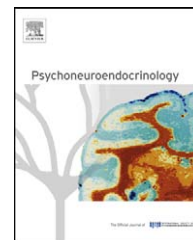




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# Social influences on neurobiology and behavior: Epigenetic effects during development

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**Summary** The quality of the social environment can have profound influences on the development and activity of neural systems with implications for numerous behavioral and physiological responses, including the expression of emotionality. Though social experiences occurring early in development may be particularly influential on the developing brain, there is continued plasticity within these neural circuits amongst juveniles and into early adulthood. In this review, we explore the evidence derived from studies in rodents which illustrates the social modulation during development of neural systems, with a particular emphasis on those systems in which a long-term effect is observed. One possible explanation for the persistence of dynamic changes in these systems in response to the environment is the involvement of epigenetic mechanisms, and here we discuss recent studies which support the role of these mechanisms in mediating the link between social experiences, gene expression, neurobiological changes, and behavioral variation. This literature raises critical questions about the interaction between neural systems, the concordance between neural and behavioral changes, sexual dimorphism in effects, the importance of considering individual differences in response to the social environment, and the potential of an epigenetic perspective in advancing our understanding of the pathways leading to variations in mental health. © 2010 Elsevier Ltd. All rights reserved.

## 1. Introduction

Though our understanding of the neurobiology of mood disorders has advanced through the use of modern imaging and pharmacological techniques, there are still significant gaps in our knowledge regarding the origins of increased susceptibility to psychopathology. Epidemiological studies of the impact of

early-life abuse and neglect suggest that the quality of early social experiences is associated with an altered risk of depression and anxiety in adulthood (Batten et al., 2004; Bradley et al., 2008; Neigh et al., 2009; Stirling and Amaya-Jackson, 2008). These studies suggest that the quality of the early-life social environment may lead to a cascade of neurobiological changes with implications for numerous behavioral outcomes, including enhanced emotionality. Further support for the role of the social environment in shaping the brain comes from experimental studies in rodents, where targeted manipulation of postnatal mother–infant interactions has been demonstrated to induce long-term changes in behavior associated with effects on a wide range of neural systems. These results suggest that plasticity in brain development in response to the

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early social environment may account for the vulnerability that exists in individuals when they are exposed to disruptions in the quality of that environment. Interestingly, the social influence on risk and resilience may not be limited to these early developmental time-points. Here we will highlight literature from recent experimental studies in rodents illustrating how social experiences occurring during postnatal and juvenile periods, and in early adulthood, can shape neural systems that in some cases may lead to altered emotionality (*i.e.* behavioral and physiological responses to stress, novelty, *etc.*). Though questions still remain regarding the time-course, specificity, and behavioral consequences of these changes, there is emerging evidence that epigenetic regulation of gene expression may be a critical feature of this neural plasticity. We will explore the recent evidence supporting an epigenetic perspective on the origins of individual differences in behavior and identify critical issues raised by these studies that can guide future studies on the role of the social environment in shaping brain development.

## 2. Social influence on the developing brain

Studies of the impact of social experiences on development have examined a wide range of physiological, metabolic, immune, neurobiological, and behavioral outcomes. Within the framework of neurobiological consequences, there has been exploration of structural neuroanatomical changes, cell death/survival, synaptic plasticity, and region-specific variation in neurotransmitter and receptor levels/gene expression, all of which may lead to behavioral phenotypes associated with increased or decreased emotionality. Here we will highlight evidence from rodent studies (a discussion of studies in humans is reviewed elsewhere in this issue) for the influence of social experiences occurring during postnatal, juvenile, and in some cases, early adulthood on several neural systems, including monoamine (with a focus of serotonin and dopamine), GABAergic, glutamatergic, vasopressin, oxytocin, estrogen sensitivity and estrogen receptors, and receptors for corticotrophin releasing hormone (CRH) and glucocorticoids. Though the neurobiological substrates of emotionality spans beyond these systems as do the effects of the social environment, discussion of these select targets illustrates the wide range of social experiences that can shape the brain and explores neurotransmitter/receptor systems that may be regulated *via* epigenetic modifications (as will be discussed in subsequent sections).

### 2.1. Dopamine and serotonin

In rodents, social experiences during postnatal development are dominated by mother–infant interactions, with dams spending the majority of the first week post partum in contact with litters (Champagne *et al.*, 2003). Long-term developmental consequences of variations in this early social environment have been most commonly addressed through utilization during the first few weeks of life of the maternal separation (pups are removed from the dam daily for at least 1 h per day) and ‘handling’ (pups are removed from the dam for 15 min per day – also known as brief maternal separations or maternal augmentation) paradigms (see Table 1 for a summary of paradigms). Prolonged periods of maternal

separation during the post partum period are typically associated with increased anxiety-like and depression-like behavior, while brief separations stimulate interactions between mother and pups (Boccia and Pedersen, 2001; Liu *et al.*, 1997) leading to an attenuation of the stress response and decreases in anxiety-like and depression-like behavior, especially in male offspring. Several studies have demonstrated that these behavioral changes are due in part to long-term modifications of the dopaminergic system. For instance, male and female rat pups who are isolated from both mother and sibling contact daily for 1 h from postnatal (PN) days 2 to 9 were found to have cocaine-induced elevations in dopamine (DA) within the ventral striatum at PN10, with both males and females showing 100–300% DA increases compared to controls (Kosten *et al.*, 2003). Elevated levels of DA in the nucleus accumbens (NAc) also persist into adulthood (Hall *et al.*, 1999; McCormick *et al.*, 2002). Similarly, increased DA and decreased DOPAC (a dopamine metabolite) in adult mice have been documented in the striatum in offspring separated from their dams for 5 h/day from PN days 2 to 6 compared to handled mice (Ognibene *et al.*, 2008). Moreover, compared to individuals who were handled (15 min/day) during the first 2 weeks of life, adults who are separated from their mother daily for 3 h per day are more hyperactive in a novel environment and exhibit a dose-dependent higher sensitivity to cocaine-induced locomotor activity (Brake *et al.*, 2004). These behavioral changes are associated with increased dopamine D1 receptor binding levels in the NAc core and caudate putamen (CP), increased D3 receptor mRNA in the NAc shell, and a trend for increased D2 receptor levels in the NAc core. Additionally, dopamine transporter (DAT—which uptakes DA from the synapse) levels are significantly decreased in maternally separated animals in the NAc core and CP but not the ventral tegmental area (VTA) or prefrontal cortex (PFC) (Brake *et al.*, 2004). Similar consequences to DA activity have been observed as a function of low levels of maternal care experienced in infancy, such that offspring of low licking/grooming (LG) dams have elevated stress-induced dopamine release within the medial prefrontal cortex (mPFC) (Zhang *et al.*, 2005). Hence, these studies indicate that prolonged maternal separation and reduced maternal care is associated with long-term sensitization of dopaminergic activity *via* alterations of site-specific dopamine, dopamine receptor and dopamine transporter gene expression that may contribute to the observed increases in anxiety-like and depression-like behavior in these offspring.

Early-life maternal separation also significantly alters serotonin (5-HT) signaling and metabolism in adulthood. Maternally separated rat pups (3 h of separation twice daily from PN days 1 to 13) have decreased 5-HIAA and HVA (5-HT metabolites) levels in the amygdala and increased stress-induced 5-HT and 5-HIAA levels in the dorsal raphe nucleus (DRn), cingulate cortex, and NAc at 4 months of age (Arborelius and Eklund, 2007). Similar increases in 5-HT levels are found in the PFC, hippocampus, and striatum of mice that are maternally separated for 5 h daily during the first week post partum (Ognibene *et al.*, 2008). Significantly, postnatal maternal separation not only affects 5-HT levels in the brain, but also modulates long-term changes in the functioning of 5-HT receptors and the serotonin transporter (5-HTT – which removes 5-HT from synapses). For instance, the maternal separation of rats (with separation carried out for 6 h sessions

**Table 1** Summary of rodent paradigms for the study of social modulation of the brain.

Developmental period	Paradigm	Description <sup>a</sup>
Early postnatal	Maternal separation	Daily removal of pups from the nest for 1 h or more during pre-weaning development
	Postnatal abuse	Disruption of cage environment to induce increased stepping-on and dragging of pups
	Handling, brief maternal separation, maternal augmentation	Daily removal of pups from the nest for 15–20 min during pre-weaning development
	natural variations in Licking/grooming (LG)	Comparison of offspring reared by high Lg compared to low LG dams (LG status determined <i>via</i> home-cage observations)
	Communal nursing	Offspring reared in nests by 2–3 lactating females with multiple litters
	Single vs. biparental rearing	Removal of father from rearing environment amongst biparental species
Late postnatal	Early weaning	<i>E.g.</i> in mice, weaning pups at PN14 compared to PN21
Juvenile	Late weaning	<i>E.g.</i> in mice, weaning pups at PN28 compared to PN21
	Social isolation	Post-weaning housing of pups in social isolation
	Social enrichment	Post-weaning housing of pups in large, complex cages, with numerous conspecifics
	Mixed-sex housing vs. Same-sex housing	Comparisons of males and females reared with or without exposure to the opposite sex
Adulthood	Dominant vs. Subordinate status	Comparison of individuals based on social status when housed in same-sex pairs or when housed in same-sex or mixed-sex groups
	Social isolation	Prolonged housing in isolation
	Social enrichment	Prolonged housing in enrichment
	Social defeat	Repeated exposure to an aggressive conspecific involving increased agonistic encounters

