



# Comprehensive analysis of blue diode laser-annealing of amorphous silicon films

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## ABSTRACT

The low temperature polycrystalline silicon (LTPS) method has proved to be a technical breakthrough, accomplishing semiconductor thin films with remarkable mobility for a range of high-performance displays, including liquid crystal display and organic light emitting diodes. However, utilizing a conventional excimer laser source for LTPS incurs high cost. In this paper, we demonstrate a comprehensive analysis of the crystallization mechanism of a-Si film (94 nm) and the thermal deformation of the glass substrate induced by Blue diode Laser Annealing (BLA). BLA provides high quality laterally grown crystals over  $4 \mu\text{m} \times 10 \mu\text{m}$  on glass substrates, which were examined by optical microscopy, scanning electron microscopy, and Raman spectroscopy. In addition, the permanent deformation introduced by the annealing process is numerically modeled, instantiating how to control the heat conduction from the thin film that affects the substrate. Our findings reveal that the permanent thermal deformation depth that can be obtained is comparable to the roughness of the silicon film for the optimum scanning speed and laser power. The combination of both experimental and numerical results elucidates the manifested physical mechanisms during the BLA process and provides the guidelines to improve the experimental parameters of this process.

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## 1. Introduction

The low temperature polycrystalline silicon (LTPS) technology has been established as the predominant technique for a plethora of thin film transistor electronic applications, such as liquid crystal display and organic light emitting diode. To facilitate fabricating these components, excimer laser has been employed to efficiently induce crystallization of a-Si thin films and enhance the mobility of Si thin film by transforming it into polycrystalline silicon (p-Si) thin film [1,2]. Nevertheless, the excimer laser technology has been forestalled by the manufacturing cost of electronic devices due to its prodigious cost, the maintenance of its components, its typically low wall-plug efficiency ( $\sim 1\%$ ) and the cost of the gas mixtures. There have been attempts to replace the excimer laser with light sources, such as the diode-pumped solid state green laser [3], the frequency-doubled YAG laser [4], and the Ar<sup>+</sup> laser [5]. Even though LTPS can be achieved utilizing these sources, resulting in

poly-Si with large grain size over  $10 \mu\text{m}$ , their limitation in scalability and efficiency still obstructs the commercialization of electronic devices on even larger substrates (i.e. above Gen10 ( $2.88 \times 3.13 \text{ m}$ ) substrate).

However, the blue diode laser, with wavelength at the visible spectrum (440 nm), has captured the attention of the researchers as an industrial LTPS processing tool due to its scalability, low-cost, exceptional optical power density, small form factors, and high wall-plug efficiency ( $\sim 30\%$ ) [6,7,8,9,10,11]. More specifically, its optical penetration depth at 22 nm for the aforementioned wavelength is deeper than the penetration depth corresponding to UV excimer laser wavelengths. To put these values into perspective, the optical penetration depth of the excimer laser, at wavelength 308 nm, is 6 nm, which is significantly lower than that of the blue diode laser. In addition, considering the time scale offered by the continuous wave mode, the heating mode is volumetric, providing advantages to produce larger lateral crystal grains, greater than  $10 \mu\text{m}$ , along the scanning direction rather than through the depth of the material. Nevertheless, the large thermal diffusion length ( $\sim 1 \mu\text{m}$  at dwelling time equal to 1  $\mu\text{s}$ ), associated with heating beyond the glass transition temperature, can

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cause texturing of the glass substrate, despite the existence of thermal buffer layers, such as  $\text{SiO}_x$  and  $\text{SiN}_x$  between a-Si film and the substrate, which bounds the defect-free and uniform device fabrication. Moreover, it has not been demonstrated how the thermal effects influence the grain growth from the scope of a numerical model.

To surpass these barriers, we demonstrate an analysis of the blue diode laser annealing (BLA) process, investigating the a-Si crystallization mechanism due to the BLA-induced thermal effect on the glass substrate to further advocate the industrial feasibility of this technique. To comprehend the crystallization mechanism, the temperature fields of Si films are solved numerically utilizing a finite difference method to compare the experimental results. In addition, Secco-etched optical microscopy and scanning electron microscopy revealed that over  $4 \mu\text{m} \times 10 \mu\text{m}$  grown crystals can be fabricated along the scanning direction. Hundreds of nanometers sized grains can also be obtained, adjacent outside this directional solidification zone. The low deviation of Raman shift of p-Si thin film from that of single crystal silicon wafer elucidates the high quality of crystallinity with low residual stress inside the film. The temperature fields of the buffer layer, consisting of plasma-enhanced chemical vapor deposition (PECVD)  $\text{SiO}_2$ , and the glass substrate are numerically solved. Finally, the deformation profiles of the glass substrate are calculated with finite element analysis and validated by interferometry. Hence, by optimizing the scanning speed, the permanent deformation of the glass substrate can be mitigated to be comparable to the roughness of the p-Si film. This methodology will provide a coherent framework to comprehend how the thermo-mechanical effects affect the BLA process.

