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## 1 Estrogen receptor profiling and activity in cardiac myocytes

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### 19 20 Abstract

21  
22 Estrogen signaling appears critical in the heart. However a mechanistic  
23 understanding of the role of estrogen in the cardiac myocyte is lacking. Moreover,  
24 there are multiple cell types in the heart and multiple estrogen receptor (ER)  
25 isoforms. Therefore, we studied expression, localization, transcriptional and  
26 signaling activity of ERs in isolated cardiac myocytes. We found only *ERα* RNA  
27 (but no *ERβ* RNA) in cardiac myocytes using two independent methods. The vast  
28 majority of full-length *ERα* protein (*ERα66*) localizes to cardiac myocyte nuclei  
29 where it is competent to activate transcription. Alternate isoforms of *ERα*  
30 encoded by the same genomic locus (*ERα46* and *ERα36*) have differential  
31 transcriptional activity in cardiac myocytes but also primarily localize to nuclei. In  
32 contrast to other reports, no *ERα* isoform is competent to activate MAPK or PI3K  
33 signaling in cardiac myocytes. Together these data support a role for *ERα* at the  
34 level of transcription in cardiac myocytes.

### 35 36 Keywords

37  
38 Estrogen, cardiac myocytes, estrogen receptors, estradiol

### 39 40 Highlights

- 41  
42
- 43 • Estrogen receptor- $\alpha$  is the predominant estrogen receptor in cardiac myocytes.
  - 44 • Estrogen receptor- $\alpha$  localizes primarily to cardiac myocyte nuclei.
  - 45 • Estrogen receptor- $\alpha$  can regulate transcription in cardiac myocytes.
  - 46 • Estrogen receptor- $\alpha$  cannot rapidly activate MAPK/PI3K pathways in these

1 cells.

- 2 • Estrogen receptor- $\beta$  is not detectable in cardiac myocytes.

3

#### 4 **Abbreviations**

5

6 ER $\alpha$ , Estrogen-receptor-alpha; E2, estrogen or 17 $\beta$ -estradiol; PI3K,  
7 phosphatidylinositide 3-kinase; MAPK, Mitogen Activated Kinase; RVM, rat  
8 ventricular myocyte

9

#### 10 **Introduction**

11

12 Sex hormone status correlates strongly with cardiovascular health in men  
13 and women [1, 2]. This observation, in conjunction with numerous experimental  
14 animal models, suggests sex hormones (like estrogen (E2)), and their receptors  
15 may be important regulators of cardiac health and disease [3, 4]. Decades of  
16 research have demonstrated the importance and complexity of estrogen's  
17 actions through its two receptors; particularly in breast cancer cells. It has been  
18 demonstrated that both estrogen receptors, ER $\alpha$  and ER $\beta$ , can signal in a variety  
19 of ways. The classical, genomic mechanism of estrogen signaling involves  
20 ligand-dependent DNA or transcription factor binding and subsequent regulation  
21 of transcription [5]. Palindromic hormone response elements in DNA called  
22 estrogen response elements (EREs, AGGTCAnnnTGACCT) provide an optimal  
23 recognition sequence for liganded ER dimer and heterodimer binding [6],  
24 although transcription regulation can also occur through interaction of ER's with  
25 other transcription factors or ER recognition of variants of the consensus ERE  
26 sequence [7, 8].

27

28 Nongenomic mechanisms of estrogenic action have been more recently  
29 described (reviewed in [9]). These estrogen-initiated signaling events occur on  
30 the order of seconds to minutes and are considered much too rapid to be  
31 attributable to traditional genomic signaling mechanisms. Thus, E2-ER action can  
32 occur through at least two distinct mechanisms. Whether both mechanisms of  
33 estrogen signal transduction occur in cardiac myocytes remains understudied.

34

35 While reports using overexpression of *ERs* or *ER* knockout mice (KO)  
36 suggest these receptors have important and distinct cardiac roles, these studies  
37 are confounded by the systemic effects of global ER deletion, as ER $\alpha$ KO mice  
38 have increased levels of circulating estrogen, are obese, and have metabolic  
39 syndrome and ER $\beta$ KO mice exhibit hypoxia and high blood pressure [10-15].  
40 These studies highlight the need for additional studies to better understand ER-  
41 E2 signaling within specific cell types in the heart. Because experiments  
42 described here demonstrate that *ER $\beta$*  mRNA is undetectable in cardiac myocytes  
43 (see Figure 1), we focused on understanding the signaling mechanisms of *ER $\alpha$*   
44 in cardiac myocytes.

45

46 Similar to other nuclear hormone receptor genes, the human *ER $\alpha$*  locus is  
47 complex and undergoes alternative splicing and promoter usage with the isoform  
48 encoding a 66 kDa protein (ER $\alpha$ 66) considered full length [16, 17]. Several *ER $\alpha$*   
49 isoforms have been reported. A 46 kDa N-terminal truncation of full length *ER $\alpha$*

1 was first identified in human MCF7 breast cancer cells [18]. *ER $\alpha$ 46* is transcribed  
2 from an alternative promoter and lacks the AF-1 transactivation domain of full  
3 length *ER $\alpha$ 66* but is otherwise identical. *ER $\alpha$ 46* expression has been observed in  
4 endothelial cells, ovary, lung and kidney [18, 19]. Interestingly, a 46 kDa band  
5 was also identified in the membrane fraction of adult cardiac myocyte lysates  
6 using an ER $\alpha$  antibody [20], suggesting a potential role for this ER $\alpha$  variant in  
7 cardiac myocytes.

8 Microscopic and biochemical analyses have localized the *ER $\alpha$ 46* splice  
9 variant to the plasma membrane and cytosol of cell types in which it has been  
10 identified [19, 20] although it is also competent to activate transcription [21]. A  
11 single report suggests colocalization of cardiac myocyte membrane ER $\alpha$ 46 with  
12  $\alpha$ -actinin at T-tubular membranes using immunofluorescence of rat cardiac  
13 myocytes [20]. Similarly, immunofluorescence was used to localize ER $\alpha$  to  
14 myocyte sarcolemma and intercalated discs in human cardiac myocytes [22].  
15 Although these data are suggestive of a role for ER $\alpha$ 46 in regulating myocyte  
16 contraction dynamics or structure, these findings remain to be recapitulated using  
17 an antibody-independent assay. Consistent with its localization at the membrane  
18 or in the cytosol, ER $\alpha$ 46 has been reported to induce rapid, non-genomic  
19 signaling in human breast cancer cells and endothelial cells [19, 23]. Whether  
20 ER $\alpha$ 46 plays a similar role in cardiac myocytes remains to be determined. Given  
21 the troublesome nature of steroid hormone receptor antibodies (Supplemental  
22 Figure 1) [24], antibody-independent localization for ER $\alpha$  isoforms could better  
23 support their specific cellular roles.

24 A more recently identified human ER $\alpha$  variant, *ER $\alpha$ 36*, is also truncated at  
25 the N-terminus and therefore lacks the A/B AF-1 domain. Additionally, *ER $\alpha$ 36*  
26 lacks the C-terminal activation domain of full length ER $\alpha$ 66 and ER $\alpha$ 46 and  
27 instead contains a unique C-terminal sequence encoded further downstream [25].  
28 *ER $\alpha$ 36* is transcribed from a promoter located in the first intron of *ER $\alpha$*  and its  
29 expression has been observed in multiple cell and tissue types including several  
30 breast cancer cell lines and a number of different mouse tissues [25-27]. When  
31 overexpressed in HEK293 (Human Embryonic Kidney) cells or MCF7 breast  
32 cancer cells *ER $\alpha$ 36* has been shown to regulate rapid signaling pathways such  
33 as the pERK/MAPK pathway [28]. The demonstrated ability of cardiac myocytes  
34 to also respond rapidly to estrogen treatment through activation of analogous  
35 pERK/MAPK signaling [29] and the importance of the pERK/MAPK pathway in  
36 regulating cardiac myocyte biology [30, 31] call for a more thorough investigation  
37 of the ability of specific ER $\alpha$  isoforms to regulate these pathways in cardiac  
38 myocytes.

