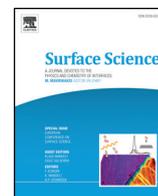




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## Q1 Real-time observation of graphene oxidation on Pt(111) by low-energy electron microscopy

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### ABSTRACT

A monolayer of graphene was prepared by thermal decomposition of ethylene gas on Pt(111). The graphene can be readily removed by dosing O<sub>2</sub> at pressures in 10<sup>−8</sup> mbar range and surface temperatures (T<sub>s</sub>) near 1000 K. Residual gas analysis during the oxygen treatment of graphene layer detected CO to be the only formed product. The oxidation process has been continuously imaged by Low-energy Electron Microscope (LEEM) operated in mirror-electron mode. LEEM observations revealed that the oxidation of graphene on Pt(111) occurs simultaneously at the outer island perimeter and in the interior of the graphene island. Symmetric hexagonal pits were observed to form continuously within graphene sheets, the pits proceeded isotropically. The etch rate was determined to be equal for both modes and independent of the surface environment with the exception of areas above Pt step edges. The pit growth rate at constant oxygen pressure was found to increase exponentially with respect to temperature over the investigated T<sub>s</sub> range of 927–1014 K, yielding an apparent activation energy of 479 kJ/mol.

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### 1. Introduction

Graphene is an sp<sup>2</sup>-hybridized C monolayer with extraordinary physical [1], chemical [2], and electronic [3,4] properties. Its growth and reactivity have been most frequently studied on metals, primarily on hexagonal surfaces, e.g. on (111) face of fcc metals (such as Pt, Rh, Ir, Pd, Cu, Au, Ni) or on (0001) face of hcp metals (Ru, Re, Co) [5,6]. The properties of the substrate determine the metal–graphene interaction strength (ranging from weak van der Waals physisorption to strong bonding through chemisorption), level of graphene corrugation, its mean distance from the substrate, etc. [7], having numerous implications for the chemical and physical properties of the graphene adlayer. For instance, controlling the level of defects such as vacancies, wrinkles, or boundaries between rotational domains in a sheet of graphene has become an important factor for engineering graphene tensile strength. A potential role of such defects in the reactivity or, in turn, chemical stability of graphene also remains one of the key issues in this greatly expanding field. The detailed knowledge of the way graphitic carbon reacts with oxygen is important, e.g., in reactivation of catalysts poisoned with carbon [8], combustion chemistry [9], production of graphene oxide [10], graphene functionalization [11], etc. [12,13].

It has been demonstrated [14–17] that the mechanisms by which oxygen interacts with graphitic structures can generally proceed via two main pathways—oxidation of terminal carbon atoms at the

outer edges of graphite/graphene layers and oxidation of carbon atoms within their interiors. As a result of the latter process, creation of holes or hollow structures on HOPG is observed, both layer by layer and across several layers [17], depending on the reaction conditions. Very uniform distribution of pit sizes up to a certain temperature limit has been attributed to the simultaneous pit formation on naturally occurring point defects (mainly vacancies) on the surface [14,15,18]. At higher temperatures (>1148 K according to [14]) pit formation in HOPG layers occurs via etching at both defected and basal plane carbon atoms resulting in significantly broader pit diameter distribution. It has been suggested that atomic oxygen is responsible for the abstraction of the basal plane carbon [19].

The oxidation of other graphitic structures such as monolayer or multilayer graphene on metals follows the similar scheme in terms of the above two etching modes but exact mechanisms and corresponding etching conditions differ depending on the metal type and surface plane orientation. E.g., on Ru(0001) oxidation proceeds via two sequential processes involving 1) oxygen interaction with the carbon released from the graphene edges and diffusing over the metal surface as carbon ad-atoms, and 2) oxygen penetration underneath the graphene, weakening its interaction with the substrate and attacking it to form highly disintegrated (“cracked”) structures, while on Ir(111) the intercalated oxygen tends to create irregular holes across the graphene islands [16,20].