<sup>a</sup> Wide variations in methodology are used in some paradigms.

on 10 days distributed from PN days 5 to 20) induces a reduced sensitivity of  $\alpha_1$ -adrenoceptors on 5-HT neurons in the DRn, and increased desensitization of frontal cortex 5-HT1A autoreceptors (Gartside et al., 2003). Amongst rat offspring exposed to 3 h of daily maternal separation from PN days 2 to 14, an enhancement of the inhibitory effect of the serotonin reuptake inhibitor (SRI) citalopram on serotonergic cell firing in the DRn (Arborelius et al., 2004) and an increase in 5-HTT mRNA expression in the DRn following exposure to a novel stressor is observed (Gardner et al., 2009). Finally, another aspect of 5-HT functioning that is modulated by maternal separation is the site-specific pre-mRNA editing of 5-HT2C receptor transcripts in the adult forebrain (Bhansali et al., 2007). The pre-mRNA for the 5-HT2C receptor possesses five editing sites, which can undergo adenosine to inosine nucleotide conversions leading to altered encoding of triplet codons and hence different isoforms and functional activity of the encoded G-protein-coupled receptor. Maternally separated mice (3 h of daily separation from PN days 2 to 15) have significantly increased pre-mRNA editing at four of these five sites which is associated with reduced sensitivity to 5-HT and increased depression-like behavior. Interestingly, both post-weaning social enrichment and treating mice with fluoxetine from PN32 to 61 are able to reverse the behavioral effects of maternal separation, but only the fluoxetine treatment reverses the pre-mRNA editing phenotype (Bhansali et al., 2007).

The frequency and diversity of social contact with siblings and conspecifics during juvenile development can also induce shifts in adult behavioral phenotypes associated with multiple changes within monoamine pathways. Deprivation of both maternal and littermate contact through early weaning is associated with reduced social play, increased stress responses, and elevated anxiety-like and depression-like behavior (Janus, 1987; Kikusui et al., 2006; Shimozuru et al., 2007). Mice weaned at an early age (PN14) have significantly reduced levels of the 5-HT1B receptor in the hippocampus compared to standard weaned controls (weaned at PN21), although they have equivalent levels of 5-HT1A receptors (Nakamura et al., 2008). Post-weaning social isolation, typically starting at PN 21 and lasting from 6 to 8 weeks, is generally associated with an increase in anxiety-like and depression-like behaviors and in mice is associated with decreased expression of several 5-HT receptor subtypes in the PFC, hypothalamus, and midbrain (Bibancos et al., 2007). In rats, social isolation during the late juvenile period (PN38–51) is associated with latent elevations in DA levels in the NAc (Shao et al., 2009). Comparisons of rats reared in combinations of enriched or isolated environments suggest that exposure to social isolation during adolescence (from PN21 for 6 weeks), regardless of exposure to subsequent enrichment (for an additional 6 weeks), leads to consistently reduced sucrose preference, suggesting permanently altered DA signaling (Hellemans et al., 2004). Like social isolation,

social defeat stress during adolescence (PN35) leads to disordered emotional behavior and is associated with reduced DA in the mPFC, increased norepinephrine (NE) and 5-HT in the hippocampus, and increased NE in the DRn. Defeated rats also have significantly higher DOPAC levels in the substantia nigra pars compacta (SNc) but no change in DA, NE, or 5-HT in this region (Watt et al., 2009). In contrast, social enrichment during the post-weaning period (PN23 to PN43) is associated with increased levels of the DAT within the NAc and a trend for increased phosphorylated-tyrosine hydroxylase (TH, an enzyme in the dopamine production pathway) and DARPP-32 (an inhibitor of protein phosphatase 1 which integrates the activity between dopaminergic and glutamatergic signaling pathways) (Zakharova et al., 2009).

This plasticity of monoamine pathways in response to the social environment continues throughout adulthood, though effects are typically more transient compared to those emerging in response to earlier experiences. Though long-term social isolation (3 months) of adult rats leads to a significant decrease in TH mRNA, there are increased protein levels of TH and two other catecholamine biosynthesis enzymes, dopamine-beta-hydroxylase (DBH) and phenylethanolamine N-methyltransferase (PNMT) within the adrenal medulla in isolated rats compared to controls (Gavrilovic et al., 2008). A 4-week period of adult social isolation in rats (amongst 11-week-old males) is associated with increases in DOPAC and 5-HIAA and an increase in the DOPAC/DA ratio (Miura et al., 2005). Adult isolation housing (7 weeks in duration) also reduces hippocampal neuronal proliferation and expression of genes associated with plasticity, including mRNA levels of the 5-HT<sub>2A</sub> receptor, brain derived neurotrophic factor (BDNF), and neuropeptide-Y (NPY) in the hippocampus compared to socially housed animals (Bjornebekk et al., 2007). Social enrichment of adult rats (30 days of enrichment at 3 months of age) has been found to increase NE in the dorsal hippocampus and decrease 5-HT and 5-HIAA in the ventral hippocampus (Galani et al., 2007). A 40-day period of enrichment in adulthood is associated with increased NE content within the parieto-temporo-occipital cortex, the cerebellum, and the pons/medulla, without any change in 5-HT or DA levels in these regions (Naka et al., 2002). Daily exposure to social defeat for 10 consecutive days results in an increase in anxiety-like and depression-like behaviors as well as a reduction in social investigation in adult mice and involves long-term changes in monoamine functioning as evidenced by the efficacy of chronic treatment with the SRIs fluoxetine or imipramine in ameliorating the behavioral phenotypes associated with social defeat (Berton et al., 2006; Tsankova et al., 2006). These studies clearly demonstrate the modulation of multiple monoaminergic systems as a result of the social environment, with implications for emotional behaviors, though the precise mechanisms through which these effects occur and their interrelationship to one another remain to be elucidated.

## 2.2. GABA

Gamma-aminobutyric acid (GABA) is the principal inhibitory neurotransmitter in the CNS. GABA is synthesized from glutamate via the rate limiting enzyme glutamic acid decarboxylase (GAD) which is found in two forms, GAD65 and GAD67, in the nervous system (Erlander et al., 1991). There are two main types of receptors through which GABA exerts its

actions — GABA<sub>A</sub> and GABA<sub>B</sub>. The inhibitory actions of GABA arise primarily through the GABA<sub>A</sub> receptor, a chloride ionophore, which allows negatively charged Cl<sup>−</sup> ions into the cell resulting in hyperpolarization. The receptor complex itself is a heteropentameric assembly that is comprised of 2α, 2β and one other subunit (either γ, δ or ε). The presence or absence of particular subunits has important implications for the synaptic localization and functional properties of the receptor (Barnard et al., 1998). Since the α and γ subunits form the benzodiazepine binding site, different subunit isoforms that make up the receptor may result in different degrees of Cl<sup>−</sup> influx and resulting neuronal potential (reviewed in D'Hulst et al., 2009). The GABA<sub>B</sub> receptor, on the other hand, is a G-protein coupled metabotropic receptor and is present at much lower levels in the brain. In contrast to adulthood, during development, activation of GABA<sub>A</sub> receptors results in membrane depolarization (via a chloride efflux), activating a host of second messenger molecules and transcription factors (e.g. CaMKI-IV, pCREB) (Ben-Ari et al., 2007; Obrietan et al., 2002; Owens et al., 1996). The resulting gene products (e.g. BDNF) exert widespread trophic actions, promoting neurogenesis, cell survival, cell differentiation, synapse formation and the morphological maturation of neural circuits (Borodinsky et al., 2003; Cancedda et al., 2007; Represa and Ben-Ari, 2005; Tozuka et al., 2005).

Early social experiences that engage the GABAergic system during early postnatal development are likely to have multiple effects. First, there are those that engage the trophic actions of excitatory GABA during its discrete excitatory period, which refine neuronal circuits and promote plasticity, and second, there are those effects that shape the inhibitory system for the remainder of life. Together, these effects have widespread implications for the physiology and behavioral functioning of offspring, some of which may be independent of GABA-specific changes (*i.e.* in receptor number or composition). Indeed, it has been shown that increases in trophic factors (e.g. neurotrophin-3 (NT-3) and BDNF) following a single episode of early handling at PN1 can be attenuated by antagonizing either NMDA, GABA<sub>A</sub>, 5-HT receptors or L-type voltage gated calcium channels (VGCCs), emphasizing the role of excitatory activity and highlighting a potential role for excitatory GABA in mediating the effects of early handling in the neonate brain (Garoflos et al., 2005, 2007). Long-term changes in GABA<sub>A</sub> receptor number and subunit composition have also been reported following the early handling procedure in both the brain and periphery. Postnatal (PN1 to PN14) handling-induced increases in GABA<sub>A</sub>/central benzodiazepine (CBZ) receptors have been detected in a number of brain regions including the mPFC, locus ceruleus (LC), hippocampus and lateral (LA) and central amygdaloid (CeA) nuclei as well as peripheral tissues such as the adrenals and kidney (Bodnoff et al., 1987; Caldji et al., 2000; Weizman et al., 1999). These molecular changes have been correlated with increased exploration in a novel open-field, reduced startle responsivity, and decreased novelty-induced suppression of feeding; all of which suggest a reduction in anxiety-like behavior (Bodnoff et al., 1987; Caldji et al., 1998, 2000). Further, variations in maternal behavior in the rat and between different strains of mice have been shown to regulate GABA<sub>A</sub> receptor subunit composition with implications for its receptor pharmacology (Caldji et al., 2000, 2003, 2004). It should be noted that cross-fostering



studies confirm that shifts in the characteristics of the GABAergic system are associated with the quality of post-natal maternal care received.