39 As described above, ER $\alpha$  isoforms can function both as nuclear  
40 transcription factors and cytoplasmic signaling activators when bound by E2.  
41 Further, ERs have been shown to differentially localize depending on cell type or  
42 stimulus [20, 32]. Both ER $\alpha$  and ER $\beta$  mRNA and protein have been reported in  
43 total heart lysates, but there are many cell types in hearts [22, 33]. Overall ER  
44 abundance in cardiac myocytes remains controversial due to the use of  
45 antibodies of questionable specificity [24]. Live-cell and/or antibody-independent  
46 imaging of ER localization in cardiac myocytes have not yet been reported. This

1 type of analysis may provide clues to ER function in the heart. Considering the  
2 ubiquity of hormone replacement therapy, these data also provide important  
3 guidance for studies focusing on both cardiac and non-cardiac disease  
4 prevention and intervention. Therefore, we examined ER expression along with  
5 nuclear, cytoplasmic, and membrane distribution of three ER $\alpha$  isoforms and the  
6 contribution of estrogen signaling from each subcellular compartment in rodent  
7 cardiac myocytes. These studies help reveal the cellular location from which  
8 important downstream signaling events originate in cardiac myocytes and may  
9 inform more targeted cardiac myocyte-relevant ER therapeutics.

## 10 **Materials and Methods**

### 11 *Animals*

12  
13 All animal protocols were approved by the Institutional Animal Care and  
14 Use Committee at the University of Colorado at Boulder. Mice and rats were fed  
15 *ad libitum* standard rodent chow and housed in a facility with a 12 hour light, 12  
16 hour dark cycle. Wild-type C57Bl/6J mice (Jackson Laboratories) were used for  
17 left ventricular gene expression studies. For sample collection, mice were  
18 sedated using 1–4% inhaled isoflurane and sacrificed by cervical dislocation.  
19 Hearts were excised and perfused in ice cold PBS. Left ventricles (LVs) were  
20 then isolated and flash frozen in liquid nitrogen.  
21  
22

### 23 *Cardiac myocyte isolation*

24  
25 Neonatal rat ventricular myocytes (neonatal-RVMs) were isolated from 1  
26 day old Spague-Dawley pups (Charles River Laboratories) as previously  
27 described [34]. Briefly, hearts were harvested, atria removed, and ventricles  
28 digested with trypsin. Fibroblasts were removed by preplating the trypsin-  
29 digested cell preparations. Adult rat ventricular myocytes (adult-RVMs) were  
30 isolated from Sprague-Dawley rats (Charles River Laboratories) as previously  
31 described [35]. Briefly, hearts were harvested then digested with collagenase  
32 (Worthington Biochemical) using a Langendorff perfusion apparatus. Following  
33 dissection of the left ventricle, myocytes were enriched using mesh filtration and  
34 successive centrifugation in increasing amounts of calcium solution. Neonatal-  
35 RVMs were cultured as described [34] except for experiments in which phenol  
36 red was omitted from the culture medium. For these experiments, cells were  
37 maintained in MEM 1X 51200-038 (ThermoFisher) with 2 mM L-glutamine (Gibco  
38 25030-081).  
39

### 40 *Gene expression*

41  
42 Total RNA was purified using TRI Reagent (Ambion) according to the  
43 manufacturer's protocol. cDNA was synthesized using SuperScript III reverse  
44 transcriptase (Invitrogen) and random hexamer primers. Gene expression was  
45 determined by qRT-PCR using SYBR Green dye (Invitrogen) and gene specific  
46 primer sets (Supplemental Table 1). Data were collected and analyzed using a

1 Bio-Rad CFX-96 Real-Time PCR system.

2

3 *ER $\alpha$  overexpression studies*

4

5 For studies of *ER $\alpha$*  localization, the human *ER $\alpha$ 66*, *ER $\alpha$ 46*, or *ER $\alpha$ 36*  
6 cDNA open reading frame was cloned into pEGFP-N1 (Addgene) using *EcoRI*  
7 and *BamHI* restriction sites to terminally tag each isoform with EGFP. For each  
8 construct, a flexible linker (CCACCGGTCGCCACCATG) was placed between  
9 the *ER $\alpha$*  sequence and the EGFP sequence. The EGFP tag was placed on the  
10 carboxy-terminus as previous studies suggest that accessibility of the N-terminus  
11 is critical for palmitoylation-regulated targeting of *ER $\alpha$*  to the cell membrane [19].

12 *Subcellular fractionation and western blotting*

13

14 Cells were fractionated according to the manufacturer's protocol (Cell  
15 fractionation kit, NEB 9038). Following fractionation, lysates were sonicated in a  
16 water bath, boiled, and centrifuged. Fractions were then analyzed by western blot  
17 as follows. 15  $\mu$ L of lysate was loaded onto a 10% SDS-PAGE gel. Fractionation  
18 was confirmed using the following antibodies: Histone 3 (Cell Signaling 4499s):  
19 Nuclear fraction, Caveolin-3 (Santa Cruz 5310): Membrane fraction, and Gapdh  
20 (Cell Signaling 2118): Cytoplasmic fraction. EGFP-tagged *ER $\alpha$*  was then  
21 detected using anti-GFP (Santa Cruz 8334). GFP quantification in each fraction  
22 was performed using ImageJ.

23

24 *Adenoviral constructs*

25

26 Adenovirus production was performed using the AdEasy-1 kit (Qbiogene)  
27 with modifications [36]. Briefly, after subcloning each GFP-tagged isoform from  
28 pEGFP-N1 into pShuttle-CMV, the shuttle vector was linearized with *PmeI* and  
29 homologously recombined with pAdEasy in bacteria. Successfully recombined  
30 plasmids were linearized with *PacI* and transfected into HEK293 cells stably  
31 expressing the E1 protein to complement pAdEasy for replication competence.  
32 Virus was amplified by serial passage on HEK293 cells (ATCC), then virus was  
33 isolated from the lysates by sequential step and equilibrium density CsCl  
34 gradients. Purified virus was stored at -20°C in 100 mM Tris pH 7.5, 250 mM  
35 NaCl, 1 mM MgCl<sub>2</sub>, 1 mg/ml BSA, 50% glycerol. Infectivity of each viral  
36 preparation was determined by plaque titering on HEK293 cells. Multiplicity of  
37 infection (MOI) for each virus was chosen such that final protein expression was  
38 comparable between *ER $\alpha$*  isoforms and >90% of cells were EGFP-positive for  
39 *ER $\alpha$* -containing adenoviruses. MOIs used for Adeno-EGFP-only, Adeno-*ER $\alpha$ 36*,  
40 Adeno-*ER $\alpha$ 46*, and Adeno-*ER $\alpha$ 66* were 2, 0.5, 6, and 0.3 respectively for  
41 neonatal-RVMs and 70, 15, 60, and 33 for ARVMs.