## 2. Experiments & simulations

### 2.1. Experiments and methods

As a standard optical set-up, a single blue diode laser beam controlled by a power controller is transferred through the optics delineated in Fig. 1A to the sample, and an elliptically focused beam shape is formed, shown in Fig. 1B. During the experimental procedure, the length of the short axis is required to be miniscule to decrease the annealing duration  $\tau$  ( $\tau = \frac{\text{Short axis}}{\text{Scanning velocity}}$ ). However, the length of the long axis is enlarged to increase the overall crystallization area. Therefore, two convex lenses with 10X microscope objective lens (Mitutoyo, NA 0.28) are installed, while their corresponding distances,  $L_1$ ,  $L_2$ , and  $L_3$  depicted in Fig. 1A. These lengths are adjustable to enable the variance of the size of the focused laser beam. A cylindrical lens is installed in the middle of the optical beam path to enlarge the length of long axis only, while the length of the short axis is preserved constant. The focused blue laser has a long axis and a short axis approximately equal to  $290 \mu\text{m}$  and  $28.5 \mu\text{m}$  respectively, as it is illustrated in Fig. 1B, based on the  $1/e^2$  beam diameter. For the laser processing, the a-Si thin film ( $94 \text{ nm}$ ) is placed on a variable scanning speed translator. White light is

illuminated with a 5X objective lens (Mitutoyo, NA 0.14) from the backside of the sample. The lens is connected to a charge coupled device (CCD) camera in order to monitor the crystallization of a-Si film in situ.

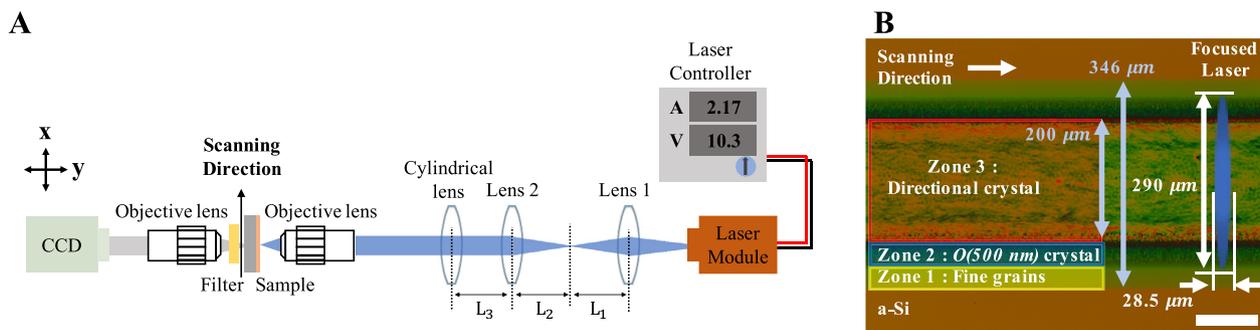
In order to prepare the sample, an a-Si:H film (substrate temperature:  $360^\circ\text{C}$ , power:  $30 \text{ W}$ , and  $\text{SiH}_4$ ,  $\text{H}_2$ , and  $\text{He}$  at  $2.0 \text{ torr}$ ) is deposited by PECVD after deposition of  $400 \text{ nm}$  thick  $\text{SiO}_2$  buffer layer on glass substrate. Afterwards, the a-Si:H film is dehydrogenated at  $450^\circ\text{C}$ , such that the hydrogen content in a-Si film is less than 1%. The thickness of a-Si film ( $94 \text{ nm}$ ) is measured by an ellipsometry after the dehydrogenation.

By a single scanning of optical power  $4.61 \text{ W} \pm 0.2 \text{ W}$  and scanning speed  $75 \text{ mm/s}$ , three different regimes are formed [12]. Therefore, hereafter they will be classified for detailed interpretation as follows: zone 1 (fine grained Si), zone 2 (hundreds of nm sized crystals), and zone 3 (directional crystal growth regime), presented in Fig. 1B. The fabricated size of zone 3 is  $200 \mu\text{m}$ , and the total featured size from the end to the end is  $346 \mu\text{m}$  under the above conditions.

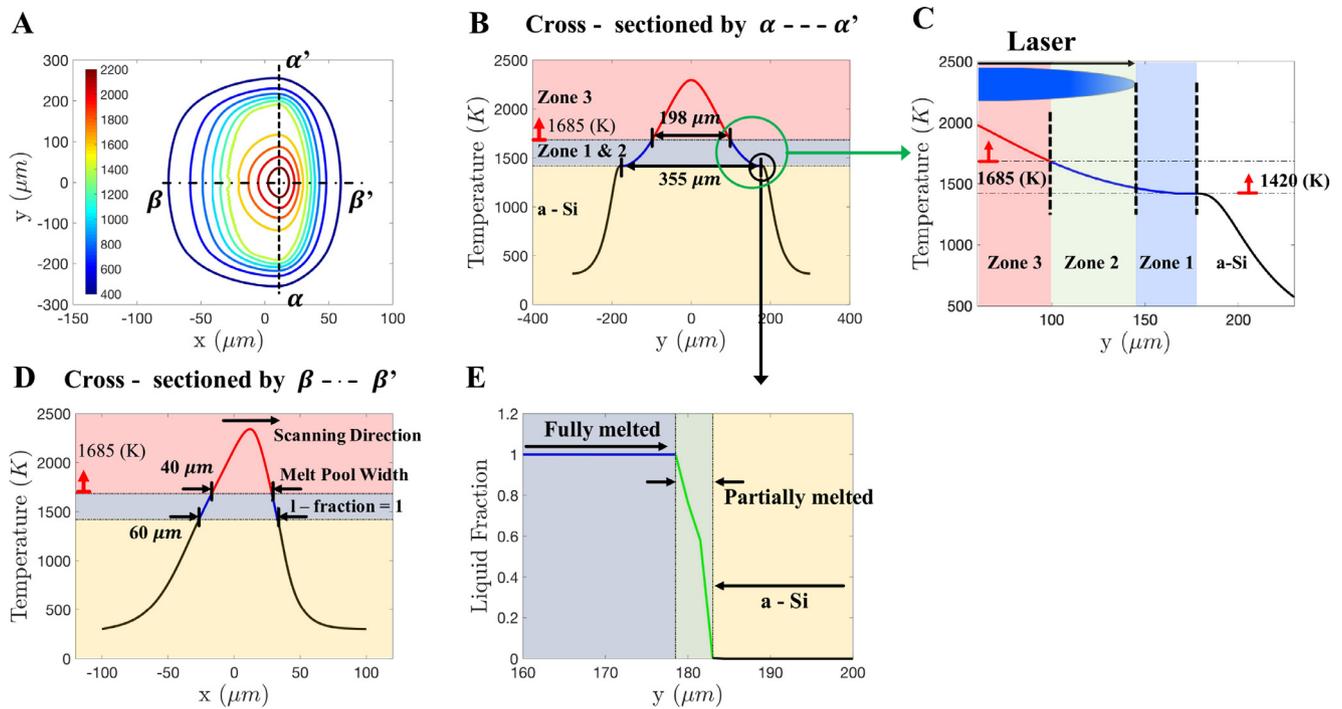
In order to study the grain boundary of p-Si thin film after the annealing process, commercially available Secco etchant (Transene Company INC) consisting of a mixture of  $\text{K}_2\text{Cr}_2\text{O}_7$ ,  $\text{HF}$ , and  $\text{H}_2\text{O}$  [13] is employed. The etchant is diluted with DI water by the ratio of 4 (DI water: Secco etchant = 4: 1). Next, the p-Si sample is dipped into it for 20 s. Finally, 10: 1 buffered hydrofluoric is used to remove the oxide layer formed during etching process.

