



Fundamental results on s -closures

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ABSTRACT

This paper establishes the fundamental properties of the s -closures, a recently introduced family of closure operations on ideals of rings of positive characteristic. The behavior of the s -closure of homogeneous ideals in graded rings is studied, and criteria are given for when the s -closure of an ideal can be described exactly in terms of its tight closure and rational powers. Sufficient conditions are established for the weak s -closure to equal to the s -closure. A generalization of the Briançon-Skoda theorem is given which compares any two different s -closures applied to powers of the same ideal.

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1. Introduction

In [6], the author introduced a family of closure operations on the ideals of noetherian rings of positive characteristic which lie between and interpolate between integral closure and tight closure of those ideals. For a real number $s \geq 1$, the *weak s -closure* of an ideal I in a ring R is the set of $x \in R$ such that there exists $c \in R$, not in any minimal prime, such that $cx^q \in I^{[sq]} + I^{[q]}$ for all sufficiently high powers q of the characteristic of R . We denote the weak s -closure of I by $I^{\{s\}}$. The s -closure I^{cl_s} of I is the ideal obtained by applying the weak s -closure repeatedly until the chain of ideals stabilizes.

The s -closures are related to the s -multiplicity function, which similarly interpolates between the Hilbert-Samuel and Hilbert-Kunz multiplicities of an ideal. The s -multiplicity of an \mathfrak{m} -primary ideal I in a local ring (R, \mathfrak{m}) is

$$e_s(I) = \lim_{q \rightarrow \infty} \frac{\lambda(R/(I^{[sq]} + I^{[q]}))}{q^d \mathcal{H}_s(d)},$$

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where $\mathcal{H}_s(d)$ is a normalizing factor depending only on s and the Krull dimension d of R .

The strongest result on the subject of s -closures in [6] is Theorem 4.6, which states that if I and J are \mathfrak{m} -primary ideals of a ring R and $I^{\{s\}} = J^{\{s\}}$, then $e_s(I) = e_s(J)$. The same theorem gives a partial converse: if R is an F -finite complete domain, and $I \subseteq J$, and $e_s(I) = e_s(J)$, then $I^{\{s\}} = J^{\{s\}}$. Furthermore, in this case the weak s -closure is the s -closure, i.e. $I^{\{s\}} = I^{\text{cl}_s}$. In this paper, we show in Theorem 4.7 that the domain hypothesis for the converse direction may be weakened to unmixed.

This paper's purpose is to develop significantly more of the theory of s -closures, particularly to establish the results that are essential for further study. The three main goals of this paper are to understand the structure of the s -closure in the graded case, identify situations in which $I^{\{s\}} = I^{\text{cl}_s}$, and to compare the s -closures for different values of s using a generalization of the Briançon-Skoda theorem. Here we record those results in the paper we believe will be most relevant to future work. In some cases the statement of the full theorem is slightly stronger but more technical. All rings are assumed to be of positive prime characteristic.

Lemma (Lemma 4.10). *If I is an ideal and $1 \leq t < s$, then $(I^{\{t\}})^{\{s\}} = I^{\{t\}}$.*

Theorem (Theorem 4.6). *Let R be a ring, $I \subseteq R$ an ideal, and $s \geq 1$. For any $x \in R$, $x \in I^{\{s\}}$ if and only if $\bar{x} \in (IR/\mathfrak{p})^{\{s\}}$ for all $\mathfrak{p} \in \text{Min } R$.*

Theorem (Theorem 2.9, 3.4). *Let R be a ring, $I \subseteq R$ an ideal, and $s \geq 1$ a rational number. We have that $I^* + I_s \subseteq I^{\{s\}}$, where I_s is the s th rational power of I (Definition 2.6). Furthermore, equality holds if I is a monomial ideal in a polynomial or semigroup ring over a field.*

Theorem (Theorem 3.1, 3.2, 3.3). *Let R be an \mathbb{N} -graded ring, $I \subseteq R$ a homogeneous ideal, $x \in R$ a homogeneous element, and $s \geq 1$.*

1. $I^{\{s\}}$ and I^{cl_s} are homogeneous ideals.
2. If all generators of I have degree at least d and $x \in I^{\{s\}} \setminus I^*$, then $\deg x \geq sd$.
3. If (R, \mathfrak{m}) is graded local, I is \mathfrak{m} -primary and generated in degree at most d , and $\deg x \geq sd$, then $x \in I^{\{s\}}$.

Theorem (Corollary 4.18). *For the following classes of ideals, $I^{\{s\}} = I^{\text{cl}_s}$.*

1. Monomial ideals in polynomial rings, or more generally affine semigroup rings, over a field.
2. Principal ideals.
3. Powers of R_+ , where R is an \mathbb{N} -graded ring generated in degree 1 over R_0 and R_+ is generated by all elements of positive degree.

Theorem (Theorem 5.1). *Let R be a ring, $1 \leq t < s$, and I an ideal of R . If $r \geq \frac{(\mu(I)-1)(s-t)}{t(s-1)}$, then for all $n \in \mathbb{N}$, $(I^{n+r})^{\{t\}} \subseteq (I^n)^{\{s\}}$.*

An outline of this paper is as follows. In Section 2, we give the basic definitions and results on powers of ideals that we use throughout the paper. We also record some results about rational powers of ideals, and prove a characterization of them which is particularly relevant to us. In Section 3, we consider the s -closure of homogeneous ideals in graded rings. We obtain degree conditions which can be used in some cases to check the membership or non-membership of a homogeneous element in the s -closure of a homogeneous ideal. Section 4 considers the question of when $I^{\{s\}} = I^{\text{cl}_s}$, and gives some sufficient conditions on I for

equality to hold. Section 5 includes our generalization of the Briançon-Skoda theorem which compares any two s -closures.

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2. Preliminaries

Throughout this paper, all rings R are assumed to be commutative and noetherian, and the notation R° indicates the set of all elements of R not in any minimal prime ideal. For an ideal I , we use $\mu(I)$ for the minimal number of generators of I .

Unless specified otherwise, all rings have positive prime characteristic p , and the symbols q and q' stand for positive integer powers of p . For an ideal I in a ring of characteristic $p > 0$, the ideal $I^{[q]} = (f^q \mid f \in I)$ is called the q th Frobenius power of I , and is generated as an ideal by the q th powers of any set of generators of I .

We are interested in the relationships between ordinary and Frobenius powers of ideals. In particular, we rely on the following result.

Lemma 2.1. *If R is a ring, $h \geq 0$ is a real number, I is an ideal of R , and q is a power of p , then $I^{[h]} \subseteq (I^{[q]})^{\lceil h/q - \mu(I) + 1 \rceil} \subseteq (I^{[q]})^{\lceil h/q - \mu(I) \rceil}$*

Proof. Let $x_1, \dots, x_{\mu(I)}$ be a set of generators for I . For any generator x of $I^{[h]}$, there exist $a_i, b_i \in \mathbb{N}$ such that $b_i < q$, $\sum a_i q + b_i = \lceil h \rceil$, and

$$x = \prod_{i=1}^{\mu(I)} x_i^{qa_i + b_i} = \prod_{i=1}^{\mu(I)} (x_i^q)^{a_i} \cdot \prod_{i=1}^{\mu(I)} x_i^{b_i} \in (I^{[q]})^{\sum_i a_i}.$$

Furthermore,

$$\sum_{i=1}^{\mu(I)} a_i = \sum_{i=1}^{\mu(I)} \frac{qa_i + b_i - b_i}{q} = \frac{\lceil h \rceil}{q} - \sum_i \frac{b_i}{q} \geq \frac{\lceil h \rceil}{q} - \mu(I) \frac{q-1}{q} > \frac{h}{q} - \mu(I)$$

Therefore, since $\sum_i a_i$ is an integer, $\sum_i a_i \geq \lceil h/q - \mu(I) + 1 \rceil$.