42

43 *Microscopy*

44

45 Cells were plated on 1% gelatin (neonatal-RVMs) or 10  $\mu$ g/mL laminin in  
46 PBS (adult-RVMs) coated glass coverslips. Twenty-four hours post-infection,

1 cells were treated with vehicle (0.1% ethanol) or 100 pM 17 $\beta$ -estradiol for 5  
2 minutes. For the antagonist experiment in Supplemental Figure 4, cells were  
3 treated with vehicle or 100nM Fulvestrant (ICI 182,780 – Sigma) one hour prior to  
4 the addition of 17 $\beta$ -estradiol. Cells were then fixed in 2.5% paraformaldehyde for  
5 5 minutes and stained with F59 (anti-myosin) and nuclei were visualized with  
6 DAPI. All samples were imaged on a Nikon TiE inverted microscope. Fixed  
7 neonatal-RVMs in Figure 3 were imaged using a Nikon Plan Apo 100x 1.45NA oil  
8 objective and illuminated with a Sola Light Engine with the appropriate filter  
9 cubes for DAPI, GFP, and TRITC. Widefield fluorescent images of neonatal-  
10 RVMs used for Supplemental Figure 4 were acquired with a Nikon Plan Apo 20x  
11 0.75 NA air objective and an Andor Ixon 897 EMCCD with the EM gain set to 300  
12 and a bin factor of 1. The exposure times were unique for each channel as to  
13 utilize the dynamic range of the camera, and were applied consistently for all the  
14 acquired images. A 5x5 matrix of images using 5% overlap was acquired for  
15 analysis with the Nikon Perfect Focus System engaged. Confocal fluorescent  
16 images of the Adult-VRMs in Supplemental Figures 5 and 6 were acquired using  
17 a Nikon A1R laser scanning confocal on an inverted Ti-E microscope. A Nikon  
18 Plan Apo 100x 1.45 NA oil objective was used to capture each z-stack, ensuring  
19 that each stack encapsulated the entirety of the myocyte. The step size was set  
20 to 300nm. The XY resolution was set to 120nm pixels (Nyquist sampling rate),  
21 and the pinhole was set to 1.2 Airy units. From the laser combiner, 405nm,  
22 488nm, and 561nm lasers were used to sequentially excite the corresponding  
23 fluorophores of DAPI, GFP, and TRITC. An Andor Ixon3 DU897 was used to  
24 acquire all of the fluorescent images. All of the widefield neonatal-RVM images  
25 quantified in Supplemental Figure 4 were analyzed using Fiji version 2.0.0-rc-  
26 43/1.50g. Briefly, TRITC channel was used to determine the perimeter of each  
27 myocyte, with each cell identified by a unique region of interest number. Then,  
28 individual threshold values were applied to the DAPI and GFP channels in order  
29 to remove the background signal before each was converted to a binary image.  
30 The ROIs determined by TRITC were then applied to the binary DAPI and GFP  
31 channels. The total area of each ROI and the percent areas covered by the DAPI  
32 and GFP channels were then determined. The data was then segmented to  
33 determine the number of infected cells as well as the number of cytosolic  
34 infections. Once the appropriate thresholding conditions were determined, these  
35 values were applied to all of the acquired data sets using a custom Fiji macro.

### 36 *Reporter assays (ERE-luciferase)*

37

38 Neonatal-RVMs plated in 6-well dishes (400,000 cells/well) were serum  
39 starved for 24 hours and infected with *ER $\alpha$ -EGFP* adenoviruses or control *EGFP-*  
40 *only* adenovirus along with *ERE-luciferase* and control  *$\beta$ -galactosidase* encoding  
41 adenovirus. *ERE-luciferase* adenovirus encodes 3 tandem ERE sites (from the  
42 *Gallus gallus Vitellogenin* sequence) upstream of the *E1A* TATA box.  *$\beta$ -*  
43 *galactosidase* adenovirus encodes the *E.coli  $\beta$ -lactamase* gene behind the *CMV*  
44 promoter. 4 hours after infection, cells were treated with either vehicle (0.1%  
45 ethanol) or 100 pM 17 $\beta$ -estradiol (Sigma). 12 hours after hormone treatment (16  
46 hours post infection), cells were lysed in 200  $\mu$ L of Reporter Lysis Buffer

1 (Promega E3971). Luciferase activity was quantified using 50  $\mu$ L LARI substrate  
2 (Promega E1500) and 10  $\mu$ L of cell lysate. Luciferase activity was normalized to  
3  $\beta$ -galactosidase activity using  $\beta$ -Galactosidase Enzyme Assay System (Promega  
4 E2000).

#### 5 6 *Signaling activation*

7  
8 24-hour serum-starved neonatal-RVMs were isolated and infected with  
9 *ER $\alpha$ -EGFP* adenoviruses or control, GFP-only adenovirus. 36-40 hours post-  
10 infection, *ER $\alpha$ -EGFP* expression was confirmed using live-cell fluorescence  
11 microscopy. Cells were treated with either vehicle (0.1% ethanol), EGF  
12 (recombinant rat EGF, ScienCell #145-04, 0.01  $\mu$ g/mL), or 100 pM 17 $\beta$ -estradiol  
13 for 5 minutes, washed in PBS, and lysed in RIPA buffer (50 mM Tris pH 8.0, 150  
14 mM NaCl, 1% NP40, 0.5% Na-deoxycholate, 0.1% SDS, complete protease  
15 inhibitor cocktail (Roche) and the following phosphatase inhibitors: 1 mM PMSF,  
16 2mM NaF, 2 mM NaPPi, 1 mM Beta-Glycerophosphate, 1 mM Na-molybdate  
17 dihydrate, and 1 mM Na-Orthovanadate). Lysates were sonicated in a water bath  
18 and precleared by centrifugation. Lysate protein concentration was determined  
19 by Bicinchoninic Acid (BCA) assay (Pierce 23225) for protein quantification. 10  
20  $\mu$ g of protein were then resolved on a 4-12% Bis-Tris SDS-PAGE gel (Life  
21 Technologies) and probed with antibodies for pAkt (Cell Signaling 9275s, 1:1000  
22 dilution), Akt (Cell Signaling 9272, 1:2000 dilution), ppERK (Cell Signaling 9101s,  
23 1:1000 dilution), ERK (Cell Signaling 9102s, 1:2000 dilution), and Tubulin (Sigma  
24 t7816). Quantification was performed using ImageJ.

#### 25 26 *ER $\alpha$ immunoblot*

27  
28 Total cell lysates were generated using RIPA buffer as described above.  
29 ER $\alpha$  antibody was purchased from Santa Cruz Biotechnology (sc-542).

#### 30 31 *Data and statistical analysis*

32  
33 Data are presented as mean  $\pm$  SEM. Differences between groups were  
34 evaluated for statistical significance using Student's two-tailed t test (two groups)  
35 or one-way ANOVA (more than two groups) followed by Tukey's post-hoc test for  
36 pairwise comparisons. For comparisons between multiple treatments and groups,  
37 two-way ANOVA was performed followed by Tukey's post-hoc test. *P* values less  
38 than 0.05 were considered significant unless otherwise noted. Nuclear size  
39 outliers in the image analysis datasets shown Supplemental Figure 4 were  
40 identified using the ROUT method [37] with a Q value of 1 and were excluded  
41 from the final analysis.

