



Contents lists available at ScienceDirect

Thin Solid Films

journal homepage: [www.elsevier.com/locate/tsf](http://www.elsevier.com/locate/tsf)

## Low surface recombination velocity in n-Si passivated by catalytic-chemical vapor deposited alumina films

Yoh-Ichiro Ogita\*, Yotaro Aizawa

Kanagawa Institute of Technology, 1030 Shimo-Ogino, Atsugi, Kanagawa 243–0292, Japan

### ARTICLE INFO

Available online xxxx

#### Keywords:

Solar cells  
Passivation films  
Alumina films  
Hot-Wire CVD  
Cat-CVD  
Surface recombination velocity  
 $\text{Al}_2\text{O}_3$

### ABSTRACT

The surface recombination velocity ( $S_0$ ) in n-type Si (n-Si) wafers has been reduced below 0.1 cm/s by dint of positive fixed charges created by alumina ( $\text{AlO}_x$ ) films deposited at a film-temperature of 230 °C by catalytic chemical vapor deposition (Cat-CVD) using trimethyl aluminum (TMA) and  $\text{O}_2$ . Positive fixed charges of the order of  $10^{12}$  charges/cm<sup>2</sup> can be created in  $\text{AlO}_x$  films deposited under  $\text{O}_2/\text{TMA}$  flow-rate ratios in the range of 3.5–6.5. The extremely small  $S_0$  has been confirmed to be obtainable mainly due to a band bending effect brought about by the positive charges. The polarity and amount of the fixed charges can be determined by the flow-rate ratio of  $\text{O}_2/\text{TMA}$ .

© 2014 Elsevier B.V. All rights reserved.

### 1. Introduction

Surface recombination velocity in crystal-Si solar cells is a dominant factor in determining their conversion efficiency [1,2]. This factor is becoming more dominant as the bulk thickness of Si wafer is being reduced to realize a reduction in cost. The surface recombination velocity in p-type Si (p-Si) has been reduced drastically due to alumina passivation films deposited by pyrolysis of aluminum triisopropoxide [3], atomic layer deposition (ALD) of TMA and  $\text{H}_2\text{O}$  [4], plasma-assisted ALD of TMA and  $\text{O}_2$  [5–8], plasma-enhanced chemical vapor deposition (PE-CVD) of TMA,  $\text{CO}_2$ , and  $\text{H}_2$  [9], spatial ALD of TMA and  $\text{H}_2\text{O}$  [10], and Cat-CVD of TMA and  $\text{O}_2$  [11]. On the other hand, there have been some reports on the reduction of surface recombination velocity in n-Si due to  $\text{AlO}_x$  passivation films deposited by ALD of TMA and  $\text{O}_2$  [7], and spatial ALD of TMA and  $\text{H}_2\text{O}$  [10]. These reports for n-Si have suggested that the reduction is caused by negative fixed charges in alumina films. However, negatively charged films bring the problem of parasitic shunting when applied to n-Si solar cells [12]. Therefore, it is necessary for passivation films in n-Si solar cells to have positive fixed charges instead. We have found newly that positive charges can also be created at the interface of  $\text{AlO}_x$  films on p-Si ( $\text{AlO}_x/\text{p-Si}$ ) by  $\text{AlO}_x$  films deposited by  $\text{O}_2/\text{TMA}$  at flow-rate ratios below around 10 [11].

In this study, we confirm that  $S_0$  decreases due to positive fixed charges in  $\text{AlO}_x$  films deposited on n-Si ( $\text{AlO}_x/\text{n-Si}$ ) as well as investigate the process parameters which create positive fixed charges. The  $\text{AlO}_x$  films on n-Si have been deposited by varying  $\text{O}_2/\text{TMA}$  flow-rate ratios using Cat-CVD. The passivation performances at the  $\text{AlO}_x/\text{n-Si}$  interface

have been characterized by photoconductivity decay (PCD) and evaluated by surface recombination velocity directly measured by the bi-surface photoconductivity decay (BS-PCD) method. Furthermore, interface trapping density ( $D_{it}$ ) was obtained from capacitance–voltage (C–V) measurements of metal-insulator-semiconductor (MIS) diodes fabricated with  $\text{AlO}_x$  gates. The mechanism of reduction of  $S_0$  has been studied in terms of the behaviors of fixed charge density ( $N_f$ ) and  $D_{it}$ .

