pi\sigma^2/A]$ . Thus

$$\frac{d\langle N_{\text{count}} \rangle}{dN} \simeq \exp \left[ -\frac{4N\pi\sigma^2}{A} \right] \left( 1 - \frac{1}{2} \left( \frac{4N\pi\sigma^2}{A} \right)^2 \right)$$

$$\text{so } \langle N_{\text{count}} \rangle \simeq N \exp \left[ -\frac{4N\pi\sigma^2}{A} \right] \left( 1 - \frac{2N\pi\sigma^2}{A} \right). \quad (6)$$

The expected observed count rises linearly with the number of defects until they

are so dense they start to overlap. Then the observed count reaches a maximum before falling again.

720 A third possibility is that not all loops are visible under the imaging conditions because of the  $\mathbf{g} \cdot \mathbf{b} = 0$  invisibility criterion [151]. Again, a simple correction for this factor is possible if the range of  $\mathbf{g}$  vectors sampled by the experiment is known. Prokhodtseva *et al.* [166] suggested how one can compute the relative proportions of  $a_0/2\langle 111 \rangle$  and  $a_0\langle 100 \rangle$  loops using a statistical  
725 method based on the invisibility criterion and a range of  $\mathbf{g}$  vectors. The same argument can be used to estimate the invisible fraction, if it may be safely assumed there is no bias due to the crystallographic orientation of the foil and no long-range ordering of Burgers vectors.

A fourth possibility we can consider is that some defects may be invisible  
730 in the TEM due to their depth. This can occur when the extinction distance- $\xi_{\mathbf{g}} = \pi V/(\lambda \Gamma_{\mathbf{g}})$ , where  $V$ ,  $\lambda$  and  $\Gamma_{\mathbf{g}}$  are the unit cell volume, electron wavelength and structure factor respectively- is smaller than the foil depth. In this case there are periodic layers where the incident and reflected electrons exhibit beats in intensity. This may be used to estimate the fraction of missing defects if a  
735 simulated depth profile of defects is known.

The possible corrections to observed microstructural metrics offered above may be best employed as possible systematic biases and error bar contributions to the simulated data, where the (quite broad) assumptions may be tested or corrected. But work to make the comparison between simulation and experiment  
740 has recently been made more quantitative by a systematic approach to the confidence intervals in TEM observations. Mason *et al.* [167] considered the possibility that an automated computer analysis of microstructure [146, 168] might be making type-I errors - false positive identification of defects. This was done by constructing a t-statistic,  $t^* = \bar{I}/(\sigma/\sqrt{n})$ , where  $\bar{I}$  is the average  
745 intensity of a spot covering  $n$  pixels given the background noise level  $\sigma$ .  $t^*$  is high when the spot is large and bright and low when the spot is small and faint. This can be done for each detected spot but can also be done if the same code is forced to find spots in an *unirradiated* micrograph. By comparing the distributions of the two, it is possible to determine the confidence interval for false  
750 positives. This gives a meaningful error bar to the smallest, faintest spots, but moreover gives a probability distribution function for the experimental observation, see Fig. 12. These experimental results therefore can be directly used in standard statistical hypothesis testing against simulated results.

A final challenge comparing OkMC to TEM can be much harder to correct  
755 for. A defect observed *in situ* in the TEM must be stable over the experimental timeframe. But the converse is also true: a defect which is not stable over the experimental timeframe will not be observed in the TEM. In the case of a fast migrating species, such as a bcc crowdion in a perfect pure tungsten, the diffusion constant can be as much as  $10^4 \mu\text{m}^2/\text{s}$ , even at liquid nitrogen temperatures [169]. The time taken to diffuse to a surface or other sink is likely  
760 to be very small compared to the experimental time. But consider the effective diffusion coefficient of such a crowdion in low carbon concentration background. We might expect the diffusion constant to be reduced by order  $\exp(-E^b/k_B T)$ ,

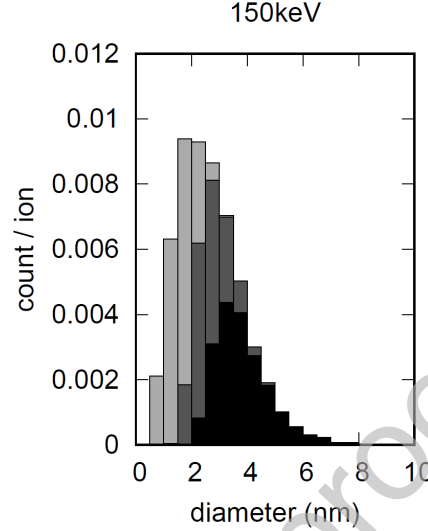


Figure 12: Automated TEM analysis of 150 keV self-ion irradiation of UHP tungsten at cryogenic temperature [167] gives a size-frequency distribution. The shading of the bars indicate significance levels ( $\alpha = 0.001$  (black),  $\alpha = 0.25$  (mid-grey), and  $\alpha = 0.15$  (light grey)). The confidence interval for small, faint defects is very wide, which may help matching experiment to simulation. Figure reproduced from Ref. [167].

where  $E^b$  is a typical binding energy, say 0.62 eV in W [170]. The room temperature diffusion constant is reduced by eleven orders of magnitude at room temperature, down to  $10^{-11}$  nm<sup>2</sup>/s. A defect with this diffusion constant would hardly appear to move in an *in situ* TEM movie, but over six months (a reasonable cool-down period after neutron irradiation) it may move over a distance of order 1  $\mu$ m. It is therefore vital that not just the flux and fluence are reported for *ex situ* TEM studies, but also that the time the sample was kept at room temperature is recorded and accounted for.

### 3.5. Irradiation-induced segregation and chemical decomposition

Irradiation can also induce local changes in chemical composition such as segregation of alloying elements or precipitation. APT has proven to be an excellent method to obtain detail information of these phenomena in irradiated materials. Recently, there has been some success in comparing the results from such experiments directly to AkMC (see, for example, [154]) and OkMC (e.g. [137], although there are still outstanding questions as to the appropriateness of comparisons due to the (currently unavoidable) limitations of the spatial and chemical resolution of APT.

A limitation in our understanding of point defect mobility and the development of dislocation loops and cavities, is the presence and role of impurities. The presence of carbon, nitrogen, phosphorus, silicon, copper and other impurities is taken into account in an approximate way in several microstructure

785 evolution models. For example, OkMC has been applied to consider the effect  
of C in Fe [113, 171] and W [172, 173], Cu in Fe [110], and the impact on mi-  
crostructural evolution of Cr in Fe [115, 116] and Re in W [174, 175]. Similarly,  
AkMC models have been applied in binary alloys to investigate the effect of  
impurities and solutes on point-defect mobility (e.g. [176, 177, 178, 179]). This  
790 is important because APT consistently shows that these elements are present,  
form small clusters, and segregate to structural defects. Heavy ion irradiation  
experiments have also been known to introduce significant levels of impurities  
[180]. For alloyed systems, APT has provided a unique perspective on solute  
clustering and segregation phenomena in a wide range of irradiated alloy sys-  
795 tems. The technique also has played a unique role in understanding the role of  
dose rate on phase transformation, providing complementary information to the  
traditional TEM observations, generally focused on dislocation loop and void  
imaging and quantification.

As an example, several independent studies on the Fe-Cr system have re-  
800 cently converged and the results paint a detailed and coherent description of  
the effect of irradiation on phase decomposition in this particular system. Con-  
sistent with the very slow thermal diffusion kinetics of Cr in  $\alpha$ -Fe [181, 182],  
precipitation of the  $\alpha'$  phase under thermal aging exhibits notoriously slow  
kinetics, requiring tens of thousands of hours in the temperature range of 450-  
805 550°C to be noticeable using conventional characterization techniques [183]. At  
lower temperatures, the kinetics of decomposition are too slow, leading to un-  
certainty on the phase diagram (see e.g. [184]). Alternatively, microstructural  
APT data is available for a range of irradiation conditions, all performed at  
the same irradiation temperature of 300°C, allowing direct comparison between  
810 experiments. Under neutron irradiation at dose rates lower than  $10^{-7}$  dpa/s, nm  
scale  $\alpha'$  precipitates have consistently been reported in binary Fe-Cr alloys with  
Cr contents greater than 9 at.% [185, 186, 187, 188, 189, 190] and in some com-  
mercial Cr-containing steels, e.g. [191, 192]. The increasing size and decreasing  
number density of the  $\alpha'$  precipitates with dose are consistent with a coarsening  
815 behaviour [193]. The increasing number density of  $\alpha'$  precipitate with increas-  
ing Cr content is also consistent with increasing precipitation driving force with  
increasing Cr supersaturation [185]. The observations are consistent with  $\alpha'$   
formation is accelerated by the increased point defect concentration and radi-  
ation enhanced diffusion (RED). The changes in  $\alpha'$  and matrix compositions  
820 and increased Cr solubility measured for neutron irradiation at higher temper-  
ature (450°C) are consistent with those measured for thermally aged alloys at  
450-550°C [193].