The last containment is implied by the fact that $\lceil \alpha \rceil \leq \lfloor \alpha + 1 \rfloor$ for all real α . \square

2.1. Mixed powers and s -closure

Given a ring R of any characteristic and ideal I , the integral closure \bar{I} of I is the set of all $x \in R$ such that there exists $c \in R^\circ$ such that $cx^n \in I^n$ for infinitely many positive integers n , or equivalently all sufficiently large integers n [4, Corollary 6.8.12]. When R has characteristic $p > 0$, the tight closure I^* of I is the set of all $x \in R$ such that there exists $c \in R^\circ$ such that $cx^q \in I^{[q]}$ for all $q \gg 0$. The similarity between these two descriptions suggests a method of interpolating between the two closures. We begin by considering a set of ideals which interpolate between ordinary powers and Frobenius powers of an ideal.

Definition 2.2. Let R be a ring, $s \geq 1$ a real number, and I an ideal of R . For any q , the (s, q) mixed power of I is

$$I^{(s,q)} = I^{\lceil sq \rceil} + I^{[q]}.$$

Note that $I^{(1,q)} = I^q$, and that if $s \geq \mu(I)$, then $I^{(s,q)} = I^{[q]}$. Furthermore, we have that if $s > t$, then $I^{(s,q)} \subseteq I^{(t,q)}$. Therefore, the ideals $I^{(s,q)}$ form a decreasing family of ideals parameterized by s . In [6], the author used the mixed powers defined above to construct a family of closures which lie between integral closure and tight closure.

Definition 2.3. [6, Definition 4.1] Let R be a ring, $s \geq 1$ a real number, and I an ideal of R . The *weak s -closure* of I , denoted $I^{\{s\}}$, is the set of all $x \in R$ such that there exists $c \in R$ such that for all $q \gg 0$, $cx^q \in I^{(s,q)}$.

It is easy to see that $I^{\{s\}}$ is an ideal containing I , and that if $I \subseteq J$ then $I^{\{s\}} \subseteq J^{\{s\}}$, but it is not clear that the weak s -closure is idempotent. Thus, to construct a true closure operation, we apply the weak s -closure repeatedly.

Definition 2.4. [6, Definition 4.3] Let R be a ring, $s \geq 1$, and I an ideal of R . The *s -closure* of I , denoted I^{cl_s} , is the ideal at which the following increasing chain of ideals stabilizes:

$$I \subseteq I^{\{s\}} \subseteq \left(I^{\{s\}}\right)^{\{s\}} \subseteq \left(\left(I^{\{s\}}\right)^{\{s\}}\right)^{\{s\}} \cdots$$

It is not known whether $I^{\{s\}} = I^{\text{cl}_s}$ for all s and ideals I . The condition that $I^{\{s\}} = I^{\text{cl}_s}$ is explored in Section 4.

Since $s > t$ implies $I^{(s,q)} \subseteq I^{(t,q)}$, we have that if $s > t$, then $I^{\{s\}} \subseteq I^{\{t\}}$ and $I^{\text{cl}_s} \subseteq I^{\text{cl}_t}$. Moreover, since $I^{[q]} \subseteq I^{(s,q)} \subseteq I^q$ for all ideals I , $s \geq 1$ and q , we have that $I^* \subseteq I^{\{s\}} \subseteq I^{\text{cl}_s} \subseteq \bar{I}$ for all s and I .

Furthermore, when s is very small or very large, some of the containments above become equalities.

Theorem 2.5. *If R is a ring and I an ideal of R , then the following hold.*

1. $I^{\{1\}} = I^{\text{cl}_1} = \bar{I}$.
2. *If either $s \geq \mu(I)$ or $s > \mu(J)$, where J is a reduction of I , then $I^{\{s\}} = I^*$. In particular, if R is local with infinite residue field and $s > \dim R$, then $I^{\{s\}} = I^{\text{cl}_s} = I^*$.*

Proof. (1) If $x \in I^{\{1\}}$, then there exists $c \in R^\circ$ such that $cx^q \in I^{(1,q)} = I^q$ for all $q \gg 0$, and hence $x \in \bar{I}$. If $x \in \bar{I}$, then there exists $c \in R^\circ$ such that $cx^n \in I^n$ for all $n \gg 0$, and hence $cx^q \in I^q = I^{(1,q)}$ for all $q \gg 0$, and so $x \in I^{\{1\}}$. Therefore $I^{\{1\}} = \bar{I}$, and since this holds for all ideals I , we have that weak 1-closure and tight closure are the same operation, hence weak 1-closure is idempotent, i.e. $I^{\{1\}} = I^{\text{cl}_1}$.

(2) If $s \geq \mu(I)$ and $x \in I^{\{s\}}$, then there exists $c \in R^\circ$ such that $cx^q \in I^{(s,q)} = I^{[q]}$ for all $q \gg 0$, and therefore $x \in I^*$.

Suppose $s > \mu(J)$, where J is a reduction of I with reduction number w and $s > \mu(J)$. Since $I^* \subseteq I^{\{s\}}$ we need only show that $I^{\{s\}} \subseteq I^*$. Let $x \in I^{\{s\}}$ and let $c \in R^\circ$ such that $cx^q \in I^{(s,q)}$ for $q \gg 0$. Now, for large enough q , we have that $\frac{w}{q} \leq s - \mu(J)$, and so by Lemma 2.1,

$$cx^q \in I^{(s,q)} = I^{\lceil sq \rceil} + I^{[q]} \subseteq J^{\lceil sq - w \rceil} + I^{[q]} \subseteq \left(J^{[q]}\right)^{\lceil s - w/q - \mu(J) + 1 \rceil} + I^{[q]} \subseteq J^{[q]} + I^{[q]} = I^{[q]}.$$

Therefore $x \in I^*$.

If R is local with infinite residue field, then every ideal has a reduction generated by at most $\dim R$ elements. Hence in this case, if $s > \dim R$, then weak s -closure and tight closure are the same operation, and so in particular weak s -closure is idempotent. Hence for any ideal I , $I^{\text{cl}_s} = I^{\{s\}} = I^*$. \square

2.2. Rational powers

The notion of rational powers of ideals is related to that of s -closure. In particular, rational powers will be used to describe the s -closures of certain kinds of ideals in graded rings in Theorem 3.4. The presentation here is based on [4, Section 10.5].

Definition 2.6. Let R be a ring of any characteristic, $I \subseteq R$ an ideal, and $\alpha \in \mathbb{Q}_{\geq 0}$. The α th rational power of I , denoted I_α , is the set of all $x \in R$ such that $x^b \in \overline{I^a}$, where $\alpha = \frac{a}{b}$, $a, b \in \mathbb{N}$.

The ideal I_α does not depend on the choice of representation of α as a fraction. The most important property of rational powers that we will use is the following.

Theorem 2.7. ([4, Proposition 10.5.5]) Let R be a ring of any characteristic and $I \subseteq R$ an ideal of positive height. There exists $e \in \mathbb{N}$ such that for all $\alpha \in \mathbb{Q}_{\geq 0}$, $I_\alpha = I_{\lceil \alpha e \rceil / e}$.

We can use Theorem 2.7 to give an alternate description of I_α which simultaneously relates to the s -closure and doesn't depend on the representation of α .

Lemma 2.8. Let R be a ring of any characteristic, I an ideal of positive height, and $\alpha \in \mathbb{Q}_{\geq 0}$.

