



# Singularity categories of derived categories of hereditary algebras are derived categories



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## ABSTRACT

We show that for the path algebra  $A$  of an acyclic quiver, the singularity category of the derived category  $D^b(\text{mod } A)$  is triangle equivalent to the derived category of the functor category of  $\underline{\text{mod}} A$ , that is,  $D_{\text{sg}}(D^b(\text{mod } A)) \simeq D^b(\text{mod}(\underline{\text{mod}} A))$ . This extends a result in [14] for the path algebra  $A$  of a Dynkin quiver. An important step is to establish a functor category analog of Happel's triangle equivalence for repetitive algebras.

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## 1. Introduction

Let  $k$  be a field and  $A$  be a finite dimensional  $k$ -algebra. In [14], it was shown that if  $A$  is a representation-finite hereditary algebra, then there exists a triangle equivalence

$$\underline{\text{mod}} D^b(\text{mod } A) \simeq D^b(\text{mod } B), \quad (1.1)$$

where  $B$  is the stable Auslander algebra of  $A$ ,  $\text{mod } D^b(\text{mod } A)$  is the Frobenius category of finitely presented functors from  $D^b(\text{mod } A)$  to the category of abelian groups  $\mathcal{A}b$ , and  $\underline{\text{mod}} D^b(\text{mod } A)$  is its stable category.

In this paper, we extend the triangle equivalence (1.1) to the case when  $A$  is a representation-infinite hereditary algebra. In this case, the role of the stable Auslander algebra is played by the stable category  $\underline{\text{mod}} A$  of finitely generated  $A$ -modules. We denote by  $\text{mod}(\underline{\text{mod}} A)$  the category of finitely presented functors from the stable category  $\underline{\text{mod}} A$  to  $\mathcal{A}b$ . Our main result is the following.

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**Theorem 1.1** (Theorem 4.5). *Let  $A$  be a finite dimensional hereditary  $k$ -algebra. We have a triangle equivalence*

$$\underline{\text{mod}} D^b(\text{mod} A) \simeq D^b(\text{mod}(\underline{\text{mod}} A)). \quad (1.2)$$

Note that for a triangulated category  $\mathcal{T}$ , the stable category  $\underline{\text{mod}} \mathcal{T}$  is triangle equivalent to the singularity category  $D_{\text{sg}}(\mathcal{T}) = D^b(\text{mod} \mathcal{T})/K^b(\text{proj} \mathcal{T})$  [9,25] (see Theorem 2.17). Thus (1.2) can be rewritten as  $D_{\text{sg}}(D^b(\text{mod} A)) \simeq D^b(\text{mod}(\underline{\text{mod}} A))$ .

To prove Theorem 1.1, we need to give general preliminary results on functor categories and repetitive categories. The functor category  $\text{mod}(\underline{\text{mod}} A)$  is an abelian category with enough projectives and enough injectives since the category  $\underline{\text{mod}} A$  forms a dualizing  $k$ -variety, which is a distinguished class of  $k$ -linear categories introduced by Auslander and Reiten [3]. A key role is played by the repetitive category  $R(\underline{\text{mod}} A)$  of  $\underline{\text{mod}} A$ . Our first result implies that  $R(\underline{\text{mod}} A)$  is a dualizing  $k$ -variety.

**Theorem 1.2** (Theorem 3.7). *Let  $\mathcal{A}$  be a dualizing  $k$ -variety. Then the repetitive category  $R\mathcal{A}$  of  $\mathcal{A}$  is a dualizing  $k$ -variety.*

In particular,  $\text{mod} R\mathcal{A}$  is a Frobenius abelian category for any dualizing  $k$ -variety  $\mathcal{A}$ . We denote by  $\underline{\text{mod}} R\mathcal{A}$  the stable category of  $\text{mod} R\mathcal{A}$ , which is triangulated.

In the case where  $A$  is a representation finite hereditary algebra, the following theorem by Happel [13] plays an important role in the proof of the triangle equivalence (1.1): for a finite dimensional  $k$ -algebra  $A$  of finite global dimension, the bounded derived category of  $A$  is triangle equivalent to the stable category of the repetitive algebra of  $A$ . In Section 3, we show a categorical analog of this triangle equivalence for dualizing  $k$ -varieties. In fact, we deal with the following more general class of categories including dualizing  $k$ -varieties. For a  $k$ -linear additive category  $\mathcal{A}$ , we denote by  $\text{proj} \mathcal{A}$  the category of finitely generated projective  $\mathcal{A}$ -modules and by  $\text{mod} \mathcal{A}$  the category of  $\mathcal{A}$ -modules having resolutions by  $\text{proj} \mathcal{A}$ . We consider the following conditions:

- (IFP)  $D\mathcal{A}(X, -)$  is in  $\text{mod} \mathcal{A}$  for each  $X \in \mathcal{A}$ , where  $D = \text{Hom}_k(-, k)$ .
- (G)  $D\mathcal{A}(X, -)$  has finite projective dimension over  $\mathcal{A}$  for each  $X \in \mathcal{A}$ .

For example, if  $\mathcal{A}$  is a dualizing  $k$ -variety, then  $\mathcal{A}$  satisfies the condition (IFP). On the other hand, the condition (G) is a categorical version of Gorensteinness. Gorenstein-projective modules (also known as Cohen-Macaulay modules, totally reflexive modules) are an important class of modules. We denote by  $\text{GP}(R\mathcal{A}, \mathcal{A})$  the category of Gorenstein-projective  $R\mathcal{A}$ -modules of finite projective dimension as  $\mathcal{A}$ -modules (see Subsection 3.2). We prove the following.

**Theorem 1.3** (Corollaries 3.17, 3.18). *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category.*

- (a) *Assume that  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (IFP) and (G). Then we have a triangle equivalence*

$$K^b(\text{proj} \mathcal{A}) \simeq \underline{\text{GP}}(R\mathcal{A}, \mathcal{A}).$$

- (b) *Assume that  $\mathcal{A}$  is a dualizing  $k$ -variety. If each object of  $\text{mod} \mathcal{A}$  and  $\text{mod}(\mathcal{A}^{\text{op}})$  has finite projective dimension, then we have a triangle equivalence*

$$D^b(\text{mod} \mathcal{A}) \simeq \underline{\text{mod}} R\mathcal{A}.$$

We refer to [6,14,17,18,21,22,24,28] for recent results which realize stable categories as derived categories in different settings.

In Section 4, we show the following theorem, which together with Theorem 1.3 implies Theorem 1.1.

**Theorem 1.4** (Theorem 4.3). *Let  $A$  be a finite dimensional representation-infinite hereditary  $k$ -algebra. Then we have an equivalence of additive categories*

$$\mathbf{R}(\underline{\mathbf{mod}} A) \simeq \mathbf{D}^b(\mathbf{mod} A).$$

**Notation.** In this paper, we denote by  $k$  a field. All subcategories are full and closed under isomorphisms. Let  $\mathcal{C}$  be an additive category and  $\mathcal{S}$  be a subclass of objects of  $\mathcal{C}$  or a subcategory of  $\mathcal{C}$ . We denote by  $\mathbf{add} \mathcal{S}$  the subcategory of  $\mathcal{C}$  whose objects are direct summands of finite direct sums of objects in  $\mathcal{S}$ . For subcategories  $\mathcal{C}_i$  ( $i \in I$ ) of  $\mathcal{C}$ , we denote by  $\bigvee_{i \in I} \mathcal{C}_i$  the smallest additive subcategory of  $\mathcal{C}$  containing all  $\mathcal{C}_i$  and closed under direct summands. For objects  $X, Y \in \mathcal{C}$ , we denote by  $\mathcal{C}(X, Y)$  the set of morphisms from  $X$  to  $Y$  in  $\mathcal{C}$ . We call a category *skeletally small* if the class of isomorphism classes of objects is a set.

## 2. Preliminaries

Throughout this section, we assume that  $\mathcal{A}$  is a skeletally small category.

### 2.1. Functor categories

In this subsection, we recall the definition of modules over categories. Let  $\mathcal{A}$  be an additive category. An  $\mathcal{A}$ -module is a contravariant additive functor from  $\mathcal{A}$  to  $\mathcal{Ab}$ , where  $\mathcal{Ab}$  is the category of abelian groups. We denote by  $\mathbf{Mod} \mathcal{A}$  the category of  $\mathcal{A}$ -modules, where morphisms of  $\mathbf{Mod} \mathcal{A}$  are morphisms of functors. Since  $\mathcal{A}$  is skeletally small,  $\mathbf{Mod} \mathcal{A}$  is a category. It is well known that  $\mathbf{Mod} \mathcal{A}$  is abelian.

For two morphisms  $f : L \rightarrow M$  and  $g : M \rightarrow N$  of  $\mathbf{Mod} \mathcal{A}$ , the sequence  $L \rightarrow M \rightarrow N$  is exact in  $\mathbf{Mod} \mathcal{A}$  if and only if the induced sequence  $L(X) \rightarrow M(X) \rightarrow N(X)$  is exact in  $\mathcal{Ab}$  for any  $X \in \mathcal{A}$ .

**Example 2.1.** For each  $X \in \mathcal{A}$ , a representable functor  $\mathcal{A}(-, X)$  is an  $\mathcal{A}$ -module. By Yoneda's lemma,  $\mathcal{A}(-, X)$  is projective in  $\mathbf{Mod} \mathcal{A}$ .

The following notation is basic and used throughout this paper. We call an  $\mathcal{A}$ -module  $M$  *finitely generated* if there exists an epimorphism  $\mathcal{A}(-, X) \rightarrow M$  in  $\mathbf{Mod} \mathcal{A}$  for some  $X \in \mathcal{A}$ . We denote by  $\mathbf{proj} \mathcal{A}$  the subcategory of  $\mathbf{Mod} \mathcal{A}$  consisting of all finitely generated projective  $\mathcal{A}$ -modules. Note that finitely generated projective modules are precisely direct summands of representable functors. We need the following notation which is called  $FP_n$  in some sources (e.g. [7,8]).

**Definition 2.2.** Let  $\mathcal{A}$  be an additive category and  $n \geq 0$  be an integer.

- (1) We denote by  $\mathbf{mod}_n \mathcal{A}$  the subcategory of  $\mathbf{Mod} \mathcal{A}$  consisting of all  $\mathcal{A}$ -modules  $M$  such that there exists an exact sequence

$$P_n \rightarrow \cdots \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$$

in  $\mathbf{Mod} \mathcal{A}$ , where  $P_i$  is in  $\mathbf{proj} \mathcal{A}$  for each  $0 \leq i \leq n$ .

- (2) We denote by  $\mathbf{mod} \mathcal{A}$  the subcategory of  $\mathbf{Mod} \mathcal{A}$  consisting of all  $\mathcal{A}$ -modules  $M$  such that there exists an exact sequence

$$\cdots \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$$

in  $\text{Mod}\mathcal{A}$ , where  $P_i$  is in  $\text{proj}\mathcal{A}$  for each  $i \geq 0$ .

The following lemma is a basic observation on  $\text{mod}_n\mathcal{A}$ , see [8, Chapter VIII, Proposition 4.3, Lemma 4.4] in the case where modules over a ring.

**Lemma 2.3.** *The following statements hold for an additive category  $\mathcal{A}$ .*

- (a) *Let  $M \in \text{mod}_n\mathcal{A}$ . Assume that there exists an exact sequence  $P_l \rightarrow P_{l-1} \rightarrow \cdots \rightarrow P_0 \rightarrow M \rightarrow 0$  with  $P_i \in \text{proj}\mathcal{A}$  and  $l \leq n$ . Then there exist  $P_{l+1}, \dots, P_n \in \text{proj}\mathcal{A}$  and an exact sequence  $P_n \rightarrow P_{n-1} \rightarrow \cdots \rightarrow P_0 \rightarrow M \rightarrow 0$  extending the given exact sequence.*
- (b) *Let  $M \in \text{Mod}\mathcal{A}$ . Assume that there exist two exact sequences*

$$\begin{aligned} 0 \rightarrow K \rightarrow P_n \rightarrow P_{n-1} \rightarrow \cdots \rightarrow P_0 \rightarrow M \rightarrow 0, \\ 0 \rightarrow L \rightarrow Q_n \rightarrow Q_{n-1} \rightarrow \cdots \rightarrow Q_0 \rightarrow M \rightarrow 0, \end{aligned}$$

where  $P_i, Q_i \in \text{proj}\mathcal{A}$  for each  $i \geq 0$ . Then there exist  $P, Q \in \text{proj}\mathcal{A}$  such that  $K \oplus P \simeq L \oplus Q$ .

**Proof.** (a) This follows from (b).

(b) The case where  $n = 0$  is well known as Schanuel's Lemma. The case where  $n > 0$  is shown by an induction on  $n$  and by using the case where  $n = 0$ .  $\square$

The following lemma gives a sufficient condition when an  $\mathcal{A}$ -module is in  $\text{mod}_n\mathcal{A}$ . For simplicity, we use the notation  $\text{mod}_{-1}\mathcal{A} := \text{Mod}\mathcal{A}$ ,  $\text{mod}_\infty\mathcal{A} := \text{mod}\mathcal{A}$  and  $\infty - 1 := \infty$ .

**Lemma 2.4.** *Let  $\mathcal{A}$  be an additive category and  $M$  be an  $\mathcal{A}$ -module. Then we have the following properties.*

- (a)  $\text{mod}\mathcal{A} = \bigcap_{n \geq 0} \text{mod}_n\mathcal{A}$  holds.
- (b) *Let  $n \in \mathbb{Z}_{\geq 0} \cup \{\infty\}$ . For an exact sequence  $0 \rightarrow L \xrightarrow{f} M \rightarrow N \rightarrow 0$  in  $\text{Mod}\mathcal{A}$  with  $L \in \text{mod}_{n-1}\mathcal{A}$  and  $M \in \text{mod}_n\mathcal{A}$ , we have  $N \in \text{mod}_n\mathcal{A}$ .*
- (c) *Let  $n \geq 0$  be an integer. If there exists an exact sequence  $X_n \xrightarrow{f_n} X_{n-1} \xrightarrow{f_{n-1}} \cdots \rightarrow X_0 \xrightarrow{f_0} M \rightarrow 0$  in  $\text{Mod}\mathcal{A}$  with  $X_i \in \text{mod}_{n-i}\mathcal{A}$  for any  $0 \leq i \leq n$ , then we have  $M \in \text{mod}_n\mathcal{A}$ .*
- (d) *If there exists an exact sequence  $\cdots \rightarrow X_2 \rightarrow X_1 \rightarrow X_0 \rightarrow M \rightarrow 0$  in  $\text{Mod}\mathcal{A}$  with  $X_i \in \text{mod}_i\mathcal{A}$  for any  $i \geq 0$ , then we have  $M \in \text{mod}\mathcal{A}$ .*

**Proof.** (a) In general  $\text{mod}\mathcal{A} \subset \text{mod}_n\mathcal{A}$  holds for each  $n \geq 0$ . The converse follows from Lemma 2.3 (a).

