



# Negative thermal expansion $\text{ZrW}_2\text{O}_8$ thin films prepared by pulsed laser deposition

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## ABSTRACT

Negative thermal expansion  $\text{ZrW}_2\text{O}_8$  thin films has been grown on quartz substrates by pulsed laser deposition (PLD) method followed by annealing at various temperatures. The influences of annealing temperature on the morphology and phase composition of the  $\text{ZrW}_2\text{O}_8$  thin films were investigated. The X-ray diffraction (XRD) and X-ray photoelectron spectroscopy (XPS) analyses revealed that the as-deposited  $\text{ZrW}_2\text{O}_8$  thin film showed an amorphous phase, the stoichiometry of the as-deposited thin film was close to that of the  $\text{ZrW}_2\text{O}_8$  ceramic target, the crystallized cubic  $\text{ZrW}_2\text{O}_8$  thin films were prepared after annealing at 1200 °C. The scanning electron microscope (SEM) confirmed that the  $\text{ZrW}_2\text{O}_8$  thin film deposited on the substrate heated at 650 °C was smooth and compact, the crystallized cubic  $\text{ZrW}_2\text{O}_8$  thin film was a polycrystalline film and its grain size grew to be larger. The high temperature X-ray diffraction analyses showed that all the peaks ascribe to the  $\text{ZrW}_2\text{O}_8$  thin film shifted to higher angle with the increasing temperatures, which demonstrated that the cubic  $\text{ZrW}_2\text{O}_8$  thin film exhibited negative thermal expansion and its thermal expansion coefficient was calculated to be  $-11.378 \times 10^{-6} \text{ K}^{-1}$  from 20 °C to 600 °C.

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## 1. Introduction

It is well known that the vast majority of materials expand on heating. Nevertheless, in recent years families of materials with a negative thermal expansion (NTE) have been discovered. The material  $\text{ZrW}_2\text{O}_8$  is one of the best known which has stimulated considerable interest, because it has an exceptionally large ( $-8.7 \times 10^{-6} \text{ K}^{-1}$ ) and isotropic negative thermal expansion over its entire stability temperature range from  $-273$  °C to  $770$  °C [1–4]. This property leads itself as fillers to applications requiring dimensional thermal stability, which includes a wide variety of areas such as electronics [5,6], optics [7,8], sensors and actuators [9] materials. Early studies mainly focus on the preparation and characterization of  $\text{ZrW}_2\text{O}_8$  powders and ceramics [10,11], and then the  $\text{ZrW}_2\text{O}_8$  as a filler material is used to fabricate the controllable thermal expansion composites, such as  $\text{ZrO}_2/\text{ZrW}_2\text{O}_8$  [12,13] and  $\text{Cu}/\text{ZrW}_2\text{O}_8$  [14–16]. But the published research literatures on  $\text{ZrW}_2\text{O}_8$  thin films are still very limited. The properties of  $\text{ZrW}_2\text{O}_8$  thin films may differ greatly from those of ceramic and powders due to the dimensional effect, and the negative thermal expansion thin films also have some irreplaceable use value, as we know, the surface functional coating layer or thin film is important to functional devices, and a mismatch in thermal expansion coefficient between the layered films and base materials can cause problems with changes in

temperatures, such as mechanical destruction and positional deviation. The negative thermal expansion thin film may be useful for solving this problem, further research on  $\text{ZrW}_2\text{O}_8$  thin film is thus very necessary.

So far, several preparation techniques have been described in attempts to synthesize  $\text{ZrW}_2\text{O}_8$  thin films. For example, Sutton et al. [9] reported the  $\text{ZrW}_2\text{O}_8$  thin films deposited by electron-beam evaporation and reactive cosputtering. However, the stoichiometry of the resulting thin film,  $\text{Zr}_x\text{W}_y\text{O}_z$  ( $x \neq 2$ ,  $y \neq 8$ ), differed from the  $\text{ZrW}_2\text{O}_8$ . Noailles et al. [17] prepared a  $\text{ZrW}_2\text{O}_8$  thin film by depositing 0.5 mol/L  $\text{ZrW}_2\text{O}_8$  sol onto silicon wafer and calcining at 1150 °C. In our earlier work, we also reported that the preparation of the  $\text{ZrW}_2\text{O}_8$  thin films by radio frequency magnetron sputtering using the  $\text{ZrO}_2$  and  $\text{WO}_3$  composite ceramic target, but Xiao et al. [18] failed to obtain pure  $\text{ZrW}_2\text{O}_8$  thin films due to the priority sputtering and volatilization of  $\text{WO}_3$  at high temperature.