1. If $x \in I_\alpha$, then there exists $c \in R^\circ$ such that for all $n \gg \mathbb{N}$, $cx^n \in I^{\lceil \alpha n \rceil}$.
2. If there exists $c \in R^\circ$ and $m \in \mathbb{N}_{>0}$ such that for infinitely many n , $cx^{m^n} \in I^{\lceil \alpha m^n \rceil}$, then $x \in I_\alpha$.

Proof. (1) Suppose $\alpha = \frac{a}{b}$ with $a, b \in \mathbb{N}$ and $x \in I_\alpha$, so that $x^b \in \overline{I^a}$. Therefore there exists $c' \in R^\circ$ such that for all $k \gg 0$, $c'x^{bk} \in I^{ak}$. Let $c'' \in I^a \cap R^\circ$ and $n \gg 0$. We have that

$$c'c''x^{b\lceil n/b \rceil} \in c''I^{a\lceil n/b \rceil} \subseteq I^{a\lceil n/b \rceil + a} \subseteq I^{\lceil na/b \rceil} = I^{\lceil n\alpha \rceil}$$

So, setting $c = c'c''$ and noting that $x^n \in (x^{b\lceil n/b \rceil})$, we're done.

(2) Suppose that there exists $c \in R^\circ$ and $m \in \mathbb{N}_{>0}$ such that for infinitely many n , $cx^{m^n} \in I^{\lceil \alpha m^n \rceil}$. Let $e \in \mathbb{N}$ such that for any $\beta \in \mathbb{Q}_{\geq 0}$, $I_\beta = I_{\lceil \beta e \rceil / e}$. Choose $a, k \in \mathbb{N}$ such that $\frac{a}{m^k} < \alpha$ and $\lceil \frac{a}{m^k} e \rceil = \lceil \alpha e \rceil$. Now, for infinitely many $n \geq k$, we have that

$$c \left(x^{m^k} \right)^{m^{n-k}} = cx^{m^n} \in I^{\lceil \alpha m^n \rceil} \subseteq I^{\lceil (a/m^k)m^n \rceil} = I^{am^{n-k}}.$$

Therefore, $x^{m^k} \in \overline{I^a}$, and so $x \in I_{a/m^k} = I_\alpha$. \square

This description of the rational powers gives us another bound for the s -closure of an ideal.

Theorem 2.9. Let R be a ring and $I \subseteq R$ an ideal of positive height. If $s \geq 1$ is a rational number then $I^* + I_s \subseteq I^{\{s\}}$.

Proof. That $I^* \subseteq I^{\{s\}}$ is already known. Suppose $x \in I_s$. By Lemma 2.8, there exists $c \in R^\circ$ such that for all q , $cx^q \in I^{\lceil sq \rceil} \subseteq I^{(s,q)}$. Therefore $x \in I^{\{s\}}$. \square

Example 2.10. The containment in Theorem 2.9 can be strict. For example, consider the hypersurface ring $R = \mathbb{F}_3[X, Y, Z, W]/(Z^3 - X^2Y^2 - WU^3 - Y^4)$ and let $I = (X, Y) \subseteq R$. Now $Z \in I^{\{4/3\}}$, since for all $e \gg 0$,

$$Z^{3^e} = (X^2Y^2 + WU^3 + Y^4)^{3^{e-1}} = X^{2 \cdot 3^{e-1}} Y^{2 \cdot 3^{e-1}} + W^{3^{e-1}} X^{3^e} + Y^{4 \cdot 3^{e-1}} \in I^{(4/3)3^e} + I^{\lceil 3^e \rceil}.$$

Now suppose $Z = f + g$, where $f \in I^*$ and $g \in I_{4/3}$. Note that R is an \mathbb{N} -graded ring under the grading $\deg X = \deg Y = \deg W = 3$, $\deg Z = 4$. Since I is homogeneous under this grading, so are I^* and $I_{4/3}$. Thus we may assume that $\deg f = \deg g = 4$ also. However, the only elements of R of order 4 are of the form αZ for $\alpha \in \mathbb{F}_3$. Thus, to show that $Z \notin I^* + I_{4/3}$, it suffices to show that $Z \notin I^*$ and $Z \notin I_{4/3}$.

Since $R \cong k[X, Y, Z, W] \oplus Z \cdot k[X, Y, Z, W] \oplus Z^2 \cdot k[X, Y, Z, W]$ as k -modules, every element of R has a unique representation using powers of Z less than 3.

Suppose $Z \in I^*$, and choose $c \in R^\circ$ such that $cZ^{3^e} \in (X, Y)^{[3^e]}$ for all $e \gg 0$. Therefore, for all large e , there exist $r_e, s_e \in R$ such that

$$c(X^{2 \cdot 3^{e-1}} Y^{2 \cdot 3^{e-1}} + W^{3^{e-1}} X^{3^e} + Y^{4 \cdot 3^{e-1}}) = cZ^{3^e} = r_e X^{3^e} + s_e Y^{3^e}.$$

For large enough e , we will have that $\deg_X(cX^{2 \cdot 3^{e-1}} Y^{2 \cdot 3^{e-1}}) < 3^e$ and $\deg_Y(cX^{2 \cdot 3^{e-1}} Y^{2 \cdot 3^{e-1}}) < 3^e$, where $\deg_X(h)$ is the highest power of X which appears in a term of h . This is a contradiction, so $Z \notin I^*$.

Now suppose $Z \in I_{4/3}$, and choose $c \in R^\circ$ such that for all $e \gg 0$, $cZ^{3^e} \in I^{(4/3)3^e}$. Therefore, for each $e \gg 0$ there exist $r_{e,i}$, $0 \leq i \leq (4/3)3^e$ such that

$$c(X^{2 \cdot 3^{e-1}} Y^{2 \cdot 3^{e-1}} + W^{3^{e-1}} X^{3^e} + Y^{4 \cdot 3^{e-1}}) = cZ^{3^e} = \sum_i r_{e,i} X^i Y^{(4/3)3^e - i}.$$

For $e \gg 0$, we have that $\deg_{X,Y}(cW^{3^{e-1}} X^{3^e}) < (4/3)3^e$ and for all i , $\deg_{X,Y}(r_{e,i} X^i Y^{(4/3)3^e - i}) \geq (4/3)3^e$, where $\deg_{X,Y}(h)$ is the highest sum of powers on X and Y in a term of h . This is a contradiction, so $Z \notin I_{4/3}$.

Therefore, $Z \notin I^* + I_{4/3}$.

3. Graded rings

Here we establish the essential facts about the s -closure of homogeneous ideals in graded rings. Throughout this section, for a semigroup G , a G -graded ring $R = \bigoplus_{g \in G} R_g$, and $x \in R$, we write x_g for the homogeneous component of x lying in R_g . If $I \subseteq R$ is an ideal, we write $[I]_g$ for $I \cap R_g$. If $g = (g_1, g_2, \dots, g_n) \in \mathbb{Z}^n$, then we write $\|g\|_\infty = \max\{|g_i| \mid i = 1, \dots, n\}$. We begin with an expected result.