Since most of the recent literature dealing with microscopic insight into graphene oxidation is based on experimental techniques which are typically too slow to capture it directly, a reliable kinetic analysis of this process is difficult. In this study we present a real-time in situ

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84 imaging of graphene oxidation at temperatures near 1000 K on the  
85 (111) face of platinum. The reaction kinetics is quantified and the  
86 LEEM observations are related to findings on other similar systems.

## 87 2. Experimental

88 The experiments were performed using Low-energy Electron  
89 Microscope (LEEM) from Specs-GmbH (model FE-LEEM P90) that was  
90 housed in an ultrahigh vacuum (UHV) chamber with a base pressure  
91  $<1 \times 10^{-10}$  mbar. The Specs LEEM is a commercial version of Ruud  
92 Tromp's LEEM II [21]. A 99.999% purity Pt single crystal sample of ap-  
93 proximately 10 mm diameter and 1.5 mm thickness cut into a top-hat  
94 shape that could be fitted into the LEEM's Mo sample holder was  
95 obtained from Surface Preparation Laboratory, The Netherlands. The  
96 5 mm diameter top face of the sample was polished and oriented to  
97 within  $0.1^\circ$  of the Pt(111) surface. A K-type thermocouple attached  
98 to the backside of the sample, calibrated against a pyrometer (Pyrolaser  
99 PL905) for temperatures above 900 K, was used to monitor the Pt(111)  
100 surface temperature. The Pt(111) surface was cleaned by cycles of Ar<sup>+</sup>  
101 ion sputtering at 800 K followed by annealing to 1200 K. The sample  
102 was exposed to a  $3 \times 10^{-8}$  mbar O<sub>2</sub> atmosphere to remove C contami-  
103 nants followed by a brief flashing to 1200 K. The Pt(111) surface was im-  
104 aged under reaction conditions with the LEEM [21] operating in mirror-  
105 electron mode (MEM) with an image acquisition rate of 2 frames/s, an  
106 image exposure time of 200 ms per frame, and a typical field-of-view  
107 (FOV) diameter 5–10  $\mu\text{m}$ . In MEM, the surface is electrically reverse  
108 biased such that the incident electron beam is returned from the surface  
109 retarding field just before actually striking the physical surface. In this  
110 way, possibilities for electron-induced surface chemistry are minimized,  
111 if not eliminated entirely. Contrast in MEM images arises from variations  
112 in the local work function and surface topology that modulate the sur-  
113 face retarding field [22,23].

114 Graphene layers were grown by thermal decomposition of ethylene  
115 gas (CP grade, 99.5%, Matheson Tri-Gas) which was introduced at room  
116 temperature via a directed doser onto the Pt(111) sample through a  
117 2 mm inner dia., 150 mm long tube from a distance of 30 mm at  $18^\circ$   
118 glancing incidence angle from the surface plane to enhance the molec-  
119 ular impingement rate at the sample surface. For this geometry, the di-  
120 rected ethylene gas flux at the sample is calculated to enhance the net  
121 flux striking the surface 4-fold as compared to the ambient flux [24].  
122 The standard local ethylene dosing pressure (i.e., pressure at sample  
123 surface calculated to account for the doser enhancement factor) during  
124 CVD in LEEM experiments was  $4 \times 10^{-8}$  mbar. The deposition was  
125 stopped when the relative graphene coverage reached a typical value  
126 of 70%. For oxidation experiments the same dosing setup was used  
127 with typical pressures of  $2 \times 10^{-8}$  mbar of pure oxygen (99.999%,  
128 Matheson Tri-Gas) at the sample surface.