### 2. Experimental

$\text{AlO}_x$  films on n-Si wafers were deposited by Cat-CVD using TMA and  $\text{O}_2$  as the precursor gas. The experimental apparatus used in this study is shown in Fig. 1 [11]. Si substrates used for the samples were Czochralski (CZ) grown crystalline n-Si (100) wafers having a resistivity of 6  $\Omega\text{cm}$  with a mirror polished front-surface and a chemical-polished back surface. 2 cm  $\times$  2 cm samples were scribed from the wafers. The samples were slightly etched by a 2.5 wt.% hydrofluoric acid (HF) rinse to remove the native oxide and to obtain H-terminated surfaces, and then subsequently rinsed in deionized (DI) water prior to deposition. The samples were mounted on the sample holder 70 mm away from the catalyzer, and sample temperature ( $T_{\text{sub}}$ ) measured by a thermography. An oxidation resistant 25 cm long and 0.2 mm diameter iridium wire was used as a catalyzer. The wire was wound around the holder in a W-shaped bend, and the catalyzer temperature ( $T_{\text{cat}}$ ) measured by a radiation thermometer. The deposition of  $\text{AlO}_x$  films on the n-Si samples was carried out using  $\text{N}_2$  gas as a carrier gas for the TMA which was introduced through the showerhead and  $\text{O}_2$  gas was blown around the catalyzer. The films were deposited varying  $\text{O}_2/\text{TMA}$  flow-rate ratios in the range of 2–8 at a chamber pressure of 17 Pa,  $T_{\text{cat}}$  of 700 °C and  $T_{\text{sub}}$  of 230 °C. The flow rate of TMA was determined using the

\* Corresponding author.

E-mail address: [joe-ogita@ele.kanagawa-it.ac.jp](mailto:joe-ogita@ele.kanagawa-it.ac.jp) (Y.-I. Ogita).

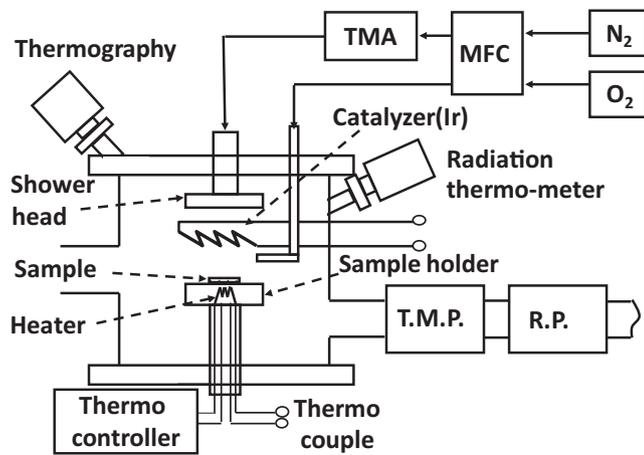


Fig. 1. Schematic illustration of apparatus used for film deposition.

equivalent  $N_2$  flow rate to satisfy the vapor-pressure of TMA. Subsequent to deposition, the samples were naturally cooled over 5 h under vacuum. The  $AlO_x$  film thicknesses were measured to be in the range of 1.8–3.2 nm for the samples deposited at  $O_2/TMA$  flow-rate ratios of 2–8 by a spectroscopic ellipsometer (ALPHA-SE, J.A. Woolam Co., Inc.) considering a two layer model composed of an  $AlO_x$  layer and a  $SiO_2$  layer. The  $SiO_2$  film thicknesses were measured to be 1.08 nm. The surface recombination at the interface between the film and n-Si was characterized by the initial decay in photoconductivity decay (PCD) curve measurements and also evaluated by  $S_0$  determined by BS-PCD method from two PCD-curve measurements using contactless techniques [13–16]. In this experiment, the photoconductivity change was detected by a reflected 500 MHz electromagnetic wave. Excess carriers were created by impulse-irradiation of 904 nm laser diode with a photon flux density of  $2.3 \times 10^{13} \text{ cm}^{-2}$ .  $S_0$  at the interface was directly measured by applying BS-PCD method using a BS- $\tau$  life-time profiler from Hemmi, Inc.  $N_f$  was calculated from the flat band voltage shift of C–V curves measured at 1 MHz.  $D_{it}$  was measured varying the signal frequency in the range of 10 Hz–1 MHz using the conductance method [17] with a signal amplitude of 20 mV for the MIS diodes consisting of an  $AlO_x$  insulator film and an aluminum-gate electrode 500  $\mu\text{m}$  in diameter.