Theorem 3.1. *If R is a \mathbb{Z}^n -graded ring, I is a homogeneous ideal of R , and $s \geq 1$, then $I^{\{s\}}$ and I^{cls} are homogeneous ideals. Furthermore, if R is a domain and $x \in I^{\{s\}}$, there exists a nonzero homogeneous element c such that $cx^q \in I^{(s,q)}$ for all $q \gg 0$.*

Proof. Let $x = \sum_{j \in \mathbb{Z}^n} x_j \in I^{\{s\}}$ and $c = \sum_{i \in \mathbb{Z}^n} c_i \in R^\circ$ such that $cx^q \in I^{(s,q)}$ for all $q \gg 0$. Let $i^* = \max\{\|i - i'\|_\infty \mid c_i, c_{i'} \neq 0\}$. If $c_i x_j^q \neq 0$ and $c_{i'} x_{j'}^q \neq 0$ have the same degree, then $i + qj = i' + qj'$, and so $i - i' = q(j' - j)$. If in addition $q > i^*$, we must have that $j = j'$ and $i = i'$. Therefore each nonzero homogeneous component of cx^q is $c_i x_j^q$ for some i, j . Since I is homogeneous, so is $I^{(s,q)}$, and therefore for $q \gg 0$, we have that $c_i x_j^q \in I^{(s,q)}$. Hence, for each j , $c x_j^q \in I^{(s,q)}$, which shows that each $x_j \in I^{\{s\}}$. If R is a domain, then for each nonzero c_i , $c_i x^q \in I^{(s,q)}$ for $q \gg 0$, which shows the last statement.

Since $I^{\{s\}}$ is homogeneous, so is $(I^{\{s\}})^{\{s\}}$, and each time we take the weak s -closure we preserve homogeneity. After a finite number of steps we will reach I^{cls} , which shows that I^{cls} is homogeneous. \square

Our primary goal will be to establish necessary and sufficient degree conditions for a homogeneous ring element to belong to the s -closure of an ideal based on the degrees of its generators, in the style of [5]. When we work in a \mathbb{Z}^n - or \mathbb{N}^n -graded ring, we use the componentwise partial order \leq to compare degrees.

Theorem 3.2. *Let I be a homogeneous ideal in an \mathbb{N}^n -graded ring R . If $x \in I^{\{s\}} \setminus I^*$ is a homogeneous element, then $\deg x \geq s\delta$, where $\delta = (\delta_1, \dots, \delta_n) \in \mathbb{N}^n$ and $\delta_i = \min\{d_i \mid \deg f = (d_1, \dots, d_n), 0 \neq f \in I\}$.*

Proof. Let $x \in I^{\{s\}}$, $\deg x = (m_1, \dots, m_n)$, and assume that $\deg x \not\geq s\delta$. Let $c \in R^\circ$ be homogeneous such that for all $q \gg 0$, $cx^q \in I^{(s,q)}$. For any such q , there exist homogeneous $y_q \in I^{\lceil sq \rceil}$ and $z_q \in I^{\lfloor q \rfloor}$, each of the same degree as cx^q , such that $cx^q = y_q + z_q$. Since $y_q \in I^{\lceil sq \rceil}$, if $y_q \neq 0$ then $\deg y_q \geq \delta \lceil sq \rceil$, and since $\deg x \not\geq s\delta$, for large enough q we have that $\deg(cx^q) = q \cdot \deg x + \deg c \not\geq \delta \lceil sq \rceil$. Therefore $y_q = 0$ and $cx^q \in I^{\lfloor q \rfloor}$ for all $q \gg 0$, and hence $x \in I^*$. \square

When the ideal we consider is primary to the homogeneous maximal ideal, we may conclude that all elements above a certain degree are in $I^{\{s\}}$.

Theorem 3.3. *Let k be a field, (R, \mathfrak{m}) an \mathbb{N}^n -graded local finitely generated k -algebra, I an \mathfrak{m} -primary homogeneous ideal generated in degree at most δ , and $s \geq 1$. If $x \in R$ is a homogeneous element, $\deg x \neq (0, \dots, 0)$, and $\deg x \geq s\delta$, then $x \in I^{\{s\}}$.*

Proof. If $\text{ht } I = 0$, then since I is \mathfrak{m} -primary, R is a dimension 0 local ring. In this case, all elements of \mathfrak{m} are nilpotent, and so since $\deg x \neq (0, \dots, 0)$, x is nilpotent and hence in $I^{\{s\}}$. Therefore, we may assume that $\text{ht } I > 0$.

We reduce to the case that R is \mathbb{N} -graded by “flattening” the grading on R . Precisely, for $m \in \mathbb{N}$, let $R_m = \bigoplus_{|g|_1=m} R_g$, where the sum is taken over all degrees in the original grading whose sum of coordinates is equal to m . Under this new grading, we still have that $\deg x \geq s\delta$, so we may assume R is \mathbb{N} -graded.

Suppose that $\delta > 0$. Let $\Delta = \deg x \geq s\delta$, and let f_1, \dots, f_m be a set of homogeneous generators of I with $\deg f_i \leq \delta$. Since I is \mathfrak{m} -primary, we have that $k[f_1, \dots, f_m] \subseteq R$ is integral, and so there exists an equation of integral dependence for x^δ over $k[f_1, \dots, f_m]$:

$$(x^\delta)^N + a_1 (x^\delta)^{N-1} + \dots + a_{N-1} x^\delta + a_N = 0, \quad (1)$$

we may choose the a_i homogeneous, and so $\deg a_i = \Delta\delta i$ for each i . Since each a_i is a polynomial in the f_i , we have that $a_i \in I^{\Delta i}$ for all i . Therefore $x^\delta \in I^\Delta$, and so $x \in I_{\Delta/\delta}$. By Theorem 2.9, $x \in I^{\{\Delta/\delta\}} \subseteq I^{\{s\}}$.

Now suppose that $\delta = 0$, so that I is generated by its degree 0 piece $I \cap R_0$. In this case, for all $n \in \mathbb{N}$ we have that $I \cap R_n = (I \cap R_0)R_n$. Since I is \mathfrak{m} -primary,

$$\infty > \lambda_R(R/I) = \sum_{n \in \mathbb{N}} \lambda_{R_0} \left(\frac{R_n}{I \cap R_n} \right) = \sum_{n \in \mathbb{N}} \lambda_{R_0} \left(\frac{R_n}{(I \cap R_0)R_n} \right)$$

Therefore, there exists $N \in \mathbb{N}$ such that if $n \geq N$, $(I \cap R_0)R_n = R_n$, and by Nakayama’s Lemma, $R_n = 0$. Hence any homogeneous $x \in R$ with $\deg x \geq 1$ is nilpotent, and so $x \in I^{\{s\}}$. \square

For certain kinds of ideals in graded rings we can describe the s -closure completely in terms of rational powers.

Theorem 3.4. *Let R be a ring which is G -graded for some semigroup G , $I \subseteq R$ a homogeneous ideal of positive height, and $s \geq 1$ rational. If, for every $q \gg 0$ and $g \in G$, either $[I^{\lceil sq \rceil}]_g \subseteq [I^{\lceil q \rceil}]_g$ or $[I^{\lfloor q \rfloor}]_g \subseteq [I^{\lceil sq \rceil}]_g$, then $I^{\{s\}} = I^* + I_s$.*

Proof. By Theorem 2.9, $I^* + I_s \subseteq I^{\{s\}}$. Let $x \in I^{\{s\}}$ be homogeneous, and let $c \in R^\circ$ be homogeneous such that for all $q \gg 0$, $cx^q \in I^{(s,q)} = I^{\lceil sq \rceil} + I^{\lfloor q \rfloor}$. For all sufficiently large q , since cx^q is a homogeneous element, we have that $cx^q \in I^{\lceil sq \rceil}$ or $cx^q \in I^{\lfloor q \rfloor}$. If $x \notin I^*$, then for infinitely many q , $cx^q \notin I^{\lfloor q \rfloor}$. Hence for infinitely many q , $cx^q \in I^{\lceil sq \rceil}$. By Lemma 2.8, $x \in I_s$. Hence x is in either I^* or I_s , so $x \in I^* + I_s$. \square

Situations where we might apply Theorem 3.4 include homogeneous ideals in rings with monomial-like gradings, in which each graded piece is a 1-dimensional vector space over k . Examples of these include monomial ideals in polynomial rings and toric rings, each with the monomial \mathbb{Z}^n -grading.