As is well known, the properties of the thin films are sensitive to their stoichiometry, microstructure and crystallinity which depend on the sputtering method and post-deposition processing conditions. Hence, these are also crucial to achieve high quality  $\text{ZrW}_2\text{O}_8$  thin films. Pulsed laser deposition (PLD) is a versatile fabrication technique that enables to preserve the stoichiometry of the target while producing high-quality thin films. In this work, the NTE cubic  $\text{ZrW}_2\text{O}_8$  thin films were synthesized by PLD method. The effects of annealing temperature on microstructure and growth morphologies of the thin film were investigated. A reproducible route of synthesizing both pure phase and negative thermal expansion thin films has been obtained.

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## 2. Experimental procedure

ZrW<sub>2</sub>O<sub>8</sub> ceramic target was prepared by solid state reaction method, the WO<sub>3</sub> and ZrO<sub>2</sub> powders (99.95% purity) were milled for 48 h to form a uniform mixture of the stoichiometric ratio of 2:1. Then the powders were uni-axially, cold pressed into  $\Phi 23\text{ mm} \times 4\text{ mm}$  target, calcined at 1200 °C for 4 h and quenched in de-ionized water to obtain the ZrW<sub>2</sub>O<sub>8</sub> ceramic target.

The thin films were grown on quartz substrates (10 mm  $\times$  10 mm  $\times$  1 mm) by PLD method using a KrF excimer pulsed laser with a wavelength of 248 nm. Before deposition, the substrates were dipped in acetone to remove the surface contamination, rinsed with a large amount of de-ionized water, dried in a flux of N<sub>2</sub>, and placed into the vacuum chamber with a base pressure of  $2 \times 10^{-3}$  Pa. The distance between target and substrate was 3.5 cm. The laser flux approximately was 356 mJ/cm<sup>2</sup> and repetition rate was 5 Hz. During deposition, the oxygen pressure was fixed at 10 Pa and the deposition time was 60 min. The thin films were deposited at 650 °C. After deposition, the as-deposited films were post-annealed at 740 °C, 800 °C, 900 °C, 1000 °C, 1100 °C, 1200 °C for better crystallization.

Structural characterization was carried out using XRD Rigaku D/max 2500 (CuK $\alpha$  radiation) analysis. The power of the XRD was fixed at 40 kV and 200 mA, the scanning speed of  $2\theta$  angle was 5°/min and the XRD diffraction angle ( $2\theta$ ) was measured from 10° to 60°, and the high temperature X-ray diffraction measurement was carried out using XRD Rigaku D/max 2500 (CuK $\alpha$  radiation) analysis, which were used to collect the resulting cubic ZrW<sub>2</sub>O<sub>8</sub> thin films XRD data at different temperature room temperature, 100 °C, 200 °C, 300 °C, 400 °C, 500 °C and 600 °C, respectively. Surface morphologies of the as-deposited ZrW<sub>2</sub>O<sub>8</sub> thin films and annealed thin films were studied using the Hitachi S-4800II field emission scanning electron microscope (FESEM). The composition of the as-deposited ZrW<sub>2</sub>O<sub>8</sub> thin film was analyzed by Thermo ESCALAB 250 X-ray photoelectron spectroscopy (XPS) using focused monochromatic Al K $\alpha$  radiation with energy of 1486.6 eV. In all cases surface charging effects were compensated by referencing the binding energy to the C 1s line set at 284.6 eV. The thicknesses of the thin films were measured by ET-350 surface profilometer.