### 2.2. Numerical simulations

To analyze the crystallization of a-Si thin film and the heat flux to the glass substrate, the 3-dimensional heat conduction equation incorporating phase change from solid to liquid is solved utilizing the finite difference method [14]. Taking into account that the optical penetration depth is  $22 \text{ nm}$  at  $440 \text{ nm}$  wavelength and the a-Si film ( $< 100 \text{ nm}$ ) is much thinner compared to the thermal diffusion length, which is approximately  $20 \mu\text{m}$  at dwelling time  $380 \mu\text{s}$  and scanning speed  $75 \text{ mm/s}$ , it is presumed that at this time scale the dominating mechanism across the entire film is volumetric heating. Hence, the system was modeled as a simplified 2-dimensional layer for the a-Si layer. However, the PECVD  $\text{SiO}_2$  layer and the glass substrate are modeled as three dimensional [14]. The numerical simulation was performed only for the melting phase change of silicon from a-Si to l-Si, to characterize the melting of a-Si and the transient temperature of liquid Si (l-Si), while the modeling of re-solidification mechanism from l-Si to p-Si was not included. To apply the real laser profile for the simulation, a knife-edge experiment was performed with the laser beam spatial profile fitted with Gaussian functions. The mechanical and thermal properties of the a-Si and l-Si have been reported elsewhere [15,16,17], and the optical properties ( $n = 5.10$ , and  $k = 1.47$  at  $440 \text{ nm}$ ) of a-Si were measured with spectroscopic Ellipsometry (J.A.



**Fig. 1. Blue diode laser annealing process** (A) optical set up of the BLA system, (B) three different zones fabricated by BLA; zone 1 (fine grained regime), zone 2 (hundreds of nm sized crystals), and zone 3 (directional crystals). The length of zone 3 is  $200 \mu\text{m}$ , and the total length of the zones is  $346 \mu\text{m}$ . An elliptically focused laser profile is utilized (colored blue). The length of short axis is  $28.5 \mu\text{m}$  and that of long axis is  $290 \mu\text{m}$  based on  $e^2$  beam diameter. Scale bar is  $100 \mu\text{m}$ .



**Fig. 2. Simulation results of the temperature field** (A) Transient isotherms of silicon film for the laser irradiation conditions of 4.61 W at 75 mm/s, (B) and (D) are cross-sectional temperature fields for  $\alpha - \alpha'$ , and  $\beta - \beta'$ , respectively. (C) magnified temperature field normal to the scanning direction in (B). There is a liquid fraction between the fully melted and a-Si regime in (B), which is shown in (E). It is expected for the fully melted region to be 355  $\mu\text{m}$ , and for partially melted region to be 5  $\mu\text{m}$ . +  $x$  is scanning direction.

Woollam). As the properties of PECVD  $\text{SiO}_2$  film with 400 nm thickness converge to the properties of the bulk  $\text{SiO}_2$  [18], those of the  $\text{SiO}_2$  interlayer and the glass substrate were selected from literature [19].

For laser power equal to 4.61 W and scanning speed 75 mm/s, the calculated transient silicon temperature-field is presented in Fig. 2A. The crucial factor for the development of directionally grown crystals is superheating above the equilibrium melting point of silicon (1685 K) combined with a slow cooling rate [5]. Thus, the heated regime was set above 1685 K along the scanning direction as a melting pool width, depicted in Fig. 2D. Regarding the temperature profile normal to the scanning direction shown in Fig. 2B, the length of the region heated over 1685 K is expected to be 198  $\mu\text{m}$  and the calculated length of fully melted Si (i.e., liquid fraction becomes 1) is 355  $\mu\text{m}$ , which is consonant with the fabricated length of zone 3 (200  $\mu\text{m}$ ) and the total featured length (346  $\mu\text{m}$ ) presented in Fig. 1B. These simulation results will be juxtaposed with the experimental results in Fig. 3 and Fig. 4 and discussed in the next section.