## 129 3. Results and discussion

130 Carbon produced by thermal decomposition of ethylene gas ( $T_g =$   
131  $300\text{ K}$ ) at surface temperatures near  $T_s = 1000\text{ K}$  has been shown  
132 to produce graphene, i.e. a flat single C(0001) layer [25–27]. Single ori-  
133 ented graphene islands were observed to grow to diameters  $\geq 10\ \mu\text{m}$ ,  
134 despite the presence of many Pt(111) steps underneath [25]. Coexis-  
135 tence of multiple orientations of graphene islands was identified using  
136 LEEM operated in localized microdiffraction ( $\mu\text{LEED}$ ) mode, majority  
137 of them being  $(\sqrt{7} \times \sqrt{7})R19.1^\circ$  and  $(\sqrt{19} \times \sqrt{19})R23.4^\circ$  superstructures  
138 with respect to Pt lattice [25]. When the incident energy of the primary  
139 electrons in LEEM is set for MEM a layer of graphene on platinum can be  
140 distinguished by an image contrast between the Pt substrate and subse-  
141 quent graphene layers [28]. Moreover, MEM exhibits sharp contrast at  
142 the graphene islands edges where the local electronic structure/work  
143 function perimeter is likely most perturbed. Contrast lines are also ob-  
144 served at boundaries between two adjacent islands of mismatched ori-  
145 entation with respect to the underlying Pt(111) surface (see, e.g., dotted

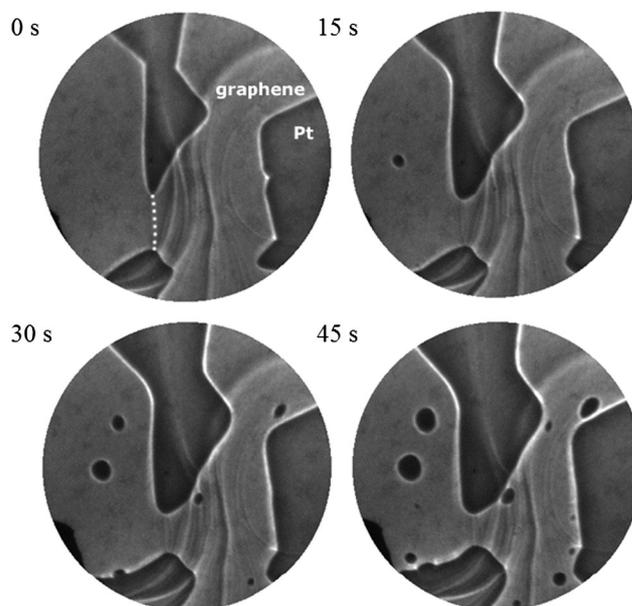


Fig. 1. A sequence of time-labeled LEEM images (10  $\mu\text{m}$  field of view) of graphene oxidation on Pt(111) at  $T_s = 986\text{ K}$ ,  $P_{\text{O}_2} = 2 \times 10^{-8}$  mbar. Highlighted is the boundary between two graphene domains with different lattice orientations (dotted line in top left image).

line in Fig. 1). All the islands appeared continuous with no visible holes  
or other defects on the scale of our LEEM resolution of about 5 nm.

Fig. 1 shows the sequence of oxidizing a single discontinuous layer of  
graphene in  $2 \times 10^{-8}$  mbar of oxygen at  $T_s = 986\text{ K}$ . The LEEM snap-  
shots were selected from a movie over a time period of 45 s. As could  
be expected, the sheets of graphene shrink due to the oxygen etching  
of their outer edges. Moreover, pits are seen to form in the interior of  
graphene during oxygen treatment similar to pits reported on HOPG  
[14]. No additional pits were observed to form after the graphene  
layer was removed which supports the above claim that no additional  
layers of graphene were produced by ethylene CVD. Residual gas analy-  
sis during the oxygen treatment found that carbon monoxide is the only  
formed product, no CO<sub>2</sub> above the background level was detected. A re-  
moval of carbon via its diffusion into the platinum bulk can be ruled out  
under our temperature and pressure conditions [29,30].

