



## Regular Article

# Anisotropic swelling and microcracking of neutron irradiated $\text{Ti}_3\text{AlC}_2$ – $\text{Ti}_5\text{Al}_2\text{C}_3$ materials



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## ABSTRACT

$\text{M}_{n+1}\text{AX}_n$  (MAX) phase materials based on Ti–Al–C have been irradiated at 400 °C (673 K) with fission neutrons to a fluence of  $2 \times 10^{25}$  n/m<sup>2</sup> ( $E > 0.1$  MeV), corresponding to ~2 displacements per atom (dpa). We report preliminary results of microcracking in the Al-containing MAX phase, which contained the phases  $\text{Ti}_3\text{AlC}_2$  and  $\text{Ti}_5\text{Al}_2\text{C}_3$ . Equibiaxial ring-on-ring tests of irradiated coupons showed that samples retained 10% of pre-irradiated strength. Volumetric swelling of up to 4% was observed. Phase analysis and microscopy suggest that anisotropic lattice parameter swelling caused microcracking. Variants of titanium aluminum carbide may be unsuitable materials for irradiation at light water reactor-relevant temperatures.

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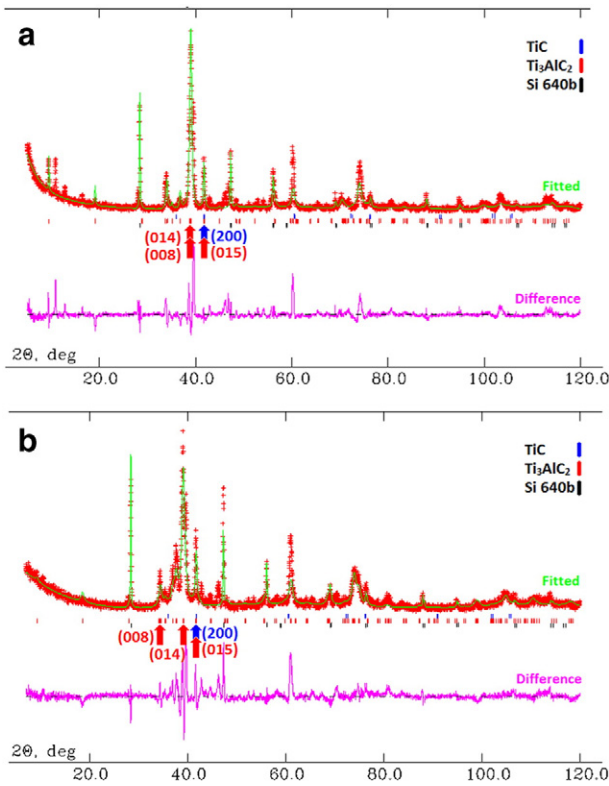
$\text{Ti}_3\text{AlC}_2$  belongs to a class of materials known as MAX ( $\text{M}_{n+1}\text{AX}_n$ ) phases, where M is an early transition metal, A is an A-group element and X is either C or N [1]. The lamellar “A-layer” metal atoms alternating with “MX” ceramic unit cells give a combination of metal and ceramic properties [2,3]. For radiation resistance, the lamellae represent nano-scale interfaces that may function as natural sinks for mitigating defect accumulation responsible for degradation of materials under irradiation [4]. They are proposed as candidate materials for fiber-reinforced composites and environmental barrier coatings in light water reactors.

Crystal structure and phase changes from ion irradiation have been explored for both Al- and Si-containing MAX phases. Room temperature ion irradiation established that  $\text{Ti}_3\text{AlC}_2$  is resistant to amorphization by 50 and 500 keV He ions; lattice periodicity was disturbed by 20 displacements per atom (dpa) but no amorphization was reported after 31 and 52 dpa [5–7]. Radiation-induced decomposition of  $\text{Ti}_3(\text{Al,Si})\text{C}_2$  to TiC has been reported by several authors based on XRD analysis [6, 8]. When irradiated by neutrons at ~360 °C, Tallman et al. reported extensive decomposition of  $\text{Ti}_3\text{AlC}_2$ , with an increase of 48 wt.% TiC after 0.1 dpa accompanied by ~1.8% ( $\Delta c/c$ ) c-axis elongation; the equivalent  $\text{Ti}_3\text{SiC}_2$ –CG (coarse grain) material showed increased TiC content of 2.75 wt.% with a corresponding ~0.9% c-axis elongation [9]. The  $\text{Ti}_2\text{AlC}$  material appeared to be more thermodynamically stable under irradiation, with an increase of 2.5 wt.% TiC and a c-axis elongation of ~1.68% [9]. For some reason, most ion irradiations on  $\text{Ti}_3\text{AlC}_2$  have not reported significant lattice parameter changes after irradiation from 10 to 52 dpa