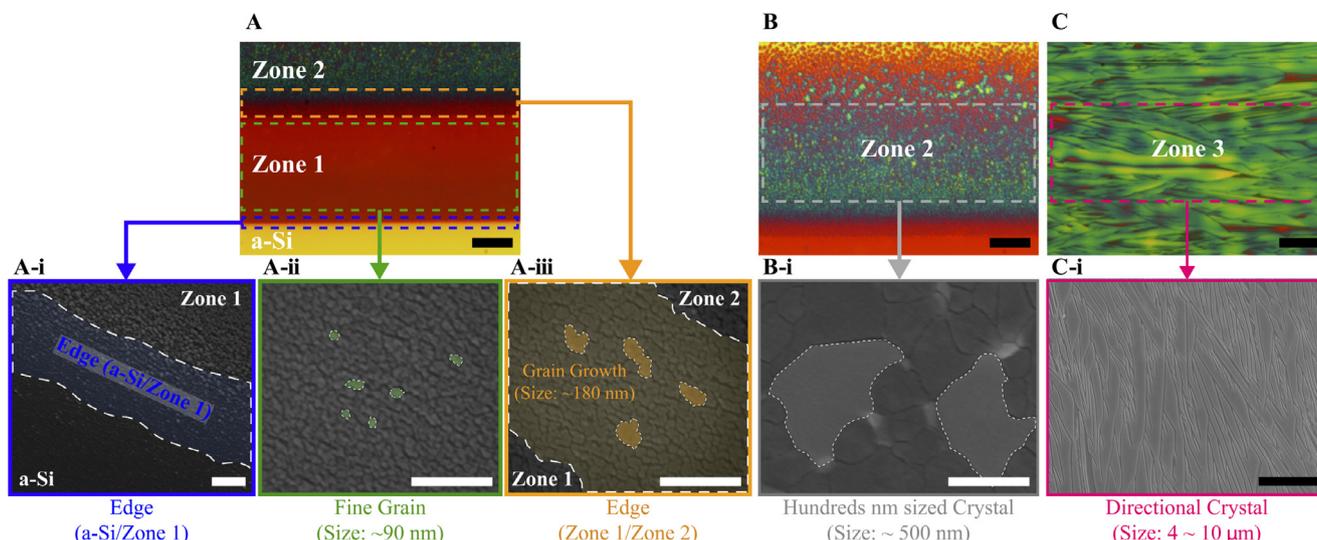
In addition, to study the effects of the laser power and scanning speed to the permanent deformation of the glass substrate, coupled thermal simulations with static structural analysis was performed, utilizing finite element analysis in ANSYS. The properties of the glass that are required to perform the simulations are the density  $\rho = 2500 \text{ kg/m}^3$ , the coefficient of thermal expansion  $9 \times 10^{-6} \text{ K}^{-1}$ , the Young's modulus  $E = 73,000 \text{ MPa}$ , the Poisson's ratio  $\nu = 0.27$ , the thermal conductivity  $k = 1.4 \text{ W/mK}$  and the tensile yield strength  $\sigma_Y = 248 \text{ MPa}$ . In addition, as there is disparity in the temperature, the thermal expansion coefficient was dependent on the temperature, as this has been reported elsewhere [20].

To calculate the deformation field, the heat flux profile obtained by the thermal simulations is applied to the surface of the substrate. The thermal analysis simulations provide the temperature field and it is subsequently employed as input to calculate the deformation field. To calculate large and permanent deformations, the static structural mode of ANSYS was utilized combined with the large deflection mode (non-linear large deformations). Therefore, the transition from the elastic

regime to the plastic regime can be calculated and the permanent large deformation of the glass substrate can be obtained. The substrate is considered fixed at the edges. The simulations are performed for three different scanning speeds. The scanning speeds were 4.61 W with 75 mm/s, and 10 W with 1 m/s and 1.25 m/s, respectively. The simulation results are presented in Fig. 5 and Fig. 6, showing that for all cases, the maximum deformation is 100 nm for scanning speed 75 mm/s. However, as it will be shown later, to inquire whether this permanent deformation can be considered viable for the recrystallized thin film, the simulations were compared with the experimental measurements.

### 3. Results and discussions

The crystallization mechanism at each zone is investigated from the scope of both experiments and simulations. Considering the length of beam ( $\sim 290 \mu\text{m}$ ) as marked in Fig. 1B, zone 1 is mainly heated by transverse heat conduction from zone 2. Zone 1 (fine grains) is fully melted from solid to liquid after its temperature reaches the melting point ( $\sim 1420 \text{ K}$ ) of a-Si. Nevertheless, it is quenched quickly due to the heat loss to the substrate so this regime consists of fine grains ( $\sim 90 \text{ nm}$ ), delineated in Fig. 3A-3ii, with a narrow transition between a-Si and p-Si. Fig. 4A shows the Raman spectroscopy measurements (Renishaw), captured for the boundary from the a-Si to zone 1 with 1  $\mu\text{m}$  resolution (i.e., marked from Area 'A' to 'D'). For the a-Si, the Raman spectrum indicates the density of the states of the transverse optical phonon (TO) mode centered at  $480 \text{ cm}^{-1}$  with a broad width. It begins revealing the characteristics of p-Si Raman spectrum (peak at  $514.6 \text{ cm}^{-1}$  and full width at half maximum (FWHM) equal to  $16.4 \text{ cm}^{-1}$ ) as the Raman probe laser is scanned towards zone 1. However, as the diameter of Raman laser beam utilizing the Olympus MS Plan 100X (NA = 0.95) lens is less than 1  $\mu\text{m}$  and this regime ends within 4  $\sim$  5  $\mu\text{m}$ , a-Si and p-Si are coalesced within this regime as partial melting [21]. Hence, the p-Si and a-Si Raman spectra are consistent with the simulation result presented in Fig. 2E, which predicted the partial melting zone width to be approximately equal to 5  $\mu\text{m}$ . At the center of

