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Title: Effects of Diabetes on Hippocampal Neurogenesis:
Links to Cognition and Depression

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Highlights

- Comorbidity between diabetes with cognitive impairment and depression is common.
- Hippocampal neurogenesis and behavior are impaired in rodent models of diabetes.
- Treatments for diabetes can impact both hippocampal neurogenesis and behavior.
- Recommendations for translational models of neurogenesis and behavior in diabetes.

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4 Submitted to Neuroscience and Biobehavioral Reviews
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9 **Effects of Diabetes on Hippocampal Neurogenesis: Links to Cognition and Depression**
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Abstract

Diabetes often leads to a number of complications involving brain function, including cognitive decline and depression. In addition, depression is a risk factor for developing diabetes. A loss of hippocampal neuroplasticity, which impairs the ability of the brain to adapt and reorganize key behavioral and emotional functions, provides a framework for understanding this reciprocal relationship. The effects of diabetes on brain and behavioral functions in experimental models of type 1 and type 2 diabetes are reviewed, with a focus on the negative impact of impaired hippocampal neurogenesis, dendritic remodeling and increased apoptosis. Mechanisms shown to regulate neuroplasticity and behavior in diabetes models, including stress hormones, neurotransmitters, neurotrophins, inflammation and aging, are integrated within this framework. Pathological changes in hippocampal function can contribute to the brain symptoms of diabetes-associated complications by failing to regulate the hypothalamic-pituitary-axis, maintain learning and memory and govern emotional expression. Further characterization of alterations in neuroplasticity along with glycemic control will facilitate the development and evaluation of pharmacological interventions that could successfully prevent and/or reverse the detrimental effects of diabetes on brain and behavior.

Keywords: diabetes, hippocampus, neurogenesis, neuroplasticity, depression, cognition

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1. Introduction

Diabetes is a chronic metabolic disorder characterized by abnormally high plasma glucose levels, also known as hyperglycemia. According to the World Health Organization (WHO, 2011), over 220 million people around the world have diabetes. In the United States, approximately 25.8 million children and adults have diabetes, a statistic that represents 8.3% of the population (ADA, 2011). Moreover, the number of people suffering from diabetes has been estimated to likely double by the year 2030 due to urbanization, obesity and aging (Wild et al., 2004).

Two main forms of diabetes exist in humans: diabetes mellitus type 1 (T1D) and diabetes mellitus type 2 (T2D). The two classifications differ based on the etiology of the hyperglycemia and the person's response to insulin. T1D, a disease characterized by insulin deficiency, results from autoimmune destruction of the insulin-producing pancreatic beta cells. With onset typically during childhood or early adulthood, T1D is fatal in the absence of insulin replacement therapy. T1D represents approximately 5-10% of all diagnosed cases of diabetes. T2D, on the other hand, accounts for 90-95% of cases (NDIC, 2011) and is characterized by decreased insulin sensitivity in peripheral tissues and resultant perturbation of insulin secretion. This derangement is commonly associated with other metabolic disturbances like hypercholesterolemia, hypertension, and obesity.

Diabetes can lead to a number of secondary complications affecting multiple organs in the body including the eyes, kidney, heart, and brain. The most common diabetic brain complications include cognitive decline and depression. The incidence of cognitive decline, measured by behavioral testing, may be as high as 40% in people with diabetes (Dejgaard et al., 1991), and as many as 39% of a sample of people with diabetes in one study indicated having a

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4 subjective feeling of cognitive decline (Brismar et al., 2007). A systematic review of longitudinal
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6 studies reported an overall 50-100% increase in the incidence of dementia in people with
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8 diabetes (Biessels et al., 2006). Two more recent meta-analyses also concluded that diabetes is
9
10 associated with lower cognitive performance and increased risk for dementia (Gaudieri et al.,
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12 2008; Lu et al., 2009). A recent review reported impaired cognition with effect sizes of 0.3-0.8
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14 SD units in people with T1D compared to non-diabetic controls and 0.25-0.5 SD units in people
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16 with T2D compared to non-diabetic controls (McCrimmon et al., 2012).
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21 In addition to symptoms of cognitive decline, both T1D and T2D are associated with a
22
23 higher prevalence of depression. A recent review reported that the prevalence of depression in
24
25 T1D was 12% versus 3.2% in the non-diabetic population (range 5.8-43.3% versus 2.7-11.4%).
26
27 Similarly, the prevalence of depression in T2D was 19.1% versus 10.7% in the general
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29 population (range 6.5-33% versus 3.8-19.4%) (Roy and Lloyd, 2012). The relationship between
30
31 diabetes and depression is reciprocal as depression is also considered a risk factor for the
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33 development of diabetes (Renn et al., 2011). Comorbid depression and diabetes is associated
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35 with poor self-care, lack of exercise, and nonadherence to dietary or medication routines, leading
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37 to inadequate glycemic control. The treatment of depressive symptoms alone may produce
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39 benefits but, nevertheless, still not necessarily improve glycemic control (Pettrak and Herpertz,
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41 2009).
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48 Although the mechanisms responsible for producing the high rates of depression and
49
50 dementia in diabetes are not well understood, the overlap of numerous physiological and non-
51
52 physiological factors likely account for the pathogenesis of their comorbidity (Ismail, 2010).
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54 Non-physiological factors, such as sedentary lifestyle, diet, lack of self-care and history of
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56 substance abuse, contribute to the development of diabetes. There is also an emotional burden
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4 related to managing diabetes that is stressful for many patients. Insulin resistance in the brain, in
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6 addition to the periphery, has emerged as a potential physiological link for T2D with both
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8 depression and dementia (Silva et al., 2012). Inadequate signaling from the absence of insulin
9
10 may produce a similar set of physiological disturbances in T1D (Korczak et al., 2011). Diabetes
11
12 and depression are also associated with hyperactivity of the hypothalamic-pituitary-adrenal
13
14 (HPA) axis (Champaneri et al., 2010). Whether HPA axis hyperactivity in diabetes might cause
15
16 depression, or exacerbate certain depressive behaviors is unclear, but abnormal HPA responses
17
18 have been proposed as a biomarker that is ameliorated by antidepressant treatment in patients
19
20 that recover from depression (Ising et al., 2007). Likewise, increased inflammation has been
21
22 associated with the pathogenesis of both diabetes and depression (Haroon et al., 2012; Osborn
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24 and Olefsky, 2012; Stuart and Baune, 2012). Diabetes, and the effects of insulin, have been
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26 associated with alterations of the same neurotransmitters (dopamine and serotonin),
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28 neurotrophins (brain derived neurotrophic factor (BDNF)) and cell signaling mechanisms (*alpha*
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30 serine-threonine protein kinase (Akt); insulin growth factor-1 (IGF-1)) that have been implicated
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32 in depression (Duman and Monteggia, 2006) and the effects of antidepressants (Hoshaw et al.,
33
34 2005). Even though the common occurrence of depression and diabetes is expected from many
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36 overlapping predisposing physiological and non-physiological factors, it is still unclear which
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38 mechanisms are most important or which patients will develop comorbid complications.
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48 Evidence from animal studies can help elucidate mechanisms responsible for depression
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50 in humans with diabetes leading to identifying biomarkers and new treatments. However,
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52 studying models of depression in animals differs greatly from studying clinical depression in
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54 humans. The diagnosis of depression in humans requires meeting DSMIV-TR criteria for
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56 depression, which includes subjective report of feeling sad and/or decreased interested in
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4 pleasurable activities. Animals, on the other hand, cannot be diagnosed with depression. Instead,
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6 scientists can only measure symptoms or behaviors that represent animal analogs or from which
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8 they can infer similarity to symptoms of depression in humans.
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11 The key to understanding the link between diabetes with cognitive deficits and mood
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13 disorders may lie in the process of neuroplasticity, or the structural remodeling of the brain after
14
15 exposure to stress or disease. Prolonged exposure to stress has been shown to lead to a series of
16
17 neuroplastic changes in brain regions that are especially sensitive to stress, such as the
18
19 hippocampus. Structural remodeling engages neuroplasticity in response to environment, diet,
20
21 immune and endocrine stimuli. Neuroplasticity is protective and initially promotes adaptations
22
23 but when adaptive changes become prolonged, they produce a continuous burden that could lead
24
25 to disease vulnerability called allostatic overload (McEwen, 2006). Morphologically, stress
26
27 reduces the expression of dendritic spines and synaptic proteins and increases markers of
28
29 apoptosis in the hippocampus. Electrophysiological evidence of diminished hippocampal
30
31 function is obtained from studies showing reduced or absent long-term potentiation, a putative
32
33 model of learning and synaptic plasticity. Clinically, reduced volume of the hippocampus from
34
35 structural magnetic resonance imaging studies in depression and diabetes has provided evidence
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37 of similar deteriorating brain morphology as shown in animals exposed to stress (Eker and
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39 Gonul, 2010; McIntyre et al., 2010; Tata and Anderson, 2010).
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48 An important function of the dentate gyrus of the hippocampus is in neurogenesis. The
49
50 dentate gyrus is one of two established neurogenic zones in the brain, in addition to the
51
52 subventricular zone, that continuously generates new neuronal cells throughout life (Leuner and
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54 Gould, 2010). Hippocampal neurogenesis is diminished by exposure to environmental stress,
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56 HPA axis hyperactivity and increased inflammation (Schoenfeld and Gould, 2012; Song and
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4 Wang, 2011; Zunszain et al., 2011). On the other hand, chronic exposure to antidepressant
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6 treatments increases hippocampal neurogenesis and may be responsible for the emergence of
7
8 abnormal emotional behaviors in animals exposed to models of depression (Airan et al., 2007;
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10 Dranovsky and Hen, 2006; Jayatissa et al., 2008). Changes in neurogenesis alter a number of key
11
12 functions of the hippocampus, such as learning and memory, affective expression and regulation
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14 of the HPA axis (Koehl and Abrous, 2011; Snyder et al., 2011). In the non-diabetic literature,
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16 extensive evidence supports the role of hippocampal neurogenesis in various types of learning
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18 and memory, including pattern separation (Bekinschtein et al., 2011; Clelland et al., 2009) and
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20 spatial memory (Goodman et al., 2010; Snyder et al., 2005). Further, “effortful learning” as well
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22 as learning spaced over a longer period of time improves memory as well as increases the
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24 survival of new hippocampal neurons (Shors et al., 2012; Sisti et al., 2007).
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31 Hippocampal neurogenesis and neuroplasticity appears to be sensitive to many
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33 pathogenic and treatment factors that are associated with the comorbidity between diabetes and
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35 depression. A growing preclinical literature provides ample evidence that diabetes negatively
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37 affects the morphological integrity of the hippocampus and that reduced hippocampal
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39 neurogenesis, in concert with deficits of other forms of neuroplasticity, may contribute to
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41 comorbid cognitive and mood symptoms in diabetes. The goal of this review is to: 1) integrate
42
43 existing information about the effects of diabetes on hippocampal neurogenesis and how altered
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45 neurogenesis may affect behavior, 2) review the effects of treatments in rodent models of
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47 diabetes that impact both hippocampal neurogenesis and behavioral outcomes, and 3) determine
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49 the necessary steps to move forward towards translation of the basic science research into
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51 humans.
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2. Search methods

The keywords “diabet* neurogen*” were searched in PUBMED, MEDLINE, and EMBASE databases with the following limits: English language and published in the last 10 years (2001 to 2012). Article titles and abstracts were screened and potentially relevant articles were retrieved and evaluated. This review included published studies that examined the effect of diabetes on hippocampal neurogenesis (see Table 1), and it summarizes and synthesizes the highlights of selected articles. Other forms of hippocampal neuroplasticity (i.e. apoptosis, dendritic branching, long term potentiation) and translation of research into humans are also briefly discussed. Most of the behavioral studies reviewed involved the evaluation of hippocampal neurogenesis in a model of experimental diabetes.