## 3. Results and discussion

The stoichiometric ratio of thin film deposited by PLD method is always the same as the one of the target. So the purity of target as a raw material is vital to the quality of the thin film. Fig. 1 shows XRD patterns of the ZrW<sub>2</sub>O<sub>8</sub> target synthesized by solid state reaction method. All the diffraction peaks are identified as the cubic unit cell of  $\alpha$ -ZrW<sub>2</sub>O<sub>8</sub> (JCPDS #50-1868) which indicates the resulting ZrW<sub>2</sub>O<sub>8</sub> target is pure, and the indices of crystallographic plane of the resulting ZrW<sub>2</sub>O<sub>8</sub> target are shown in Fig. 1.

All the thicknesses of the thin films deposited by PLD method in this study were approximately 400 nm. As shown in Fig. 2(a), the XPS analysis reveals that the atomic ratio of the element Zr, W and O of the as-deposited thin film is about 1.00:2.08:7.93, which is close to the one of the ZrW<sub>2</sub>O<sub>8</sub> target. There is no impurity element, it is mainly because the ZrW<sub>2</sub>O<sub>8</sub> ceramic target is pure and the laser is a clean energy source. The Element C comes from the oil pump. The carbon peaks (observed at 284.6 eV) can be used to calibrate the resulting spectra to account for any surface charging effects.

The XRD patterns of the ZrW<sub>2</sub>O<sub>8</sub> thin films deposited at a substrate temperature of 650 °C and post-deposition annealed at various temperatures are shown in Fig. 3. The as-deposited ZrW<sub>2</sub>O<sub>8</sub> thin film shows an amorphous phase. When the particles impinge on the substrate at 650 °C, maybe the energy is not adequate for these particles to form crystallized ZrW<sub>2</sub>O<sub>8</sub> thin film. The post-deposition annealing is needed to change the amorphous structure and realize crystallization, so post-deposition annealing at different temperatures

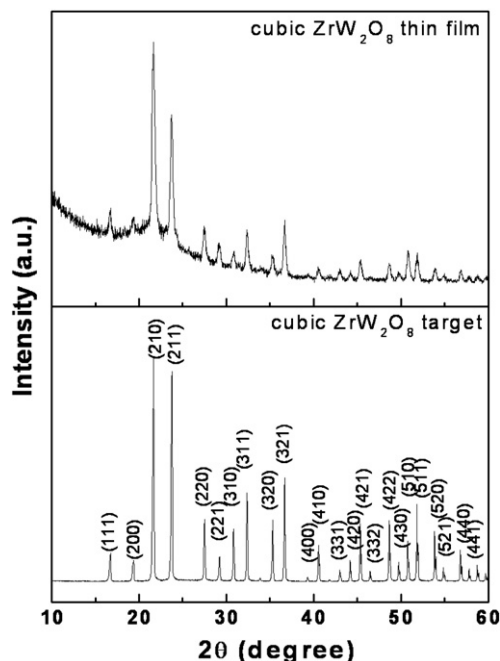


Fig. 1. XRD patterns of the cubic ZrW<sub>2</sub>O<sub>8</sub> target and thin film annealed at 1200 °C.

was studied. When the amorphous thin films were annealed at 740 °C, 800 °C for 3 min in air, respectively, the peaks present in the XRD pattern of the thin film are assigned to the WO<sub>3</sub>, and annealed at 900 °C, 1000 °C for 3 min in air, respectively, the peaks of tetragonal ZrO<sub>2</sub> and WO<sub>3</sub> present in the XRD patterns of the thin films. With the increase of annealing temperatures, the dominant XRD peaks became sharper and stronger due to the further enhancement in crystallization. However, when annealed at 1100 °C for 1 min in air, only the peaks of tetragonal and monoclinic ZrO<sub>2</sub> present in the XRD pattern and the XRD peaks of WO<sub>3</sub> disappeared due to the volatilization of WO<sub>3</sub> at high temperature. In order to avoid the volatilization of WO<sub>3</sub> and obtain the crystallized ZrW<sub>2</sub>O<sub>8</sub> thin film, an improved annealing process was carried out by placing the as-deposited ZrW<sub>2</sub>O<sub>8</sub> thin film in a sealed cell and then annealing at 1200 °C for 3 min. After quenching in de-ionized water, the cubic ZrW<sub>2</sub>O<sub>8</sub> thin films of high purity were obtained for the first time, and the XRD pattern of the resulting cubic ZrW<sub>2</sub>O<sub>8</sub> thin film is shown in Fig. 3. Compared with the one of the ZrW<sub>2</sub>O<sub>8</sub> target, it obviously can be found that there is no impurity peaks in the XRD spectrum of the cubic ZrW<sub>2</sub>O<sub>8</sub> thin film. The XPS analysis also reveals that the atomic ratio of the element Zr,