### 3. Results and discussion

Fig. 2 shows PCD curves obtained for mirror-surface samples and those having  $AlO_x$  films deposited only on the mirror surface of n-Si substrates with varying  $O_2/TMA$  flow-rate ratios in the range of 2–8. Here,

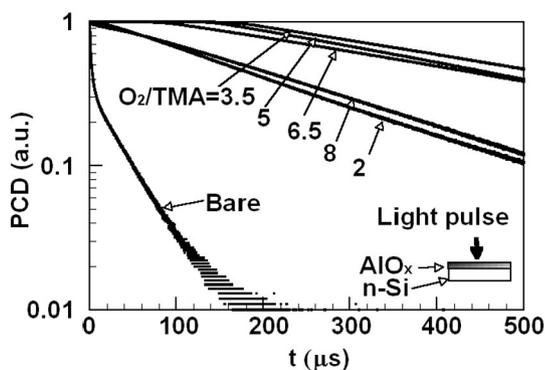


Fig. 2. Photoconductivity decay (PCD) curves observed by BS- $\tau$  lifetime profiler for laser impulse irradiated  $AlO_x$  films prepared under various  $O_2/TMA$  flow-rate ratios.

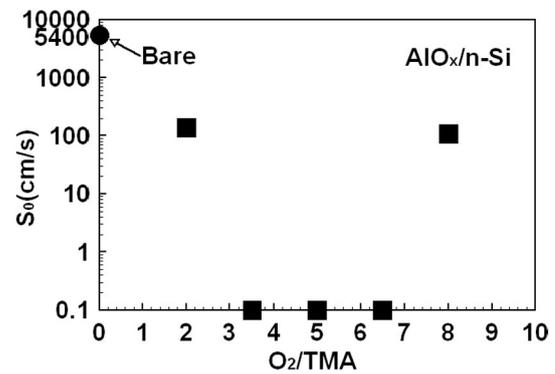


Fig. 3. Surface recombination velocity ( $S_0$ ) measured for various flow-rate ratios of  $O_2/TMA$ .

the  $O_2/TMA$  gas flow-rate ratio is simply labeled as  $O_2/TMA$  in the figures throughout this paper. During measurement the mirror surface was irradiated by a pulse laser for the photo-carrier excitation. As seen in the figure, the curve for the bare Si-wafer decays faster initially due to high surface recombination velocity of 5400 cm/s obtained from Fig. 3. The two curves for  $AlO_x$  films with  $O_2/TMA$  flow-rate ratios of 2 and 8 initially decay slightly, suggesting relatively reduced  $S_0$ . The curves for  $AlO_x$  films with  $O_2/TMA$  flow-rate ratios of 3.5–6.5 initially display no-decay, suggesting extremely small surface recombination velocity. Fig. 3 shows  $S_0$  measured as a function of  $O_2/TMA$  flow-rate ratios.  $S_0$  for the bare silicon sample prior to HF etching is very large at 5400 cm/s, but decreases to 109 cm/s for  $O_2/TMA$  flow-rate ratio of 2. It decreases below 0.1 cm/s for  $O_2/TMA$  flow-rate ratios in the range of 3.5–6.5, and increases to 109 cm/s again at  $O_2/TMA$  flow-rate ratio of 8. This suggests extremely small  $S_0$  due to band bending effect brought about by fixed charges in the  $AlO_x$  film and/or reduction of interface trapping density. This band bending is caused by either the negative or positive fixed charges. Fig. 4 shows two representative C–V curves measured for  $AlO_x$  films deposited with  $O_2/TMA$  flow-rate ratio of 2 and 3.5 along with an ideal curve calculated as reference. The curve with flow-rate ratio of 3.5 shifts toward the negative voltage direction which suggests creation of fixed positive charges at the  $AlO_x/n-Si$  interface. The fixed charge density for various flow-rate ratios of  $O_2/TMA$  was calculated from the flat band voltage shift from that of the ideal C–V curve. This shift is calculated considering the work function difference between the Al gate and n-Si, and the film thicknesses of  $AlO_x$  and  $SiO_2$  at the interface. The  $N_f$  was then plotted against  $O_2/TMA$  flow-rate ratios. The polarity of the fixed charges is positive for  $O_2/TMA$  flow-rate ratios in the range of 2–8. The results suggest that the magnitude of the fixed charge density is affected by the  $O_2/TMA$  flow-rate ratios. The largest  $N_f$  was obtained for  $O_2/TMA$  flow-rate ratio of 3.5. Fig. 6 shows  $S_0$  as a function of  $N_f$ , which indicates that  $S_0$  is reduced below