The pits in Fig. 1 are observed as round holes due to an adjustment of  
the objective lens focusing more on the surface than the graphene sheet.  
Adjusting the electron beam such that the focus is on the graphene  
sheet, it is observed that the pits are hexagonally shaped as seen  
in Fig. 2. The hexagonal pits are observed to have a regular interior  
angle of  $120^\circ$ . The pits advance isotropically in the presence of O<sub>2</sub>. The

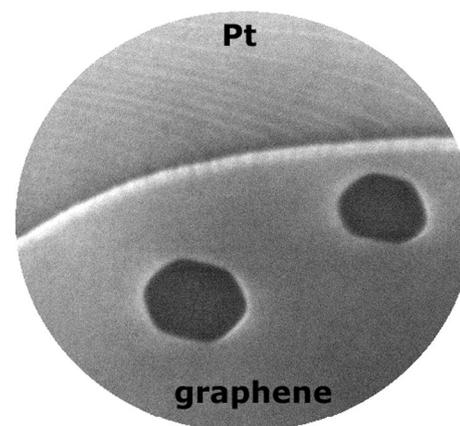


Fig. 2. Hexagonally shaped pits are observed to form in the interior of the graphene island.  $T_s = 986\text{ K}$ , imaging FOV diameter is 5  $\mu\text{m}$ .

167 symmetry of the pit is presumed to reflect the internal unit structure of  
 168 graphene being a 6-fold symmetric 6 C-membered ring. Establishment  
 169 of such structures, not observed on graphene supported by Ir(111) or  
 170 Ru(0001) [16,20], is probably owing to the fact that graphene is well  
 171 decoupled from the Pt(111) surface [28,31,32] and that the surface tem-  
 172 perature is high enough to allow carbon atoms to easily diffuse along  
 173 the edges of graphene [33], keeping a minimum-energy equilibrium  
 174 shape.

175 Oxygen has been suggested to intercalate between the basal planes  
 176 of graphite [34], as well as on several graphene/transition metal  
 177 systems—e.g., a similar mechanism in graphene oxidation on Ru(0001)  
 178 has been recently described [16,20,35]; it has also been shown that  
 179 intercalated oxygen is responsible for hole formation on Ir(111) [16].  
 180 On the contrary, a direct pit formation by oxygen penetration through  
 181 graphene layer is essentially impossible at low pressures as it was  
 182 demonstrated [28] that structurally coherent graphene sheet represents  
 183 very effective diffusion barrier. Very recently, oxygen penetration  
 184 through full graphene monolayer has been reported on Ru(0001) [36],  
 185 resulting in decoupling of the graphene overlayer from the metal sur-  
 186 face; however, much higher pressures close to ambient were required  
 187 to allow such mechanism. A cartoon depiction of the intercalation mech-  
 188 anism is shown in Fig. 3.

189 The spacing between the graphene sheet and the Pt(111) surface  
 190 is known to be some  $3.70 \pm 0.05 \text{ \AA}$  [37], which is even greater than  
 191 the spacing between graphite planes in bulk graphite of  $3.35 \text{ \AA}$  [38].  
 192 A NEXAFS study of several graphene/transition metal systems indicated  
 193 that graphene is only weakly bound to Pt(111) in comparison to most  
 194 other metals [39]. It was also shown by He/Ar atom scattering experi-  
 195 ment [31], LEEM [28], and scanning tunneling microscopy measure-  
 196 ment with density functional theory calculation [32] that graphene  
 197 is well decoupled from the Pt(111) surface. Such a large gap between  
 198 the graphene over-layer and the Pt substrate would allow oxygen to  
 199 readily intercalate below the graphene sheet as indicated by other  
 200 LEEM studies of graphene oxidation on Ir(111) [16], by photoelectron  
 201 spectroscopy [40,41], and by STM [41]. The intercalation is even allowed  
 202 on stronger binding substrates such as Ru(0001) where oxygen can  
 203 break strong coupling between graphene and the metal, but this step  
 204 requires non-negligible energy and thus competes with the etching  
 205 process at higher temperatures [20].