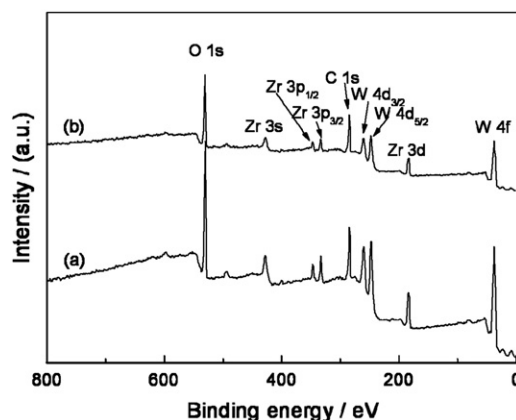
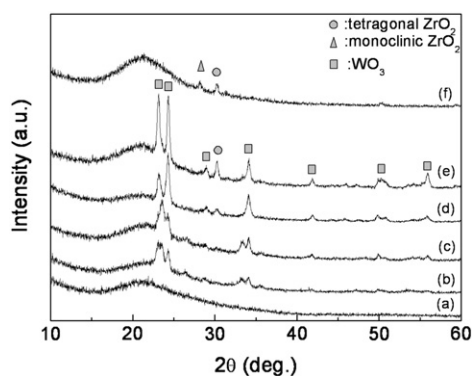


Fig. 2. X-ray photoelectron spectroscopy (XPS) spectra of the ZrW<sub>2</sub>O<sub>8</sub> thin films, (a) as-deposited, (b) annealed at 1200 °C for 3 min.

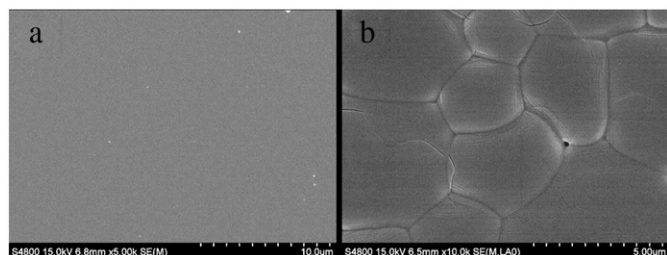


**Fig. 3.** XRD patterns of  $\text{ZrW}_2\text{O}_8$  thin film annealed at different temperature (a) as-deposited, (b) annealed at  $740^\circ\text{C}$  for 3 min, (c) annealed at  $800^\circ\text{C}$  for 3 min, (d) annealed at  $900^\circ\text{C}$  for 3 min, (e) annealed at  $1000^\circ\text{C}$  for 3 min, (f) annealed at  $1100^\circ\text{C}$  for 1 min.

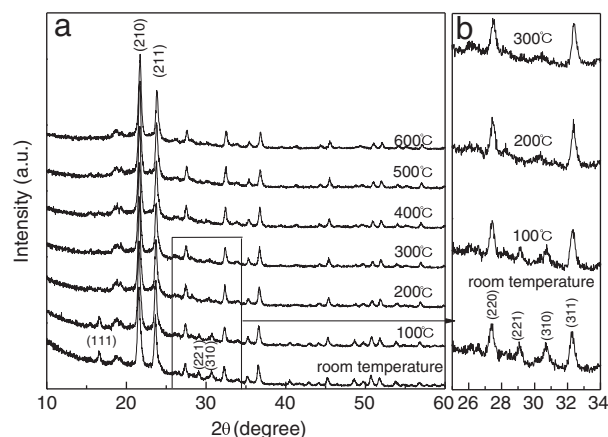
W and O of the thin film annealed at  $1200^\circ\text{C}$  is about 1.00:1.95:7.92 (see Fig. 1(b)), both the analysis of the XRD and XPS can confirm that the resulting sample is pure cubic  $\text{ZrW}_2\text{O}_8$  thin film.