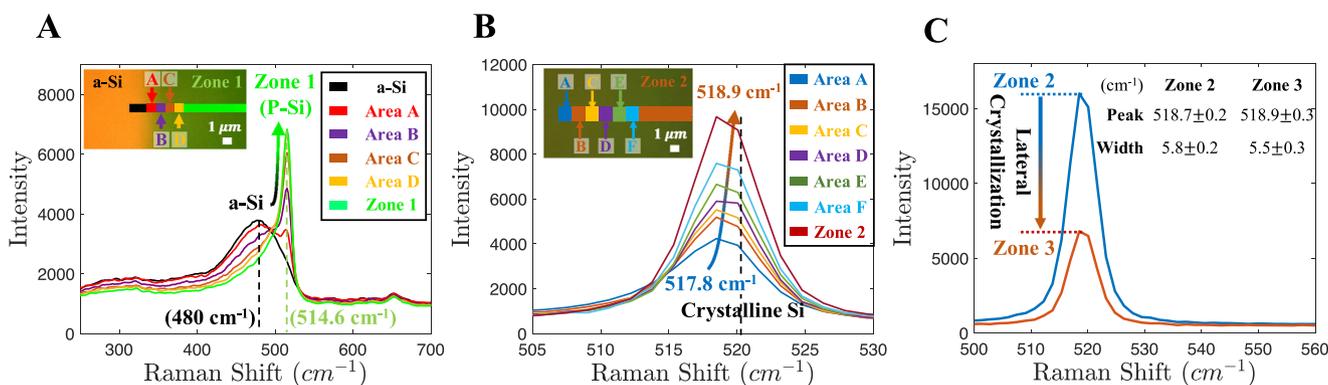


**Fig. 3.** Secco-etched optical microscope images (A) from a-Si to zone 2, (B) the center of zone 2, and (C) the center of zone 3. SEM images (A-i) for the edge between a-Si and zone 1, (A-ii) the center of zone 1, which shows fine grains with 90 nm, and (A-iii) the edge between zone 1 and zone 2 consisting of ~180 nm grains. (B-i) Nano-poly crystals with size approximately equal to 500 nm at the center of zone 2. (C-i) laterally grown crystals at the center of zone 3. The black scale bar is 10  $\mu\text{m}$ , and the white scale bar is 500 nm.

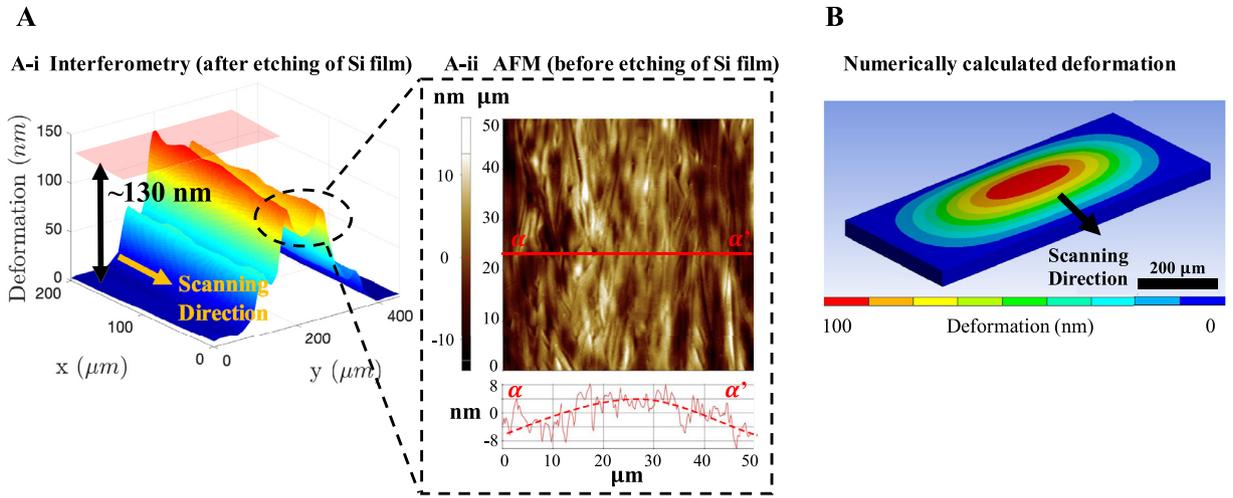
zone 1, the Raman spectrum ( $514.6\text{ cm}^{-1}$ ) of p-Si in this area becomes close to that of p-Si ( $\sim 517.8\text{ cm}^{-1}$ ) in zone 2. Similar analysis of the boundary between zone 1 and zone 2 is applied, presented in Fig. 3A-3iii, and the respective Raman spectra are presented in Fig. 4B. Within 7  $\mu\text{m}$  (from Area 'A' to 'F'), the Raman frequency is shifted from  $517.8\text{ cm}^{-1}$  and FWHM  $8\text{ cm}^{-1}$  to  $518.9\text{ cm}^{-1}$  and FWHM  $6\text{ cm}^{-1}$ , which reveals that high quality of p-Si film is initiated at zone 2. Zone 2 ( $\sim 500\text{ nm}$  sized crystals (Fig. 3A-3iii) to (3B-i), is affected by higher heating than zone 1 due to absorption of the laser source, while the simulation results shown in Fig 2C verify that a-Si in zone 2 is fully melted into  $\text{l-Si}$ , but heated below the equilibrium c-Si melting point of 1685 K. However, for excimer laser annealing (ELA), heating close to 1685 K results in 100 ~ 150 nm sized grains [1]. The incongruity between the BLA and the ELA may originate from the different quench rates, and the cooling durations [22]. BLA has a slower quench rate ( $\sim 10^8\text{ K/s}$  based on our numerical simulation) induced by the presence of heated  $\text{SiO}_2$  layer due to its large thermal diffusion length. Hence, the preheated  $\text{SiO}_2$  layer acts as a thermal buffer layer, so it precipitates a much longer time for nuclei to grow and propagate in the size scale of hundreds of nanometers under 1685 K compared to the cooling duration of tens of nanoseconds for the excimer laser annealing [1]. Therefore,  $\text{l-Si}$  is transformed into hundreds of nanometers or even a