[5,7,10,11]. At an irradiation temperature ( $T_{\text{irr}}$ ) of 400 °C using 5.8 MeV Ni ions, Clark et al. recently reported  $\Delta c/c$  expansions at 10 and 30 dpa [12]. Here we summarize post-irradiation characterization of samples after  $T_{\text{irr}} \sim 400$  °C, at ~2 dpa on predominantly  $\text{Ti}_3\text{AlC}_2$  materials. Partial quantitative phase analysis, crystallite size, microstrain and lattice parameters were obtained. Volumetric swelling and mechanical properties are reported.

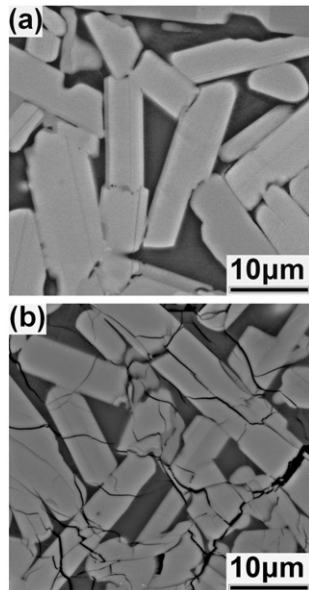
Commercially available MAX phase materials (3-ONE-2, LLC (Willow Grove, PA)) were cold isostatically pressed ternary powders and pressurelessly sintered by the manufacturer. Machined disc coupons ( $6 \times 6 \times 0.5$  mm) and multi-purpose beam ( $25 \times 2 \times 1.5$  mm) specimens were inserted into a holder of fast-neutron resistant V–4Ti–4Cr alloy and sealed in helium atmosphere [13]. Neutron irradiation was conducted at the High-Flux Isotope Reactor (HFIR) at Oak Ridge National Laboratory (ORNL) to a fast neutron fluence of  $2 \times 10^{25}$  n/m<sup>2</sup> ( $E > 0.1$  MeV), producing an estimated atomic displacement damage of ~2 dpa. The irradiation temperature ( $T_{\text{irr}}$ ) was determined by SiC temperature monitors (TMs) inserted in the capsules [14,15,16]. The exterior SiC TMs reached an irradiation temperature of 370 °C. Thermal contour maps (not shown) yielded specimen temperatures between 401 °C to 460 °C. XRD patterns of both as-received and post-irradiated materials were acquired using Cu K $\alpha$  (40 kV, 40 mA) radiation using a Scintag X-ray Diffractometer. Samples were mounted on a zero-background ( $\text{SiO}_2$  Optical Grade from MTIXTI) mount. An internal Si SRM640b standard was used for lattice parameter refinements. Full profile fits of the XRD patterns were performed using GSAS [17]. Crystallite size and strain were calculated by Williamson–Hall method. Discs were machined and polished to a 1  $\mu\text{m}$  finish with a series of

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**Fig. 1.** XRD patterns of Ti–Al–C material showing that the (015)  $\text{Ti}_3\text{AlC}_2$  peak overlaps with the TiC (200) peak in both (a) as-machined and (b) post-irradiation at 406(25)°C. The shift in the identified (008) peak for  $\text{Ti}_3\text{AlC}_2$  is also shown.

diamond polishing pastes. Scanning Electron Microscopy was conducted with a JEOL 6500F SEM equipped with an EDAX Hikari camera. Volumetric swelling was measured from the volume of the exact 25 mm modulus bar inserted into the capsule. Length was measured at 90° intervals around the edges and the average value taken to be equal the length of the specimen. Four measurements were taken of  $\pm 3 \mu\text{m}$ . Equibiaxial flexural strength measured according to ASTM C1499 was conducted on a minimum of 3 specimens [18].