The surface morphologies of the  $\text{ZrW}_2\text{O}_8$  thin films are inspected by FESEM. Fig. 4 shows the FESEM micrograph of the as-deposited  $\text{ZrW}_2\text{O}_8$  thin film and annealed at  $1200^\circ\text{C}$ , respectively. In Fig. 4(a), it can be seen that the surface micrograph of amorphous  $\text{ZrW}_2\text{O}_8$  thin film deposited at a substrate temperature of  $650^\circ\text{C}$  is smooth and compact, the surface of the film with characteristic absence of significant grain growth, which indicates the amorphous state of the thin film. The SEM micrograph of the cubic  $\text{ZrW}_2\text{O}_8$  thin film annealed at  $1200^\circ\text{C}$  for 3 min is shown in Fig. 4(b), where one can see that the crystallized  $\text{ZrW}_2\text{O}_8$  thin film is a polycrystalline film, the grains on surface show a trend of merging to form bigger grains and there are lots of Y-grain-boundaries. But there are some cracks in the crystallized  $\text{ZrW}_2\text{O}_8$  thin film. On one hand, this might be caused by the mismatch in thermal expansion coefficient between the thin film and the substrate. On the other hand, the quenching generates lots of stress. Both the reasons can lead to the crack deficiency.

To investigate the negative thermal expansion behaviors, the XRD patterns of the resulting cubic  $\text{ZrW}_2\text{O}_8$  thin films were collected at various testing temperatures, which were displayed in Fig. 5. In Fig. 5(a), all the XRD peaks of the cubic  $\text{ZrW}_2\text{O}_8$  thin film slightly shift to higher  $2\theta$  with the increase of the testing temperatures, which can be obviously found in Fig. 6. Fig. 6 exhibits the 210 peaks and 211 peaks of cubic  $\text{ZrW}_2\text{O}_8$  thin film at various testing temperatures. Due to the cubic structure of the  $\text{ZrW}_2\text{O}_8$  thin film, when the diffraction angle increases, value of  $d$  decreases in contrast, which lead to the decreasing of the lattice constants and contracting of the cell volume of  $\text{ZrW}_2\text{O}_8$  thin film by increasing temperatures. This indicates that the resulting cubic  $\text{ZrW}_2\text{O}_8$  thin film shows negative thermal expansion property, attributed to the rigid unit mode of its framework structure and the liberation of the  $\text{WO}_4$  unit with the unshared vertex [19–21]. The lattice constants of the cubic  $\text{ZrW}_2\text{O}_8$  thin films at various



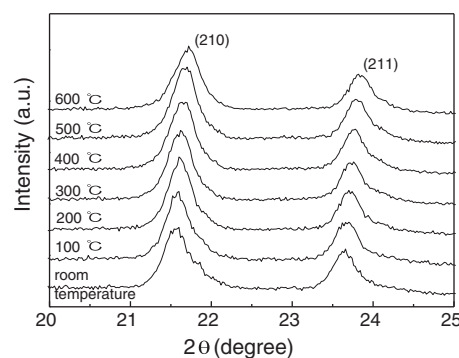
**Fig. 4.** FESEM images of the  $\text{ZrW}_2\text{O}_8$  thin films for (a) as-deposited and (b) post-deposition annealed at  $1200^\circ\text{C}$ .