The expression of specific GABA<sub>A</sub> receptor subunit genes and the resulting subunit composition of the receptor are also influenced by social isolation experienced during juvenile development. Social isolation (3 months in duration) reduces the extent of radioligand binding to brain GABA<sub>A</sub>/CBZ receptors (Insel, 1989; Miachon et al., 1990) and impairs the anxiolytic effect of diazepam in rats subjected to a social interaction test (Wongwitdecha and Marsden, 1996). In particular, increased immunoreactivity of the  $\alpha_4$  and  $\delta$  subunits (involved in seizure susceptibility and extrasynaptic localization) has been detected throughout the hippocampus of rats socially isolated for 30 days post-weaning compared to group-housed rats (Serra et al., 2008). Together, these changes likely act in concert to affect the overall functioning of the GABA<sub>A</sub> receptor. Further, the social isolation appears to effect the functional coupling between the recognition site of GABA<sub>A</sub> and its allosteric modulators (such as benzodiazepines and neurosteroids) as evidenced by the marked decrease in GABA-evoked Cl<sup>-</sup> currents in response to diazepam in isolated synaptosomes and within the cerebral cortex and hippocampus of brain slice preparations from isolated rats (Serra et al., 2000). In contrast, fewer studies have examined the consequences of social environmental enrichment on the GABAergic system, although there is evidence for increased GAD enzyme activity and extracellular GABA concentrations within the hippocampus following 30 days of enrichment (Frick et al., 2003; Segovia et al., 2006). In adulthood, an episode of social defeat results in transient changes in GABA receptor (those with benzodiazepine binding sites) levels in cortex, cerebellum and hypothalamus (Miller et al., 1987). Adult social defeat (a single prolonged episode) also increased  $\alpha_1$  and  $\gamma$  subunit mRNA expression in the cortex (but not cerebellum and hippocampus); an effect that persisted for 72 h but returned to baseline after 7 days (Kang et al., 1991). Thus, though the adult social environment can induce transient changes in GABA, any long-term effects are likely maintained by alterations within other neurotransmitter systems.

### 2.3. Glutamate

Glutamate is the main excitatory neurotransmitter in the brain, which acts on metabotropic and ionotropic receptors. Of the ionotropic receptors, NMDA, which permits entry of Ca<sup>2+</sup> ions into the cell when activated, is considered to be critically important in plasticity, and underlies the construction and storage of memories throughout life. Other receptors of glutamate include the fast conducting Na<sup>+</sup> ionophore, AMPA, and the metabotropic glutamate receptors (mGluR) which are G-protein coupled and activate intracellular signaling molecules to regulate excitation and transmitter release (reviewed in Cartmell and Schoepp, 2000; Dingledine et al., 1999). The subunits that comprise these receptors have important implications for the kinetic and functional properties of glutamate transmission. For example, a shift in expression from the NR2A to the NR2B subunit (one that keeps NMDA channels open for longer) results in a heightened response to glutamate (Monyer et al., 1994). On the other hand, a higher ratio of the NR2A:NR2B subunit might constrain plasticity (reviewed in Cull-Candy et al., 2001). Like

GABA, changes in glutamate transmission following various social experiences can have a modulatory role in shaping long-term changes in other neurotransmitter or peptide systems (Korosi and Baram, 2008). Alternatively, social experiences can induce long-term changes in glutamergic components leading to permanent changes in the regulation of neural circuits (Barkus et al., 2010; Bird and Lawrence, 2009).

Extended periods of maternal separation at, or prior to PN9 has been reported to cause reductions in the expression of the hippocampal NMDA receptor subunits, NR1 and NR2A and NR2B, as well as the AMPA receptor subunits, GluR1 and GluR2, in adult rat offspring (Bellinger et al., 2006; Pickering et al., 2006; Roceri et al., 2002). Moreover, these effects are mirrored by findings in offspring of low LG rat mothers who exhibit a deficit in NR1, NR2A and NR2B hippocampal subunit mRNA expression as adults (Bredy et al., 2003, 2004; Liu et al., 2000). Similarly, in biparental species such as the California deer mouse, reduced paternal contact results in increased NR2A and decreased NR2B mRNA expression in the hippocampus (Bredy et al., 2007). Housing rats in isolation from the time of weaning for 4–13 weeks induces distinct cognitive impairments that include deficits in spatial and recognition memory, visuospatial attention and impulsivity, reversal learning and sensorimotor gating/pre-pulse inhibition (Bianchi et al., 2006; Dalley et al., 2002; Lu et al., 2003; Weiss et al., 2004). These effects are correlated with deficits in neural plasticity (e.g. decreased rates of hippocampal neurogenesis and decreased forebrain spine density), which are thought to stem from glutamatergic hypofunction (Abdelrahman et al., 2005; Lu et al., 2003; Silva-Gomez et al., 2003; Stranahan et al., 2006). Not surprisingly, isolation-rearing of rats, in some cases combining postnatal maternal separation and post-weaning isolation of varying durations, has been shown to induce increases in mGluRs, AMPA, and NR2A NMDA receptor subunit expression in the mPFC (Levine et al., 2007; Turnock-Jones et al., 2009; Zhao et al., 2009). In the hippocampus, similar increases in NR2A subunit expression are accompanied by decreases in NR1 mRNA in rats isolated for 9 weeks from the time of weaning (Hall et al., 2002). Social enrichment (50 days in duration) during the post-weaning period has been found to reverse the deficits in neural plasticity and cognition associated with low levels of maternal care in infancy by partially reversing the accompanying reductions in NMDA receptor subunit expression and initiating new changes (e.g. increases in AMPA receptor expression) in the hippocampus (Bredy et al., 2003, 2004). It would appear that the recruitment of glutamatergic signaling mechanisms is a general mechanism whereby social enrichment is able to facilitate and compensate for deficits in neural plasticity. Indeed, post-weaning enrichment has been used to reverse cognitive deficits that result from prenatal stress (Koo et al., 2003), postnatal lead exposure (Guilarte et al., 2003), social isolation (Lu et al., 2003), and gene-knockout models of cognitive disease (e.g. Fragile X; Rampon et al., 2000; Restivo et al., 2005). In some cases, these effects have been shown to occur through changes in glutamate receptor expression or signaling cascades associated with glutamate transmission (Arai et al., 2009; Rampon et al., 2000; Restivo et al., 2005). Furthermore, the experience of agonistic behaviors in adulthood during social defeat has been demonstrated to increase NMDA receptor binding in

the CA3 of the hippocampus and decrease AMPA receptor binding in several other hippocampal regions (Krugers et al., 1993) which may indicate a sensitivity to glutamate transmission following social defeat. Moreover, social defeat stress has been shown to increase the expression of the GluR1 subunit of the AMPA receptor in the VTA (Covington et al., 2008) and blockade of NMDA receptors in the basolateral amygdala (BLA) prevents the acquisition of conditioned defeat confirming that long-term changes to glutamergic activity are required for the acquisition of the changes in emotional behaviors associated with social defeat (Jasnow et al., 2004a).

## 2.4. Vasopressin

The function of the peptide hormone arginine vasopressin (AVP) has been explored in a broad range of contexts, including physiology/metabolism, social behavior, aggression, reproduction, and stress responsivity. In rodents, postnatal maternal separation has generally been associated with elevated AVP mRNA expression and immunoreactivity in the paraventricular nucleus of the hypothalamus (PVN) and a subsequent enhanced release of adrenocorticotrophic hormone (ACTH) and corticosteroids, through activation of vasopressin 1B receptors (V1Br), of adult and juvenile rats and mice (Vazquez et al., 2006; Veenema et al., 2006, 2007), though some studies only find this increase in subjects undergoing a subsequent exposure to stress (Veenema et al., 2006). In adulthood, chronic social defeat leads to the increased expression of AVP mRNA in the parvocellular neurons of the PVN and related increases in mouse anxiety-like and depression-like behavior (Erhardt et al., 2009; Keeney et al., 2006; Veenema et al., 2006), and 6 weeks of post-weaning social isolation in male prairie voles has also been found to elevate both anxiety-like behavior and AVP mRNA expression in the PVN (Pan et al., 2009). However, paradigms using other forms of social stress, such as long-term social isolation (early weaning combined with 2 months of post-weaning isolation) in male rats (Sanchez et al., 1998) and social hierarchy formation in large groups of mixed-sex adult rats (Choi et al., 2006) have found no effect on AVP mRNA expression in the PVN. Moreover, reduced AVP mRNA expression in the PVN has been found in response to chronic subordinate colony housing (Veenema et al., 2008), 21 days of post-weaning social isolation in female prairie voles (Ruscio et al., 2007) and 2 weeks post-weaning social isolation of male rats (Tanaka et al., 2010), though these experiences still lead to increased anxiety-like behavior. Similarly, one study has found an increase in the number of AVP immunopositive cells in the magnocellular neurons of the PVN in male rats that were briefly maternally separated (1 min/day from PN1 to PN10) during the early postnatal period (Todeschin et al., 2009). These studies highlight the importance of considering plasticity in other neuroendocrine systems as well as the type and timing of social experiences, gender, species and genetic background when attempting to establish associations between variations in the social environment and changes in the AVP system and emotional behavior.