3. An overview of neurogenesis and measurement methods

Just a few years ago, neurogenesis was believed to occur only in the developing mammalian brain. However, with advances in cell labeling techniques, it was confirmed and accepted that neurogenesis is maintained and continues throughout adulthood in high abundance in specific brain regions, such as the hippocampus and the subventricular zone serving the olfactory bulbs (Elder et al., 2006; Taupin, 2006). Reports of neurogenesis in other areas of the brain exist, but the presence of constitutive and normally-occurring adult neurogenesis in brain regions other than the hippocampus and olfactory bulb is not well established (Balu and Lucki, 2009). Due to the hypothesized role of the hippocampus in diabetes-related complications, like cognitive decline and depression, this paper will focus specifically on hippocampal neurogenesis rather than neurogenesis in the olfactory bulb.

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4 The process of neurogenesis consists of proliferation of new cells from progenitors,
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6 differentiation into astrocytes, oligodendrocytes or neurons, and survival and incorporation of
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8 newborn cells into target regions. Newly proliferated cells were typically measured using
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10 incorporation of bromodeoxyuridine (BrdU) or the Ki-67 antigen and results between the two
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12 methods have been comparable (Beauquis et al., 2006; Stranahan et al., 2008). Many studies
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14 measured rates of differentiation using double-labeling techniques with a protein specific for
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16 neurons or glial cells to confirm that the newborn cells possessed a particular phenotype. In the
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18 hippocampus, approximately 80% of BrdU-labeled proliferating cells will mature into neurons
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20 (Malberg et al., 2000a). However, diabetic models can potentially alter the survival of the multi-
21
22 potent quiescent progenitor cells or change the proportion of new cells that differentiate into
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24 neurons. This paper will review the effect of various diabetes models on the component
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26 processes of hippocampal neurogenesis, cell proliferation, differentiation, and survival.
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36 **4. Effects of diabetes on hippocampal neurogenesis and behavior**

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38 Since T1D and T2D have similar but distinct metabolic differences that could contribute
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40 to altered hippocampal neurogenesis and behavior in different ways, animal studies that model
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42 each type of diabetes will be discussed separately. First reviewed will be the literature examining
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44 the effects of experimental T1D and this will be followed by studies on the effects of
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46 experimental T2D. For each category or type of diabetes, the paper will discuss topics in the
47
48 following order 1) effects of diabetes (either T1D or T2D) on hippocampal neurogenesis, 2)
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50 resultant behavioral changes due to altered neurogenesis (if any), and 3) potential treatments that
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52 can prevent alterations in either neurogenesis and/or behavior.
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5. Experimental models of T1D

5.1. Hippocampal neurogenesis

Over half of the rodent studies reviewed used a T1D model to study the effects of diabetes on the hippocampus (see Table 1). Investigators have studied experimental T1D using rodent models involving either administration of streptozotocin (STZ), a toxin that damages the pancreas, or the non-obese diabetic (NOD) mouse model, a genetic model that develops T1D.

5.1.1. STZ-induced diabetes

Most studies used the pharmacological compound, STZ, to induce experimental T1D in rodents. STZ is a glucosamine-nitrosourea compound that destroys the insulin secreting pancreatic beta cells by uptake through the highly expressed glucose transporter (GLUT)-2 and causes toxicity through deoxyribonucleic acid methylation (Lenzen, 2008). Pancreatic cell death causes chronic hypoinsulinemia and subsequent hyperglycemia and increased corticosterone levels develop within 2 weeks of treatment. STZ-induced diabetes consistently decreased hippocampal cell proliferation in both mice (Balu et al., 2009; Beauquis et al., 2006; Revsin et al., 2009; Saravia et al., 2006a; Saravia et al., 2004; Saravia et al., 2006b) and rats (Jackson-Guilford et al., 2000; Kang et al., 2006; Piazza et al., 2011; Stranahan et al., 2008; Wang et al., 2009a; Wang et al., 2009b; Zhang et al., 2008a), despite many procedural differences noted across studies. For instance, the dose of STZ used in the reviewed studies varied between 170-200 mg/kg, intraperitoneal (i.p.) for mice and 45-70 mg/kg, i.p. or intravenous for rats. The duration of diabetes also varied from a few days (Jackson-Guilford et al., 2000) to as long as 8

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4 weeks (Wang et al., 2009a). Yet, despite these differences, STZ-induced diabetes consistently
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6 decreased hippocampal cell proliferation.
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9 In terms of early neuronal differentiation, the earliest time point that investigators could
10 detect differences between the STZ-induced diabetic group and control group was at seven days
11 post BrdU injection (Beauquis et al., 2006; Zhang et al., 2008b). Saravia et al. measured co-
12 localization of BrdU and anti- β -III tubulin two hours post BrdU and found no differences
13 between the STZ and control groups (Saravia et al., 2006a; Saravia et al., 2004). At seven days
14 post BrdU injection, Zhang et al. reported that STZ resulted in a 47% decrease in immature
15 neuronal differentiation (cells double labeled with BrdU and doublecortin) (Zhang et al., 2008b).
16 Also at seven days post initial BrdU injection (animals received BrdU, 65 ug/g body weight,
17 once daily for seven days), Beauquis et al. (Beauquis et al., 2006) found the same result as Zhang
18 et al. (Zhang et al., 2008b) using a different neuronal marker, anti- β -III tubulin. At 10 days post
19 BrdU injection, STZ continued to significantly decrease the number of cells co-staining with
20 BrdU and anti- β -III tubulin (control versus STZ, $p < 0.01$). In summary, STZ-induced diabetes
21 resulted in lower numbers of immature neurons compared to control groups, detectable as early
22 as seven days post BrdU injection (Beauquis et al., 2006; Zhang et al., 2008b).
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43 The proportion of cells that matured into neurons after STZ-induced diabetes in rats
44 either decreased (Wang et al., 2009a; Zhang et al., 2008b) or did not change (Stranahan et al.,
45 2008). Newly proliferated cells in diabetic rats showed a reduced rate of survival, measured by
46 co-labeling of markers for mature neurons calbindin (Zhang et al., 2008b) or NeuN (Wang et al.,
47 2009a) with BrdU at 21 days post injection. However, another group found no difference in
48 neuronal survival despite using the same NeuN marker and time frame (Stranahan et al., 2008).
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4 Some studies also measured the co-localization of BrdU with glial fibrillary acidic
5 protein (GFAP), a marker for astrocytes. The majority of studies reported no effect of STZ-
6 induced diabetes on astrocyte proliferation in the hippocampus (Beauquis et al., 2006; Stranahan
7 et al., 2008; Wang et al., 2009a), while other studies reported increased levels of proliferation
8 (Revsin et al., 2009; Saravia et al., 2006a). In summary, STZ-induced diabetes consistently
9 decreased hippocampal cell proliferation and survival, while some investigators found that this
10 T1D model also negatively affected neuronal differentiation.
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23 *5.1.2. NOD mouse model of diabetes*

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26 NOD mice spontaneously develop T1D by 12-15 weeks of age through autoimmune
27 destruction of the pancreatic beta cells (Giarratana et al., 2007). Unlike humans, the NOD mouse
28 appears susceptible to other types of autoimmune disorders and NOD females develop
29 autoimmune diabetes at higher rates than males. Despite these differences from the human
30 condition, the NOD mouse simulates human T1D better than any other mouse model (Giarratana
31 et al., 2007).
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41 Female NOD mice were studied for hippocampal neurogenesis before and after the
42 development of diabetes and in comparison to nondiabetic C57BL/6 and BALB/c mice as
43 control strains (Beauquis et al., 2008). At time points before diabetes (5 and 8 weeks of age) and
44 after development of diabetes (12 weeks of age), NOD mice showed decreased hippocampal cell
45 proliferation compared to the C57BL/6 and BALB/c control strains. The survival of cells was
46 measured when labeled with BrdU injection at 12 weeks of age and harvested 3 weeks later.
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4 neuronal survival than NOD mice that became diabetic by 15 weeks of age, but the proportion of
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6 cells that became neurons did not differ between the non-diabetic and diabetic NOD mouse
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8 groups (Beauquis et al., 2008). This suggests that the NOD mouse has a pre-existing disposition
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10 towards decreased hippocampal neurogenesis long before conversion into a diabetic state and
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12 mice that eventually manifest diabetes will experience even greater reductions of neurogenesis.
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14 Even though the NOD female mouse has elevated levels of estrogen in the blood (Gowri et al.,
15
16 2007), and estrogen increases hippocampal neurogenesis (Saravia et al., 2006a; Saravia et al.,
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18 2004), the NOD female mice continues to show decreased hippocampal neurogenesis at all
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20 stages, pre-diabetes and diabetes. Regardless of the model, experimental T1D, thus far,
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22 consistently decreases both hippocampal cell proliferation and survival.
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31 *5.2. Behavior changes associated with decreased neurogenesis in diabetic models*

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33 To further elucidate the functional significance of hippocampal neurogenesis, some
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35 studies also examined the consequences of decreased hippocampal neurogenesis in STZ-induced
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37 diabetic mice using behavioral tests for cognition or depressive behavior. Please refer to Table 2
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39 for a brief summary of all behavioral tests used in the studies reviewed in this paper.
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45 *5.2.1. Behavioral tests of cognition*

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47 Several studies have suggested that decreased hippocampal neurogenesis after STZ-
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49 induced diabetes is accompanied by deficits in behavioral tasks involving spatial learning and
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51 memory, such as performance in the Morris water maze and novel object recognition (Piazza et
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53 al., 2011; Revsin et al., 2009; Stranahan et al., 2008). As these cognitive tasks are mediated by
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4 the hippocampus, they were expected to be the most easily disrupted behaviors by decreased
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6 hippocampal neurogenesis.
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9 Significant deficits of learning in the Morris Water Maze were reported in diabetic rats
10 (Stranahan et al., 2008). Furthermore, diabetic rats showed learning deficits only in the
11 hippocampal dependent version of the Morris water maze test. In a non-hippocampal dependent
12 version of the maze, using a visible instead of submerged platform, diabetic rats displayed
13
14 similar escape latencies as controls. In the novel object recognition test, diabetic rats spent less
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16 time exploring the novel object, supporting a deficit of memory processing (Stranahan et al.,
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18 2008).
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26 Decreased hippocampal cell proliferation in diabetic mice also resulted in cognitive
27 deficits in the novel object-placement recognition task (Revsin et al., 2009). Although the novel
28 object-placement recognition task and Morris water maze both assess spatial memory, mice did
29 not show deficits in the Morris water maze within the same time frame of when mice began to
30 show deficits in the novel object-placement recognition task (Revsin et al., 2009). These
31 conflicting data were reconciled by the explanation that the novel object-placement recognition
32 test can detect mild cognitive disturbances while deficits in the Morris water maze might require
33 more severe hippocampal dysfunction (Dere et al., 2005; Li et al., 2004; Revsin et al., 2009).
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46 Although not a task involving the hippocampus exclusively, mice were also tested for
47 associative learning and memory in a conditioned active avoidance test. This test measures
48 whether a mouse can learn that a particular cue signals for them to relocate to a different
49 compartment in order to avoid negative stimuli (Alvarez et al., 2009). Twenty days after diabetes
50 induction and subsequent decrease in neurogenesis, STZ-diabetic mice showed learning and
51 memory deficits in the conditioned active avoidance test when compared to control mice
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4 (Alvarez et al., 2009). Taken together, it appears that decreased neurogenesis in T1D rodents
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6 may contribute to impaired learning and memory based on the results from a number of
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8 cognitive tests.
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10 11 12 13 14 *5.2.2. Behavioral tests associated with depression*