4. When is the weak s -closure a closure?

In this section we consider a collection of conditions an ideal I may have relating to its various s -closures. In particular, we are concerned with when the s -closure is an honest closure itself, a property we refer to as (ID_s) below. Before defining the properties, we look at an example to show that, *a priori*, there may be infinitely many distinct s -closures for a given ideal. More precisely, this example shows that it is possible for the quotient of two s -closures to have infinite length. The example is based on [2, Example 2.2].

Example 4.1. Let R be a domain, $I \subseteq R$ an ideal, and $s > t \geq 1$ such that $I^{\{s\}} \neq I^{\{t\}}$. Let $S = R[X]$, $J = IS$, and $z \in I^{\{t\}} \setminus I^{\{s\}}$. We have that

$$\frac{J^{\{t\}}}{J^{\{s\}}} \supseteq \frac{J^{\{s\}} + Sz}{J^{\{s\}}} \cong \frac{Sz}{J^{\{s\}} \cap Sz} \cong \frac{S}{(J^{\{s\}} :_S z)}$$

We claim that $zX^n \notin J^{\{s\}}$ for any $n \in \mathbb{N}$. If there were such an n , then since $R[X]$ is naturally \mathbb{N} -graded, there would be an element rX^m for some $0 \neq r \in R$ and $m \in \mathbb{N}$ such that, for all sufficiently large powers q of p ,

$$rz^q X^{m+nq} = rX^m \cdot (zX^n)^q \in J^{(s,q)} = I^{(s,q)}S.$$

Therefore, for all large q , $rz^q \in I^{(s,q)}$, and so $z \in I^{\{s\}}$, a contradiction. Hence $X^n \notin (J^{\{s\}} :_S z)$ for any n , and so

$$S \supseteq (J^{\{s\}} :_S z) + (X) \supseteq (J^{\{s\}} :_S z) + (X^2) \supseteq (J^{\{s\}} :_S z) + (X^3) \supseteq \dots$$

is an infinite chain of descending ideals each of which contain $(J^{\{s\}} :_S z)$. Therefore $\lambda(S / (J^{\{s\}} :_S z)) = \infty$, and so $\lambda(J^{\{t\}} / J^{\{s\}}) = \infty$.

4.1. Property (ID_s) : when weak s -closure is equal to s -closure

As given in Definition 2.3, the weak s -closure is not obviously a closure. We now consider classes of ideals for which the two notions align.

Definition 4.2. Let R be a ring and $s \geq 1$ a real number. We say an ideal I of R has property (ID_s) if the weak s -closure is idempotent on I , i.e. $I^{\{s\}} = I^{\text{cl}_s}$. We say the ring R has property (ID_s) if every ideal of R has property (ID_s) .

Since weak 1-closure is integral closure, any ring R of positive characteristic has property (ID_1) . If, further, (R, \mathfrak{m}) is local with infinite residue field, then R has property (ID_s) for any $s > \dim R$, since in this case weak s -closure is tight closure.

4.2. Property (SM_s) : when s -closure is characterized by s -multiplicity

In this section we restrict our attention to ideals of finite colength in local or graded local rings, homogeneous in the graded local case. Membership in such ideals' tight or integral closure can be tested using the

Hilbert-Kunz or Hilbert-Samuel multiplicity, under certain conditions. The analogous multiplicity function for s -closure is called s -multiplicity.

Definition 4.3. [6, Definition 1.3] Let (R, \mathfrak{m}) be a local (resp. graded local) ring with dimension d , $I \subseteq R$ an \mathfrak{m} -primary (homogeneous) ideal, and $s \geq 1$. The s -multiplicity of I is

$$e_s(I) = \lim_{q \rightarrow \infty} \frac{\lambda(R/I^{(s,q)})}{q^d \mathcal{H}_s(d)},$$

where $\mathcal{H}_s(d) = \text{vol}\{x \in [0, 1]^d \mid |x|_1 \leq s\}$.

Originally, the s -multiplicity was defined only for local rings, but the graded local case is completely analogous.

By [6, Theorem 4.6], if $x \in I^{\{s\}}$, then $e_s(I + (x)) = e_s(I)$. When the converse also holds, the s -multiplicity becomes a powerful tool for studying the s -closure.

Definition 4.4. Let (R, \mathfrak{m}) be a (graded) local ring and $s \geq 1$ a real number. We say an \mathfrak{m} -primary (homogeneous) ideal I of R has property (SM_s) if one can test membership in the weak s -closure of I using s -multiplicity, i.e. if $e_s(I + (x)) = e_s(I)$ then $x \in I^{\{s\}}$. We say the ring R has property (SM_s) if every \mathfrak{m} -primary (homogeneous) ideal of R has property (SM_s) .

Property (SM_s) is stronger than property (ID_s) .

Theorem 4.5. Let (R, \mathfrak{m}) be a (graded) local ring and I a (homogeneous) \mathfrak{m} -primary ideal of R . If I has property (SM_s) , then I has property (ID_s) .

Proof. By [6, Theorem 4.6], if $x \in I^{\text{cl}_s}$, then $e_s(I + (x)) = e_s(I)$, and since I has property (SM_s) , we have that $x \in I^{\{s\}}$. Therefore $I^{\{s\}} = I^{\text{cl}_s}$. \square

The following theorem shows that membership in the weak s -closure can be tested modulo minimal primes, which we will use to show that a large class of ideals has (SM_s) . The proof of this result is based closely on the proof of part (e) of [1, Proposition 10.1.2]. In the following proof, the notation \bar{x} always indicates the image of x in the currently considered quotient ring

Theorem 4.6. Let R be a ring, $I \subseteq R$ an ideal, and $s \geq 1$. For any $x \in R$, $x \in I^{\{s\}}$ if and only if $\bar{x} \in (IR/\mathfrak{p})^{\{s\}}$ for all $\mathfrak{p} \in \text{Min } R$.

Proof. If $x \in I^{\{s\}}$, then there exists $c \in R^\circ$ such that for all $q \gg 0$, $cx^q \in I^{(s,q)}$, and therefore for any minimal prime \mathfrak{p} , $\bar{c} \cdot \bar{x}^q = \overline{cx^q} \in I^{(s,q)}R/\mathfrak{p} = (IR/\mathfrak{p})^{(s,q)}$. Hence $\bar{x} \in (IR/\mathfrak{p})^{\{s\}}$.

Let $\mathfrak{p}_1, \mathfrak{p}_2, \dots, \mathfrak{p}_m$ be the minimal primes of R , suppose that for every i , $\bar{x} \in (IR/\mathfrak{p}_i)^{\{s\}}$, and choose $c_i \notin \mathfrak{p}_i$ such that $\overline{c_i x^q} \in (IR/\mathfrak{p}_i)^{(s,q)}$ for all $q \gg 0$. Therefore $c_i x^q \in I^{(s,q)} + \mathfrak{p}_i$ for all i and all $q \gg 0$. We may assume that $c_i \in R^\circ$; if not, by prime avoidance we may choose c'_i such that $c'_i \in \mathfrak{p}_j$ if and only if $c_i \notin \mathfrak{p}_j$. Therefore $c_i + c'_i \in R^\circ$, and furthermore, since $c'_i \in \mathfrak{p}_i$, we have that $(c_i + c'_i)x^q \in I^{(s,q)} + \mathfrak{p}_i$ for all $q \gg 0$. Thus we may replace c_i with $c_i + c'_i$.