**Fig. 2.** Backscattered electron images of Ti–Al–C before (a) and after (b) irradiation.

**Table 1**

Result of quantitative phase analysis of Ti–Al–C material. Values show wt% phase composition.

Phase	$\text{Ti}_3\text{AlC}_2$	TiC	$\text{Ti}_2\text{AlC}$	$\text{Ti}_5\text{Al}_2\text{C}_3$	$\text{Ti}_5\text{Al}_{11}$
Space group	P 63/m m c	F m $\bar{3}$ m	P 63/m m c	P 63/m m c	
Relative wt.% of phases					
Scintag Cu K $\alpha$	76.6	20.2	Not fitted	Not fitted	3.2
Scintag T <sub>irr</sub>	71.9	28.1	Not fitted	Not fitted	
406(25)°C					

The XRD pattern for Ti–Al–C material is shown in Fig. 1 for as-machined and neutron irradiated samples. The reference phases that were able to be indexed are shown below the two patterns. Fig. 1(a) shows unirradiated material. The region around 37–40° indexes with several MAX phase materials of  $\text{Ti}_2\text{AlC}$  and  $\text{Ti}_5\text{Al}_2\text{C}_3$ , although most of the material appears to be the  $\text{Ti}_3\text{AlC}_2$  phase. The Si standard is marked in both patterns. In Fig. 1(b), considerable broadening of the diffraction peaks following neutron irradiation is evident along with the appearance of new peaks either due to reflections that are no longer extinct after neutron irradiation, or substantial peak shift. The key features to note are the position of the (015) peak of the  $\text{Ti}_3\text{AlC}_2$  reflection which overlaps with the phase identified as TiC (200) in both (a) and (b), and in Fig. 2(b), the substantial shift of the (008)  $\text{Ti}_3\text{AlC}_2$  peak after irradiation.

The quantitative phase analysis (QPA) of Ti–Al–C material (Table 1) should be used with caution.  $\text{Ti}_2\text{AlC}$  and  $\text{Ti}_3\text{AlC}_2$  possess identical space groups and the software was not designed for analysis of highly defected lattices. As crystallographic data was crucial to interpretation of the microstructural changes, a compromise was obtained by fitting only the  $\text{Ti}_3\text{AlC}_2$  peaks, so that radiation-induced changes in lattice parameters could be obtained.

It is safe to state that under irradiation, the relative quantity of  $\text{Ti}_3\text{AlC}_2$  (which likely includes convolutions of  $\text{Ti}_5\text{Al}_2\text{C}_3$  +  $\text{Ti}_3\text{AlC}_2$ ) is reduced by at least 4.7 wt.% due to reduced integrated intensity. There is an increase in either  $\text{Ti}_2\text{AlC}$  or TiC content. This increase was difficult to identify because the major peak for TiC (200) overlaps to  $\text{Ti}_3\text{AlC}_2$  (015) and the refinement for  $\text{Ti}_3\text{AlC}_2$  probably included  $\text{Ti}_5\text{Al}_2\text{C}_3$  (which includes the  $\text{Ti}_2\text{AlC}$  subcell). It is worth noting that the high angle peaks for TiC were not found beyond 60°, notably the (311) and (420) peaks, only a possible (200) match. Thus we cannot definitively report  $\text{Ti}_3\text{AlC}_2$  decomposition to TiC by XRD. The absence of high angle peaks could also indicate highly oriented Ti and C defect clusters, since the basic unit of TiC is a  $\langle 200 \rangle$  Ti–C bond. Note that SEM imaging seen later shows that the volume of intermetallic appears unchanged after irradiation.

Lattice parameter (LP), crystallite size and microstrain values for the phase fitted to  $\text{Ti}_3\text{AlC}_2$  is shown in Table 2 (parenthesis indicate  $\pm$  error margin). After irradiation to  $\sim 2$  dpa at 406(25)°C, a change in the MAX phase lattice parameter occurs. This calculates to 3.1% c-axis change ( $\Delta c/c$ ) and  $-1.0\%$  a-axis change ( $\Delta a/a$ ). For comparison,  $\Delta c/c$  of 1.8% and  $\Delta a/a$  of  $-0.64\%$  was reported for  $\text{Ti}_3\text{AlC}_2$  after 0.1 dpa at  $\sim 360(20)$ °C [9].