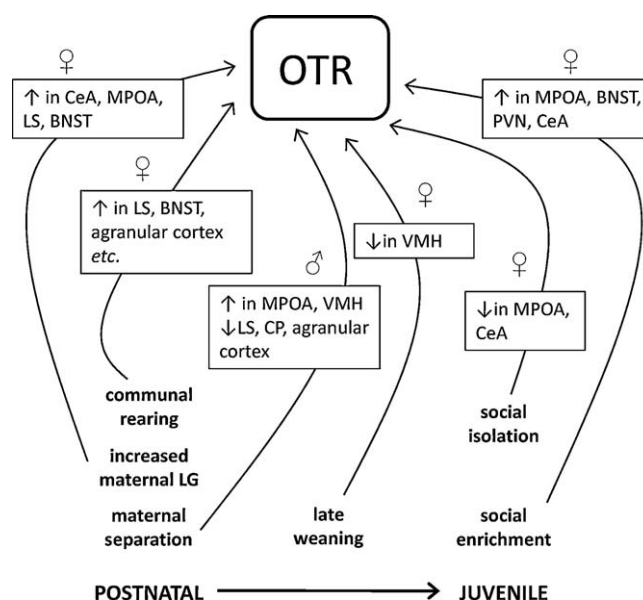
Social experiences may also induce variation in the expression and distribution of vasopressin receptors, particularly vasopressin receptor 1A (V1Ar). During early development, high LG by rat dams has been found to lead to

elevated levels of V1Ar in the CeA of male offspring (Francis et al., 2002b). Maternal separation leads to region-specific shifts in V1Ar binding during different developmental periods of male rats and notably V1Ar binding in the lateral septum (LS) is enhanced in maternally juveniles exposed to maternal separation for 3 h daily from PN1 to PN14 (Lukas et al., 2010). Adult male rats who become subordinate following being housed with a dominant male have elevated V1Ar in the LS (Askew et al., 2006), whereas a later age of weaning (PN28 vs. PN21) in mice is associated with elevated levels of V1Ar in several hypothalamic regions of female mice (Curley et al., 2009b). Female mice who are communally reared (an early form of social enrichment) throughout the postnatal/pre-weaning period display reduced anxiety-like behaviors and have reduced V1Ar binding in the LS (Curley et al., 2009a). Significantly, V1Ar agonists and antagonists administered into the LS lead to enhanced and reduced anxiety-like behavior, respectively and over-expression of the V1Ar gene in the LS also increases anxiety-like behavior, suggesting that the expression of V1Ar in this region may indeed be fundamental to modulating the central effects of vasopressin on emotional phenotypes (Bielsky et al., 2005; Caldwell et al., 2008; Landgraf et al., 1995; Liebsch et al., 1996).

## 2.5. Oxytocin

The nonapeptide oxytocin (OT) is synthesized primarily in the PVN and supraoptic nucleus (SON) and plays a key role in social, sexual and parental behaviors. It acts upon the oxytocin receptor (OTR), which is located in several brain regions, including the cortex, limbic system, hypothalamus, and brainstem. OTR expression and binding have been shown to be particularly sensitive to long-term change in response to differential social experiences (see Fig. 1). For instance, OTR binding in several areas of the female brain is altered in offspring reared by high LG rat dams compared to low LG dams, with OTR binding elevated in the CeA, medial preoptic area (MPOA), LS and bed nucleus of the stria terminalis (BNST) of female offspring of high LG dams (Champagne et al., 2001; Francis et al., 2000, 2002b). Male rats exposed to maternal separation 3 h daily from PN1 to PN14 have lower OTR binding in the agranular cortex (in young adulthood) and the LS and caudate putamen (as adults), but higher OTR binding in the MPOA (as juveniles) and ventromedial hypothalamus (VMH) (as adults) compared to control rats (Lukas et al., 2010). Female mice that are communally reared throughout postnatal development have elevated OTR binding in several brain regions (LS, BNST, agranular cortex, endopiriform cortex) as adults compared to female mice reared by just one dam (Curley et al., 2009a). Moreover, adult female mice that are weaned at day PN21 had higher OTR binding in the VMH compared to mice that had extended mother–infant and sibling contact through being weaned at day PN28 (Curley et al., 2009b). Juvenile social enrichment in female rats from weaning (PN21) for 50 days has also been found to elevate OTR binding in a number of forebrain and hypothalamic areas including the CeA (Champagne and Meaney, 2007). Thus, there is a strong link between developmental social experiences, occurring in infancy and later amongst juveniles, and alterations within the OT system.

The link between the social environment, modulation of OT pathways, and behavioral outcomes is not always straight-



**Figure 1** Illustration of the social modulation of oxytocin receptor levels in the rodent brain during postnatal and juvenile development. Social experiences occurring during the postnatal period, in the form of variation in mother–infant interactions, maternal separation, and communal nursing, and extending into juvenile development (late weaning, post-weaning variation in social housing), have been demonstrated to alter oxytocin receptor (OTR) levels in the brain. Indicated in the figure are findings highlighting these influences, which illustrate region-specific effects on OTR in response to the social environment. It is important to note that the impact of a particular social experience will vary dependent on the species, sex, and timing of the experience. The complexity of effects on OTR levels highlights the diverse pathways through which experiences occurring during development can alter functioning within neural systems which shape behavior. (BNST, bed nucleus of the stria terminalis; CeA, central amygdala; CP, caudate putamen; LS, lateral septum; MPOA, medial preoptic area; PVN, paraventricular nucleus; VMH, ventromedial hypothalamus).

forward. For example, maternal separation during the first 2 weeks of life (3 h daily) has been found to increase anxiety-like behavior in both male and female mice but separation-induced decreases in levels of OT are only found in the PVN of lactating female mice (Veenema et al., 2007). Conversely, brief maternal separations induce consistent decreases in anxiety-like behavior in males and inconsistent behavioral effects in females. These variable behavioral findings are mirrored by variable neurobiological changes. For instance, in one study, brief maternal separations (1–2 min daily from PN1 to PN10) are associated with a decrease in the number of OT positive neurons in the parvocellular region of the PVN in adult female but not male rats (Winkelmann-Duarte et al., 2007), while in another study both male and female offspring exhibit decreases in the number of OT positive neurons (Todeschin et al., 2009). Furthermore, prairie voles reared with one mother exhibit reduced anxiety-like behavior and have higher OT mRNA expression in the PVN compared to biparentally reared prairie voles (Ahern and Young, 2009). Post-weaning, social isolation for 3 weeks did not alter OT immunoreactivity in female prairie voles (Ruscio et al., 2007) whereas in another set of studies, 4 weeks of post-weaning social isolation induced increased depressive behaviors and elevated stress-reactivity in female prairie voles accompanied by a higher number of OT positive neurons in the PVN, with limited isolation effects reported in males (Grippe et al., 2007a,b). In a third study, a similar up-regulation of OT mRNA in the PVN was found after 6 weeks of social isolation in males who also showed enhanced anxiety-like behavior (Pan et al., 2009). Finally, in female rats, 2 weeks of post-weaning social isolation induced decreases in the num-

ber of OT positive cells in the parvocellular neurons of the PVN, though this manipulation did not alter anxiety-like behavior (Tanaka et al., 2010). In contrast, male rats isolated for the same period of time did develop an anxiogenic profile but had no change in OT immunoreactivity in the PVN. These variable findings suggest that the effects of the social environment in modulating behavior may not necessarily be as a direct result of long-term modifications to the OT system but occur through indirect interactions between OT and other neuroendocrine systems.

## 2.6. Estrogen and estrogen receptors

The influence of gonadal hormones can be observed on cellular morphology throughout the body, on physiological responses, and on neurobiological and behavioral outcomes. Within the brain, estrogen can act either directly to modify neural activity or indirectly through cascades of changes in gene expression. Genomic effects of estrogen are achieved through activation of nuclear estrogen receptors (ER $\alpha$  and ER $\beta$ ). ER $\alpha$  and ER $\beta$  have distinct expression patterns within the CNS such that ER $\alpha$  mRNA is highly expressed within the MPOA and VMH and ER $\beta$  is expressed within the hippocampus, DRn, mPFC, and PVN (Shughrue et al., 1997, 1998). Disruptions to the early-life environment have been demonstrated to alter the cyclic variations in behavior of females related to estrus state, possibly through effects on responsiveness to estrogen signaling. Neonatal maternal isolation (1 h daily from PN2 to PN9) in female rats has been found to eliminate estrus dependent changes in cocaine-induced locomotor activity (Kosten et al., 2003). Female offspring reared under

conditions of complete maternal deprivation, through use of an artificial rearing paradigm, display reduced levels of hormonally primed maternal behavior (Novakov and Fleming, 2005). Reduced levels of mother–infant interactions induced through maternal exposure to predator odor on the day of parturition are associated with elevated levels of ER $\alpha$  and ER $\beta$  mRNA in the MPOA of female offspring compared to control-reared females (McLeod et al., 2007). Natural variations in maternal care have been associated with altered gene expression and receptor levels within the MPOA and females reared by dams that exhibit low levels of LG have a reduced sensitivity to estrogen-mediated increases in neuronal activation within the MPOA (Champagne et al., 2001; Champagne et al., 2003). Analysis of levels of ER $\alpha$  in the offspring of High and Low LG dams suggest that differences in estrogen sensitivity are mediated by variations in ER $\alpha$  levels such that expression of ER $\alpha$  in the MPOA of both lactating and non-lactating female offspring of Low LG dams is significantly reduced compared to that of the offspring of High LG dams (Champagne et al., 2003). Conversely, ER $\alpha$  levels in the anteroventral paraventricular nucleus are elevated amongst the female offspring of Low LG dams and these females are more sensitive to estrogen induced ER $\alpha$  activation within this region (Cameron et al., 2008). Thus, site-specificity is a critical feature of the impact of social experiences on estrogen receptor levels and this specificity will have implications for the behavioral consequences of early-life exposures.