For each i , let $d_i \in \left(\prod_{j \neq i} \mathfrak{p}_j\right) \setminus \mathfrak{p}_i$, and let $d = \sum_i c_i d_i$. We have that $d \in R^\circ$. Now $\mathfrak{p}_1 \mathfrak{p}_2 \cdots \mathfrak{p}_m \subseteq \sqrt{0}$, so let q' be large enough that $(\mathfrak{p}_1 \mathfrak{p}_2 \cdots \mathfrak{p}_m)^{[q']} = 0$. For all $q \gg 0$, and for any i , we have that

$$(c_i d_i)^{q'} x^{qq'} = (c_i x^q)^{q'} d_i^{q'} \in \left(I^{(s,q)} + \mathfrak{p}_i\right)^{[q']} \left(\prod_{j \neq i} \mathfrak{p}_j^{[q']}\right) = \left(\left(I^{(s,q)}\right)^{[q']} + \mathfrak{p}_i^{[q']}\right) \left(\prod_{j \neq i} \mathfrak{p}_j^{[q']}\right) \subseteq I^{(s,qq')}.$$

Therefore, $d^{q'} x^{qq'} \in I^{(s, qq')}$ for all $q \gg 0$, and so $x \in I^{\{s\}}$. \square

Theorem 4.6 allows us to generalize [6, Theorem 4.6] to the unmixed case. In the proof below, $\text{Assh } R$ is the set of minimal primes of R such that $\dim R = \dim R/\mathfrak{p}$.

Theorem 4.7. *Let (R, \mathfrak{m}) be a (graded) local ring and I a (homogeneous) \mathfrak{m} -primary ideal. If R is F -finite, complete, and unmixed, then I has property (SM_s) .*

Proof. Suppose that $x \in R$ such that $e_s(I + (x)) = e_s(I)$. By the Associativity Formula for s -multiplicity, [6, Corollary 3.10], we have that

$$\sum_{\mathfrak{p} \in \text{Assh } R} e_s^{R/\mathfrak{p}}((I + (x))R/\mathfrak{p}) \lambda_{R_{\mathfrak{p}}}(R_{\mathfrak{p}}) = e_s(I + (x)) = e_s(I) = \sum_{\mathfrak{p} \in \text{Assh } R} e_s^{R/\mathfrak{p}}(IR/\mathfrak{p}) \lambda_{R_{\mathfrak{p}}}(R_{\mathfrak{p}}).$$

For each $\mathfrak{p} \in \text{Assh } R$, $e_s^{R/\mathfrak{p}}((I + (x))R/\mathfrak{p}) \leq e_s^{R/\mathfrak{p}}(IR/\mathfrak{p})$, and so we have equality for all such \mathfrak{p} . Since R/\mathfrak{p} is an F -finite complete domain for all $\mathfrak{p} \in \text{Assh } R$, $\bar{x} \in (IR/\mathfrak{p})^{\{s\}}$ by [6, Theorem 4.6]. Since R is unmixed, $\text{Assh } R = \text{Min } R$, and so by Theorem 4.6, we have that $x \in I^{\{s\}}$. Therefore, I has property (SM_s) . \square

If R is not unmixed, then we cannot expect its ideals to have (SM_s) .

Example 4.8. Let $R = \overline{\mathbb{F}_3}[[x, y, z]]/(xz, yz)$, $I = (x, y, z^2)R$. It is the case that for all s , $e_s(I) = e_s(I + (z))$ but $z \notin I^{\text{cls}}$.

We have that $\text{Assh } R = \{(z)\}$. Since $IR/(z) = (I + (z))R/(z)$, we have that by the Associativity Formula for s -multiplicity,

$$e_s(I + (z)) = e_s^{R/(z)}((I + (z))R/(z)) \lambda_{R_{(z)}}(R_{(z)}) = e_s^{R/(z)}(IR/(z)) \lambda_{R_{(z)}}(R_{(z)}) = e_s(I).$$

To see that $z \notin I^{\text{cls}}$, give R an \mathbb{N} -grading with gradings on the variables given by $\deg x = \deg y = 2$, $\deg z = 1$. Now I is a homogeneous ideal generated by degree 2 homogeneous elements and $\deg z = 1$, and therefore $z \notin \bar{I}$. Since $I^{\{s\}} \subseteq \bar{I}$ for all s , we have that $z \notin I^{\{s\}}$. Therefore I does not have (SM_s) .

4.3. Property (LC_s) : when weak s -closure is left-continuous

Next we consider the condition that an s -closure is the intersection of all larger s -closures. This property is enjoyed by rational powers, which are related to s -closures.

Definition 4.9. Let R be a ring and $s > 1$. We say an ideal I of R has property (LC_s) if the weak s -closure is left-continuous on R , i.e. $I^{\{s\}} = \bigcap_{t < s} I^{\{t\}}$. We say the ring R has property (LC_s) if every ideal of R has property (LC_s) .

The containment $I^{\{s\}} \subseteq \bigcap_{t < s} I^{\{t\}}$ always holds since the s -closure is monotonic in s . In fact, we can say more, and the following lemma will likely be important in later development of the theory of s -closures.

Lemma 4.10. *If R is a ring, $I \subseteq R$ is an ideal, and $1 \leq t < s$, then $(I^{\{t\}})^{\{s\}} = I^{\{t\}}$.*

Proof. Let $J = I^{\{t\}}$. We have that $J \subseteq J^{\{s\}}$, since this holds for all ideals. Now let $x \in J^{\{s\}}$, let $c \in R^\circ$ such that $cx^q \in J^{(s, q)}$ for all $q \gg 0$, and let $d \in R^\circ$ such that $dJ^{[q]} \subseteq I^{(t, q)}$ for all $q \gg 0$. Finally, let q' be such that $q'(s - t) \geq \mu(J)$. For $q \gg 0$, we have that

$$cd^{\lceil sq' - \mu(J) \rceil} x^{qq'} \in d^{\lceil sq' - \mu(J) \rceil} J^{(s, qq')} = d^{\lceil sq' - \mu(J) \rceil} J^{\lceil sqq' \rceil} + d^{\lceil sq' - \mu(J) \rceil} J^{[qq']}$$

Now $[sq' - \mu(J)] \geq q't \geq 1$, so $d^{[sq' - \mu(J)]} J^{[qq']} \subseteq I^{[qq']} \subseteq I^{(t, qq')}$. Also, for $q \gg 0$ we have that

$$d^{[sq' - \mu(J)]} J^{[sq'q']} \subseteq d^{[sq' - \mu(J)]} (J^{[q]})^{[sq' - \mu(J)]} = (dJ^{[q]})^{[sq' - \mu(J)]} \subseteq (I^{(t, q)})^{[sq' - \mu(J)]} \subseteq (I^q)^{[sq' - \mu(J)]}.$$

Now $q[sq' - \mu(I)] \geq q(sq' - \mu(I)) \geq q(tq')$, and so

$$d^{[sq' - \mu(J)]} J^{[sq'q']} \subseteq (I^q)^{[sq' - \mu(J)]} \subseteq I^{[tqq']} \subseteq I^{(t, qq')}.$$

Hence, we have that for all $q \gg 0$, $cd^{[sq' - \mu(J)]} x^{qq'} \in I^{(t, qq')}$, so $x \in I^{\{t\}} = J$. Thus $J^{\{s\}} \subseteq J$. \square

Theorem 4.11. *If R is a ring, $s > 1$, and I an ideal of R , then $I^{\text{cls}} \subseteq \bigcap_{t < s} I^{\{t\}}$. In particular, if I has (LC_s) then I has (ID_s) .*

Proof. Let $J \subseteq \bigcap_{t < s} I^{\{t\}}$ be any ideal. For any $t < s$, we have that $J \subseteq I^{\{t\}}$, and hence $J^{\{s\}} \subseteq (I^{\{t\}})^{\{s\}} = I^{\{t\}}$ by Lemma 4.10. Therefore $J^{\{s\}} \subseteq \bigcap_{t < s} I^{\{t\}}$.