few microns poly crystallites with increasing size towards the center. However, the nanoseconds duration single shot of ELA, combined with the skin depth absorption at high laser fluences (i.e., complete melting regime) causes a rapid quenching by heat conduction to the substrate. Hence, lateral growth is hindered in such a short cooling duration ( $\sim 10^{10}\text{ K/s}$ ), due to the vertical grain growth [4]. As it is predicted by the simulations (Fig. 2B and 2C), zone 3 is primarily heated by the high fluence of laser with hundreds of microseconds annealing duration (380  $\mu\text{s}$  at 75 mm/s), resulting in superheating above the equilibrium melting point 1685 K at the center regime. Combined with the heated thermal buffer layer, the corollary of this additional heating process allows a prolonged cooling rate eliminating the possibility of spontaneous nucleation, consistent with the directional crystal growth requirement [5]. The crystal grains then elongate along the scanning direction (Fig. 3C and 3C-i) conducive by the solidification in the back edge of the melt pool, whose width was calculated approximately equal to 45  $\mu\text{m}$  (Fig. 2B).

Raman spectroscopy is further employed to investigate the crystallinity of p-Si at zone 2 and zone 3 at 10 arbitrary points of each zone. Fig. 4C shows that the Raman scattering intensity of zone 2 is enhanced compared to that of zone 3 by a factor of 2.3 for the same intensity of the incident Raman laser beam. It is revealed that the beam scattering



**Fig. 4.** Raman spectroscopy with 1  $\mu\text{m}$  resolution for laser irradiation conditions at 4.61 W and 75 mm/s (A) partial melting regime exists within 5  $\mu\text{m}$  between a-Si and zone 1, (B) boundary between zone 1 and zone 2. (C) Raman signal intensity of zone 2 is enhanced by a factor of 2.3 than that of zone 3 due to the SERS effect originating from the spherical shapes of zone 2. 10 random points of Raman spectra are summarized at each zone in inset of (C), indicating high quality of p-Si with low tensile stress.



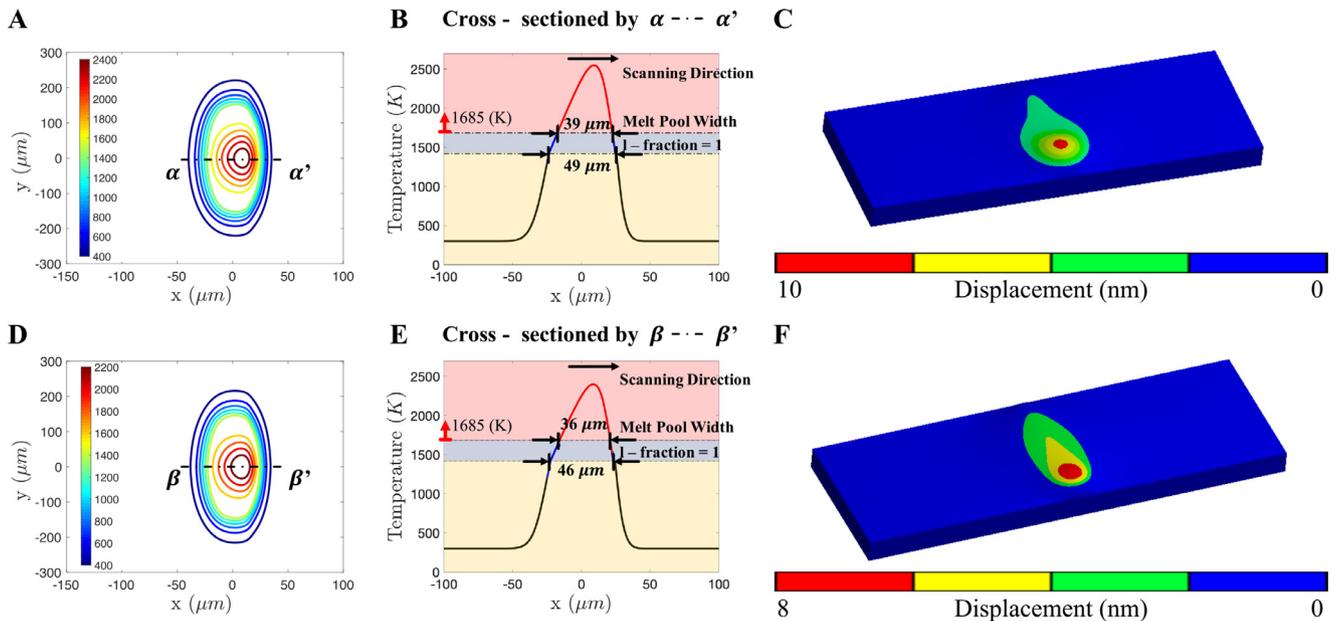
**Fig. 5. Glass deformation profiles** (A) Interferometer measurement of 4.61 W with 75 mm/s. The measurement shows that the maximum deformation height is 130 nm and the formation of two convex morphologies. (B) The calculated deformation field for the same laser irradiation conditions, with 100 nm maximum deformation height.