After irradiation, the crystallite size substantially decreased. Interestingly, microstrain data indicated that the Ti–Al–C materials were affected by the pressureless sintering or machining, perhaps due to

**Table 2**

Lattice parameters, crystallite size and microstrain of Ti–Al–C phases (fitted as  $\text{Ti}_3\text{AlC}_2$ ). (Parenthesis indicate  $\pm$  error margin).

	Pre-irradiation	Post-irradiation
	$\text{Ti}_3\text{AlC}_2$	$\text{Ti}_3\text{AlC}_2$
LP a (Å)	3.0651(1);	3.0345(2);
LP c (Å)	18.5307(2)	19.1135(29)
Crystallite size (nm)	794.5	13.3
Microstrain (%)	0.4874	0.0239

**Table 3**

Room temperature ring-on-ring fracture strength after irradiation at 2 dpa.  $\sigma_f$  denotes normal average for equibiaxial flexural strength with one standard deviation in parentheses.

Material/ID	Irradiation temperature (°C)	$\sigma_f$ (MPa)
Ti–Al–C (31 tests)	As machined	307.8 (15.8)
Ti–Al–C (3 tests)	416(25)°C	28 (5.3)

thermal mismatch between intermetallics and ceramic phases. This suggested that the material annealed or underwent creep in the irradiation capsule.

Fig. 2(a) shows the morphology of the as-received material. A Back-Scattered Electron (BSE) detector was used and shows limited phase identification. Ti–Al–C grains can clearly be seen by their anisotropic appearance and are up to 40  $\mu\text{m}$  in length. The intermetallic phase is observed as the darker regions, but was not quantified in XRD due to aforementioned challenges. Channeling contrast in some of the grains reveals the c-axis stacking contrast, which is presumed to be the different MAX phases (e.g. one grain may be  $\text{Ti}_3\text{AlC}_2$  alongside  $\text{Ti}_5\text{Al}_2\text{C}_3$ ). Fig. 2(b) shows the neutron irradiated Ti–Al–C material. Extensive transgranular and cracking through the material was observed.

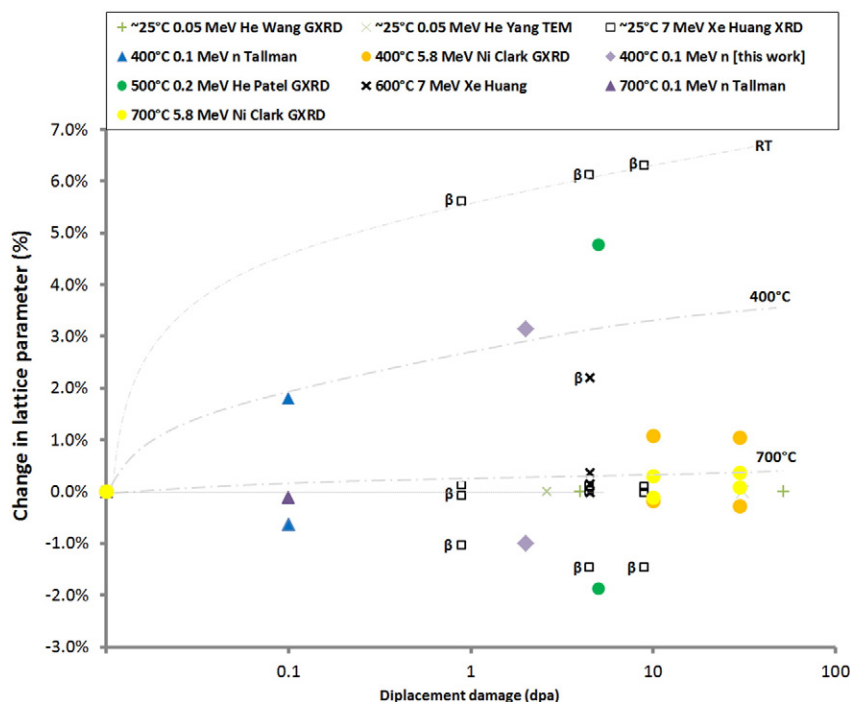
The volumetric swelling from irradiation ( $\Delta V_{\text{irr}}/V_{\text{irr}}$ ) of Ti–Al–C was 4.0%. The swelling clearly includes a volume expansion occupied by microcracks observed in Fig. 2(b). It is possible that a substantial intragranular formation of TiC also causes the gross volume change. Regardless, Fig. 2(b) does not promise a load-bearing microstructure, and this is demonstrated by equibiaxial ring-on-ring testing on multipurpose square discs at room temperature. Table 3 shows the as-received strength of 308(16) MPa (31 specimens) and post-irradiation value of 28(5) MPa.