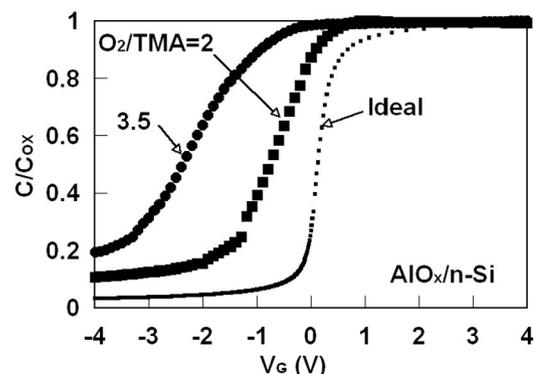


Fig. 4. C–V curves measured for two representative flow-rate ratios of  $O_2/TMA$ .

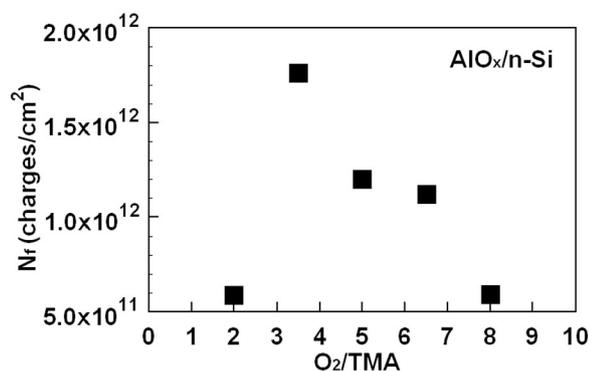


Fig. 5. Positive fixed charge density ( $N_f$ ) determined by flat-band voltage shifts.

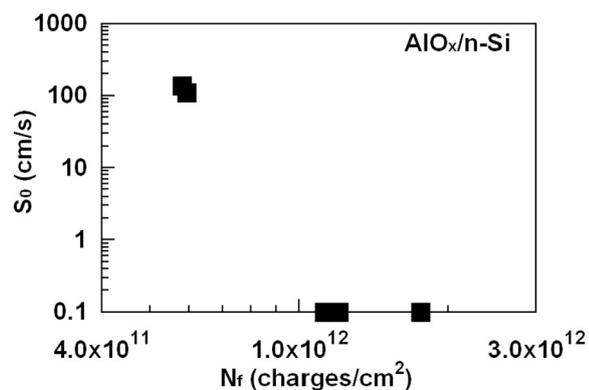


Fig. 6. Measured surface recombination velocity ( $S_0$ ) as a function of positive fixed charge density ( $N_f$ ).