(b) Assume that  $n \neq \infty$ . We have the following double complex

$$\begin{array}{ccccccc} Q_{n-1} & \xrightarrow{g_{n-1}} & \cdots & \rightarrow & Q_1 & \xrightarrow{g_1} & Q_0 \xrightarrow{\pi_L} L \rightarrow 0 \\ \downarrow f_{n-1} & & & & \downarrow f_1 & & \downarrow f_0 \quad \downarrow f \\ P_n & \xrightarrow{h_n} & P_{n-1} & \xrightarrow{h_{n-1}} & \cdots & \rightarrow & P_1 \xrightarrow{h_1} P_0 \xrightarrow{\pi_M} M \rightarrow 0 \end{array}$$

in  $\text{Mod}\mathcal{A}$ , where all small squares are anticommutative,  $N = \text{Cok}(f)$ , each horizontal sequence is exact except at  $P_n$  and  $Q_{n-1}$  respectively, and every  $P_i$  and  $Q_i$  are in  $\text{proj}\mathcal{A}$ . We denote by  $\mathbb{M}$  this double complex and denote by  $\text{Tot}(\mathbb{M})$  the total complex of  $\mathbb{M}$ , that is,  $\text{Tot}(\mathbb{M})_0 := M$ ,  $\text{Tot}(\mathbb{M})_{-1} := L \oplus P_0$ , and  $\text{Tot}(\mathbb{M})_{-m} := Q_{m-2} \oplus P_{m-1}$  for  $m = 2, \dots, n+1$ , see [27, Subsection 1.2] more details. Since each

horizontal sequence is exact except at  $P_n$  and  $Q_{n-1}$  respectively, we have  $H^{-i}(\text{Tot}(\mathbb{M})) = 0$  for  $i = 0, \dots, n$  by [27, Lemma 2.7.3]. Moreover, we denote by  $\mathbb{P}$  a double complex which is obtained by removing  $L$  and  $M$  from  $\mathbb{M}$ . Namely,  $\text{Tot}(\mathbb{P})_{-m} = Q_{m-2} \oplus P_{m-1}$  for  $m = 1, \dots, n+1$ , where  $Q_{-1} = 0$ , and  $\text{Tot}(\mathbb{P})_{-m} = 0$  if otherwise. Let  $\mathbb{X} := (L \xrightarrow{f} M)$ . Then we have a morphism of chain complexes of  $\text{Mod}\mathcal{A}$ ,  $\pi = \{\pi_L, \pi_M\} : \text{Tot}(\mathbb{P})[-1] \rightarrow \mathbb{X}$  with the mapping cone  $\text{Tot}(\mathbb{M})$ . By taking homologies, we have  $H^0(\text{Tot}(\mathbb{P})[-1]) \simeq N$  and  $H^{-i}(\text{Tot}(\mathbb{P})[-1]) = 0$  for  $i = 1, \dots, n-1$ . Since  $(\text{Tot}(\mathbb{P})[-1])_{-m} \in \text{proj}\mathcal{A}$  for  $m = 0, \dots, n$ ,  $N$  is an object of  $\text{mod}_n\mathcal{A}$ . If  $n = \infty$ , then by a similar argument, we have the assertion.

(c) We show that  $\text{Im}(f_i) \in \text{mod}_{n-i}\mathcal{A}$  by a descending induction on  $i = n, n-1, \dots, 0$ . Since  $X_n \in \text{mod}_0\mathcal{A}$ ,  $\text{Im}(f_n) \in \text{mod}_0\mathcal{A}$  holds. Assume that  $\text{Im}(f_{i+1}) \in \text{mod}_{n-i-1}\mathcal{A}$  holds. We have a short exact sequence  $0 \rightarrow \text{Im}(f_{i+1}) \rightarrow X_i \rightarrow \text{Im}(f_i) \rightarrow 0$ . By (b), we have  $\text{Im}(f_i) \in \text{mod}_{n-i}\mathcal{A}$ . Therefore,  $M = \text{Im}(f_0) \in \text{mod}_n\mathcal{A}$  holds.

(d) By (c), we have  $M \in \text{mod}_n\mathcal{A}$  for any  $n \geq 0$ . Thus by (a),  $M \in \text{mod}\mathcal{A}$  holds.  $\square$

Let  $\mathcal{A}$  be an abelian category and  $\mathcal{B}$  be a subcategory of  $\mathcal{A}$ . We say that  $\mathcal{B}$  is a *thick* subcategory of  $\mathcal{A}$  if  $\mathcal{B}$  is closed under direct summands and for any exact sequence  $0 \rightarrow X \rightarrow Y \rightarrow Z \rightarrow 0$  in  $\mathcal{A}$ , if two of  $X, Y, Z$  are in  $\mathcal{A}$ , then so is the third. We have the following observation on the categories  $\text{mod}_n\mathcal{A}$ .

**Lemma 2.5.** *Let  $\mathcal{A}$  be an additive category. Then we have the following statements.*

- (a)  $\text{mod}_n\mathcal{A}$  is closed under extensions and direct summands in  $\text{Mod}\mathcal{A}$  for each  $n \geq 0$ .
- (b) (e.g. [12, Proposition 2.6])  $\text{mod}\mathcal{A}$  is a thick subcategory of  $\text{Mod}\mathcal{A}$ .

**Proof.** (a) By Horseshoe Lemma,  $\text{mod}_n\mathcal{A}$  is closed under extensions in  $\text{Mod}\mathcal{A}$ . Let  $X \oplus Y \in \text{mod}_n\mathcal{A}$ . We show that  $X, Y \in \text{mod}_n\mathcal{A}$  by an induction on  $n$ . If  $n = 0$ , then the claim is clear. Assume  $n > 0$ . Since  $X \oplus Y \in \text{mod}_n\mathcal{A} \subset \text{mod}_{n-1}\mathcal{A}$  holds, by the inductive hypothesis, we have  $X, Y \in \text{mod}_{n-1}\mathcal{A}$ . Then by Lemma 2.4 (b), we have  $X, Y \in \text{mod}_n\mathcal{A}$ .

(b) By (a) and Lemma 2.4 (a),  $\text{mod}\mathcal{A}$  is closed under extensions and direct summands. Let  $0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$  be an exact sequence in  $\text{Mod}\mathcal{A}$ . By Lemma 2.4 (b), if  $L, M \in \text{mod}\mathcal{A}$ , then  $N \in \text{mod}\mathcal{A}$  holds. Assume that  $M, N \in \text{mod}\mathcal{A}$ . There exists an exact sequence  $0 \rightarrow \Omega N \rightarrow P \rightarrow N \rightarrow 0$  such that  $P \in \text{proj}\mathcal{A}$  and  $\Omega N \in \text{mod}\mathcal{A}$ . By taking a pull-back diagram of  $M \rightarrow N \leftarrow P$ , we have an exact sequence  $0 \rightarrow \Omega N \rightarrow P \oplus L \rightarrow M \rightarrow 0$ . Since  $\text{mod}\mathcal{A}$  is closed under extensions and direct summands, we have  $L \in \text{mod}\mathcal{A}$ .  $\square$

## 2.2. Gorenstein-projective modules

We define Gorenstein-projective modules. Let  $\mathcal{A}$  be an additive category. We first define a contravariant functor

$$(-)^* : \text{Mod}\mathcal{A} \rightarrow \text{Mod}(\mathcal{A}^{\text{op}})$$

as follows: for  $M \in \text{Mod}\mathcal{A}$  and  $X \in \mathcal{A}^{\text{op}}$ , let  $(M)^*(X) := (\text{Mod}\mathcal{A})(M, \mathcal{A}(-, X))$ . By the same way, we define a contravariant functor  $(-)^* : \text{Mod}(\mathcal{A}^{\text{op}}) \rightarrow \text{Mod}\mathcal{A}$ . If  $M$  is a representable functor from  $\mathcal{A}$  to  $\mathcal{A}b$ , then  $(M)^*$  is also a representable functor from  $\mathcal{A}$  to  $\mathcal{A}b$  by Yoneda's lemma. Let  $P_\bullet := (P_i, d_i : P_i \rightarrow P_{i+1})_{i \in \mathbb{Z}}$  be a complex of finitely generated projective  $\mathcal{A}$ -modules. We say that  $P_\bullet$  is *totally acyclic* if complexes  $P_\bullet$  and  $\dots \rightarrow (P_{i+1})^* \rightarrow (P_i)^* \rightarrow (P_{i-1})^* \rightarrow \dots$  are acyclic.

**Definition 2.6.** Let  $\mathcal{A}$  be an additive category. An  $\mathcal{A}$ -module  $M$  is said to be *Gorenstein-projective* if there exists a totally acyclic complex  $P_\bullet$  of finitely generated projective  $\mathcal{A}$ -modules such that  $\text{Im } d_0$  is isomorphic to  $M$ . We denote by  $\text{GPA}$  the full subcategory of  $\text{Mod}\mathcal{A}$  consisting of all Gorenstein-projective  $\mathcal{A}$ -modules.

For instance, a finitely generated projective  $\mathcal{A}$ -module is Gorenstein-projective. In general,  $\mathrm{GP}\mathcal{A} \subset \mathrm{mod}\mathcal{A}$  holds. We see a fundamental property of Gorenstein-projective modules.

Let  $\mathcal{W}$  be a subcategory of  $\mathrm{Mod}\mathcal{A}$ . We denote by  ${}^\perp\mathcal{W}$  the subcategory of  $\mathrm{Mod}\mathcal{A}$  consisting of  $\mathcal{A}$ -modules  $M$  satisfying  $\mathrm{Ext}_{\mathrm{Mod}\mathcal{A}}^i(M, W) = 0$  for any  $W \in \mathcal{W}$  and any  $i > 0$ . We denote by  $\mathcal{X}_{\mathcal{W}}$  the subcategory of  ${}^\perp\mathcal{W}$  consisting of  $\mathcal{A}$ -modules  $M$  such that there exists an exact sequence  $0 \rightarrow M \rightarrow W_0 \xrightarrow{f_0} W_1 \xrightarrow{f_1} \cdots$  with  $W_i \in \mathcal{W}$  and  $\mathrm{Im} f_i \in {}^\perp\mathcal{W}$  for any  $i \geq 0$ . By [4, Proposition 5.1],  $\mathcal{X}_{\mathrm{proj}\mathcal{A}}$  is closed under extensions, direct summands and kernels of epimorphisms in  $\mathrm{Mod}\mathcal{A}$ .

**Lemma 2.7.** *Let  $\mathcal{A}$  be an additive category. Then the following holds.*

- (a) *The functor  $(-)^* : \mathrm{Mod}\mathcal{A} \rightarrow \mathrm{Mod}(\mathcal{A}^{\mathrm{op}})$  induces a duality  $(-)^* : \mathrm{GP}\mathcal{A} \rightarrow \mathrm{GP}(\mathcal{A}^{\mathrm{op}})$ .*
- (b)  *$\mathcal{X}_{\mathrm{proj}\mathcal{A}} \cap \mathrm{mod}\mathcal{A} = \mathrm{GP}\mathcal{A}$  holds. In particular,  $\mathrm{GP}\mathcal{A}$  is closed under extensions, direct summands and kernels of epimorphisms in  $\mathrm{Mod}\mathcal{A}$ .*

**Proof.** (a) This follows from the definition of  $\mathrm{GP}\mathcal{A}$  and the fact that  $(-)^*$  induces a duality between  $\mathrm{proj}\mathcal{A}$  and  $\mathrm{proj}(\mathcal{A}^{\mathrm{op}})$ .

(b) By the definition of  $\mathrm{GP}\mathcal{A}$ , we have  $\mathrm{mod}\mathcal{A} \supset \mathrm{GP}\mathcal{A}$ . Let  $M \in \mathrm{GP}\mathcal{A}$  and  $P_\bullet := (P_i, d_i : P_i \rightarrow P_{i+1})_{i \in \mathbb{Z}}$  be a totally acyclic complex of finitely generated projective  $\mathcal{A}$ -modules such that  $\mathrm{Im} d_0$  is isomorphic to  $M$ . Since  $P_\bullet$  is a totally acyclic complex,  $\mathrm{Im} d_i \in {}^\perp(\mathrm{proj}\mathcal{A})$  hold for every  $i \in \mathbb{Z}$ . This, together with the exact sequence  $0 \rightarrow M \rightarrow P_1 \rightarrow P_2 \rightarrow \cdots$ , implies that  $M \in \mathcal{X}_{\mathrm{proj}\mathcal{A}}$ .

Conversely, let  $M \in \mathcal{X}_{\mathrm{proj}\mathcal{A}} \cap \mathrm{mod}\mathcal{A}$ . Then there exists an exact sequence  $P_\bullet = (P_i, d_i : P_i \rightarrow P_{i+1})_{i \in \mathbb{Z}}$ , where  $M \simeq \mathrm{Im} d_0$ ,  $P_i \in \mathrm{proj}\mathcal{A}$  for any  $i \in \mathbb{Z}$  and  $\mathrm{Im} d_i \in {}^\perp(\mathrm{proj}\mathcal{A})$  for any  $i \geq 1$ . We have a projective resolution  $\cdots \rightarrow P_{i-2} \rightarrow P_{i-1} \rightarrow P_i \rightarrow \mathrm{Im} d_i \rightarrow 0$  of  $\mathrm{Im} d_i$  for each integer  $i \geq 1$ . Since  $\mathrm{Im} d_i \in {}^\perp(\mathrm{proj}\mathcal{A})$ , we have an exact sequence  $0 \rightarrow (\mathrm{Im} d_i)^* \rightarrow (P_i)^* \rightarrow (P_{i-1})^* \rightarrow (P_{i-2})^* \rightarrow \cdots$ . Therefore  $P_\bullet$  is totally acyclic.

The last assertion follows from Lemma 2.5 and [4, Proposition 5.1].  $\square$

Let  $\mathcal{B}$  be an extension closed subcategory of an abelian category  $\mathcal{A}$ . Then  $\mathcal{B}$  has an induced structure of an exact category if we define a short exact sequence in  $\mathcal{B}$  as a short exact sequence in  $\mathcal{A}$  whose terms are in  $\mathcal{B}$ . We say that an object  $Z$  in  $\mathcal{B}$  is *relative-projective* if any short exact sequence  $0 \rightarrow X \rightarrow Y \rightarrow Z \rightarrow 0$  in  $\mathcal{B}$  splits. Dually, we define *relative-injective* objects. We say that  $\mathcal{B}$  has *enough projectives* if for any  $X \in \mathcal{B}$ , there exists a short exact sequence  $0 \rightarrow Z \rightarrow P \rightarrow X \rightarrow 0$  in  $\mathcal{B}$  such that  $P$  is relative-projective. We say that  $\mathcal{B}$  has *enough injectives* if the dual condition is satisfied. An extension closed subcategory  $\mathcal{B}$  of  $\mathcal{A}$  is said to be *Frobenius* if  $\mathcal{B}$  has enough projectives, enough injectives and the relative-projective objects coincide with the relative-injective objects.

The following observation is immediate (cf. [11]). Here, for the convenience of the reader, we give a proof.

**Proposition 2.8.** *Let  $\mathcal{A}$  be an additive category. Then  $\mathrm{GP}\mathcal{A}$  is a Frobenius category, where the relative-projective objects are precisely the finitely generated projective  $\mathcal{A}$ -modules.*

**Proof.** By Lemma 2.7 (b),  $\mathrm{GP}\mathcal{A}$  is extension closed in  $\mathrm{Mod}\mathcal{A}$ . Clearly, the finitely generated projective  $\mathcal{A}$ -modules are contained in  $\mathrm{GP}\mathcal{A}$  and are relative-projective in  $\mathrm{GP}\mathcal{A}$ . Conversely, let  $M$  be a relative-projective  $\mathcal{A}$ -module in  $\mathrm{GP}\mathcal{A}$  and  $P_\bullet := (P_i, d_i : P_i \rightarrow P_{i+1})_{i \in \mathbb{Z}}$  be a totally acyclic complex of finitely generated projective  $\mathcal{A}$ -modules such that  $\mathrm{Im} d_0$  is isomorphic to  $M$ . Since  $M$  is relative-projective, the exact sequence  $0 \rightarrow \mathrm{Im}(d_{-1}) \rightarrow P_0 \rightarrow M \rightarrow 0$  splits. Therefore the relative-projective objects in  $\mathrm{GP}\mathcal{A}$  are precisely the finitely generated projective  $\mathcal{A}$ -modules. This implies that  $\mathrm{GP}\mathcal{A}$  has enough projectives.

Since the duality  $(-)^* : \mathrm{GP}\mathcal{A} \rightarrow \mathrm{GP}(\mathcal{A}^{\mathrm{op}})$  induces a duality between  $\mathrm{proj}\mathcal{A}$  and  $\mathrm{proj}(\mathcal{A}^{\mathrm{op}})$ , the relative-injective objects in  $\mathrm{GP}\mathcal{A}$  are precisely the finitely generated projective  $\mathcal{A}$ -modules and  $\mathrm{GP}\mathcal{A}$  has enough

injectives. Therefore  $\text{GP}\mathcal{A}$  is a Frobenius category such that the relative-projective objects are precisely the finitely generated projective  $\mathcal{A}$ -modules.  $\square$

### 2.3. Dualizing $k$ -varieties and Serre dualities

In this subsection, we recall the definition of dualizing  $k$ -varieties. Let  $\mathcal{A}$  be an additive category. We call an object of  $\text{mod}_1\mathcal{A}$  a *finitely presented*  $\mathcal{A}$ -module.