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16 Since not all diabetic patients develop depression, it would be important to identify
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18 pathogenic mechanisms responsible for triggering depression in diabetic patients. In order to
19
20 develop a rodent model of predisposition to depression, daily sucrose intake was evaluated in a
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22 large group of rats as a measure of anhedonia, and groups were divided into low and high levels
23
24 of anhedonia prior to the induction of diabetes by STZ (Wang et al., 2009a). Anhedonia, a core
25
26 symptom of depression in humans, refers to the inability to experience pleasure
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28 (AmericanPsychiatricAssociation, 2000) and reduced preference and/or consumption of
29
30 sucrose is frequently used to measure anhedonia in rodents (Lucki, 2010; Pollak et al., 2010).
31
32 After induction of diabetes, the investigators were able to confirm that variations in sucrose
33
34 intake predicted depressive behavior measured by increased immobility in the rat forced swim
35
36 test. They found that diabetes with and without depressive behavior led to additional
37
38 physiological differences in hippocampal neurogenesis (Wang et al., 2009a). STZ reduced
39
40 hippocampal cell proliferation by 56% in rats with diabetes plus depressive behavior versus 27%
41
42 in rats with diabetes without depressive behavior. Neuronal differentiation was also reduced
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44 more in rats with diabetes plus depressive behavior, by 38% versus 21%, respectively. Finally,
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46 cell survival was reduced more in rats with diabetes plus depressive behavior by 44 versus 15%,
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48 respectively. This may indicate that depressive behavior only manifests when hippocampal
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50 neurogenesis levels fall below a certain threshold. Hence, diabetes may increase vulnerability to
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4 depressive symptoms by lowering hippocampal neurogenesis, but only a certain portion of the
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6 group will have hippocampal neurogenesis levels below a certain threshold; and it is this
7
8 subgroup that will develop symptoms of depressive behavior. A model like this may explain why
9
10 not everyone who has diabetes develops depression, even though depression is more prevalent in
11
12 the diabetic population (Adili et al., 2006; Astle, 2007; Hood et al., 2006; Iosifescu, 2007;
13
14 Musselman et al., 2003).

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19 Another study examined whether restoration of glycemic control with insulin treatment
20
21 was able to reverse the changes in hippocampal neurogenesis and affective behavior induced by
22
23 STZ-induced diabetes in C57BL/6 mice (Ho et al., 2011). STZ-induced diabetes caused
24
25 depressive-like behavior by increasing immobility in the tail suspension test, decreased the
26
27 efficacy of rewarding intracranial self-stimulation, decreased hippocampal cell proliferation and
28
29 increased corticosterone levels. Insulin treatment, given 1-4 weeks after diabetes, reduced
30
31 hyperglycemia, reduced immobility in the tail suspension test, reversed the reduced thresholds of
32
33 self-stimulation behavior, and returned hippocampal cell proliferation and corticosterone to
34
35 levels comparable to the control group. In contrast, reductions of locomotor activity and BDNF
36
37 levels in the frontal cortex were not reversed by insulin treatment. Thus, this study showed that
38
39 some phenotypes related to depressive behavior can be potentially reversed by insulin therapy
40
41 initiated shortly after the induction of diabetes (Ho et al., 2011). In contrast, insulin treatment
42
43 was unable to restore deficits in water maze learning and hippocampal LTP in rats when initiated
44
45 10 weeks after STZ induction (Biessels et al., 1998). Since diabetes may be incompletely
46
47 controlled in different patients, whether insulin treatment would reverse the effects on depressive
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49 or cognitive behaviors after exposure to long periods of untreated diabetes is a practical concern.
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4 *5.3. Treatments that prevent deficits in neurogenesis and behavior in T1D and possible*
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6 *contributing mechanisms*
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9 Potential pharmacological and non-pharmacological therapies for diabetic complications
10 may be identified or evaluated by studying the reversal of decreased hippocampal neuroplasticity
11 and pathology in rodent models of diabetes. These models could also examine the physiological
12 mechanisms through which these therapies work. This next section will discuss potential
13 therapeutic targets and possible mechanisms contributing to altered hippocampal neurogenesis
14 and behavior in STZ-induced diabetic rodents. These mechanisms are illustrated in Figure 1.
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26 *5.3.1. Fluoxetine*
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28 Few studies have evaluated the effects of antidepressant treatments on neuroplasticity-
29 related alterations in the hippocampus after STZ-induced diabetes, especially since
30 antidepressants can produce a modest increase of neurogenesis in rats without diabetes (Malberg
31 et al., 2000b). C57BL/6 mice given the serotonin reuptake inhibitor fluoxetine (10 mg/kg for 10
32 days) prevented the loss of neurogenesis in STZ-induced diabetic mice (Beauquis et al., 2006).
33 The diabetic mice demonstrated a 30% reduction of cell proliferation, but showed a complete
34 reversal of this deficit after fluoxetine whereas fluoxetine did not alter neurogenesis in the
35 control animals (Beauquis et al., 2006). In addition, chronic fluoxetine preferentially reversed the
36 loss of cells with a neuronal phenotype. Most of the literature indicated that antidepressants
37 increase hippocampal neurogenesis through mediation of BDNF and its receptor TrkB (Castren
38 and Rantamaki, 2010; Pinnock et al., 2010). However, these pharmacological effects of
39 antidepressants were measured in normal animals. The effects of fluoxetine may differ in
40 diabetic rats because increased circulating corticosterone and exogenously administered
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4 glucocorticoids potentiate the ability of fluoxetine to increase hippocampal neurogenesis
5
6 (Bilsland et al., 2006; Huang and Herbert, 2006). Increased corticosterone secretion is a clinical
7
8 feature of both diabetes and depression (Champaneri et al., 2010). Although consistent with the
9
10 general view that chronic antidepressant treatments increase hippocampal neurogenesis, it is
11
12 unclear whether the effects of antidepressants on hippocampal neurogenesis in diabetic animals
13
14 involve the same pharmacological mechanisms as normal animals. Nevertheless, distinguishing
15
16 the mechanisms would be important for understanding the use of antidepressant therapies in
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21 T1D.
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26 5.3.2. *Estrogen*

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28 Treatments not necessarily targeted at managing hyperglycemia can prevent deficits in
29
30 hippocampal neurogenesis and behavior caused by STZ-induced diabetes. Ten days of estrogen
31
32 treatment, initiated 10 days after STZ injection, brought hippocampal cell proliferation back to
33
34 control levels (Saravia et al., 2004; Saravia et al., 2006b). Estrogen exerted effects on the
35
36 hippocampus without affecting systemic glucose values. Hence, glucose regulation was not
37
38 responsible for the therapeutic effects of estrogen on hippocampal neurogenesis. As an
39
40 alternative mechanism, it was proposed that activation of estrogen receptors on stem cells in the
41
42 dentate gyrus activated insulin-like growth factor-1 signaling to promote neurogenesis (Garcia-
43
44 Segura et al., 2010), but insulin-like growth factor-1 was not measured in these studies (Saravia
45
46 et al., 2004; Saravia et al., 2006b). Estrogen has not been evaluated for the cognitive or
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48 depressive outcomes of diabetes in humans.
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58 5.3.3. *Adrenalectomy/CORT replacement and mifepristone*

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4 The HPA axis regulates the secretion of adrenal steroids, corticosterone (CORT) in
5
6 animals and cortisol in humans. Stranahan and colleagues showed that maintenance of normal
7
8 CORT levels, with low-dose CORT replacement after adrenalectomy, prevented altered
9
10 hippocampal neurogenesis and cognitive impairments in STZ-induced diabetic rats (Stranahan et
11
12 al., 2008). A more recent study showed that it is not merely the presence of CORT, but the
13
14 ability for CORT to act on glucocorticoid receptors, that drives hippocampal brain changes in
15
16 STZ-induced diabetic rodents (Revsin et al., 2009). Treatment of diabetic mice with
17
18 mifepristone, a glucocorticoid receptor antagonist as well as a progesterone receptor antagonist,
19
20 produced similar results as adrenalectomy with low-dose CORT replacement in rats. Just four
21
22 days of mifepristone treatment in diabetic mice prevented hippocampal cell proliferation changes
23
24 and prevented cognitive deficits in a hippocampal dependent task. Since mifepristone blocks
25
26 receptor activity, it did not affect systemic CORT levels. Thus, even with high circulating CORT
27
28 levels, mifepristone still prevented hippocampal dysfunction suggesting that glucocorticoid
29
30 receptor activation rather than the presence of CORT contributed to structural and functional
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32 hippocampal changes.
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43 *5.3.4. Aminoguanidine*

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45 The rate of cell proliferation ordinarily decreases in adults with increasing age (Lazarov
46
47 et al., 2010). Several studies have proposed that acceleration of the normal brain aging process in
48
49 diabetes could explain the observed decreases in hippocampal neurogenesis. For example,
50
51 decreased hippocampal neurogenesis in STZ-induced diabetic rats coincided with elevated serum
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53 levels of advanced glycation end products. Four weeks of aminoguanidine treatment, an
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55 advanced glycation end product formation inhibitor, lowered serum advanced glycation end
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4 product levels and prevented diabetes-related alterations in hippocampal cell proliferation,
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6 differentiation, and survival (Wang et al., 2009a). In addition, STZ-induced diabetic rodents also
7
8 had increased lipofuscin deposits (Alvarez et al., 2009), a morphological structural entity
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10 accumulating in the aging brain due to increased lipid peroxidation (Baquer et al., 2009). An
11
12 increase in these deposits signifies the presence of oxidative stress, abnormal protein turnover,
13
14 and accelerated aging (Alvarez et al., 2009; Saravia et al., 2007). Together, data from these
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16 studies show that accelerated brain aging in diabetes may be partly responsible for decreased
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18 hippocampal neurogenesis in diabetic rodents.
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26 5.3.5. *Environmental enrichment*