0.1 cm/s due to positive  $N_f$  of the order of  $10^{12}$  charges/cm<sup>2</sup>. However, this reduction in  $S_0$  may be due to the decrease in the interface trapping density. The behavior of measured  $D_{it}$  is shown in Fig. 7 as a function of the surface potential ( $\phi_s$ ). The  $D_{it}$  seems to be influenced by the O<sub>2</sub>/TMA flow-rate ratios. The decrease of  $D_{it}$  at O<sub>2</sub>/TMA flow-rate ratio of 3.5 seems to correspond to the smallest  $S_0$  in Fig. 3. Fig. 8 shows behavior of  $D_{it}$  at the flat band plotted against  $N_f$ . A large  $N_f$  of  $1.8 \times 10^{12}$  charges/cm<sup>2</sup> appears to cause the small  $D_{it}$  of  $2 \times 10^9$  eV<sup>-1</sup> cm<sup>-2</sup>. However, in some other data in the figure, there seems to be no correlation between  $D_{it}$  and  $N_f$ . Furthermore,  $D_{it}$  in Fig. 8 decreases by around one order, in spite of that, as seen in Fig. 3,  $S_0$  decreases by four orders. Therefore, it is suggested that an extremely large decrease in  $S_0$  is mainly caused by the band bending effect due to the existence of positive fixed charge density of the order

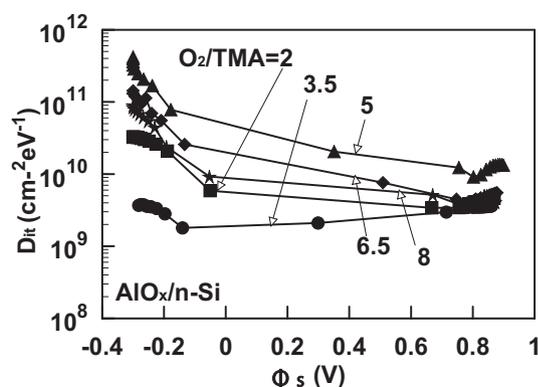


Fig. 7. Measured interface trapping density ( $D_{it}$ ) as a function of surface potential.

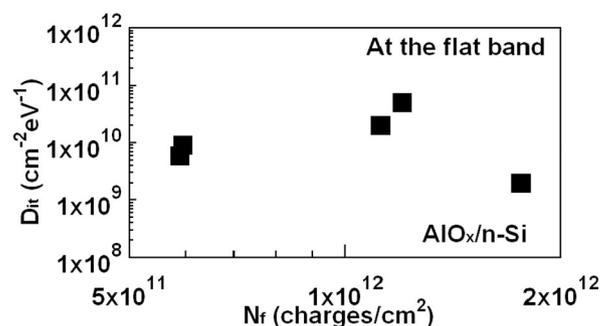


Fig. 8.  $D_{it}$  plotted against  $N_f$ .

of  $10^{12}$  charges/cm<sup>2</sup> and that reduction in  $D_{it}$  at large  $N_f$  assists in the reduction of  $S_0$ .

As seen in Fig. 5, positive charges in n-Si were obtained at O<sub>2</sub>/TMA flow-rate ratios in the range of 2–8. This was predicted from the data on charges created in p-Si in a previous work by the authors [11]. We can say that positive charges are created in O<sub>2</sub>/TMA flow-rate ratios around 10 and below in this study whereas previous work [11] by the authors showed that negative charges are created in O<sub>2</sub>/TMA flow-rate ratios above around 10 in the AlO<sub>x</sub> films deposited by using the Cat-CVD. The results that charges obtained in O<sub>2</sub> rich ambient are negative while those obtained in Al rich ambient are positive seem to be consistent with the results obtained by first-principles calculations [18,19].

#### 4. Conclusion

The creation of positive fixed charges and its effect on reducing surface recombination in n-Si can be attributed to AlO<sub>x</sub> films deposited by Cat-CVD in order to obtain excellent passivation films for crystalline n-Si solar cells. Positive fixed charges can be created in AlO<sub>x</sub> films deposited at O<sub>2</sub>/TMA flow-rate ratios around 10 and below. The surface recombination velocity measured was reduced to below 0.1 cm/s for O<sub>2</sub>/TMA flow-rate ratios in the range of 3.5–6.5. It was confirmed that extremely small surface recombination velocity is obtained mainly due to band bending effect brought about by positive fixed charges of the order of  $10^{12}$  charges/cm<sup>2</sup>. The decrease in  $D_{it}$  at large  $N_f$  also assists in the reduction of  $S_0$ .