The experience of extended periods of social isolation during juvenile development has also been found to alter estrogen levels. E2 biosynthesis from testosterone in gonadal tissue was found to increase in female rats housed in isolation from weaning and slightly decrease in isolated males when compared to group-housed males and females (a housing condition consisting of mixed-sex groups; Fulgheri et al., 1975). Amongst 60-day-old rats, the effects of juvenile isolation vary dependent on the nature of the isolation, with plasma estradiol being altered in unisex vs. mixed-sex housing and isolated vs. isolated with contact (a wire mesh separation between individual rats). Amongst both males and females, estradiol has been found elevated in mixed-sex socially housed rats compared to isolates (with and without contact) and amongst males, post-weaning isolation-rearing results in decreased estradiol compared to both unisex and mixed-sex reared offspring (Lupo di Prisco et al., 1978). These data highlight the dynamic influence of reproductively relevant stimuli on the levels of gonadal hormones that has been demonstrated to shift patterns of affiliative, aggressive, and sexual behaviors in adult males and females. Within the brain, levels of ER $\alpha$  can be altered in response to variations in juvenile housing conditions experienced by prairie voles. Comparison of isolated males and females to those housed with either a sibling or an unfamiliar same-sex conspecific for 3 weeks post-weaning indicated decreased levels of ER $\alpha$  in the MPOA and BNST of isolated females (particularly compared to those housed with an unfamiliar female) though levels of ER $\alpha$  were not significantly decreased in the MPOA and BNST of isolated males (Ruscio et al., 2009). Consequently, sex differences in ER $\alpha$  (males having elevated levels compared to females) were apparent in isolation-reared but not socially reared offspring. Thus, the quality of the social environment experienced at the time of puberty onset and into adulthood can, much like the postnatal environment,

shift responsiveness to gonadal hormones with implications for sexual dimorphism in behavior.

## 2.7. Corticotropin releasing hormone (CRH) and glucocorticoid receptors

The hypothalamic-pituitary-adrenal (HPA) response to stress, resulting in elevated circulating levels of glucocorticoids, can have both acute and long-term consequences for behavior. CRH is also released from neurons with the amygdala, hippocampus and LC and can have local neuromodulatory effects on target neurons possessing CRH1 or CRH2 receptors thereby regulating behaviors centrally independently of the HPA-axis (Korosi and Baram, 2008). Within the brain, glucocorticoids act on glucocorticoid (GR) or mineralocorticoid receptors (MR) located in several brain regions (MRs – hippocampus, LS, amygdala, PVN, LC; GRs – expressed throughout the brain particularly in the hippocampus, LS and PVN) and the pituitary. MRs have a strong affinity for glucocorticoids and so are generally occupied even at low levels such as basal conditions, however, GRs have a lower affinity for glucocorticoids and as such tend to only be occupied following stress. Levels of GR within the hippocampus have been demonstrated to enhance negative-feedback of the HPA response to stress, thus serving as a critical neural system in the regulation of emotionality (Meaney et al., 1991b).

The effects of social experiences on modulating the actions of CRH and HPA reactivity have been explored extensively (for reviews see Korosi and Baram, 2008, 2009; Lupien et al., 2009). Here, we will focus on studies in which region-specific variations in CRH receptors, MR, or GR in the brain have been explored and highlight broad findings that have been most consistently reported, though it is important to acknowledge that there is variation in these results depending upon contextual factors such as the type of paradigm used and species, strain, sex and age of the animals. In early development, social experiences that promote increased levels of tactile stimulation generally lead to long-term reduction in CRH-mediated HPA stress-reactivity. Studies in mice illustrate that offspring reared in communal nests have a blunted neuroendocrine response social stress (Branchi et al., 2010). Adult rats that are reared by high LG dams have attenuated stress responsivity and higher expression of GRs in the hippocampus, compared to rats reared by dams that provide low levels of LG during the first week (Francis et al., 1999; Plotsky and Meaney, 1993). Likewise, mice and rats (particularly males) that undergo daily brief maternal separations (15 min daily) during the first weeks of life have an attenuated response to stress (Meaney et al., 1991a; Plotsky and Meaney, 1993; Viau et al., 1993), associated with increased GR mRNA expression in the hippocampus, that are induced in part through increased sensory stimulation derived from the mother on reunion with her pups (Avishai-Eliner et al., 2001; Fenoglio et al., 2005; Liu et al., 1997). Mechanistically, it appears that a reduction in CRH mRNA expression facilitates this effect, as this maternally induced difference can be seen as early as PN9 which precedes both the increase in hippocampal GR expression at PN23 and the altered stress phenotype (Avishai-Eliner et al., 2001). Moreover, this same effect can be achieved developmentally through reducing the accessibility of CRH to its



CRH1 receptor (Fenoglio et al., 2005). In contrast, postnatal maternal separation (3 h daily throughout the postnatal period) induces increased stress-reactivity (Caldji et al., 1998; Plotsky and Meaney, 1993; Wigger and Neumann, 1999) associated with reduced GR and MR expression in the hypothalamus and hippocampus, and regional changes in CRH1r expression (Ladd et al., 2005; Plotsky and Meaney, 1993). Another form of maternal deprivation, the early permanent removal of mouse pups from their mother on PN14 (early weaning), elevates HPA activity in adult males under basal and stressful conditions and induces a reduction in GR mRNA expression in the hippocampus (Kikusui et al., 2006). Overall, these results would suggest significant plasticity in the levels of GR in response to early social stimuli that may induce long-term changes in HPA functioning.

Juvenile social isolation and enrichment effects on HPA responses or CRH/glucocorticoid receptors have also been explored extensively, however, the majority of studies report few or inconsistent long-term effects on this system (for a review see (Lukkes et al., 2009c)). For instance, in several studies in rats, no effect of post-weaning social isolation was found upon GR and MR levels in the hippocampus (Lukkes et al., 2009b; Schrijver et al., 2002; Weiss et al., 2004). In studies which do report a significant influence of these juvenile experiences, effects are dependent upon sex, the type of stressor utilized and the degree of social isolation and re-socialization (Lukkes et al., 2009c). For instance, male rats that were socially isolated for 3 weeks and then re-socialized for 2 weeks, exhibited an increase in NAc 5-HT release that is mediated by CRH2 receptor activation in the DRn (Lukkes et al., 2009a,c). Indeed, using this paradigm, socially isolated male rats were found to have elevated levels of CRH2 receptors in the DRn which project directly to the NAc, and the behavioral phenotype exhibited by these males, characterized by elevated social anxiety, can be reversed through CRF2r blockade. In the case of juvenile social enrichment, though in general there is an attenuation of the HPA response to stress (Belz et al., 2003), some studies report no change in basal levels (Morley-Fletcher et al., 2003) and others even find an increase (Marashi et al., 2003). Indeed, in cases where social enrichment is able to attenuate elevated stress responses, it appears that this may not be achieved by reversing altered GR expression. For instance, while 7 weeks of post-weaning social enrichment was found to reverse the elevated HPA-axis stress response induced by maternal separation in male rats, no differences in GR levels in the hippocampus were found (Francis et al., 2002a). However, adult male rats that are unmanipulated prior to weaning and then subjected to 30 days of social and physical enrichment have been shown to have elevated GR levels in the hippocampus accompanied by higher GR mRNA expression, induced by elevated levels of nerve growth factor inducible protein A (NGFI-A) (Olsson et al., 1994). Alternatively, the anxiolytic effect of post-weaning environmental enrichment may occur through alterations to CRH receptors as this experience in female mice leads to a reduced expression of CRH1r mRNA in the BLA (Sztainberg et al., 2010).

In adulthood, a single social defeat is associated with prolonged alterations to the HPA stress response and emotional behavior, and changes to the expression of central CRH receptors may underlie some of these long-term changes (Buwalda et al., 1999; Cooper and Huhman, 2007). Studies

using selective and nonselective CRH receptor antagonists injected into the DRn of male Syrian hamsters suggest that CRH1r is utilized for the acquisition of conditioned defeat (*i.e.* a persistent subordinate/inhibited phenotype) following social defeat, and that CRH2r regulates the expression of these stress-related behaviors after defeat (Cooper and Huhman, 2007). Studies have also implicated the activity of CRH receptors in other brain regions as being significant. Acute social defeat followed by 75 min of housing with the aggressor male leads to an increase in CRH2r mRNA expression in activated c-fos expressing neurons of the medial amygdala following defeat (Fekete et al., 2009). In hamsters, administration of a selective CRH2r antagonist into the BNST (but not amygdala) before social defeat prevented defeated males from developing conditioned defeat (Cooper and Huhman, 2007; Jasnow et al., 2004b). However, selective blockade of CRH1r infused into the BLA following social defeat in male mice leads to defeated males failing to show long-term changes in generalized fear responses (Robison et al., 2004). Therefore, there is strong evidence for the role of CRH and its receptors in the long-term acquisition and expression of behavioral changes following social defeat, though the precise role of these neural systems and its interaction with other systems remains to be delineated.