A morphism  $X \rightarrow Y$  in  $\mathcal{A}$  is a *weak kernel* of a morphism  $Y \rightarrow Z$  if the induced sequence  $\mathcal{A}(-, X) \rightarrow \mathcal{A}(-, Y) \rightarrow \mathcal{A}(-, Z)$  is exact in  $\text{Mod}\mathcal{A}$ . We say that  $\mathcal{A}$  has weak kernels if each morphism in  $\mathcal{A}$  has a weak kernel. The following lemma says when an additive category has weak kernels.

**Lemma 2.9.** *Let  $\mathcal{A}$  be an additive category. The following statements are equivalent.*

- (i)  $\mathcal{A}$  has weak kernels.
- (ii)  $\text{mod}_1\mathcal{A}$  is an abelian subcategory of  $\text{Mod}\mathcal{A}$ .
- (iii)  $\text{mod}_1\mathcal{A} = \text{mod}\mathcal{A}$  holds.

**Proof.** It is well known that (i) implies (ii), see [2, Proposition 2.1] for instance. Clearly, (ii) implies (i). The statements (i) and (iii) are equivalent by [12, Proposition 2.7].  $\square$

Let  $\mathcal{A}$  be an additive category and  $X \in \mathcal{A}$ . A morphism  $e : X \rightarrow X$  in  $\mathcal{A}$  is called an *idempotent* if  $e^2 = e$ . We call  $\mathcal{A}$  *idempotent complete* if each idempotent of  $\mathcal{A}$  has a kernel.

Let  $k$  be a field. A  *$k$ -linear category*  $\mathcal{A}$  is a category such that  $\mathcal{A}(X, Y)$  is equipped with a structure of  $k$ -module and the composition of morphisms of  $\mathcal{A}$  is  $k$ -bilinear. A contravariant functor  $F : \mathcal{A} \rightarrow \mathcal{B}$  between  $k$ -linear categories is called  *$k$ -functor* if  $F_{X,Y} : \mathcal{A}(X, Y) \rightarrow \mathcal{B}(FY, FX)$  is  $k$ -linear for any  $X, Y \in \mathcal{A}$ . Let  $\mathcal{A}$  be a  $k$ -linear additive category and  $M$  be an  $\mathcal{A}$ -module. For each  $X \in \mathcal{A}$ , since  $\text{End}_{\mathcal{A}}(X)$  acts on  $M(X)$  and  $k$  acts on  $\text{End}_{\mathcal{A}}(X)$ ,  $M(X)$  is a  $k$ -module. By this action, we regard any  $\mathcal{A}$ -module as a contravariant  $k$ -functor from  $\mathcal{A}$  to  $\text{Mod}(k)$ , where  $\text{Mod}(k)$  is the category of  $k$ -modules.

Let  $\mathcal{A}$  be a  $k$ -linear additive category. We call  $\mathcal{A}$  *Hom-finite* if  $\mathcal{A}(X, Y)$  is finitely generated over  $k$  for any  $X, Y \in \mathcal{A}$ . We recall one proposition about the Krull-Schmidt property of  $k$ -linear additive categories (see [19] for details).

**Proposition 2.10.** *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category. Then the following properties are equivalent.*

- (i)  $\mathcal{A}$  is idempotent complete.
- (ii) The endomorphism algebra of each indecomposable object in  $\mathcal{A}$  is local.
- (iii)  $\mathcal{A}$  is Krull-Schmidt, that is, each object of  $\mathcal{A}$  is a finite direct sum of objects whose endomorphism algebras are local.

Moreover the decomposition of (iii) is unique up to isomorphism.

**Proposition 2.11.** *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category. Then  $\text{mod}\mathcal{A}$  is Krull-Schmidt. In particular, each object of  $\text{mod}\mathcal{A}$  has a minimal projective resolution.*

**Proof.** By Lemma 2.5,  $\text{mod}\mathcal{A}$  is closed under direct summands in  $\text{Mod}\mathcal{A}$ . Thus  $\text{mod}\mathcal{A}$  is idempotent complete. Since  $\mathcal{A}$  is Hom-finite,  $\text{mod}\mathcal{A}$  is also Hom-finite. By Proposition 2.10,  $\text{mod}\mathcal{A}$  is Krull-Schmidt.  $\square$

We recall the definition of dualizing  $k$ -varieties. We denote by  $D = \text{Hom}_k(-, k)$  the standard  $k$ -dual of  $k$ -modules. Let  $\mathcal{A}$  be a  $k$ -linear additive category. We have contravariant exact functors  $D : \text{Mod } \mathcal{A} \rightarrow \text{Mod}(\mathcal{A}^{\text{op}})$  and  $D : \text{Mod}(\mathcal{A}^{\text{op}}) \rightarrow \text{Mod } \mathcal{A}$  given by  $(DM)(X) := D(M(X))$ . We use the same letter  $D$  for the standard  $k$ -dual of  $k$ -modules and the contravariant functors between  $\text{Mod } \mathcal{A}$  and  $\text{Mod}(\mathcal{A}^{\text{op}})$ .

**Definition 2.12.** A *dualizing  $k$ -variety* is a  $k$ -linear, Hom-finite, idempotent complete additive category  $\mathcal{A}$  such that the functor  $D : \text{Mod } \mathcal{A} \rightarrow \text{Mod}(\mathcal{A}^{\text{op}})$  induces a duality between  $\text{mod}_1 \mathcal{A}$  and  $\text{mod}_1(\mathcal{A}^{\text{op}})$ .

The following are typical examples of dualizing  $k$ -varieties.

**Example 2.13.**

- (a) If  $\mathcal{A}$  is a dualizing  $k$ -variety, then  $\mathcal{A}^{\text{op}}$  is a dualizing  $k$ -variety.
- (b) Let  $A$  be a finite dimensional  $k$ -algebra and  $\text{mod } A$  be the category of finitely generated  $A$ -modules. Let  $\text{proj } A$  be the full subcategory of  $\text{mod } A$  consisting of all finitely generated projective  $A$ -modules. Then  $\text{mod } A$  and  $\text{proj } A$  are dualizing  $k$ -varieties by [3, Propositions 2.5, 2.6].

We state some properties of dualizing  $k$ -varieties.

**Lemma 2.14.** *Let  $\mathcal{A}$  be a dualizing  $k$ -variety, then we have the following properties.*

- (a)  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  have weak kernels.
- (b)  $\text{mod } \mathcal{A}$  is a dualizing  $k$ -variety.
- (c) Each object in  $\text{mod } \mathcal{A}$  has a projective cover and an injective hull.

**Proof.** The statement (a) follows from [3, Theorem 2.4]. By Lemma 2.9, we have  $\text{mod}_1 \mathcal{A} = \text{mod } \mathcal{A}$ . Then (b) follows from [3, Proposition 2.6]. By the definition of dualizing  $k$ -varieties, we have the duality  $D$  between  $\text{mod } \mathcal{A}$  and  $\text{mod}(\mathcal{A}^{\text{op}})$ . Thus by Proposition 2.11, (c) holds.  $\square$

Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category. A *Serre functor* on  $\mathcal{A}$  is a  $k$ -linear auto-equivalence  $S : \mathcal{A} \rightarrow \mathcal{A}$  together with  $k$ -linear isomorphisms

$$\eta_{X,Y} : \mathcal{A}(X, Y) \simeq D\left(\mathcal{A}(Y, S(X))\right)$$

for any  $X, Y \in \mathcal{A}$  which are functorial in  $X$  and  $Y$ . We denote by  $S^{-1}$  a quasi-inverse of  $S$ . It is easy to see that if  $\mathcal{A}$  has a Serre functor  $S$ , then  $S^{-1}$  is a Serre functor on  $\mathcal{A}^{\text{op}}$ .

If  $\mathcal{A}$  has a Serre functor  $S$ , then  $(-)^*$  is described as in the following lemma. Since  $S$  is an auto-equivalence, we have an equivalence  $\text{Mod } \mathcal{A} \rightarrow \text{Mod } \mathcal{A}$  given by  $M \mapsto M \circ S^{-1}$ . By composing with the functor  $D : \text{Mod } \mathcal{A} \rightarrow \text{Mod}(\mathcal{A}^{\text{op}})$ , we have a contravariant functor  $\text{Mod } \mathcal{A} \rightarrow \text{Mod}(\mathcal{A}^{\text{op}})$  given by  $M \mapsto D(M \circ S^{-1})$ . We denote by  $\text{Mod}_{\text{fg}} \mathcal{A}$  the subcategory of  $\text{Mod } \mathcal{A}$  consisting of  $\mathcal{A}$ -modules  $M$  such that  $M(X)$  is finitely generated over  $k$  for any  $X \in \mathcal{A}$ . Note that  $D$  induces a duality  $\text{Mod}_{\text{fg}} \mathcal{A} \rightarrow \text{Mod}_{\text{fg}}(\mathcal{A}^{\text{op}})$  and that the categories  $\text{mod}_0 \mathcal{A}$  and  $\text{GPA}$  are contained in  $\text{Mod}_{\text{fg}} \mathcal{A}$ .

**Lemma 2.15.** *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category with a Serre functor  $S$ . Then the following statements hold.*

- (a) We have an isomorphism of functors  $(-)^* \simeq D(- \circ S^{-1}) : \text{Mod}_{\text{fg}} \mathcal{A} \rightarrow \text{Mod}_{\text{fg}}(\mathcal{A}^{\text{op}})$ , and the functor  $(-)^*$  is a duality.
- (b) Let  $M \in \text{Mod } \mathcal{A}$ . The following statements are equivalent.

- (i)  $M \in \text{GPA}$ .
- (ii)  $M \in \text{mod } \mathcal{A}$  and  $M^* \in \text{mod}(\mathcal{A}^{\text{op}})$ .

**Proof.** (a) Let  $M \in \text{Mod}_{\text{fg}} \mathcal{A}$  and  $X \in \mathcal{A}$ . We have the following isomorphisms

$$\begin{aligned} (M)^*(X) &= (\text{Mod } \mathcal{A})(M, \mathcal{A}(-, X)) \\ &\simeq (\text{Mod } \mathcal{A}^{\text{op}})(\text{DA}(-, X), DM) \\ &\simeq (\text{Mod } \mathcal{A}^{\text{op}})(\mathcal{A}(\mathbb{S}^{-1}(X), -), DM) \\ &\simeq D(M \circ \mathbb{S}^{-1})(X), \end{aligned}$$

which are functorial in  $X$ , where the last isomorphism is induced by Yoneda's lemma. Thus we have an isomorphism of functors  $(-)^* \simeq D(- \circ \mathbb{S}^{-1})$ . This functor is a duality, since  $D$  is a duality and  $\mathbb{S}$  is an equivalence.

(b) Assume that  $M \in \text{GPA}$ . By Lemma 2.7 (a), we have  $M^* \in \text{GP}(\mathcal{A}^{\text{op}})$ . In general  $\text{GPA} \subset \text{mod } \mathcal{A}$  holds, thus (i) implies (ii). Assume that (ii) holds. There exists an exact sequence  $\cdots \rightarrow Q_2 \rightarrow Q_1 \rightarrow M^* \rightarrow 0$ , where  $Q_i \in \text{proj}(\mathcal{A}^{\text{op}})$ . By (a),  $(-)^*$  is an exact functor. Therefore we have an exact sequence

$$\cdots \rightarrow P_2 \rightarrow P_1 \rightarrow P_0 \xrightarrow{d} Q_1^* \rightarrow Q_2^* \rightarrow \cdots,$$

where  $P_i, Q_i^* \in \text{proj } \mathcal{A}$  and  $\text{Im } d \simeq M$ . This exact sequence is totally acyclic, since  $(-)^*$  is exact. We have  $M \in \text{GPA}$ .  $\square$

Later we use the following characterization of dualizing  $k$ -varieties with Serre functors.

**Proposition 2.16.** *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite, idempotent complete additive category. Then the following statements are equivalent.*

- (i)  $\mathcal{A}$  is a dualizing  $k$ -variety and has a Serre functor.
- (ii)  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  have weak kernels and  $\mathcal{A}$  has a Serre functor.
- (iii)  $\text{GPA} = \text{mod}_1 \mathcal{A}$ ,  $\text{GP}(\mathcal{A}^{\text{op}}) = \text{mod}_1(\mathcal{A}^{\text{op}})$  hold and  $\text{DA}(X, -) \in \text{mod}_1 \mathcal{A}$ ,  $\text{DA}(-, X) \in \text{mod}_1(\mathcal{A}^{\text{op}})$  hold for any  $X \in \mathcal{A}$ .

**Proof.** By Lemma 2.14, (i) implies (ii). We show that (ii) implies (i). We show that, for any  $M \in \text{mod}_1 \mathcal{A}$ ,  $DM$  is in  $\text{mod}_1(\mathcal{A}^{\text{op}})$ . There exists an exact sequence  $P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$  for some  $P_1, P_0 \in \text{proj } \mathcal{A}$ . By the functor  $D : \text{Mod } \mathcal{A} \rightarrow \text{Mod}(\mathcal{A}^{\text{op}})$ , we have an exact sequence  $0 \rightarrow DM \rightarrow DP_0 \rightarrow DP_1$  in  $\text{Mod } \mathcal{A}$ . Since  $\mathcal{A}$  has a Serre functor, we have  $DP_1, DP_0 \in \text{proj}(\mathcal{A}^{\text{op}})$ . Since  $\mathcal{A}^{\text{op}}$  has weak kernels, by Lemma 2.9,  $DM$  is in  $\text{mod}_1(\mathcal{A}^{\text{op}})$ . By the dual argument, for any  $N \in \text{mod}_1(\mathcal{A}^{\text{op}})$ , we have  $DN \in \text{mod}_1 \mathcal{A}$ . Thus  $D : \text{mod}_1 \mathcal{A} \rightarrow \text{mod}_1(\mathcal{A}^{\text{op}})$  is a duality.

We show that (i) implies (iii). Since  $\mathcal{A}$  is a dualizing  $k$ -variety,  $\text{DA}(X, -) \in \text{mod}_1 \mathcal{A}$ ,  $\text{DA}(-, X) \in \text{mod}_1(\mathcal{A}^{\text{op}})$  hold for any  $X \in \mathcal{A}$ . By Lemma 2.9, we have  $\text{mod } \mathcal{A} = \text{mod}_1 \mathcal{A}$  and  $\text{mod}(\mathcal{A}^{\text{op}}) = \text{mod}_1(\mathcal{A}^{\text{op}})$ . In general  $\text{GPA} \subset \text{mod } \mathcal{A}$  holds. Let  $M \in \text{mod } \mathcal{A}$ . We show that  $M \in \text{GPA}$ . Since  $\mathcal{A}$  is a dualizing  $k$ -variety,  $DM \in \text{mod}(\mathcal{A}^{\text{op}})$  holds. By Lemma 2.15 (a),  $M^* \in \text{mod}(\mathcal{A}^{\text{op}})$  holds. Thus by Lemma 2.15 (b),  $M \in \text{GPA}$  holds.