by the nano-crystallites at zone 2 is greater than the crystalline silicon at zone 3. The reason is that the magnetic response of the incident laser beam (532 nm), by resonant Raman scattering, is bolstered when the size of the diameter is at hundreds of nanometers. [23]. Moreover, the density of states at the TO mode at zone 2 and zone 3 is centered at  $518.9 \text{ cm}^{-1}$  with FWHM equal to  $5.7 \text{ cm}^{-1}$  depicted Fig. 3C, approaching the peak of the single crystalline Si (c-Si) wafer ( $520.2 \pm 0.5 \text{ cm}^{-1}$  with  $4.6 \text{ cm}^{-1}$  FWHM) [24]. Down-shifting of the phonon peaks was applied to measure the residual tensile stress of p-Si film after the annealing process [25]. Compared to the density of the TO modes at  $514 \sim 517 \text{ cm}^{-1}$  achieved by ELA [26], BLA attains remarkably lower deviation from the Raman frequency of c-Si. A potential explanation for this finding could be the prolonged volumetric melting and cooling of a single thin film element without an impurity element. This provides an epitaxial solidification mechanism through

the entire film thickness within the grain. Hence, the same lattice structure and orientation within the grain [22] can be obtained due to the lattice mismatching of a semi-coherent interface between p-Si film and  $\text{SiO}_2$  layer. Based on the aforementioned Raman spectroscopy results, the deviation of the Raman shift of p-Si from c-Si is  $1.3 \text{ cm}^{-1}$ , and the corresponding stress inside the film is expected to be in the order of magnitude of 565 MPa [27]. To juxtapose the reported result with the simulations, 3D elasticity coupled thermal expansion must be employed. The normal stress can be calculated by the following equation [28],

$$\sigma_{ij} = \frac{E}{(1 + \nu)}\epsilon_{ij} + \frac{E\nu}{(1 - 2\nu)(1 + \nu)}\epsilon_{kk}\delta_{ij} - \frac{E}{(1 - 2\nu)}\alpha\Delta\theta\delta_{ij} \quad (1)$$

Where  $i, j$  correspond to the coordinate system directions,  $\epsilon_{kk}$  is the trace of the strain tensor,  $\Delta\theta$  corresponds to the temperature difference from



**Fig. 6. Simulation results of optimized laser power and scanning speeds: 10 W with 1 m/s** (A) isotherms, (B) melt pool width ( $39 \mu\text{m}$ )  $> 10 \mu\text{m}$ , and (C) calculated deformation height  $\sim 30 \text{ nm}$ . **10 W with 1.25 m/s** (D) isotherms, (E) melt pool ( $36 \mu\text{m}$ )  $> 10 \mu\text{m}$ , and (F) deformation height will be 10 nm under.  $+x$  and the white arrow indicate the scanning direction. It must be noted that as the laser process becomes faster, the deformation becomes less symmetric because the heat flux becomes transient and it is not uniform as the laser beam passes through a specific path.

displacement equal to 0 to the maximum displacement,  $\delta_{ij}$  corresponds to the Kronecker's delta,  $\alpha$  is the linear thermal expansion coefficient and  $E$ ,  $\nu$  are the Young's Modulus and Poisson ratio, respectively. The modulus of elasticity and the Poisson ratio for Silicon are approximately equal to 130 GPa and 0.22, respectively. The thermal expansion coefficient can be estimated to be  $10^{-6} \text{ K}^{-1}$  [29], while the temperature difference is provided in Fig. 2B. Assuming that the deformation in the lateral direction is much higher than in the other directions and for elongation equal to 100 nm, Eq. (1) gives normal stress of magnitude equal to 572 MPa having 1.2% divergence from the experimental result. Hence, the numerical simulation is consistent regarding the estimation of the stresses.

The heat flux calculated from the temperature field is used to obtain the thermal deformation. After etching the p-Si film by a silicon etchant (33% deionized water / 3%  $\text{NH}_4\text{F}$  / 64%  $\text{HNO}_3$ ), the permanent deformation profile is measured by a Zygo interferometer, having the maximum value of 130 nm at the center (Fig. 5A-i). The calculated deformation height from the finite element analysis was 100 nm (Fig. 5B), which is consistent with the measured result. A potential reason for their difference is that there is more significant variance in the thermal expansion coefficient of the glass at such high temperatures [29]. Precise measurements of the thermal expansion coefficient at this temperature regime could diminish this difference. However, compared to the simulation results, the morphology in Fig. 5A has two bumped formations. Considering the center regime at zone 3 measured by an Atomic Force Microscopy (Fig. 5A-ii) before etching of Si film, these bumps are not observed, but instead a symmetric volume gain at the center has been identified. Therefore, it is inferred that these bumps are resulted from the etching of PECVD  $\text{SiO}_2$  layer by the ammonium fluoride [30].