Under heavy ion irradiation, the lack of  $\alpha'$  precipitates was systematically  
noted at high dose rates greater than  $10^{-3}$  dpa/s [194, 195, 196]. Tissot et  
825 al. [197], noted that injected interstitials could be suppressing  $\alpha'$  in Fe-Cr  
systems and managed to observe  $\alpha'$  in regions away from the damage peak. At  
lower dose rates however, Cr-rich clusters and  $\alpha'$  precipitates were observed,  
with microstructures strongly dependent on dose rate suggestive of decreasing  
role of cascade mixing [198]. Figure 13 illustrates the development of Cr clus-  
830 tering as a function of dose rate for neutron and ion irradiations performed at



300°C. Using *in situ* He, Ne, and Kr irradiation with TEM observations, Harrison et al. found decreasing  $\alpha'$  number density with increasing PKA energy at constant dose rate [199], further corroborating the role of high-energy-density cascades and sub-cascade formation leading to spatial and temporal cascade overlap and therefore increasing solute mixing. Unlike heavy ion irradiation at high dose rates, 100 keV He irradiation at dose rates between  $10^{-4}$  and  $10^{-3}$  dpa/s led to precipitation with the rate of precipitation increasing with dose rate [199]. Similarly, significant  $\alpha'$  coarsening was reported during electron irradiation for increasing dose [200]. In these two cases, the higher dose rate increases the Frenkel pair production rate. However, because the recombination rate is not a linear function of dose rate, the overall point defect concentration increases, leading to RED and therefore accelerated  $\alpha'$  precipitation. Recent modelling of the Fe-Cr system provides further support to the role of point defects and cascade mixing. Using atomistic kMC, Soisson et al. reproduced the RED effect during low dose rate irradiation [201], while Ke et al. [202] reproduced the effect of RED for neutron and electron irradiation and cascade mixing for high dose rate heavy ion irradiation using a phase-field approach.

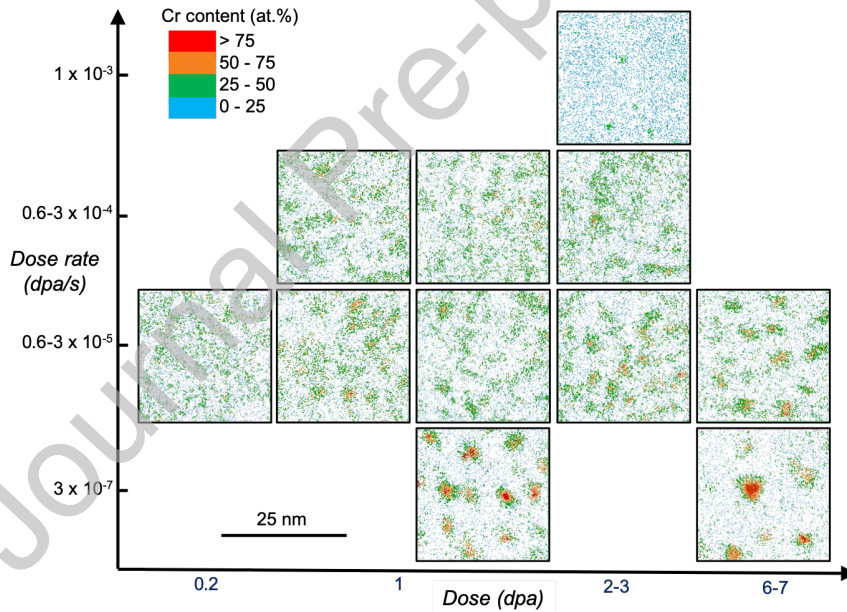


Figure 13: 2 nm thick atom maps from reconstructed APT volumes obtained after ion and neutron irradiations. Color gradient is associated with local Cr concentration measured in 1 nm diameter spheres. Adapted from [198]

These Fe-Cr studies naturally raise the question of microstructure stability or microstructural evolution for more complex alloys under irradiation. Similar to the observation that microstructural features (voids, dislocation, precipita-

tion) may have their own temperature and dose rate dependency [128], different stable and metastable phases within an alloy might have their own dependence due to different thermodynamic properties, differing point defect interactions, competition for point defects, etc. Such behaviour would challenge the use of heavy ions to reproduce neutron irradiation microstructures. However, understanding ion irradiation provides a unique opportunity to quantify individual phase stability.

While essential to our understanding of the chemical effects, the interpretation of APT data can also be subject to a number of potential limitations, similarly to the TEM challenges described above. The analysis of small solute clusters can be limited by spatial resolution and the accurate measurements of cluster composition. This issue has led to significant discussions regarding the composition of Cu clusters forming in irradiated low alloyed and stainless steels, e.g. [203], or the composition of nanoscale oxide particles in ODS alloys, e.g. [204, 205, 206]. Similar effects may be at play regarding the composition of nanoscale  $\alpha'$  precipitates in Fe-Cr alloys. The composition of  $\alpha'$  precipitates depends on a number of factors that include temperature dependence of the equilibrium composition, thermodynamic and kinetics factors that can affect the composition in the early times of formation when the precipitates are very small, i.e.  $< 2$  nm. In addition, mixing effects could result in lower the Cr precipitate composition. Bergner et al. [186] compared APT of Fe-Cr with SANS measurements and concluded that the approach to simulating the evaporation field in APT is the main source of uncertainty and error. Analyzing all the reported APT data on  $\alpha'$  in Fe-Cr alloys, Reese et al. argued that APT artefacts further lower the precipitate composition for the smaller precipitates sizes [193]. Conversely, Hatzoglou et al. showed that the error introduced by trajectory aberrations is less than 5 at.% [207]. This model however does not consider surface diffusion and local atomic rearrangement that would further dilute the apparent cluster composition [208, 209]. Quantifying the spatial and chemical accuracy and errors in APT data remains a significant topic of research, with recent efforts focused on the development of physics-based models to support the interpretation of APT data and its use for understanding complex microstructures as those observed in irradiated alloys.

Beyond cluster composition, the number density of clusters is an essential quantity to accurately measure in order to develop hardening models. The APT community has traditionally used relatively simple clustering identification algorithms. The so-called friend-of-friend algorithm, first introduced to the APT data by Hyde et al. [210] involves a set of parameters that define what a cluster is: a threshold distance that defines whether 2 atoms are part of the same cluster, a minimum cluster size in terms of the number of atoms within a cluster, and the number of nearest neighbour solute atoms on which the threshold distance is applied. This approach works very well for dilute solutes with clear clustering behaviour. However, its limitations in terms of subjective parameter selection and increasing identification errors for complex microstructures have led to significant results variability and at times erroneous interpretation of the data. The APT community has organised round robin

experiments to understand and quantify the origins of quantification variability, raise awareness around the pitfalls, and establish standardised analysis and reporting habits [211, 212]. To expand the range of available methods, new algorithms are also needed and should use the advancements in data analysis methods developed in other scientific fields.

Following the first use of the DBSCAN algorithm for APT data by Stephenson et al. [213], Ghamarian et al. recently adapted the HDBSCAN and DeBaCl algorithms to the detection and quantification of solute clusters in APT data [214]. This approach can be applied to a wider range of microstructures by allowing clusters of different density and accounting for variable matrix density. Building on these algorithms, Ghamarian et al. also proposed an automated detection algorithm for dislocation loops that are apparent in APT data via solute segregation. This approach was recently demonstrated for dislocation loops that form in a proton-irradiated Alloy 625 and are decorated with Si [215]. Until now, such analysis was performed by hand and subject to significant subjectivity. Figure 14 illustrates the detection and classification of Si decorated features into two categories: dislocation loops and  $\text{Ni}_3\text{Si}$  clusters. Following the round robin recommendations, it is important to emphasise the need for thorough reporting of the analysis methods, including details of the algorithm and selection of relevant parameters. This information is not only essential for the reproducibility of the results, but also ensures that the analyzed results with their likely biases can be accurately interpreted by future researchers and data can be compared with future analyses, thereby optimizing research activities and limiting waste of research resources.

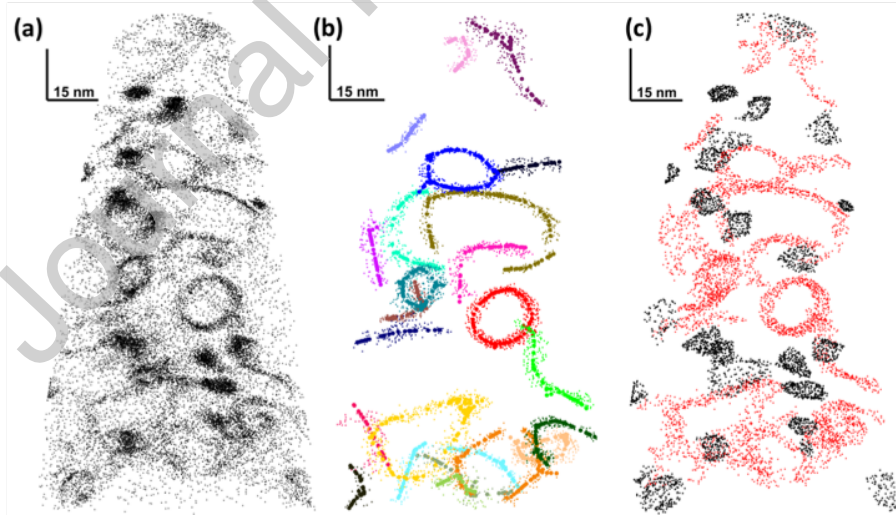


Figure 14: Automated cluster and dislocation loop analysis from APT data. (a) 3D reconstruction showing Si atoms only; (b) identification of individual dislocation loops and lines; (c) identification of Si rich clusters and decorated dislocation lines. From [215].