Since $I \subseteq \bigcap_{t < s} I^{\{t\}}$, and I^{cls} is obtained by applying the weak s -closure a finite number of times to I , we have that $I^{\text{cls}} \subseteq \bigcap_{t < s} I^{\{t\}}$. \square

4.4. Property (LS_s) : when s -closure is left-stable

In this section we consider intervals of s on which the weak s -closures of an ideal are constant. Computations have shown that for many easily-understood ideals, the s -multiplicity is *left-stable*, i.e. that for a given s and ideal I , $I^{\{t\}} = I^{\{s\}}$ for all t slightly smaller than s . This is the strongest condition that we give a label to in this paper. Before defining it, however, we give a weaker result that gives some insight into the intervals of s on which I has same s -closure.

Theorem 4.12. *Let R be a ring and $s \geq 1$. There exists $\epsilon > 0$ such that $I^{\{t\}} = I^{\{s+\epsilon\}}$ for any $t \in (s, s+\epsilon]$.*

Proof. Consider the chain of ideals

$$I^{\{s+1\}} \subseteq I^{\{s+1/2\}} \subseteq I^{\{s+1/3\}} \subseteq \dots$$

Since R is noetherian, there exists $m \in \mathbb{N}$ such that for all $n \geq m$, $I^{\{s+1/n\}} = I^{\{s+1/m\}}$. Hence, for any $t \in (s, s+1/m]$, there exists some n such that $s+1/n < t$, and so $I^{\{s+1/m\}} \subseteq I^{\{t\}} \subseteq I^{\{s+1/n\}} = I^{\{s+1/m\}}$. \square

Theorem 4.12 inspires a definition of jumping numbers for s -closure similar to that for test ideals.

Definition 4.13. Let R be a ring and I an ideal of R . We say that a real number $s \geq 1$ is an *s -jumping number* for I if, for all $t > s$, $I^{\{s\}} \neq I^{\{t\}}$.

Theorem 4.12 implies that jumping numbers cannot accumulate above a given $s \geq 1$. That is, for any s there is an $\epsilon > 0$ such that there are no s -jumping numbers in $(s, s+\epsilon)$. However, we do not have a theorem disproving the existence of such accumulations below s . Therefore, we define a property based on that condition, which we show holds for some well-understood classes of ideals.

Definition 4.14. Let R be a ring and $s > 1$ a real number. We say an ideal I of R has property (LS_s) if the weak s -closure of I is left-stable, i.e. there exists $\epsilon > 0$ such that $I^{\{t\}} = I^{\{s\}}$ for all $s-\epsilon < t < s$. We say the ring R has property (LS_s) if every ideal of R has property (LS_s) .

Left-stability is a very strong condition, implying left-continuity and therefore idempotence.

Theorem 4.15. *If R is a ring, $s > 1$, I is an ideal of R , and I has (LS_s) , then I has (LC_s) .*

Proof. Since I has (LS_s) , there exists $u < s$ such that $I^{\{u\}} = I^{\{s\}}$. This implies that $\bigcap_{t < s} I^{\{t\}} \subseteq I^{\{u\}} = I^{\{s\}}$. \square

Corollary 4.16. *If R is a ring, $s > 1$, I is an ideal of R , and I has (LS_s) , then I has (ID_s) .*

Proof. This follows from Theorem 4.15 and Theorem 4.11. \square

Left-stability is enjoyed by ideals whose s -closures can be described by their rational powers.

Theorem 4.17. *If R is a ring and I is an ideal of R such that $I^{\{s\}} = I^* + I_s$ for all $s \in \mathbb{Q}_{\geq 1}$, then I has (LS_s) for all $s > 1$.*

Proof. By Theorem 2.7, there exists $e \in \mathbb{N}$ such that $I_\alpha = I_{[\alpha e]/e}$ for all $\alpha \in \mathbb{Q}_{>0}$. Now let $t \geq 1$ be any real number such that $\frac{[se]-1}{e} < t < s$. Finally, let $\alpha, \beta \in \mathbb{Q}$ such that

$$\frac{[se]-1}{e} < \alpha \leq t < s \leq \beta \leq \frac{[se]}{e}.$$

We have that

$$I^{\{t\}} \subseteq I^{\{\alpha\}} = I^* + I_\alpha = I^* + I_\beta = I^{\{\beta\}} \subseteq I^{\{s\}}.$$

Therefore $I^{\{t\}} = I^{\{s\}}$. Hence I has (LS_s) . \square

Corollary 4.18. *The following classes of ideals have property (LS_s) for all $s > 1$.*

1. *Monomial ideals in polynomial rings over a field.*
2. *Monomial ideals in affine semigroup rings over a field.*
3. *Homogeneous ideals of positive height in graded rings in which each graded piece has length 1 over the zeroth piece.*
4. *Powers of R_+ , where R is an \mathbb{N} -graded ring, generated in degree 1 over R_0 , and R_+ is the ideal generated by all homogeneous elements of degree 1.*
5. *Principal ideals.*

Proof. Items (1) and (2) follow from part (3) when we take the monomial \mathbb{N}^n -grading. Thus, let $R = \bigoplus_{g \in G} R_g$ be a graded ring such that for all $g \in G$, R_g has length 1 over R_0 . Let $I \subseteq R$ be a homogeneous ideal, and fix $g \in G$. For any q , $[I^{[q]}]_g$ is an R_0 -submodule of R_g , and therefore $[I^{[q]}]_g = R_g$ or $[I^{[q]}]_g = 0$. For any rational $s > 1$, in the first case we have that $[I^{\lceil sq \rceil}]_g \subseteq [I^{[q]}]_g$ and in the second we have that $[I^{[q]}]_g \subseteq [I^{\lceil sq \rceil}]_g$. Thus, by Theorem 3.4, $I^{\{s\}} = I^* + I_s$. Hence by Theorem 4.17, I has property (LS_s) for all s . This proves (3).