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28 Environmental enrichment (EE) has been shown to increase hippocampal neurogenesis
29
30 and can prevent the reduction of neurogenesis and diminished indication of structure and
31
32 function induced by experimental diabetes in mice and rats (Beauquis et al., 2010b; Piazza et al.,
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34 2011).
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38 Exposure to EE for 10 days, studied by housing groups of mice in a larger cage with toys,
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40 extra nesting, plastic houses, and tubes, increased hippocampal cell proliferation and cell
41
42 survival in mice made diabetic by STZ (Beauquis et al., 2010b). Further, EE increased the
43
44 complexity of dendritic branching of new neuronal cells and strengthened the surrounding
45
46 hippocampal vascular network in diabetic mice (Beauquis et al., 2010b). However, exposure to
47
48 EE also reduced glucose levels in the diabetic group, although glucose values did not return
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50 completely to control levels (Beauquis et al., 2010b). A less severe form of diabetes experienced
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52 by the diabetic EE group could also explain prevention of cellular brain changes and behavior.
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4 The effects of EE on hippocampal cell proliferation and cognition was studied in STZ-
5
6 induced diabetic rats assigned to housing 40 days prior to the induction of diabetes and then
7
8 maintained for the duration of the study (Piazza et al., 2011). Rats housed under EE conditions
9
10 lived in groups of eight in a larger cage with multiple floors containing toys, balls, tunnels, and
11
12 running wheels, while rats in standard housing had a smaller cage with standard bedding and
13
14 lived in groups of two. STZ-induced diabetes impaired cognition, measured with the novel
15
16 object-placement recognition test, and EE ameliorated the cognitive deficits. Diabetes lowered
17
18 cell proliferation but EE did not return cell proliferation back to control levels (Piazza et al.,
19
20 2011). Exact glucose measurements after EE were not reported, though, so it is unclear if EE
21
22 significantly lowered glucose levels in the STZ-EE group. Nevertheless, Piazza et al. (2011)
23
24 showed that EE could restore a measure of cognitive function impaired by diabetes, independent
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26 of modifying hippocampal cell proliferation.
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36 *5.4. Summary of findings*

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38 Numerous studies (Table 1) have shown that experimental T1D consistently decreases
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40 both hippocampal cell proliferation (Balu et al., 2009; Beauquis et al., 2006; Beauquis et al.,
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42 2008; Jackson-Guilford et al., 2000; Kang et al., 2006; Piazza et al., 2011; Revsin et al., 2009;
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44 Saravia et al., 2006a; Saravia et al., 2004; Stranahan et al., 2008; Wang et al., 2009a; Wang et
45
46 al., 2009b; Zhang et al., 2008a) and survival (Beauquis et al., 2008; Stranahan et al., 2008; Wang
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48 et al., 2009a; Zhang et al., 2008b) regardless of the particular model. Some investigators also
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50 found that STZ-induced diabetes negatively affects the proportion of cells that differentiate into
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52 neurons (Beauquis et al., 2006; Zhang et al., 2008b). Decreased neurogenesis in T1D rodents
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54 may contribute to impaired learning and memory (Piazza et al., 2011; Revsin et al., 2009;
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4 Stranahan et al., 2008). However, amelioration of cognitive deficits may not always require
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6 restoration of neurogenesis to control levels (Piazza et al., 2011). Further, rats with suggestive
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8 evidence of anhedonia had lower levels of neurogenesis and increased expression of depressive-
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10 like behavior after developing diabetes (Wang et al., 2009a). This suggests that some behavioral
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12 characteristics prior to developing diabetes could predict which rodents were likely to develop
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14 depressive behaviors after becoming diabetic.
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19 A number of pharmacological and non-pharmacological therapies not necessarily
20
21 targeted at managing hyperglycemia can reverse the detrimental effects of T1D on hippocampal
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23 pathology (see Figure 1). The diabetic brain exhibits evidence of accelerated aging (Alvarez et
24
25 al., 2009; Wang et al., 2009a) and HPA axis dysregulation are factors that contribute to
26
27 hippocampal pathology in T1D-like rodents (Revsin et al., 2009; Stranahan et al., 2008).
28
29 Treatment with fluoxetine (Beauquis et al., 2006), estrogen (Saravia et al., 2006a; Saravia et
30
31 al., 2004), mifepristone (Revsin et al., 2009), aminoguanidine (Wang et al., 2009a), and EE
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33 (Beauquis et al., 2010b; Piazza et al., 2011) all showed promising effects against diabetic brain
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35 complications. These studies have provided some insight on different physiological mechanisms
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37 that could alter deficits of neurogenesis in diabetes.
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48 **6. Experimental models of T2D**

49 *6.1. Hippocampal neurogenesis*

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53 Hippocampal neurogenesis has been studied in a number of animal models of T2D (see
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55 Table 1). These models include genetic models in mice and rats, the *db/db* mouse, Zucker
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4 diabetic rat, and the Goto-Kakizaki rat. An environmental model of T2D that has been used
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6 commonly with rodents is prolonged exposure to a high fat diet or diet-induced obesity.
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10 11 *6.1.1. Zucker Diabetic rat and db/db mouse*

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14 A leptin receptor mutation, on chromosome five, in the Zucker rat (*fa/fa*) eventually led
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16 to the discovery of the Zucker diabetic rat. A similar spontaneous mutation was also noted in
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18 *db/db* mice, a splice mutation on chromosome four, which is the region of conserved synteny
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20 with chromosome 5 of rats (Sone and Osamura, 2001). Both the Zucker diabetic rat and *db/db*
21
22 mouse express metabolic symptoms similar to those seen in humans with T2D. Without the
23
24 appetite suppressing effects of leptin, these animals exhibit obesity with glucose intolerance,
25
26 hyperinsulinemia, and hyperlipidemia. These animals also display the three classic symptoms of
27
28 diabetes: polyphagia, polydipsia, and polyuria. Furthermore, the syndrome develops in a manner
29
30 similar to human T2D. Early in development, the animals have insulin resistance with
31
32 euglycemia. Overt hyperglycemia tends to manifest between 7-12 weeks of age in Zucker
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34 diabetic rats (Leonard et al., 2005) and between 4-8 weeks of age in *db/db* mice (Allen et al.,
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36 2004). Rats and mice heterozygous for the leptin receptor mutation, on the other hand, do not
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38 develop symptoms of diabetes.
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46 Zucker diabetic rats have decreased hippocampal cell proliferation and lower levels of
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48 neuronal differentiation compared to their lean, non-diabetic counterparts (Yi et al., 2009). In the
49
50 mouse equivalent model, *db/db* mice demonstrated decreased hippocampal cell proliferation in
51
52 diabetic mice compared to the control group (Stranahan et al., 2008). When BrdU was
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54 administered three weeks prior to tissue collection, *db/db* mice also showed reduced survival rate
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56 for the newborn hippocampal cells but the proportion of cells that differentiated into neurons did
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4 not differ from the control group. In contrast, another research group showed increased cell
5 proliferation in *db/db* mice compared to lean counterparts (Hamilton et al., 2011). The reason for
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7 these different reports has not been reconciled.
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10 11 12 13 14 *6.1.2. Goto-Kakizaki rat*

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16 In contrast to the Zucker Diabetic rat, the Goto-Kakizaki rat is not obese. The Goto-
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18 Kakizaki T2D-like model was generated from selective inbreeding of multiple generations of
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20 Wistar-Kyoto rats with glucose tolerance at the upper limit of the normal distribution so that
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22 diabetes became a stable trait (Portha, 2005). Goto-Kakizaki rats have decreased pancreatic beta
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24 cell number and beta cell dysfunction, which results in hyperglycemia beginning at 1-4 months
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26 of age. Similar to humans with T2D, these rats show insulin resistance, hyperinsulinemia, and
27
28 hypercholesterolemia but do not express overt obesity (Beauquis et al., 2010a). Coincidentally,
29
30 Wistar-Kyoto rats are also used as a genetic model of depression and exaggerated stress
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32 responses (Crowley and Lucki, 2005; Rittenhouse et al., 2002).
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38 In the pre-diabetes stage, Goto-Kakizaki rats showed normal levels of hippocampal cell
39
40 proliferation and neuronal differentiation compared to the control group (Lang et al., 2009).
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42 Unlike most of the other diabetes models, adult Goto-Kakizaki rats showed increased
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44 hippocampal cell proliferation and an increased number of immature neurons (Beauquis et al.,
45
46 2010a). Survival of newly proliferated hippocampal cells in the Goto-Kakizaki rat was either
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48 decreased (Lang et al., 2009) or unchanged from Wistar controls (Beauquis et al., 2010a). It is
49
50 unclear what factors accounted for the different rates of cell survival because both studies used
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52 similarly aged rats and methods to measure hippocampal cell survival, except for their BrdU
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54 dosing regimen. Behavioral studies have not been done with the Goto-Kakizaki rat.
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4 The increased cell proliferation and either decreased or unchanged cell survival in the
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6 Goto-Kakizaki rat has been interpreted as a pattern of compensation for the increased neuronal
7
8 cell death accompanying diabetes. In the Beauquis et al. study (Beauquis et al., 2010a), diabetic
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10 Goto-Kakizaki rats may have compensated successfully and showed cell survival rates similar to
11
12 Wistar controls. In the Lang et al. study (Lang et al., 2009), on the other hand, diabetic Goto-
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14 Kakizaki rats may not have compensated completely for losses in hippocampal cell survival
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16 despite the increase in cell proliferation.
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23 24 *6.1.3. High fat diet*

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26 Exposure of rodents to a high fat diet produces obesity and physiological signs of T2D-
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28 like diabetes, including increased insulin and corticosterone secretion, and causes measurable
29
30 deficits in cognitive performance. Male rats showing a slight but significant weight gain after
31
32 exposure to a high fat diet for 4 weeks demonstrated fewer cells in the hippocampus surviving 2
33
34 weeks after BrdU labeling possibly due to increased serum corticosterone levels (Lindqvist et al.,
35
36 2006). In contrast, female rats exposed to the diet showed no changes in weight gain,
37
38 corticosterone levels or cell proliferation. Male mice fed a high fat diet for 7 weeks showed
39
40 increased body weight gain and serum cholesterol levels and reduced proliferation of
41
42 neuroprogenitor cells in the hippocampus without altering neuronal differentiation (Park et al.,
43
44 2010). A reduction of BDNF levels in the hippocampus by the high fat diet was suggested to
45
46 explain the reduction of neurogenesis. The effects of exposure to a high fat diet on neurogenesis
47
48 were also shown to vary in different strains of mice (Hwang et al., 2008). C57BL/6 mice
49
50 showed a reduction of neurogenesis at both 4 and 12 weeks, as measured by Ki67 or
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4 doublecortin immunoreactivity, whereas C3H/He mice showed reduced neurogenesis only at 4
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6 weeks.
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9 Other groups have found more equivocal results on hippocampal neurogenesis from
10 exposure to a high fat diet. The effects of exposure to a high fat diet for a total of 17 weeks were
11 compared between juvenile (3 weeks old) and adult (12 weeks old) C57BL/6 mice (Boitard et
12 al., 2012). The high fat diet increased body weight and plasma levels of leptin, insulin,
13
14 corticosterone, and cholesterol in both age groups. However, the high fat diet reduced
15
16 hippocampal neurogenesis (cells labeled with doublecortin) only in mice given the diet as
17
18 juveniles but not as adults. Another study induced obesity in rats by exposure to a high fat diet
19
20 for 12 weeks (Rivera et al., 2011). Although calorie intake and body weight were higher with
21
22 the high fat diet, serum cholesterol, insulin and leptin were unchanged and hippocampal cell
23
24 proliferation was unaffected by the high fat diet. Male Swiss TO mice placed on a high fat diet
25
26 for 5 months showed increased body weight gain, hyperglycemia and hyperinsulinemia
27
28 (Hamilton et al., 2011). Exposure to the high fat diet increased hippocampal cell proliferation
29
30 compared to lean controls as measured by BrdU incorporation; however, the high fat diet
31
32 reduced the number of immature cells as measured by doublecortin. Thus, although a number of
33
34 studies have shown that exposure to a high fat diet can reduce hippocampal cell proliferation, a
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36 number of variables, including the age of exposure, duration of treatment, genetic strain, relative
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38 weight gain, magnitude of diabetic parameters and method of measuring neuroplasticity, can
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40 moderate these effects.
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6.2. Behavior changes associated with decreased neurogenesis in diabetes