We show that (iii) implies (ii). In general,  $\text{GPA} \subset \text{mod } \mathcal{A} \subset \text{mod}_1 \mathcal{A}$  holds. Therefore by Lemma 2.9,  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  have weak kernels. Consider the functor  $D \circ (-)^* : \text{Mod } \mathcal{A} \rightarrow \text{Mod } \mathcal{A}$ . This functor induces an equivalence  $\text{proj } \mathcal{A} \xrightarrow{\sim} \text{proj } \mathcal{A}$ . In fact, if  $M \in \text{proj } \mathcal{A}$ , then  $M^* \in \text{proj}(\mathcal{A}^{\text{op}})$ . By the assumption, we have  $D(M^*) \in \text{mod}_1 \mathcal{A} = \text{GPA}$ . Since  $D : \text{Mod}_{\text{fg}}(\mathcal{A}^{\text{op}}) \rightarrow \text{Mod}_{\text{fg}} \mathcal{A}$  is a duality,  $D(M^*)$  is an injective object

of  $\text{Mod}_{\text{fg}} \mathcal{A}$ . In particular,  $D(M^*)$  is a relative-injective object of  $\text{GP} \mathcal{A}$ . Since  $\text{GP} \mathcal{A}$  is Frobenius,  $D(M^*)$  is an object of  $\text{proj} \mathcal{A}$ . Thus we have a functor  $D \circ (-)^* : \text{proj} \mathcal{A} \rightarrow \text{proj} \mathcal{A}$ . This is an equivalence, since its quasi-inverse is given by  $(-)^* \circ D$ . Since  $\mathcal{A}$  is idempotent complete, the Yoneda embedding  $\mathcal{A} \rightarrow \text{proj} \mathcal{A}$ ,  $X \mapsto \mathcal{A}(-, X)$  is an equivalence. Thus there exists an equivalence  $S : \mathcal{A} \rightarrow \mathcal{A}$  such that the following diagram is commutative up to isomorphism of functors:

$$\begin{array}{ccc} \text{proj} \mathcal{A} & \xrightarrow{D \circ (-)^*} & \text{proj} \mathcal{A} \\ \uparrow \simeq & & \uparrow \simeq \\ \mathcal{A} & \xrightarrow{S} & \mathcal{A}. \end{array}$$

For  $X, Y \in \mathcal{A}$ , we have the following isomorphisms which are functorial in  $X, Y$ :

$$\begin{aligned} \mathcal{A}(Y, SX) &\simeq D(\mathcal{A}(-, X)^*)(Y) \\ &\simeq D(\text{Mod} \mathcal{A}(\mathcal{A}(-, X), \mathcal{A}(-, Y))) \\ &\simeq D\mathcal{A}(X, Y). \end{aligned}$$

This means that  $S$  is a Serre functor on  $\mathcal{A}$ .  $\square$

#### 2.4. Some observations on triangulated categories

In this subsection, we state some results on triangulated categories which we use later. Let  $\mathcal{A}$  be an additive category and  $\mathcal{B}$  be a subcategory of  $\mathcal{A}$ . For two objects  $X, Y \in \mathcal{A}$ , we denote by  $\mathcal{A}_{\mathcal{B}}(X, Y)$  the subspace of  $\mathcal{A}(X, Y)$  consisting of all morphisms which factor through an object of  $\mathcal{B}$ . We denote by  $\mathcal{A}/[\mathcal{B}]$  the category defined as follows: the objects of  $\mathcal{A}/[\mathcal{B}]$  are the same as  $\mathcal{A}$  and the morphism space is defined by

$$(\mathcal{A}/[\mathcal{B}])(X, Y) := \mathcal{A}(X, Y) / \mathcal{A}_{\mathcal{B}}(X, Y),$$

for  $X, Y \in \mathcal{A}$ . We denote by  $K(\mathcal{A})$  the homotopy category of complexes in  $\mathcal{A}$  and  $K^b(\mathcal{A})$  (resp.  $K^-(\mathcal{A})$ ) the full subcategory of  $K(\mathcal{A})$  consisting of bounded complexes (resp. right bounded complexes).

Let  $\mathcal{F}$  be a Frobenius category,  $\mathcal{P}$  the full subcategory of  $\mathcal{F}$  consisting of the projective objects in  $\mathcal{F}$  and  $\underline{\mathcal{F}} := \mathcal{F}/[\mathcal{P}]$ . By Happel [13], it is known that  $\underline{\mathcal{F}}$  is a triangulated category. Assume that  $\mathcal{P}$  is idempotent complete. We denote by  $K^{-,b}(\mathcal{P})$  the full subcategory of  $K(\mathcal{P})$  consisting of complexes  $X = (X^i, d^i : X^i \rightarrow X^{i+1})$  satisfying the following conditions.

- (1) There exists  $n_X \in \mathbb{Z}$  such that  $X^i = 0$  for any  $i > n_X$ .
- (2) There exist  $m_X \in \mathbb{Z}$  and exact sequences  $0 \rightarrow Y^{i-1} \xrightarrow{a^{i-1}} X^i \xrightarrow{b^i} Y^i \rightarrow 0$  in  $\mathcal{F}$  for any  $i \leq m_X$  such that  $d^i = a^i b^i$  for any  $i < m_X$ .

We identify the category  $\mathcal{F}$  with the full subcategory of  $K^{-,b}(\mathcal{P})$  consisting of  $X$  such that there exist integers  $n_X$  and  $m_X$  satisfying (1), (2), respectively, and  $n_X \leq 0 \leq m_X$ . Then we have the following analogy of the well known equivalence due to [9,16,26].

**Theorem 2.17.** [15, Corollary 2.2] *Let  $\mathcal{F}$  be a Frobenius category and  $\mathcal{P}$  the full subcategory of  $\mathcal{F}$  consisting of the projective objects. Assume that  $\mathcal{P}$  is idempotent complete. Then the composite  $\mathcal{F} \rightarrow K^{-,b}(\mathcal{P}) \rightarrow K^{-,b}(\mathcal{P})/K^b(\mathcal{P})$  induces a triangle equivalence  $\underline{\mathcal{F}} \xrightarrow{\sim} K^{-,b}(\mathcal{P})/K^b(\mathcal{P})$ .*

A complex  $X = (X^i, d^i : X^i \rightarrow X^{i+1})$  of  $\mathbf{K}(\mathcal{F})$  is said to be *acyclic* if there exist exact sequences  $0 \rightarrow Y^{i-1} \xrightarrow{a^{i-1}} X^i \xrightarrow{b^i} Y^i \rightarrow 0$  in  $\mathcal{F}$  for any  $i \in \mathbb{Z}$  such that  $d^i = a^i b^i$  for any  $i \in \mathbb{Z}$ . We denote by  $\mathbf{K}^a(\mathcal{F})$  the full subcategory of  $\mathbf{K}(\mathcal{F})$  consisting of acyclic complexes. We use the following proposition in Section 3. We refer [23] for stable  $t$ -structures.

**Proposition 2.18.** [10, Theorem 12.7] *Let  $\mathcal{F}$  be a Frobenius category and  $\mathcal{P}$  the full subcategory of  $\mathcal{F}$  consisting of the projective objects. Assume that  $\mathcal{P}$  is idempotent complete. Then we have a stable  $t$ -structure  $(\mathbf{K}^-(\mathcal{P}), \mathbf{K}^a(\mathcal{F}) \cap \mathbf{K}^-(\mathcal{F}))$  on  $\mathbf{K}^-(\mathcal{F})$ .*

Let  $\mathcal{U}$  be a triangulated category and  $\mathcal{X}$  be a full subcategory of  $\mathcal{U}$ . We call  $\mathcal{X}$  a *thick* subcategory of  $\mathcal{U}$  if  $\mathcal{X}$  is a triangulated subcategory of  $\mathcal{U}$  and closed under direct summands. We denote by  $\text{thick}_{\mathcal{U}} \mathcal{X}$  the smallest thick subcategory of  $\mathcal{U}$  which contains  $\mathcal{X}$ . Whenever if there is no danger of confusion, let  $\text{thick}_{\mathcal{U}} \mathcal{X} = \text{thick } \mathcal{X}$ .

**Lemma 2.19.** [18, Appendix] *Let  $\mathcal{T}, \mathcal{U}$  be triangulated categories and  $F : \mathcal{U} \rightarrow \mathcal{T}$  a triangle functor. Let  $\mathcal{X}$  be a full subcategory of  $\mathcal{U}$ . Then the following holds.*

- Assume that the map

$$F_{M,N[n]} : \mathcal{U}(M, N) \rightarrow \mathcal{T}(FM, FN[n])$$

is an isomorphism for any  $M, N \in \mathcal{X}$  and any  $n \in \mathbb{Z}$ . Then  $F : \text{thick } \mathcal{X} \rightarrow \mathcal{T}$  is fully faithful.

- If moreover  $\mathcal{U}$  is idempotent complete,  $\text{thick } \mathcal{X} = \mathcal{U}$  and  $\text{thick}(\text{Im}(F)) = \mathcal{T}$ , then  $F$  is an equivalence.

### 3. Repetitive categories

Throughout this section, we assume that  $\mathcal{A}$  is a skeletally small category.

#### 3.1. Repetitive categories

We recall the definition of repetitive categories of additive categories. The aim of this subsection is to show Theorem 3.7.

**Definition 3.1.** Let  $\mathcal{A}$  be a  $k$ -linear additive category. The *repetitive category*  $\mathbf{RA}$  is the  $k$ -linear additive category generated by the following category: the class of objects is  $\{(X, i) \mid X \in \mathcal{A}, i \in \mathbb{Z}\}$  and the morphism space is given by

$$\mathbf{RA}((X, i), (Y, j)) = \begin{cases} \mathcal{A}(X, Y) & i = j, \\ \mathbf{DA}(Y, X) & j = i + 1, \\ 0 & \text{else.} \end{cases}$$

For  $f \in \mathbf{RA}((X, i), (Y, j))$  and  $g \in \mathbf{RA}((Y, j), (Z, k))$ , the composition is given by

$$g \circ f = \begin{cases} g \circ f & i = j = k, \\ (\mathbf{DA}(Z, f))(g) & i = j = k - 1, \\ (\mathbf{DA}(g, X))(f) & i + 1 = j = k, \\ 0 & \text{else.} \end{cases}$$

We describe fundamental properties of repetitive categories of Hom-finite categories.

**Lemma 3.2.** *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category. The following statements hold.*

- (a)  $\mathbf{R}\mathcal{A}$  is Hom-finite.
- (b)  $\mathbf{R}\mathcal{A}$  has a Serre functor  $\mathbb{S}$  which is defined by  $\mathbb{S}(X, i) := (X, i + 1)$ .
- (c) If  $\mathcal{A}$  is idempotent complete, then so is  $\mathbf{R}\mathcal{A}$ .

**Proof.** (a) (b) These are clear by the definition.

(c) By the definition, an object of  $\mathbf{R}\mathcal{A}$  is indecomposable if and only if it is isomorphic to an object  $(X, i)$ , where  $X$  is an indecomposable object of  $\mathcal{A}$  and  $i$  is some integer. Let  $X$  be an indecomposable object of  $\mathcal{A}$  and  $i$  be an integer. Since  $\mathcal{A}$  is idempotent complete and Proposition 2.10,  $\text{End}_{\mathbf{R}\mathcal{A}}(X, i) = \text{End}_{\mathcal{A}}(X)$  is local. Therefore again by Proposition 2.10,  $\mathbf{R}\mathcal{A}$  is idempotent complete.  $\square$

We see a relation between the categories  $\text{mod}\mathcal{A}$  and  $\text{mod}\mathbf{R}\mathcal{A}$  and consequently, we show Theorem 3.7. Let  $\mathcal{A}$  be a  $k$ -linear additive category and  $i \in \mathbb{Z}$ . Define the following full subcategory of  $\mathbf{R}\mathcal{A}$ :

$$\mathcal{A}_i := \text{add}\{(X, i) \in \mathbf{R}\mathcal{A} \mid X \in \mathcal{A}\}.$$

The inclusion functor  $\mathcal{A}_i \rightarrow \mathbf{R}\mathcal{A}$  induces an exact functor

$$\rho_i : \text{Mod}\mathbf{R}\mathcal{A} \rightarrow \text{Mod}\mathcal{A}_i.$$

Since the functor  $\mathcal{A} \rightarrow \mathcal{A}_i$  defined by  $X \mapsto (X, i)$  is an equivalence, whenever there is no danger of confusion, we denote an object  $(X, i)$  of  $\mathcal{A}_i$  by  $X$  for simplicity.

Since we have a full dense functor  $\mathbf{R}\mathcal{A} \rightarrow \mathcal{A}_i$  given by  $(X, j) \mapsto X$  if  $j = i$  and  $(X, j) \mapsto 0$  if otherwise, we have a fully faithful functor from  $\text{Mod}\mathcal{A}_i$  to  $\text{Mod}\mathbf{R}\mathcal{A}$ . Therefore we identify  $\text{Mod}\mathcal{A}_i$  with the full subcategory of  $\text{Mod}\mathbf{R}\mathcal{A}$  consisting of  $\mathbf{R}\mathcal{A}$ -modules  $M$  such that  $M(X, j) = 0$  for any  $j \neq i$  and any  $X \in \mathcal{A}$ .

For an object  $(X, i) \in \mathcal{A}_i^{\text{op}}$ , we have  $\mathcal{A}_i^{\text{op}}(-, (X, i)) \in \text{Mod}(\mathcal{A}_i^{\text{op}})$  and  $\text{D}(\mathcal{A}_i^{\text{op}}(-, (X, i))) = \text{D}(\mathcal{A}_i((X, i), -)) \in \text{Mod}\mathcal{A}_i$ . For simplicity, let  $\mathcal{A}_i(-, X) := \mathcal{A}_i(-, (X, i))$  and  $\text{D}\mathcal{A}_i(X, -) := \text{D}(\mathcal{A}_i((X, i), -))$ . We regard these functors as objects in  $\text{Mod}\mathbf{R}\mathcal{A}$  by the fully faithful functor from  $\text{Mod}\mathcal{A}_i$  to  $\text{Mod}\mathbf{R}\mathcal{A}$  as above.

**Lemma 3.3.** *Let  $\mathcal{A}$  be an additive category and  $i, j \in \mathbb{Z}$ .*

- (a) We have  $\rho_j|_{\text{Mod}\mathcal{A}_i} = \text{id}_{\text{Mod}\mathcal{A}_i}$  if  $j = i$  and  $\rho_j|_{\text{Mod}\mathcal{A}_i} = 0$  if otherwise.
- (b) For any  $X \in \mathcal{A}$ , there exists a canonical exact sequence

$$0 \rightarrow \text{D}\mathcal{A}_{i-1}(X, -) \xrightarrow{\beta} \mathbf{R}\mathcal{A}(-, (X, i)) \xrightarrow{\alpha} \mathcal{A}_i(-, X) \rightarrow 0 \quad (3.1)$$

in  $\text{Mod}\mathbf{R}\mathcal{A}$ , where  $\alpha$  and  $\beta$  are defined in the proof. In particular, we have  $\rho_j(P) \in \text{add}\{\mathcal{A}_j(-, X), \text{D}\mathcal{A}_j(X, -) \mid X \in \mathcal{A}\}$  for any  $P \in \text{proj}\mathbf{R}\mathcal{A}$  and  $j \in \mathbb{Z}$ .

- (c) Each finitely generated  $\mathcal{A}_i$ -module is a finitely generated  $\mathbf{R}\mathcal{A}$ -module.

**Proof.** (a) The assertions follow from the definition of  $\rho_j$ .

(b) We construct morphisms  $\alpha, \beta$  in  $\text{Mod}\mathbf{R}\mathcal{A}$ . For a generating object  $(Y, j)$  of  $\mathbf{R}\mathcal{A}$ , define

$$\alpha_{(Y, j)} := \begin{cases} \text{id}_{\mathcal{A}(Y, X)} & j = i, \\ 0 & \text{else,} \end{cases} \quad \beta_{(Y, j)} := \begin{cases} \text{id}_{\text{D}\mathcal{A}(X, Y)} & j + 1 = i, \\ 0 & \text{else,} \end{cases}$$

and extend  $\alpha$  and  $\beta$  on  $\mathbf{RA}$  additively. It is easy to show that  $\alpha$  and  $\beta$  are actually morphisms in  $\mathbf{ModRA}$ . By definitions of  $\alpha$  and  $\beta$ , for an object  $(Y, j)$  of  $\mathbf{RA}$ , we have the following exact sequence

$$0 \rightarrow \mathbf{DA}_{i-1}(X, (Y, j)) \xrightarrow{\beta_{(Y, j)}} \mathbf{RA}((Y, j), (X, i)) \xrightarrow{\alpha_{(Y, j)}} \mathcal{A}_i((Y, j), X) \rightarrow 0$$

in  $\mathbf{Mod}(k)$ . Thus we have an exact sequence (3.1). Since  $\rho_j$  is exact, by applying  $\rho_j$  to the exact sequence (3.1) and by using (a), we have the assertion.

(c) This follows from (b) since  $\alpha$  is an epimorphism.  $\square$

By the following lemma, we construct a filtration on any module over a repetitive category. For  $M \in \mathbf{ModRA}$ , let  $\text{Supp } M := \{i \in \mathbb{Z} \mid \rho_i(M) \neq 0\}$ .