It appears that microcracking resulted in a substantial loss of strength. Similar effects associated with anisotropic swelling have been observed in neutron irradiated ceramics with hexagonal close packed (HCP) crystal structures such as BeO, AlN and  $\text{Al}_2\text{O}_3$  [19–21]. These studies monitored  $\Delta c/c$  and  $\Delta a/a$  lattice parameter (LP) values and attributed the microcracking resulting in a loss of strength to anisotropic irradiation strains in the HCP crystallites. Fig. 3 shows the

published data on  $\text{Ti}_3\text{AlC}_2$  anisotropic lattice swelling on the same plot of  $\Delta c/c$  and  $\Delta a/a$ . The values were calculated from published XRD lattice parameters or commentary. Projectile energy, ion species, author,  $T_{\text{irr}}$ , and other analysis technique (GXRD, TEM) are shown.

In Fig. 3, the data from room temperature (“25 °C”) ion irradiations by Huang, Wang and Yang show negligible values of  $\Delta c/c$  and  $\Delta a/a$  [5, 10,11]. The maximum reported c-axis expansion was 0.09%, however, values from 5.6 to 6.29% were obtained when  $\Delta c/c$  was fitted to a modified  $\beta\text{-Ti}_3\text{AlC}_2$ , marked as  $\beta$  in Fig. 3 [11]. This is discussed later. The high temperature data (in solid markers) from 400 °C to 700 °C shows measurable c-axis expansion and a-axis shrinkage. Neutron data for  $T_{\text{irr}} \sim 400$  °C yields  $\Delta c/c$  of 1.8% at 0.1 dpa and 2.7% at 2 dpa and the corresponding  $\Delta a/a$  values are  $-0.63\%$  and  $-1.0\%$  respectively [9]. Ion irradiation by Clark et al. at  $T_{\text{irr}} \sim 400$  °C showed  $\Delta c/c$  of 1% at 10 dpa, and  $\Delta a/a$  of  $-0.11\%$  [12]. Both ion and neutron data at  $T_{\text{irr}} \sim 700$  °C show reduced  $\Delta c/c$  and  $\Delta a/a$  compared to  $\sim 400$  °C; Clark et al. showed 0.3%  $\Delta c/c$  and  $-0.11\%$   $\Delta a/a$  for ion irradiation at 10 dpa, and Tallman et al. showed no changes at 695 °C after 0.1 dpa [9,11]. Fig. 3 shows that the magnitude of  $\Delta c/c$  and  $\Delta a/a$  is consistent; it indicates that damage mechanisms affecting  $\Delta c/c$  and  $\Delta a/a$  are similar for ion and neutron irradiation, and correspond to an anisotropic lattice swelling. There is some conflict between neutron and ion irradiation data at  $T_{\text{irr}} \sim 400$  °C, and data from Patel et al. at  $T_{\text{irr}} \sim 500$  °C [9,12,22]. Finally, there seems to be saturation of  $\Delta c/c$  and  $\Delta a/a$  values to accumulated displacement dose.