## 42 43 **Results**

### 44 45 *ER expression in cardiac myocytes*

1 *ER* mRNA expression was quantified in isolated rat cardiac myocytes as  
2 well as mouse left ventricular myocardium (LV) (Figure 1). We measured and  
3 compared expression of *ER* $\alpha$  and *ER* $\beta$  mRNA in the LV of 3 month- and 6  
4 month-old adult mice of both sexes. (Figure 1A,B). *ER* $\alpha$  expression was readily  
5 detectable while *ER* $\beta$ , had average Threshold Cycle ( $C_T$ ) values >38 for all  
6 mouse LV samples analyzed. Both *ER*s were abundantly expressed in positive  
7 control mouse ovary (Figure 1A,B). *ER* $\alpha$  expression did not differ between male  
8 and female mouse LV's nor between ages, in agreement with human studies [22].

9 Similarly, only *ER* $\alpha$  mRNA was detectable in both neonatal rat ventricular  
10 myocytes (neonatal-RVMs) and female and male adult rat ventricular myocytes  
11 (adult-RVMs) (Figure 2). Expression of *ER* $\alpha$  was approximately 3-fold higher in  
12 adult-RVMs compared to neonatal-RVMs (Figure 2). *ER* $\beta$  was undetectable in  
13 neonatal-RVMs and adult-RVMs from either sex (data not shown). Additionally,  
14 RNA-sequencing (RNA-Seq) experiments demonstrated that *ER* $\alpha$  was expressed  
15 in both male and female adult-RVMs, but *ER* $\beta$  expression was not detectable  
16 (data not shown, Blenck *et al.*, in preparation).

17 To determine whether *ER* $\alpha$  expression was altered by estrogen treatment,  
18 we isolated neonatal-RVMs and adult-RVMs from male and female animals and  
19 treated each with either vehicle or a physiological dose (100 pM) of 17 $\beta$ -estradiol  
20 (estrogen) (Figure 2). In agreement with *ER* $\alpha$  mRNA levels in male and female  
21 mouse myocardium, we found that *ER* $\alpha$  mRNA levels in isolated rat cardiac  
22 myocytes were similar between males and females. Further, in both neonatal-  
23 RVMs and male and female adult-RVMs *ER* $\alpha$  mRNA levels were not changed  
24 following 24 hours of estrogen treatment.

### 25 *ER* $\alpha$ localization in cardiac myocytes

26  
27  
28 We next asked whether the subcellular localization of *ER* $\alpha$  could inform its  
29 mechanism of action in cardiac myocytes. Since *ER* $\alpha$  variants have been  
30 implicated in non-genomic signaling [28, 38, 39], we also asked whether  
31 alternate *ER* $\alpha$  isoforms displayed differential localization and/or signaling  
32 competencies compared to full length *ER* $\alpha$ , as has been observed in other cell  
33 types [20, 25, 28, 38, 40].

34 Multiple antibodies for *ER* $\alpha$  demonstrated poor specificity in our hands  
35 (Supplemental Figure 1), therefore GFP-tagged *ER* $\alpha$  isoforms were studied (see  
36 Methods). Adenoviruses were made using the fluorophore-tagged *ER* $\alpha$   
37 constructs to allow for increased efficiency and uniformity of expression in  
38 neonatal-RVMs as well as expression in adult-RVMs which cannot be transfected.  
39 Appropriately sized *ER* $\alpha$ -EGFP proteins were easily detectable in neonatal-  
40 RVMs (Supplemental Figure 2) at no obvious cost to cell health or viability (data  
41 not shown).

42 Localization of each *ER* $\alpha$  isoform was assessed following 5 minutes of 100  
43 pM estrogen treatment or vehicle using both high resolution fluorescence  
44 microscopy (Figure 3) and subcellular fractionation followed by immunoblot  
45 analysis (Figure 4). Following adenoviral-mediated *ER* $\alpha$ -EGFP overexpression,  
46 neonatal-RVMs were estrogen treated and immunostained with an anti-myosin

1 antibody (F59) and stained with DAPI to label DNA and imaged using confocal  
2 microscopy. As shown in Figure 3, all three EGFP-tagged ER $\alpha$  variants displayed  
3 primarily nuclear localization, independent of estrogen treatment. We did not  
4 observe any EGFP-tagged ER $\alpha$ 36, ER $\alpha$ 46 or ER $\alpha$ 66 co-localizing with myosin or  
5 another striated structure, in contrast to previous reports with antibody  
6 localization [20, 22]. Similar patterns of ER $\alpha$  localization were also observed  
7 using N-terminal EGFP tags (data not shown) and a comparably smaller, Myc tag  
8 (Supplemental Figure 3). ER $\alpha$  localization was not affected by pre-treatment with  
9 an ER antagonist (ICI 182,780) nor the presence of phenol red in media  
10 (Supplemental Figure 4).

11 To confirm our microscopic finding of nuclear localization of all three ER $\alpha$   
12 variants, we performed subcellular fractionation of neonatal-RVMs. Neonatal-  
13 RVMs were infected with adenoviruses encoding EGFP-tagged ER $\alpha$  variants.  
14 Cells were then briefly treated with estrogen (or vehicle) and partitioned into  
15 cytoplasmic, nuclear/cytoskeletal, and membrane/organelle fractions. Lysates  
16 from each fraction were run on an SDS-PAGE gel and probed for ER $\alpha$ -EGFP  
17 abundance using a GFP antibody. Quantification of these experiments is shown  
18 in Figure 4A. To confirm efficiency of fractionation, fractions were also probed for  
19 markers of each fraction (Figure 4B). This biochemical analysis revealed similar  
20 subcellular localization patterns for all three ER $\alpha$  isoforms. In all cases and in  
21 agreement with our fluorescence microscopy studies, regardless of estrogen  
22 status, each ER $\alpha$  isoform localized primarily to cardiac myocyte nuclei. The  
23 nuclear subcellular localization of each ER $\alpha$  variant was also confirmed in both  
24 male and female adult-RVMs using fluorescence microscopy (Supplemental  
25 Figures 5 and 6).

### 26 27 *ER $\alpha$ isoform transcription activity in cardiac myocytes*

28  
29 Each ER $\alpha$  isoform was then interrogated for its ability to regulate  
30 transcription of a synthetic estrogen responsive (*ERE*) reporter construct.  
31 Neonatal-RVMs were infected with adenovirus encoding each of the three *ER $\alpha$*   
32 isoforms and concurrently infected with adenovirus encoding a synthetic *ERE-*  
33 *luciferase* reporter as well as with adenovirus encoding  $\beta$ -*galactosidase* under  
34 the control of a constitutive promoter for normalization purposes. Cells were then  
35 treated with either vehicle or 100 pM estrogen for 12 hours after which luciferase  
36 activity was quantified. As shown in Figure 5A, luciferase induction varied among  
37 *ER $\alpha$*  isoforms with *ER $\alpha$ 66-EGFP* mediating the greatest induction. As expected,  
38 based on its truncated N-terminal transactivation domain, *ER $\alpha$ 46-EGFP* showed  
39 lower activation of *ERE-luciferase* similar to what has been observed in other cell  
40 types [21]. *ER $\alpha$ 36-EGFP* was incapable of inducing *ERE-luciferase* in response  
41 to E2 treatment, a finding that is consistent with its lack of both N- and C-terminal  
42 transactivation domains and with what has been observed in other cell types [39].  
43 Moreover, luciferase activity resulting from *ER $\alpha$ 36-EGFP* was not statistically  
44 different from uninfected or GFP control-infected cells.

45 Importantly, *ERE-luciferase* was not inducible by estrogen treatment in the  
46 absence of *ER $\alpha$*  overexpression; consistent with the very low basal expression of

1 endogenous *ERα* in neonatal-RVMs (Figure 2). However overexpression of full  
2 length *ERα* in the absence of estrogen treatment was sufficient to activate the  
3 reporter. Together these results indicate that, in neonatal-RVMs, *ERα*-EGFP is  
4 capable of both estrogen-independent and estrogen-dependent activity.