**Lemma 3.4.** *Let  $M \in \mathbf{ModRA}$  and  $i \in \mathbb{Z}$ .*

(a) *If  $\rho_{i-1}(M) = 0$ , then there exists a short exact sequence*

$$0 \rightarrow \rho_i(M) \xrightarrow{\alpha} M \rightarrow N \rightarrow 0$$

*in  $\mathbf{ModRA}$  such that  $\rho_i(N) = 0$  and  $\rho_j(N) = \rho_j(M)$  for any  $j > i$ .*

(b) *Assume that  $\text{Supp } M$  is a non-empty finite set and put  $m := \max \text{Supp } M$  and  $n := \min \text{Supp } M$ . Then there exists a sequence of subobjects of  $M$ :*

$$0 = M_{n-1} \subset M_n \subset \cdots \subset M_{m-1} \subset M_m = M$$

*such that  $M_i/M_{i-1} \simeq \rho_i(M)$  for any  $i = n, n+1, \dots, m$ .*

**Proof.** (a) We construct a monomorphism  $\alpha : \rho_i(M) \rightarrow M$  in  $\mathbf{ModRA}$ . For a generating object  $(X, j)$  of  $\mathbf{RA}$ , define

$$\alpha_{(X, j)} := \begin{cases} \text{id}_{M(X, j)} & j = i, \\ 0 & \text{else,} \end{cases}$$

and extend this on  $\mathbf{RA}$  additively. Since  $\rho_{i-1}(M) = 0$ ,  $\alpha$  is a morphism of  $\mathbf{ModRA}$ . By the definition,  $\alpha$  is a monomorphism. Then we have an exact sequence  $0 \rightarrow \rho_i(M) \rightarrow M \rightarrow N \rightarrow 0$  in  $\mathbf{ModRA}$ , where  $N := \text{Cok}(\alpha)$ . By Lemma 3.3, we have  $\rho_j(\rho_i(M)) = \rho_i(M)$  if  $j = i$  and  $\rho_j(\rho_i(M)) = 0$  if else. Therefore by applying the functor  $\rho_j$  to this exact sequence, we have the assertion.

(b) This follows from (a).  $\square$

By the following two lemmas, we see that the functors  $\mathbf{ModA}_i \rightarrow \mathbf{ModRA}$  and  $\rho_i : \mathbf{ModRA} \rightarrow \mathbf{ModA}_i$  restrict to functors between  $\mathbf{modA}_i$  and  $\mathbf{modRA}$  under certain assumptions. For simplicity, we use the notation  $\mathbf{mod}_{-1}\mathcal{A} := \mathbf{ModA}$ ,  $\mathbf{mod}_{\infty}\mathcal{A} := \mathbf{modA}$  and  $\infty - 1 := \infty$ .

**Lemma 3.5.** *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category and  $n \in \mathbb{Z}_{\geq 0} \cup \{\infty\}$ . Assume that  $\mathbf{DA}(X, -) \in \mathbf{mod}_{n-1}\mathcal{A}$  holds for any  $X \in \mathcal{A}$ . Then the inclusion functor  $\mathbf{ModA}_i \rightarrow \mathbf{ModRA}$  restricts to a functor  $\mathbf{mod}_n\mathcal{A}_i \rightarrow \mathbf{mod}_n\mathbf{RA}$  for any  $i \in \mathbb{Z}$ .*

**Proof.** Let  $n \in \mathbb{Z}_{\geq 0}$ . It is sufficient to show that  $\text{proj } \mathcal{A}_i \subset \mathbf{mod}_n\mathbf{RA}$  for any  $i \in \mathbb{Z}$ . In fact, any  $M \in \mathbf{mod}_n\mathcal{A}_i$  has an exact sequence  $P_n \rightarrow \cdots \rightarrow P_0 \rightarrow M \rightarrow 0$  with  $P_i \in \text{proj } \mathcal{A}_i$  and hence  $M$  belongs to  $\mathbf{mod}_n\mathbf{RA}$  by Lemma 2.4 (c).

We show  $\text{proj } \mathcal{A}_i \subset \text{mod}_n \mathcal{R}\mathcal{A}$  for any  $i \in \mathbb{Z}$  by an induction on  $n$ . If  $n = 0$ , then by Lemma 3.3 (c), we have the assertion. Let  $n > 0$ ,  $X \in \mathcal{A}$  and  $i \in \mathbb{Z}$ . By Lemma 3.3 (b), there exists an exact sequence

$$0 \rightarrow D\mathcal{A}_{i-1}(X, -) \rightarrow \mathcal{R}\mathcal{A}(-, (X, i)) \rightarrow \mathcal{A}_i(-, X) \rightarrow 0.$$

By the explanation in the first part of this proof, the assumption of this lemma and the inductive hypothesis,  $D\mathcal{A}_{i-1}(X, -) \in \text{mod}_{n-1} \mathcal{R}\mathcal{A}$  holds. Therefore we have  $\mathcal{A}_i(-, X) \in \text{mod}_n \mathcal{R}\mathcal{A}$  by Lemma 2.4 (b).

By an argument similar to the above, the assertion holds when  $n = \infty$ .  $\square$

**Lemma 3.6.** *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category,  $n \in \mathbb{Z}_{\geq 0} \cup \{\infty\}$ . Assume that  $D\mathcal{A}(X, -) \in \text{mod}_n \mathcal{A}$  holds for any  $X \in \mathcal{A}$ . Then the functor  $\rho_i : \text{Mod } \mathcal{R}\mathcal{A} \rightarrow \text{Mod } \mathcal{A}_i$  restricts to a functor  $\text{mod}_n \mathcal{R}\mathcal{A} \rightarrow \text{mod}_n \mathcal{A}_i$  for any  $i \in \mathbb{Z}$ .*

**Proof.** Let  $n \in \mathbb{Z}_{\geq 0}$  and  $M \in \text{mod}_n \mathcal{R}\mathcal{A}$ . We have an exact sequence  $P_n \rightarrow \cdots \rightarrow P_1 \rightarrow P_0 \rightarrow M \rightarrow 0$  in  $\text{Mod } \mathcal{R}\mathcal{A}$ , where  $P_j \in \text{proj } \mathcal{R}\mathcal{A}$  for each  $j \geq 0$ . Since  $\rho_i$  is exact, we have an exact sequence  $\rho_i(P_n) \rightarrow \cdots \rightarrow \rho_i(P_1) \rightarrow \rho_i(P_0) \rightarrow \rho_i(M) \rightarrow 0$  in  $\text{Mod } \mathcal{A}_i$ . By the assumption and Lemma 3.3 (b),  $\rho_i(P_j) \in \text{mod}_n \mathcal{A}_i$  holds for any  $j \geq 0$ . Therefore  $\rho_i(M) \in \text{mod}_n \mathcal{A}_i$  holds by Lemma 2.4 (c).

By an argument similar to the above, the assertion holds when  $n = \infty$ .  $\square$

Note that in general  $\text{mod } \mathcal{R}\mathcal{A} = \text{mod}_1 \mathcal{R}\mathcal{A}$  does not hold for a  $k$ -linear additive category  $\mathcal{A}$ . This is the case where  $\mathcal{A}$  is a dualizing  $k$ -variety by Theorem 3.7 below and Lemma 2.9. Note that there exists an isomorphism  $(\mathcal{R}\mathcal{A})^{\text{op}} \simeq \mathcal{R}(\mathcal{A}^{\text{op}})$  given by  $(X, i) \mapsto (X, -i)$ .

**Theorem 3.7.** *Let  $\mathcal{A}$  be a dualizing  $k$ -variety. Then the following statements hold.*

- (a)  $\mathcal{R}\mathcal{A}$  and  $(\mathcal{R}\mathcal{A})^{\text{op}}$  have weak kernels.
- (b)  $\mathcal{R}\mathcal{A}$  is a dualizing  $k$ -variety.

**Proof.** Note that since  $\mathcal{A}$  is a dualizing  $k$ -variety,  $D\mathcal{A}(X, -) \in \text{mod}_1 \mathcal{A}$  holds for any  $X \in \mathcal{A}$ . By Lemmas 2.9 and 2.14,  $\text{mod}_1 \mathcal{A} = \text{mod } \mathcal{A}$  holds and this is an abelian subcategory of  $\text{Mod } \mathcal{A}$ .

(a) Let  $X, Y \in \mathcal{R}\mathcal{A}$  and  $f : \mathcal{R}\mathcal{A}(-, X) \rightarrow \mathcal{R}\mathcal{A}(-, Y)$  be a morphism of  $\text{mod } \mathcal{R}\mathcal{A}$ . We show that  $K := \text{Ker}(f)$  is a finitely generated  $\mathcal{R}\mathcal{A}$ -module. For any  $i \in \mathbb{Z}$ , we have an exact sequence  $0 \rightarrow \rho_i(K) \rightarrow \rho_i(\mathcal{R}\mathcal{A}(-, X)) \rightarrow \rho_i(\mathcal{R}\mathcal{A}(-, Y))$  in  $\text{Mod } \mathcal{A}_i$ . By Lemma 3.6, we have  $\rho_i(\mathcal{R}\mathcal{A}(-, X)), \rho_i(\mathcal{R}\mathcal{A}(-, Y)) \in \text{mod}_i \mathcal{A}_i$ . Therefore  $\rho_i(K) \in \text{mod}_i \mathcal{A}_i$  for any  $i \in \mathbb{Z}$ , since  $\text{mod}_1 \mathcal{A} = \text{mod } \mathcal{A}$  is an abelian subcategory of  $\text{Mod } \mathcal{A}$ . By Lemma 3.5,  $\rho_i(K) \in \text{mod } \mathcal{R}\mathcal{A}$  for any  $i \in \mathbb{Z}$ . Since  $K$  is a submodule of  $\mathcal{R}\mathcal{A}(-, X)$ ,  $\text{Supp } K$  is a finite set. Thus by Lemma 3.4 (b),  $K$  has a finite filtration with subquotients  $\rho_i(K) \in \text{mod } \mathcal{R}\mathcal{A}$  and we have  $K \in \text{mod } \mathcal{R}\mathcal{A}$  by Lemma 2.5 (b). In particular,  $K$  is finitely generated and  $\mathcal{R}\mathcal{A}$  has weak kernels. Since  $(\mathcal{R}\mathcal{A})^{\text{op}} \simeq \mathcal{R}(\mathcal{A}^{\text{op}})$  holds and  $\mathcal{A}^{\text{op}}$  is a dualizing  $k$ -variety,  $(\mathcal{R}\mathcal{A})^{\text{op}}$  has weak kernels.

(b) By the definition of dualizing  $k$ -varieties,  $\mathcal{A}$  is Hom-finite and idempotent complete. By Lemma 3.2,  $\mathcal{R}\mathcal{A}$  is Hom-finite and idempotent complete with a Serre functor. Therefore by Proposition 2.16,  $\mathcal{R}\mathcal{A}$  is a dualizing  $k$ -variety.  $\square$

### 3.2. Tilting subcategories

The aim of this subsection is to show Theorem 3.10. Before stating the main theorem, we need the following definition.

Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category. We denote by

$$\rho : \text{Mod } \mathcal{R}\mathcal{A} \rightarrow \text{Mod } \mathcal{A}$$

a functor defined as  $\rho(M) := \bigoplus_{i \in \mathbb{Z}} \rho_i(M)$  for any  $M \in \text{Mod } R\mathcal{A}$ , where we regard an  $\mathcal{A}_i$ -module  $\rho_i(M)$  as an  $\mathcal{A}$ -module by the equivalence  $\text{Mod } \mathcal{A}_i \simeq \text{Mod } \mathcal{A}$ . Note that  $\rho$  is an exact functor. We denote by  $\text{GP}(R\mathcal{A}, \mathcal{A})$  the full subcategory of  $\text{GP}(R\mathcal{A})$  consisting of all objects  $M$  such that the projective dimension of  $\rho(M)$  over  $\mathcal{A}$  is finite. We consider the following Gorenstein condition on  $\mathcal{A}$ :

(G): the projective dimension of  $D\mathcal{A}(X, -)$  over  $\mathcal{A}$  is finite for any  $X \in \mathcal{A}$ .

**Proposition 3.8.** *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category. Then  $\mathcal{A}$  satisfies (G) if and only if  $\text{proj } R\mathcal{A} \subset \text{GP}(R\mathcal{A}, \mathcal{A})$  holds. In this case, the following statements hold.*

- (a)  $\text{GP}(R\mathcal{A}, \mathcal{A})$  is a Frobenius category such that the projective objects are precisely the objects of  $\text{proj } R\mathcal{A}$ .
- (b) The inclusion functor  $\text{GP}(R\mathcal{A}, \mathcal{A}) \rightarrow \text{GP}(R\mathcal{A})$  induces a fully faithful triangle functor  $\underline{\text{GP}}(R\mathcal{A}, \mathcal{A}) \rightarrow \underline{\text{GP}}(R\mathcal{A})$ .

**Proof.** The first assertion follows from Lemma 3.3 (b). Assume that  $\mathcal{A}$  satisfies (G).

(a) By the definition and since  $\rho$  is exact,  $\text{GP}(R\mathcal{A}, \mathcal{A})$  is an extension closed subcategory of  $\text{Mod } R\mathcal{A}$ . Clearly, any object of  $\text{proj } R\mathcal{A}$  is relative projective of  $\text{GP}(R\mathcal{A}, \mathcal{A})$ . Let  $Q$  be a relative projective object of  $\text{GP}(R\mathcal{A}, \mathcal{A})$ . There exists an exact sequence  $0 \rightarrow M \rightarrow P \rightarrow Q \rightarrow 0$  in  $\text{GP}(R\mathcal{A})$  with  $P \in \text{proj } R\mathcal{A}$  and  $M \in \text{GP}(R\mathcal{A})$ . We have  $M \in \text{GP}(R\mathcal{A}, \mathcal{A})$  and therefore this sequence splits. Consequently, the relative projective objects of  $\text{GP}(R\mathcal{A}, \mathcal{A})$  are the objects of  $\text{proj } R\mathcal{A}$  and  $\text{GP}(R\mathcal{A}, \mathcal{A})$  has enough projective objects. By Proposition 2.8,  $\text{proj } R\mathcal{A}$  are relative injective objects of  $\text{GP}(R\mathcal{A})$ , and so of  $\text{GP}(R\mathcal{A}, \mathcal{A})$ . By Lemma 2.15 (a), the duality  $(-)^* : \text{GP}(R\mathcal{A}) \rightarrow \text{GP}((R\mathcal{A})^{\text{op}})$  sends  $\text{proj } R\mathcal{A}$  to  $\text{proj}((R\mathcal{A})^{\text{op}})$ . Therefore, by a similar argument as above, any relative injective object belongs to  $\text{proj } R\mathcal{A}$ . Thus,  $\text{GP}(R\mathcal{A}, \mathcal{A})$  is a Frobenius category.

(b) This follows from (a).  $\square$

We regard  $\underline{\text{GP}}(R\mathcal{A}, \mathcal{A})$  as a thick subcategory of  $\underline{\text{GP}}(R\mathcal{A})$  by Proposition 3.8 (b) if  $\mathcal{A}$  satisfies (G). Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category. We consider the following condition on  $\mathcal{A}$ :

(IFP):  $D\mathcal{A}(X, -) \in \text{mod } \mathcal{A}$  holds for any  $X \in \mathcal{A}$ ,

where (IFP) means that injective  $\mathcal{A}$ -modules have projective resolutions by finitely generated projective  $\mathcal{A}$ -modules. Note that if  $\mathcal{A}$  is a dualizing  $k$ -variety, then  $\mathcal{A}$  satisfies (IFP) by Lemmas 2.9 and 2.14. We denote by  $\mathcal{M}$  the full subcategory of  $\text{Mod } R\mathcal{A}$  given by

$$\mathcal{M} := \text{add}\{\mathcal{A}_0(-, X) \mid X \in \mathcal{A}\}.$$

We recall the definition of tilting subcategories of a triangulated category.

**Definition 3.9.** Let  $\mathcal{T}$  be a triangulated category. A full subcategory  $\mathcal{N}$  of  $\mathcal{T}$  is called a *tilting subcategory* of  $\mathcal{T}$  if  $\mathcal{T}(\mathcal{N}, \mathcal{N}[i]) = 0$  for any  $i \neq 0$  and  $\text{thick } \mathcal{N} = \mathcal{T}$ .