### 3.6. Transmutation-induced segregation of Re in W

A somewhat different picture is offered by the behaviour of Re solutes in W. Re forms concentrated solid solutions with W, resulting in commercially available alloys with a significantly improved fracture toughness [216]. Between 300 and 600°C W-Re exists as a bcc solid solution up to Re concentrations of 15-25 at.% [217], after which the alloy goes through an intermediate precipitation region dominated by the formation of brittle  $\sigma$  and  $\chi$  intermetallic phases [217, 218]. However, exposure of initially unalloyed W to moderate levels of mixed-spectrum neutron irradiation is seen to result in exceedingly high hardening levels, and severe degradation of the fracture toughness and the thermal conductivity [219, 220]. While inventory calculations have shown that the level of accumulation of Re in W under ITER-like conditions is approximately 0.1 at.% Re/dpa [221], independent irradiation tests to doses of less than 2.0 dpa conclusively show the formation of elongated Re precipitates in W with  $\sigma$  and  $\chi$  structure [219, 222, 218]. While segregation in nominally subsaturated conditions is a common phenomenon under irradiation, the formation of Re-rich precipitates (as well as precipitates containing Os and Pt [223]) has been observed to take place homogeneously, not necessarily associated to defect sinks [217].

Recent models based on alloy cluster expansion Hamiltonians fitted to DFT results [217, 224] and coupled to detailed defect energetics calculations [225, 226] have revealed that a potential mechanism that can explain the formation of Re-precipitate precursors is the immobilization of solute due to mixed-dumbbell migration and clustering. DFT calculations have revealed a two-step process by which Re-W dumbbells alternate  $\langle 111 \rangle$  translations with  $\langle 110 \rangle$  rotations in such a way as to remain in the bound dumbbell configuration. In this fashion, Re atoms in the dumbbell are transported effectively along  $\langle 100 \rangle$  directions [226]. Figure 15 illustrates the two-step process with the associated energy landscape (this mechanism can take place also for other transition metal solutes dissolved in W). Migration continues until W-Re dumbbells encounter (i) one another, forming immobile di-interstitials (or larger) clusters, or (ii) vacancies, resulting in a substitutional solute. This mechanism of Re by way of mixed-dumbbell migration – with W and Re atoms being transported in pairs – is consistent with the formation of non-compact Re clusters with Re concentrations approaching the stoichiometry found in  $\sigma$  and  $\chi$  phases (20%-50% atomic). Another important aspect of this mechanism is that interstitial migration is rendered three-dimensional, as opposed to primarily one-dimensional as for pure self-interstitial defects. This is also consistent with an enhanced recombination and the suppression of the swelling peak in neutron-irradiated W-Re alloys compared to pure W [217]. Note that this mechanism is very similar to the case of RPV steels under irradiation, where hardening is caused by nano-scale solute clusters formed (in OkMC simulations) by the dragging of solute atoms by point defects and subsequent clustering at self-interstitial defects [137].

The effect of the above mechanism on microstructural evolution and mechanical property degradation can be captured in kinetic reaction-diffusion models of the types discussed later in Section 4.2. In these models, Re transmutation

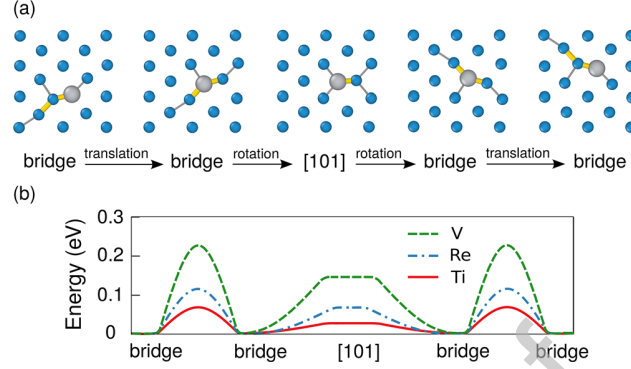


Figure 15: (a) Composite mechanism of migration of Re by alternate translation-rotation-translation steps between the dumbbell and 'bridge' configurations. (b) Associated migration energy landscape. Both rotations and translations display similar energy barriers. From [226].

is included as a valid microstructural transformation resulting from neutron irradiation. As shown in Figure 16, under these conditions, Re precipitates are seen to account for the majority of the hardening calculated in neutron irradiated W-Re alloys (with three different spectra), in agreement with experimental measurements. These results support the notion that segregation models of defect-solute co-evolution must include transmutation in cases where its impact is crucial to predict and understand microstructural evolution under irradiation, see [217, 227]. The impact of transmutant Re in irradiated W can also be studied using OkMC methods [174, 172, 175], where results confirm that small concentrations (from 0.1% [174]) of Re can significantly reduce the mobility of SIA defects.

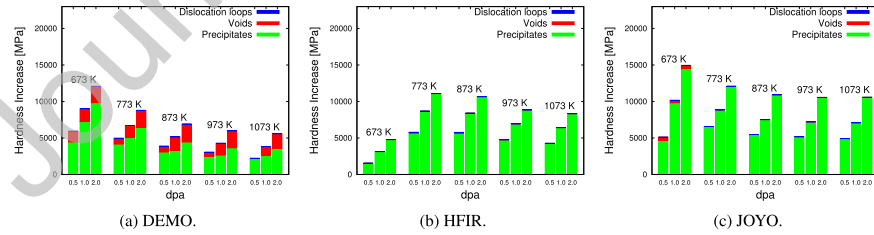


Figure 16: Computed irradiation hardening levels obtained using a mean-field cluster dynamics approach due to the formation of SIA loops, voids, and Re precipitates in neutron irradiated W with Re transmutation. Results for (a) fusion, (b) mixed, and (c) fast neutron spectra are shown for comparison. From [228].

### 3.7. Macroscopic stress and swelling: from microstructure to continuum

New methods have been developed for transferring the information now available from microstructural evolution simulations back to making real-life engineering predictions about material swelling due to irradiation [229, 230]. Fundamentally, swelling is a property measurable with calipers - indeed, it is just a measure of the changing dimensions of a reactor component, but there are three possible origins to a dimensional change. The first is self-interstitial defect transport from the material interior to the surface or grain boundaries. This leaves voids behind in the bulk, and surface steps or altered atomic arrangements in the grain boundary regions. The second is an effective change in lattice parameter produced by the homogeneous strain induced in a component with free surfaces due to the presence of defects in the bulk. The third one is the growth and coalescence of spatially homogeneously distributed self-interstitial dislocation loops, resulting in the formation of a grain-spanning dislocation network and new complete crystallographic planes, and giving rise to the overall expansion of the material [46]. This latter mechanism incorporates self-interstitial atom defects in the perfect crystal lattice, effectively removing them as ‘lattice defects’, and generating a high residual concentration of vacancies, leaving them free to diffuse and coalesce into voids.

Historically only the first case, transport of material, and partially the third one, the formation of new atomic planes by dislocation climb, were considered in detail [25, 231, 232, 233, 234]. This approach is correct in the limit where all the microstructural features present are large. In this limit the relaxation volume *per vacancy* of a large void is zero, and the relaxation volume *per interstitial* of a dislocation loop is one atomic volume [39]. Therefore it is immaterial whether a large interstitial loop is trapped in the interior or at the surface, the total change of volume of the component can be found by a simple count of the number of vacancies in all the voids, which matches the total number of self-interstitials contained in large dislocation loops or in steps and atomic islands formed at the surface.

But in the limit where defects are small compared to a chosen scale of microstructure, defects dominate internal stresses and strains, and the treatment of swelling is far less obvious. This has only recently been elucidated in Ref. [54]. In the limit where defects can be homogenised and treated as a field representing a continuously distributed density of relaxation volumes, it is possible to compute the resulting effects using concepts from solid mechanics. For example, the effective internal body force acting on a volume of a finite element,  $\mathbf{f}(\mathbf{r})$ , can be written as a simple gradient of the *local* relaxation volume density of defects,  $\omega_{\text{rel}}(\mathbf{r})$ , accumulated in the microstructure at a given location, i.e.

$$\mathbf{f}(\mathbf{r}) = -B\nabla\omega_{\text{rel}}(\mathbf{r}), \quad (7)$$

where  $B$  is the bulk modulus of the material.<sup>1</sup> Using this equation, total strains, stresses and swelling of arbitrarily complex components in a reactor can be

<sup>1</sup>In a full tensorial representation, taking into account effects of anisotropic elasticity and

1010 computed given the internal microstructure [54]. Furthermore, since the scale  
of a reactor component is macroscopic, even gigantic defect structures forming  
under irradiation can be treated as microscopic, and hence the above equation  
has a very broad range of validity if viewed from the macroscopic perspective.