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4 The *db/db* mice may be useful for examining the comorbidity between diabetes and
5
6 cognition and depression because they have been reported to show both cognitive impairments
7
8 and increased depressive behavior. Spatial memory in the Morris water maze was impaired in
9
10 *db/db* mice along with decreased hippocampal neurogenesis (Stranahan et al., 2008). The *db/db*
11
12 mice did not explore novel objects in the novel object preference test as much as controls but
13
14 spent more time exploring objects in general, a pattern that may be consistent with impaired
15
16 recognition memory. Also, *db/db* mice showed other behavioral changes, increased immobility
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18 in the forced swim test, reduced locomotor activity and increased exploration of the open arms of
19
20 the elevated zero maze, when tested as juveniles or adults but working memory deficits in the y-
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22 maze and disrupted prepulse inhibition only when tested as adults (Sharma et al., 2010).
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29 Mice exposed to a high fat diet as juvenile showed significantly impaired spatial learning
30
31 and memory in a radial arm maze (Boitard et al., 2012). This pattern agreed in part with reduced
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33 hippocampal cell proliferation measured after juvenile exposure to the high fat diet. However,
34
35 the mice experienced a change in housing and food-deprivation conditions in order to conduct
36
37 the behavioral testing and these procedures may also have impacted the effects of high fat diet
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39 exposure in the older animals.
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45 46 *6.3. Treatments that prevent deficits in neurogenesis and behavior*

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49 Several investigators have explored the effects of possible treatments for diabetes on
50
51 hippocampal neurogenesis in T2D-like models. These treatments provide further insight into the
52
53 diabetic milieu contributing to neurobehavioral complications (see Figure 1). The long-term
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55 effects of exercise (Yi et al., 2009), the benefits of controlling HPA activity (Stranahan et al.,
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4 2008) and the value of glycogen-like peptide-1 (GLP-1) receptor agonists on glycemic control
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6 and behavior (Hamilton et al., 2011) have been demonstrated in animal models.
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10 11 6.3.1. Exercise 12

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14 In a study on the effects of exercise, Zucker Diabetic rats showed significantly increased
15
16 hyperglycemia and decreased neurogenesis compared to the non-diabetic Zucker Lean Control
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18 rats. Exercising five days a week over a five-week period significantly increased hippocampal
19
20 neurogenesis in both Zucker Diabetic and Zucker Lean Control rats, but the increase was not as
21
22 robust in the Zucker Diabetic group (Yi et al., 2009). Nevertheless, exercised Zucker Diabetic
23
24 rats showed a non-diabetic metabolic profile with glucose levels and body weight similar to the
25
26 Zucker Lean Control group. It appears that for Zucker Diabetic rats, exercise completely
27
28 prevented hippocampal brain pathology by ameliorating diabetes. Other benefits of exercise
29
30 besides improved glycemic control could have contributed to increased neurogenesis, such as
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32 lowering blood pressure, improving brain perfusion, and increasing growth factors like BDNF,
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34 insulin-like growth factor-1, and vascular endothelial growth factor (van Praag, 2008; Yi et al.,
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36 2009), but these were not measured in this interesting model.
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45 6.3.2. Adrenalectomy/CORT replacement 46

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48 Stranahan et al. (Stranahan et al., 2008) demonstrated the role of HPA activity and CORT
49
50 in mediating the effects of diabetes on hippocampal neurogenesis and cognition in *db/db* mice.
51
52 Maintenance of normal levels of CORT in *db/db* mice prevented learning deficits and restored
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54 hippocampal cell proliferation back to control levels. Further, administration of high-dose CORT
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56 replacement in adrenalectomized *db/db* mice reestablished learning and memory deficits. These
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4 results clearly demonstrate the involvement of CORT and glucocorticoid receptor activation in
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6 mediating these cognitive complications in diabetic rats. It also suggests that decreased
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8 hippocampal neurogenesis can lead to cognitive deficits while prevention of altered hippocampal
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10 neurogenesis can prevent cognitive decline.
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16 6.3.3. *Glucagon-like peptide-1 (GLP-1) receptor agonists*

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19 Several GLP-1 receptor agonists, such as extendin-4 and liraglutide, have been developed
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21 recently to more effectively manage glucose regulation in T2D. These GLP-1 analogs were
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23 examined for the ability to regulate hippocampal neurogenesis and behavior in rodent models of
24
25 diabetes. Treatment of *ob/ob* mice for 6 weeks and *db/db* mice for 10 weeks with extendin-4 or
26
27 liraglutide produced an increase in hippocampal cell proliferation compared to vehicle-treated
28
29 controls (Hamilton et al., 2011). Administration of the GLP-1 analogs to Swiss mice, fed a high
30
31 fat diet for 5 months to cause significant body weight gain, hyperglycemia and hyperinsulinemia,
32
33 also showed increased cell proliferation in the hippocampus (Hamilton et al., 2011). In separate
34
35 studies, chronic administration of extendin-4 and liraglutide restored compromised performance
36
37 in the novel object recognition test, rescued hippocampal LTP and improved glycemic control in
38
39 Swiss mice exposed to a high-fat diet for 28 days (Gault et al., 2010; Porter et al., 2010).
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46 GLP-1 is a hormone secreted primarily by L-cells from the distal intestine and neurons
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48 in the nucleus tractus solitaries (NTS) of the caudal brainstem. Both peripheral and central GLP-
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50 1 systems modulate plasma glucose concentrations by stimulating insulin secretion and
51
52 promoting beta cell proliferation (Drucker and Nauck, 2006; Hayes, 2012). While GLP-1 is
53
54 produced in the NTS, its receptor is distributed throughout the brain, including the hippocampus
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56 (Drucker and Nauck, 2006). GLP-1 analogs have been reported to produce neuroprotective
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4 effects, enhance cell survival, reduce apoptosis, and increase hippocampal neurogenesis when
5
6 given to nondiabetic mice. It is possible that GLP-1 analogs may independently improve
7
8 neuroplasticity in diabetes by neurotrophic or neuroprotective effects exerted directly in the
9
10 brain. This intriguing possibility underlies the importance of determining the mechanism of
11
12 action of GLP-1 analogs on hippocampal neuroplasticity and behavior in diabetic animals.
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19 *6.4. Summary of findings*

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21 Both genetic and environmental approaches have been used to study the effects of T2D-
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23 like animal models on hippocampal neurogenesis and behavior. The Zucker Diabetic rat (Yi et
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25 al., 2009) and the *db/db* mouse (Stranahan et al., 2008) models led to decreased hippocampal cell
26
27 proliferation and survival. In contrast, diabetes in the Goto-Kakizaki rat increased hippocampal
28
29 cell proliferation and neuronal differentiation (Beauquis et al., 2010a) and did not change
30
31 (Beauquis et al., 2010a) or decreased (Lang et al., 2009) cell survival. The observed increase in
32
33 hippocampal cell proliferation and neuronal differentiation may have been an attempt to
34
35 compensate for neurons that did not survive. It is interesting that the Goto-Kakizaki rat displayed
36
37 elevated cell proliferation despite significant increases in CORT (Beauquis et al., 2010a). This
38
39 rat model for T2D demonstrated that interpreting changes of hippocampal cellular plasticity in a
40
41 diabetic milieu can be quite complex.
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48 The effects of feeding rodents a high fat diet are of special importance because this may
49
50 simulate the etiology of diabetes for many individuals. Exposure to a high fat diet produced the
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52 most variable effects on measures of hippocampal neuroplasticity between studies, although
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54 reductions of hippocampus and cognitive behavior were reported. The variability may be due to
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4 differences in genetic strain, age of exposure, treatment duration, degree of obesity and
5
6 magnitude of diabetic parameters obtained between studies.
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9 The existence of several rodent models of T2D should encourage examination of the
10 effects of current and prospective treatments for diabetes on hippocampal neurogenesis and
11 behavior. The long-term effects of exercise (Yi et al., 2009), the benefits of controlling HPA
12 activity (Stranahan et al., 2008) and the value of GLP-1 analogs (Hamilton et al., 2011) on
13 reversing the deficits of neurogenesis and behavior in diabetes and restoring glycemic control
14 have been demonstrated in animal models. Although several promising demonstrations have
15 been produced for these treatments, studies in the field have not yet distinguished the challenging
16 mechanisms underlying their effects. In addition, the effects of some treatments for diabetes and
17 depression (antidepressants) have not yet been studied in rodent models for T2D.
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19 Recommendations for future studies are provided in a subsequent section.
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36 **7. Experimental diabetes and other forms of hippocampal neuroplasticity**

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38 Neuroplasticity refers to the ability of the brain to adapt, change and reorganize
39 throughout life. Hippocampal neurogenesis represents only one form of hippocampal
40 neuroplasticity affected by diabetes. Other forms of hippocampal neuroplasticity include changes
41 in hippocampal dendritic branching, long-term potentiation, and apoptosis. Their relevance to
42 rodent models of diabetes will be discussed in the next section.
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53 *7.1. Contraction of hippocampal dendrites and loss of spines*

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55 Neurons connect to other neurons within the brain through a rich network of dendritic
56 projections. Dendrites make up about 95% of a neuron's total volume (Malone et al., 2008) and
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4 decreased dendritic branching likely contributes to the overall decrease in hippocampal volume
5
6 (Czeh and Lucassen, 2007) frequently observed in diabetic and depressed patients (McIntyre et
7
8 al., 2010). Dendrites receive electrical input from upstream neurons and structural changes in
9
10 dendrites could alter the way in which the neuron process afferent signals.
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14 Studies of both T1D and T2D models in rodents have consistently shown decreased
15
16 dendritic branching and density of spines (see Table 3). Several treatments successfully reversed
17
18 pathological changes in hippocampal dendrites. Implantation of pig islet cells, which released
19
20 insulin, ameliorated severe hyperglycemia and reversed the detrimental effects of diabetes on
21
22 dendritic remodeling (Magarinos et al., 2001). Other treatments, like wheel running, caloric
23
24 restriction (Stranahan et al., 2009), and environmental enrichment (Beauquis et al., 2010b), also
25
26 improved spine density. Only a few diabetes studies attempted to identify the mechanisms
27
28 responsible for these changes. Similar to decreased hippocampal neurogenesis, hippocampal
29
30 dendritic alterations were associated with decreased BDNF levels (Stranahan et al., 2008), while
31
32 elevating BDNF levels through exercise and/or caloric restriction improved dendritic spine
33
34 density (Stranahan et al., 2009). Remodeling of dendrites was associated with impaired learning
35
36 and memory in the Morris water maze (Malone et al., 2008; Stranahan et al., 2008). However,
37
38 these studies did not include a treatment component in the experimental design, so it is unknown
39
40 whether or not treatments that successfully reverse dendritic remodeling could also improve
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42 cognitive function.
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53 *7.2. Long-term potentiation and depression*

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55 The electrophysiological properties of hippocampal neurons, including membrane
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57 potential, membrane input resistance, and membrane time constant, remain unaffected in
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4 diabetes (Artola et al., 2002; Gardoni et al., 2002; Liang et al., 2006; Trudeau et al., 2004). The
5
6 effect of diabetes on basal synaptic transmission remains inconclusive. However, investigators
7
8 consistently report that diabetes, especially for T1D and most T2D models in rodents, impaired
9
10 long-term potentiation (LTP) of hippocampal CA1 neurons (see Table 4). LTP is a major cellular
11
12 mechanism that underlies learning and memory and is a measure of the synapse strength between
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17 connecting neurons.