#### 5 6 *Rapid signaling activity of ERα in neonatal-RVMs*

7  
8 Since estrogen has been shown to rapidly activate both the MAPK and  
9 PI3K signaling pathways in cardiac myocytes and other cell types [29, 41], we  
10 next asked whether any *ERα*-EGFP variant was capable of rapid activation of  
11 either of these pathways in isolated cardiac myocytes. To this end, neonatal-  
12 RVMs were infected with corresponding adenoviruses and treated briefly (5  
13 minutes) with 100 pM estrogen or vehicle. Following treatment, cell lysates were  
14 harvested and probed for relevant signaling activation using phosphorylation-  
15 specific antibodies (Figure 5B).

16 Neither Akt activation, nor ERK1/2 MAPK activation (Thr202/Tyr204 ERK1,  
17 Thr185 and Tyr187 of Erk2) was observed following overexpression of any *ERα*  
18 variant, independent of estrogen status, except following treatment with a known  
19 agonist, EGF [42] (Figure 5B). Thus, although neonatal-RVMs are capable of  
20 rapid activation of PI3K and MAPK signaling, neither treatment with E2 nor  
21 overexpression of *ERα* alone or in combination with E2 treatment was sufficient  
22 to activate these pathways in neonatal-RVMs.

## 23 24 **Discussion**

### 25 26 *ER expression in cardiac myocytes*

27  
28 To our knowledge, this is the first report of ER expression data in pure  
29 populations of isolated neonatal and adult cardiac myocytes using qRT-PCR.  
30 Several other groups have reported ER expression and localization patterns  
31 using ER antibodies; but ER antibody specificity remains controversial [20, 33].  
32 Our data also suggest an absence of *ERβ* in both neonatal and adult cardiac  
33 myocytes despite reported protein expression in myocytes and ventricular lysates  
34 using antibody-based assays [32, 33, 43]. Further, published RNA-Seq data of  
35 mouse LV, isolated cardiac myocytes, and our own unpublished data support our  
36 findings that *ERα* is the only detectable cardiac myocyte ER transcript [44, 45].

37 Several models of *ERβ*-deficient mice support a role for *ERβ* in the heart  
38 and vasculature although our data suggest this role is likely in non-myocyte cells  
39 in the heart [10, 11]. Indeed, many studies support the importance of *ERβ* in non-  
40 cardiac myocyte cell and tissue types including cardiac fibroblasts, lung septa,  
41 and platelets [11, 14, 46]. These cell and tissue types can directly and indirectly  
42 influence cardiac myocyte function and viability so cardiac phenotypes in mice  
43 with systemic loss of *ERβ* may actually be secondary phenotypes [3, 10, 47, 48].  
44 Even though cardiac myocyte expression of *ERβ* was not detected in this study,  
45 this receptor may still have an important cardiac role. Because cardiac myocytes  
46 account for approximately 75% of the myocardial volume, other non-myocyte

1 cells, such as fibroblasts or endothelial cells may express *ERβ*, but this  
2 expression would be diluted out in the whole left ventricle [49]. Additionally, in the  
3 current study, all analysis was performed with tissue or cells from the left  
4 ventricle only. *ERβ* expression could potentially be enriched in the atria, which  
5 would explain why it was undetectable in our experiments. This is supported by  
6 differential gene expression analysis of right and left mouse atria in which *ERβ*  
7 was detectable [50].

8  
9 *Full-length and alternate ER isoform localization and signaling in cardiac*  
10 *myocytes*

11  
12 Estrogen and ERα signaling have been shown to act in many subcellular  
13 compartments and to be very powerful in a number of cell types; most  
14 prominently in breast cancer cells [28, 38-40]. Further, there have been reports of  
15 sarcomeric, nuclear, and cytoplasmic immunolocalization of ERα in cardiac  
16 myocytes [20, 22]. Localization of full-length and alternatively spliced isoforms of  
17 ERα were carefully assessed in this study. Predominantly nuclear localization  
18 was observed for all three EGFP-tagged ERα variants (Figure 3 and  
19 Supplemental Figures 5 and 6). Although it is conceivable that the EGFP tag  
20 could interfere with ERα trafficking, several pieces of evidence support a lack of  
21 effect of EGFP on ER localization. First, broad distribution of EGFP alone was  
22 observed suggesting EGFP is capable of targeting to all of the subcellular  
23 compartments that were assessed (Supplemental Figure 3A). Second, full length  
24 ERα localization was similar regardless of EGFP tag orientation (amino- or  
25 carboxy-terminus; data not shown). Finally, when a comparably smaller Myc tag  
26 was substituted for the N-terminal EGFP tag, nuclear localization was also  
27 observed (Supplemental Figure 3B). Previous reports using GFP-tagged ERα  
28 isoforms have demonstrated comparable GFP-ERα localization [51, 52].

29 Interestingly, sarcomeric proteins co-fractionated with nuclear proteins  
30 during the subcellular extraction process (Figure 4B). Co-fractionation of  
31 sarcomeric and nuclear extracts does not allow biochemical resolution of ERα.  
32 This is important since sarcomeric localization of ERα has been reported in adult  
33 cardiac myocytes using immunofluorescence [20]. However, high magnification,  
34 high resolution fluorescence microscopy of the EGFP-tagged receptors in cardiac  
35 myocytes confirms its primarily nuclear localization pattern (Figure 3 and  
36 Supplemental Figures 4-6).

37 It is possible that the dose of estrogen used (100 pM) may be insufficient to  
38 elicit a localization or rapid signaling effect in our assays. However, this dose was  
39 chosen based on reported serum concentrations of estrogen in rodents [35, 53,  
40 54] and the reported binding affinity of ERα for estrogen [55, 56]. Further, this  
41 concentration of estrogen was demonstrated to induce strong effects in cells  
42 endogenously expressing ERα [57].

43 Others have reported a range of subcellular localizations for ERα and its  
44 splice variants. Primarily nuclear localization with significant membrane and  
45 cytosolic localization of both ERα66 and ERα46 was observed in COS7  
46 fibroblast-like cells and EA.926 immortalized endothelial cells following

1 overexpression of GFP-tagged constructs [21]. Another group reported  
2 enrichment of ER $\alpha$ 46 in the cytosol and plasma membrane relative to the  
3 nucleus in EA.926 cells [19]. Our results in cardiac myocytes are inconsistent  
4 with these findings as the majority of ER $\alpha$ 46 and ER $\alpha$ 66 was localized in the  
5 nucleus. While it might seem unexpected to observe nuclear ER localization in  
6 the absence of ligand, previous studies of both GFP-tagged ER constructs and  
7 other nuclear hormone receptors have demonstrated similar localization patterns  
8 [51, 58]. Additionally, ligand-independent activation of mammalian ER has been  
9 previously documented *in vitro* and *in vivo* [59].

10 The most recently discovered ER $\alpha$  variant, ER $\alpha$ 36, appears to be  
11 transcriptionally incompetent at a canonical ERE site in cardiac myocytes (Figure  
12 5A). While we do not show that each of these isoforms transcriptionally activate  
13 different targets, we do demonstrate that each of the isoforms have different  
14 transcriptional activities as demonstrated by our ERE-luciferase assay. This is an  
15 established method as many other reports have utilized this ERE-luciferase  
16 system as a surrogate for measuring transcription of ER targets [21] [60].