To parameterize equation (7), a comprehensive survey the relaxation vol-  
1015 umes of point defects in bcc and fcc metals, computed using Density Functional  
Theory, has been published recently [22, 23]. Relaxation volumes of clusters  
of defects and dislocation loops in tungsten, spanning the entire range of sizes  
of defect clusters, were explored in Ref. [39]. These studies show that the re-  
laxation volume per an interstitial defect can be significantly greater than one  
1020 atomic volume,  $1.7\Omega_0$  in Fe and W, and the value only slowly decreases to  $1\Omega_0$  in  
the limit where defects form large dislocation loops containing hundreds or even  
thousands of interstitial point defects. The corresponding relaxation volume  
per vacancy is approximately  $-0.2\Omega_0$  (Fe) or  $-0.3\Omega_0$  (W), and the relaxation  
volume per vacancy gradually vanishes in the limit where vacancies agglomer-  
1025 ate in large voids [39]. On the other hand, vacancies forming dislocation loops  
exhibit a large *negative* relaxation volume, approaching minus one atomic vol-  
ume per vacancy,  $-1\Omega_0$ . Hence, vacancy dislocation loops give rise to the much  
larger degree of elastic contraction of the material than quenched-in individ-  
ual vacancies [26]. The above values show that there is effectively instantaneous  
1030 volumetric swelling occurring in irradiated components due to the accumulation  
of the primary damage cascade, which gradually evolves as defects interact and  
recombine, and new defects form as a result of continuing exposure to irradia-  
tion. At low temperatures, where mobility of defects is suppressed, the density  
of defects and volumetric swelling gradually saturates as a function of dose [46].

#### 1035 4. Microstructure to properties

This section reviews the recent efforts to take the final step in the multi-  
scale framework – using the simulations, modelling and experimental analysis  
to make predictions about the change in material properties in the fusion en-  
vironment. After describing the challenges that need to be solved below, we  
1040 discuss (Section 4.2) the advanced simulation techniques being developed to  
overcome the limitations of the traditional techniques (MD, DFT, kMC, etc.).  
These include a kMC method applied in the semigrand canonical ensemble to  
study He segregation in irradiated W, the use of adaptive kMC (4.2.1) to study  
complex problems where transition states are not known in advance, and contin-  
uum methods such as stochastic cluster dynamics (4.2.2). After discussing the  
1045 ongoing challenges of developing multiscale frameworks (Section 4.2.3), as ex-  
emplified by the modelling needs of a new generation of smart alloys, we discuss

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the anisotropy of the structure of defects themselves, the above equation acquires the form  
 $f_i(\mathbf{r}) = -\partial\Pi_{il}(\mathbf{x})/\partial x_l$ , where the density of elastic dipole tensors of defects  $\Pi_{il}(\mathbf{x})$  is defined  
in terms of dipole tensors of individual defects  $P_{il}^{(a)}$  as  $\Pi_{il}(\mathbf{x}) = \sum_a P_{il}^{(a)}\delta(\mathbf{x} - \mathbf{R}_a)$ .

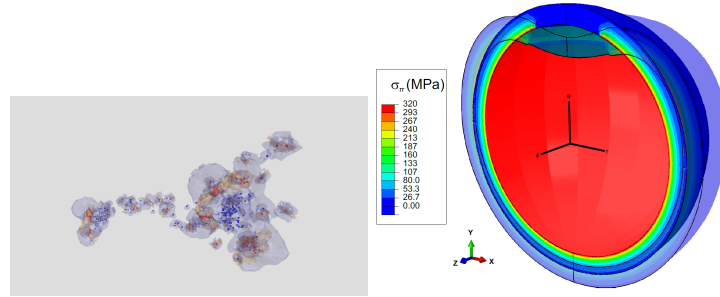


Figure 17: Left: stress isosurfaces induced by a typical 150 keV cascade in tungsten[33]. Vacancies (blue spheres) and interstitials (red spheres) are identified by a Wigner-Seitz cell analysis and isosurfaces of stress are indicated (yellow- trace of dipole tensor =  $+0.1\text{eV}$ , blue- trace of dipole tensor =  $-0.01\text{eV}$ ). Right: Radial stress computed using the finite element method for a spherical model reactor pressure vessel with the cap of the sphere shielded[54]. These two calculations are linked together by the simple formula, equation (7), relating internal body forces to the density of relaxation volumes of defects in the microstructure of irradiated material. Figure reproduced from Ref. [54].

examples of new experimental characterisation techniques that are suitable for validating the predictions coming from the multiscale approaches (Section 4.3).

#### 1050 4.1. The fusion challenge

Exposure of first wall materials to fusion plasmas and their ensuing property degradation has long been recognised as one of the most important challenges facing fusion energy [235, 236, 237, 238]. The current ITER plan calls for a divertor design consisting of support structures (monoblocks) with plasma-facing components made out of W to withstand heat loads of  $10\text{-}20\text{ MW m}^{-2}$  [239]. The behaviour of W-based components under such extreme conditions has been reported to involve surface erosion/exfoliation [240, 241], and the formation of quasi-porous, filamentous structures widely referred to as nanofuzz under high flux, high fluence plasma conditions [242, 243, 244, 245]. While the near plasma edge and material surface evolution have attracted and continue to attract a considerable amount of interest under the umbrella of plasma-materials interface (PMI) and plasma-facing materials (PFM) research programs, the bulk response of reactor structures, such as structural and blanket materials, to fusion energy conditions has received comparatively less attention. Defect formation within the bulk microstructure has often been explored independently of the PMI using a combination of neutron and ion irradiation techniques with a focus on the formation of dislocation loops, voids, and precipitates (resulting both from radiation induced segregation and transmutation) [246, 223, 247, 248, 249, 250]. However, the coupling of near-surface phenomena with bulk microstructure evolution and its implications for the thermomechanical response of structural and blanket materials have received considerably less attention, but are critical from both design and engineering viewpoints (see, eg. [3]).



Knowledge of defect distributions with high temporal and spatial resolution is required to link to meso and macroscopic level formulations of property degradation to inform reactor design and/or operation. For example, the accumulation of plasma ion clusters in the subsurface region of the divertor and its interaction with neutron damage and transmutation atoms in the bulk creates nontrivial concentration gradients that can lead to the internal accumulation of complex species and defects. The effect of this accumulation on component performance, e.g. via irradiation hardening, swelling, loss of thermal conductivity, etc., is of critical importance for fusion materials development. Owing to certain intrinsic limitations, experiments can only provide incomplete or indirect information about processes occurring in the subsurface region. In principle, however, these processes are amenable to large-scale modelling and simulation building on atomistic information from DFT or MD, provided that several difficulties are addressed in algorithm development, model scale-up, and computational efficiency. Some essential features of models designed to predict and quantify material property degradation in the surface-to-whole-device transitional regions are (i) full spatio-temporal resolution, (ii) ability to connect atomistic scale behaviour with mesoscopic response models, (iii) ability to consider multiple chemical species simultaneously, and (iv) a capability to take into account spatial fluctuations. State-of-the-art computational methods to study fusion materials degradation lack many of these features at present.

#### 4.2. Advanced & hybrid simulation techniques

Limitations in direct atomistic methods such as DFT or MD, where time and length scale constraints restrict deposition fluxes to several orders of magnitude higher than real device fluxes [251, 252, 253, 254], are being addressed through the development of hybrid simulation techniques. Accelerated MD methods, such as parallel replica dynamics [255], hyperdynamics [256] or temperature accelerated dynamics [257], help in the time scale, but still fail to reach experimental times because of the high computational resources needed to track events with high rates (i.e. low energy barriers). Parallel replica dynamics is also limited in the way that structural transitions are detected and the parallel replicas, etc. are updated. Newer techniques, such as adaptive kMC, help overcome these barriers by searching for events and developing the list of possible transitions on-the-fly [258, 259, 260].

Other hybrid Monte Carlo (MC) methods can be applied to achieve representative microstructures in the presence of impurities or alloying elements in a material and explore how the microstructure evolves as they segregate to sinks (grain boundaries, interfaces, or dislocations). These methods are based on statistical mechanical descriptions of the system to sample probable configurations identified using the Metropolis algorithm in the canonical ensemble. Semigrand-Canonical algorithms have also been developed where particles are exchanged with a reservoir at a prescribed chemical potential. However, these methods cannot be applied in regions of the phase diagram where different phases have equal chemical potentials (i.e., miscibility gaps), as is the case to study He in metals, since its solubility is negligible. To address this limitation,

the Variance-Constrained Semigrand-Canonical algorithm [261] was developed, which introduces an extra constraint in the statistical mechanical derivation of the probability function to extend to alloys inside the miscibility gap. This algorithm has been used to understand He segregation to different grain boundaries in W [262]. Six different bicrystals with different mis-orientations were studied and, in all cases, He was observed to segregate to the grain boundaries and form bubbles, as shown in Figure 18. The presence of He significantly modified the mechanical response of the material [263, 264, 265], promoting decohesion in a manner that depends on the atomic details of the interface and the bubble pressure. It also augmented the response of the material under shear stress, in this case increasing the yield strength (see Figure 18c).