**Fig. 5.** High temperature XRD patterns of the cubic  $\text{ZrW}_2\text{O}_8$  thin film at various temperatures.

temperatures were calculated by the cell parameter calculation method using a powder X software [10,22]. Fig. 7 depicts the lattice constants of the cubic  $\text{ZrW}_2\text{O}_8$  thin film depended on the temperatures, it can be obviously seen that the lattice constants decrease with the increasing temperatures, which also indicates that negative thermal expansion nature of the obtained  $\text{ZrW}_2\text{O}_8$  thin film, and the average linear thermal expansion coefficient of the cubic  $\text{ZrW}_2\text{O}_8$  thin film is calculated to be  $-11.378 \times 10^{-6} \text{ K}^{-1}$  in the temperature range from  $20^\circ\text{C}$  to  $600^\circ\text{C}$  using the linear regression technique, which agree with the negative thermal expansion coefficient of the  $\text{ZrW}_x\text{O}_y$  thin film ( $14 \pm 4 \times 10^{-6} \text{ K}^{-1}$ ) reported by M.S. Sutton [8]. We can find that the average linear thermal expansion coefficient of the cubic  $\text{ZrW}_2\text{O}_8$  thin film is more negative than that for the bulk  $\text{ZrW}_2\text{O}_8$  reported elsewhere [1]. It is speculated that there are lots of reasons can lead to this phenomena, such as the difference on the preparation method, density, microstructure between the  $\text{ZrW}_2\text{O}_8$  thin film and bulk. Furthermore, the annealing process of the thin film generates a large number of stresses due to the difference of the thermal expansion coefficient between the  $\text{ZrW}_2\text{O}_8$  thin film and the substrate. All of these factors may have some affects on the measurement of the  $\text{ZrW}_2\text{O}_8$  thin film. Further work is in the progress to investigate the formation mechanism in detail.

In Fig. 5(a), several XRD peaks of the cubic  $\text{ZrW}_2\text{O}_8$  thin film such as the (111), (221) and (310) peaks that exist at room temperature. With the increase of testing temperatures, these XRD peaks disappeared at high temperature above  $200^\circ\text{C}$ , and this phenomenon can be obviously seen in Fig. 5(b). This indicates that a structural phase transition from an acentric phase to a centric phase takes place in this temperature range from  $100^\circ\text{C}$  to  $200^\circ\text{C}$ . The low-temperature phase ( $P2_13$ ) transits to the high temperature phase ( $Pa\bar{3}$ ).  $\text{ZrW}_2\text{O}_8$



**Fig. 6.** 210 peak and 211 peak of cubic  $\text{ZrW}_2\text{O}_8$  thin film at various temperatures.

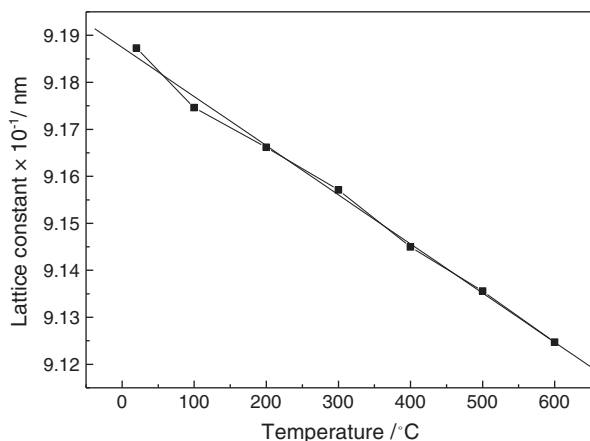


Fig. 7. The lattice constant depended on the temperatures for cubic  $\text{ZrW}_2\text{O}_8$  thin film.

undergo an  $\alpha$  to  $\beta$  structure phase transition between 100 °C and 200 °C, which is considered to be order–disorder type [2,9,10].

#### 4. Conclusion

In summary, cubic  $\text{ZrW}_2\text{O}_8$  thin films were successfully prepared by the PLD method using  $\text{ZrW}_2\text{O}_8$  ceramic target. The  $\text{ZrW}_2\text{O}_8$  thin film deposited at a substrate temperature of 650 °C was amorphous, and its surface micrograph was smooth and compact. The crystallization of the  $\text{ZrW}_2\text{O}_8$  thin films was found to be dependent on the annealing temperature and process. The crystallized cubic  $\text{ZrW}_2\text{O}_8$  thin film can be obtained after annealing at 1200 °C for 3 min in a sealed cell and quenched in the de-ionized water. There are lots of Y-grain-boundaries existed in the polycrystalline  $\text{ZrW}_2\text{O}_8$  thin film. The obtained cubic  $\text{ZrW}_2\text{O}_8$  thin film showed strong negative thermal expansion, its average linear thermal expansion coefficient was

calculated to be  $-11.378 \times 10^{-6} \text{ K}^{-1}$  from 20 °C to 600 °C and an  $\alpha$  to  $\beta$  structure phase transition occurred between 100 °C and 200 °C.

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