### 3. The role of epigenetic mechanisms in shaping the brain

In considering the behavioral variation that occurs in response to variations in the social environment, the mechanisms underlying persistent increases or decreases in neurotransmitter release, receptor levels and hormonal activation described in the previous sections must be determined. Advances in molecular biology have identified processes through which dynamic yet stable alterations in the activity of genes can be induced. The epigenetic regulation of transcription is a critical feature of the link between genotype and phenotype and refers to those factors which control accessibility of DNA to transcription and which can alter the levels of gene expression (either silencing genes or increasing transcriptional activity) without altering the sequence of DNA. The molecular mechanisms through which these epigenetic effects are achieved are diverse, including histone protein modifications and DNA methylation (Feng et al., 2007; Razin, 1998). Within the cell nucleus, DNA is wrapped around a core of histone proteins which can undergo multiple post-translational modifications including methylation, acetylation and ubiquitination (Peterson and Laniel, 2004; Zhang and Reinberg, 2001). These modifications alter the dynamic interactions between the histones and DNA which either reduce or enhance the accessibility of DNA. In particular, histone acetylation is associated with increased transcriptional activity whereas histone deacetylation or methylation is typically associated with transcriptional repression. Acetylation of histones is mediated by the enzyme histone acetyltransferase (HAT) whereas histone deacetylase (HDAC) promotes removal of the acetyl group from the histone tails. Thus, through alterations in the conformation of histones, the accessibility of DNA can be rapidly and reversibly altered. In contrast, DNA methylation represents what is generally considered a more stable and

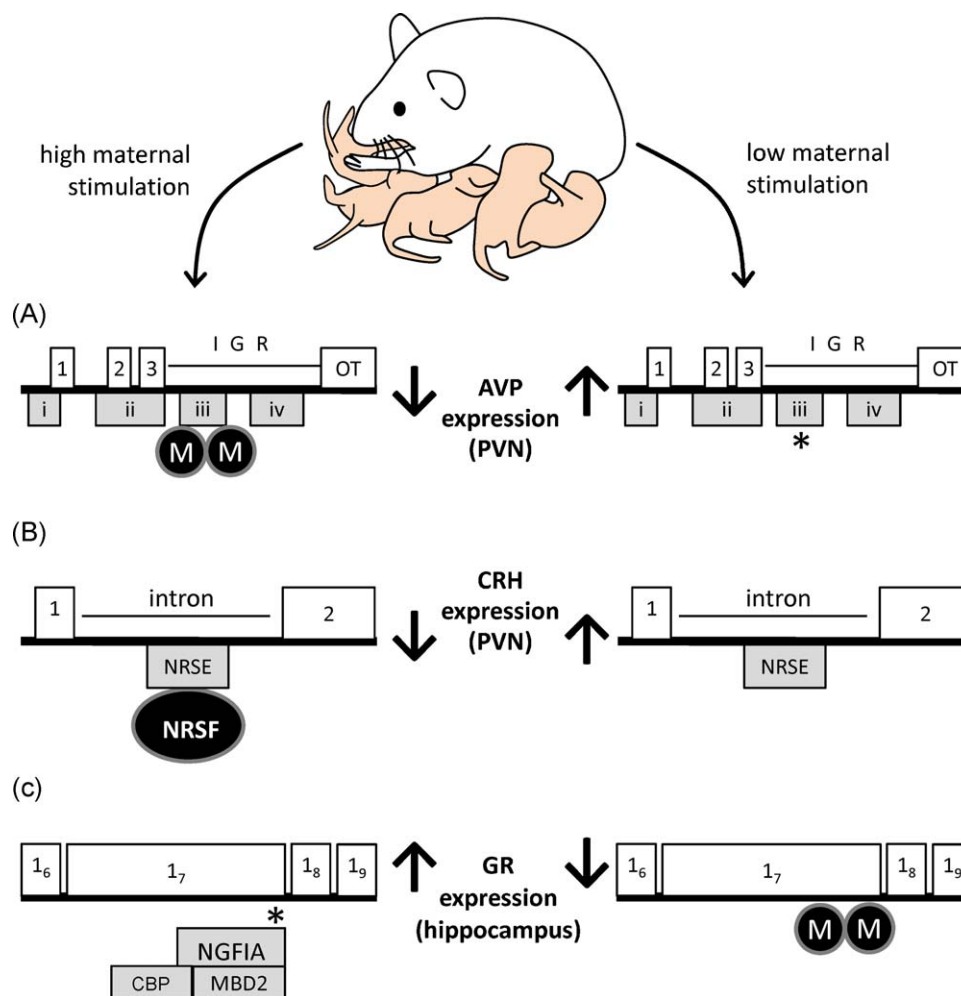
enduring modification to the activity of genes. DNA methylation occurs when cytosine nucleotides, usually located in CpG islands, within DNA become converted to 5-methylcytosine. This process is mediated by methyltransferases which either promotes maintenance (*i.e.* DNMT1) or *de novo* DNA methylation (*i.e.* DNMT3) (Feng et al., 2007; Razin, 1998; Turner, 2001). The conversion to 5-methylcytosine does not alter the DNA sequence but does reduce the likelihood that that sequence of DNA will be transcribed. Methylated DNA attracts methyl-binding proteins, such as MeCP2, which further reduce the accessibility of the gene and is associated with transcriptional repression (Fan and Hutnick, 2005). The stability of DNA methylation patterns within the genome permits the stable regulation of gene expression associated with cellular differentiation. Importantly, during cell division, both DNA and DNA methylation patterns are inherited by daughter cells, thus allowing differentiated cells to transmit their phenotype to the next generation of cells (Fukuda and Taga, 2005).

There is emerging evidence for the role of epigenetics in understanding the persistent effects of environmental exposures on the activity of genes with implications for brain and behavior. Moreover, these epigenetic effects are not limited to nutritional and toxicological exposures, as there is support for the hypothesis that the sustained effects of variations in social experience can be linked to epigenetic variation, particularly in DNA methylation patterns. The link between variations in postnatal maternal care, altered DNA methylation, and long-term changes in behavior in rodents, supports the role of this molecular mechanism in shaping individual differences in neurobehavioral outcomes. As described in the previous sections, natural variations in postnatal maternal LG in the rat are associated with changes in numerous receptor pathways, with effects on hippocampal GR being implicated in the high levels of HPA reactivity observed amongst offspring who receive low levels of LG (Liu et al., 1997). Analysis of the GR 1 $\gamma$  promoter region suggests that low levels of LG are associated with increased GR 1 $\gamma$  methylation, decreased GR expression and an increased HPA response to stress. Time-course analysis has indicated that these maternally induced-epigenetic profiles emerge during the postnatal period and are sustained into adulthood (Weaver et al., 2004). The pathways through which these effects are achieved are currently being elucidated and it appears likely that maternal LG-mediated up-regulation of NGFI-A in infancy may be critical to activating GR transcription and maintaining low levels of DNA methylation within the GR 1 $\gamma$  promoter amongst the offspring of High LG dams (Weaver et al., 2007) (see Fig. 2). Similarly, increased levels of ER $\alpha$  observed in the MPOA of the offspring of High LG dams may be induced through LG-mediated activation of the promoter region of this gene. Analysis of levels of DNA methylation within the 1B promoter region of the ER $\alpha$  gene in MPOA tissue indicates that High LG is associated with decreased promoter methylation whereas Low LG is associated with increased promoter methylation, leading to reduced gene expression and an attenuated response to hormonally primed behaviors (Champagne et al., 2006). Chromatin immunoprecipitation assays demonstrate that this differential DNA methylation has consequences for the binding of transcription factors such as STAT5a to the 1B promoter. Maternal LG is associated with increased levels of STAT5a during the postnatal period and

the increased levels of this factor may lead to sustained activation of transcription and reduced DNA methylation.