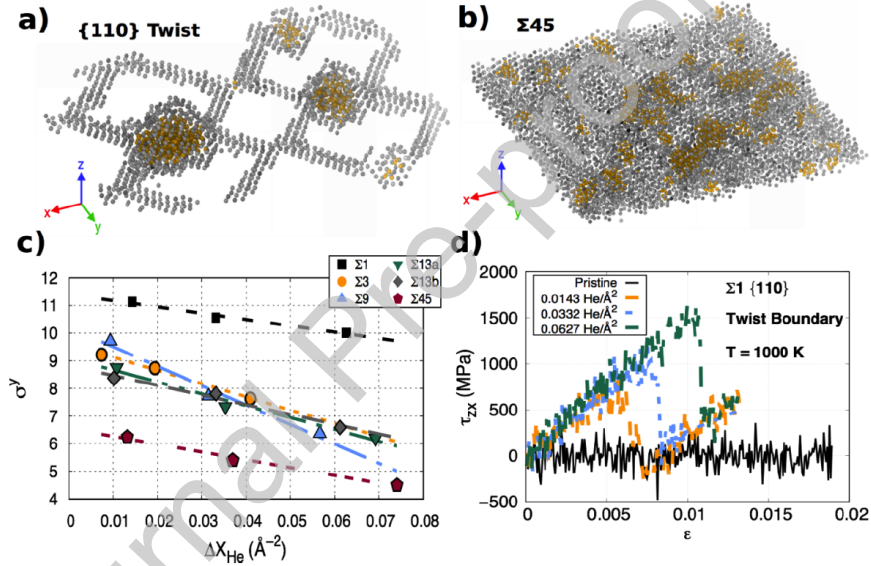


Figure 18: He segregation to a)  $\{110\}$  twist and b)  $\Sigma 45$  interfaces. W and He atoms are shown in gray and orange, respectively. c) Yield stress upon normal loading depending on interface misorientation and He content. d) Stress-strain response of the system containing a  $\{110\}$  twist interface upon shear depending on He content.

#### 4.2.1. Adaptive kMC

kMC methodologies instead coarse-grain the atomic vibrations and rely on different levels of approximation to compute the rates for all possible events occurring in the system. Provided that all transitions and their rates are known (or at least pre-specified), kMC can push the time and length scale envelope of direct atomistic simulation, but is still limited in capturing surface morphological evolution [119, 266, 267]. Additionally, considering the atomistic details of microstructural features in materials including grain and phase boundaries is cumbersome. AkMC [258, 268] methods dynamically compute possible events

and in principle can find transitions in the presence of grain boundaries; however, the rough potential energy landscape reduces the ability of this method to reach the required timescale. OkMC [120] models further coarse-grain the atomic positions and follow the evolution of objects of interest, such as irradiation-induced defects and impurities, but lose the atomistic details of the interfaces through the coarse-grain homogenization of the landscape. This is a serious limitation for capturing property evolution due to irradiation, which often involves processes where small clusters of atoms interact with microstructural features (also discussed in [3]). A method to map homogenised configurations back into full atomistic structures will open the possibility of understanding the effect of irradiation-induced damage on the basic mechanisms of materials under stress and thus be extremely valuable for the radiation damage community.

#### 1150 4.2.2. Continuum methods

For their part, continuum methods such as mean field rate theory (MFRT) can be implemented on regular or irregular spatial grids to solve spatially-dependent problems [269]. However, they fail to capture essential fine-scale features of the involved processes and, in the current, most-advanced implementations, they can only deal with a limited number of distinct mobile chemical species (e.g. point defects and He [270], point defects and H [271], or Cu monomers and clusters [272]), making them insufficient to capture the complex chemistry expected in fusion environments. The stochastic cluster dynamics method (SCD) is a stochastic variant of the MFRT technique that eliminates the need to solve exceedingly large sets of ordinary differential equations (ODEs) and relies instead on sparse stochastic sampling of the underlying kinetic master equation [121]. Rather than dealing with continuously varying defect concentrations in an infinite volume, SCD evolves an integer-valued defect population in a finite material volume, thus avoiding combinatorial explosion in the number of ODEs. This makes SCD ideal to treat problems where the dimensionality of the cluster size space is high, e.g., when multispecies simulations for example involving energetic particles, He, H, etc., simultaneously are of interest. In practice, SCD sits at the intersection between MFRT and kMC, and can thus take advantage of the mean-field approximation for computational expediency while avoiding combinatorial explosion and allowing for statistical fluctuations. SCD has been applied successfully to fusion irradiation problems involving multispecies clusters [121, 273].

There are other interesting methods that have been employed in materials simulations that could be adopted for fusion materials modelling. For example, the family of so-called quasi-continuum methods is predicated on the combination of highly-accurate atomistic descriptions of the environment around defects with computationally-efficient linear elastic descriptions of the surrounding volume, all in a seamless fashion, without the presence of numerical artifacts [274]. The quasi-continuum method takes advantage of the local nature of nonlinear stress fields around defects while describing unstressed or smoothly-stressed regions of the crystal using mean-field treatments. This has proven to be an effective computational strategy to concurrently simulate large systems con-

taining an arbitrary number of heterogeneities. However, while these methods hold substantial potential, and have been successfully applied in a number of materials deformation processes [275, 276], considerable challenges still remain unresolved, the most pressing of which being how to accurately avoid spurious reflections of elastic waves in unstructured meshes in dynamic simulations [277].

#### 4.2.3. A multiscale future?

Combining the aforementioned modelling approaches in a multiscale framework to bridge surface phenomena to bulk microstructural effects in materials for DEMO introduces additional challenges related to their increased chemical and microstructural complexity. For example, the limitations of W as a PFM including its recrystallization temperature [278] ( $\sim 1300^\circ\text{C}$ ), oxidation resistance [279], radiation tolerance [280], and mechanical performance [281] have motivated the development of advanced W based alloys [281, 282, 283, 284]. A synergistic alloy design strategy that combines nanostructuring with targeted doping of grain boundaries has been pursued to improve the thermal stability and radiation tolerance of W relative to its pure, coarse-grained counterpart [285, 286]. Lattice based MC (LMC) techniques [287], which reveal the lowest free energy state of an alloy from a configurational space incorporating chemical mixing with grain boundary energetics, have played an important role in mapping dopant species and concentrations for the design of solute-stabilized nanostructured W alloys. As shown in Figure 19a, Ti has a thermodynamic preference to segregate to grain boundaries while Cr clusters to form nanoprecipitates. Guided by modelling, nanocrystalline W-Ti-Cr powders ( $\geq 80$  at.% W) have been produced via powder metallurgy synthesis (nanostructure depicted in the bright-field image in Figure 19b) and consolidated to form bulk nanostructured W alloys as shown in Figure 19c. Modelling the behaviour of these materials under coupled extremes, specifically quantifying defect production in cascades, gas behaviour, and their implications for microstructural evolution, requires W-alloy potentials to complement the more established W-He and W-He-H interatomic potentials [252, 254, 288].

Mesoscale phase-field approaches could also be a useful tool in predicting microstructure evolution and property degradation in irradiated materials, especially in materials where initial grain morphology, different phases and interfaces impacts radiation defect accumulation and material properties [289]. This is particularly so when radiation-induced microstructure evolution means the system never reaches steady state, or there is a high volume fraction of evolving phases at high doses. There are, however, still many grand challenges ahead to make quantitative simulations. Among these challenges are how to correctly capture the length scale of microstructures; the thermodynamic and kinetic properties of defects; how to use high performance computers to perform the large-scale modelling; and how to integrate machine learning to efficiently solve the evolution equations.

The operation of a fusion power plant will inevitably present new challenges that fall beyond the capabilities of our existing and envisioned modelling frameworks. For example, the behaviour of W based PFCs under accident scenarios

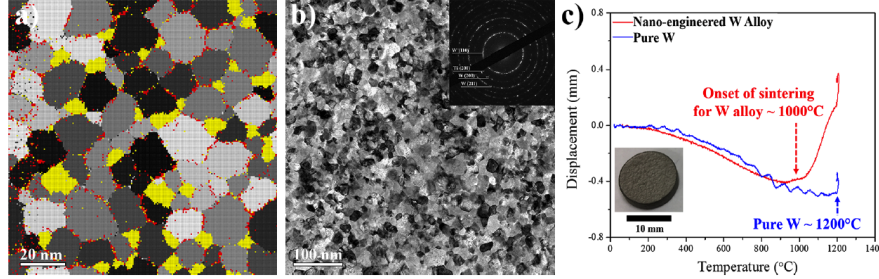


Figure 19: (a) LMC model for a nanostructured W-Ti-Cr alloy with W-rich grains shown in grey-scale, Ti atoms in red, and Cr atoms yellow. (b) Bright-field TEM micrograph of a binary W-Ti alloy revealing a nanocrystalline grain structure. (c) Sintering curves for the consolidation of a nanoengineered W alloy relative to pure W demonstrating a considerable reduction in the sintering temperature.

introduces the need for predictive models describing oxidation. Indeed, self-passivating, smart W alloys are under development with an aim to minimize radioactive hazards by suppressing W oxidation and sublimation at high temperatures [290, 291, 292]. In the case of a loss-of-coolant-accident (LOCA) where the temperature of the W first wall cladding may reach 1000°C and above due to nuclear decay heat [293], neutron-activated W and its isotopes will form volatile oxides upon air ingress, which can be mobilized to the environment at the rate of  $\sim 150 \text{ kg h}^{-1}$  at 1450K [294]. Under such conditions, alloying elements will form their own dense oxide layer protecting W from oxidation and subsequent sublimation of W oxide. Present smart W alloy systems contain Cr as a passivating element and Y as a so-called active element [295]. Bulk alloys have been realized through powder metallurgical routes with a  $10^5$ -fold reduction in the oxidation rate relative to that of pure W with complementary studies of accident conditions and plasma performance at the timescale corresponding to a lifetime of a first wall PFC in DEMO [296, 297]. Direct measurements of W sublimation from a smart alloy exhibited more than a 40-fold reduction relative to pure W as shown in Figure 20 while preserving its geometrical shape through testing.