We establish the following result in the rest of this subsection.

**Theorem 3.10.** *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category and assume that  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (IFP). Then the following hold.*

- (a) If  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (G), then  $\mathcal{M} \subset \text{GP}(R\mathcal{A}, \mathcal{A})$  holds and  $\mathcal{M}$  is a tilting subcategory of  $\underline{\text{GP}}(R\mathcal{A}, \mathcal{A})$ .
- (b) If each object of  $\text{mod } \mathcal{A}$  and  $\text{mod } (\mathcal{A}^{\text{op}})$  has finite projective dimension, then  $\mathcal{M} \subset \text{GP}(R\mathcal{A})$  holds and  $\mathcal{M}$  gives a tilting subcategory of  $\underline{\text{GP}}(R\mathcal{A})$ .

In the case where  $\mathcal{A}$  is a dualizing  $k$ -variety, we have the following corollary.

**Corollary 3.11.** *Let  $\mathcal{A}$  be a dualizing  $k$ -variety. If each object of  $\text{mod } \mathcal{A}$  and  $\text{mod}(\mathcal{A}^{\text{op}})$  has finite projective dimension, then  $\mathcal{M}$  is a tilting subcategory of  $\underline{\text{mod}} \text{RA}$ .*

Before starting the proof of Theorem 3.10, we prove two lemmas. Let  $\mathcal{A}$  be a  $k$ -linear additive category and  $i \in \mathbb{Z}$ . Define the following full subcategories of  $\text{RA}$ :

$$\mathcal{A}_{<i} := \bigvee_{j < i} \mathcal{A}_j, \quad \mathcal{A}_{\geq i} := \bigvee_{j \geq i} \mathcal{A}_j.$$

For  $M \in \text{ModRA}$  and  $i \in \mathbb{Z}$ , let  $\rho_{<i}(M) := \bigoplus_{j < i} \rho_j(M)$  and  $\rho_{\geq i}(M) := \bigoplus_{j \geq i} \rho_j(M)$ .

**Lemma 3.12.** *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category. Let  $M$  and  $N$  be finitely generated  $\text{RA}$ -modules and  $i \in \mathbb{Z}$ . Assume that  $\rho_{\geq i}(M) = 0$  and  $\rho_{<i}(N) = 0$ .*

(a) *There exist epimorphisms*

$$\text{RA}(-, X) \rightarrow M, \quad \text{RA}(-, Y) \rightarrow N,$$

*for some  $X \in \mathcal{A}_{<i}$  and  $Y \in \mathcal{A}_{\geq i}$ .*

(b) *We have  $(\text{ModRA})(M, N) = 0$  and  $(\text{ModRA})(N, M) = 0$ .*

(c) *Assume  $M \in \text{modRA}$ . Let*

$$\cdots \rightarrow P_2 \xrightarrow{f_2} P_1 \xrightarrow{f_1} P_0 \xrightarrow{f_0} M \rightarrow 0 \quad (3.2)$$

*be a minimal projective resolution of  $M$  in  $\text{modRA}$ . Then we have  $\rho_{\geq i}(\text{Ker } f_l) = 0$  for  $l \geq 0$ . Moreover by applying the functor  $\rho_{i-1}$ , we have a minimal projective resolution of  $\rho_{i-1}(M)$  in  $\text{mod } \mathcal{A}_{i-1}$ .*

**Proof.** (a) Since  $M$  and  $N$  are finitely generated, there exist epimorphisms  $\text{RA}(-, X) \rightarrow M$  and  $\text{RA}(-, Y) \rightarrow N$ , where  $X$  and  $Y$  are in  $\text{RA}$ . Let  $W$  be an object of  $\mathcal{A}_{\geq i}$ . By Yoneda's lemma and the assumption, we have  $(\text{ModRA})(\text{RA}(-, W), M) \simeq M(W) = 0$ . Therefore we can replace  $X$  with an object of  $\mathcal{A}_{<i}$ . Similarly, we can replace  $Y$  with an object of  $\mathcal{A}_{\geq i}$ .

(b) By (a), there exists an epimorphism  $\text{RA}(-, X) \rightarrow M$ , where  $X \in \mathcal{A}_{<i}$ . We have a monomorphism  $(\text{ModRA})(M, N) \rightarrow (\text{ModRA})(\text{RA}(-, X), N)$ . Since  $(\text{ModRA})(\text{RA}(-, X), N) \simeq N(X) = 0$ ,  $(\text{ModRA})(M, N) = 0$  holds. Similarly, by applying  $(\text{ModRA})(-, M)$  to an epimorphism  $\text{RA}(-, Y) \rightarrow N$ , we have  $(\text{ModRA})(N, M) = 0$ .

(c) By (a), there exists  $X_0 \in \mathcal{A}_{<i}$  such that  $P_0$  is a direct summand of  $\text{RA}(-, X_0)$ . We have  $\rho_{\geq i}(\text{RA}(-, X_0)) = 0$ . Therefore the submodule  $\text{Ker } f_0$  of  $\text{RA}(-, X_0)$  satisfies  $\rho_{\geq i}(\text{Ker } f_0) = 0$ . By using this argument inductively, we have that there exist  $X_l \in \mathcal{A}_{<i}$  such that  $P_l$  is a direct summand of  $\text{RA}(-, X_l)$  for any  $l \geq 0$ . Therefore we have  $\rho_{\geq i}(\text{Ker } f_l) = 0$  for  $l \geq 0$ .

For any  $l \geq 0$ , by Lemma 3.3,  $\rho_{i-1}(P_l)$  is a direct sum of  $\mathcal{A}_{i-1}(-, X)$  for some  $X \in \mathcal{A}$  and zero objects. Therefore each  $\rho_{i-1}(P_l)$  is a finitely generated projective  $\mathcal{A}_{i-1}$ -module. Thus, by applying the functor  $\rho_{i-1}$  to the resolution (3.2), we have a projective resolution  $\cdots \rightarrow \rho_{i-1}(P_1) \rightarrow \rho_{i-1}(P_0) \rightarrow \rho_{i-1}(M) \rightarrow 0$  of  $\rho_{i-1}(M)$  in  $\text{mod } \mathcal{A}_{i-1}$ , and this is minimal, since the resolution (3.2) is minimal.  $\square$

We explain when  $\text{GP}(\text{RA})$  contains all representable modules  $\mathcal{A}_i(-, X)$ . Note that there exists an isomorphism  $s : \text{R}(\mathcal{A}^{\text{op}}) \xrightarrow{\sim} (\text{RA})^{\text{op}}$  given by  $(X, i) \mapsto (X, -i)$ . Thus we have a duality

$$s^*D : \text{Mod}_{\text{fg}} R\mathcal{A} \xrightarrow{D} \text{Mod}_{\text{fg}}((R\mathcal{A})^{\text{op}}) \xrightarrow{s^* := (-) \circ s} \text{Mod}_{\text{fg}} R(\mathcal{A}^{\text{op}}).$$

Under this duality  $s^*D$ , the full subcategory  $\text{mod}\mathcal{A}_i$  of  $\text{mod}R\mathcal{A}$  goes to the full subcategory  $\text{mod}(\mathcal{A}_{-i}^{\text{op}})$  of  $\text{mod}R(\mathcal{A}^{\text{op}})$ . Since  $s$  is an isomorphism,  $s^*$  induces equivalences between  $\text{proj}((R\mathcal{A})^{\text{op}})$  and  $\text{proj}(R(\mathcal{A}^{\text{op}}))$ ,  $\text{mod}((R\mathcal{A})^{\text{op}})$  and  $\text{mod}(R(\mathcal{A}^{\text{op}}))$ , and  $\text{GP}((R\mathcal{A})^{\text{op}})$  and  $\text{GP}(R(\mathcal{A}^{\text{op}}))$ , respectively.

**Lemma 3.13.** *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category.*

(a) *The following statements are equivalent.*

(i)  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (IFP).

(ii)  $\mathcal{A}_i(-, X) \in \text{GP}(R\mathcal{A})$  and  $\mathcal{A}_i(X, -) \in \text{GP}(R(\mathcal{A}^{\text{op}}))$  hold for any  $X \in \mathcal{A}$  and  $i \in \mathbb{Z}$ .

(iii)  $D\mathcal{A}_i(X, -) \in \text{GP}(R\mathcal{A})$  and  $D\mathcal{A}_i(-, X) \in \text{GP}(R(\mathcal{A}^{\text{op}}))$  hold for any  $X \in \mathcal{A}$  and  $i \in \mathbb{Z}$ .

(b) *If  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (IFP), then  $\rho_i(M) \in \text{GP}(R\mathcal{A})$  holds for any  $M \in \text{GP}(R\mathcal{A})$  and  $i \in \mathbb{Z}$ .*

**Proof.** (a) By Lemma 3.2,  $R\mathcal{A}$  has a Serre functor  $S$ . Thus by Lemma 2.15, we have an isomorphism of dualities  $s^*(-)^* \simeq s^*D(- \circ S^{-1}) : \text{Mod}_{\text{fg}} R\mathcal{A} \rightarrow \text{Mod}_{\text{fg}} R(\mathcal{A}^{\text{op}})$ . We have

$$s^*(\mathcal{A}_i(-, X))^* \simeq D(\mathcal{A}^{\text{op}})_{-i-1}(X, -) = D\mathcal{A}_{-i-1}(-, X) \quad (3.3)$$

for any  $X \in \mathcal{A}$  and  $i \in \mathbb{Z}$ . Therefore, by Lemma 2.7 (a) and since  $s^*$  induces an equivalence between  $\text{GP}((R\mathcal{A})^{\text{op}})$  and  $\text{GP}(R(\mathcal{A}^{\text{op}}))$ ,  $\mathcal{A}_i(-, X) \in \text{GP}(R\mathcal{A})$  if and only if  $D\mathcal{A}_{-i-1}(-, X) \in \text{GP}(R(\mathcal{A}^{\text{op}}))$ . Similarly,  $D\mathcal{A}_i(X, -) \in \text{GP}(R\mathcal{A})$  if and only if  $\mathcal{A}_{-i+1}(X, -) \in \text{GP}(R(\mathcal{A}^{\text{op}}))$ . Namely, (ii) and (iii) are equivalent.

We show that (i) implies (ii). Let  $X \in \mathcal{A}$ . By Lemma 3.5,  $\mathcal{A}_i(-, X) \in \text{mod}R\mathcal{A}$  holds. We have  $(\mathcal{A}_i(-, X))^* \in \text{mod}(R\mathcal{A})^{\text{op}}$ , since  $\mathcal{A}^{\text{op}}$  satisfies (IFP), together with the equality (3.3) and Lemma 3.5. Therefore by Lemma 2.15 (b), we have  $\mathcal{A}_i(-, X) \in \text{GP}(R\mathcal{A})$ . Dually, we have  $\mathcal{A}_i(X, -) \in \text{GP}(R(\mathcal{A}^{\text{op}}))$ .

We show that (ii) implies (i). Let  $X \in \mathcal{A}$ . Take a minimal projective resolution of  $\mathcal{A}_i(-, X)$  in  $\text{mod}R\mathcal{A}$ :

$$\cdots \rightarrow Q_2 \rightarrow Q_1 \xrightarrow{d_1} R\mathcal{A}(-, (X, i)) \rightarrow \mathcal{A}_i(-, X) \rightarrow 0.$$

By Lemma 3.3 (b), we have  $\text{Im } d_1 = D\mathcal{A}_{i-1}(X, -)$ . By Lemma 3.12 (c), applying  $\rho_{i-1}$ , we have  $D\mathcal{A}_{i-1}(X, -) \in \text{mod}\mathcal{A}_{i-1}$ . This means  $D\mathcal{A}(X, -) \in \text{mod}\mathcal{A}$ . Dually, we have  $D\mathcal{A}(-, X) \in \text{mod}(\mathcal{A}^{\text{op}})$ .

(b) By Lemma 3.3 (b), we have  $\rho_i(P) \in \text{add}\{\mathcal{A}_i(-, X), D\mathcal{A}_i(X, -) \mid X \in \mathcal{A}\}$  for any  $P \in \text{proj } R\mathcal{A}$ . In particular, we have  $\rho_i(P) \in \text{mod}\mathcal{A}_i$  for any  $i \in \mathbb{Z}$  and any  $P \in \text{proj } R\mathcal{A}$ . Therefore  $s^*(\rho_i(P))^* \in \text{mod}(\mathcal{A}_{-i-1}^{\text{op}})$  holds by the equality (3.3) and the assumption. Let  $M \in \text{GP}(R\mathcal{A})$  and  $P_\bullet = (P_j, d_j : P_j \rightarrow P_{j+1})$  be a totally acyclic complex such that  $\text{Im } d_0 = M$ , where  $P_j \in \text{proj } R\mathcal{A}$ . By applying  $\rho_i$ , we have an exact sequence  $\rho_i(P_\bullet) = (\rho_i(P_j), \rho_i(d_j) : \rho_i(P_j) \rightarrow \rho_i(P_{j+1}))$  such that  $\text{Im } \rho_i(d_0) = \rho_i(M)$ . We have an exact sequence  $\cdots \rightarrow \rho_i(P_{-1}) \rightarrow \rho_i(P_0) \rightarrow \rho_i(M) \rightarrow 0$ . By Lemmas 2.4 (d) and 3.5,  $\rho_i(M) \in \text{mod}R\mathcal{A}$  holds. By applying the functor  $(-)^*$  to  $0 \rightarrow \rho_i(M) \rightarrow \rho_i(P_1) \rightarrow \rho_i(P_2) \rightarrow \cdots$ , and using Lemmas 2.4 (d) and 3.5 to the resulting exact sequence, we have  $(\rho_i(M))^* \in \text{mod}(R\mathcal{A})^{\text{op}}$ . Therefore we have  $\rho_i(M) \in \text{GP}(R\mathcal{A})$  by Lemma 2.15 (b).  $\square$

By Lemma 3.13, if  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (IFP), then  $\mathcal{M} \subset \text{GP}(R\mathcal{A})$  holds. We also denote by  $\mathcal{M}$  the subcategory of  $\underline{\text{GP}}(R\mathcal{A})$  consisting of direct summands of a finite direct sum of objects  $\mathcal{A}_0(-, X_i)$  for  $X_i \in \mathcal{A}$ . Then we show Theorem 3.10. We divide the proof into two propositions.

**Proposition 3.14.** *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category and assume that  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (IFP). Let  $\mathcal{T} := \underline{\text{GP}}(R\mathcal{A})$ . Then we have  $\mathcal{T}(\mathcal{M}, \mathcal{M}[i]) = 0$  for any  $i \neq 0$ .*

**Proof.** By Lemma 3.13, since  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (IFP), we have  $\mathcal{A}_0(-, X) \in \text{GP}(\text{R}\mathcal{A})$  for any  $X \in \mathcal{A}$ . Let

$$\cdots \rightarrow P_2 \xrightarrow{f_2} P_1 \xrightarrow{f_1} P_0 \xrightarrow{f_0} \mathcal{A}_0(-, X) \rightarrow 0$$

be a minimal projective resolution in  $\text{modR}\mathcal{A}$ . Put  $K^i := \text{Ker}(f_{i-1})$  for  $i \geq 1$ . By Lemmas 3.3 (b) and 3.12 (c), we have  $\rho_{\geq 0}(K^i) = 0$  for  $i \geq 1$ . Let  $Y \in \mathcal{A}$ . Since  $\rho_{< 0}(\mathcal{A}_0(-, Y)) = 0$  and Lemma 3.12 (b), we have

$$(\text{ModR}\mathcal{A})(K^i, \mathcal{A}_0(-, Y)) = 0, \quad (\text{ModR}\mathcal{A})(\mathcal{A}_0(-, Y), K^i) = 0,$$

for any  $i \geq 1$ . Therefore we have

$$\begin{aligned} \mathcal{T}(\mathcal{A}_0(-, Y), \mathcal{A}_0(-, X)[-i]) &= \mathcal{T}(\mathcal{A}_0(-, Y), K^i) = 0, \\ \mathcal{T}(\mathcal{A}_0(-, X), \mathcal{A}_0(-, Y)[i]) &= \mathcal{T}(K^i, \mathcal{A}_0(-, Y)) = 0, \end{aligned}$$

for any  $i \geq 1$ .  $\square$

**Proposition 3.15.** *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category and assume that  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (IFP). Let  $\mathcal{T} := \underline{\text{GP}}(\text{R}\mathcal{A})$ . If  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (G), then we have  $\text{thick}_{\mathcal{T}} \mathcal{M} = \underline{\text{GP}}(\text{R}\mathcal{A}, \mathcal{A})$ .*

**Proof.** Since  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (IFP), we have  $\mathcal{M} \subset \underline{\text{GP}}(\text{R}\mathcal{A}, \mathcal{A})$  by Lemma 3.13. Therefore we have  $\text{thick } \mathcal{M} := \text{thick}_{\mathcal{T}} \mathcal{M} \subset \underline{\text{GP}}(\text{R}\mathcal{A}, \mathcal{A})$ .