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19 When studying the effects of STZ-induced diabetes on LTP, the duration of diabetes
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21 ranged from 3 weeks up to 8 months (Stranahan et al., 2008; Stranahan et al., 2010). The
22
23 impairment of LTP worsened with the increasing age of the animal (Kamal et al., 2000), duration
24
25 of the diabetes (Kamal et al., 2005), and severity of the diabetes (Artola et al., 2002). While
26
27 STZ-induced diabetes decreased LTP, it also increased long-term depression (LTD) of CA1
28
29 neurons (Artola et al., 2002; Kamal et al., 2000). These changes in hippocampal LTP and LTD,
30
31
32 in T1D-like rodents, have consistently been associated with impaired spatial learning and
33
34 memory in the Morris water maze (Jing et al., 2008; Kamal et al., 2000; Manschot et al., 2003).
35
36 Impaired LTP in T1D-like rodents led to cognitive impairment in other types of tests as well
37
38 such as the conditioned active avoidance test (Jing et al., 2008). Juvenile T1D, also caused
39
40 cognitive impairment, as measured by the Y-Maze, but the properties of juvenile hippocampal
41
42 neurons exposed to diabetes differed from that of adult hippocampal neurons exposed to
43
44 hyperglycemia (Iwai et al., 2009). STZ administered to 17 day old rats resulted in no change in
45
46 LTP and decreased LTD (Iwai et al., 2009), which is the opposite of what occurred in T1D-like
47
48 adult rats (Kamal et al., 2000). Since T1D results in cognitive impairment in both young and
49
50 adult rats, this suggests that LTP and LTD contribute differently to cognitive function in juvenile
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52 onset versus adult onset diabetes. Insulin reversed the impairment of LTP if initiated shortly
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4 after STZ administration but only partially restored deficits if initiated after 10 weeks of diabetes
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6 (Biessels et al., 1998).
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9 T2D in rodents, induced by high fat diet, also consistently decreased LTP in CA1 neurons
10
11 (see Table 4). These diabetic rodents also had impaired cognition in the Morris water maze
12
13 (Stranahan et al., 2008) and novel object recognition test (Gault et al., 2010; Porter et al., 2011).
14
15 The Zucker Diabetic rat showed preserved hippocampal function with normal induction of LTP
16
17 in CA1 neurons and performance in the Morris water maze (Belanger et al., 2004). However,
18
19 another study of LTP in the Zucker Diabetic rat showed decreased LTP in CA1 neurons, similar
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21 to other diabetes models (Alzoubi et al., 2005).
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26 Demonstrations of treatments for diabetic-impaired LTP have involved a number of
27
28 different pathways. There have been promising effects of drugs that target glucocorticoids
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30 (Stranahan et al., 2010), GLP-1 (Gault et al., 2010; Iwai et al., 2009), glucose-dependent
31
32 insulinotropic polypeptide (Porter et al., 2011), and glucose control (Izumi et al., 2003).
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34 Initiation of treatment shortly after diabetes induction with enalapril, an angiotensin-converting
35
36 enzyme inhibitor, and nimodipine, a calcium channel blocker, prevented diabetes-induced
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38 alterations in LTP but delayed treatment was not able to reverse already existing hippocampal
39
40 damage (Biessels et al., 2005; Manschot et al., 2003). The results of these studies imply that
41
42 there may be a window of opportunity to reverse neurobehavioral complications from diabetes.
43
44 More studies are needed to explore different treatments that can prevent and/or reverse these
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46 deficits.
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52 53 54 55 *7.3. Apoptosis and cell death* 56 57 58 59 60 61 62 63 64 65

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4 Apoptosis is a process of programmed cell death. Preclinical literature consistently report
5 that the hippocampal environment of diabetic animals favors apoptosis, as evidenced by
6 significant elevations in apoptotic markers (see Table 5). Hippocampal apoptosis in both T1D
7 and T2D was associated with cognitive impairment in the Morris water maze (Jafari Anarkooli et
8 al., 2008; Kuhad et al., 2009). Ten weeks of treatment with an anti-oxidant, tocotrienol,
9 decreased neuroinflammation and improved cognition in STZ diabetic rats (Kuhad et al., 2009).
10 Furthermore, STZ-treated rats that received eight weeks of fish oil treatment, another supplement
11 with anti-oxidant properties, also had a significant decrease in the number of neurons with
12 apoptotic markers (Cosar et al., 2008).
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26 Although direct comparisons are difficult, a T1D-like rat model affected hippocampal
27 apoptosis with a greater degree of neuronal loss and through different mechanisms than a T2D-
28 like rat model (Li et al., 2005). The T1D-like model had apoptosis resulting from both caspase-
29 dependent and PARP-mediated caspase-independent mechanisms, while the T2D-like model
30 only expressed apoptosis resulting from the PARP-mediated mechanism (Li et al., 2005).
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38 A critical difference between T1D and T2D is the availability of insulin. T1D results
39 from hypoinsulinemia while T2D has hyperinsulinemia with decreased insulin receptor
40 sensitivity. The differences in pathology could lead to different treatments for neurobehavioral
41 complications from T1D and T2D. When the body makes insulin, it cleaves proinsulin to insulin
42 and releases C-peptide. Thus, T1D lacks not only insulin, but also C-peptide, a peptide with
43 established neuroprotective and anti-inflammatory effects (Luppi et al., 2011). Replacement of
44 C-peptide in rodents with T1D significantly improved cognition (Sima and Li, 2005), lowered
45 neuronal apoptosis and reversed hippocampal damage by lowering oxidative stress without
46 altering plasma glucose levels (Li et al., 2002; Sima and Li, 2005). Rodents with T2D had
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4 elevated levels of C-peptide, due to hyperinsulinemia, yet still resulted in hippocampal damage,
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6 raising the question of whether resistance to C-peptide has been developed in T2D as well.
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8 Alternatively, there might be a therapeutic range for C-peptide levels since it has not yet been
9
10 determined if lowering C-peptide levels could restore hippocampal structure and function in T2D
11
12 or whether further elevating C-peptide in T1D would reinstate hippocampal deficits.
13
14 Nevertheless, T1D and T2D can lead to impaired hippocampal neuroplasticity through different
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16 mechanisms and may sometimes benefit from different treatment approaches.
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23 **8. Measuring hippocampal neurogenesis in humans**

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26 Most research examining hippocampal neurogenesis would be expected to remain at the
27
28 preclinical level primarily because techniques for measuring neurogenesis require post-mortem
29
30 brain tissue. Further, patients with other illnesses, in addition to diabetes, may complicate
31
32 findings relevant to neurogenesis. Nevertheless, human studies are necessary to move the science
33
34 forward. Brain banks may provide access to a population of people who had diabetes and few
35
36 other illnesses. Large scale clinicopathological study programs of aging frequently include
37
38 tissues from people with and without diabetes and may allow direct testing of neurogenesis and
39
40 other mechanisms that have only been investigated in animal models so far. If brain tissue in
41
42 humans is obtained, one can then compare hippocampal neurogenesis using methods similar to
43
44 those used in animals (Crews et al., 2010). Other methods, such as cell culture, may be used to
45
46 see how the cells from different populations respond to various conditions and treatments
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48 (Paradisi et al., 2010).
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55 Non-invasive imaging and indirect measures are currently being used to estimate
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57 hippocampal neurogenesis in humans. In the past, some human magnetic resonance imaging
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4 studies of patients with diabetes showed evidence for hippocampal atrophy (Gold et al., 2007;
5
6 Hershey et al., 2010). Rather than measuring the entire hippocampus, subfield analysis with high
7
8 resolution magnetic resonance imaging may provide a way to specifically target the neurogenic
9
10 regions of the hippocampus (Neylan et al., 2010; Wang et al., 2010) and may show different
11
12 effects of diabetes on different parts of the hippocampus. A new imaging method was developed
13
14 recently to study hippocampal neurogenesis *in vivo* by employing magnetic spectroscopy to
15
16 visualize a potential biological marker for neural stem and progenitor cells (Manganas et al.,
17
18 2007). This method has never been used to study the effects of diabetes on hippocampal
19
20 neurogenesis and very few published studies have applied this method. Although requiring
21
22 greater optimization, more technological advances such as these are needed and collaborating
23
24 with other disciplines such as bioengineering can facilitate this process. Until the field develops
25
26 better methods, human studies of neurogenesis will rely on indirect measures, like hippocampal
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28 volume and biomarkers.
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38 **9. Future directions**

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40 The results from the majority of preclinical studies indicate that diabetes negatively
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42 impacts hippocampal cell proliferation and survival. Diabetes also produces evidence for
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44 dysfunction on other morphological and functional measures of hippocampal neuroplasticity,
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46 including dendritic remodeling, decreased long-term potentiation, and increased apoptosis,
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48 indicating diminished function of this brain region. In many studies, altered hippocampal
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50 neuroplasticity in diabetic rodents was accompanied by behavioral evidence of cognitive deficits,
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52 as measured by tasks for associative and spatial learning, recognition and memory or alteration
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54 of behaviors associated with depression. Thus, the functional integration between hippocampal
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4 neurogenesis, neuroplasticity and performance in tests of cognitive and affective behaviors in
5 diabetic rodents provides an experimental framework for studying neurobehavioral
6 complications associated with diabetes. This framework would be most useful for: 1)
7 understanding the mechanisms associated with the development of pathologies leading to
8 cognitive and affective disturbances in diabetes and 2) investigating the utility and limitations of
9 different approaches for treating behavioral changes associated with diabetes.
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21 *9.1. Pathological mechanisms leading to cognitive and affective loss in diabetes*