The modelling needs in the aforementioned alloys are centered on the impact of alloying elements for material behaviour under regular plasma operation and accident conditions. Specific phenomena critical to understanding the response of advanced alloys for a fusion reactor include: (i) quantifying solute distribution among different microstructural features and its impact on thermomechanical properties, (ii) diffusive transport of bulk alloying elements toward the surface and potential biasing of fundamental processes at the PMI (e.g., selective sputtering, He and H retention, etc.), (iii) bulk damage evolution as a result of neutron irradiation and its interaction with surface damage, and (iv) the implication of these complex, intertwined processes on the evolution of thermomechanical properties and oxidation resistance. Using ab initio calculations,

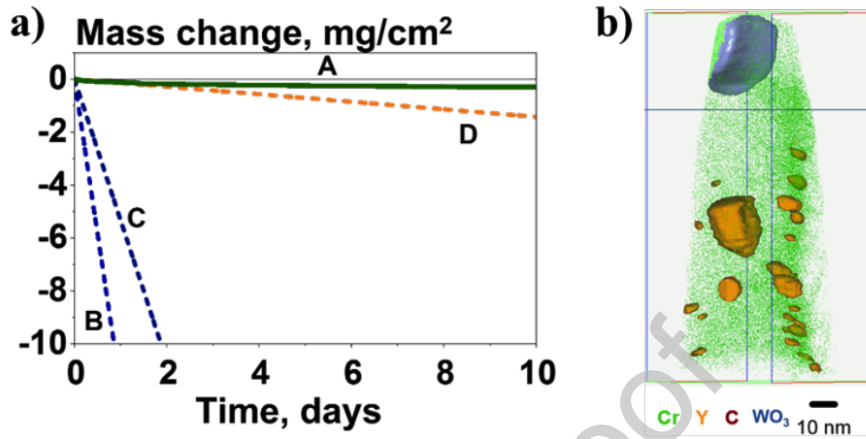


Figure 20: a) Mass reduction due to sublimation as a function of exposure time for W-Cr-Y smart alloy (curve A), fully oxidized W thin film (curve B), oxidized bulk W sample (curve C) and for oxidized pure Cr film (curve D) in humid air at 1000°C. b) APT analysis of post-oxidation W-Cr-Y alloy, showing clusters of Y and W oxide.

progress has been made in understanding the behaviour of Y at grain boundaries in W-Cr-Y alloys: segregation of Y-containing nanoclusters to the grain boundaries of the W-Cr solid solution was predicted and subsequently observed experimentally using atom probe tomography (APT) as shown in Figure 20b. However, as noted above with the W-Ti-Cr alloys, the limited availability of interatomic potentials for alloy systems of interest severely hinders steps toward multiscale models for advanced fusion materials.

#### 4.3. Validation from experiment

As multiscale modelling techniques continue to advance to address critical knowledge gaps linking microstructure to thermomechanical properties, new opportunities for accelerating model validation are being realized through advanced characterization techniques. X-ray techniques available at advanced synchrotron light sources offer ultra-high brightness relative to standard laboratory sources, and in turn provide rapid measurements facilitating both high-throughput and in situ experiments [298, 299, 300]. When leveraged in a multi-modal fashion with conventional characterization methods (e.g., TEM [301, 302, 303, 199, 167, 146, 132], PAS [304, 305], APT [213, 194, 185, 186, 306, 188, 307, 203], and SANS [192, 186, 308, 309]), these techniques provide a fundamental understanding of key parameters that lead to microstructural changes and thermomechanical performance degradation. Such approaches also facilitate direct validation of mechanistic models for material performance through unprecedented access to realistic morphology and microstructural information [310, 311, 312]. For example, synchrotron x-ray diffraction (XRD) and small angle x-ray scattering (SAXS) experiments were employed in the quantification of MX and  $M_{23}C_6$

precipitate fractions in castable nanostructured alloys (CNAs), which represent a special class of reduced activation ferritic-martensitic (RAFM) steels. Combined with atomic information in both the host matrix and these minor phases, computational thermodynamics predictions originally used in designing the CNAs [313] were validated, as summarized here in Figure 21.

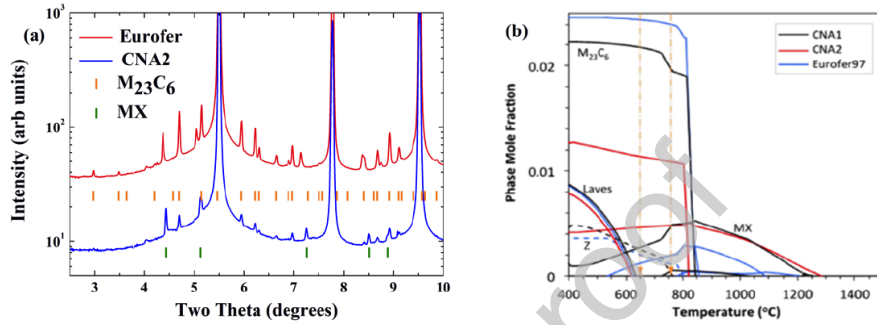


Figure 21: (a) XRD patterns for RAFM alloys Eurofer97 and CNA2. Phases overlaid for reference. (b) Phase fractions predicted from computational thermodynamics for Eurofer97 and CNA alloys shown in (a) (reproduced from [300]). Images courtesy of D.J. Sprouster, Stony Brook University.

Laser based material property measurements also have the potential to further reduce the temporal gap in the generation of experimental data for verification of computational models. Most techniques begin with the same initiating mechanism: the transmission of heat into a material of interest. This can be a simple Gaussian pulse for techniques like laser flash [314] or time-domain thermal reflectance (TDTR) [315], both of which measure thermal diffusivity, to creating a spatially periodic pattern, such as in transient grating spectroscopy (TGS) [316], to the rapid imposition of a large amount of energy resulting in a shock wave [317]. Then, the propagation of this energy in the form of heat diffusion, acoustic wave generation, or wave scattering can reveal desired information about a material's properties and how they change under imposed stimuli.

In some cases, the properties measured (thermal diffusivity, Rayleigh wave velocity, stiffness, acoustic damping) may be the material properties of ultimate interest. For example, studying the degradation in thermal diffusivity of W under combined plasma impingement and light ion irradiation is poised to reveal information on the underlying mechanisms. Monitoring thermal diffusivity degradation will be particularly important in developing radiation-robust materials for divertors [318, 319, 320]. In other cases, an indirect measure of one or more material properties correlated to the one(s) of ultimate interest can yield rapid insights into the kinetics of the changes to materials, allowing for more targeted identification of exposure conditions for more time-consuming, destructive analyses. Examples include monitoring Rayleigh wave speed to detect the onset of radiation void swelling [321] (also applicable to validating theoretical

modelling of void swelling – see section 3.7), revealing corrosion kinetics via transmission-mode TGS compressibility measurements [322], and identifying the onset of W nanofuzz [245] via a reduction in effective thermal diffusivity. Thus, the growing need to validate multiscale models by building a mechanistic understanding of material property evolution during the application of external stimuli will continue to drive the implementation of ultra-rapid direct and indirect advanced characterization methods in fusion materials R&D.

## 5. Gas behaviour in solids

This final section discusses modelling of a unique material challenge for fusion: that of neutron-induced gas production and evolution. Section 5.1 reviews the current understanding and outstanding questions for He and H-induced bubble formation. The remainder of the section is devoted to tritium: the behavioural understanding obtained from the real world experience in fission (5.2), and the latest simulation approaches to understand fuel retention in fusion plasma-facing materials (Section 5.3).

The extremely harsh fusion environment, characterised by a combination of high temperature, high thermal flux, and intensive flux of gaseous species (i.e., D, T, He) and neutrons, imposes significant challenges to plasma facing and structural materials in fusion reactors. Fundamental understanding of gas behaviour in fusion materials is needed to accurately predict the long-term performance of materials when in service. Of particular importance is the impact of the synergism of radiation damage, He and H isotopes on gas behaviour in fusion materials, which encompass both structural materials subject to high fluxes of 14 MeV neutrons, the breeding blanket that will generate significant quantities of tritium, and the plasma facing components which will experience injection of high fluxes of H isotopes and tritium. As well, the behaviour of H isotopes, and to a lesser extent He, is critically important in fission cladding and tritium production for national security needs. The behaviour of H in Zr-alloys will not be discussed here, but the interested reader is referred to an excellent recent review article by Motta and co-workers [323].

### 5.1. Bubble formation

The role of H in the nucleation and growth of He bubbles into cavities remains largely unanswered and poorly understood since the existing data are both sparse and conflicting. Yet the role of H and He in bubble nucleation and growth is critical to assessing performance of candidate ferritic alloys in a fusion blanket environment. Key questions to answer are the following. First, how and to what extent does the generation of H simultaneously with He affect the nucleation and growth of cavities? Second, what is the mechanism by which H affects the nucleation and growth of cavities? H has been variously purported to stabilise small He bubbles, enhance cavity growth beyond the nucleation stage, alter the surface energy of the bubbles/cavities, and change the critical bubble size at which a bubble transitions to bias driven cavity growth. Finally, and



of equal importance, is whether there is an interaction between H and other defect/solute clusters in the alloy.