Let  $i \in \mathbb{Z}$  and  $N \in \text{mod}\mathcal{A}_i$ . Assume that  $N$  has finite projective dimension over  $\mathcal{A}_i$ . By Lemma 3.5, we have an inclusion  $\text{mod}\mathcal{A}_i \rightarrow \text{modR}\mathcal{A}$  which is exact. Thus we obtain a finite resolution of  $N$  by objects that are direct summands of objects of the form  $\mathcal{A}_i(-, X)$ , ( $X \in \mathcal{A}$ ) in  $\text{modR}\mathcal{A}$ . Therefore if  $N$  is an object of  $\text{GP}(\text{R}\mathcal{A}, \mathcal{A})$ , then  $N$  is in  $\text{thick } \mathcal{M}$  if  $\mathcal{A}_i(-, X)$  is in  $\text{thick } \mathcal{M}$  for any  $X \in \mathcal{A}$ .

Let  $M \in \text{GP}(\text{R}\mathcal{A}, \mathcal{A})$ . Since  $M$  is a factor module of a finitely generated projective  $\text{R}\mathcal{A}$ -module,  $\text{Supp } M$  is a finite set. Thus by Lemma 3.4 (b),  $M$  has a finite filtration by  $\rho_i(M)$  for  $i = n, n+1, \dots, m$ , where  $n = \min \text{Supp } M$  and  $m = \max \text{Supp } M$ . By Lemma 3.13 (b) and since  $\rho(M)$  has finite projective dimension over  $\mathcal{A}$ ,  $\rho_i(M) \in \text{GP}(\text{R}\mathcal{A}, \mathcal{A})$  for any  $i \in \mathbb{Z}$ . Therefore  $M$  is in  $\text{thick } \mathcal{M}$  if  $\mathcal{A}_i(-, X)$  is in  $\text{thick } \mathcal{M}$  for any  $X \in \mathcal{A}$  and  $i = n, n+1, \dots, m$ .

We show that  $\mathcal{A}_i(-, X)$  is in  $\text{thick } \mathcal{M}$  for any  $X \in \mathcal{A}$  and  $i \in \mathbb{Z}$  by an induction on  $i$ . We first show  $\mathcal{A}_i(-, X) \in \text{thick } \mathcal{M}$  for  $i \geq 0$ . Since  $\mathcal{A}_0(-, X) \in \mathcal{M}$ , we have  $\mathcal{A}_0(-, X) \in \text{thick } \mathcal{M}$ . Assume that  $\mathcal{A}_j(-, X) \in \text{thick } \mathcal{M}$  for  $0 \leq j \leq i-1$ . By Lemma 3.3, we have an exact sequence in  $\text{GP}(\text{R}\mathcal{A})$

$$0 \rightarrow \text{D}\mathcal{A}_{i-1}(X, -) \rightarrow \text{R}\mathcal{A}(-, (X, i)) \rightarrow \mathcal{A}_i(-, X) \rightarrow 0.$$

Since  $\text{D}\mathcal{A}_{i-1}(X, -)$  has finite projective dimension over  $\mathcal{A}$  by the property (G), and by the inductive hypothesis, we have  $\text{D}\mathcal{A}_{i-1}(X, -) \in \text{thick } \mathcal{M}$ . Therefore  $\mathcal{A}_i(-, X)$  is in  $\text{thick } \mathcal{M}$ .

Next we show that  $\mathcal{A}_{-i}(-, X) \in \text{thick } \mathcal{M}$  for  $i > 0$ . Assume that  $\mathcal{A}_{-j}(-, X) \in \text{thick } \mathcal{M}$  for  $0 \leq j \leq i-1$ . By Lemma 3.5,  $\mathcal{A}_{-i}(-, X)$  belongs to  $\text{modR}\mathcal{A}$ . By applying the duality  $s^*\text{D}$  to this module, we have  $s^*\text{D}(\mathcal{A}_{-i}(-, X)) \simeq \text{D}\mathcal{A}_i^{\text{op}}(X, -) \in \text{mod}(\mathcal{A}_i^{\text{op}}) \subset \text{modR}(\mathcal{A}^{\text{op}})$ . Let  $n$  be the projective dimension of  $\text{D}\mathcal{A}_i^{\text{op}}(X, -)$  in  $\text{mod}(\mathcal{A}_i^{\text{op}})$  and

$$Q_n \xrightarrow{f} \cdots \rightarrow Q_1 \rightarrow Q_0 \rightarrow \text{D}\mathcal{A}_i^{\text{op}}(X, -) \rightarrow 0$$

be the first  $n$  arrows of a minimal projective resolution of  $\text{D}\mathcal{A}_i^{\text{op}}(X, -)$  in  $\text{modR}(\mathcal{A}^{\text{op}})$ . Put  $K := \text{Ker } f$ . We have  $K \in \text{GP}(\text{R}(\mathcal{A}^{\text{op}}))$  by Lemmas 2.7 (b) and 3.13 (a). By applying  $\rho$  to this resolution, we have  $K \in \text{GP}(\text{R}(\mathcal{A}^{\text{op}}), \mathcal{A}^{\text{op}})$ . Since the projective dimension of  $\text{D}\mathcal{A}_i^{\text{op}}(X, -)$  in  $\text{mod}(\mathcal{A}_i^{\text{op}})$  is  $n$  and by Lemma 3.12 (c),

we have  $\rho_i(K) = 0$ . Moreover by Lemma 3.12 (c), we have  $\rho_{\geq i+1}(K) = 0$ . Therefore an  $\mathcal{RA}$ -module  $K' := (D(s^*)^{-1})(K) \in \mathbf{GP}(\mathcal{RA}, \mathcal{A})$  satisfies  $\rho_{< -i+1}(K') = 0$ . Since  $K'$  is a finitely generated  $\mathcal{RA}$ -module,  $\text{Supp } K'$  is finite. Thus by Lemma 3.4 (b),  $K'$  has a finite filtration with subquotients  $\rho_j(K')$  for  $-i+1 \leq j \leq m$ , where  $m = \max \text{Supp } K'$ . By the inductive hypothesis,  $K' \in \mathbf{thick } \mathcal{M}$  holds. We have an exact sequence in  $\mathbf{GP}(\mathcal{RA})$

$$0 \rightarrow \mathcal{A}_{-i}(-, X) \rightarrow Q'_0 \rightarrow Q'_1 \rightarrow \cdots \rightarrow Q'_n \rightarrow K' \rightarrow 0,$$

where each  $Q'_l := (D(s^*)^{-1})(Q_l)$  is a projective  $\mathcal{RA}$ -module for  $0 \leq l \leq n$  by Lemma 2.15 (a). This means  $\mathcal{A}_{-i}(-, X) \simeq K'[-n-1]$  in  $\mathbf{GP}(\mathcal{RA}, \mathcal{A})$ . Therefore we have  $\mathcal{A}_{-i}(-, X) \in \mathbf{thick } \mathcal{M}$ .  $\square$

**Proof of Theorem 3.10.** (a) This follows from Propositions 3.14 and 3.15.

(b) Let  $M \in \mathbf{GP}(\mathcal{RA})$ . By Lemma 3.6, we have  $\rho(M) \in \mathbf{mod } \mathcal{A}$ . Therefore, since each object of  $\mathbf{mod } \mathcal{A}$  has finite projective dimension, we have  $\mathbf{GP}(\mathcal{RA}, \mathcal{A}) = \mathbf{GP}(\mathcal{RA})$  and  $\mathbf{GP}(\mathcal{RA}, \mathcal{A}) = \mathbf{GP}(\mathcal{RA})$ . Since  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (IFP) and each object of  $\mathbf{mod } \mathcal{A}$  and  $\mathbf{mod }(\mathcal{A}^{\text{op}})$  has finite projective dimension,  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (G). Thus the assertion follows from (a).  $\square$

**Proof of Corollary 3.11.** Since  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  are dualizing  $k$ -varieties, both of them satisfy (IFP). By Lemmas 2.9, 3.2, Proposition 2.16 and Theorem 3.7,  $\mathbf{GP}(\mathcal{RA}) = \mathbf{mod } \mathcal{RA}$  holds. The assertion directly follows from Theorem 3.10.  $\square$

### 3.3. Happel's theorem for functor categories

As an application of Theorem 3.10, we show Happel's theorem for functor categories. We need the following lemma.

**Lemma 3.16.** *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category and assume that  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (IFP). Let  $X, Y \in \mathcal{A}$ ,  $\mathcal{T} := \mathbf{GP}(\mathcal{RA})$ . We have the following equality:*

$$\mathcal{T}(\mathcal{A}_0(-, X), \mathcal{A}_0(-, Y)[n]) \simeq \begin{cases} \mathcal{A}(X, Y) & n = 0, \\ 0 & \text{else.} \end{cases}$$

**Proof.** By Proposition 3.14,  $\mathcal{T}(\mathcal{A}_0(-, X), \mathcal{A}_0(-, Y)[n]) = 0$  holds for any  $n \neq 0$ . Moreover we have

$$\begin{aligned} (\mathbf{Mod } \mathcal{RA})(\mathcal{A}_0(-, X), \mathcal{RA}(-, (Y, 0))) &\simeq (\mathbf{Mod }(\mathcal{RA})^{\text{op}})(\mathcal{DRA}(-, (Y, 0)), \mathcal{DA}_0(-, X)) \\ &\simeq (\mathbf{Mod }(\mathcal{RA})^{\text{op}})(\mathcal{RA}((Y, -1), -), \mathcal{DA}_0(-, X)) \\ &\simeq \mathcal{DA}_0((Y, -1), X) = 0, \end{aligned} \tag{3.4}$$

where we use Lemma 3.2 (b) and Yoneda's lemma. By Lemma 3.3 (b), if a morphism  $f : \mathcal{A}_0(-, X) \rightarrow \mathcal{A}_0(-, Y)$  in  $\mathbf{Mod } \mathcal{RA}$  factors through an object of  $\mathbf{proj } \mathcal{RA}$ , then  $f$  factors through  $\mathcal{RA}(-, (Y, 0))$ . Thus by the equality (3.4), we have

$$\mathcal{T}(\mathcal{A}_0(-, X), \mathcal{A}_0(-, Y)) = (\mathbf{Mod } \mathcal{RA})(\mathcal{A}_0(-, X), \mathcal{A}_0(-, Y)).$$

By applying the functor  $(\mathbf{Mod } \mathcal{RA})(-, \mathcal{A}_0(-, Y))$  to the exact sequence of Lemma 3.3 (b), since  $(\mathbf{Mod } \mathcal{RA})(\mathcal{DA}_{-1}(X, -), \mathcal{A}_0(-, Y)) = 0$  holds, we have

$$\begin{aligned}
(\text{Mod } \mathcal{R}\mathcal{A})(\mathcal{A}_0(-, X), \mathcal{A}_0(-, Y)) &\simeq (\text{Mod } \mathcal{R}\mathcal{A})(\mathcal{R}\mathcal{A}(-, (X, 0)), \mathcal{A}_0(-, Y)) \\
&\simeq \mathcal{A}_0((X, 0), Y) \\
&\simeq \mathcal{A}(X, Y). \quad \square
\end{aligned}$$

We have the following result, which is a functor category version of Happel's theorem.

**Corollary 3.17.** *Let  $\mathcal{A}$  be a  $k$ -linear, Hom-finite additive category and assume that  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (IFP).*

(a) *If  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (G), then we have a triangle equivalence*

$$\mathbf{K}^b(\text{proj } \mathcal{A}) \simeq \underline{\text{GP}}(\mathcal{R}\mathcal{A}, \mathcal{A}).$$

(b) *If each object of  $\text{mod } \mathcal{A}$  and  $\text{mod } (\mathcal{A}^{\text{op}})$  has finite projective dimension, then we have a triangle equivalence*

$$\mathbf{K}^b(\text{proj } \mathcal{A}) \simeq \underline{\text{GP}}(\mathcal{R}\mathcal{A}).$$

**Proof.** (a) Let  $\mathcal{F} := \text{GP}(\mathcal{R}\mathcal{A}, \mathcal{A})$  and  $\mathcal{P} := \text{proj } \mathcal{R}\mathcal{A}$ . By Proposition 3.8,  $\mathcal{F}$  is a Frobenius category such that the projective objects are precisely the objects of  $\mathcal{P}$ . Since the inclusion functor  $\text{proj } \mathcal{A} \simeq \text{proj } \mathcal{A}_0 \rightarrow \mathcal{F}$  is exact, it induces a triangle functor  $\mathbf{K}^b(\text{proj } \mathcal{A}) \rightarrow \mathbf{K}^b(\mathcal{F})$ . By restricting the stable  $t$ -structure obtained from Proposition 2.18 to  $\mathbf{K}^{-,b}(\mathcal{F})$ , we have a stable  $t$ -structure  $(\mathbf{K}^{-,b}(\mathcal{P}), \mathbf{K}^a(\mathcal{F}) \cap \mathbf{K}^{-,b}(\mathcal{F}))$  on  $\mathbf{K}^{-,b}(\mathcal{F})$ . Therefore, there exists a triangle functor  $\mathbf{K}^{-,b}(\mathcal{F}) \rightarrow \mathbf{K}^{-,b}(\mathcal{P})$  such that the inclusion functor  $\mathbf{K}^{-,b}(\mathcal{P}) \rightarrow \mathbf{K}^{-,b}(\mathcal{F})$  is a left adjoint. Then we have the following triangle functors

$$F : \mathbf{K}^b(\text{proj } \mathcal{A}) \rightarrow \mathbf{K}^{-,b}(\mathcal{P}) \rightarrow \mathbf{K}^{-,b}(\mathcal{P})/\mathbf{K}^b(\mathcal{P}) \rightarrow \underline{\mathcal{F}},$$

where the third functor is a quasi-inverse of the equivalence obtained from Theorem 2.17. We denote by  $F$  the composite of these functors. We show that  $F$  is an equivalence by using Lemma 2.19.

Put  $\mathcal{U} := \mathbf{K}^b(\text{proj } \mathcal{A})$  and  $\mathcal{T} := \underline{\text{GP}}(\mathcal{R}\mathcal{A}, \mathcal{A}) = \underline{\mathcal{F}}$ . Note that  $\text{proj } \mathcal{A}$  is a subcategory of  $\mathcal{U}$ . We show that the map

$$F_{M,N[n]} : \mathcal{U}(M, N[n]) \rightarrow \mathcal{T}(FM, FN[n])$$

is an isomorphism for any  $M, N \in \text{proj } \mathcal{A}$  and  $n \in \mathbb{Z}$ . By Theorem 2.17, a quasi-inverse of  $\mathbf{K}^{-,b}(\mathcal{P})/\mathbf{K}^b(\mathcal{P}) \rightarrow \underline{\mathcal{F}}$  is induced from the composite of the canonical functors  $\mathcal{F} \rightarrow \mathbf{K}^{-,b}(\mathcal{P}) \rightarrow \mathbf{K}^{-,b}(\mathcal{P})/\mathbf{K}^b(\mathcal{P})$ . Therefore we have  $F(\mathcal{A}(-, X)) = \mathcal{A}_0(-, X)$  for any  $X \in \mathcal{A}$ . For any  $X, Y \in \mathcal{A}$ , we have

$$\mathcal{U}(\mathcal{A}(-, X), \mathcal{A}(-, Y)) = \mathcal{A}(X, Y), \quad \mathcal{U}(\mathcal{A}(-, X), \mathcal{A}(-, Y)[n]) = 0,$$

for  $n \neq 0$ . Consequently, by Lemma 3.16,  $F_{M,N[n]}$  is an isomorphism for any  $M, N \in \text{proj } \mathcal{A}$  and  $n \in \mathbb{Z}$ .