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24 Although the negative effects on hippocampal neuroplasticity from diabetes might
25 explain the development of comorbid complications such as cognitive decline or depression,
26 studies have not yet addressed the precise mechanisms underlying those developments. A
27 number of risk factors have been identified from epidemiological studies that contribute
28 susceptibility to depression and diabetes, such as childhood rearing, improper diet, sedentary
29 lifestyle and history of substance abuse. Specific risk factors are likely to contribute to sex and
30 age differences associated with the risk for depression and diabetes. Animal studies can model
31 the extent and mechanisms of physiological contributors to diabetes in a controlled manner that
32 informs and extends human epidemiological studies.
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45 Since not all patients with diabetes develop neurobehavioral impairments, another
46 important area for preclinical studies is to identify behavioral risk factors that contribute most to
47 the negative behavioral outcomes in diabetes and depression. In one interesting example, rats
48 screened for lower sucrose consumption as a measure of anhedonia demonstrated larger
49 reductions of neurogenesis and increased immobility in the forced swim test, a behavioral test for
50 stress-induced depressive behavior, after the induction of diabetes with STZ (Wang et al.,
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4 2009a). Animal studies can model and evaluate the impact of behavioral phenotypes associated
5 with the severity of diabetes and comorbid complications. For example, the impact of diabetes
6 can be modeled on predisposing high versus low reward sensitivity, rearing environment, stress
7 reactivity, coping style, or degree of inflammation in models of T1D and T2D. These studies
8 can provide information on the sources and mechanisms of individual differences contributing to
9 the physiological impact of diabetes and behaviors related to cognitive and affective dysfunction.
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19 Rodent models of depression (Lucki, 2010; Pollak et al., 2010) can be used to determine
20 how exposure to social environments or developmental conditions that produce emotional
21 instability could influence the effects of diabetes and its comorbidities. In the chronic social
22 defeat model, depressive behaviors emerge from exposing a rodent repeatedly to an aggressive
23 and dominant animal. Rodents exposed to social defeat show reduced body weight, reduced
24 sucrose drinking, increased corticosterone levels and increased immobility in the forced swim
25 test. Rodents exposed to social defeat will demonstrate avoidance of other rodents in a social
26 choice situation that persists for months after the cessation of social contact (Berton et al., 2006).
27
28 The chronic mild stress model of depression involves continuous exposure of rodents to a variety
29 of mild stressors, such as periods of food and water deprivation, cold, changes of cage mates or
30 isolation, alterations of the light cycle (Willner, 2005). Over a period of weeks of chronic
31 exposure, rodents gradually reduce their consumption of a preferred dilute sucrose solution,
32 suggested to reflect anhedonia because of a generalized insensitivity to rewards. Other
33 behavioral signs of chronic stress include reductions of body weight, altered sleep patterns, and
34 abnormal grooming behavior. The impact of parental neglect can be modeled in rodents by the
35 maternal deprivation model, which causes a life-long increase in the vulnerability to anxiety
36 (Caldji et al., 2000). The impact of these models for causing behavioral disturbances and
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4 deficiencies of hippocampal neuroplasticity can be assessed in models of diabetes. Some
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6 common rodent paradigms for assessing motivated behaviors might need to be modified because
7
8 food ingestion is affected in both animal models of depression and diabetes. Animal models
9
10 would have a big impact on clinical research if they could identify factors that make individuals
11
12 more vulnerable to the development of diabetes complications.
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15 16 17 18 19 *9.2. Experimental treatments for neurobehavioral deficits in diabetes.*

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21 There is a medical need for new experimental treatments for the neurobehavioral deficits
22
23 that emerge with diabetes. Potential treatments fall into two categories: 1) those that ameliorate
24
25 neurobehavioral deficits by directly moderating hyperglycemia or 2) treatments that modify
26
27 behavior indirectly by modulating processes involved in neuroplasticity. The restoration of
28
29 glycemic control may be the gold standard for reversal of both the core symptoms of diabetes
30
31 and its associated complications. Even so, few studies have examined whether neurobehavioral
32
33 deficits are completely reversible by insulin. Hyperglycemia that has been untreated or
34
35 incompletely treated for a significant period of time may eventually cause changes to brain and
36
37 behavior that are not completely reversible after re-establishing glycemic control. Treatments
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39 that target neuroplasticity may be especially important under these circumstances.
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46 Future studies can compare diabetes medications that have different mechanisms of
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48 action but the same end point of improving glycemic control to further identify key players
49
50 responsible for cognition and mood. For example, GLP-1 analogs, the insulin sensitizer
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52 rosiglitazone or the glucose synthesis inhibitor metformin, are treatments for diabetes that would
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54 reduce hyperglycemia in diabetes through different mechanisms; however, these treatments may
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56 produce differential effects beneficial effects on neuroplasticity and behavior. Mechanistic
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4 studies carried out with animal diabetic models can provide insight into the specific contributions
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6 of peripheral and central mechanisms in producing and maintaining cognitive and affective
7
8 deficits in diabetes. Metformin, a drug that suppresses glucose production by the liver and is
9
10 used to treat diabetes, was shown to facilitate adult neurogenesis and enhance spatial learning in
11
12 mice by activating the PKC/CBP pathway in adult neural stem cells (Wang et al., 2012).
13
14 However, since these studies were conducted only in normal mice, it is uncertain what
15
16 metformin's effects on neuroplasticity mechanisms would be in the diabetic milieu. Translation
17
18 of the results of these studies to humans is also possible and relatively straight forward
19
20 considering these treatments are commonly used in the clinical setting.
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26 Possible indirect mechanisms that might influence hippocampal plasticity in diabetes
27
28 might not restore glycemic control. However, the neural and behavior changes they produce
29
30 may still be important for improving glycemic control for some patients by reducing
31
32 complications that impair management of the disease. Such mechanisms include oxidative
33
34 stress, inflammation, HPA axis dysregulation, antidepressants, estrogen treatments, growth
35
36 factors (e.g., BDNF, IGF-1), and/or vascular changes. The treatment of dysfunctional
37
38 hippocampal neuroplasticity by these methods could restore cognition or affective behaviors
39
40 impaired by diabetes by altering one or more of the mechanisms involved in causing neural
41
42 dysfunction. Research with animal diabetes models can also be useful for answering whether
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44 potential treatments (such as antidepressants) can ideally be expected to improve glycemic
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46 control in diabetic patients since the results of clinical studies are often too variable to provide
47
48 definitive answers because of the heterogenous mixture of patients and treatment conditions.
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55 The identification of non-pharmacological treatments by preclinical studies, which
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57 include environmental enrichment and exercise, also provides opportunities for improved
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4 translational research. Environmental enrichment, described the preclinical literature, provides
5 more opportunities for social interaction through group housing, ability to participate in exercise
6 with the availability of running wheels, and increased opportunity for general stimulation with
7 the larger cages that have multiple levels and availability of toys, balls, and tunnels (Beauquis et
8 al., 2010b; Piazza et al., 2011). Although a direct equivalent of environmental enrichment for
9 humans may not exist, studies have shown that participation in mentally stimulating activities
10 can delay the progression of cognitive impairment in people with dementia (Cheng et al., 2012).
11 However, this has never been evaluated in the diabetic population. Future studies can evaluate
12 the effects of mental stimulation or “environmental enrichment” on the progression of cognitive
13 or affective decline in people with diabetes.
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29 Exercise can improve cognitive function in people with dementia (McDonnell et al.,
30 2011) as well as decrease risk for dementia (Jedrzejewski et al., 2010). However, we are not
31 aware of a study that has examined the effects of exercise on cognitive function in the diabetic
32 population. On the other hand, studies have examined the effects of exercise on mood in people
33 with diabetes. Similar to animal studies, humans with diabetes that undergo an exercise program
34 experience improved glucose control and subsequent benefits in mood (de Groot et al., 2012).
35 The mechanism through which this occurs remain to be determined, but neurogenesis could be a
36 possible contributor especially since exercise is known to increase serum BDNF levels in both
37 animals and humans (Bekinschtein et al., 2011; Zoladz and Pilc, 2010). Diabetic animals in the
38 exercise group showed increases in neurogenesis (Yi et al., 2009), but it is still unclear whether
39 exercise increases neurogenesis by facilitating brain neurotrophins or through re-establishing
40 glycemic control. Future animal studies can clarify this by developing ways to control for brain
41 neurotrophin levels and/or glucose levels.
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4 Even though both types of diabetes induced hyperglycemia and led to cognitive deficits,
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6 T1D and T2D may affect the hippocampus through different mechanisms (Li et al., 2005). Most
7
8 preclinical studies used a T1D-like model because STZ is a rapid and reliable method to produce
9
10 diabetes in rodents. Nevertheless, the variety of animal models of diabetes available provides the
11
12 opportunity to validate observations and establish whether potential treatments screened initially
13
14 with STZ are generalizable to T2D. More future studies should incorporate T2D models to
15
16 determine whether or not current research is generalizable to T2D. Differences in the availability
17
18 of insulin and compensatory adaptations could explain how damaging effects on the brain could
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20 occur through different mechanisms and thus require different treatment approaches because
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22 T1D lacks insulin while T2D is typically associated with over-production of insulin.
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29 Experimental diabetes often led to decreased neurogenesis in addition to increased
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31 vulnerability to apoptosis. The stages of neurogenesis are complex, involving proliferation,
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33 differentiation and survival, and studies have not correlated deficits in neurogenesis, apoptosis or
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35 function in the same animal. Based on an overview of the literature, the hippocampus of diabetic
36
37 animals likely suffers from an overall net loss of hippocampal cells and function. However, it is
38
39 possible for certain types of diabetes to affect only certain aspects of hippocampal
40
41 neuroplasticity. For instance, the Zucker Diabetic rat showed decreased hippocampal
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43 neurogenesis (Yi et al., 2009) but no change in hippocampal LTP (Belanger et al., 2004).
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45 Studying the different aspects of hippocampal neuroplasticity comprehensively, rather than
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47 separately, might help clarify which aspects of hippocampal neuroplasticity are responsible for
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49 deficits or are opportune targets for intervention. Future studies should include measures for
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51 hippocampal dendritic morphology, long-term potentiation, and apoptosis in combination with
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4 neurogenesis to provide a more complete picture of hippocampal neuroplasticity that can be
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6 correlated with functional deficits.
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9 The behavioral deficits seen with decreased hippocampal neurogenesis and altered
10 neuroplasticity in diabetic rodents resemble complications that humans with diabetes experience
11 with higher rates of both cognitive decline (Biessels et al., 2007; MacLulich and Seckl, 2008;
12 Mijnhout et al., 2006) and depression (Adili et al., 2006; Astle, 2007; Hood et al., 2006;
13 Iosifescu, 2007; Lawrence et al., 2006; Musselman et al., 2003). However, some experimental
14 factors should be considered in animal experiments that could affect the translation of results
15 from rodent diabetes models to clinical practice. For T1D models, animals may experience a
16 sudden onset of severely uncontrolled hyperglycemia after treatment induction with STZ and
17 then be tested after being diabetic for just a few weeks, which is very much unlike the natural
18 course of the disease. Some models of T2D, such as exposure to a high fat diet, have produced
19 variable effects because of the conditions of induction differ between studies. When using a high
20 fat diet, experiments need to demonstrate that the animals develop substantial obesity and
21 evidence of insulin desensitization prior to study for the results to be properly evaluated. In
22 addition, the presence of complications and the effects of treatments have usually been evaluated
23 early on in the disease process rather than allowing the disease to persist for awhile. This is quite
24 different from the human condition, where diabetes may remain either undiagnosed and/or
25 uncontrolled for prolonged periods of time (of greater than one week) prior to achieving stable
26 glycemic control. Future animal studies can attempt to better model the human condition by
27 allowing for a longer period of time of uncontrolled diabetes.
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55 The investigation of neurobehavioral complications of diabetes by studying hippocampal
56 neurogenesis is a heuristic framework that allows a more comprehensive and integrated approach
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4 towards understanding the comorbidity of diabetes with cognitive and affective behavior deficits.
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6 However, it is difficult to know whether the cognitive and affective behavior deficits are due
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8 mechanistically to reductions of neurogenesis and are not just correlated events. For example,
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10 chronic treatment of mice with the glucose synthesis inhibitor metformin improved reversal
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12 learning in the Morris water maze, but not in mice treated with temozolomide to delete adult
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14 precursors, suggesting that its effects on behavior were related to generating new hippocampal
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16 neurons (Wang et al., 2012). The use of methods that independently reduce hippocampal
17
18 neurogenesis, like targeted hippocampal irradiation or the use of mutant mice with targeted
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20 genetic deletions, and their subsequent evaluation on behavioral deficits in animal models of
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22 diabetes can provide critical information on the mechanisms whereby hippocampal
23
24 neuroplasticity can cause or treat the comorbid neurobehavioral complications.
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33 **10. Summary and conclusions**

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36 Most preclinical models have shown that diabetes results in reduced hippocampal
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38 neurogenesis and neuroplasticity that may contribute to cognitive decline and depressive
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40 symptoms, two complications commonly observed in humans with diabetes. Currently, there is
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42 no diabetes-specific treatment for cognitive decline or depression in humans. There are some
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44 available treatments for depressed mood, but it is unclear how effective they are in people with
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46 comorbid diabetes and depression. Although the goal of clinical treatments for diabetes includes
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48 the maintenance of euglycemia to prevent the onset and progression of cognitive symptoms
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50 (Biessels et al., 2007), long-term studies with different treatments have not validated the
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52 approach. Rodent studies suggest that altered hippocampal neuroplasticity could be a framework
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54 for investigating pathological mechanisms and potential novel targets for therapy that could
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4 guide human studies of diabetes complications. The improvement of clinically relevant animal
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6 studies and the development of better brain imaging techniques, biomarkers, and other indirect
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8 ways to measure hippocampal cellular changes are ways to link clinical and preclinical studies of
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10 diabetes and may provide additional insights into the comorbidity with cognitive decline and
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12 depression.
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Conflict of interest

None.