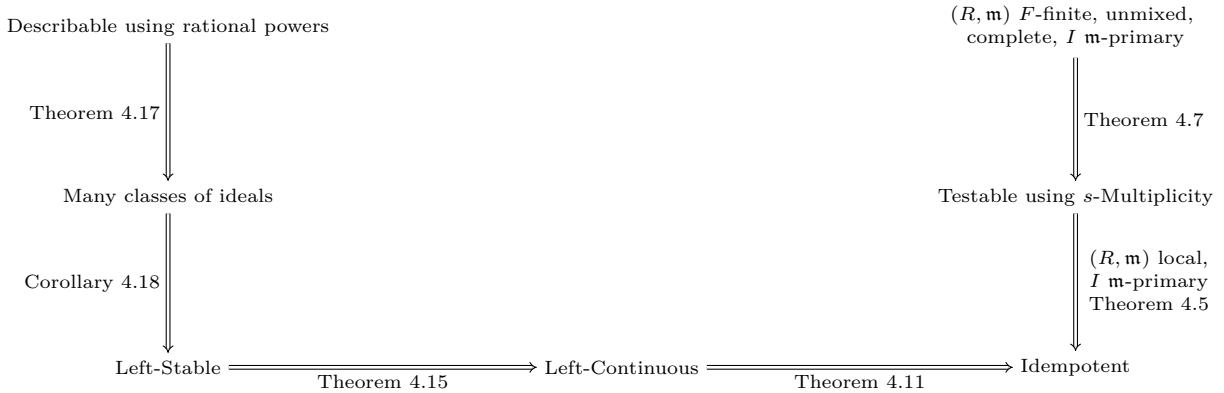
For item (4), let R be an \mathbb{N} -graded ring generated in degree 1 as an R_0 -algebra. Let x_1, \dots, x_t be a set of degree 1 generators for R as an R_0 -algebra, and let $R_+ = (x_1, \dots, x_t)$. Finally, let $n \in \mathbb{N}$ and $I = (R_+)^n$. Fix $g \in \mathbb{N}$, $q > 0$, and $s \in \mathbb{Q}_{>0}$. If $g \geq n \lceil sq \rceil$, then any $x \in R_g$ can be written as $x = rx_1^{a_1} \cdots x_t^{a_t}$, where $r \in R_0$ and $\sum_i a_i \geq n \lceil sq \rceil$. Therefore, $x \in (R_+)^{n \lceil sq \rceil} = I^{\lceil sq \rceil}$. Hence, if $g \geq n \lceil sq \rceil$, $[I^{\lceil sq \rceil}]_g = R_g$. On the other hand, any homogeneous element of $I^{\lceil sq \rceil}$ must have degree at least $n \lceil sq \rceil$ since I is generated in degree

n . Therefore, if $g < n[sq]$, then $[I^{[sq]}]_g = 0$. Hence for any g , $[I^{[sq]}]_g \subseteq [I^{[q]}]_g$ or $[I^{[q]}]_g \subseteq [I^{[sq]}]_g$, and by Theorem 3.4, $I^{\{s\}} = I^* + I_s$. Hence by Theorem 4.17, I has property (LS_s) for all s .

Item (5) follows from the fact that for a principal ideal I , $I^* = \bar{I}$, and so for all s , $\bar{I} = I^* \subseteq I^{\{s\}} \subseteq \bar{I}$, hence $I^{\{s\}} = \bar{I}$. \square

4.5. Relationships between the properties

The various implications between the properties that we have defined can be summarized in the following figure.



5. A Briançon-Skoda theorem for s -closure

The classical Briançon-Skoda Theorem describes containments between the integral closures of powers of an ideal and the powers themselves. In particular, when I is an ideal in a regular ring, we have that for all $n \in \mathbb{N}$, $\overline{I^{n+\mu(I)-1}} \subseteq I^n$. The statement is generalized by Hochster and Huneke in [3, Theorem 5.4], who show that even in singular rings we have $\overline{I^{n+\mu(I)-1}} \subseteq (I^n)^*$ for all $n \in \mathbb{N}$. This, combined with their proof that in regular rings all ideals are tightly closed, gives a new proof of the Briançon-Skoda Theorem. In this section we develop a generalization of the Briançon-Skoda Theorem in positive characteristic.

Theorem 5.1. *Let R be a ring, $1 \leq t < s$, and I an ideal of R . If $r \geq \frac{(\mu(I)-1)(s-t)}{t(s-1)}$, then for all $n \in \mathbb{N}$, $(I^{n+r})^{\{t\}} \subseteq (I^n)^{\{s\}}$.*

Proof. We consider two cases. First, suppose that $n < \frac{\mu(I)-1}{s-1}$. This implies that $r \geq \frac{(\mu(I)-1)(s-t)}{t(s-1)} > \frac{n(s-t)}{t}$. If q is large enough that $\frac{n(s-t)}{t} + \frac{n}{tq} \leq r$, then

$$(n+r)[tq] \geq ntq + rtq \geq ntq + n(s-t)q + n = nsq + n \geq n[sq].$$

Therefore, for all $q \gg 0$,

$$(I^{n+r})^{(t,q)} = I^{(n+r)[tq]} + (I^{n+r})^{[q]} \subseteq I^{n[sq]} + (I^n)^{[q]} = (I^n)^{(s,q)}.$$

Therefore $(I^{n+r})^{\{t\}} \subseteq (I^n)^{\{s\}}$.

Now suppose that $n \geq \frac{\mu(I)-1}{s-1}$. In this case we have that

$$(n+r)t = n + n(t-1) + rt \geq n + \frac{(\mu(I)-1)(t-1)}{s-1} + \frac{(\mu(I)-1)(s-t)}{s-1} = n + \mu(I) - 1$$

and hence, for any q ,

$$(I^{n+r})^{(t,q)} = I^{(n+r)\lceil tq \rceil} + (I^{n+r})^{[q]} \subseteq I^{(n+\mu(I)-1)q} + (I^n)^{[q]} \subseteq (I^n)^{[q]}.$$

Therefore, $(I^{n+r})^{\{t\}} \subseteq (I^n)^* \subseteq (I^n)^{\{s\}}$. \square

Theorem 5.1 recovers the classical Briançon-Skoda Theorem by taking $t = 1$ and $r = \mu(I) - 1$. In particular, we note that Theorem 5.1 does not give us a stronger version of the theorem in the case that one of our closures is integral closure.

We record two immediate corollaries, one of the statement of Theorem 5.1 and one of its proof.

Corollary 5.2. *Suppose (R, \mathfrak{m}) is a local ring with dimension d and infinite residue field, let $I \subseteq R$ be an ideal with reduction number w , and let $1 \leq t < s$. If $r \geq \frac{(d-1)(s-t)}{t(s-1)}$, then for all $n \in \mathbb{N}$, $(I^{n+r+w})^{\{t\}} \subseteq (I^n)^{\{s\}}$.*

Proof. Since R has infinite residue field, I has a minimal reduction J with reduction number w and generated by d elements. Therefore,

$$(I^{n+r+w})^{\{s\}} \subseteq (J^{n+r})^{\{t\}} \subseteq (J^n)^{\{s\}} \subseteq (I^n)^{\{s\}}. \quad \square$$

Corollary 5.3. *Let R be a ring, $1 \leq s$, and I an ideal of R . If $n \in \mathbb{N}$ and $r \geq \frac{1}{s}(\mu(I) - 1 - n(s-1))$, then $(I^{n+r})^{\{s\}} \subseteq (I^n)^*$. In particular, if $n \geq \frac{\mu(I)-1}{s-1}$, then $(I^n)^{\{s\}} = (I^n)^*$.*

One way of interpreting Corollary 5.3 is that asymptotically, as we take powers of an ideal, each s -closure with $s > 1$ eventually collapses and becomes tight closure. In general, for smaller s , we must take ever higher powers of I to realize this collapse. That is, there is in general no uniform power beyond which every s -closure for $s > 1$ is tight closure, as the following example shows.

Example 5.4. Let $I = (x^3, y^3) \subseteq k[x, y]$, where k is a field of characteristic $p > 0$. By Theorem 3.4, for any rational s , $(I^n)^{\{s\}} = (I^n)^* + (I^n)_s = I^n + I_{ns}$. Now I_{ns} is generated by all monomials with degree at least $3ns$. Thus, if $1 < s < 1 + \frac{1}{3n}$, we have that $\deg(x^{3n-1}y^2) = 3n + 1 = 3n(1 + \frac{1}{3n}) \geq 3ns$. Therefore $x^{3n-1}y^2 \in (I^n)^{\{s\}}$, but $x^{3n-1}y^2 \notin I^n = (I^n)^*$.

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