Therefore, faster scanning speed must be utilized to minimize the thermal damage on the substrate. Nevertheless, for the same laser irradiation distribution the melt pool width will be smaller at higher scanning speeds, whereas the temperature drop at the crystallization interface will be faster. Thus, the final objective is to achieve a deformation profile comparable to the roughness of the p-Si film (average roughness of zone 2 is 3.8 nm, and that of zone 3 is 2.6 nm, not presented here), while maintaining a melt width of more than 10  $\mu\text{m}$  above 1685 K where superheating process occurs, to support the directional crystal growth. To comprehend how faster scanning speeds will affect the glass substrate deformation, finite element analysis is also performed for each case. More specifically, the thermal diffusion length is expected to be approximately equal to 20  $\mu\text{m}$  at 4.61 W with 75 mm/s. Repeating the modeling for higher scanning speeds, such as 1 m/s and 1.25 m/s, the thermal diffusion lengths are 5.3  $\mu\text{m}$  and 4.7  $\mu\text{m}$ , respectively. Performing the heat conduction equation simulations again with 10 W, 11 W, 12 W, and 13 W for the above scanning speeds, revealed that the laser processing conditions with 10 W at 1 m/s and 1.25 m/s are the optimum ones. The calculated deformation fields presented at Fig. 6C and 6F show the deformation profile of the substrate for 10 W and scanning speed 1 m/s and 1.25 m/s. The maximum deformation for both cases is 10 nm and 8 nm respectively. Compared to the first case (i.e. 75 mm/s), for these cases it is observed that the deformation peak is shifted with respect to the center of the laser beam, as a consequence of transient effects of the heat flux. Therefore, the time variance of the heat flux in different zones of the substrate distorts the symmetrical profile of slow scanning speed. The corollary is a permanent deformation along the path of the laser beam, until the edge is reached and the permanent deformation has a peak region, as it was observed in Fig. 5B. For lower speed (75 mm/s), the heat flux profile is nearly symmetric with respect to a Cartesian coordinate system fixed at the center of the substrate. For the first case, because of the low speed of the laser beam, the structural analysis can be performed as static, however, for higher speeds, quasi-transient structural analysis must be utilized, as the heat flux profile will vary in amplitude as a function of time. Both the thermal analysis and the structural analysis are

congruous with the experiments, regarding the transition regime between a-Si and p-Si, and the glass thermal deformation height. Nevertheless, the scanning speed of the laser beam affects the surface tension of silicon during the melting. Subsequently, the shear stresses that are developed to keep attached the silicon film and the glass substrate will vary. To improve the modeling of the system regarding the shear effects, the fluidic effects of the material can be included. However, this will increase the complexity of the model and methods to mitigate the computational cost and obtain results closer to the experimental ones are under the scope of future research. The expected deformation profiles are comparable to the roughness of p-Si film ( $\sim 5$  nm), and therefore the deformation regime for both cases is conducive for a functional device. Hence, these results crucially indicate that the BLA process can achieve high quality p-Si thin film with highly suppressed thermal deformation.

#### 4. Conclusions

To investigate physical mechanisms associated with the BLA process, we analyzed the phase transformation mechanisms of a-Si and the glass texturing by heat conduction performing experiments and numerical simulations. It was observed that volumetric heating dominates the melting process of a-Si because of the longer optical penetration depth (22 nm at  $\lambda = 440$  nm) and longer heat diffusion length by the BLA. Large grained crystals, ranging from hundreds of nanometers grains to 4  $\mu\text{m} \times 10$   $\mu\text{m}$  directional crystals, were fabricated and observed by optical and electron microscopy. Raman spectroscopy of the p-Si thin film showed the high crystallinity having limited residual stresses inside the film. Finally, thermal analysis of the glass substrate verified that fast scanning speed (1 m/s  $\sim$  1.25 m/s) with 10 W will efficiently suppress the thermal damage of the glass substrate and the deformation of the glass substrate is comparable to the roughness of p-Si. Our findings reveal that BLA can be utilized for crystallization of a-Si film on glass substrate by suppressing the thermal deformation and unravel the mechanisms governing the controlled crystal growth. This comprehensive analysis, encompassing both experiments and thermo-mechanical simulations, provides the modeling and experimental procedures that need to be addressed, regarding the utility of BLA for the next generation LTPS applications.

#### CRediT authorship contribution statement

**Minok Park:** Conceptualization, Writing - original draft, Writing - review & editing. **Zacharias Vangelatos:** Formal analysis, Writing - original draft, Writing - review & editing. **Yoonsoo Rho:** Formal analysis, Writing - review & editing. **H.K. Park:** Resources, Writing - review & editing. **Jin Jang:** Resources, Writing - review & editing. **Costas P Grigoropoulos:** Supervision, Writing - review & editing.

#### Conflict of Interest

We confirm that this manuscript has not been submitted to any other journals. All authors agree with the submission to *Thin Solid Films*. Additionally, the authors have no conflicts of interest to disclose. Thank you for your consideration of our manuscript. We look forward to hearing from you.

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