The differences between ion and neutron data have not been resolved. Briefly, there remains disagreement over  $(\alpha\text{-})\text{Ti}_3\text{AlC}_2$  decomposition to TiC and lattice expansions of  $\Delta c/c$  and  $\Delta a/a$ . XRD data from Wang et al. showed the fixed position of the (008) peak at displacement doses of 4, 11 and 52 dpa; their sample did not have c-axis expansion [10]. Extensive SADP by Yang et al. noted no TiC formation, but correlated their diffraction pattern to a modified  $\beta\text{-Ti}_3\text{AlC}_2$  at 31 dpa [5].  $\beta\text{-Ti}_3\text{AlC}_2$  is characterized by a change in stacking sequence as Al moves from Wyckoff 2b to 2d (center of the (004) plane), and Yang and Huang et al. added interstitial carbons to the lattice [5,11]. The “remaining” post-irradiation  $\alpha\text{-Ti}_3\text{AlC}_2$  showed insignificant lattice parameter expansion [11]. Regarding TiC, there remains the problem of the peak



**Fig. 3.** The effect of radiation dose on lattice parameter changes of  $\text{Ti}_3\text{AlC}_2$  ( $\alpha\text{-Ti}_3\text{AlC}_2$ ) compiled from current data;  $\beta$  indicates the “modified  $\beta\text{-Ti}_3\text{AlC}_2$ ” suggested by Huang and Yang et al. [5,7,9–12]. Dotted curves indicate the approximate c-axis swelling and temperature relationship between 0% and 7%. Values below zero are all a-axis shrinkage.

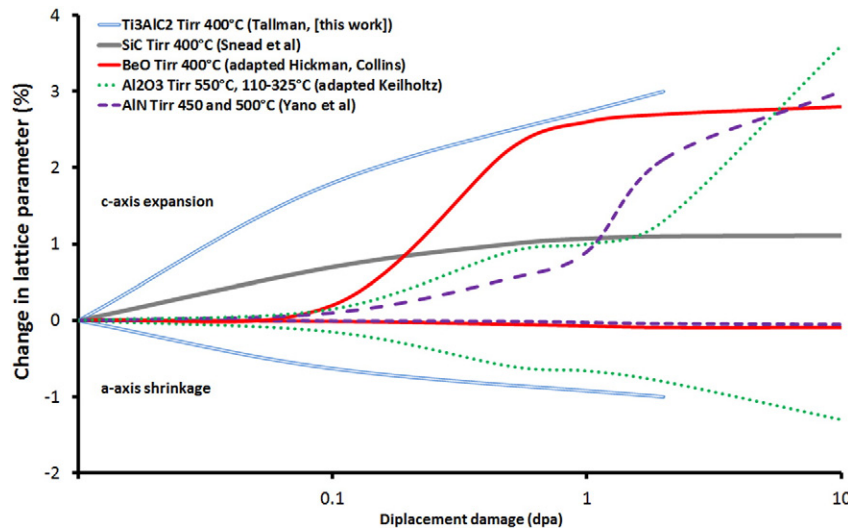


Fig. 4. Schematic trends for  $\Delta a/a$  and  $\Delta c/c$  of  $\text{Ti}_3\text{AlC}_2$ , SiC (isotropic), BeO, AlN and  $\text{Al}_2\text{O}_3$  at similar or adapted  $T_{\text{irr}}$  from neutron irradiation data [9,19,20,23,25–32].

overlap previously discussed. Secondly, TiC is often present as an impurity. For example, ion irradiation data from Song et al. appeared to show TiC peaks (311) and (222) at 72.5° and 76° respectively in the virgin material [6]. In contrast, Wang et al. has clearly defined (111) and (200) TiC peaks from 4, 11 and 31 dpa that were absent from his virgin material (up to 20–60°) [10]. In general, XRD/GXRD analysis has been hampered by the absence of the classical high angle “fingerprint” region, e.g. TiC (420) should be clear of both  $\text{Ti}_2\text{AlC}$  and  $\text{Ti}_3\text{AlC}_2$  reflections and can be used to prove long range order and phase decomposition. Similar problems are found in SADP “fuzzy” low index reflections [10]. A sample with substantial phase segregation to verify TiC is required, such as the data reported by Tallman et al. where half of the material decomposed to TiC according to XRD analyses [9].