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7 Figure 1 Caption
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11 The diabetic milieu showing pathological mechanisms contributing to neurobehavioral
12 complications and examples of pathways that have been examined as therapeutic approaches.
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16 Diabetes leads to hyperglycemia, HPA axis dysregulation, and increased oxidative stress causing
17 altered neuroplasticity in the brain resulting in symptoms such as depression and cognitive
18 impairment. Various pharmacological and nonpharmacological interventions targeted at different
19 pathological processes are depicted in the figure and discussed in the text. For instance, targeting
20 the primary pathological mechanisms such as improved glycemic control (insulin, GLP-1
21 agonists), reversal of HPA axis dysregulation (mifepristone or ADX with CORT replacement),
22 or oxidative stress can improve brain structure and function (neuroplasticity) and lead to
23 improved behaviors (mood and cognition). GLP-1 agonists may improve behavior by combining
24 two mechanisms, directly facilitating glycemic control and improving neuroplasticity. Fluoxetine
25 is thought to produce antidepressant effects and counteract the impairing effects of stress on
26 neuroplasticity through BDNF and TrkB signaling. Hypothesized relationships are described
27 with a dotted line, while solid lines support relationships that have been demonstrated by
28 scientific evidence.
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Table 1

Effects of Experimental Diabetes Models on Hippocampal Neurogenesis

Mod.	Spec.	Prolif- eration	Different- iation	Survival	Assoc. Behavior	Treatments	Reference
T1D Models							
STZ	Mice		Decreased		Imp. Cog.		Alvarez et al. (2009)
STZ	Mice	Decreased					Balu et al. (2009)
STZ	Mice	Decreased	Decreased			Fluoxetine	Beauquis et al. (2006)
STZ	Mice	Decreased	Decreased	Decreased		EE	Beauquis et al. (2010)
STZ	Mice	Decreased			Imp. Cog.	Mifepristone	Revsin et al. (2009)
STZ	Mice	Decreased				Estradiol	Saravia et al. (2004)
STZ	Mice	Decreased				Estradiol	Saravia et al. (2006)
STZ	Rat	Decreased					Jackson-Guilford et al. (2000)
STZ	Rat	Decreased			Imp. Cog.	EE	Piazza et al. (2010)
STZ	Rat	Decreased	No change	Decreased	Imp. Cog.	HPA Mod.	Stranahan et al. (2008)
STZ	Rat	Decreased	Decreased	Decreased	Dep. Beh.	Aminoguan.	Wang et al. (2009)
STZ	Rat	Decreased	Decreased	Decreased			Zhang et al. (2008)
NOD	Mice	Decreased	No change	Decreased			Beauquis et al. (2008)
T2D Models							
db/db	Mice	Decreased	No change	Decreased	Imp. Cog.	HPA Mod.	Stranahan et al. (2008)
db/db	Mice	Increased	No change			GLP-1 anal.	Hamilton et al. (2011)
GK	Rat	Increased	Increased	No Change			Beauquis et al. (2010)
GK	Rat	Increased		Decreased			Lang et al. (2009)
ZD	Rat	Decreased	Decreased			Exercise	Yi et al. (2009)
HFD	Rat	Decreased					Lindqvist et al. (2006)
HFD	Mice	Decreased	Decreased				Hwang et al. (2008)
HFD	Mice	Decreased					Park et al. (2010)
HFD	Rat	No effect				CB1 inv. agonist	Rivera et al. (2011)
HFD	Mice	Decreased				Age	Boitard et al. (2012)
HFD	Mice	Increased				GLP-1 anal.	Hamilton et al. (2011)

Abbreviations: Mod., model; Spec., species; Assoc., associated; STZ, streptozotocin; NOD, non-obese diabetic; GK, Goto-Kakizaki; ZD, Zucker Diabetic; Imp. Cog., impaired cognition; Dep. Beh., depressive behavior; EE, environmental enrichment; HPA Mod., HPA modulation; Aminoguan., aminoguanidine

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Table 2

Behavioral Tests Used to Study the Effects of Diabetes on Behavior

Test	Assessment	Description	Interpretation
Conditioned Active Avoidance Test	Associative Learning and Memory	Rodents learn that a particular cue signals them to relocate to a different compartment of the cage in order to avoid negative reinforcement (shock). Measures the number of avoidance trials and latency to escape.	Increase in the number of avoidance trials and decreased latency to escape indicates successful learning (Alvarez et al., 2009).
Forced Swim Test	Vulnerability to Stress-Induced Depression/Antidepressant Efficacy	Rodents are placed in a cylinder of water. Initially rodents attempt to escape with climbing or explore with swimming behaviors, but then develop immobility. Measures the duration of immobility or active behaviors.	Increased immobility (decreased struggling) suggests increased display of passive stress coping and vulnerability to stress-induced depression-like behavior. Antidepressant medications consistently decrease immobility (Cryan et al., 2005).
Morris Water Maze	Spatial Learning and Memory	Rodents are placed in a large pool of water and learn the location of a hidden platform. Measures the latency to find the platform and the length of path taken to find the platform.	Spatial learning is measured by the decreased latency to find the hidden platform and the decreased length of the path taken to find the platform over trials (Sharma et al., 2010b).
Novel Object-Placement Recognition Task	Spatial Memory	Rodents are allowed to explore two objects. After a delay, rodents are given a second opportunity to explore the objects but one object is moved to a different location. The time spent sniffing the relocated object is the primary measure.	When a rodent spends more time sniffing the relocated object (usually calculated as a percent), it suggests the rodent remembers or knows the object was moved (Revsin et al., 2009).
Novel Object Recognition/Preference Test	Recognition Memory	Rodents are allowed to explore two objects. After a delay, rodents are given a second opportunity to explore the objects but one object is replaced with a novel object. The time spent sniffing the novel object is the primary measure.	When a rodent spends more time sniffing the novel object (usually calculated as a percent), it suggests the rodent remembers the previously presented object (Gandal et al., 2008).
Sucrose Preference/Consumption Test	Affective State	This test makes use of the natural preference that rodents have for sweet foods. Typically after food and water deprivation, experimenter presents water and a sucrose solution for animals to drink. Document amounts of solutions consumed.	Decreased consumption of the sucrose solution suggests the solution is not as rewarding or pleasurable. This change represents increased anhedonic behavior (Wang et al., 2009a).
Y-Maze	Memory	This test uses rodents' natural preference to explore new places. There are three arms to the maze and rodents are allowed to explore the maze freely. Arm entries are recorded.	Rodents ordinarily prefer to alternate between the three arms. A rodent with impaired memory will re-enter an arm that it just explored (Iwai et al., 2009).

Table 3

Effects of Diabetes on Hippocampal Dendritic Branching/Density/Complexity

Model	Species	Effect on Dendritic Branching	Associated Behavior	Treatments that Reversed Changes	Reference
STZ	Mice	Decreased		Environmental Enrichment	Beauquis et al. (2010)
STZ	Rat	Decreased	Impaired Cognition		Malone et al. (2008)
STZ	Rats	Decreased			Magarinos et al. (2000)
STZ	Rats	Decreased		Porcine Islets	Magarinos et al. (2001)
STZ	Rats	Decreased			Martinez-Tellez et al. (2005)
High Fat	Rat	Decreased	Impaired Cognition		Stranahan et al. (2008)
db/db	Mouse	Decreased		WR and/or CR	Stranahan et al. (2009)

Abbreviations: STZ, streptozotocin; WR, wheel running; CR, caloric restriction

Table 4

Effects of Diabetes on Hippocampal Long-Term Potentiation

Model	Species	Effect on LTP	Effect on LTD	Associated Behavior	Treatments that Reversed Changes	Reference
STZ	Rat	Decreased			Nimodipine (PR)	Biessels et al. (2005)
STZ	Rat (j)	No Change	Decreased	Imp. Cog.	GLP-1 Amide	Iwai et al. (2009)
STZ	Rat	Decreased			Insulin	Izumi et al. (2003)
STZ	Rat (f)	Decreased		Imp. Cog.	Electroacupuncture	Jing et al. (2008)
STZ	Rat	Decreased	Increased	Imp. Cog.		Kamal et al. (2000)
STZ	Rat	Decreased				Kamal et al. (2005)
STZ	Rat	Decreased		Imp. Cog.	Enalapril (PR)	Manschot et al. (2002)
STZ	Rat	Decreased (DG)	None		Aldosterone	Stranahan et al. (2010)
High Fat	Mice	Decreased		Imp. Cog.	Exendin 4	Gault et al. (2010)
High Fat	Rat	Decreased		Imp. Cog.		Stranahan et al. (2008)
High Fat	Mice	Decreased		Imp. Cog.	(d-Ala2)GIP	Porter et al. (2011)
ZD	Rat	Decreased, No Change (DG)				Alzoubi et al. (2005)
ZD	Rat	No Change		No Imp. Cog		Belanger et al. (2004)

Abbreviations: f, females; j, juvenile; STZ, streptozotocin; ZD, Zucker Diabetic; LTP, long-term potentiation; LTD, long-term depression; Imp. Cog., impaired cognition; PR, partial reversal

Note. All studies were conducted in adult male rodents unless noted otherwise. Additionally, all LTP and LDP recordings were conducted in the CA1 region unless noted otherwise.

Table 5

Effects of Diabetes on Hippocampal Apoptosis

Model	Species	Effect on Apoptosis	Associated Behavior	Treatments that Reversed Changes	Reference
STZ	Rat	Increased	Impaired Cognition		Anarkooli et al. (2008)
STZ	Rat	Increased		Fish oil n-3 Essential Fatty Acid	Cosar et al. (2008)
STZ	Rat	Increased			Duarte et al. (2007)
STZ	Rat	Increased		Radiation Therapy	Kang et al. (2005)
STZ	Rat	Increased	Impaired Cognition	Tocotrienol	Kuhad et al. (2008)
STZ	Rat	Increased		dl-3-n-butylphthalide	Zhang et al. (2010)
BB/Wor	Rat	Increased		C-peptide Replacement	Li et al. (2002)
BB/Wor	Rat	Increased			Li et al. (2005)
BB/Wor	Rat	Increased		C-Peptide Replacement	Sima et al. (2005)
BBZDR/Wor	Rat	Increased	Impaired Cognition		Li et al. (2002)

Abbreviations: STZ, streptozotocin

Figure 1