The starting dislocation microstructure and precipitate distribution and their evolution under irradiation are sites for interaction with H that could affect its efficacy in altering cavity evolution. The experiments conducted to date have established that the existing body of data is too sparse and lacking the systematic rigor required to answer these questions, and in fact there is conflicting data in the literature as to whether H enhances or reduces swelling in ferritic martensitic steels [324, 325]. While there seems to be substantial evidence that H plays a role, it is neither qualitatively nor quantitatively established. There are a number of mechanisms by which H could interact with bubbles and small defect clusters containing He and vacancies, including the possibility that H is stored within bubbles or cavities in the form of H<sub>2</sub> gas or that H is trapped at the bubble interfaces as a result of the stress field associated with the interface. H may stabilise the small defect clusters, but with a relatively low binding energy, such that the impact of H on cavity nucleation would be greater at low temperatures relative to high temperatures.

Notably, there is data to support the idea that H is stored within bubbles in molecular form, as observed by Garner and co-workers [326] in austenitic steels irradiated in fission reactors, in which the H is at much higher concentrations in the bubble or voids than He. As well, there are numerous indications that atomic H that is trapped at the interface of high pressure He bubbles, as was identified in work on He implanted bcc Fe by Meyers and co-workers [327] and in the nickel base alloy X-750 irradiated in CANDU reactors with very large He production by Judge and co-workers [328]. The experimental assessments indicating the segregation and trapping of atomic H at high pressure He bubbles is consistent with atomic-scale modelling using MD [329] and DFT calculations in both bcc Fe [330] and W [331]. The modelling predicts that the segregation and trapping of atomic H to the interface of noble gas bubbles is relatively insensitive to gas densities of over-pressurized bubbles. A strong interaction between H and He bubbles in bcc Fe and W leading to segregation and trapping of H appears to be a robust conclusion, although future work remains to fully quantify the H trapping energies as a function of He density (pressure) in the bubbles. There is also a need to understand the extent to which the trapping interactions involve either atomic H at the bubble-matrix interface or instead molecular H within the bubble or void – both of which are likely to be influenced by gas pressure.

Various experimental techniques are available to study these synergistic interactions between H and He in steels and W. There are advantages and disadvantages involved with using multiple ion beams to simultaneously introduce displacement damage while implanting gas species. The use of multiple ion beams does provide for the potential to carefully and systematically perform experimental investigations with proper care taken for the beam dosimetry, sample temperature and avoiding carbon or other beam contamination concerns [332]. In addition to the use of transmission electron microscopy and thermal desorption spectroscopy, laser based ablation techniques have been developed to destructively quantify the depth dependence of H and He in W [333], and there

is also the non-destructive use of neutron depth profiling to assess the spatial dependence of He-3. There are also various techniques of gas driven permeation that can be used to assess H isotope permeation in both ferritic martensitic steels and W. Again, however, the experimental understanding of He-H interaction synergies in fusion materials is incomplete, and much more work is required in the future.

There is a strong trapping interaction of GBs for He in bcc Fe and W, respectively, and GB He bubbles can influence the tensile behaviour of Fe [334] and W [335]; both small and highly pressurized He-vacancy clusters reduce the GB strength and fracture strain [336, 262]. In particular, upon tension, the presence of He significantly decreases the yield stress, which depends considerably on the bubble pressure. Increasing pressure reduces cohesion, as expected. More complex stress states result in more convoluted behaviour, with He hindering GB sliding upon simple shear [262]. The topic of GB He bubble formation is an area ripe for additional research, both in terms of predictably assessing the bubble density and pressure at GB as a function of irradiation conditions, but also to evaluate the impact on both low and high temperature (e.g., creep) deformation and fracture behaviour. For example, machine learning has enormous potential to help in computational modelling framework needed to understand helium segregation GBs, perhaps following the methodology recently developed to understand segregation of Al to GBs in Mg using neural network regression [337].

### 5.2. Tritium production

An important topic in gas behaviour research concerns the experience with national tritium production in support of US national security, called the Tritium Modernization program, which concerns the use of a commercial reactor to produce tritium via the irradiation of components known as Tritium-producing Burnable Absorber Rods (TPBAR). Development of fundamental scientific understanding of TPBAR material's irradiation performance reduced the technical and programmatic risk. Key findings from the fundamental studies clearly indicate the importance of the H partial pressure on the tritium pressure through the SS-316 cladding, and that the hydride phases observed to form in the Zircaloy-4 getter that absorbs the tritium may include  $\gamma$ -ZrH, a transition phase between  $\alpha$ -Zr and  $\delta$ -ZrH<sub>1.66</sub>. The  $\gamma$ -phase has been observed to form in rapidly loaded getters in ex-reactor settings [338, 339]. Work is ongoing to determine if the  $\gamma$ -phase also forms at reactor temperatures in getters loaded slowly over  $\sim$ 18-month reactor cycles. Additionally, results from in-reactor permeation experiments clearly identify a 3-5 times enhancement of tritium permeation through 316 stainless steel in-reactor as compared to ex-reactor testing. This tritium permeation occurs with the expected square root pressure dependence. Although the precise mechanistic cause for the enhanced permeation is not yet well established, it may relate to complex interactions between H and irradiation induced interstitial defects [340, 341]. While significant work remains to understand H interactions with radiation induced defects across a range of nuclear materials, new experimental techniques and improving computational modelling capability continue to address the issues.

As an example,  $\text{LiAlO}_2$  pellets used for tritium production in the Tritium Modernization Program exhibit remarkable dimensional and structural stability during irradiation, even to relatively high burnup ( $\sim 12\%$  total Li) [342, 343]. Characterization of irradiated pellets coupled with computational modelling reveal that the radiation damage is isolated to the interior of the grains, resulting from the tendency of Li to migrate to the grain boundaries (GBs) in the radiation-damaged lattice while Al and O are relatively immobile. Consequently, the pellets have dense  $\text{LiAlO}_2$  regions adjacent to the GBs with Li-poor  $\text{LiAl}_5\text{O}_8$  precipitates and porosity in the grain interiors, forming a stable microstructure capable of accommodating significant radiation damage without deleterious dimensional changes.

### 5.3. Simulations of hydrogen retention in damaged W

Fuel retention in plasma facing W components is a critical phenomenon affecting the mechanical integrity and radiological safety of fusion reactors. It is known that H can become trapped in small defect clusters, internal surfaces, dislocations, and/or impurities, and so it is common practice to seed W subsurfaces with irradiation defects in an attempt to precondition the system to absorb H. The amount of H can later be tallied by performing careful thermal desorption tests where released temperature peaks are mapped to specific binding energies of H to defect clusters and/or microstructural features of the material. While this provides useful information about the potential trapping processes, modelling can play an important role in elucidating the detailed microscopic mechanisms that lead to H retention in damaged W. During H exposure tests, one can study H absorption in materials (i) in the as-received condition, (ii) pre-irradiated with heavy ions, (iii) pre-implanted with He ions, or (iv) under concurrent irradiation/implantation and H exposure conditions. These scenarios can be captured using kinetic models of H penetration and trapping with spatial resolution [344]. A crucial requirement of these models is that they be parameterised using detailed DFT calculations of H-vacancy cluster energetics [345, 346]. This enables models to directly identify the dominant defect clusters responsible for H trapping in each temperature range.

In a recent study [344], spatially-resolved SCD simulations have been instrumental in interpreting H thermal desorption spectra of pre-damaged W specimens. As Figure 22 shows, the emission spectra are characterised by distinct regions: (i) a low temperature regime where dislocations and GBs are the main contributors to the release peaks; (ii) an intermediate regime governed by H release from small overpressurized clusters with multiple overlapping peaks, and (iii) a high temperature regime defined by clean isolated emission peaks from large underpressurized bubbles. These three temperature intervals are seen to largely correlate with the depth at which the clusters are found. A key finding of these simulations is the pivotal role played by the super abundant vacancy mechanism, which is fundamentally analogous to the ‘trap-mutation’ mechanism in He-implanted materials [347, 348]. This mechanism allows H-vacancy clusters to grow ‘on demand’ by emitting a self-interstitial atom and increasing their capacity to further absorb H atoms.

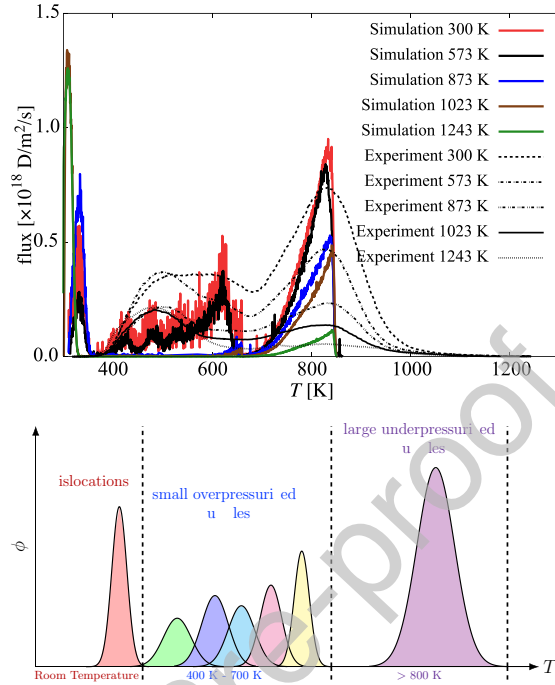


Figure 22: Results of spatially-resolved SCD simulations of H exposure and trapping of 3.4-MeV Cu ion implanted W. The top image compares the calculated and experimental thermal desorption spectra, while the bottom one is a cartoon with the interpretation of the different cluster species participating in the release peaks. From [344].