17 None of the three isoforms was capable of inducing PI3K or MAPK  
18 signaling (Figure 5B). This does not rule out another mechanism of ER $\alpha$ 36 action  
19 in neonatal-RVMs or adult cardiac myocytes or a human-specific cardiac  
20 myocyte function for this variant. Importantly, ER $\alpha$ 36 transcript expression has  
21 been identified solely in human tissues [25, 27]. A corresponding mouse or rat  
22 transcript has yet to be identified. Rodent models were utilized due to relative  
23 availabilities of cells and molecular and physiological similarities between human  
24 and rodent. Further studies investigating human isoforms in human cardiac  
25 myocytes are needed but beyond the scope of this report.

26 The nuclear localization pattern of ER $\alpha$ 36 (Figures 3 and 4) is consistent  
27 with its retention of the DNA binding domain and nuclear localization sequence  
28 while its inability to activate transcription (Figure 5A) agrees with its lack of N-  
29 and C-terminal transactivation domains. Nevertheless, our findings using an  
30 EGFP-tagged ER $\alpha$ 36 construct do not recapitulate membrane and cytoplasmic  
31 localization patterns seen in other cell types using immunofluorescence or  
32 subcellular fractionation in conjunction with isoform-targeted ER $\alpha$  antibodies [39,  
33 61]. Interestingly we observed increased variability of ER $\alpha$ 36-GFP localization in  
34 adult-RVMs (Supplemental Figures 5 and 6). In some instances, EGFP-ER $\alpha$ 36  
35 puncta were observed throughout the cytoplasm or at the distal ends of adult-  
36 RVMs (Supplemental Figures 5 and 6). The EGFP-ER $\alpha$ 36 distal end localization  
37 was in a pattern reminiscent of gap junction protein distribution at intercalated  
38 discs [62]. Although this was only observed in Adult-RVMs from one animal of  
39 each sex it may warrant further investigation.

40 There is ample precedence for the importance of nongenomic ER $\alpha$   
41 signaling in the heart. Recent generation of a transgenic mouse in which  
42 membrane-associated ER $\alpha$  signaling is disrupted revealed the importance of  
43 membrane-localized ER $\alpha$  in protecting the heart from vascular injury [63, 64].  
44 Endothelial cells isolated from transgenic mice that are unable to initiate  
45 membrane ER $\alpha$  signaling were deficient in their ability to activate E2-dependent  
46 phosphorylation of Akt and ERK, suggesting the importance of these two

1 pathways in mediating the effect of E2-ER $\alpha$  rapid-signaling-induced  
2 cardioprotection. Data presented here point to the importance of non-myocyte  
3 cardiac cell types in facilitating this effect.

4 The inability of each ER $\alpha$  variant to regulate rapid E2 signaling effects does  
5 agree with the lack of extra-nuclear ER $\alpha$  in cardiac myocytes that we observed  
6 compared to what has been previously reported for other cell types. Together,  
7 these results support a primarily nuclear function for ER $\alpha$  in cardiac myocytes.  
8 The relevant gene targets for ER $\alpha$ 46 and ER $\alpha$ 66 in cardiac myocytes warrant  
9 further investigation and may reveal novel cardiac myocyte-specific targets for  
10 estrogen-liganded ER $\alpha$ .

11 Although EGFP-tagged *ER $\alpha$ 46* and 36 isoforms could be robustly  
12 expressed in neonatal-RVMs and adult-RVMs (Supplemental Figure 2), their  
13 relevance to adult cardiac myocyte biology remains in question. Although *ER $\alpha$ 46*  
14 mRNA has been detected in murine tissues [18], an orthologous *ER $\alpha$ 36* isoform  
15 remains to be identified in rodent cells. Neonatal-RVMs were chosen for most  
16 cardiac myocyte studies due to the extremely low endogenous levels of *ER $\alpha$*   
17 compared to adult-RVMs where expression of *ER $\alpha$*  is much higher (Figure 2). In  
18 this way, we were able to study each ER isoform individually in the absence of  
19 reported inhibitory effects of one *ER $\alpha$*  isoform on another [21, 65]. However,  
20 ER $\alpha$ 46 and ER $\alpha$ 36 protein expression have been observed by others using  
21 western blot of lysates from adult cardiac myocytes or total ventricular extracts  
22 [20, 26]. In our hands, the antibodies used in these studies were not specific so it  
23 is unclear how much of each isoform exists in adult cardiac myocytes. From the  
24 studies reported here, which follow fluorescently tagged ER $\alpha$ , full-length ER $\alpha$  is  
25 the functionally relevant isoform for cardiac myocytes and its principal  
26 mechanism of signaling is through transcriptional activation.

## 27 28 **Figure Legends**

29  
30 **Figure 1. Estrogen Receptor- $\alpha$  is the predominant estrogen receptor**  
31 **transcript expressed in cardiac myocytes. (A) *ER $\alpha$*  and (B) *ER $\beta$*  gene**  
32 **expression by qRT-PCR in 3 and 6 month-old mouse left ventricular (LV)**  
33 **homogenates and ovary (positive control). N=3-5 animals/group (excluding**  
34 **ovary: N=2 animals).**

35  
36 **Figure 2. Estrogen Receptor- $\alpha$  transcript expression increases with age**  
37 **similarly in male and female rat ventricular myocytes and its expression is**  
38 **not modified by estrogen treatment. *ER $\alpha$*  expression by qRT-PCR in mixed**  
39 **male and female neonatal rat ventricular myocytes (RVMs) and isolated male**  
40 **and female adult-RVMs following 24 hours vehicle (V) or 100 pM 17 $\beta$ -estradiol**  
41 **treatment (E). \*\*\**P* < 0.001 vs. groups specified. N=3-4 animals/group excluding**  
42 **neonatal-RVMs: N=3 independent cell preparations from 70-100 pups each.**

43  
44 **Figure 3. Three different isoforms of Estrogen Receptor- $\alpha$  predominantly**  
45 **localize to neonatal ventricular myocyte nuclei: fluorescence microscopy.**  
46 **(A-C) Fluorescence based subcellular localization of each EGFP-tagged ER $\alpha$**

1 variant relative to DNA (DAPI) or myosin (F59) following treatment with either  
2 vehicle or 100 pM 17 $\beta$ -estradiol using confocal microscopy. Scale bar: 50 $\mu$ M.

3  
4 **Figure 4. Three different isoforms of ER $\alpha$  predominantly localize to**  
5 **neonatal ventricular myocyte nuclei by subcellular biochemical**  
6 **fractionation (A)** Quantification of each EGFP-tagged ER $\alpha$  variant by subcellular  
7 fractionation followed by immunoblot for GFP. **(B)** Representative immunoblot of  
8 neonatal-RVMs following infection with ER $\alpha$ 36-GFP. Following overexpression  
9 by adenoviral infection and treatment with either vehicle or 100pM 17 $\beta$ -estradiol,  
10 ER $\alpha$ -EGFP localization was quantified in fractionated cell lysates. Subcellular  
11 fraction identity was verified by the presence of either GAPDH (cytosol),  
12 Caveolin-3 (membrane), or Histone-3 (nucleus). F59 antibody was used to  
13 determine sarcomeric protein localization relative to other fractions. \* $P$  < 0.05,  
14 \*\* $P$  < 0.01, \*\*\* $P$  < 0.001 vs. all other ER $\alpha$  isoform- and treatment-matched  
15 fractions. 17 $\beta$ -estradiol treatment: 100pM, 1 hour. N=3 experiments. W: Whole  
16 cell lysate, C: Cytosolic lysate, M: Membrane/organelle lysate, N:  
17 Nuclear/cytoskeletal lysate, V: Vehicle, E: 17 $\beta$ -estradiol, AU: Arbitrary Units.