#### Acknowledgments

Authors would like to thank Dr. Y. Kitagawara of Shin-Etsu Semiconductor Inc. for providing n-Si wafers, Prof. Dr. Mahmud Rahman of Santa Clara University for his useful advices and discussions, and Prof. Dr. Hideki Matsumura of Japan Advanced Institute of Science and Technology for his useful advices and financial support. This work was financially supported by the Core Research for Evolutional Science and Technology, Japan Science and Technology Agency (CREST, JST).

#### References

- [1] M. Hofmann, S. Janz, C. Schmidt, S. Kambor, D. Suwito, N. Koh, J. Rentsch, R. Preu, S.W. Glunz, Sol. Energy Mater. Sol. Cells 93 (2009) 1074.
- [2] A.G. Aberle, Prog. Photovolt. Res. Appl. 8 (2000) 473.
- [3] R. Hezel, K. Jaeger, J. Electrochem. Soc. 136 (1989) 518.
- [4] G. Agostinelli, A. Delabie, P. Vitanov, Z. Alexieva, H.F.W. Dekkers, S. De Wold, G. Beaucarne, Solar Energy Mater. Solar Cells 90 (2006) 3438.
- [5] B. Hoex, S.B.S. Heil, E. Langereis, M.C.M. Van de Sanden, W.M.M. Kessels, Appl. Phys. Lett. 89 (2006) 042112.
- [6] B. Hoex, J. Schmidt, R. Bock, P.P.A. Altermatt, M.C.M. Van de Sanden, W.M.M. Kessels, Appl. Phys. Lett. 91 (2007) 112107.
- [7] J. Schmidt, A. Merkle, R. Breddel, B. Hoex, M.C.M. van de Sanden, W.M.M. Kessels, Prog. Photovolt. Res. Appl. 16 (2008) 461.
- [8] B. Hoex, J. Schmidt, P. Pohl, M.C.M. Van de Sanden, W.M.M. Kessels, J. Appl. Phys. 104 (2008) 044903.
- [9] S. Miyajima, J. Irikawa, A. Yamada, M. Konagai, Appl. Phys. Exp. 3 (2010) 012301.

- [10] F. Wermer, B. Veith, V. Tiba, P. Poodt, F. Roozeboom, R. Brendel, J. Schmidt, *Appl. Phys. Lett.* 97 (2010) 162103.
- [11] Y. Ogita, M. Tachihara, Y. Aizawa, N. Saitoh, *Thin Solid Films* 519 (2011) 4469.
- [12] S. Dauwe, L. Mittelstadt, A. Metz, R. Hwzel, *Prog. Photovolt. Res. Appl.* 10 (2002) 271.
- [13] Y. Ogita, *J. Appl. Phys.* 79 (1996) 6954.
- [14] Y. Ogita, Y. Iwami, H. Daio, K. Yakushiji, *Proceedings of the 2nd International Symposium on Advanced Science and Technology of Silicon Materials*, *Jpn. Soc. Promot. Sci.* 455 (1996).
- [15] H. Daio, Y. Uematsu, Y. Ogita, *Jpn. J. Appl. Phys.* 37 (1998) 6283.
- [16] Y. Ogita, M. Minegishi, H. Higuma, Y. Shigeto, K. Yakushiji, *Inst. Phys. Conf. Ser. Num.* 149 (1996) 325.
- [17] E.M. Vogel, et al., *IEEE Trans. Electron Devices* 47 (2000) 601.
- [18] K. Matsunaga, T. Tanaka, T. Yamamoto, Y. Ikuhara, *Phys. Rev. B* 68 (2003) 085110.
- [19] B. Hoex, J.J.H. Gielis, M.C.M. van de Sanden, W.M.M. Kessels, *J. Appl. Phys.* 104 (2008) 113703.