We show that  $\mathcal{U} = \mathbf{K}^b(\text{proj } \mathcal{A})$  is idempotent complete. For an additive category  $\mathcal{B}$ , we denote by  $\mathbf{C}^b(\mathcal{B})$  the category of bounded complexes of  $\mathcal{B}$ . Since  $\text{proj } \mathcal{A}$  is Hom-finite, so is  $\mathbf{C}^b(\text{proj } \mathcal{A})$ . Because  $\mathbf{C}^b(\text{proj } \mathcal{A})$  is closed under taking direct summands in  $\mathbf{C}^b(\text{Mod } \mathcal{A})$ ,  $\mathbf{C}^b(\text{proj } \mathcal{A})$  is idempotent complete. Thus by Proposition 2.10,  $\mathbf{C}^b(\text{proj } \mathcal{A})$  is a Krull-Schmidt category. By Proposition 2.10 (iii) and since there exists a full dense functor from  $\mathbf{C}^b(\text{proj } \mathcal{A})$  to  $\mathbf{K}^b(\text{proj } \mathcal{A})$ , the latter category is also Krull-Schmidt. Therefore by Proposition 2.10,  $\mathbf{K}^b(\text{proj } \mathcal{A})$  is idempotent complete. Clearly we have  $\text{thick}_{\mathcal{U}}(\text{proj } \mathcal{A}) = \mathcal{U}$ . Since  $\text{Im}(F|_{\text{proj } \mathcal{A}}) = \mathcal{M}$  holds, we have  $\text{thick}(\text{Im}(F)) = \mathcal{T}$  by Theorem 3.10 (a). Therefore  $F$  is an equivalence by Lemma 2.19.

(b) Since each object of  $\text{mod } \mathcal{A}$  has finite projective dimension, we have  $\text{GP}(\mathcal{R}\mathcal{A}, \mathcal{A}) = \text{GP}(\mathcal{R}\mathcal{A})$  and thus  $\underline{\text{GP}}(\mathcal{R}\mathcal{A}, \mathcal{A}) = \underline{\text{GP}}(\mathcal{R}\mathcal{A})$ . Moreover, by the same assumption,  $\mathcal{A}$  and  $\mathcal{A}^{\text{op}}$  satisfy (G). Therefore we have the assertion by (a).  $\square$

**Corollary 3.18.** *Let  $\mathcal{A}$  be a dualizing  $k$ -variety. If each object of  $\text{mod } \mathcal{A}$  and  $\text{mod}(\mathcal{A}^{\text{op}})$  has finite projective dimension, then we have a triangle equivalence*

$$\text{D}^b(\text{mod } \mathcal{A}) \simeq \underline{\text{mod}} \text{R}\mathcal{A}.$$

**Proof.** If  $\mathcal{A}$  is a dualizing  $k$ -variety, then  $\text{GP}(\text{R}\mathcal{A}) = \text{mod } \text{R}\mathcal{A}$  holds. Since the natural inclusion  $\text{K}^b(\text{proj } \mathcal{A}) \rightarrow \text{D}^b(\text{mod } \mathcal{A})$  is a triangle equivalence, the assertion directly follows from Corollary 3.17.  $\square$

#### 4. Proof of Theorem 1.1

Throughout this section, let  $A$  be a finite dimensional hereditary  $k$ -algebra, that is,  $\text{gldim}(A) \leq 1$ . In this section, we apply Corollary 3.18 to  $\underline{\text{mod}} A$  and show Theorem 4.5.

We denote by  $\text{mod } A$  the category of the finitely generated  $A$ -modules and denote by  $\tau$  and  $\tau^{-1}$  the Auslander-Reiten translations of  $A$ . We call an indecomposable  $A$ -module  $M$  *preprojective* (resp. *preinjective*) if there exists an indecomposable projective  $A$ -module  $P$  (resp. injective  $A$ -module  $I$ ) and an integer  $i \leq 0$  (resp.  $i \geq 0$ ) such that  $M \simeq \tau^i(P)$  (resp.  $M \simeq \tau^i(I)$ ). We call an indecomposable  $A$ -module  $M$  *regular* if  $M$  is neither preprojective nor preinjective. Then it is easy to see that an indecomposable  $A$ -module  $M$  is regular if and only if  $\tau^i(M) \neq 0$  for any  $i \in \mathbb{Z}$  (see [5, VIII. 4] for instance). Define the following subcategories of  $\text{mod } A$ :

$$\mathcal{P} := \text{add}\{M \in \text{mod } A \mid M \text{ is a preprojective module}\},$$

$$\mathcal{I} := \text{add}\{M \in \text{mod } A \mid M \text{ is a preinjective module}\},$$

$$\mathcal{R} := \text{add}\{M \in \text{mod } A \mid M \text{ is a regular module}\}.$$

We denote by  $\text{D}^b(\text{mod } A)$  the bounded derived category of  $\text{mod } A$  and denote by  $\mathbb{S} = \text{DA} \otimes_A^{\mathbb{L}} (-)$  a Serre functor on  $\text{D}^b(\text{mod } A)$ . We regard  $\text{mod } A$  as a full subcategory of  $\text{D}^b(\text{mod } A)$  by the canonical inclusion. Thus for any  $X \in \text{D}^b(\text{mod } A)$ ,  $X \in \text{mod } A$  if and only if  $\text{H}^i(X) = 0$  for any  $i \neq 0$ .

The following proposition is well known (see [1, Chapter VIII. 2.1. Proposition] [13, Chapter I, 5.2, Lemma]).

**Proposition 4.1.** *Let  $A$  be a finite dimensional representation-infinite hereditary  $k$ -algebra. Then we have the following equalities.*

$$\text{D}^b(\text{mod } A) = \bigvee_{i \in \mathbb{Z}} (\text{mod } A)[i],$$

$$\text{mod } A = \mathcal{P} \vee \mathcal{R} \vee \mathcal{I}.$$

We denote by  $\text{mod}_p A$  the full subcategory of  $\text{mod } A$  consisting of modules without non-zero projective direct summands. The following lemma is used to define a functor from  $\text{R}(\text{mod}_p A)$  to  $\text{D}^b(\text{mod } A)$ .

**Lemma 4.2.** *Let  $A$  be a finite dimensional representation-infinite hereditary  $k$ -algebra. For any  $i < 0$  and  $j > 1$ , we have*

$$\mathbb{S}^i(\text{mod}_p A) \subset \text{add}(A) \vee \bigvee_{l < 0} \text{mod } A[l], \quad \mathbb{S}^j(\text{mod}_p A) \subset \text{add}(\text{DA}) \vee \bigvee_{l > 1} \text{mod } A[l].$$

**Proof.** Let  $M \in \text{mod}_p A$  be an indecomposable  $A$ -module. By the description of the Serre functor  $\mathbb{S}$  and the first equation of Proposition 4.1, we have  $\mathbb{S}^{-1}(M) \in \text{proj } A$  if  $M$  is injective, or  $\mathbb{S}^{-1}(M) \in \text{mod } A[-1]$

if otherwise. This, together with  $S^{-1}(\text{proj } A) \subset \text{mod } A[-1]$ , implies the first inclusion. Similarly, the second inclusion follows.  $\square$

We define an additive functor

$$\Phi : R(\text{mod}_p A) \rightarrow D^b(\text{mod } A)$$

as follows. For  $X \in \text{mod}_p A$  and  $i \in \mathbb{Z}$ , let  $\Phi(X, i) := S^i(X)$ . For  $X, Y \in \text{mod}_p A$  and  $i, j \in \mathbb{Z}$ , since  $S$  is a Serre functor of  $D^b(\text{mod } A)$ , we have

$$\text{Hom}_{D^b(\text{mod } A)}(S^i(X), S^j(Y)) \simeq \begin{cases} \text{Hom}_{D^b(\text{mod } A)}(X, Y) & i = j, \\ D \text{Hom}_{D^b(\text{mod } A)}(Y, X) & j = i + 1, \\ 0 & \text{else,} \end{cases}$$

where the last isomorphism follows from Lemma 4.2. By using these isomorphisms, we define a map

$$\Phi_{(X,i),(Y,j)} : \text{Hom}_{R(\text{mod}_p A)}((X, i), (Y, j)) \rightarrow \text{Hom}_{D^b(\text{mod } A)}(S^i(X), S^j(Y)),$$

and we extend  $\Phi$  on  $R(\text{mod}_p A)$  additively. Then  $\Phi$  is actually a functor, since a Serre duality is bifunctorial.

The first theorem of this section is the following. Put  $S_1 := S \circ [-1]$ . By the description of the Serre functor  $S$  and the definition of the Auslander-Reiten translation  $\tau$ ,  $H^0(S_1(M)) \simeq \tau(M)$  and  $H^0(S_1^{-1}(M)) \simeq \tau^{-1}(M)$  hold for any  $M \in \text{mod } A$ , see also [1, Chapter IV. 2.4. Proposition]. Since  $A$  is hereditary, the canonical functor  $\text{mod}_p A \rightarrow \underline{\text{mod}} A$  induces an equivalence  $\text{mod}_p A \simeq \underline{\text{mod}} A$ .

**Theorem 4.3.** *Let  $A$  be a finite dimensional representation-infinite hereditary  $k$ -algebra. Then the functor  $\Phi : R(\text{mod}_p A) \rightarrow D^b(\text{mod } A)$  is an equivalence of additive categories. In particular, we have an equivalence  $R(\underline{\text{mod}} A) \rightarrow D^b(\text{mod } A)$  of additive categories.*

**Proof.** By the definition,  $\Phi$  is fully faithful. We show that  $\Phi$  is dense. Let  $X$  be an indecomposable object of  $D^b(\text{mod } A)$ . By Proposition 4.1, there exist an indecomposable  $A$ -module  $M$  and an integer  $l$  such that  $X \simeq M[l]$ .

Assume that  $M$  is a preprojective module. If  $P$  is an indecomposable projective module, then  $S_1^{-i}(P)$  has cohomology concentrated in degree zero for any  $i \geq 0$ . Therefore, there exist an indecomposable projective  $A$ -module  $P$  and  $i \geq 0$  such that  $M \simeq S_1^{-i}(P)$ . If  $i + l > 0$ , then we have  $S_1^{-(i+l)}(P) \in \text{mod}_p A$  and

$$\begin{aligned} \Phi(S_1^{-(i+l)}(P), l) &= S^l(S_1^{-(i+l)}(P)) \\ &= S_1^{-i}(P)[l] \\ &\simeq X. \end{aligned}$$

If  $i + l \leq 0$ , then for an injective  $A$ -module  $S(P)$ , we have  $S_1^{-(i+l)}(S(P)) \in \text{mod}_p A$  and

$$\begin{aligned} \Phi(S_1^{-(i+l)}(S(P)), l - 1) &= S^{l-1}(S_1^{-(i+l)}(S(P))) \\ &= S_1^{-i}(P)[l] \\ &\simeq X. \end{aligned}$$

Next assume that  $M$  is a preinjective module. There exist an indecomposable injective  $A$ -module  $I$  and  $i \geq 0$  such that  $M \simeq S_1^i(I)$ . If  $i - l \geq 0$ , then we have  $S_1^{i-l}(I) \in \text{mod}_p A$  and

$$\begin{aligned}
\Phi(S_1^{i-l}(I), l) &= S^l(S_1^{i-l}(I)) \\
&= S_1^i(I)[l] \\
&\simeq X.
\end{aligned}$$

If  $i - l < 0$ , then we have  $S_1^{i-l}(S^{-1}(I)) \in \text{mod}_p A$  and

$$\begin{aligned}
\Phi(S_1^{i-l}(S^{-1}(I)), l+1) &= S^{l+1}(S_1^{i-l}(S^{-1}(I))) \\
&= S_1^i(I)[l] \\
&\simeq X.
\end{aligned}$$

Assume that  $M$  is a regular module. Then we have  $S_1^{-l}(M) \in \mathcal{R} \subset \text{mod}_p A$  and  $\Phi(S_1^{-l}(M), l) = S^l(S_1^{-l}(M)) = M[l]$  holds. Therefore the functor  $\Phi : \text{R}(\text{mod}_p A) \rightarrow \text{D}^b(\text{mod} A)$  is dense. The last assertion follows from the equivalence  $\text{mod}_p A \simeq \underline{\text{mod}} A$ .  $\square$

There is the well known equivalence  $\text{D}^b(\mathcal{H}) \simeq \text{Rep } \mathcal{H}$  for a hereditary abelian category  $\mathcal{H}$  [20, Theorem 3.1]. Theorem 4.3 is an analog of this equivalence, in the sense that both equivalences give “repetitive shape” of the derived category of a hereditary algebra. But these equivalences are quite different, since the equivalence of Theorem 4.3 is induced from the Serre functor, on the other hand, the equivalence  $\text{D}^b(\mathcal{H}) \simeq \text{Rep } \mathcal{H}$  is induced from an inclusion functor.

We recall the following proposition.

**Proposition 4.4.** *Let  $\mathcal{C}$  be a skeletally small dualizing  $k$ -variety and  $\mathcal{D} := \text{mod } \mathcal{C}$ . Let  $\mathcal{P}$  be the full subcategory of  $\mathcal{D}$  consisting of all projective  $\mathcal{C}$ -modules. Then the following statements hold.*

- (a)  $\mathcal{D}/[\mathcal{P}]$  is a dualizing  $k$ -variety.
- (b) Assume that the global dimension of  $\text{mod } \mathcal{C}$  is at most  $n$ , then the global dimension of  $\text{mod}(\mathcal{D}/[\mathcal{P}])$  is at most  $3n - 1$ .

**Proof.** (a) This follows from [3, Proposition 6.2].

(b) Throughout only this proof, we use the following notation, which is compatible with that of [3]. We denote by  $\underline{\text{mod}} \mathcal{D}$  the subcategory of  $\text{mod } \mathcal{D}$  consisting of objects  $M$  such that  $M(Q) = 0$  for any  $Q \in \mathcal{P}$ . Then this is an abelian subcategory of  $\text{mod } \mathcal{D}$ . We have an equivalence  $\text{mod}(\mathcal{D}/[\mathcal{P}]) \simeq \underline{\text{mod}} \mathcal{D}$ , see [3, Section 6]. Thus the assertion follows from [3, Proposition 10.2].  $\square$

Then we apply Corollary 3.18 to  $\underline{\text{mod}} A$ .

**Theorem 4.5.** *Let  $A$  be a finite dimensional hereditary  $k$ -algebra. Then we have the following triangle equivalences*

$$\underline{\text{mod}} \text{D}^b(\text{mod} A) \simeq \underline{\text{mod}} \text{R}(\underline{\text{mod}} A) \simeq \text{D}^b(\text{mod}(\underline{\text{mod}} A)).$$

**Proof.** If  $A$  is a representation-finite algebra, then equivalences are shown by Iyama-Oppermann [14, Corollary 4.11]. Assume that  $A$  is a representation-infinite algebra. The first equivalence comes from Theorem 4.3. By Proposition 4.4,  $\underline{\text{mod}} A$  is a dualizing  $k$ -variety such that the global dimension of  $\text{mod}(\underline{\text{mod}} A)$  is at most two. Therefore we can apply Corollary 3.18 to the dualizing  $k$ -variety  $\underline{\text{mod}} A$ . We have the second equivalence.  $\square$

We say that two dualizing  $k$ -varieties  $\mathcal{A}$  and  $\mathcal{A}'$  are derived equivalent if the derived categories of  $\text{mod } \mathcal{A}$  and  $\text{mod } \mathcal{A}'$  are triangle equivalent.

**Corollary 4.6.** *Let  $A, A'$  be representation-infinite hereditary algebras. If  $A$  and  $A'$  are derived equivalent, then  $\text{mod } A$  and  $\text{mod } A'$  are derived equivalent.*

**Remark 4.7.** If  $A$  is a representation-finite hereditary algebra, then Theorems 4.3, 4.5 and Corollary 4.6 were shown by Iyama-Oppermann, see [14, Theorem 4.7, Corollary 4.11].

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