206 The growth rates of the pits were measured between  $T_s =$   
 207  $927\text{--}1014 \text{ K}$ . The edge velocity can be determined from  $v = dA / Pdt$   
 208 where  $A$  is the pit area and  $P$  is the perimeter. The growth rates were  
 209 found to increase exponentially with an increase in  $T_s$ . An Arrhenius  
 210 fit to the pit growth rate with respect to the surface temperature  
 211 (Fig. 4) yields an activation energy of  $E_a = 479 \pm 29 \text{ kJ/mol}$  and pre-  
 212 exponential factor of  $2.7 \times 10^{17} \pm 1 \text{ (s}^{-1}\text{)}$ . Our activation energy mea-  
 213 sured for oxidation of graphene on Pt(111) is much higher than for  
 214 carbon combustion reactions [42] and graphite oxidation [15] ( $E_a$  of lat-  
 215 eral etching of HOPG reported in recent literature spans  $127\text{--}168 \text{ kJ/mol}$   
 216 [14,17,18,43]) which indicates a substantial barrier for CO production or  
 217 any of the preceding reactions steps. It should be noted, that unlike in  
 218 our experiment the works cited above involve oxidation in ambient or  
 219 near-ambient oxygen partial pressures, needed to achieve a reasonable

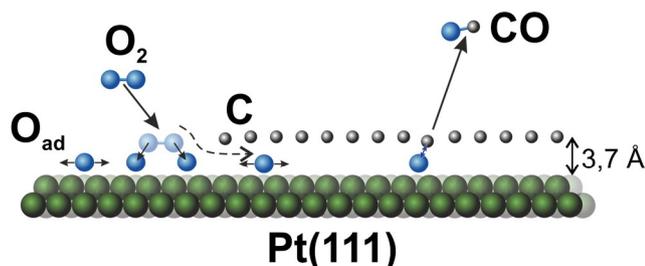


Fig. 3. Cartoon depiction of the mechanism by which oxygen can intercalate between the graphene sheet and the Pt(111) surface and oxidize the graphene.

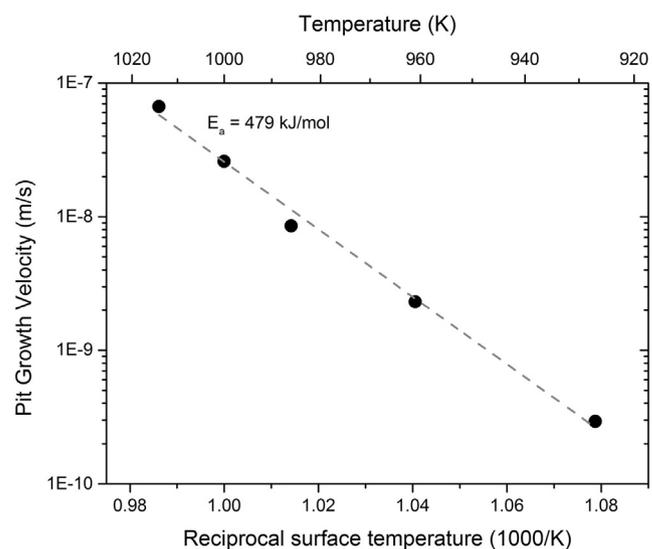


Fig. 4. Graphene pit growth rate (measured in terms of edge velocity) by oxygen etching over a surface temperature range of  $T_s = 927\text{--}1014 \text{ K}$  (solid points) and local oxygen pressure  $P_{O_2} = 2 \times 10^{-8} \text{ mbar}$ . The dashed line is an Arrhenius fit to the etching rate.