18  
19 **Figure 5. Cardiac myocyte ER $\alpha$  predominantly regulates cardiac myocytes**  
20 **through control of transcription, not activation of cytoplasmic signaling.**

21 **(A)** Induction of synthetic ERE-luciferase reporter by EGFP alone, or EGFP-  
22 tagged ER $\alpha$  variants with and without 12 hours 100 pM 17 $\beta$ -estradiol treatment.  
23 \*\*\*  $P$  < 0.001 vs. matched V,  $\Psi$   $P$  < 0.001 vs. ER $\alpha$ 46-EGFP, \$  $P$  < 0.05 vs.  
24 uninfected vehicle. N=3 experiments. **(B)** MAPK (phospho-p44/phospho-p42  
25 ERK) and PI3K/Akt (phospho-Akt) activation in neonatal-RVMs by GFP alone or  
26 EGFP-tagged ER $\alpha$  variants with and without 5 minutes 100 pM 17 $\beta$ -estradiol  
27 treatment. EGF: 0.01  $\mu$ g/mL 5 minutes (positive control).  $\alpha$ -tubulin: loading  
28 control, \* $P$  < 0.05, \*\* $P$  < 0.01, \*\*\* $P$  < 0.001 vs. vehicle treated. V: Vehicle, E:  
29 17 $\beta$ -estradiol N=3 experiments.

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### 43 **Disclosures**

44  
45 None

1

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3

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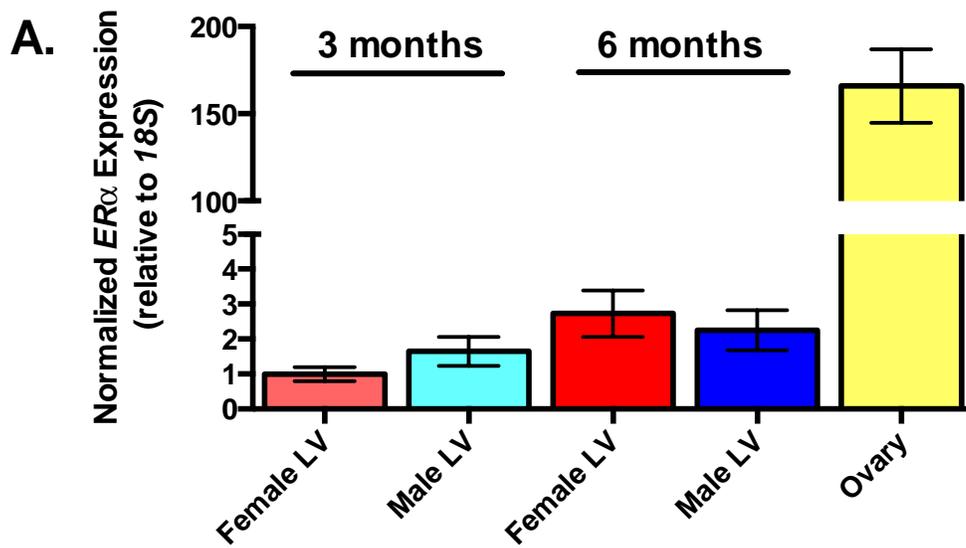
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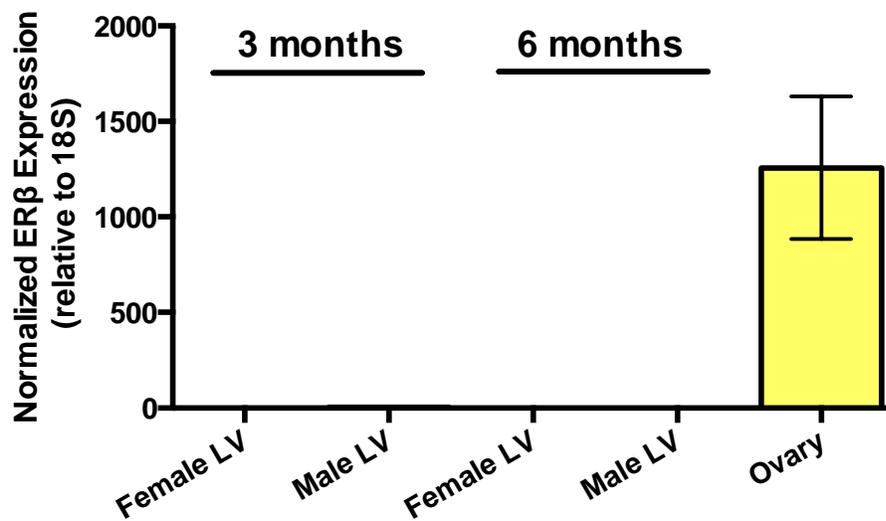
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# 1. Mus musculus