An epigenetic response to variations in the quality and frequency of mother–infant interactions has also been demonstrating using postnatal maternal separation and handling. Daily and prolonged maternal separation has effects on a broad range of neurotransmitter and neuropeptide systems, as described in the previous sections, and in a recent study, significant increase in AVP mRNA in the parvocellular neurons of the PVN which persist for a year following this early social experience were confirmed in mice (Murgatroyd et al., 2009). Demonstrating the functional importance of this increased expression, the HPA hyperactivity and behavioral indices of anxiety-like and depressive responses observed amongst maternally separated mice could be attenuated if the mice were treated with a V1Br antagonist. Within the AVP gene, there are four regions rich in CpG islands that could potentially regulate gene expression through DNA methylation. Analysis of PVN tissue reveals that at one of these four regions (CGI3) maternally separated males have significantly reduced DNA methylation compared to control males at 6 weeks, 3 months and 1 year of age. Furthermore, this hypomethylation is significantly correlated with increased mRNA expression, and these effects are brain region-specific as no changes in AVP mRNA or DNA methylation exist between maternally separated and control males in the SON. Analysis of the time-course of the molecular changes involved in this differential methylation suggests that short-term activation of the methyl-binding protein, MeCP2, may be a critical factor within the pathways leading to AVP hypomethylation and increased AVP mRNA levels within the PVN (see Fig. 2). Conversely, in response to brief postnatal maternal separation (handling), reductions in CRH mRNA in the parvocellular neurons of the PVN can be observed as early as PN9 and at this developmental time-point, PVN levels of the vesicular transporter of glutamate (vGlut2) (a marker for the activity of excitatory glutamergic inputs into CRH neurons) is also significantly lower in handled pups (Korosi et al., 2010). However, these alterations in vGlut2 levels are transient and are not observed at later stages of development and, while they may be responsible for short-term changes in CRH mRNA, they cannot explain the long-term changes. Within the regulatory region of the CRH gene resides a binding element (NRSE) for the repressor neuron-restrictive silencer factor (NRSF) (Seth and Majzoub, 2001). When bound, this factor recruits co-factors and other enzymes/proteins involved in epigenetic regulation leading to the repression of gene expression (Zheng et al., 2009). Amongst handled offspring, protein levels of NRSF are dramatically higher in PVN tissue at PN9 and throughout adulthood, suggesting a possible mechanism for the initiation and maintenance of reduced CRH gene expression in response to handling-induced stimulation of mother–infant interactions (Korosi et al., 2010; see Fig. 2). Overall, these studies suggest that both up- and down-regulation of gene expression relevant to emotional phenotypes can be achieved through the recruitment of epigenetic mechanisms in response to early-life social experiences.

In considering the neurobiological targets that are modulated by the social environment, one of the common downstream mediators of many of the neural systems described in this review is BDNF. For example, BDNF plays a critical role in



**Figure 2** Variations in early-life maternal stimulation can alter offspring gene expression *via* epigenetic mechanisms. (A) AVP exons 1, 2, and 3 (numbered white boxes) are separated from oxytocin exons (white box labeled OT) by a highly conserved intergenic region (IGR). CpG DNA methylation sites cluster in four islands (CGI 1–4; grey boxes marked i, ii, iii and iv), with DNA methylation at specific CpG sites within CGI3 (such as CpG10 marked by \* which acts as a binding site for MeCP2) being the most significant for AVP mRNA expression. By PN10, maternal separation induces the phosphorylation of MeCP2 (*via* activation of the protein kinase CaMKII) preventing its functioning, reducing DNA methylation (M) and increasing AVP mRNA expression. These changes in methylation and gene expression persist into adulthood. (B) In the intronic region between exons 1 and 2 (white boxes) of the CRH gene lies its regulatory region that contains a 21 bp sequence (NRSE) that is specifically bound to by the transcriptional repressor NRSF, which then recruits co-factors and induces epigenetic modification of gene expression. By day PN9, handled rat offspring show increased binding of NRSF to the NRSE that reduces CRH gene expression in the PVN permanently into adulthood. (C) Exon 1 of the rat hippocampal GR gene contains multiple regulatory regions that are able to activate gene transcription including the brain-specific exon 1<sub>7</sub> promoter which contains an NGFI-A binding sequence (marked by \*). High levels of tactile stimulation in the form of maternal LG increases the levels of intracellular 5-HT in the hippocampus which activates protein kinase and CREB signaling pathways leading to elevated levels of NGFI-A. When NGFI-A is bound to its binding site on the exon 1<sub>7</sub> promoter it recruits the HAT CREB-binding protein (CBP) and the DNA demethylase MBD2 to increase histone acetylation and decrease DNA methylation (M). This demethylation takes place by day PN6 and persists to adulthood.

the synaptic maturation triggered by GABA signaling (Represa and Ben-Ari, 2005), and increases in BDNF are thought to mediate changes in structural plasticity in the brain associated with elevated levels of estradiol (Sato et al., 2007) and glutamate (Marini et al., 1998). Activation of the HPA response to stress is associated with increases in BDNF (Tapia-Arancibia et al., 2004) and CRH-mediated increases in BDNF can be inhibited through antagonism of CRH1r (Bayatti et al., 2005). Interactions between BDNF and the mesolimbic dopamine system may modulate the response to

reward, effects of psychostimulants, and depression (Berton et al., 2006; Nestler and Carlezon, 2006; Thomas et al., 2008), and BDNF acts during development to shape dopaminergic, serotonergic, and GABAergic neuronal populations (Eaton et al., 1995; Lu et al., 2009; Zhou et al., 1996). Epigenetic effects on BDNF of both postnatal and adult social experiences have been explored, and suggest that the activity of this gene may be highly susceptible to environmental regulation. In a rodent model of postnatal abuse, in which females engage in an increased frequency of rough handling,

dragging, dropping, and stepping-on pups (Roth and Sullivan, 2005), offspring exposed to these abusive social interactions are found to have reduced expression of BDNF in the prefrontal cortex in adulthood associated with increased DNA methylation within the BDNF IV promoter region (Roth et al., 2009). In adulthood, social defeat has likewise been demonstrated to alter BDNF levels. BDNF gene expression is significantly decreased in the hippocampus of socially defeated male mice and this effect appears to be mediated by specific decreases in the BDNF III and IV transcripts (Tsankova et al., 2006). These effects are observed a month following exposure to the social stress, indicating a persistent effect on gene expression. Chromatin immunoprecipitation assay analysis indicates increased histone H3–K27 dimethylation at the BDNF III and IV promoters amongst socially defeated males which may account for the reduced BDNF expression. Histone deacetylase (HDAC5) mRNA levels are also found to be decreased in socially defeated males (Tsankova et al., 2006) and HDAC5 appears to be important in mediating the effects of anti-depressant treatment in males exposed to chronic social stress (Renthall et al., 2007). The differential levels histone H3–K27 dimethylation are also found across the genome within the NAc, both in response to chronic social defeat and prolonged adult social isolation (Wilkinson et al., 2009). Analysis of histone acetylation in the NAc indicates that H3–K14 acetylation is initially decreased and then increased following chronic social defeat associated with decreases in HDAC2 levels. Interestingly, post mortem analysis of brain tissue from depressed patients indicates increases in H3–K14 acetylation and decreased HDAC2 levels similar to those observed in socially defeated mice (Covington et al., 2009), suggesting that there may be an environmentally induced-epigenetic substrate associated with mood disorders in humans.

It is important to note that studies exploring the relationship between social experiences, epigenetic variation, gene expression, and behavioral outcomes have relied primarily on a target gene approach, rather than exploring the global effects of these manipulations. Given the very broad influences of social experiences occurring at different developmental time-points that are illustrated even amongst the subset of targets we have explored in this review, there are likely multiple systems that are regulated through DNA methylation and histone modifications. Investigation of epigenetic regulation within these systems may yield important new insights into the processes through which long-term variations in phenotype are achieved.

## 4. Challenges and future directions

Though there is significant empirical support for the role of epigenetic mechanisms in mediating the effects of social experiences on neurobiological development and behavioral, it is clear that the relationship between the social environment and specific neurobehavioral outcomes, particularly when considering indices of emotionality, is complex. Thus addressing this complexity and identifying issues that need to be considered in the study of the social modulation of brain and behavior are critical steps in advancing our understanding of the origins of depression and anxiety in humans. Here we will highlight several of these issues.

### 4.1. Establishing a consistent link between environmental experiences, neuronal changes, and behavior throughout development

Though we typically design studies to explore the role of a particular neurotransmitter, hormone, or brain region in mediating anxiety or depression, this approach fails to capture the complexity of the interactions between neural systems. Importantly, the impact of activity of one system, can only be considered within the context of the activity in other brain regions and neurotransmitters/receptors. Based on the literature highlighted in this review, it is clear that there are complex and interdependent effects of the social environment on multiple neural systems. A closely related issue is that though there may be some degree of consistency in the effect of social environment on the direction of changes within specific neural systems, this consistency does not always extend to the behavioral phenotypes that emerge in response to particular social experiences. For example, though reductions in AVP are typically associated with reduced anxiety-like behavior, the decreases in AVP mRNA expression in the PVN that has been demonstrated in response to various manipulations of the social environment, including subordinate colony housing (Veenema et al., 2008) and post-weaning social isolation (Ruscio et al., 2007; Tanaka et al., 2010) are associated with increased indices of anxiety-like behavior. One possible explanation for the discordance between neurobiological vs. behavioral changes may be related to the differential time-course through which environmental effects are achieved on these systems. To address this issue, a greater focus on biobehavioral measurements across development rather than at a single time-point in adulthood needs to be incorporated into the study of social modulation of behavior and a consideration of the particular sensitive periods for different neural systems and subsequent behavioral outcomes would help inform the experimental design of these studies. Though challenging to implement, this strategy may also advance our understanding of the pathways through which environmentally induced short-term effects may lead to specific long-term outcomes, as was illustrated by the recent studies of the epigenetic effects on AVP and CRH (Korosi et al., 2010; Murgatroyd et al., 2009) in response to early-life adversity.