etching effect since the well-ordered HOPG is highly resistant to molec- 220  
 ular oxygen and the  $O_2$  dissociation rate is very low on the basal plane of 221  
 graphite [18,44]. Nevertheless, we suppose that the comparison of activa- 222  
 tion energies is sufficiently relevant as the molecular oxygen is just a 223  
 spectator in the etching mechanism and it is primarily the surface 224  
 occupation with more strongly bound atomic oxygen that affects the 225  
 reaction kinetics. 226

227 Most likely the rate limiting step is the weakening and a subsequent 227  
 destruction of the C—C  $sp^2$  bonds adjacent to the oxygen atom [44] pre- 228  
 ceeding the formation of the covalent C—O bond. The required activation 229  
 energy for this process is supposedly higher than on graphite because of 230  
 the larger spatial separation between  $O_{ad}$  and carbon atoms of graphene 231  
 on Pt(111) ( $d_{Pt-O} = 2.01 \text{ \AA}$  [45] for the most preferred 3-fold hollow 232  
 site,  $d_{Pt-C} = 3.70 \text{ \AA}$  [37], yielding  $d_{C-O} \geq 1.69 \text{ \AA}$ ) as compared to the 233  
 most preferred bridge site on HOPG ( $d_{C-O} = 1.43 \text{ \AA}$  [44]). Moreover, 234  
 the oxygen affinity to creating a covalent bond with carbon is further 235  
 suppressed by the relatively strong [45,46] existing Pt—O bond. 236

237 The pre-exponential factor for graphene oxidation on Pt(111) is also 237  
 found to be considerably larger than that of HOPG with a typical value of 238  
 $10^{10}\text{--}10^{12} \text{ (s}^{-1}\text{)}$  [14,17,18]. Such a large difference in pre-exponential 239  
 factor would further indicate that direct reaction of  $O_2$  on the graphene 240  
 layer on Pt(111) does not occur, but rather  $O_2$  is first dissociated on the 241  
 Pt surface before reaction with a carbon ad-atom via Langmuir– 242  
 Hinshelwood mechanism and its value reflects much higher  $O_2$  sticking 243  
 and dissociation probability on Pt [47,48] than on pure graphite [18,44]. 244

245 We found no discernible difference in the etching rate of the outside 245  
 edges as compared to the inner (pit) etching within the investigated 246  
 temperature range; furthermore, the O etching rate was found to be in- 247  
 dependent of the coverage of graphene, indicating that CO production 248  
 from graphene oxidation on Pt(111) is determined neither by oxygen 249  
 surface diffusion, nor by  $O_2$  impingement rate, but rather is limited 250  
 by another process. According to the previous discussion of the activa- 251  
 tion energy it is presumably the carbon–oxygen bond formation which 252  
 governs the overall etching rate. 253

254 It is suggested that graphite etching investigations using STM can 254  
 underestimate the monolayer oxidation activation energy owing to 255  
 our real-time observation of graphene oxidation on Pt(111) that the 256  
 pits are not initialized at the same time, but rather are continuously 257  
 forming during the oxidation process [14,17]. Hence, it would rather ap- 258  
 pear to be more appropriate to consider activation energies determined 259  
 from such static methods as a lower bound value to the real activation 260  
 energy of graphite etching. Since there is only a limited amount of 261