## 6. Summary and outlook

A fusion materials workshop arranged under the auspices of the IEA was held in June 2019. Twenty-eight leading researchers from the US, Europe and Japan discussed the current state of the art and ongoing challenges in the multiscale modelling of materials for nuclear fusion applications, as well as highlighting the latest advances in experimental characterisation techniques, which are helping to provide improved data to modelling. This paper has reviewed the key topical areas discussed, including modelling of irradiation-induced defect production at the atomic scale, larger length and timescale modelling of defect evolution and interactions, the importance of gas behaviour in irradiated materials, and how to predict and interpret material behaviour based on multiscale modelling approaches.

Atomistic simulations, including MD and DFT, have struggled to reach the scales (time, length, statistics) needed to provide meaningful engineering inputs. However, the situation is improving; computing resourcing and power has now reached the point where it is possible to produce statistically significant databases of damage cascade simulations with MD [147, 165], particularly for

1505 pure fusion-material systems such as W and Fe, enabling, for example, analysis  
of the cascade overlap behaviour that will characterise evolution at higher doses  
under fusion conditions in materials with pre-existing defects [349, 350] or to  
study sub-cascade formation [28]. Recent simulations [32] show, for example,  
1510 that interconnected sub-cascades in W allow large defect structures to form,  
indicating that the assumption, perhaps valid for Fe, that high-energy damage  
events can be modeled using random distributions of the defects produced in  
constituent sub-cascades might not be valid in W.

It is also possible, using an approach involving MD but avoiding direct cas-  
cade simulations, to model microstructures corresponding to very high exposure  
1515 to irradiation approaching a reactor-relevant dose of 20 dpa [46]. Experimental  
observations of radiation damage in heavily irradiated W [45] show good agree-  
ment with predictions derived from simulations based on [46] and also exhibit a  
surprisingly significant effect of self-stress on the microstructure developing as  
a result of accumulation of defects during irradiation.

1520 Large-scale atomistic simulations can also start to treat surface phenomena  
and bulk evolution together [54, 45], which is needed to understand stress/strain  
evolution and hence engineering performance of materials under reactor condi-  
tions. Experiments have difficulty probing these characteristics, but acceleration  
methods and hybrid techniques are beginning to quantify some of the material  
1525 degradation phenomena and shed light on the behaviour hidden from experi-  
ments.

In parallel, AI methods are being employed to calculate force fields [351]  
and offer a bridge between accurate, but slow, quantum-based *ab initio* DFT  
methods and the less-accurate, but fast, classical MD. While acknowledging that  
1530 these approaches cannot replace full understanding of the physics, statistical  
machine-learning (ML) methods trained on carefully obtained physical data can  
be of great help when the traditional methods are limited or/and their direct  
application is hindered by such factors as high computational cost [351, 3]. The  
synergy of ML approaches with traditional methods opens many avenues in  
1535 material science, particularly where ML can be used to bridge scales rapidly [3].  
Reinforcing materials modelling with ML methods gives an access to the crucial  
physical properties, such as accurate total energy and MD trajectories [70, 75,  
352, 95, 104, 105], accurate defects characterization based on distortion score  
[83], configuration transition events and probabilities for use in Monte Carlo  
1540 methods [353], free energy sampling with *ab initio* accuracy [78, 77], or even  
investigation of continuum domains of materials science [354].

At the same time, dynamic simulations are now becoming possible with ab-  
initio DFT methods (e.g. to calculate threshold displacement energies [10]),  
highlighting discrepancies with results based on semi-classical models, although  
1545 careful acceleration methods [14], where the greatest precision (largest number  
of valence electrons) is only used in the most active regions of the cascade, are  
part of ongoing development to reduce the high computational cost required to  
treat larger number of atoms in quantum MD.

The availability of real statistics from MD simulations [147] or otherwise is  
1550 also allowing for new interpretations of experiments by helping to understand

the damage populations in irradiated materials; for example quantifying the defects missed in experimental counting (due to resolution limits, defect shadowing, invisibility, etc.) [166, 165]. This, combined with improved and automatic experimental counting approaches is allowing more direct quantitative comparisons between simulation methods, such as kMC, and TEM observations [167], and the improved understanding of how small defect clusters can dominate microstructure in irradiated materials has led to development of new theories to explain how swelling occurs in these circumstances. This subject might not have received attention if the traditional view of large-defect dominated microstructure had persisted, illustrating the power of combining modelling with experimental analysis; this will likely prompt future combined experimental-simulation research collaborations.

kMC [119, 266, 267, 154], along with rate theory-based approaches [121, 269], is also being used to explore evolution beyond the the immediate cascade collapse timescales (several picoseconds) that MD cannot currently reach with regularity. These techniques are able to reach the time and length scales of irradiation experiments and can begin to provide understanding of the defect evolution behaviour that will occur in the harsh neutron irradiation fields of fusion reactors [155].

However, there are still complexities of real materials that modelling has only partially addressed so far, particularly the role of impurities in trapping or otherwise altering the behaviour of dislocations and other defects and the corresponding influence on behaviour [181, 182, 185, 193, 197]; such as the effect of C on grain boundary strength [355] or as a contaminant influencing the interpretation of ion-irradiation experiments [356]. Object and Adaptive kMC (OkMC and AkMC) models are able to treat impurities, solutes and alloys approximately in several scenarios (e.g. C in Fe [113, 171] and W [172, 173]), but there is still modelling refinement needed. Direct comparison between kMC simulations of non-pure systems (e.g. alloys) and advanced experimental techniques such as APT that allow direct observation of clustering is an ongoing challenge, despite recent examples for clustering in RPV steels where modelling has evolved from early qualitative agreement [357] to recent quantitative performance [137]. Recent improvements in the cluster analysis approaches for APT data are helping to define where modelling effort should be directed in the future. Also, it is not yet clear how to seamlessly relate kMC simulations, typically neglecting effects of elastic deformation and the associated stresses, to dislocation dynamics and to the dislocation-based treatment of plasticity of irradiated materials.

Meanwhile, new multiscale modelling frameworks combining several of the advanced techniques, such as MD with Monte-Carlo methods (e.g. [262]), or DFT with mean-field theory (e.g. stochastic cluster dynamics [273]), and combined with modern computational approaches such as machine learning, are allowing simulations to predict changes in material behaviour and can bridge surface phenomena to bulk effects. For example they are helping to model the limitations of W as a plasma-facing material and thus to design advanced W solutions such as solute-stabilized W-alloys [287]. However, these integrated approaches are limited by the loss of atomistic details through the coarse-graining

and homogenization required to reach the reactor-relevant time and length scales [3]; a method to map back to full atomistic structures remains an outstanding challenge, which, if solved, would allow for the possibility of matching irradiation-induced damage to basic mechanisms driving material performance.

An ongoing area of innovation concerns modelling of alloys, both in the low impurity concentration limit (e.g. in W [172, 173, 174, 175]), which is more representative of real engineering materials, but also at higher “additive” concentrations characteristic of alloys engineered to solve specific issues in the nuclear environment (e.g. so-called W smart alloys designed to prevent  $\text{WO}_3$  formation [296]).

Various advanced experimental characterisation techniques are helping to accelerate model validation by reducing the spatial and temporal gap between simulations/models and experiments, including X-ray diffraction imaging [358, 300] and laser-based measurements [317, 316, 359].

The behaviour of gas, helium and hydrogen, in structural fusion materials, either created directly in structural materials due to reactions with high energy neutrons or originating from exhaust gases of the fusion plasma impinging on reactor walls, is a key and unique (to fusion) issue [4] where understanding is still developing. Recent modelling effort has looked at the influence of H on the formation of He bubbles, where experimental data has so far proved inconclusive (although it generally suggests that H plays a role) [360]. Atomistic simulations (MD and ab-initio) appear to be consistent with the idea that H is trapped within He bubbles [329, 330, 331]. Helium is strongly trapped at grain boundaries and can dramatically change material performance. However, both the mechanism of bubble formation at GBs, and the impact they have on, for example, deformation and fracture behaviour is still a new research area, with many opportunities for modelling to contribute in the future.

There is an open question as to whether H enhances [361] or reduces [324] swelling in steels. Similarly, helium can both increase and decrease swelling depending on the density of pre-existing or irradiation-induced cavities [362].

Even in mature industries, such as tritium production in fission reactors for strategic stockpiles, there are still ongoing questions that require attention, such as how hydrogen isotopes propagate through materials exposed to irradiation and therefore containing defects [340, 341]. In fusion, tritium fuel propagation and retention in materials is even more critical because it can severely effect both material degradation and reactor efficiency and will impact on radiological safety. This important issue is receiving significant experimental attention, for example via thermal desorption spectroscopy (TDS) (e.g. [363, 364]), and innovative modelling approaches are being developed such as spatially-resolved SCD [344].

As the foregoing illustrates, the field of modelling applied to the problem of materials for nuclear fusion applications is active and multi-faceted, and involves experts from around the world. Amazing progress has been made, particularly in using modelling to interpret experiments, but there is still a long way to go to make modelling the truly predictive tool that is required for the next phase of fusion development; that of engineering realization of commercial and

near-commercial fusion power plants.

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**Declaration of interests**

☒ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

☐ The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

## CRediT author statement

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