### 4.2. Interactive and interdependent influences of early and later-life social experiences on the brain

The influence of the social environment extends beyond the perinatal period, as illustrated by the impact of juvenile and adult social experiences on shaping neurobiological pathways. This plasticity across the lifespan suggests that (1) amelioration of deficits induced by exposures occurring early in development may be achieved through later environmental intervention, and (2) the nature of the effects of later-life experiences may vary dependent on the quality of the social experiences occurring early in development. The effectiveness of post-weaning social enrichment to reverse the effects of maternal separation or low levels of maternal LG illustrates this plasticity. In some cases, these studies suggest that although behavioral deficits can be ameliorated by these



later experiences, the underlying neurobiological and molecular vulnerabilities induced by early-life adversity are not altered. For example, though post-weaning social enrichment reversed the heightened stress-reactivity of maternally separated male rats, it did not alter levels of CRH in the PVN or GR in the hippocampus (Francis et al., 2002a). Similarly, post-weaning social enrichment reverses the depression-like behavior of maternally separated mice, however, it does not reverse the 5-HT<sub>2C</sub> pre-mRNA editing phenotype associated with maternal separation (Bhansali et al., 2007). Moreover, the impact of the post-weaning environment may only be observed in individuals with specific early-life experiences. For example, juvenile enrichment induces increases in hypothalamic and CeA OTR binding exclusively in the offspring of low LG dams, whereas OTR levels are not altered in enrichment-housed offspring of high LG dams (Champagne and Meaney, 2007). These findings highlight the need to take a developmental perspective in which consistency and change in environmental conditions is used as a tool to better understand the influences of social experiences on long-term biobehavioral changes.

### 4.3. Sex-specific effects of the social environment

Many of the long-term behavioral and neuroendocrine changes induced by variations in the social environment during development are sex-specific. For example, maternal separation increases the anxiety-like behavior of both male and female mice but decreases in the levels of OT in the PVN are female-specific (Veenema et al., 2007). Likewise, elevated levels of OTR binding in the BNST and CeA of offspring of high LG dams compared to the offspring of low LG are found in females and not males, while increases in V1Ar binding in the CeA are found in male but not female offspring of high LG dams (Francis et al., 2002b). In most cases, comparisons of the effects of the social environment on males versus females is omitted entirely due to the practical constraints of including a sufficient sample size of both sexes and the difficulty in controlling for hormonally induced behavioral and neuroendocrine changes occurring in cycling females. However, given the observed sex differences in the prevalence of anxiety and depression, the current male-bias in experimental work on the neural mechanisms mediating increased emotionality may overlook key variables relevant to these sex-specific effects. This is particularly important given the role of variations in gonadal hormones on mood and evidence for the influence of social experiences on hormone and steroid receptor levels. In addition, recent studies have demonstrated how variations in the social environment induce sex-specific structural and functional changes in brain regions such as the hippocampus (Oomen et al., 2009), cingulate cortex (Park et al., 2003) and the mPFC (Stack et al., 2010) that are known to mediate emotional behaviors. Interestingly, there is emerging evidence for the role of DNA methylation patterns, DNMT activity, methyl-binding protein levels and co-repressor proteins in shaping sex differences in brain development and behavior (reviewed in McCarthy et al., 2009). These findings may suggest an epigenetic route through which sex differences in vulnerability to early-life experiences and later-life emotional disorder are achieved.

### 4.4. Individual differences in responses to social experiences

One key emerging finding from research into the ontogeny of emotionality is that not all individuals are equally responsive to variations in the social environment, with some being more resilient or vulnerable to particular experiences. These individual differences can emerge from environmental factors such as variations in LG experienced by rat offspring during the first week post partum or from differences in genetic background. A challenge for future research in this field is to identify those genetic or epigenetic variations that mediate this vulnerability (Landgraf et al., 2007). An example of the value of such an approach comes from the studies of social defeat, in which a subgroup of mice have been identified that do not develop the depression-like behavioral changes following agonistic social experiences (Krishnan et al., 2007). Resilience to social defeat may be achieved through both genetic and epigenetic pathways. Mice with a naturally occurring single nucleotide polymorphism in the BDNF gene, which results in a 50% reduction in BDNF protein levels in the NAc, are not susceptible to the long-term effects of chronic defeat (Krishnan et al., 2007). At the epigenetic level, resilient mice show a strikingly different profile of dimethylK9/K27–H3 methylation amongst genes expressed in the NAc compared to vulnerable and undefeated mice, demonstrating that becoming resilient is an active process (Wilkinson et al., 2009). These studies highlight the diverse origins of individual differences in vulnerability and resilience and demonstrate how insights into the molecular and cellular substrates of emotionality can be achieved through incorporating the study of individual variation into research designs.

### 4.5. Translational studies on epigenetic effects in humans

The translation to humans of the findings regarding epigenetic changes within the brain which are dynamically altered by social experiences is limited by the inaccessibility of brain tissue and the lack of *in vivo* strategies for studying these effects during development. One approach has been to study post mortem brain tissue in cases where there are either well-characterized developmental experiences or diagnoses of particular psychiatric outcomes. In a recent series of studies, the long-term effects of childhood abuse on hippocampal DNA methylation patterns was examined, with findings suggesting that a history of childhood abuse is associated with decreased GR expression and elevated GR 1F promoter methylation (McGowan et al., 2008, 2009). These studies also confirm the potential role of NGFI-A as a mediator of differential GR promoter methylation. Amongst suicide victims with a diagnosis of major depression, levels of DNMT are elevated and within the frontopolar cortex, and there are elevated levels of DNA methylation within the promoter region of the GABA<sub>A</sub> receptor  $\alpha 1$  subunit, which has reduced expression within suicide/major depressive disorder brains (Poulter et al., 2008). Within the NAc of depressed patients, there are increases in H3–K14 acetylation and decreased HDAC2 levels, which mirror the effects found in response to social defeat in mice. Epigenetic dysregulation has been

identified in the brains of bipolar and schizophrenia patients (Veldic et al., 2005) and, in particular, on methylation levels within the reelin gene promoter (Abdolmaleky et al., 2005; Chen et al., 2003; Grayson et al., 2005). Though these findings suggest an epigenetic pathway associated with psychiatric illness, it is important to note that in using this approach, it is not possible to determine whether these DNA methylation changes are causes or consequences of mental illness.

A second approach to studying epigenetic variation in humans in response to early-life experiences has been to examine DNA methylation within peripheral blood mononuclear cells (PBMC). Using this approach, monozygotic twins discordant for schizophrenia have been found to have differential methylation within the 5'-regulatory region of the dopamine D2 receptor gene (DRD2) (Petronis et al., 2003). Analysis of cord blood samples of neonates born to depressed mothers indicates increased methylation within the GR promoter which is correlated with stress responses measured in infancy (Oberlander et al., 2008). Early-life effects on GR signaling pathways in humans are further illustrated by a recent genome wide analysis of gene expression of PBMCs from healthy adults who had experienced conditions of low vs. high socioeconomic (SES) status during childhood, with low childhood SES associated with a down-regulation of genes containing GR response elements (Miller et al., 2009). Though epigenetic mechanisms were not explored in this study, these findings provide support for the hypothesis that social regulation of gene expression may predispose individuals to heightened stress and immune reactivity with implications for subsequent risk of mood disorders. The validation of the use of peripheral gene expression and DNA methylation levels to predict changes in the brain relevant to the pathophysiology of mood disorders will be a critical methodological step in developing novel strategies for studying the molecular targets linking social experiences and brain development in humans.

#### 4.6. Implications for designing novel therapeutic strategies

The role of DNA methylation and histone modifications in sustaining the effects of early-life experiences suggests a possible epigenetic target for therapeutic intervention. In the rodent studies discussed in previous sections, this approach has been successfully implemented to reverse the effects of postnatal experiences on DNA methylation, gene expression, physiology and behavior. Infusion of trichostatin A (TSA), a drug that promotes histone acetylation and reduced methylation, in adult offspring who had received low levels of maternal LG in infancy, induces decreased hippocampal GR methylation, increased GR mRNA and decreased response to stress (Weaver et al., 2004). In contrast, adult offspring who had received high levels of maternal LG in infancy can be given a 2-week treatment with methionine, which results in increased availability of methyl groups, and observed to have increased hippocampal GR methylation, decreased GR expression and a heightened behavioral response to stress (Weaver et al., 2005). Central administration of zebularine, a compound that reduces DNA methylation, leads to increased BDNF

expression in maltreated rats such that BDNF levels are equivalent amongst abused and non-abused offspring (Roth et al., 2009). Moreover, the depressive-like phenotype induced by social defeat, can be reversed with an HDAC inhibitor infused into the NAc (Covington et al., 2009). The effect on gene expression of this pharmacological targeting of histones is very similar to that achieved using fluoxetine. Thus, there is strong support for the validity of epigenetic therapy in the amelioration of the effects of early- and later-life social experiences which may provide novel therapeutic strategies. However, the side-effects of such a strategy, when applied to human interventions must be carefully considered, particularly when peripheral routes of drug administration are being used.

## 5. Conclusions

Advancements in the study of the biological basis of mood disorders have been achieved through developmental studies in which detailed characterization of the social environment is combined with neurobiological, behavioral and, more recently, with epigenetic analysis. Continued refinement of this approach, incorporating assessment of multiple neural targets, particularly those which have been demonstrated to be modulated by social experiences, within research designs where individual differences, sex-specific effects, and differentiation of short- and long-term mechanisms in mediating neuroendocrine and behavioral outcomes is essential to moving this field forward. Experimental approaches in which consistency in the link between social experiences and bio-behavioral outcomes is established will be essential for creating a framework for examining the epigenetic pathways through which dynamic yet stable variations in gene activity serve as a biological marker of increased risk or resilience to psychiatric illness.

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## Conflict of interest

None declared.

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