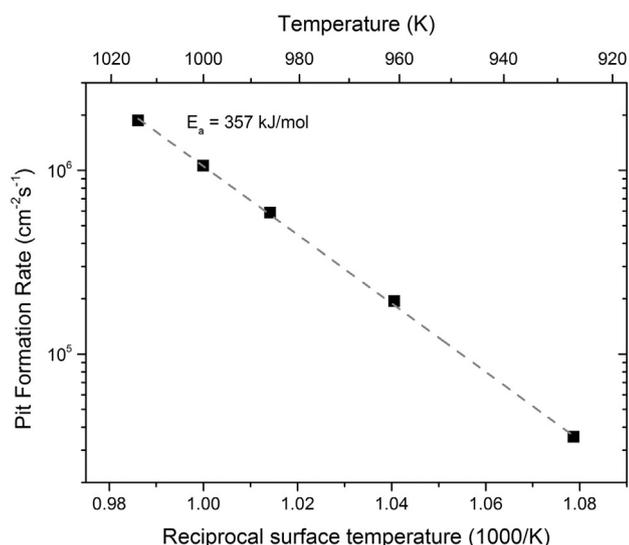


Fig. 5. Pit formation rate on graphene/Pt(111) during oxygen etching over a surface temperature range of  $T_c = 927\text{--}1014$  K and local oxygen pressure  $P_{O_2} = 2 \times 10^{-8}$  mbar.

surface defects in each carbon layer, simultaneous etching at both defected and basal plane carbon atoms results in significantly broader pit diameter distribution, in accord with our observations. No correlation was found between the location of pit origins and boundaries between islands of different orientation (such as in Fig. 1). However, the occurrence of the pits was detected to be slightly more frequent directly above the platinum step edges than above flat Pt terraces.

The above observations suggest that the initial step of pit creation is, at least in the studied temperature range, apparently a stochastic process driven by local temperature-induced fluctuations of graphene structure [33,49] which can be further enhanced by the presence of dissociated oxygen. The observed slight preference for etching near step edges is likely the result of the localization of O atoms in their vicinity due to the stronger interaction of oxygen with less coordinated Pt atoms [50].

The stochastic nature of the initial attack of inner carbon atoms by oxygen is well documented in Fig. 5 where the pit formation rate within graphene (expressed in terms of number of new pits created per unit surface area per time) is plotted versus reciprocal surface temperature. The formation rate scales exponentially with temperature as could be expected for a random process driven by thermal fluctuations of carbon atoms within the graphene lattice. The apparent activation energy of the pit formation  $357 \pm 17$  kJ/mol can be compared to the energy required to create a monovacancy defect on the graphite surface (193 kJ/mol in [14]). In accord with the above discussion of the etch velocity, we ascribe the higher energy required on the Pt/graphene system to the larger distance between C atoms and O ad-atoms as well as to the extra energy required to break the previously established Pt—O<sub>ad</sub> bond.

#### 4. Conclusions

Large graphene 2-dimensional islands (exceeding 10 μm diameter at 1014 K) were prepared by exposure of Pt(111) single-crystal surface to ethylene under CVD conditions at ~1000 K. Exposure of such surface to oxygen leads to complete graphene removal via reaction to carbon monoxide, and its immediate desorption. It was demonstrated by direct imaging that graphene on platinum can be oxidized near 1000 K not only by oxidation of terminal carbons at the outer edges of graphene sheets but also in their interiors. The pits are created via an intercalated oxygen mechanism in which oxygen atoms can travel underneath the graphene overlayer and attack the internal carbon atoms. The pits advance isotropically in the presence of O<sub>2</sub>, reflecting the 6-fold symmetry of graphene elementary cell. In contrast to some reports on HOPG and

graphene supported on stronger interacting metal substrates where pit etching mode is claimed to occur at presumed irregularities that are present within the C-layers (C-atom vacancies, wrinkles, rotational domain boundaries, etc.), the creation of pits in high-quality graphene on Pt(111) near 1000 K is likely a purely stochastic process. Nevertheless, a partial spatial correlation between the pit origins and the local structure of the Pt substrate has been found. It has been suggested analogously that the variation in pit diameter observed by others on HOPG after oxidation at high temperatures is an evidence for continuous pit formation rather than variations in etch kinetics. The activation energy 479 kJ/mol for graphene etching is surprisingly large in comparison to HOPG. It is suggested that the rate-limiting step in graphene oxidation on Pt(111) near 1000 K is the weakening of the regular C—C sp<sup>2</sup> bonds adjacent to oxygen atoms diffusing over the carbon-covered platinum, which is a prerequisite for C—O bond formation via which the carbon is removed from the surface.

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