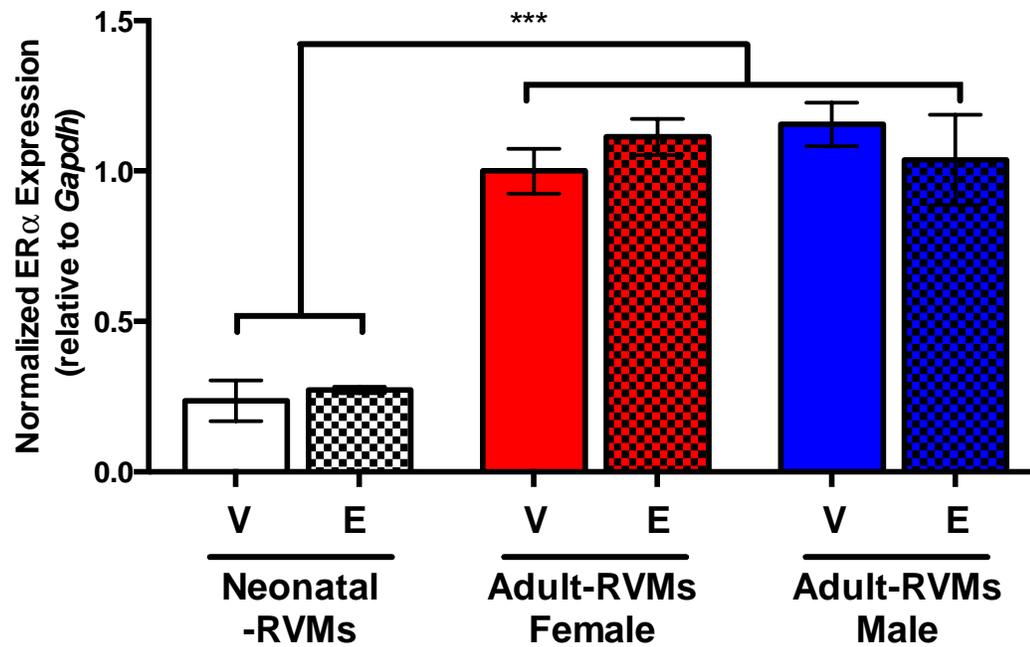
## $ER\alpha$ Left ventricle

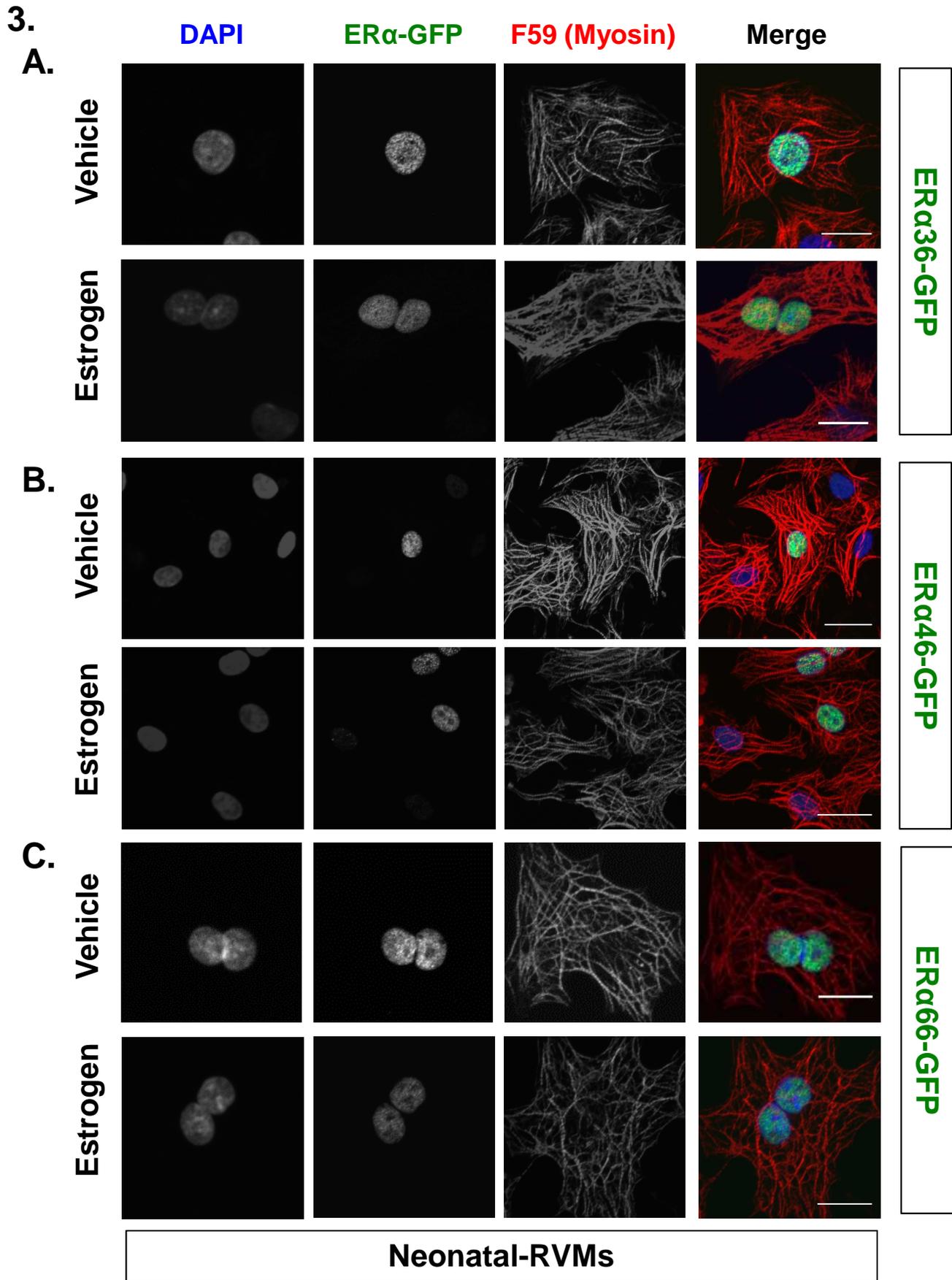


## **B.** $ER\beta$ Left ventricle

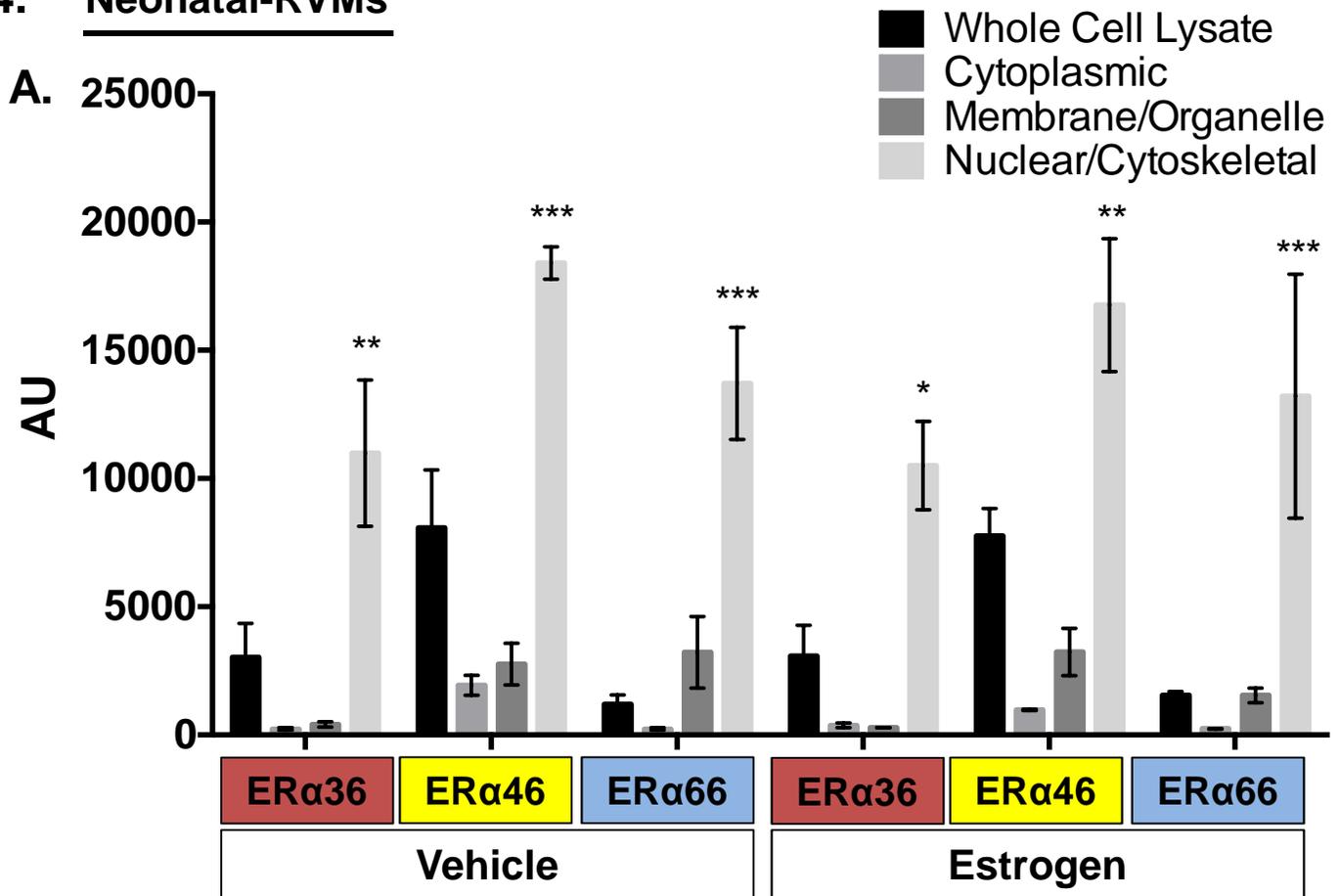


## 2. *Rattus norvegicus*





#### 4. Neonatal-RVMs



#### B. Neonatal-RVMs