In Fig. 3, the data shows a tendency toward saturation of swelling from ~1–2 dpa. This is broadly comparable to SiC at  $T_{\text{irr}} \sim 400^\circ\text{C}$ , where the volume change attributed to unit cell expansion is about 1–2% depending on purity [23]. In SiC, point-defect swelling behavior is mitigated by increasing  $T_{\text{irr}}$  because interstitial clusters exhibit progressively reduced thermal stability [23]. Vacancy migration energies are similar to  $\text{Ti}_3\text{AlC}_2$ ;  $V_{\text{C}}$  and  $V_{\text{Si}}$  are 3.4 and 2.4 eV in SiC, and 3.1 eV and 4.1 eV for  $V_{\text{Ti}}$  and  $V_{\text{C}}$  in  $\text{Ti}_3\text{AlC}_2$  [23,24]. These vacancies are immobile at 400 °C. In  $\text{Ti}_3\text{AlC}_2$ , migration energies for  $I_{\text{C}}$  in the Ti–Al plane are 0.55 eV, and  $I_{\text{C}}$  sites have formation energies ranging from –6.31 to –7.22 eV, indicating that  $I_{\text{C}}$  will play a role in defect accumulation [24]. Fig. 4 shows a schematic of both  $\Delta c/c$  and  $\Delta a/a$  values of SiC,  $\text{Ti}_3\text{AlC}_2$ , and more comparable HCP ceramics like BeO, AlN and  $\text{Al}_2\text{O}_3$  from data at  $T_{\text{irr}} \sim 400^\circ\text{C}$ . The point-defect swelling behavior of SiC and  $\text{Ti}_3\text{AlC}_2$  is similar. However,  $\Delta V_{\text{irr}}/V_{\text{irr}}$  is isotropic in SiC whereas it is highly anisotropic in  $\text{Ti}_3\text{AlC}_2$ , leading to differences in grain boundary stresses and  $\text{Ti}_3\text{AlC}_2$  microcracking.

In  $\text{Al}_2\text{O}_3$  irradiated at  $T_{\text{irr}} \sim 110\text{--}325^\circ\text{C}$ , both  $\Delta a/a$  and  $\Delta c/c$  values expand with increasing dose, initially saturating until the accumulation of dislocation loops causes a preferential saturation of vacancies; at higher  $T_{\text{irr}}$ , this later leads to void swelling and microcracking once  $\Delta V_{\text{irr}}/V_{\text{irr}}$  reaches ~4% [19,25,28]. In AlN, lattice parameters are highly anisotropic, with positive  $\Delta c/c$  values and no change in  $\Delta a/a$ , with onset of microcracking within ~1–2%  $\Delta V/V$  [30]. In BeO, data extrapolated to  $T_{\text{irr}} \sim 400^\circ\text{C}$  shows c-axis expansion to ~2.5%, while the a-axis change is ~0.1% or slightly shrinks [26,27]. With BeO, as  $T_{\text{irr}}$  increases,  $\Delta V/V$  notably reduced, which correlates to  $\text{Ti}_3\text{AlC}_2$  saturation behavior [26,27]. Interestingly, BeO also has an  $\alpha$  to  $\beta$  transition with a ~6% c-axis LP expansion [20].

In Fig. 3, if “modified  $\beta\text{-Ti}_3\text{AlC}_2$ ” (marked as  $\beta$ ) lattice parameters are included, the conflict between decomposition to  $\beta\text{-Ti}_3\text{AlC}_2$  and TiC/ $\text{Ti}_2\text{C}_3$

appears to be resolved if it is considered as strain-induced transformation [5,9,11,22]. Accumulation of point defects or larger clusters such as dislocation loops can induce crystallographic strain and a “modified  $\beta$  phase” bring the values for room temperature irradiation lattice swelling to consistency with other reported values. The absence of amorphization during room temperature irradiation up to ~51 dpa also indicates that irradiation below room temperature would be needed in order to stabilize sufficiently high concentrations of point defects to induce an amorphous phase transition [10]. Finally, irradiation induced swelling is mitigated at ~700 °C;  $\text{Ti}_3\text{AlC}_2$  may be viable for use in a higher temperature regime. The neutron irradiation temperature in this work is just above the operating temperature of LWRs. The observed anisotropic swelling, pronounced cracking and poor mechanical strength indicates that  $\text{Ti}_3\text{AlC}_2$  is unsuitable for LWR environments.

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